

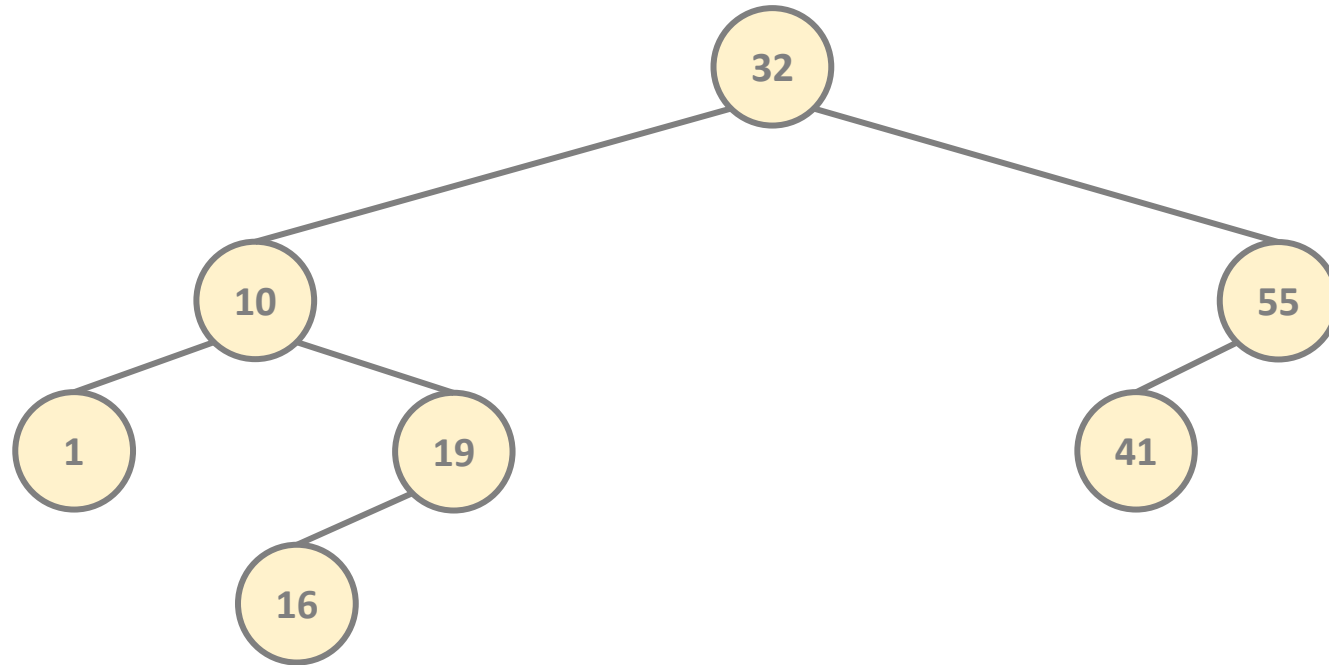
# Priority Queues

## (Algorithms and Data Structures)

# Priority Queues

- it is an **abstract data type** such as queue
- every item has an additional property – the so-called **priority** value
- in a priority queue an element with **high priority** is served before an element with lower priority
- priority queues are usually implemented with **heap data structures** but it can be implemented with self balancing trees as well
- it is very similar to queues with some modification: **the highest priority** element is retrieved first

# Priority Queues



# Priority Queues

Sometimes we do not specify the **priority** for example when implementing heap data structures

→ the value of an integer (or float) can be interpreted as a priority

→ so we can omit the priority when inserting new integers or floats

For example: the priority of **10** will be greater than that of **5** because **10 > 5** so there is no need to store the priority in another variable

# Priority Queues

The concept of priority queues naturally suggest a **sorting algorithm** where we have to insert all the elements to be sorted into a **priority queue**

- remove the items one by one from the priority queue and it yields the sorted order
- if we take out a given item then it will be the one with the highest priority value
- this is exactly how **heapsort** works

# Heap Data Structure

## (Algorithms and Data Structures)

# Heaps

- heaps are basically **binary trees**
- two main binary heap types: **min heap** and **max heap**
- it was first constructed back in **1964** by **J. W. J. Williams**

## 1.) MAX HEAP

In a **max heap** the keys of parent nodes are always greater than or equal to those of the children. The highest key (max value) is in the root node.

## 2.) MIN HEAP

In a **min heap** the keys of parent nodes are less than or equal to those of the children and the lowest key (min item) is in the root node

# Heaps

- heaps are basically **binary trees**
- two main binary heap types: **min heap** and **max heap**
- it is **complete** so it cannot be imbalanced
- we insert every new item to the next available place
- **APPLICATIONS:** Dijkstra's algorithm, Prim's algorithm

*„Bad programmers worry about the code. Good programmers worry about **data structures** and their relationships”*

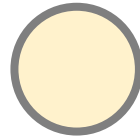


# Heap Properties

1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete

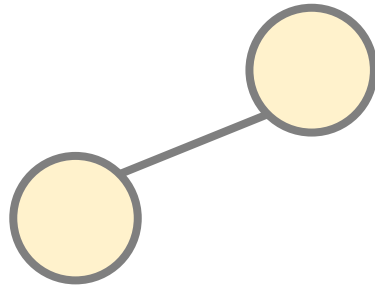
# Heap Properties

1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete



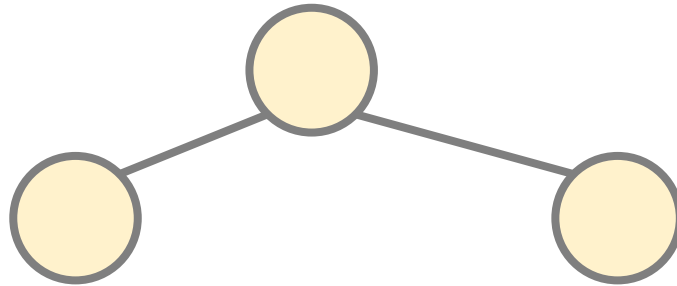
# Heap Properties

1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete



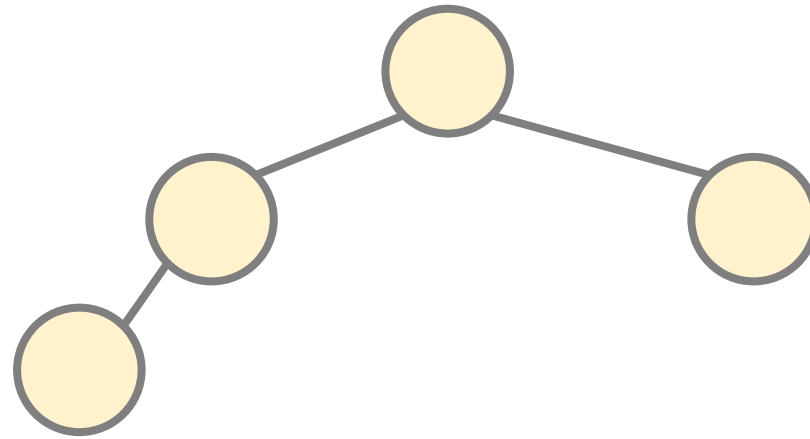
# Heap Properties

1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete



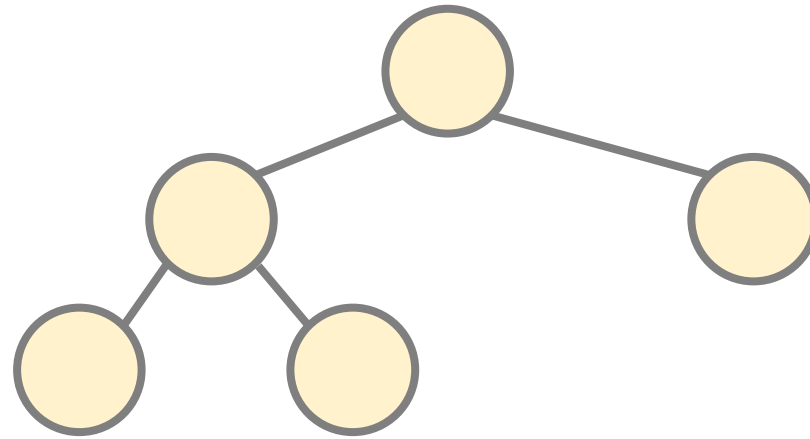
# Heap Properties

1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete



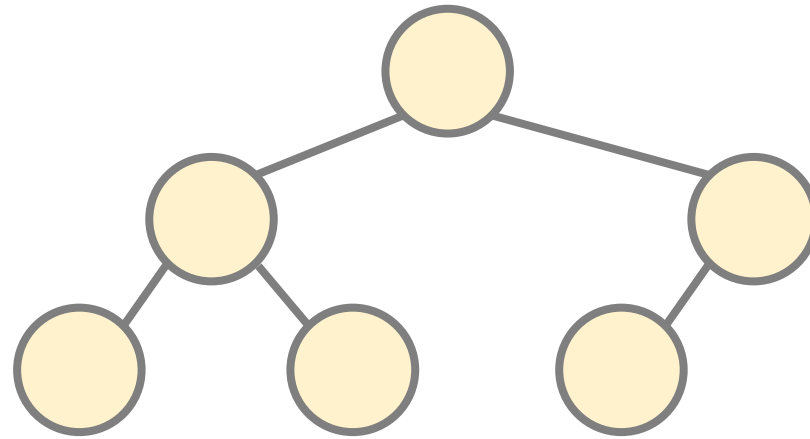
# Heap Properties

1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete



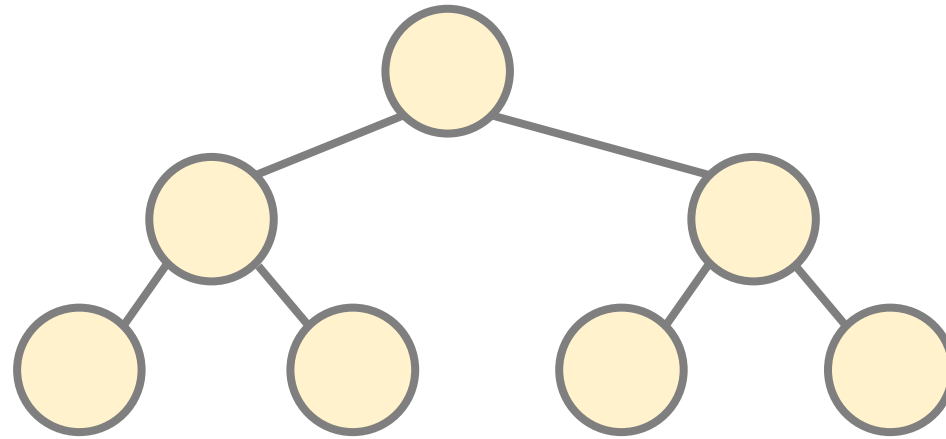
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# Heap Properties

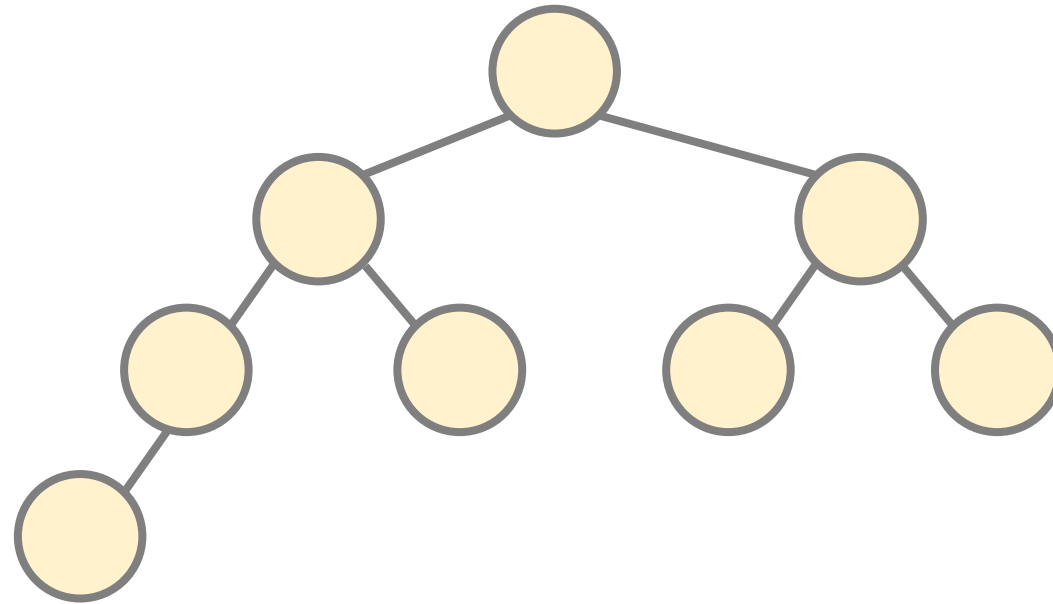
1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete





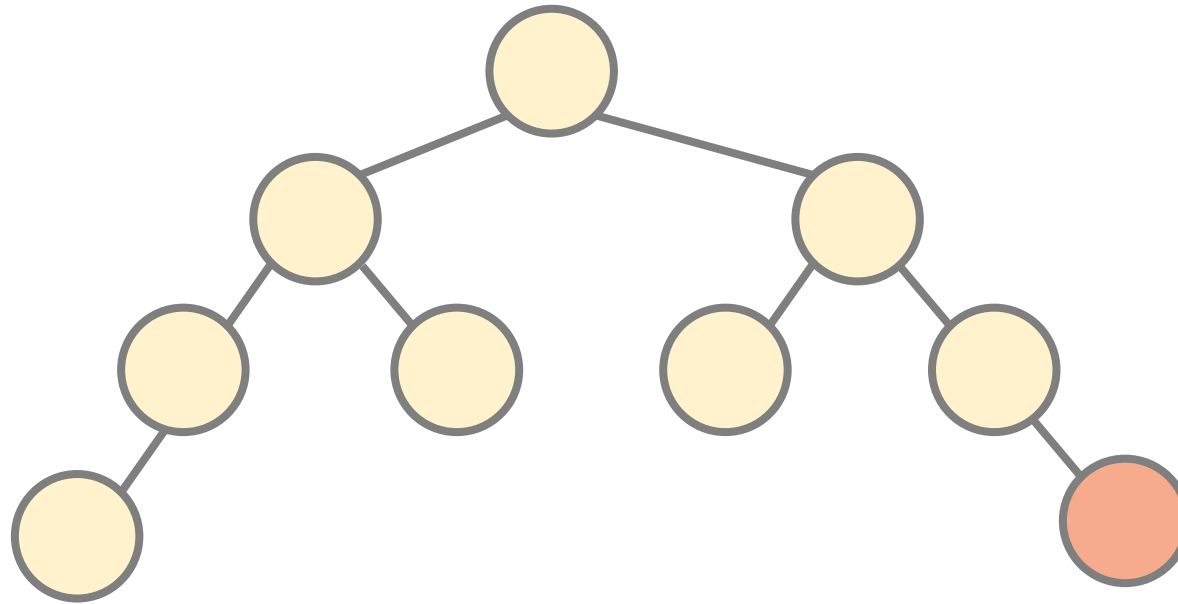
# Heap Properties

1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete



# Heap Properties

1.) **COMPLETENESS:** we construct the **heap** from left to right across each row – of course the last row may not be fully complete



*it is not a valid heap because  
he **completeness property** is violated*

# Heap Properties

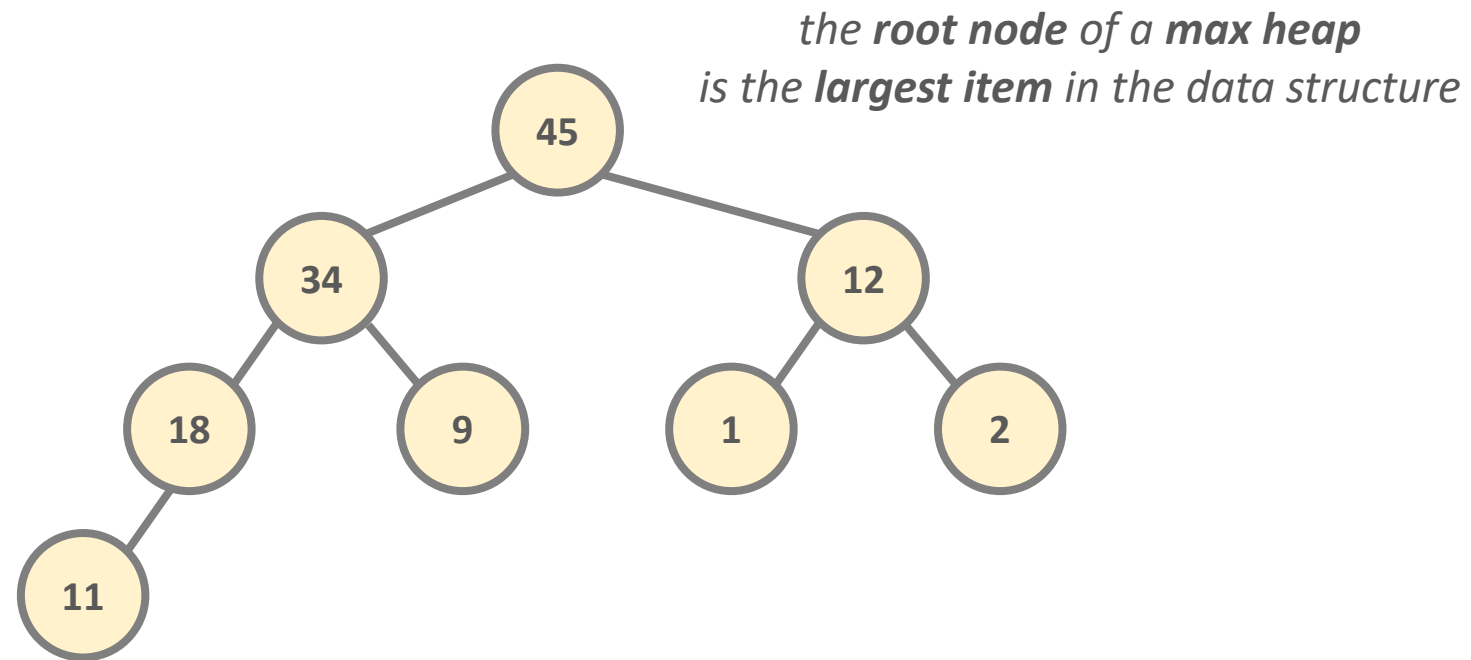
**2.) HEAP PROPERTY:** every node can have **2** children so heaps are almost-complete binary trees.

→ **min heap:** the parent node is always **smaller** than the child nodes (left and right nodes)

→ **max heap:** the parent node is always **greater** than the child nodes (left and right nodes)

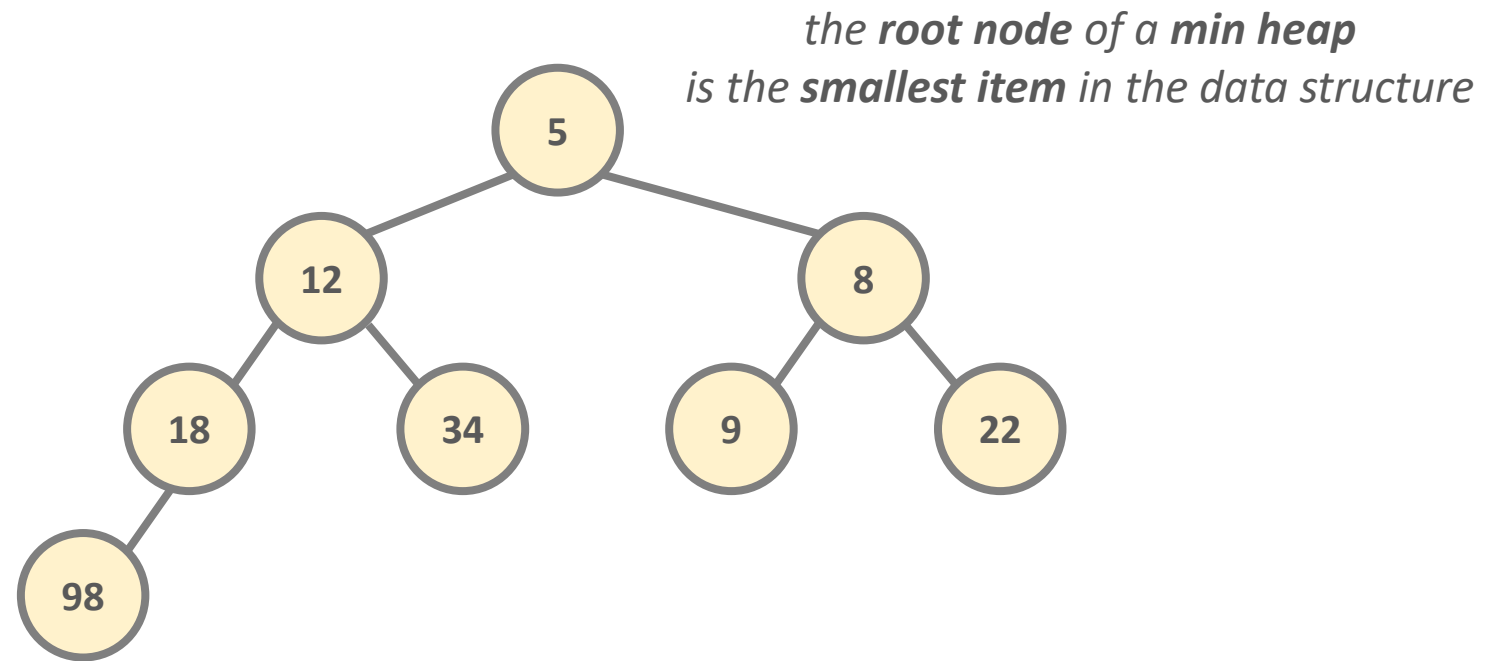
# Heap Properties

2.) **HEAP PROPERTY:** every node can have **2** children so heaps are almost-complete binary trees.



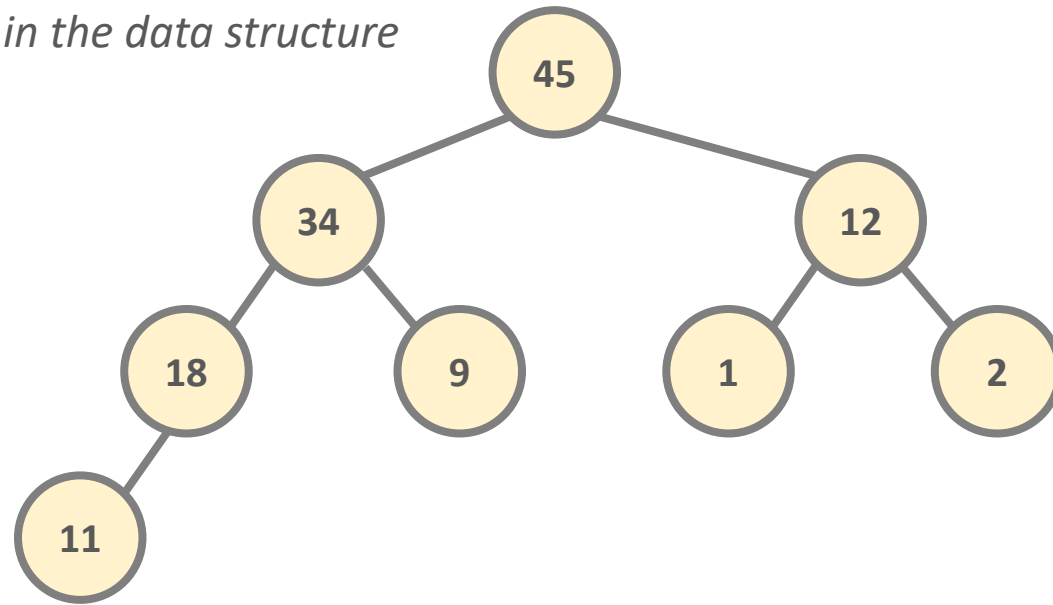
# Heap Properties

**2.) HEAP PROPERTY:** every node can have **2** children so heaps are almost-complete binary trees.



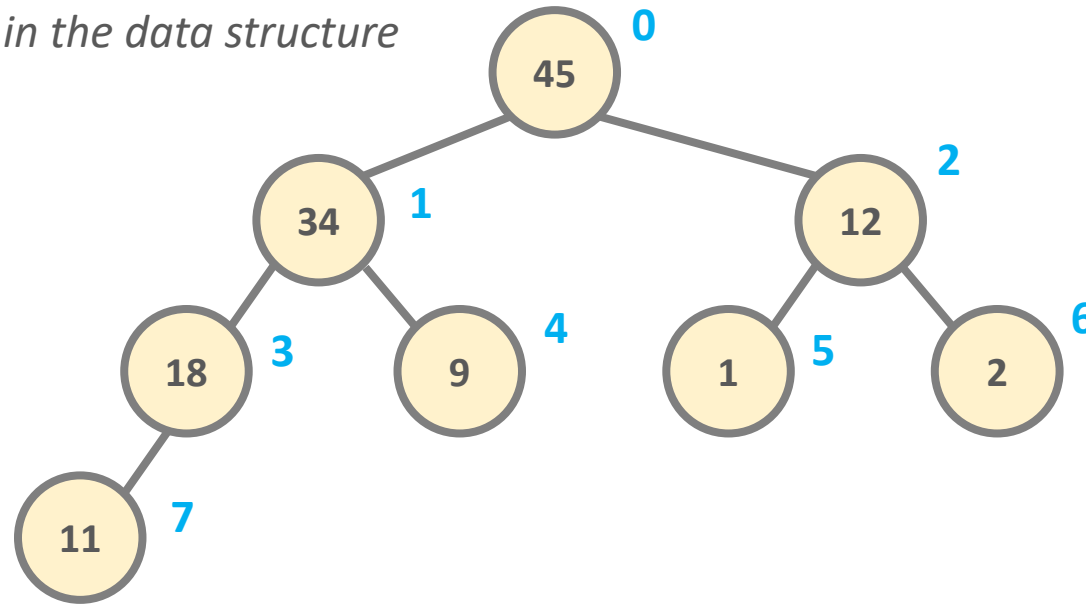
# Representing Heaps

*the **root node** of a **max heap**  
is the **largest item** in the data structure*



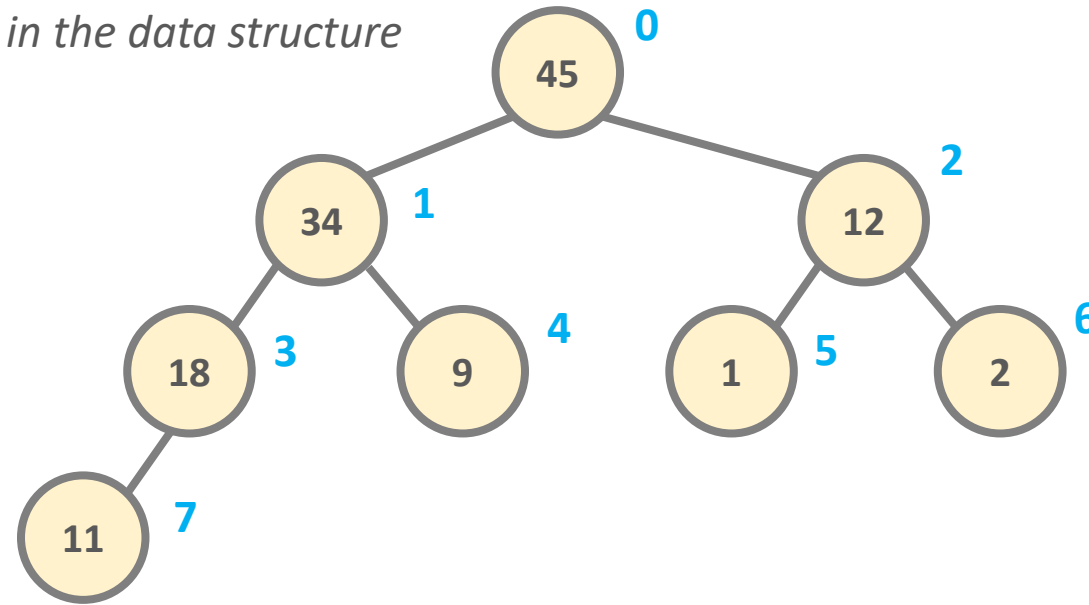
# Representing Heaps

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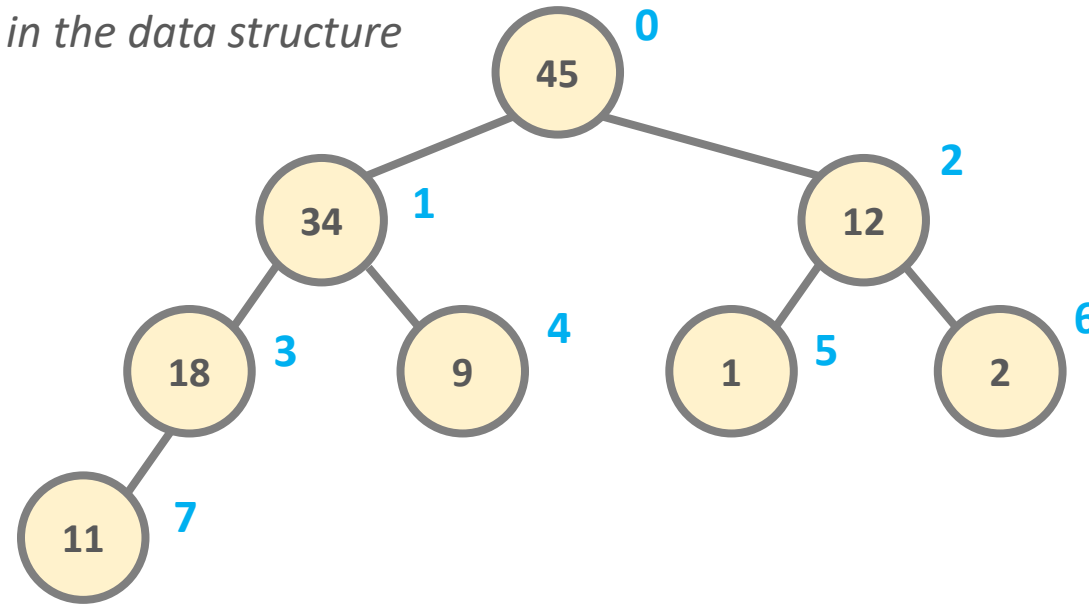


0	
1	
2	
3	
4	
5	
6	
7	



# Representing Heaps

*the **root node** of a **max heap**  
is the **largest item** in the data structure*

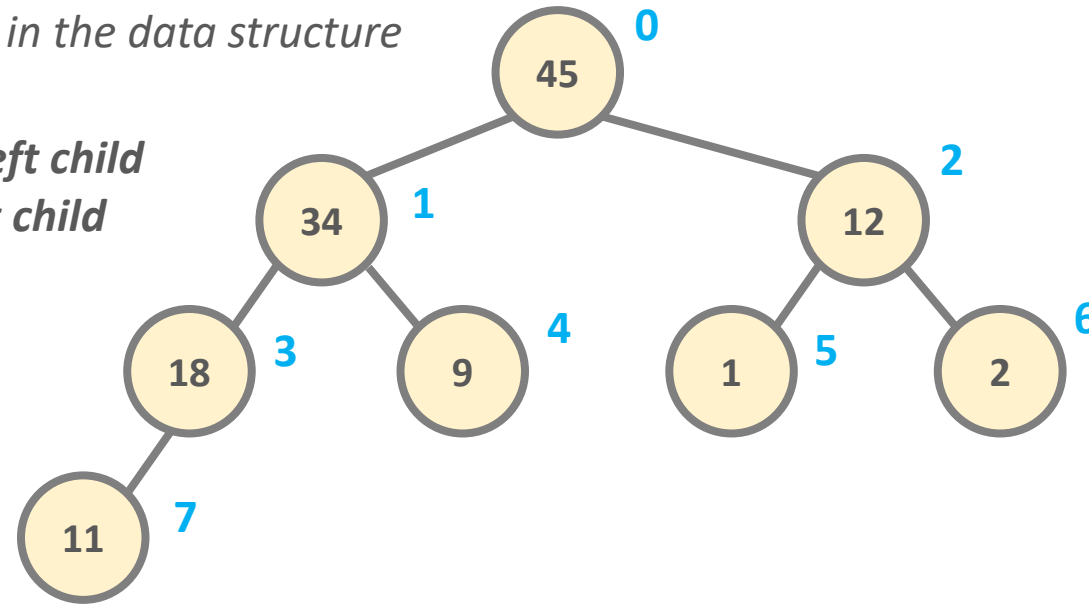


0	45
1	34
2	12
3	18
4	9
5	1
6	2
7	11

# Representing Heaps

*the **root node** of a **max heap**  
is the **largest item** in the data structure*

*the node with index  $i$  has **left child**  
with index  $2i+1$  and **right child**  
with index  $2i+2$*



0	45
1	34
2	12
3	18
4	9
5	1
6	2
7	11

# Building a Max Heap

INSERT(23)

0	
1	
2	
3	
4	
5	
6	
7	

# Building a Max Heap

INSERT(23)



0	23
1	
2	
3	
4	
5	
6	
7	

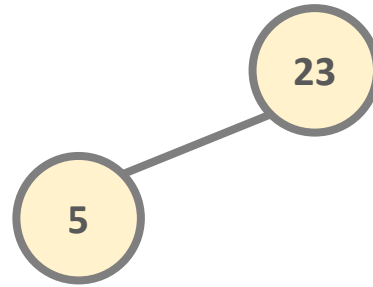
# Building a Max Heap



0	23
1	
2	
3	
4	
5	
6	
7	

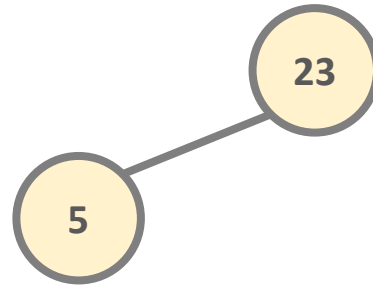
# Building a Max Heap

INSERT(5)



0	23
1	5
2	
3	
4	
5	
6	
7	

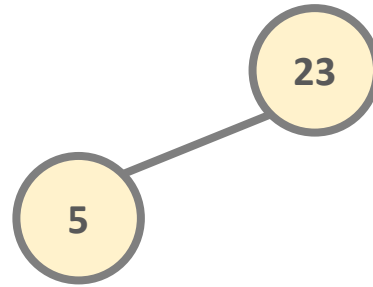
# Building a Max Heap



0	23
1	5
2	
3	
4	
5	
6	
7	

# Building a Max Heap

INSERT(78)

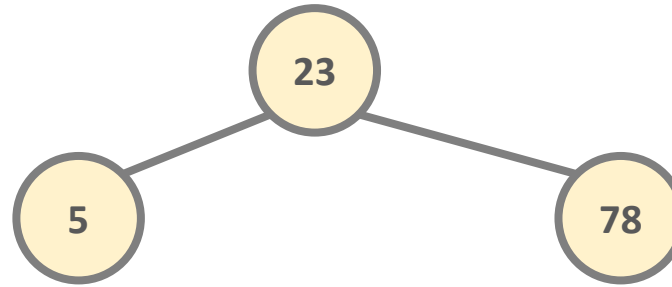


0	23
1	5
2	
3	
4	
5	
6	
7	



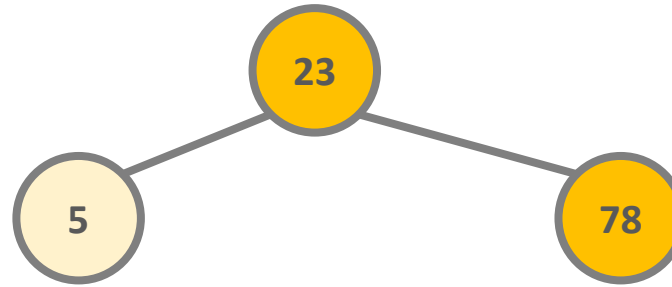
# Building a Max Heap

INSERT(78)



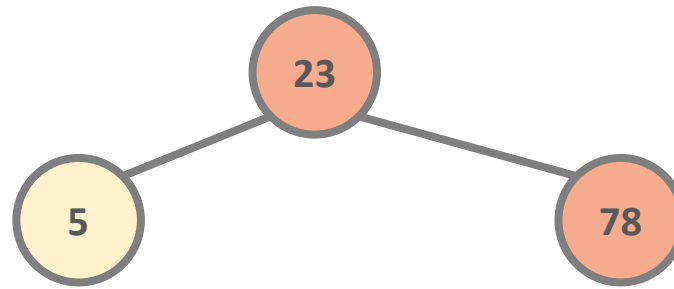
0	23
1	5
2	78
3	
4	
5	
6	
7	

# Building a Max Heap



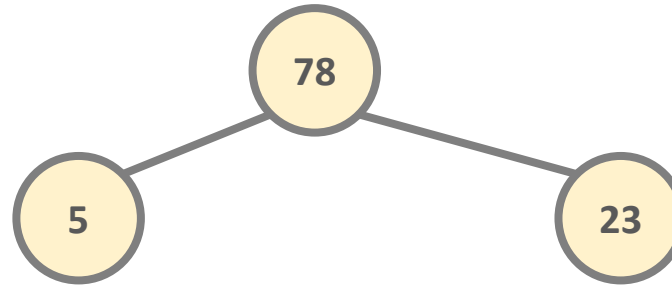
0	23
1	5
2	78
3	
4	
5	
6	
7	

# Building a Max Heap



0	23
1	5
2	78
3	
4	
5	
6	
7	

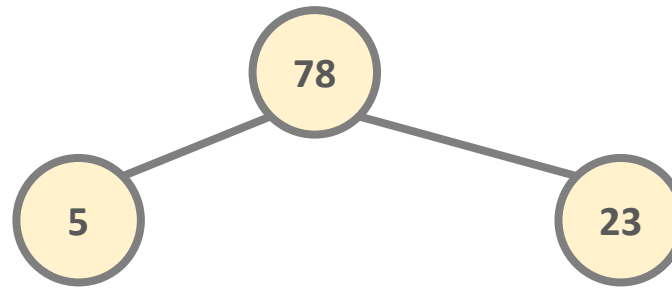
# Building a Max Heap



0	78
1	5
2	23
3	
4	
5	
6	
7	

# Building a Max Heap

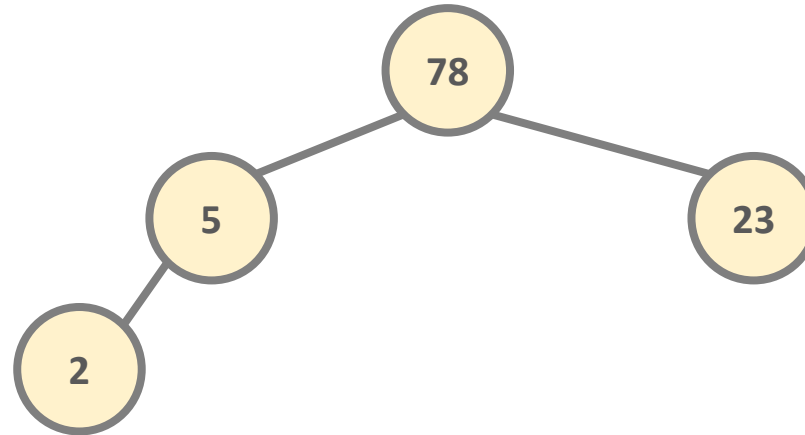
INSERT(2)



0	78
1	5
2	23
3	
4	
5	
6	
7	

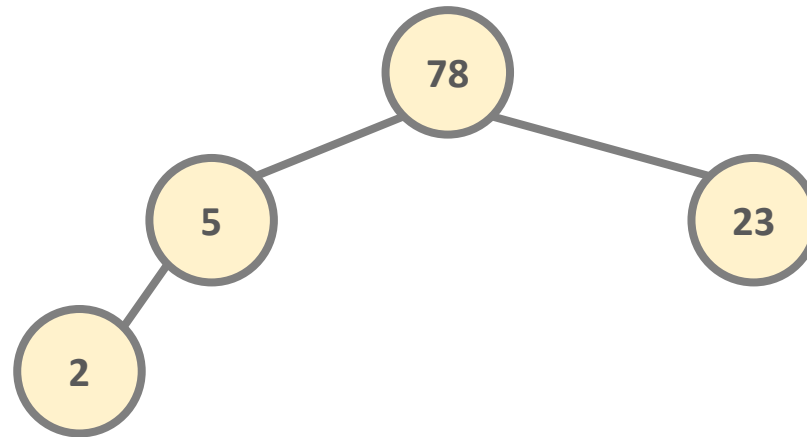
# Building a Max Heap

INSERT(2)



0	78
1	5
2	23
3	2
4	
5	
6	
7	

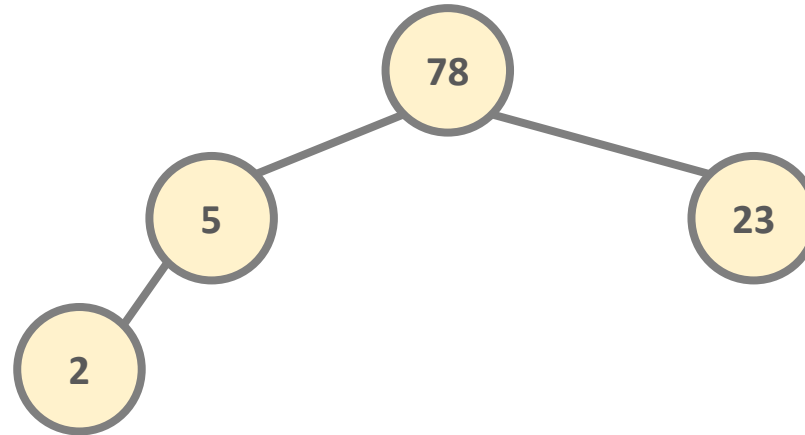
# Building a Max Heap



0	78
1	5
2	23
3	2
4	
5	
6	
7	

# Building a Max Heap

INSERT(92)

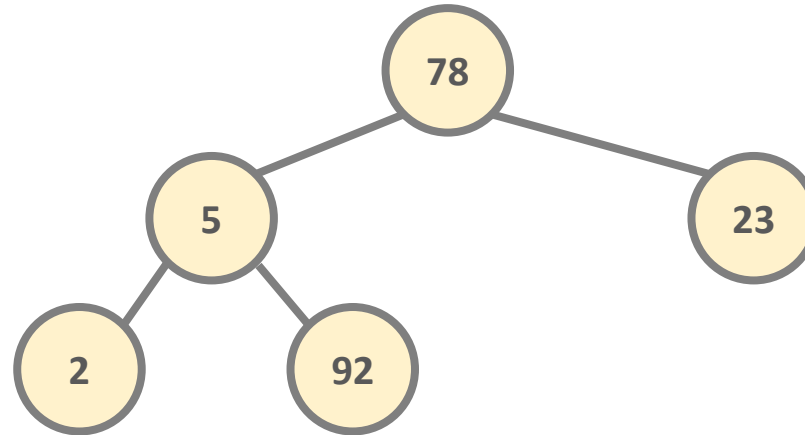


0	78
1	5
2	23
3	2
4	
5	
6	
7	



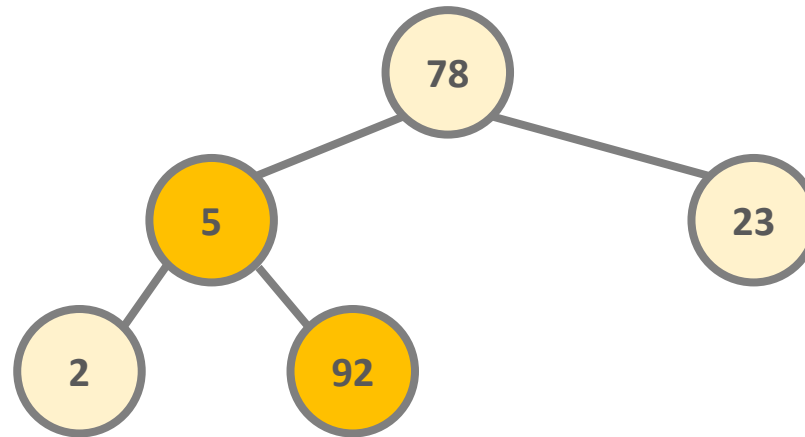
# Building a Max Heap

INSERT(92)



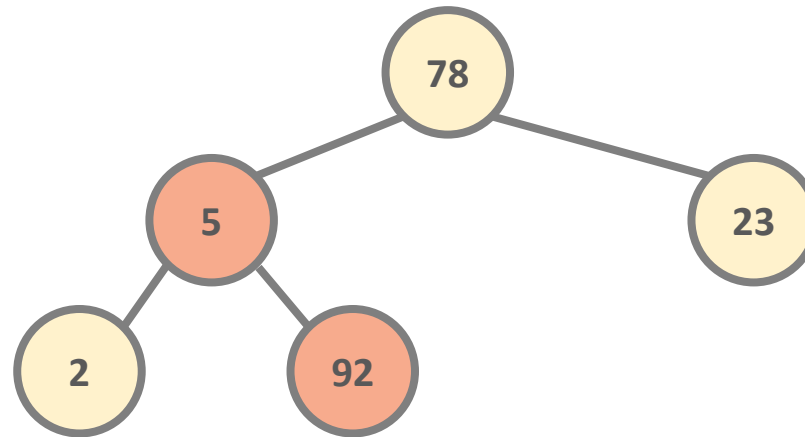
0	78
1	5
2	23
3	2
4	92
5	
6	
7	

# Building a Max Heap



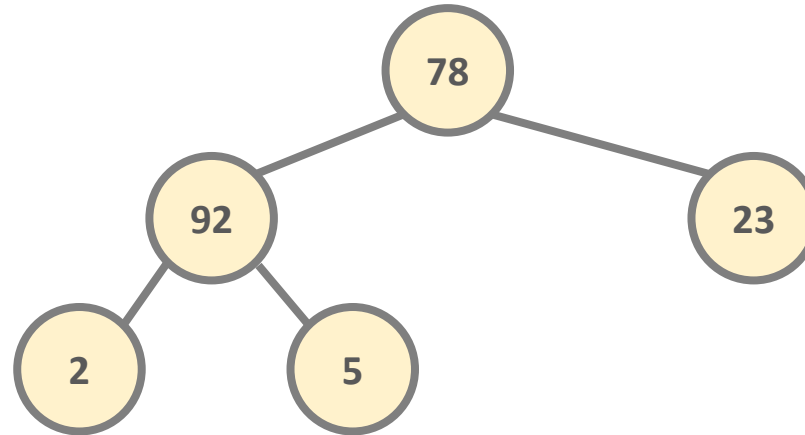
0	78
1	5
2	23
3	2
4	92
5	
6	
7	

# Building a Max Heap



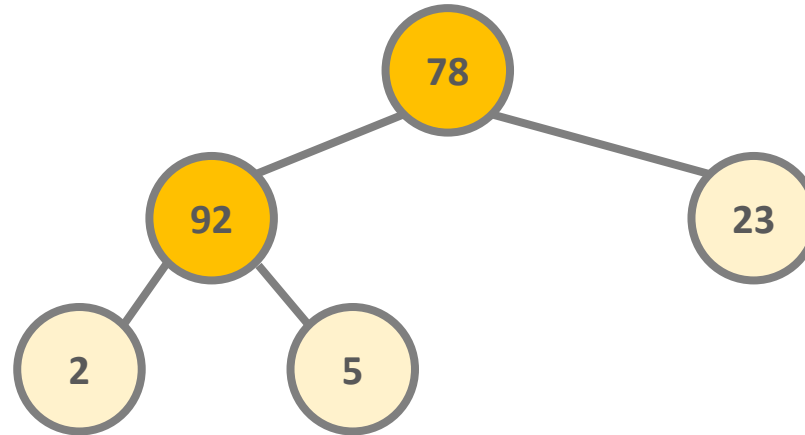
0	78
1	5
2	23
3	2
4	92
5	
6	
7	

# Building a Max Heap



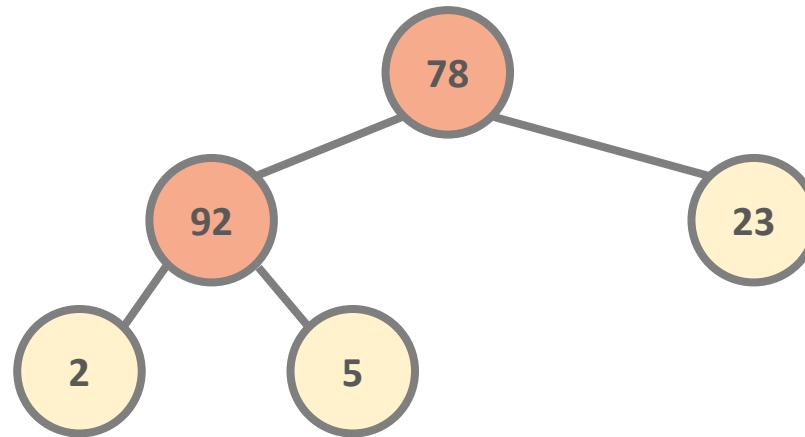
0	78
1	92
2	23
3	2
4	5
5	
6	
7	

# Building a Max Heap



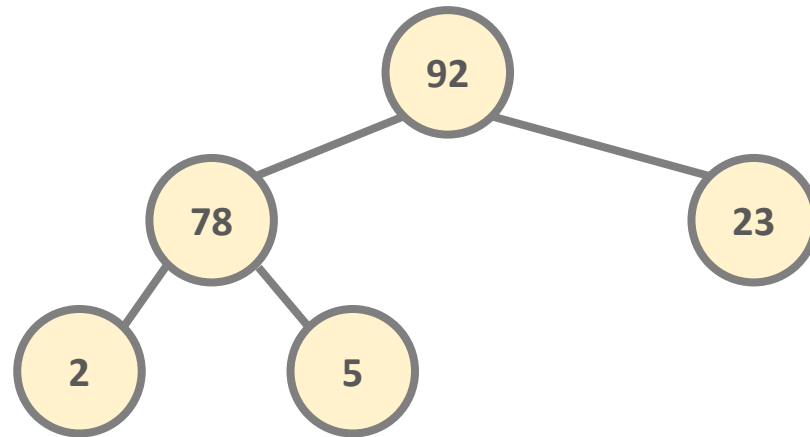
0	78
1	92
2	23
3	2
4	5
5	
6	
7	

# Building a Max Heap



0	78
1	92
2	23
3	2
4	5
5	
6	
7	

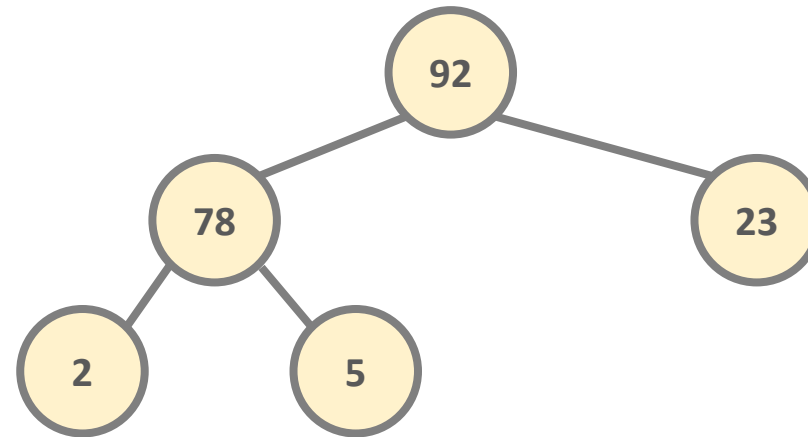
# Building a Max Heap



0	92
1	78
2	23
3	2
4	5
5	
6	
7	

# Building a Max Heap

INSERT(12)

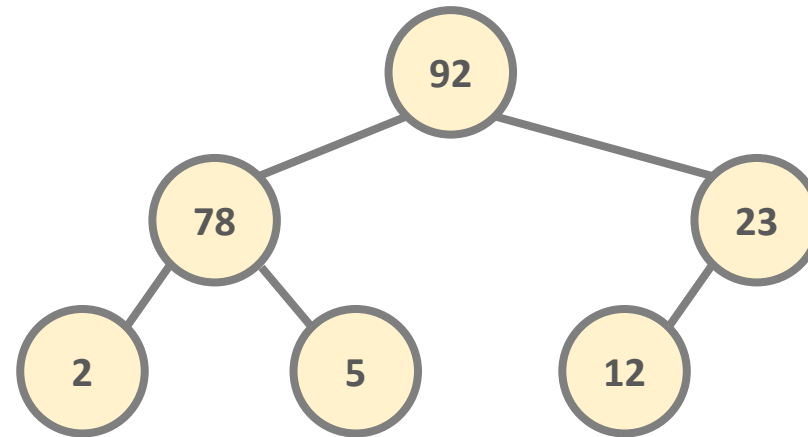


0	92
1	78
2	23
3	2
4	5
5	
6	
7	



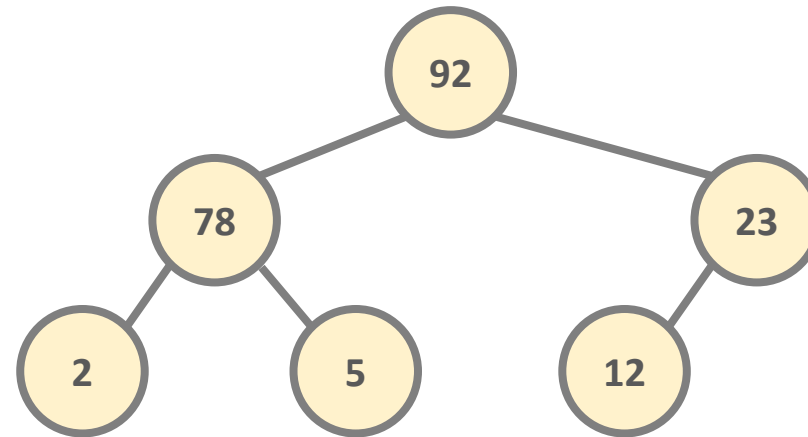
# Building a Max Heap

INSERT(12)



0	92
1	78
2	23
3	2
4	5
5	12
6	
7	

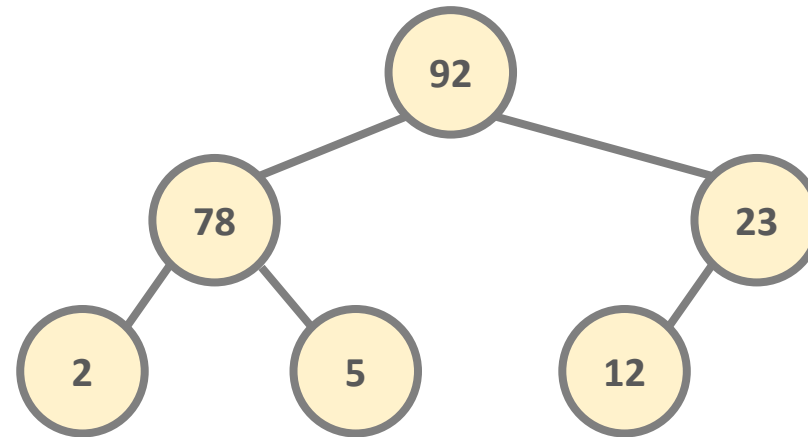
# Building a Max Heap



0	92
1	78
2	23
3	2
4	5
5	12
6	
7	

# Building a Max Heap

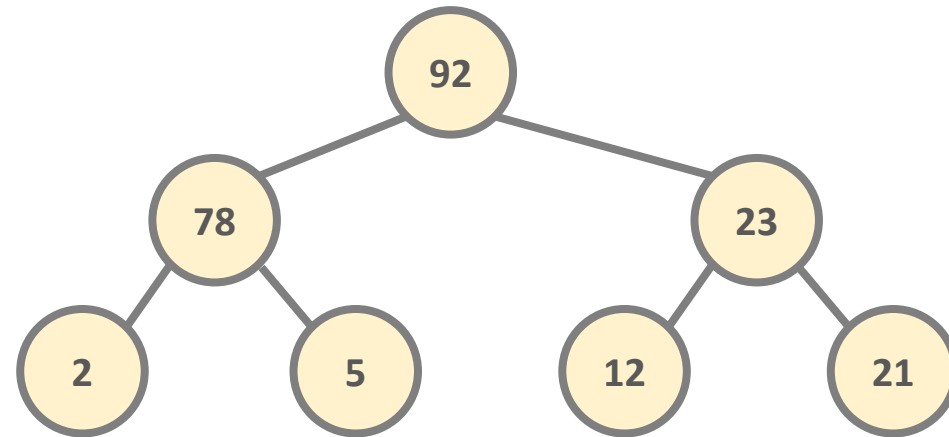
INSERT(21)



0	92
1	78
2	23
3	2
4	5
5	12
6	
7	

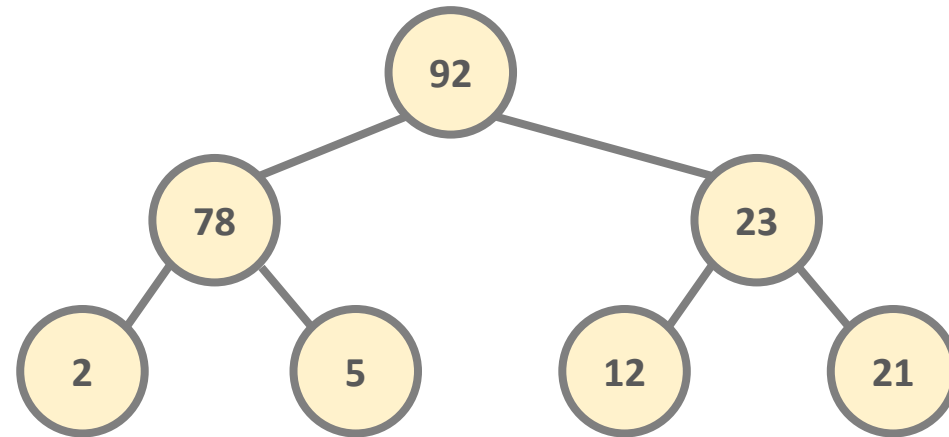
# Building a Max Heap

INSERT(21)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

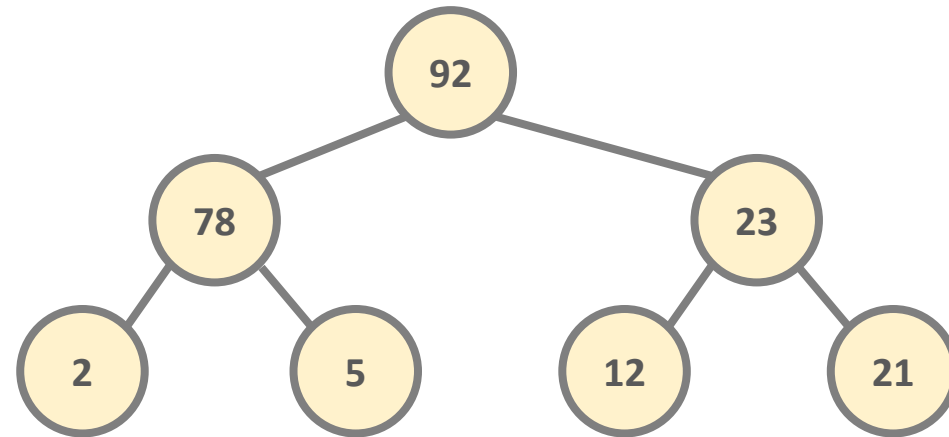
# Building a Max Heap



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Building a Max Heap

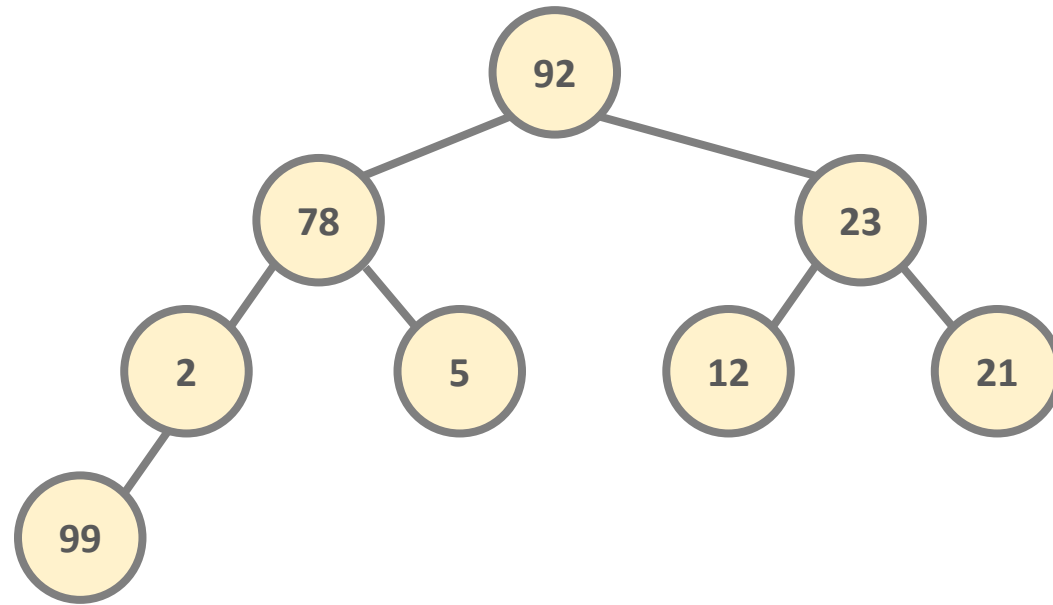
INSERT(99)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

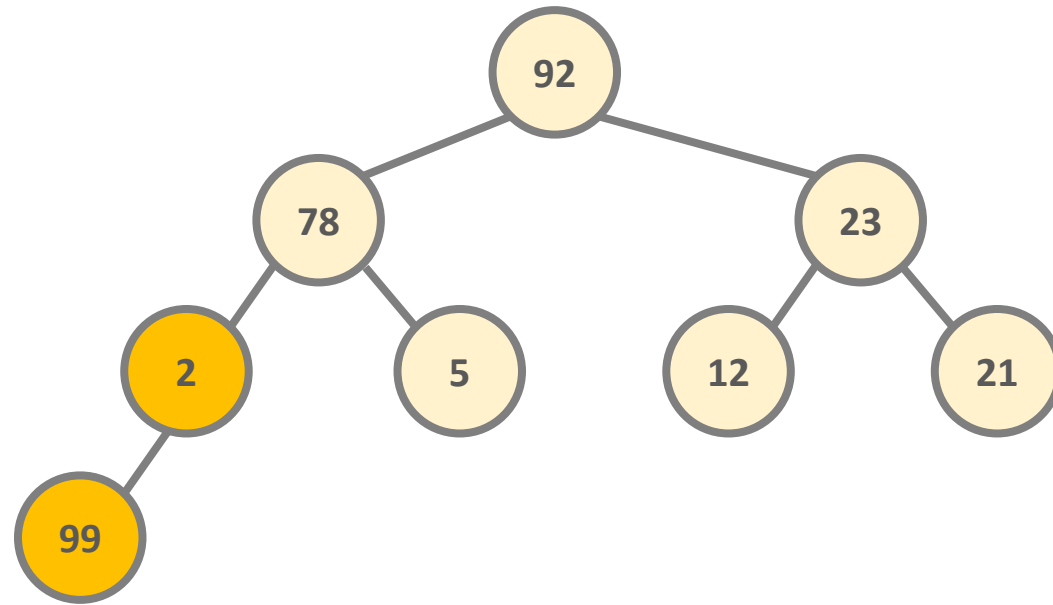
# Building a Max Heap

INSERT(99)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	99

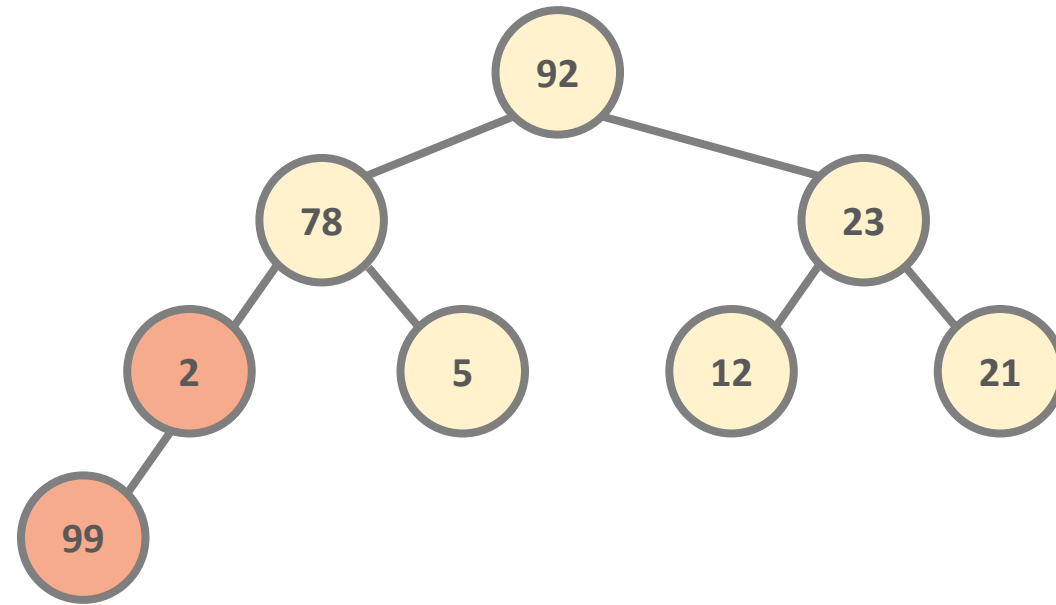
# Building a Max Heap



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	99

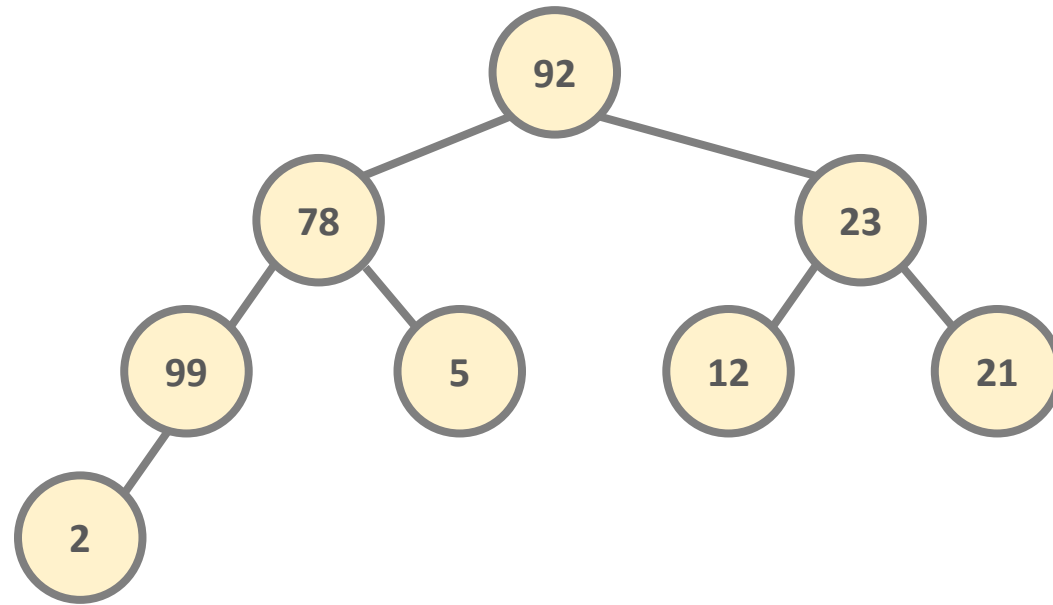


# Building a Max Heap



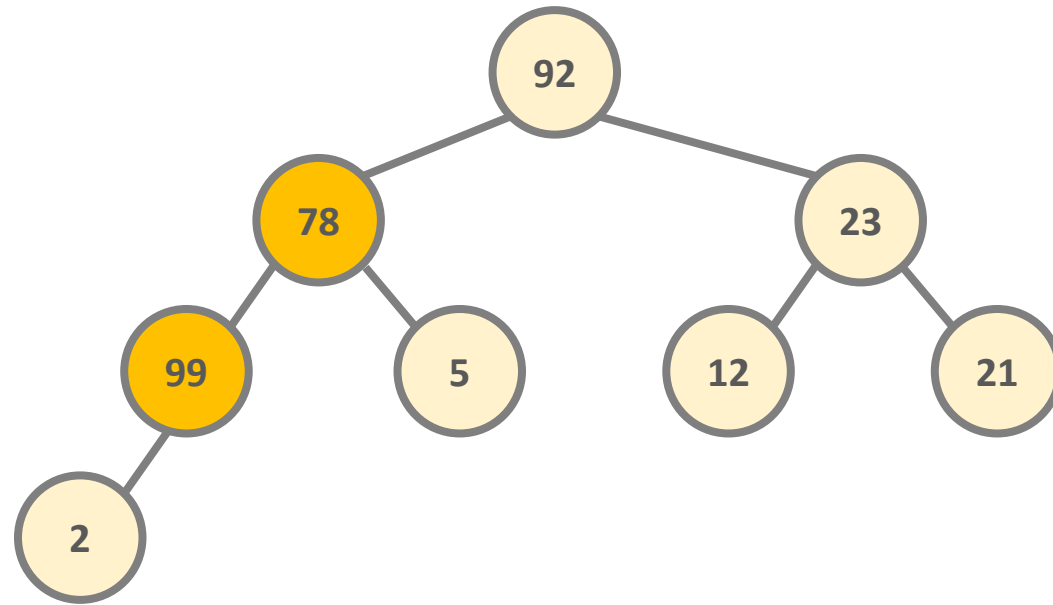
0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	99

# Building a Max Heap



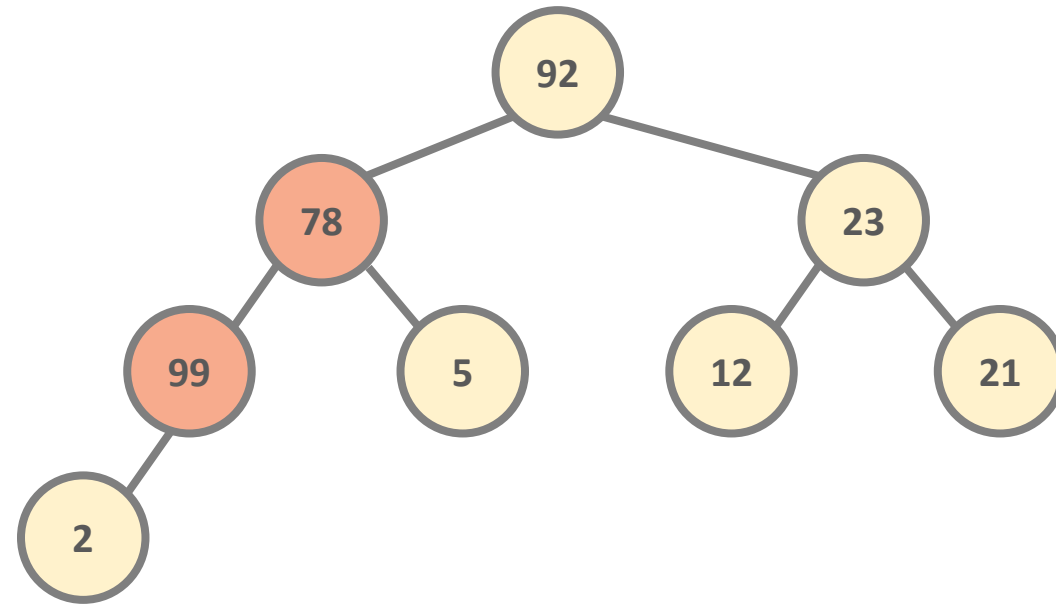
0	92
1	78
2	23
3	99
4	5
5	12
6	21
7	2

# Building a Max Heap



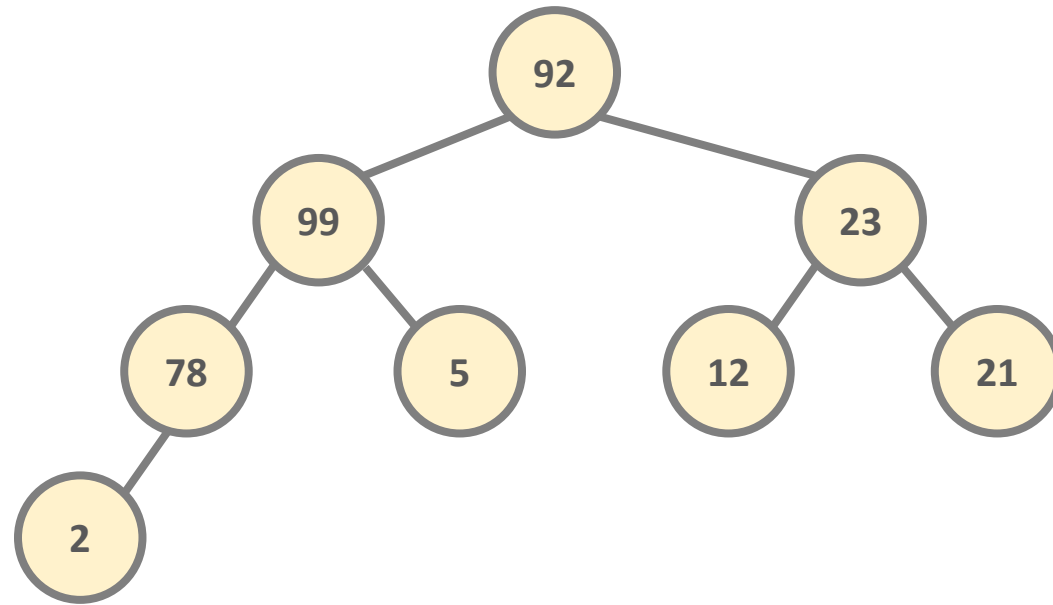
0	92
1	78
2	23
3	99
4	5
5	12
6	21
7	2

# Building a Max Heap



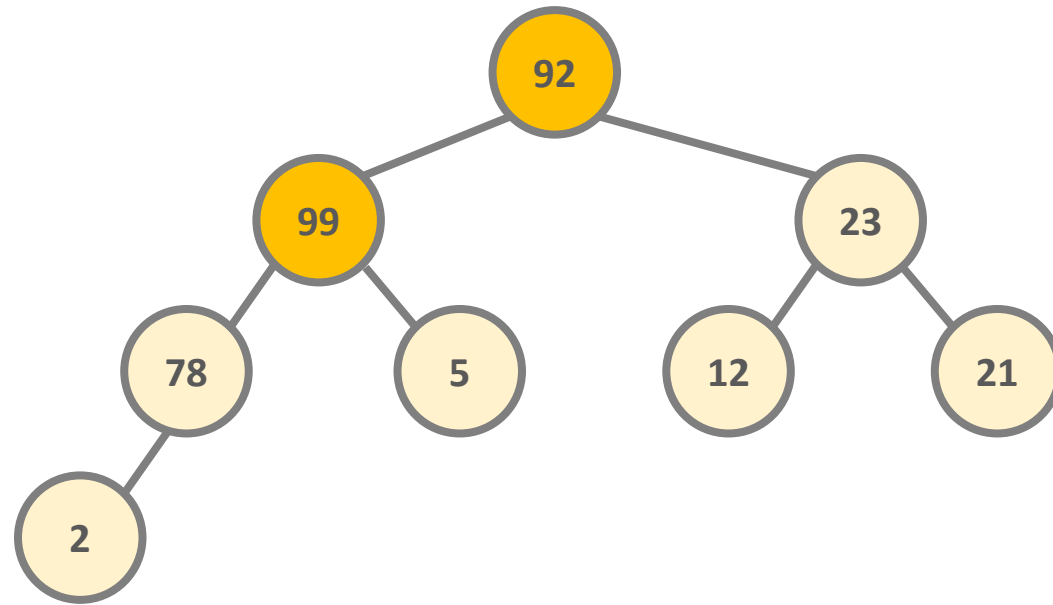
0	92
1	78
2	23
3	99
4	5
5	12
6	21
7	2

# Building a Max Heap



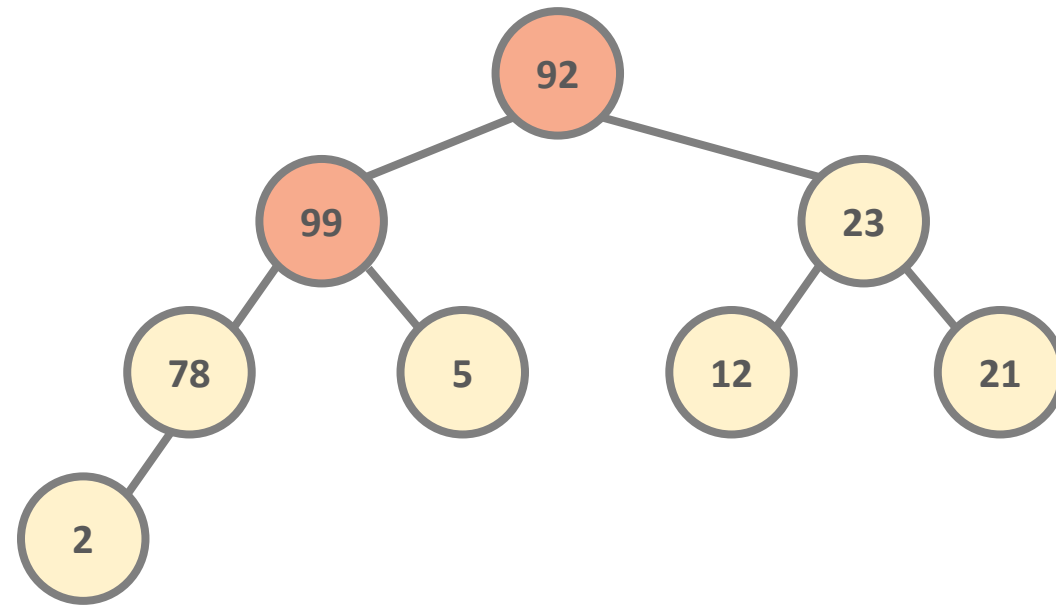
0	92
1	99
2	23
3	78
4	5
5	12
6	21
7	2

# Building a Max Heap



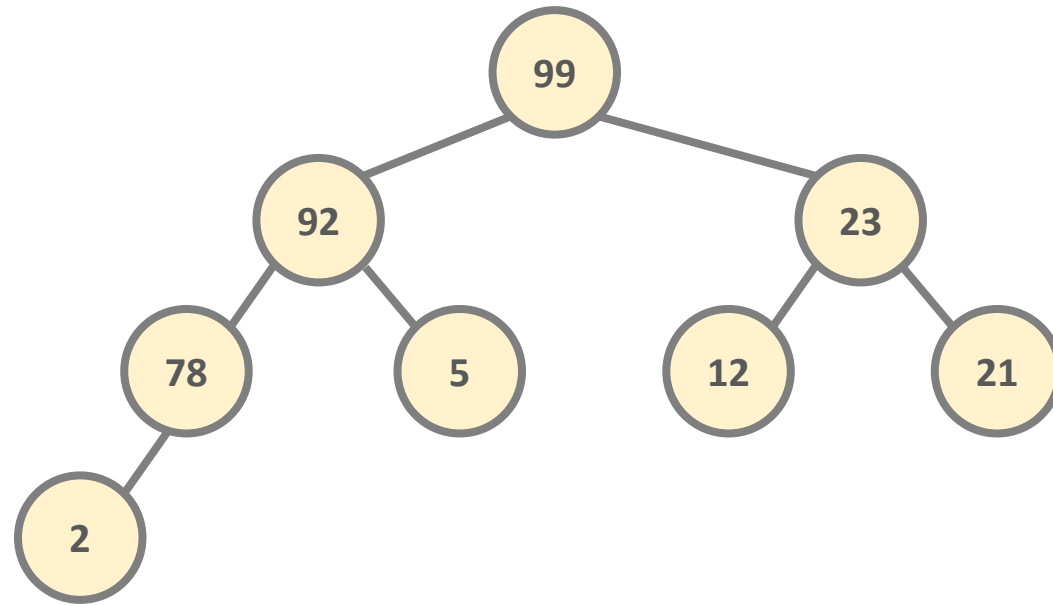
0	92
1	99
2	23
3	78
4	5
5	12
6	21
7	2

# Building a Max Heap



0	92
1	99
2	23
3	78
4	5
5	12
6	21
7	2

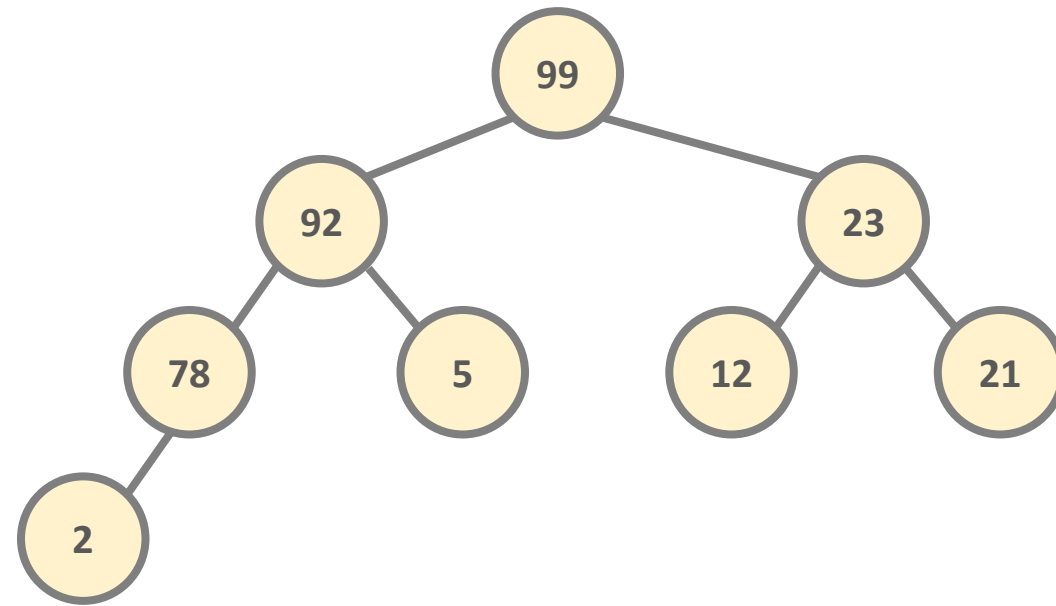
# Building a Max Heap



0	99
1	92
2	23
3	78
4	5
5	12
6	21
7	2



# Building a Max Heap

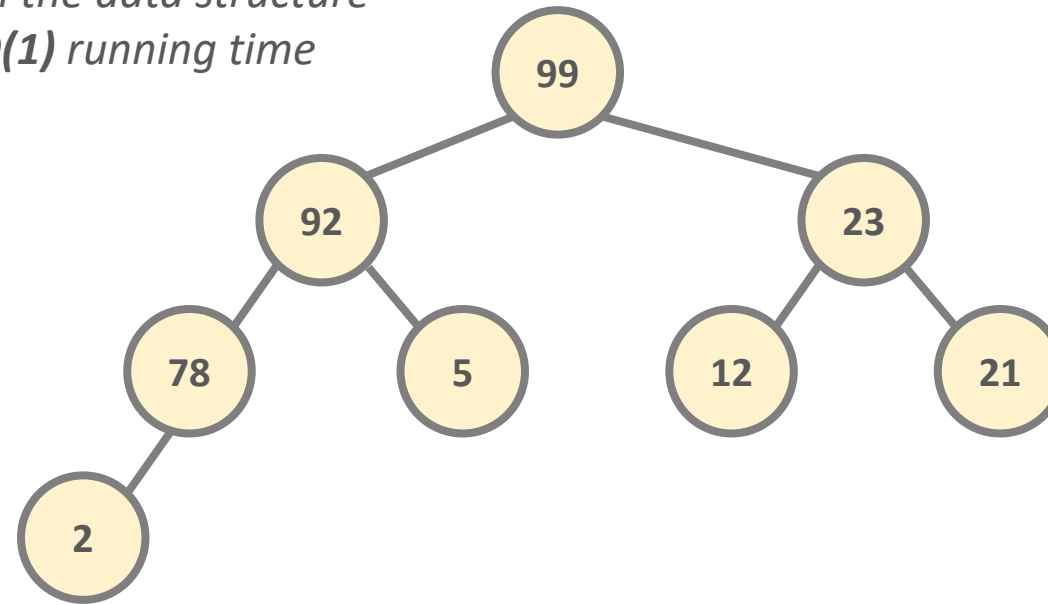


0	99
1	92
2	23
3	78
4	5
5	12
6	21
7	2

WE CAN GET THE MAX (MIN) ITEM IN  $O(1)$  RUNNING TIME  
- of course after that we have to rearrange the tree -

# Removing Max (Min) Item

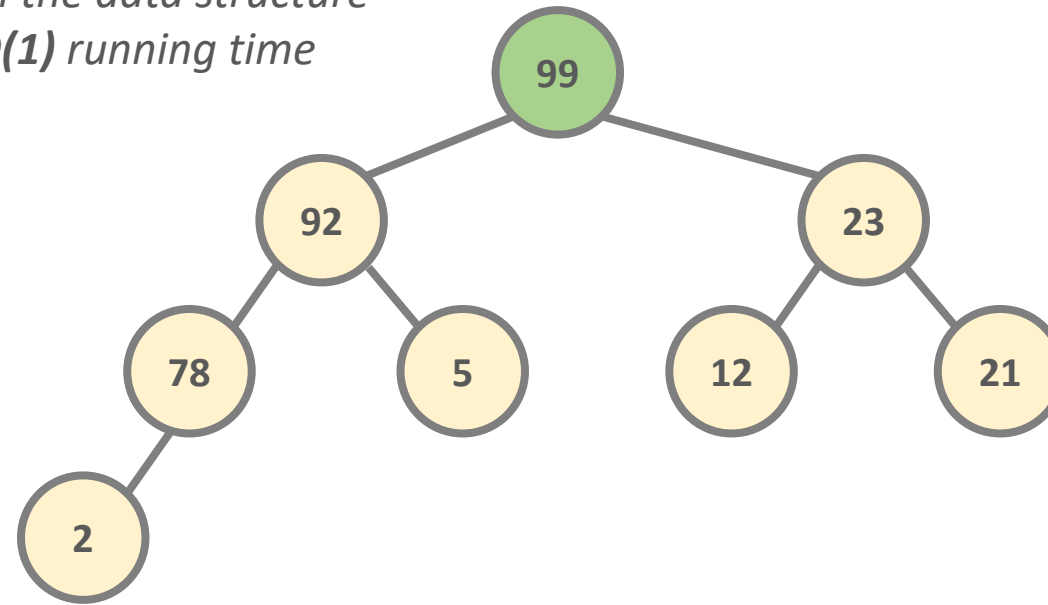
*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



0	99
1	92
2	23
3	78
4	5
5	12
6	21
7	2

# Removing Max (Min) Item

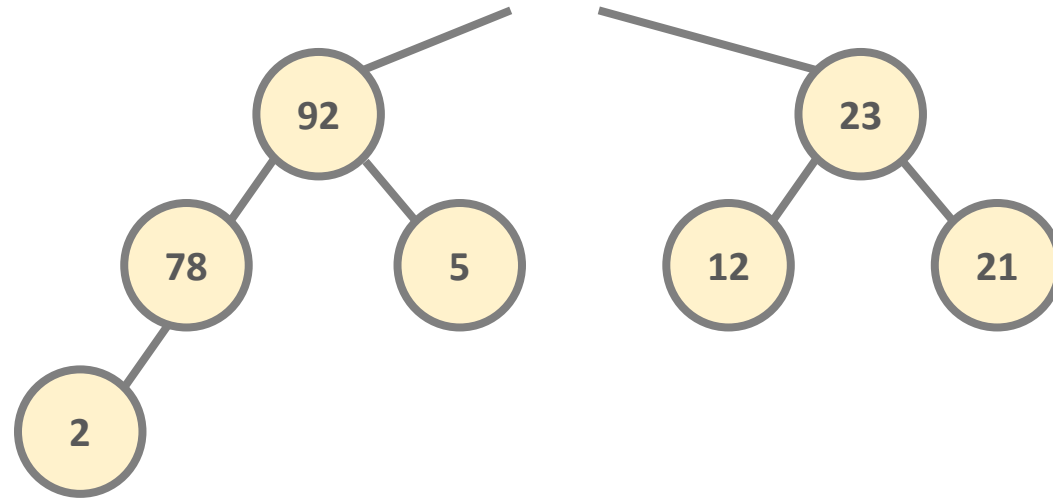
*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



0	99
1	92
2	23
3	78
4	5
5	12
6	21
7	2

# Removing Max (Min) Item

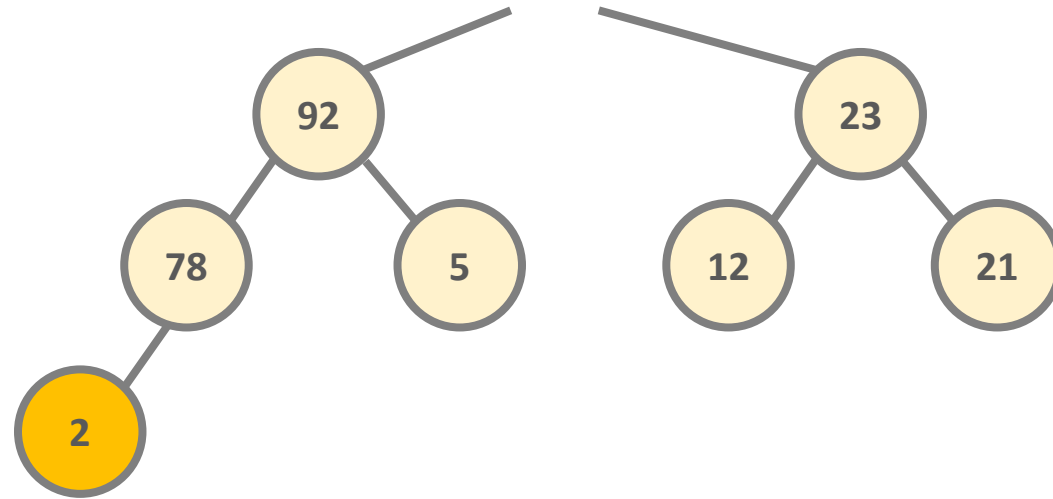
*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



0	
1	92
2	23
3	78
4	5
5	12
6	21
7	2

# Removing Max (Min) Item

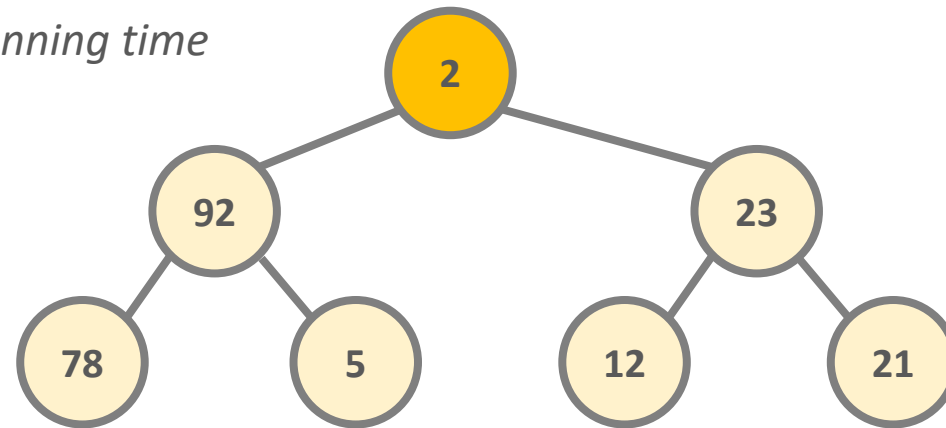
*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



0	
1	92
2	23
3	78
4	5
5	12
6	21
7	2

# Removing Max (Min) Item

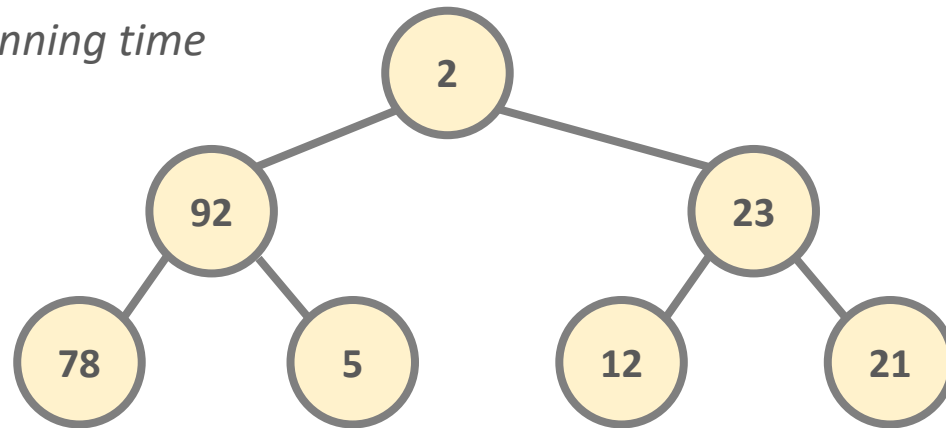
*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



0	2
1	92
2	23
3	78
4	5
5	12
6	21
7	2

# Removing Max (Min) Item

*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



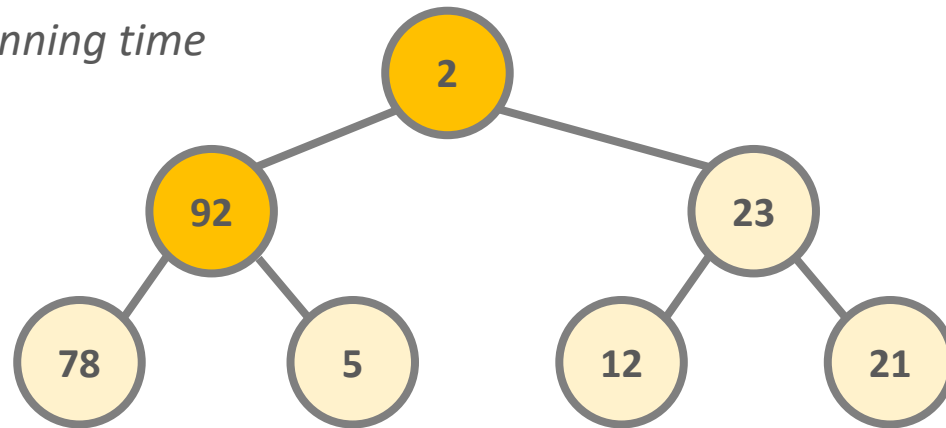
*we have to check starting with the root node  
to the leaf nodes whether to swap the items  
in order to verify the **heap properties***

**HEAPIFY OPERATION**

0	2
1	92
2	23
3	78
4	5
5	12
6	21
7	

# Removing Max (Min) Item

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we can get it in  **$O(1)$**  running time*



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to the leaf nodes whether to swap the items  
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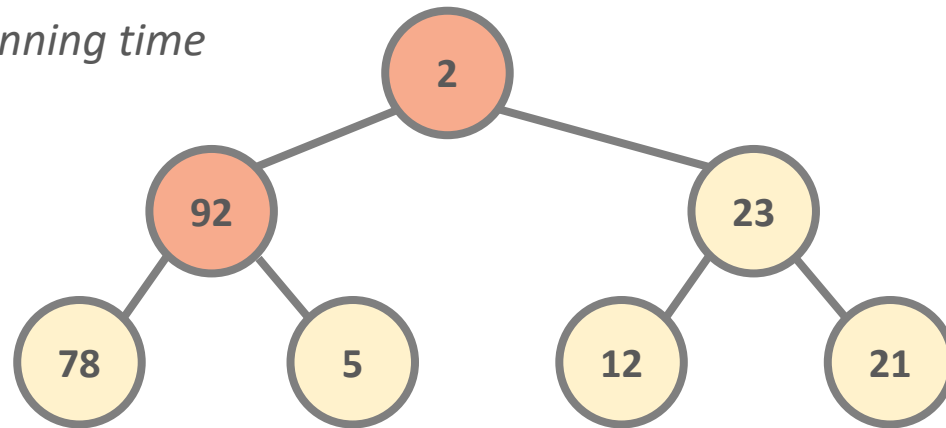
**HEAPIFY OPERATION**

0	2
1	92
2	23
3	78
4	5
5	12
6	21
7	



# Removing Max (Min) Item

*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



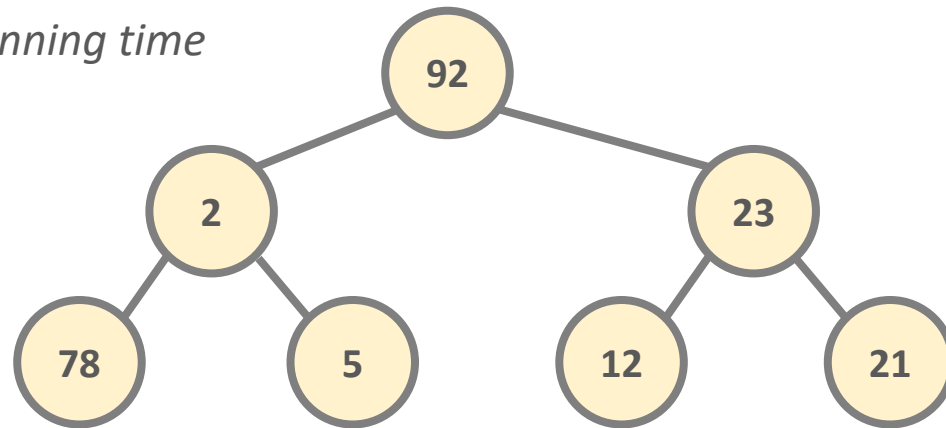
*we have to check starting with the root node  
to the leaf nodes whether to swap the items  
in order to verify the **heap properties***

**HEAPIFY OPERATION**

0	2
1	92
2	23
3	78
4	5
5	12
6	21
7	

# Removing Max (Min) Item

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is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



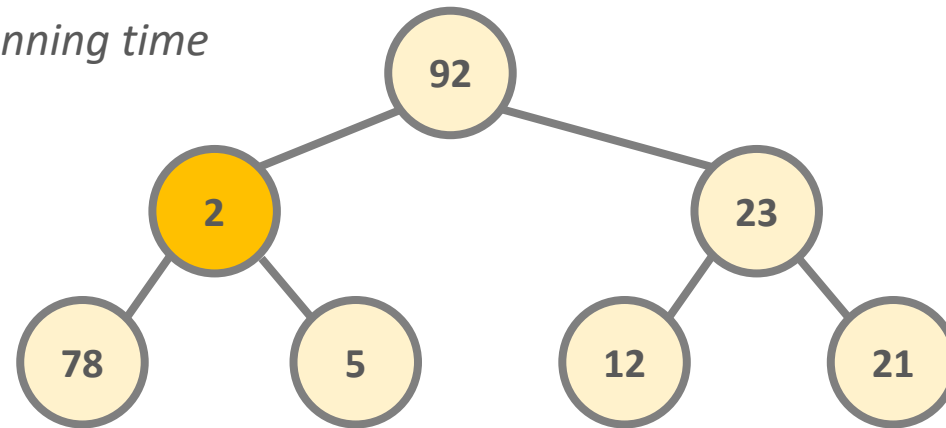
*we have to check starting with the root node  
to the leaf nodes whether to swap the items  
in order to verify the **heap properties***

**HEAPIFY OPERATION**

0	92
1	2
2	23
3	78
4	5
5	12
6	21
7	

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is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



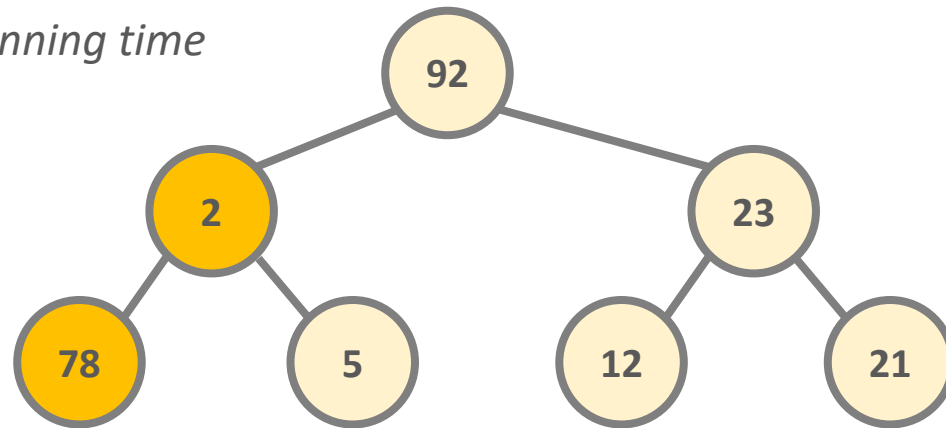
*we have to check starting with the root node  
to the leaf nodes whether to swap the items  
in order to verify the **heap properties***

**HEAPIFY OPERATION**

0	92
1	2
2	23
3	78
4	5
5	12
6	21
7	

# Removing Max (Min) Item

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is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



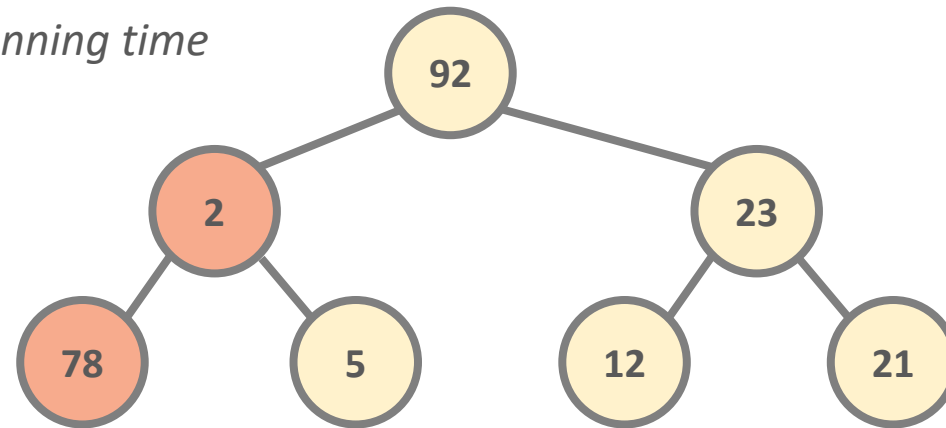
*we have to check starting with the root node  
to the leaf nodes whether to swap the items  
in order to verify the **heap properties***

**HEAPIFY OPERATION**

0	92
1	2
2	23
3	78
4	5
5	12
6	21
7	

# Removing Max (Min) Item

*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



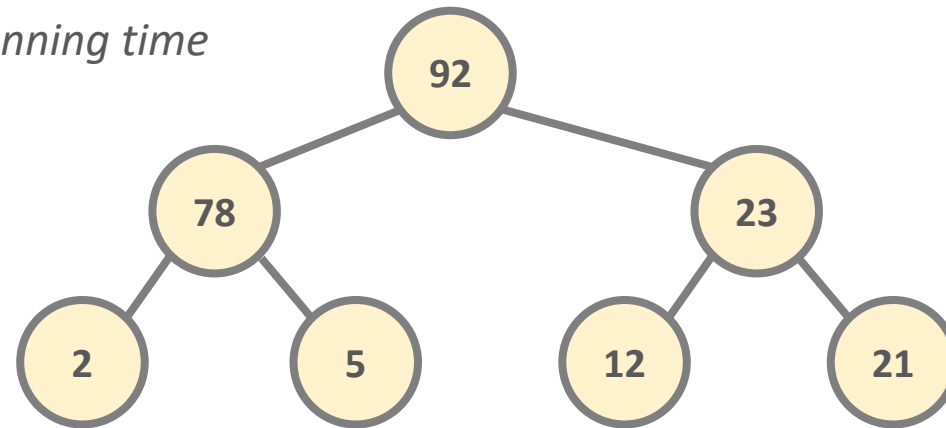
*we have to check starting with the root node  
to the leaf nodes whether to swap the items  
in order to verify the **heap properties***

**HEAPIFY OPERATION**

0	92
1	2
2	23
3	78
4	5
5	12
6	21
7	

# Removing Max (Min) Item

*the **root node** of a **max heap**  
is the **largest item** in the data structure  
we can get it in  **$O(1)$**  running time*



*we have to check starting with the root node  
to the leaf nodes whether to swap the items  
in order to verify the **heap properties***

**HEAPIFY OPERATION in  $O(\log N)$**

0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Heap Data Structure

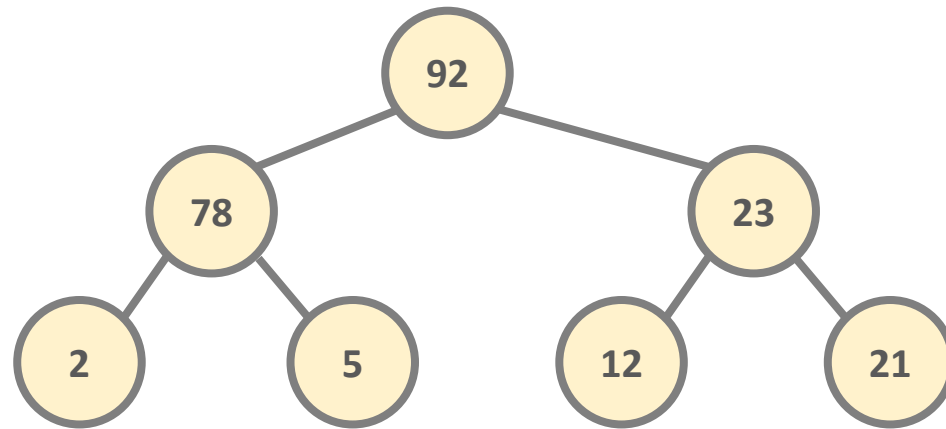
## (Algorithms and Data Structures)

# Heaps

- removing the **root node** (and usually this is the case) can be done in  $O(\log N)$  running time
- what if we want to remove an arbitrary item?
- first we have to find it in the array with  $O(N)$  linear search and then we can remove it in  $O(\log N)$
- **REMOVING AN ARBITRARY ITEM TAKES  $O(N)$  TIME**
- this is the same if we want to **find an item** in a heap
- heaps came to be to find and manipulate the **root node** (max or min item) in an efficient manner



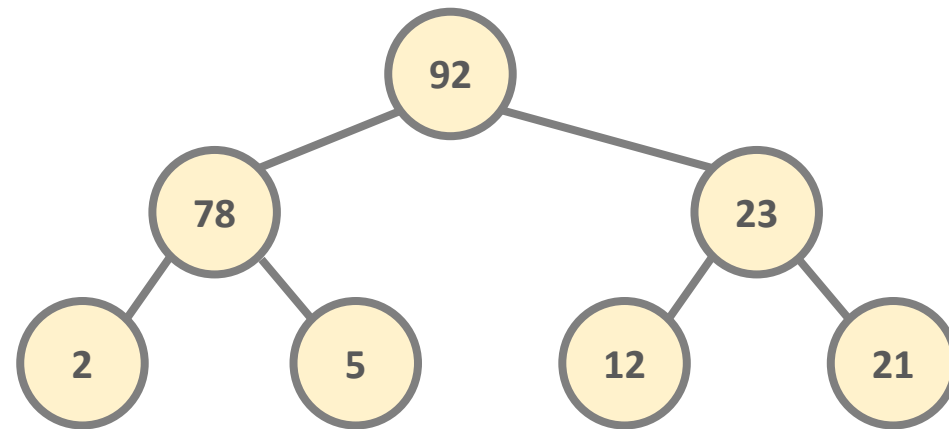
# Heaps



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Heaps

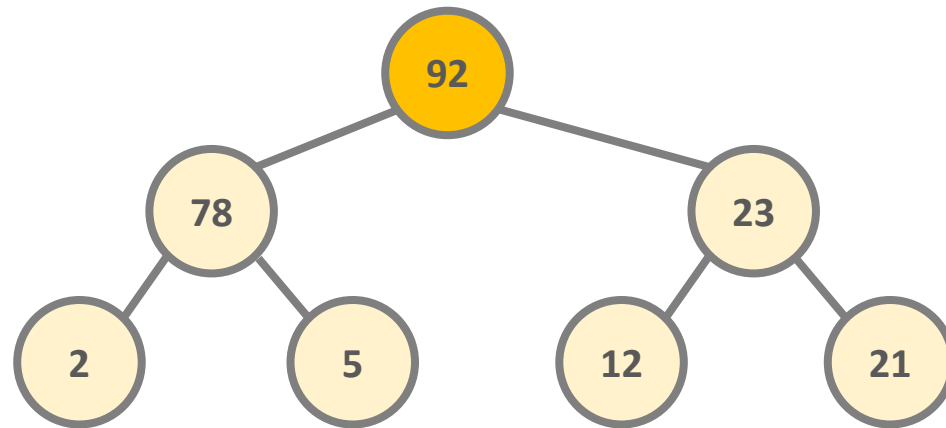
REMOVE(12)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Heaps

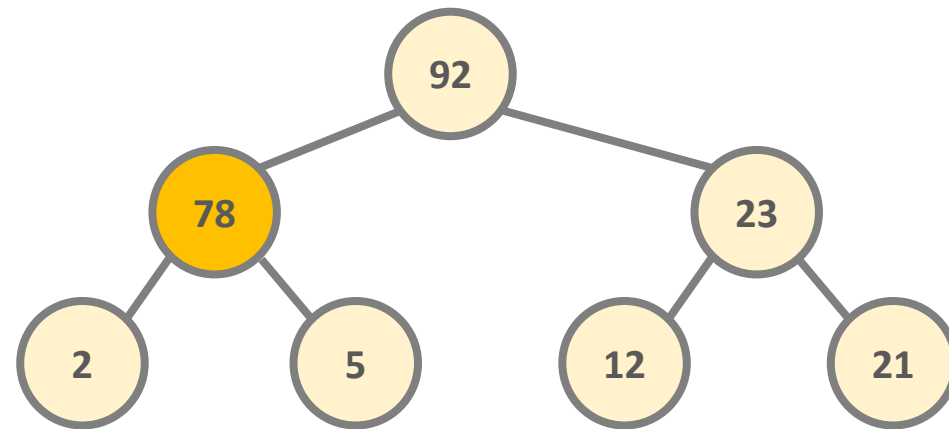
REMOVE(12)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Heaps

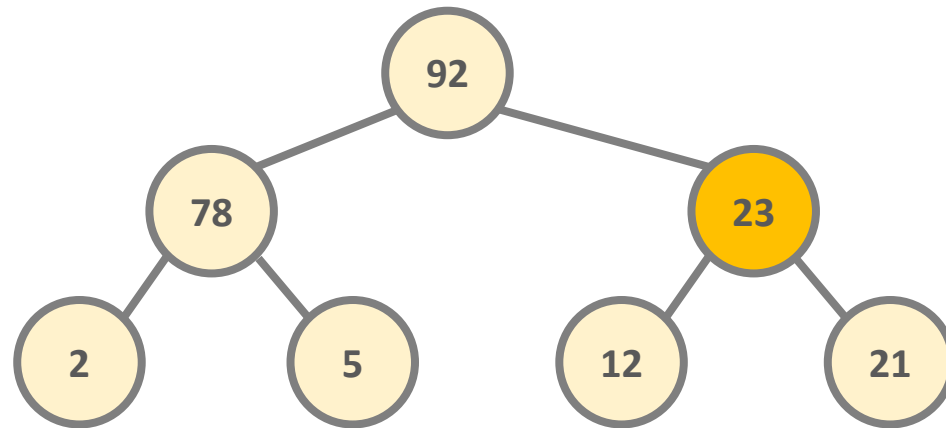
REMOVE(12)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Heaps

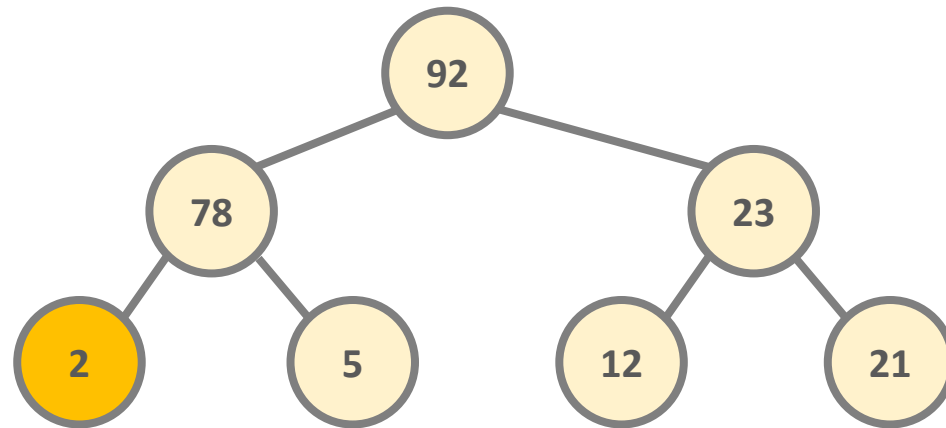
REMOVE(12)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Heaps

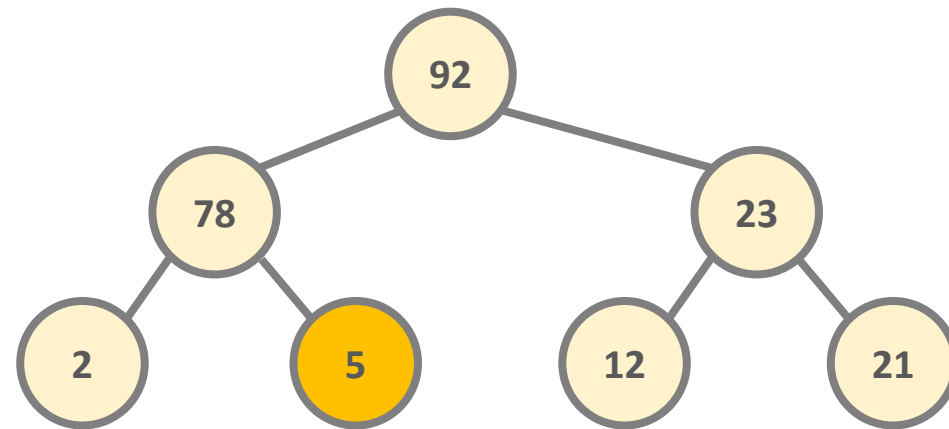
REMOVE(12)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Heaps

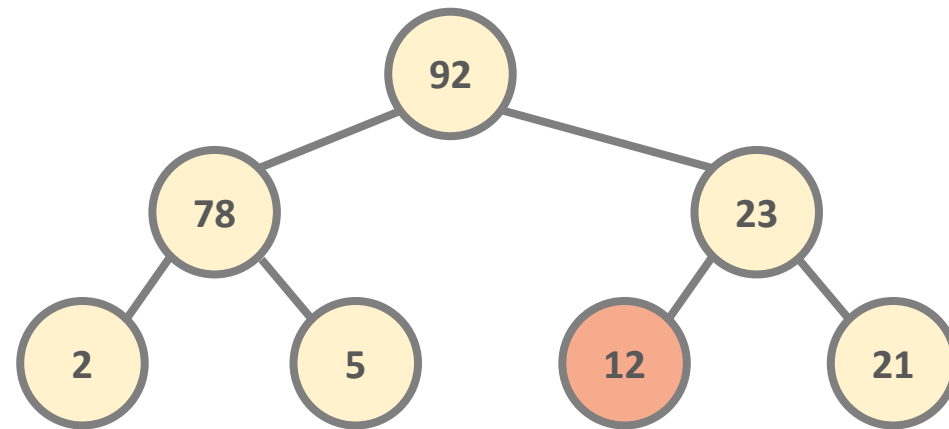
REMOVE(12)



0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	

# Heaps

REMOVE(12)

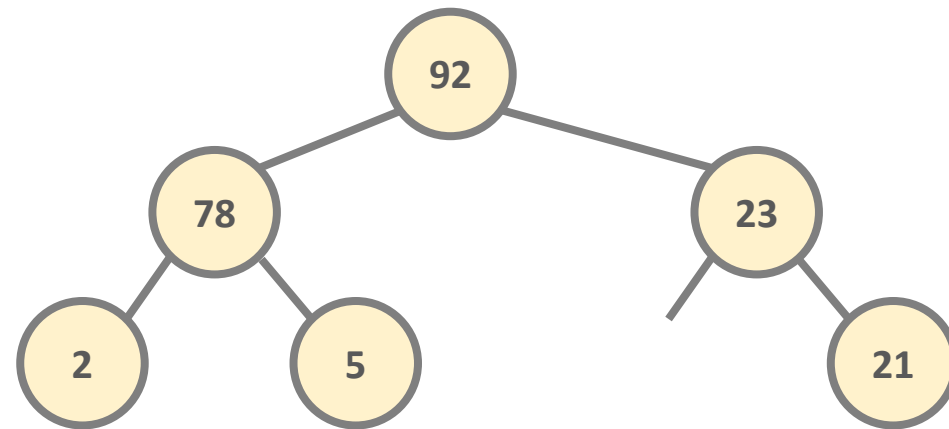


0	92
1	78
2	23
3	2
4	5
5	12
6	21
7	



# Heaps

REMOVE(12)

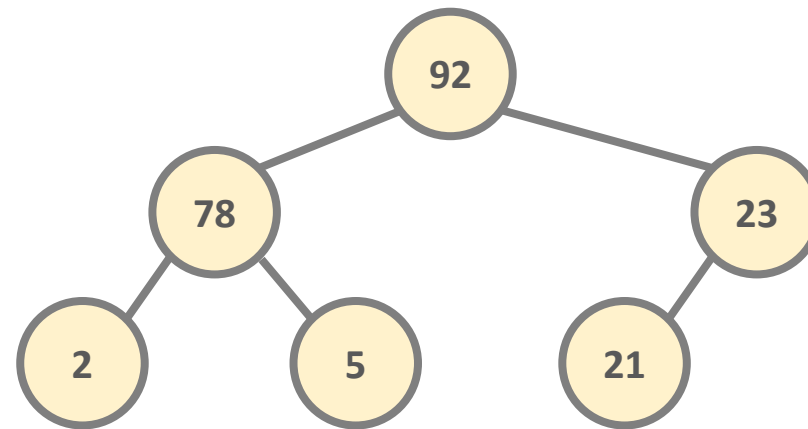


*there can not be a „**hole**” in the data structure and in these cases we use the last item in the **heap***

0	92
1	78
2	23
3	2
4	5
5	
6	21
7	

# Heaps

REMOVE(12)

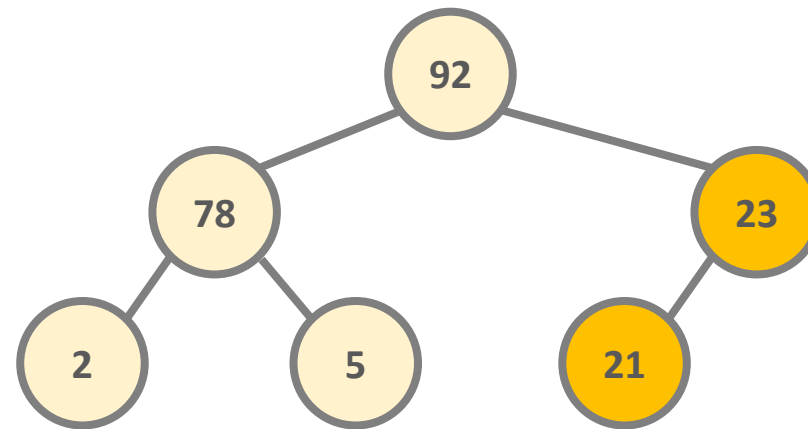


we have to check **recursively** up to the root node whether the **heap properties** are violated or not

0	92
1	78
2	23
3	2
4	5
5	21
6	
7	

# Heaps

REMOVE(12)

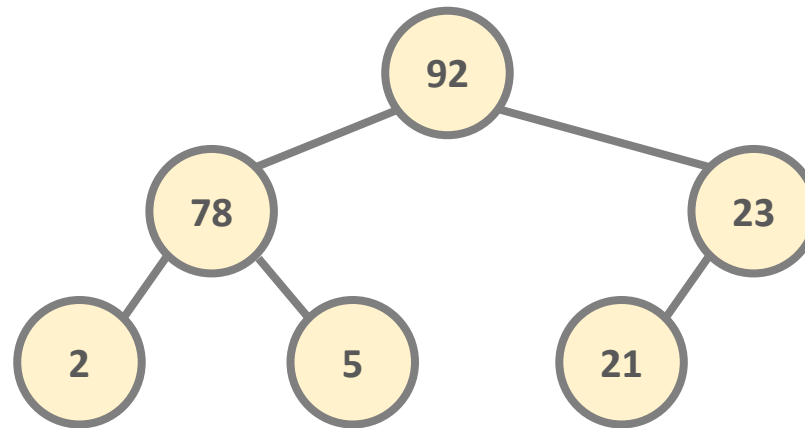


we have to check **recursively** up to the root node whether the **heap properties** are violated or not

0	92
1	78
2	23
3	2
4	5
5	21
6	
7	

# Heaps

REMOVE(12)



*REMOVING AN ITEM:  $O(N) + O(\log N) = O(N)$*

0	92
1	78
2	23
3	2
4	5
5	21
6	
7	

# Heapsort

(Algorithms and Data Structures)

# Heapsort

- it was constructed back in **1964** by **J. W. J. Williams**
- **heapsort** is a comparison-based sorting algorithm
- uses **heap** data structure rather than a linear-time search to find the maximum
- it is a bit **slower in practice** on most machines than a well-implemented quicksort
- but it has the advantage of a more favorable  **$O(N \log N)$**  worst-case running time complexity

# Heapsort

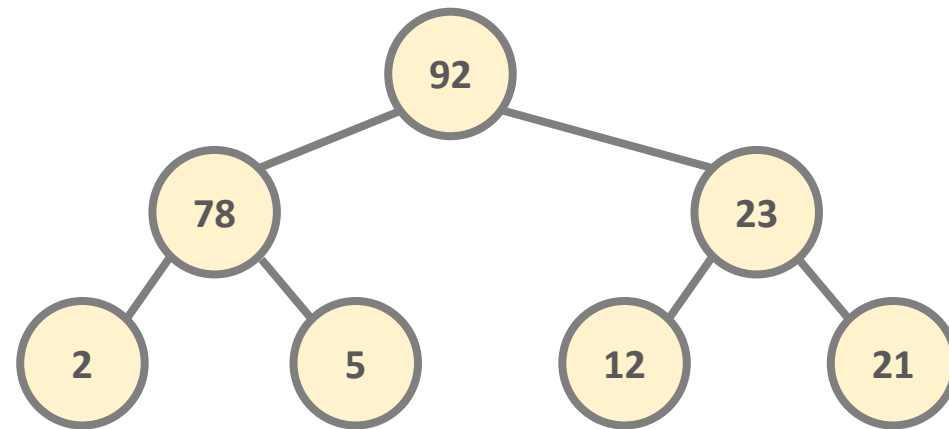
- **heapsort** is an in-place algorithm
- **DOES NOT NEED ADDITIONAL MEMORY** – of course we have to store the **N** items
- but it is **not a stable sort** – which means it does not keep the relative order of items with same values
- first we have to construct the heap data structure from the numbers we want to sort
- we have to consider the items one by one in  **$O(N)$**  and we have to insert them into the heap in  **$O(\log N)$**  so the total running time will be  **$O(N \log N)$**

# Heapsort

- 1.) we take the **root node** (include it in the solution set) and swap it with the last item
- 2.) do **heapify** starting with the root node because the heap properties may be violated
- 3.) we do it **N** times (for all the items in the data structure)

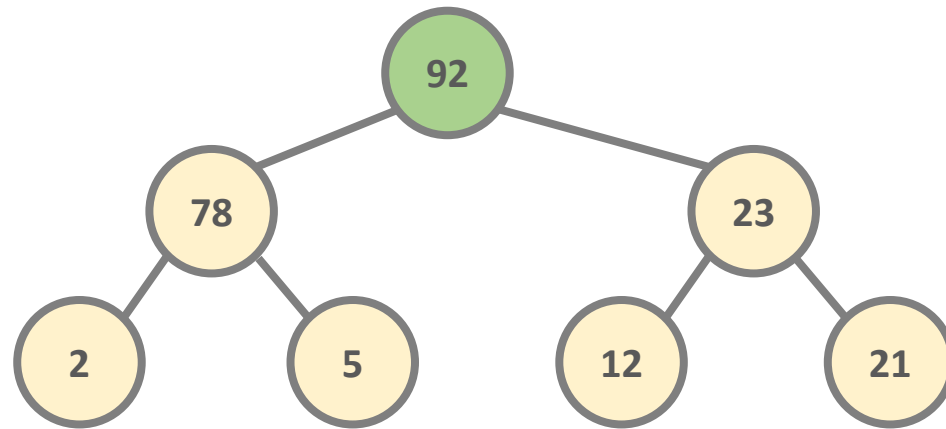


# Heapsort



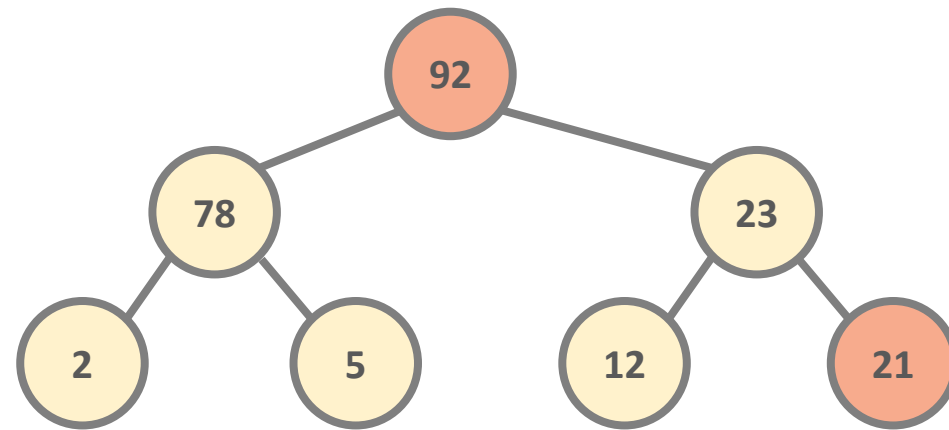
[]

# Heapsort



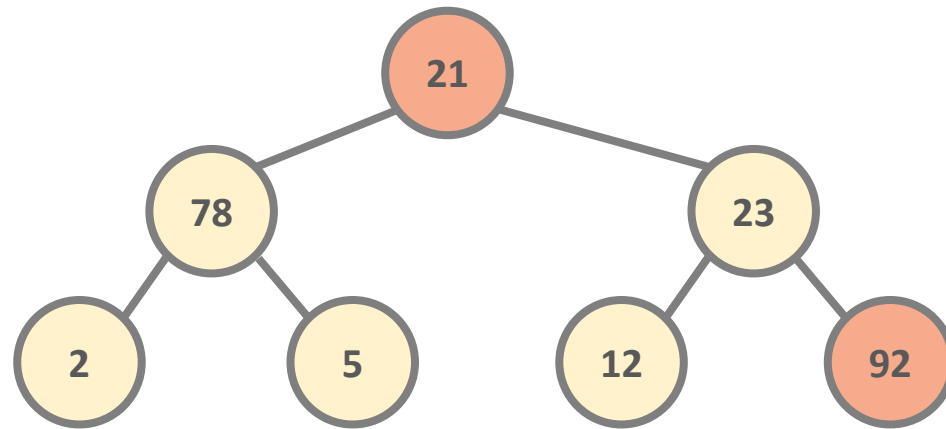
[92]

# Heapsort



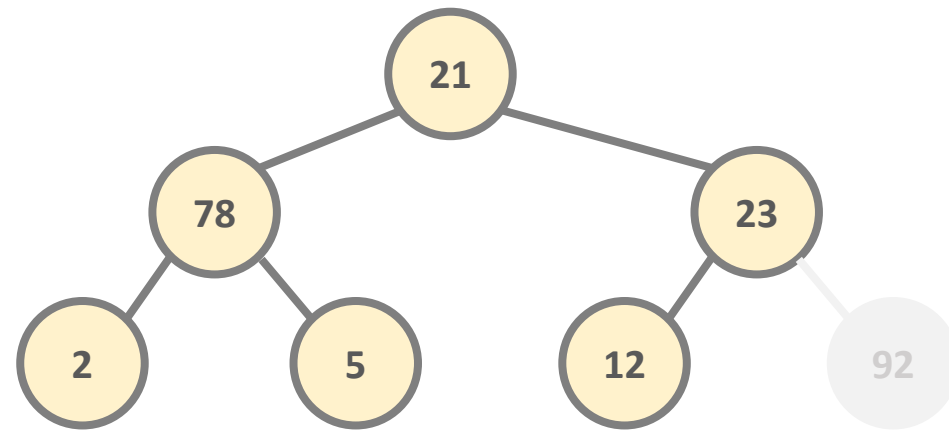
[92]

# Heapsort



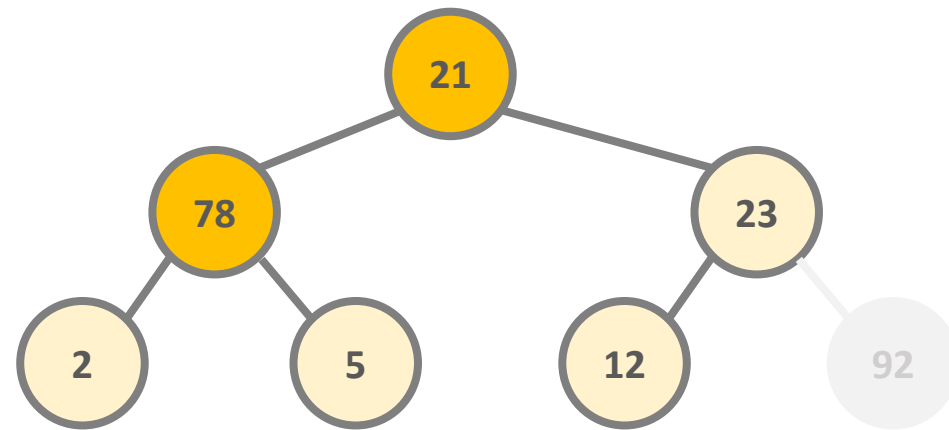
[92]

# Heapsort



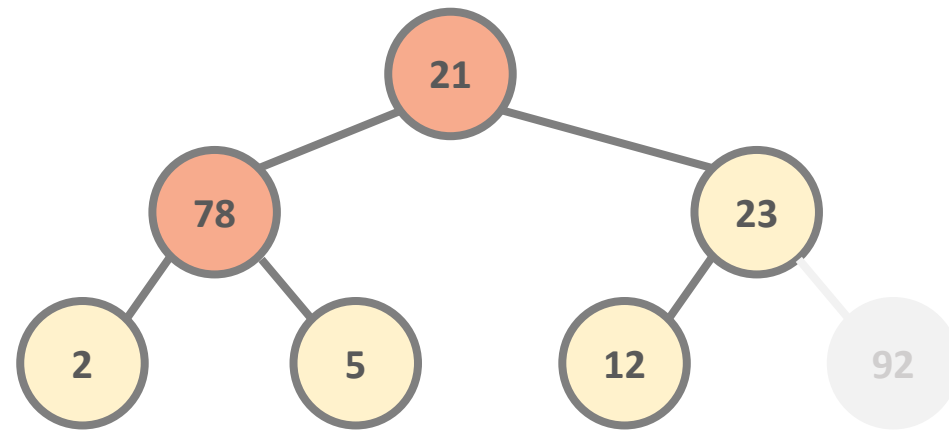
[92]

# Heapsort



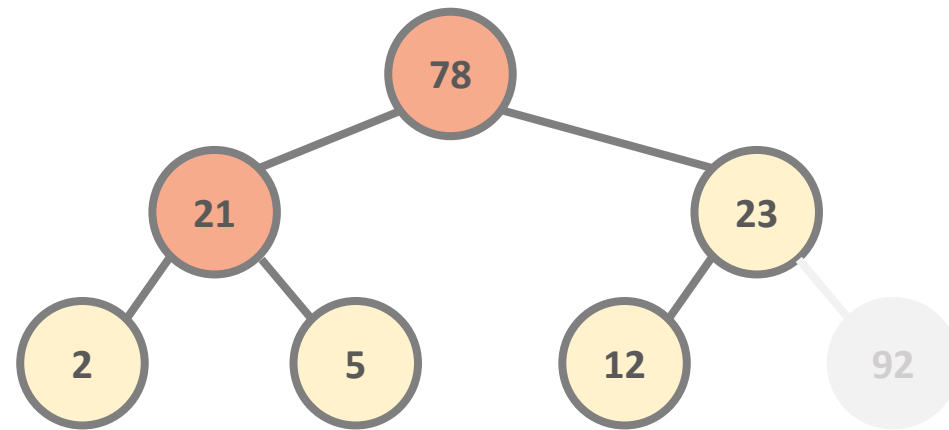
[92]

# Heapsort



[92]

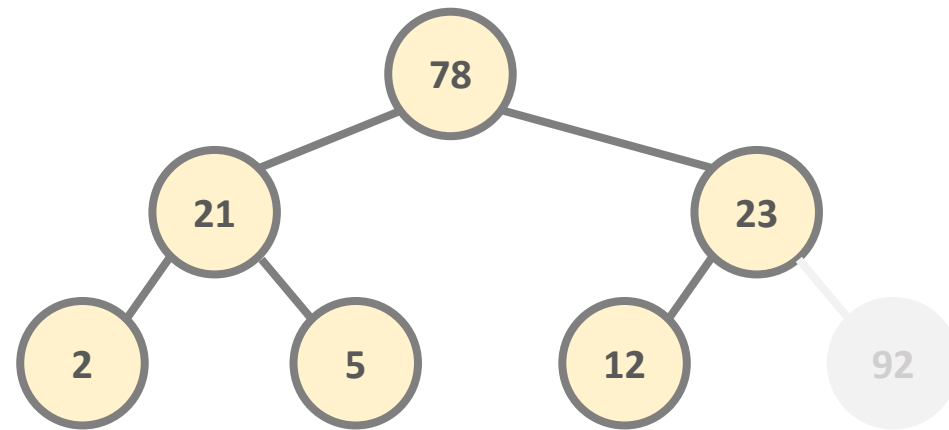
# Heapsort



[92]

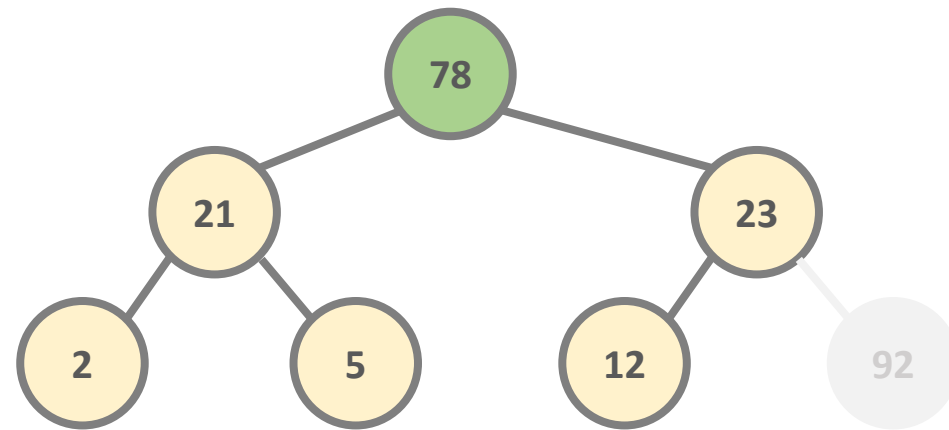


# Heapsort



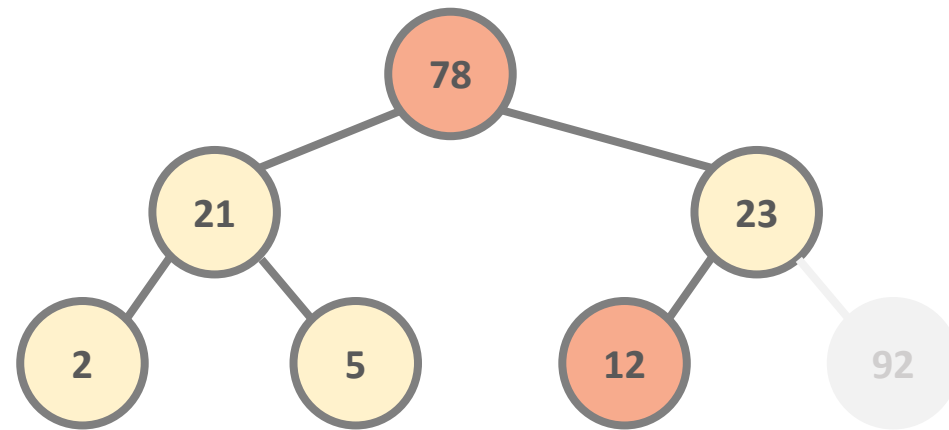
[92]

# Heapsort



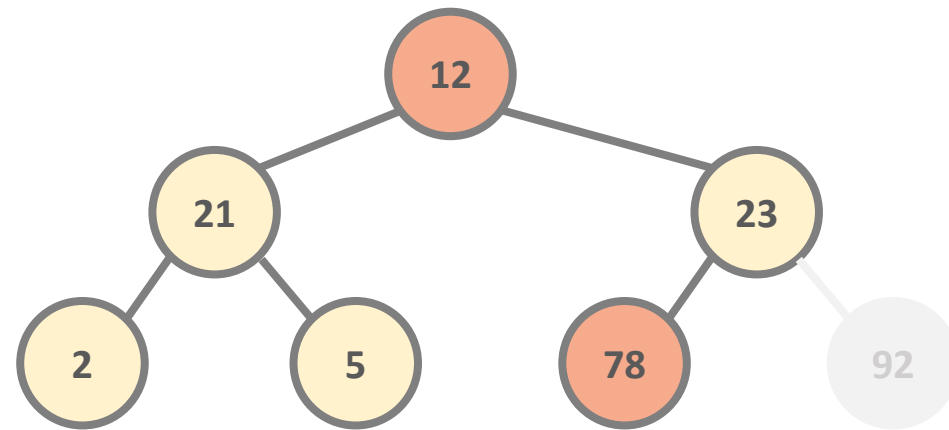
[92, 78]

# Heapsort



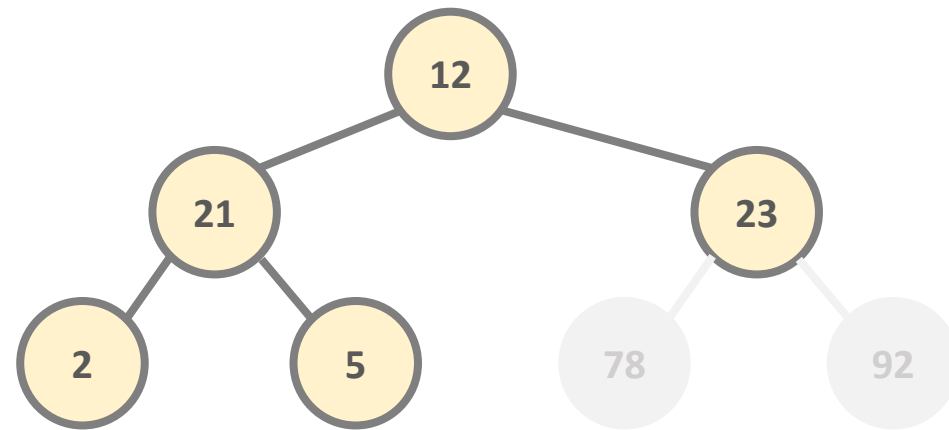
[92, 78]

# Heapsort



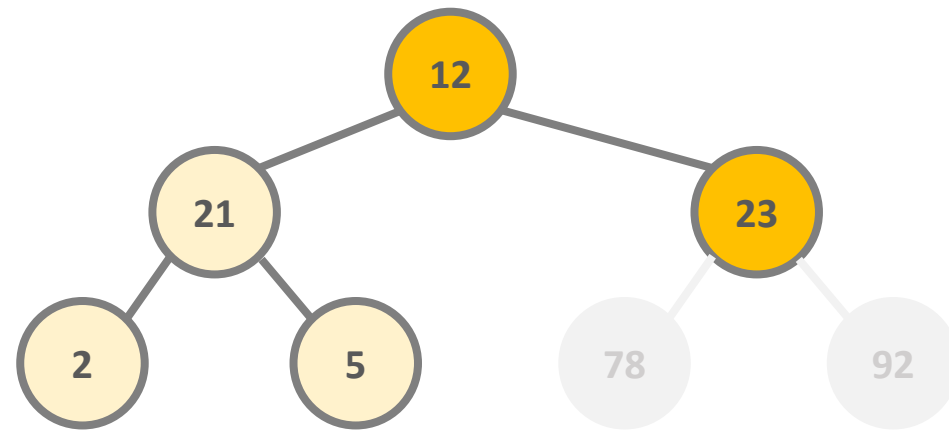
[92, 78]

# Heapsort



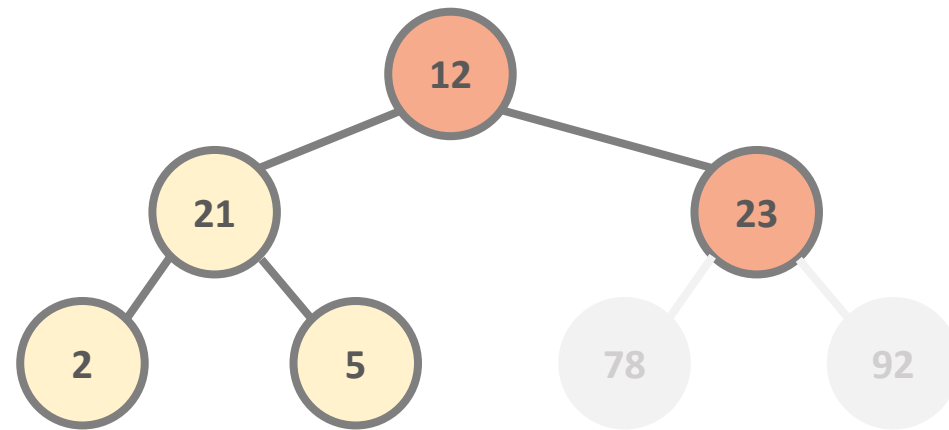
**[92, 78]**

# Heapsort



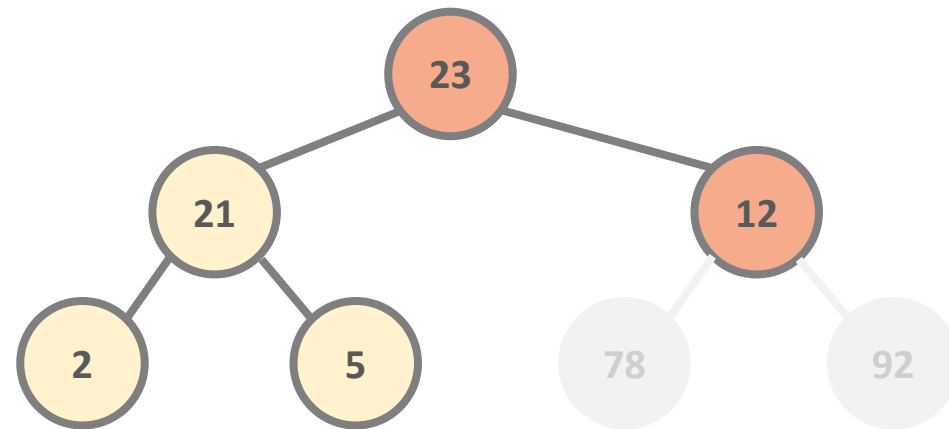
[92, 78]

# Heapsort



**[92, 78]**

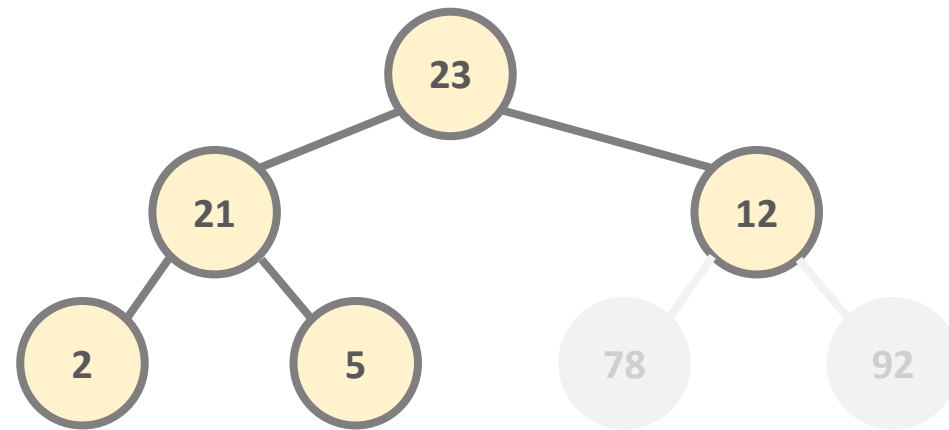
# Heapsort



[92, 78]

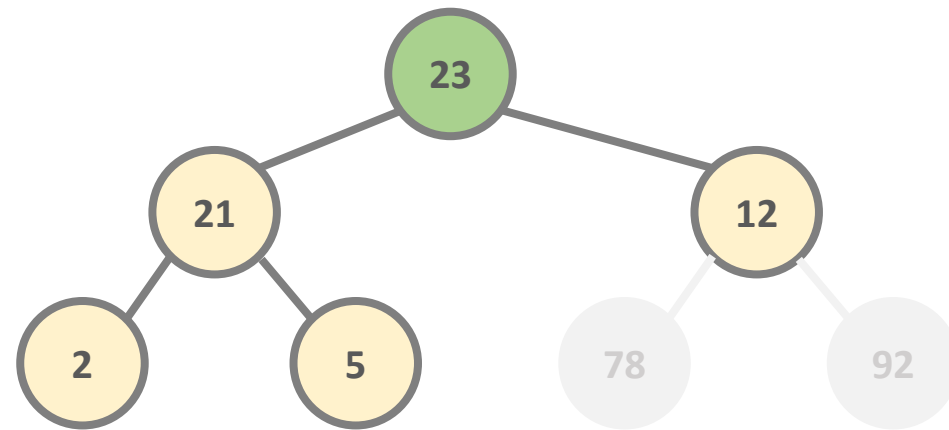


# Heapsort



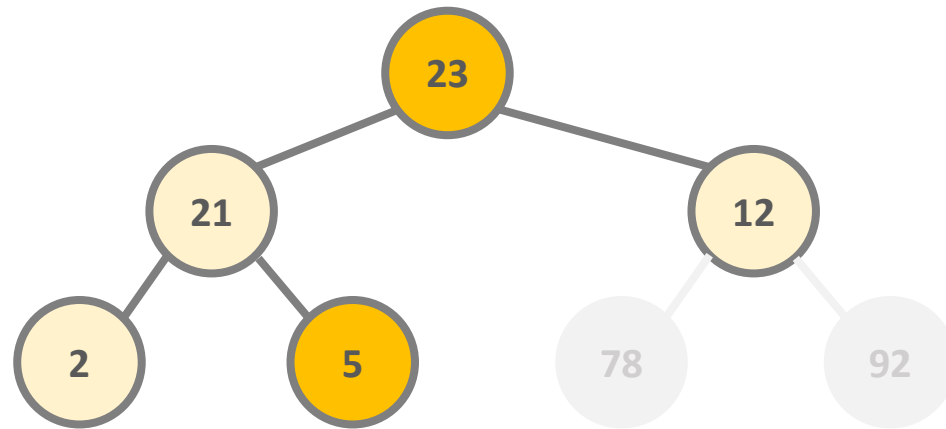
[92, 78]

# Heapsort



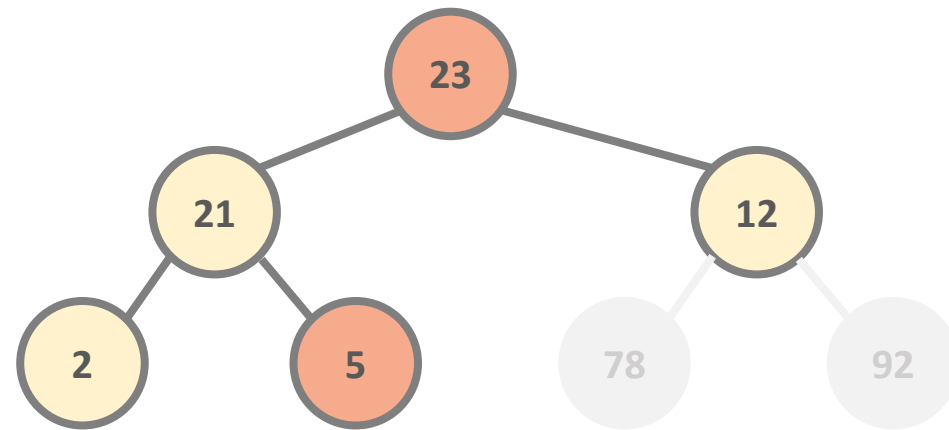
**[92, 78, 23]**

# Heapsort



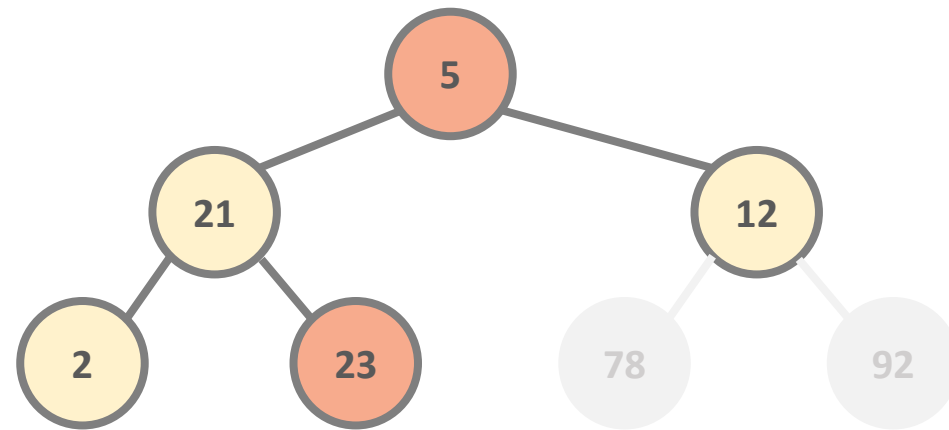
**[92, 78, 23]**

# Heapsort



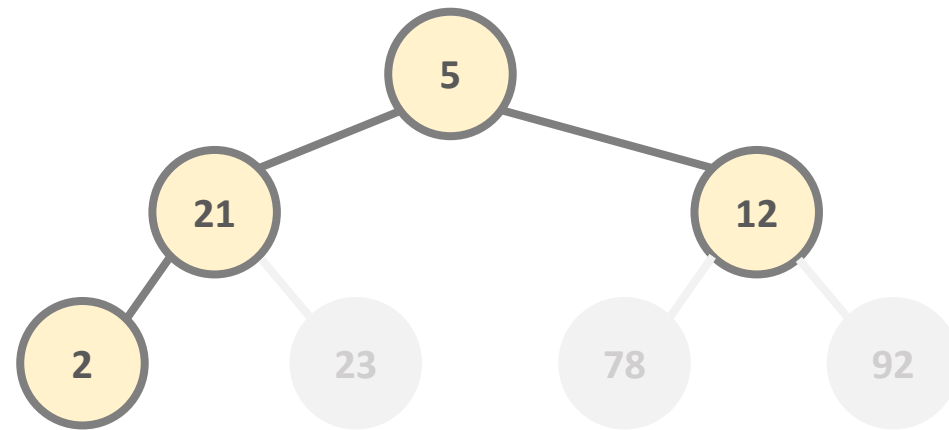
**[92, 78, 23]**

# Heapsort



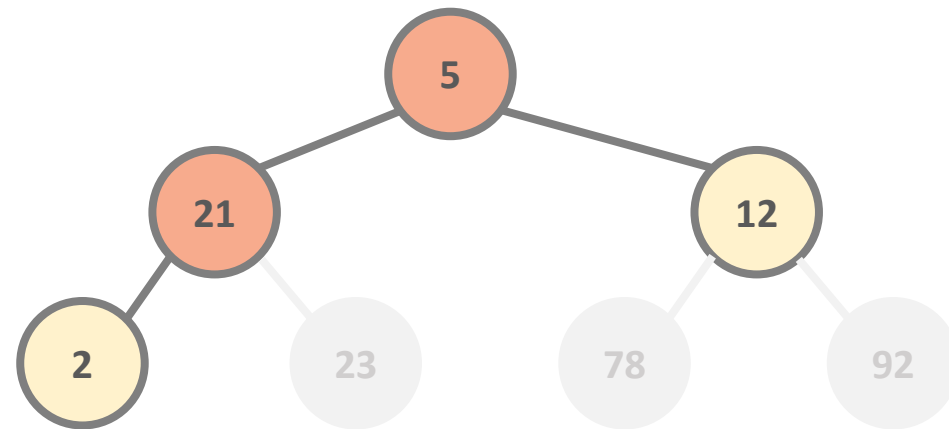
**[92, 78, 23]**

# Heapsort



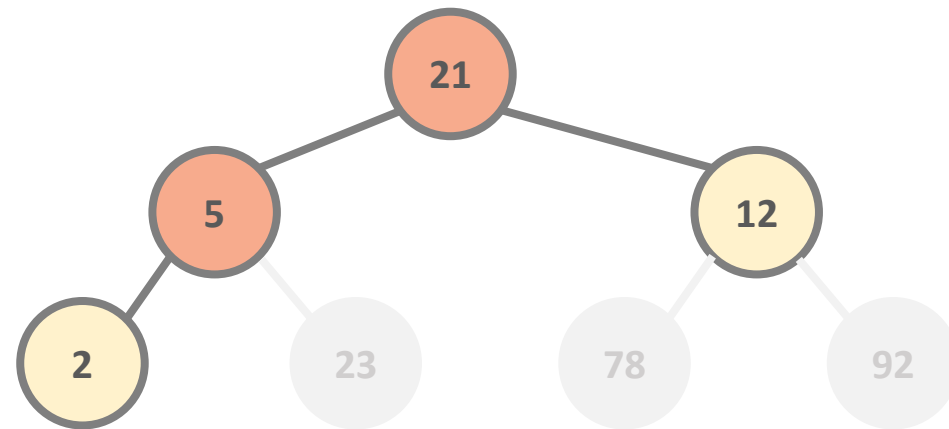
**[92, 78, 23]**

# Heapsort



**[92, 78, 23]**

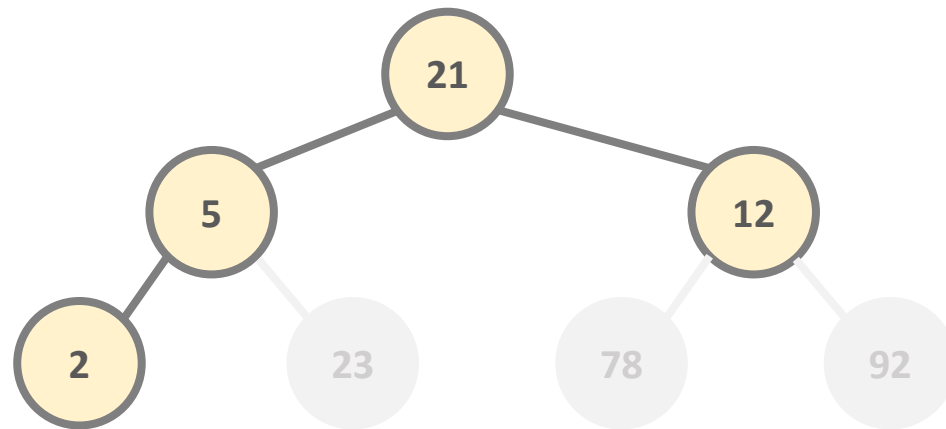
# Heapsort



**[92, 78, 23]**

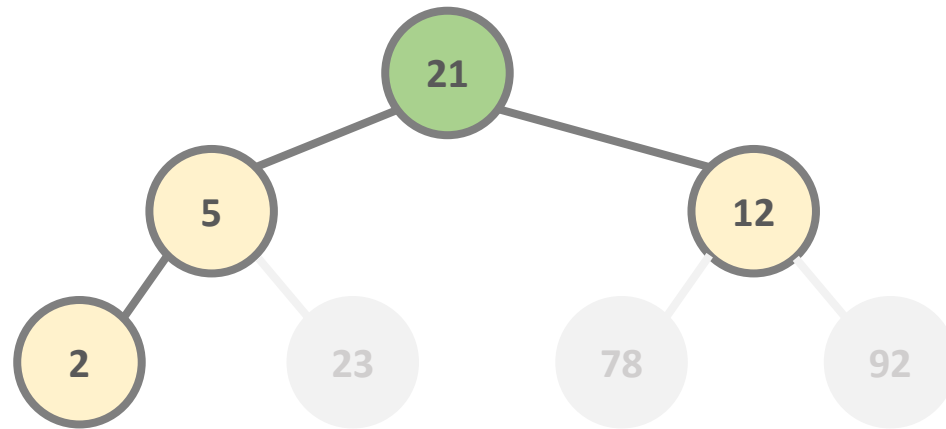


# Heapsort



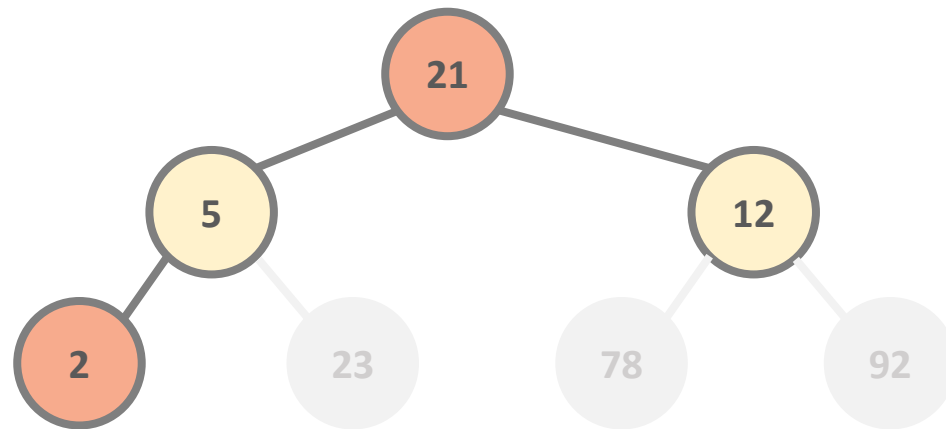
**[92, 78, 23]**

# Heapsort



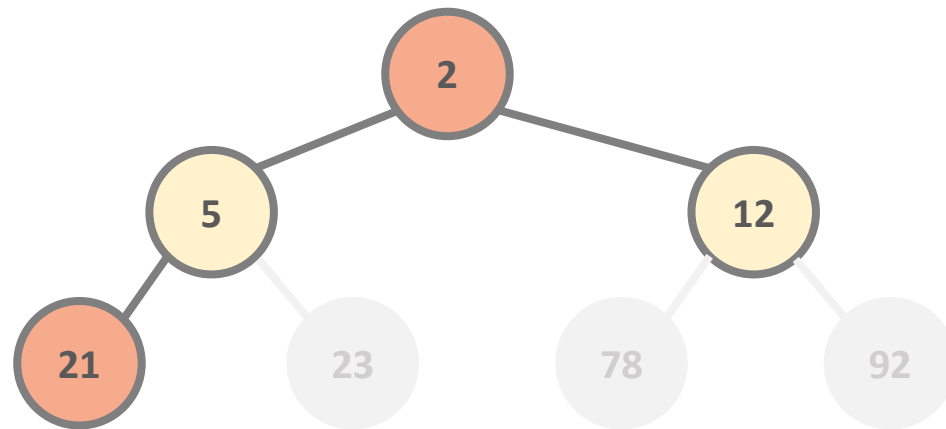
**[92, 78, 23, 21]**

# Heapsort



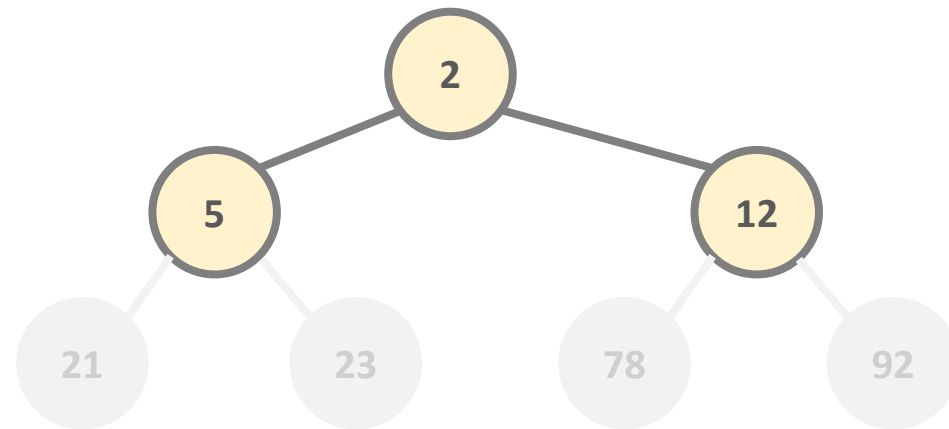
**[92, 78, 23, 21]**

# Heapsort



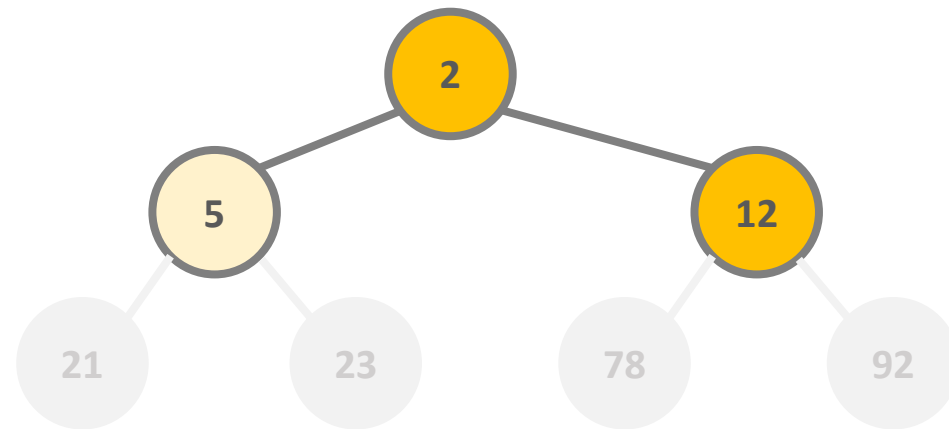
**[92, 78, 23, 21]**

# Heapsort



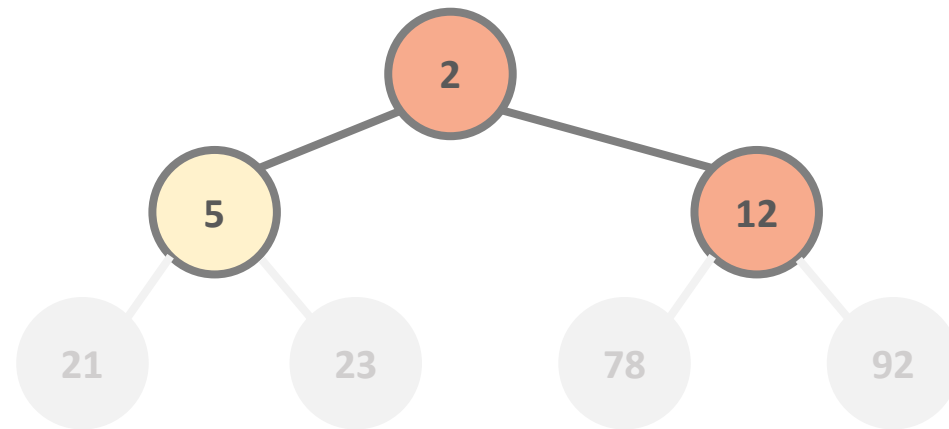
**[92, 78, 23, 21]**

# Heapsort



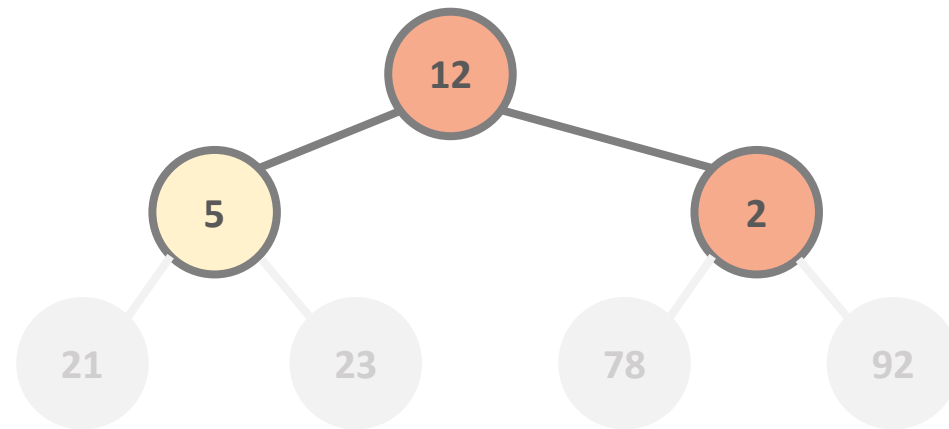
**[92, 78, 23, 21]**

# Heapsort



**[92, 78, 23, 21]**

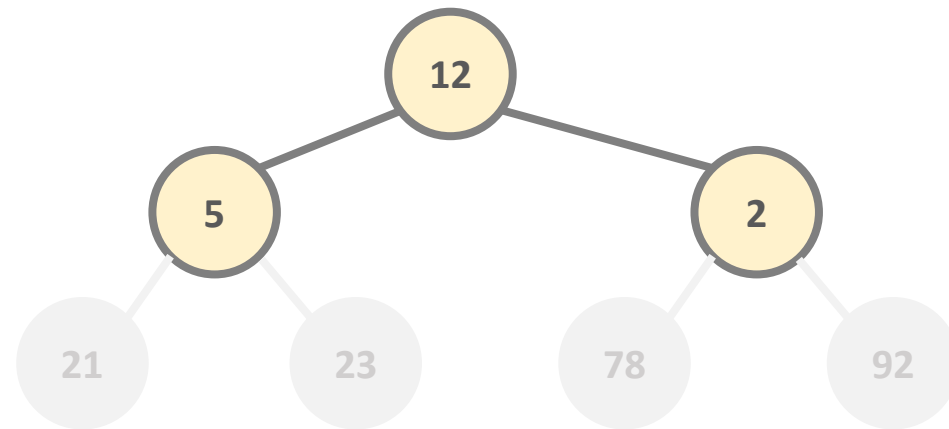
# Heapsort



**[92, 78, 23, 21]**

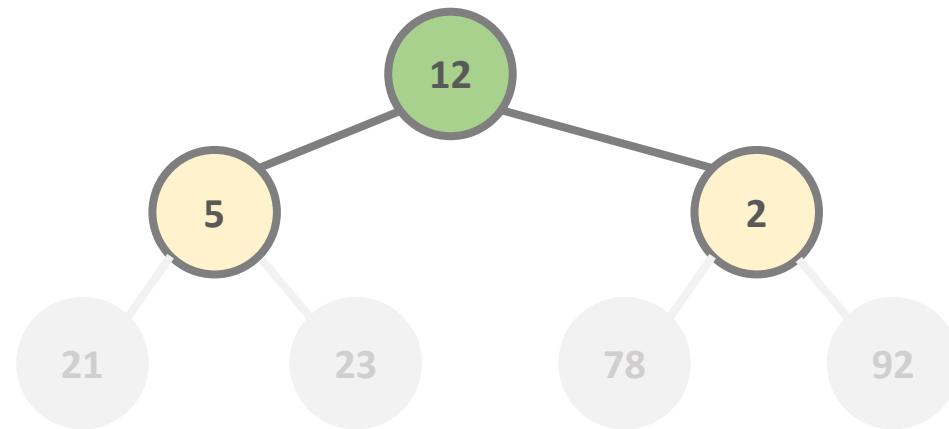


# Heapsort



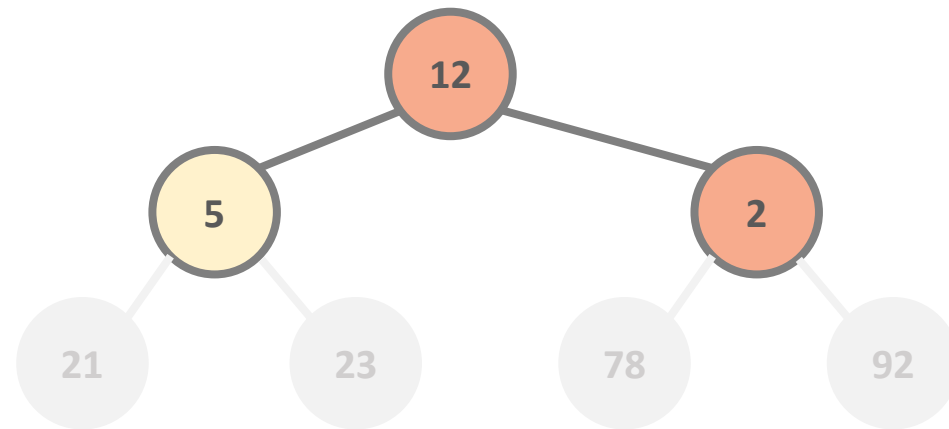
**[92, 78, 23, 21]**

# Heapsort



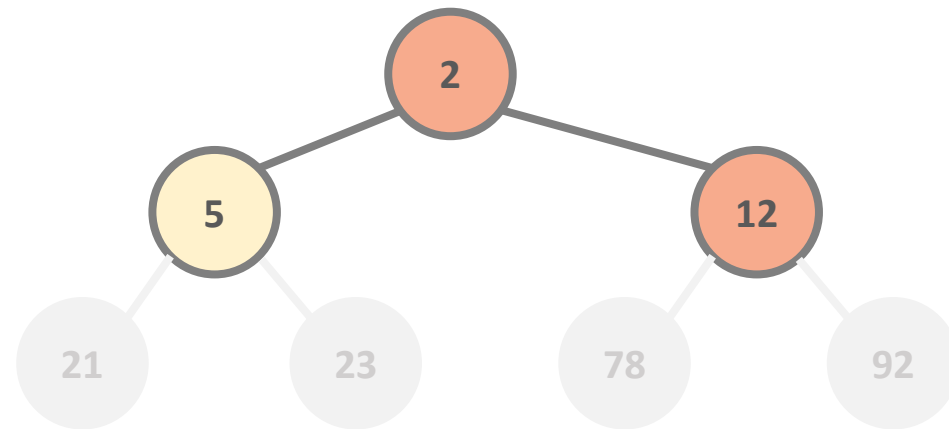
**[92, 78, 23, 21, 12]**

# Heapsort



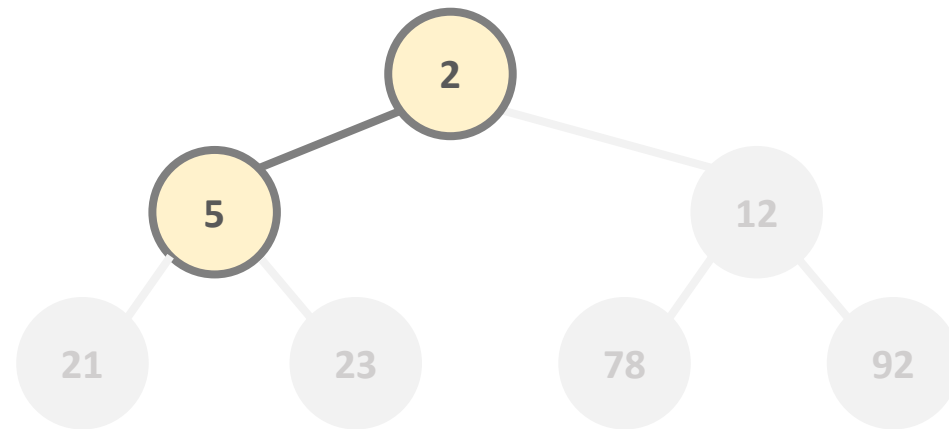
**[92, 78, 23, 21, 12]**

# Heapsort



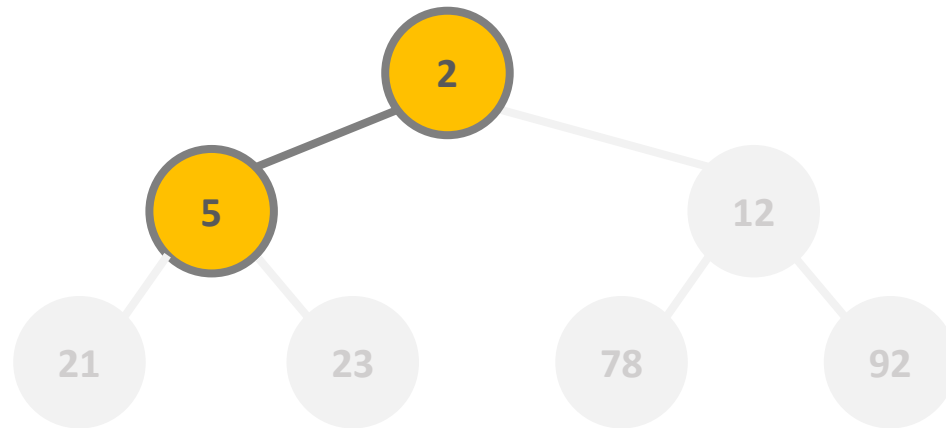
**[92, 78, 23, 21, 12]**

# Heapsort



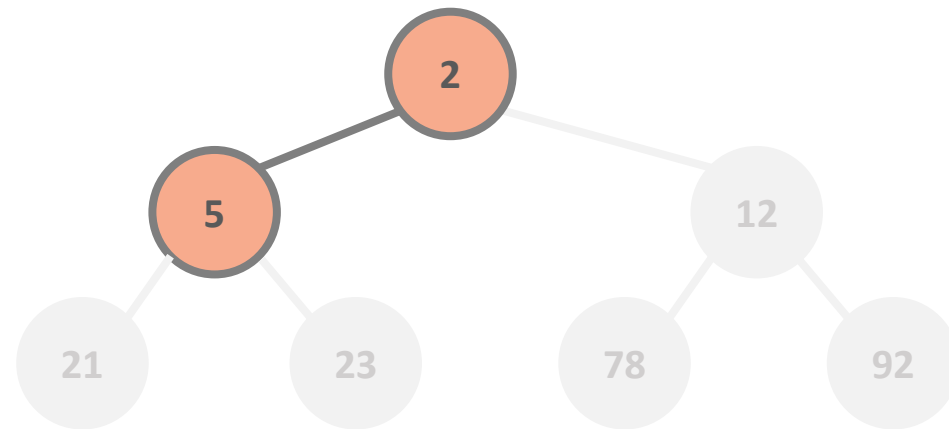
**[92, 78, 23, 21, 12]**

# Heapsort



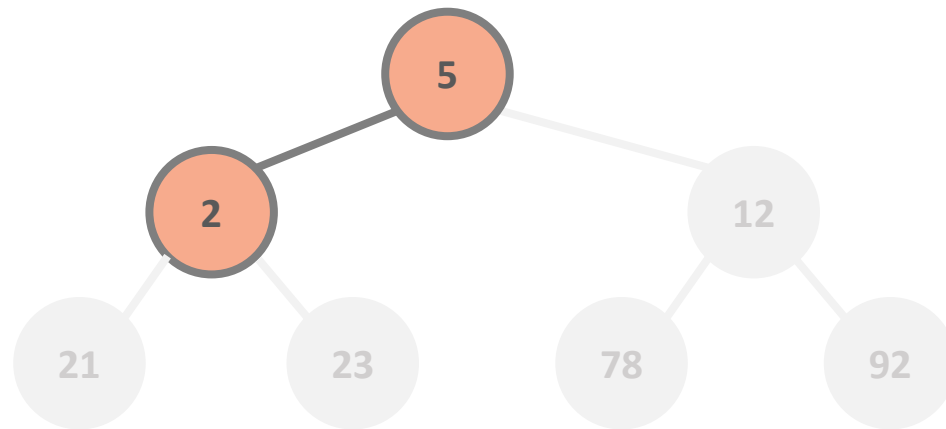
**[92, 78, 23, 21, 12]**

# Heapsort



**[92, 78, 23, 21, 12]**

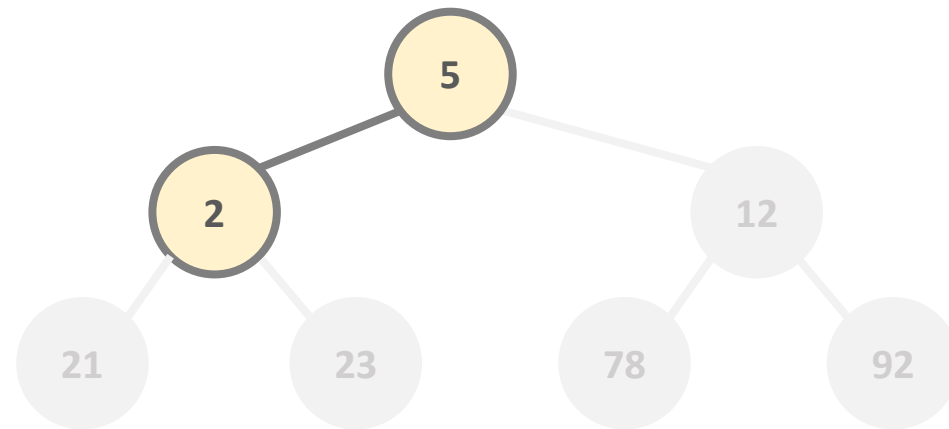
# Heapsort



**[92, 78, 23, 21, 12]**

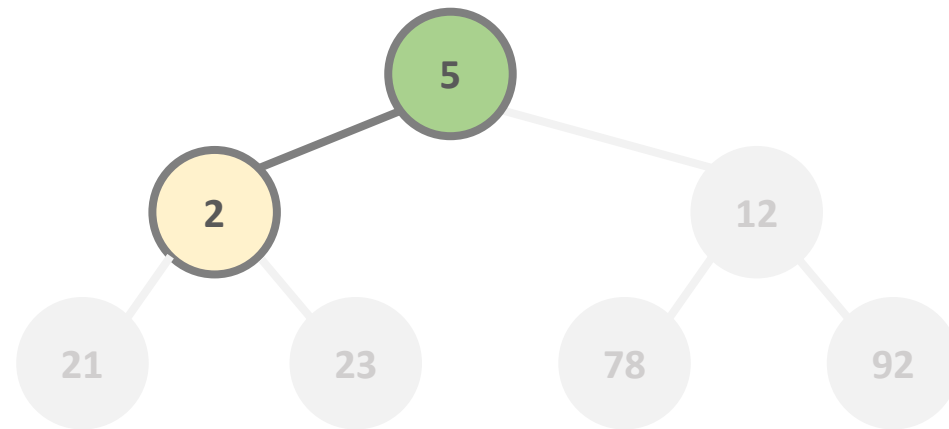


# Heapsort



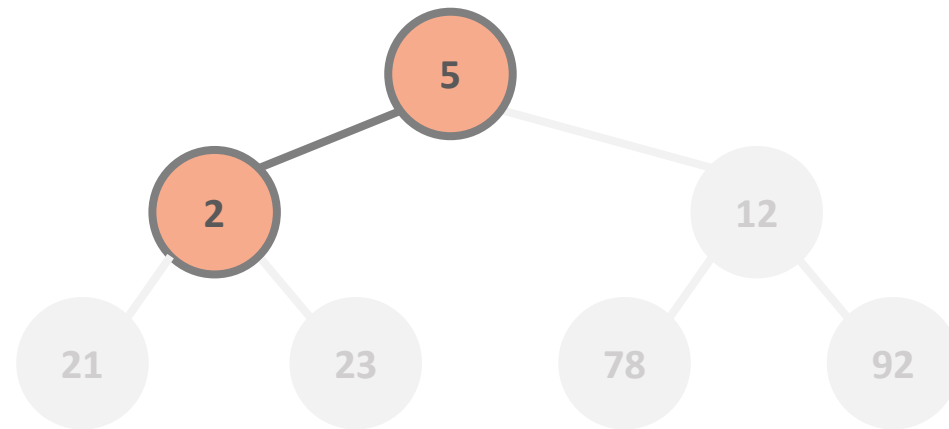
**[92, 78, 23, 21, 12]**

# Heapsort



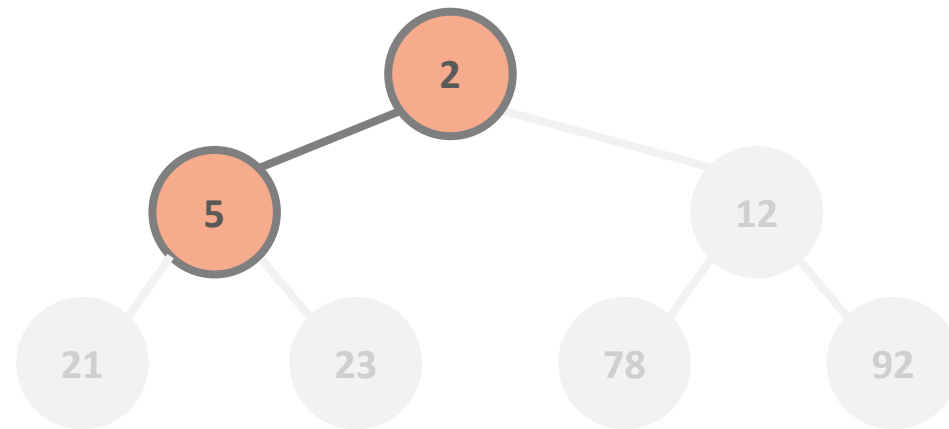
**[92, 78, 23, 21, 12, 5]**

# Heapsort



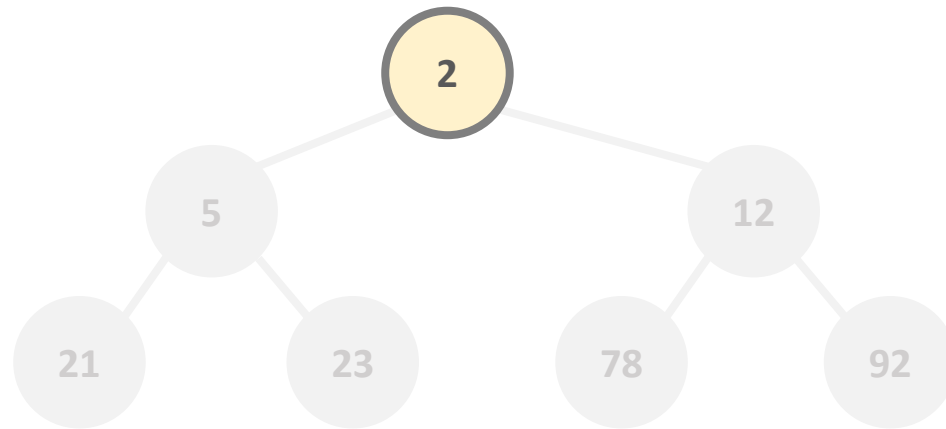
**[92, 78, 23, 21, 12, 5]**

# Heapsort



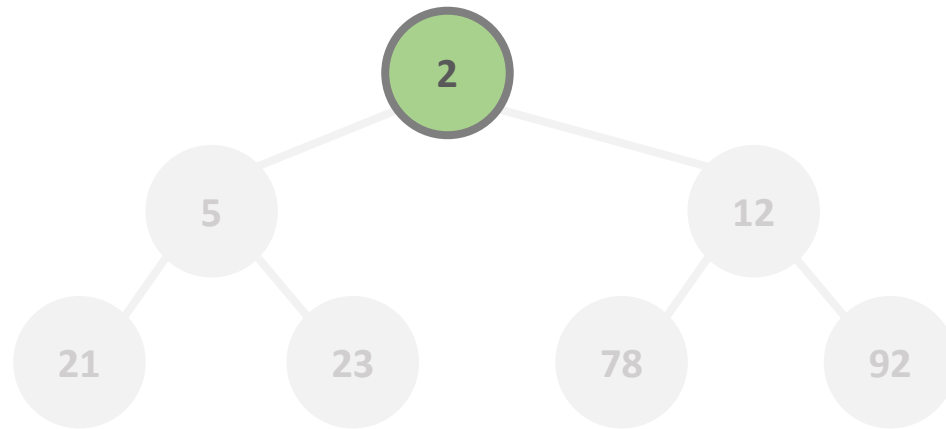
**[92, 78, 23, 21, 12, 5]**

# Heapsort



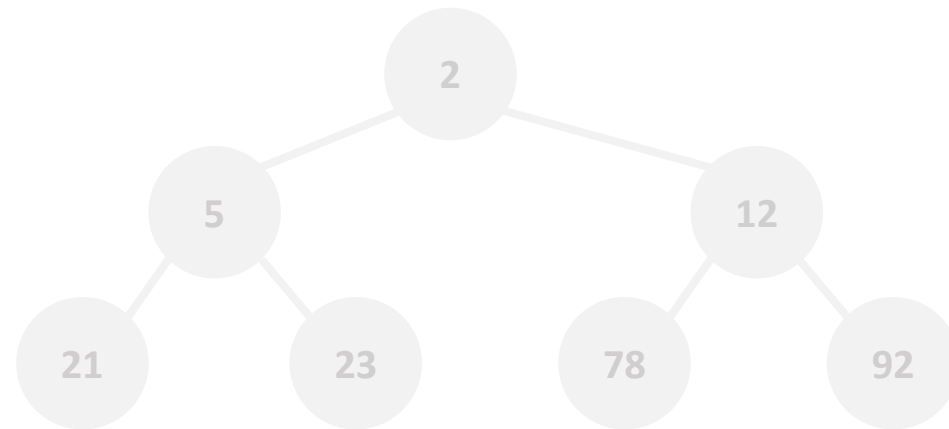
**[92, 78, 23, 21, 12, 5]**

# Heapsort



**[92, 78, 23, 21, 12, 5, 2]**

# Heapsort



[92, 78, 23, 21, 12, 5, 2]

# Advanced Heaps

## (Algorithms and Data Structures)



# Binomial Heaps

- similar to a binary heap but also supports quick **merging** of two heaps
- it is important as an implementation of the **mergeable heap** abstract data type (*meldable heap*)
- which is a **priority queue** basically + supporting merge operation
- a binomial heap is implemented as a **collection of trees**
- the  **$O(\log N)$**  logarithmic insertion time complexity can be reduced to  **$O(1)$**  constant time complexity with the help of binomial heaps

# Fibonacci Heaps

- **Fibonacci heaps** are faster than the classic binary heap
- Dijkstra's shortest path algorithm and **Prim's spanning tree algorithm** run faster if they rely on Fibonacci heap instead of binary heaps
- but very hard to implement efficiently so usually does not worth the effort
- unlike binary heaps it can have **several children** – the number of children are usually kept low
- we can achieve  **$O(1)$**  running time for insertion operation instead of  **$O(\log N)$**  logarithmic running time
- every node has degree at most  **$O(\log N)$**  and the size of a subtree rooted in a node of degree  **$k$**  is at least  **$F_{k+2}$**  where  **$F_k$**  is the  **$k$** -th Fibonacci number

# Heaps Running Time

	BINARY	BINOMIAL	FIBONACCI
find min	$O(1)$	$O(1)$	$O(1)$
delete min	$O(\log N)$	$O(\log N)$	$O(\log N)$
insertion	$O(\log N)$	$O(1)$	$O(1)$
decrease key	$O(\log N)$	$O(\log N)$	$O(1)$
merge	-	$O(\log N)$	$O(1)$

***Fibonacci-heaps** are hard to implement  
but they are **extremely powerful***