

## 1. LEFSCHETZ FIXED-POINT THEORY

**Definition 1.1.** The global Lefschetz number of  $f$  is the intersection number  $I(\Delta, \text{graph}(f))$ , denoted  $L(f)$ .

**Theorem 1.2.** (*Smooth Lefschetz Fixed-Point Theorem*) Let  $f : X \rightarrow X$  be a smooth map on a compact orientable manifold. If  $L(f) \neq 0$ , then  $f$  has a fixed point.

**Proposition 1.3.**  $L(f)$  is a homotopy invariant.

**Proposition 1.4.** If  $f$  is homotopic to the identity, then  $L(f)$  equals the Euler characteristic of  $X$ . In particular, if  $X$  admits a smooth map  $f : X \rightarrow X$  that is homotopic to the identity and has no fixed points, then  $\chi(X) = 0$ .

**Definition 1.5.**  $f : X \rightarrow X$  is a Lefschetz map if  $\text{graph}(f) \pitchfork \Delta$

**Proposition 1.6.** Every map  $f : X \rightarrow X$  is homotopic to a Lefschetz map.

Given any  $x$  a fixed point of a Lefschetz map, we have  $\text{graph}(f) \pitchfork \Delta$  if and only if

$$(1.7) \quad \text{graph}(df_x) + \Delta_x = T_x(X) \times T_x(X).$$

And this implies that  $df_x$  has no nonzero fixed point.

**Definition 1.8.** A fixed point  $x$  is a Lefschetz fixed point of  $f$  if  $df_x$  has no nonzero fixed point.

So  $f$  is a Lefschetz map if and only if all its fixed points are Lefschetz. If  $x$  is a Lefschetz fixed point, we denote the orientation number of  $(x, x)$  in the intersection  $\Delta \cap \text{graph}(f)$  by  $L_x(f)$ , called the local Lefschetz number of  $f$  at  $x$ . Thus for  $f$  Lefschetz map,

$$(1.9) \quad L(f) = \sum_{f(x)=x} L_x(f).$$

$x$  is a Lefschetz fixed point if and only if  $df_x - I$  is an isomorphism of  $T_x(X)$ .

**Proposition 1.10.** The local Lefschetz number  $L_x(f)$  at a Lefschetz fixed point is 1 if the isomorphism  $df_x - I$  preserves orientation on  $T_x(X)$ , and  $-1$  if the isomorphism reverses orientation. That is the sign of  $L_x(f)$  equals the sign of the determinant of  $df_x - I$ .

**Proposition 1.11.** The Euler characteristic of  $S^2$  is 2.

**Corollary 1.12.** Every map of  $S^2$  that is homotopic to the identity must possess a fixed point. In particular, the antipodal map is not homotopic to the identity.

**Proposition 1.13.** The surface of genus  $k$  admits a Lefschetz map homotopic to the identity, with one source, one sink, and  $2k$  saddles. Consequently, its Euler characteristic is  $2 - 2k$ .

**Proposition 1.14.** (*Splitting Proposition*) Let  $U$  be a neighborhood of the fixed point  $x$  that contains no other fixed points of  $f$ . Then there exists a homotopy  $f_t$  of  $f$  such that  $f_t$  has only Lefschetz fixed points in  $U$ , and each  $f_t$  equals  $f$  outside some compact subset of  $U$ .

**Definition 1.15.** Suppose that  $x$  is an isolated fixed point of  $f$  in  $\mathbb{R}^k$ . If  $B$  is a small closed ball centered at  $x$  that contains no other fixed point, then the degree of map

$$(1.16) \quad z \rightarrow \frac{f(z) - z}{|f(z) - z|}$$

is called the local Lefschetz number of  $f$  at  $x$ , denoted  $L_x(f)$ .

**Proposition 1.17.** *At Lefschetz fixed points, the two definitions of  $L_x(f)$  agree.*

**Proposition 1.18.** *Suppose that the map  $f$  in  $\mathbb{R}^k$  has an isolated fixed point at  $x$ , and let  $B$  be a closed ball around  $x$  containing no other fixed point of  $f$ . Choose any map  $f_1$  that equals  $f$  outside some compact subset of  $\text{Int}(B)$  but has only Lefschetz fixed points in  $B$ . Then*

$$(1.19) \quad L_x(f) = \sum_{f_1(z)=z} L_z(f_1),$$

for any  $z \in B$ .

**Theorem 1.20.** *(Local Computation of the Lefschetz Number). Let  $f : X \rightarrow Y$  be any smooth map on a compact manifold, with only finitely many fixed points. Then the global Lefschetz number equals the sum of the local Lefschetz numbers:*

$$(1.21) \quad L(f) = \sum_{f(x)=x} L_x(f).$$

## 2. EXERCISES

**Proposition 2.1.** *Let  $A : V \rightarrow V$  be a linear map. Then the following statements are equivalent:*

- (1)  $0$  is an isolated fixed point of  $A$ .
- (2)  $A - I : V \rightarrow V$  is an isomorphism.
- (3)  $0$  is a Lefschetz fixed point of  $A$ .
- (4)  $A$  is a Lefschetz map.

**Proposition 2.2.** *The following are equivalent*

- (1)  $x$  is a Lefschetz fixed point of  $f : X \rightarrow X$ .
- (2)  $0$  is a Lefschetz fixed point of  $df_x : T_x(X) \rightarrow T_x(X)$ .
- (3)  $df_x$  is a Lefschetz map.

*Proof.* If  $x$  is a Lefschetz fixed point of  $f$ , we have  $df_x - I$  isomorphism and then by previous proposition, this is equivalent to  $0$  is a Lefschetz fixed point of  $df_x$ . And also by 3,4 in previous proposition, we know that 2,3 are equivalent.  $\square$

**Proposition 2.3.** *The map  $f(x) = 2x$  on  $\mathbb{R}^k$  has  $L_0(f) = 1$  and  $f(x) = 0.5x$  has  $L_0(f) = (-1)^k$ .*

*Proof.* Only fixed point of  $f$  is  $0$  and  $0$  is a Lefschetz fixed point since  $df_0 - I = I$  or  $-0.5I$  isomorphism. Then we have  $L_0(f) = \det(df_0 - I)$ .  $\square$

**Proposition 2.4.**  $\chi(X \times Y) = \chi(X)\chi(Y)$ .

*Proof.* Since any map  $f$  is homotopic to some Lefschetz maps, we can pick  $f, g$  homotopic to  $id_X$  and  $id_Y$ . Thus  $\chi(X) = L(f)$  and  $\chi(Y) = L(g)$ . Also  $f \times g$  is homotopic to  $id_X \times id_Y = id_{X \times Y}$ . Then we have  $\chi(X \times Y) = L(f \times g)$ . To compute  $L(f \times g)$ , we know

$$(2.5) \quad L(f \times g) = \sum_{x,y: f(x)=x, g(y)=y} L_{(x,y)}(f \times g) = \sum_{x,y: f(x)=x, g(y)=y} L_x(f)L_y(g) = L(f)L(g).$$

Then we have

$$(2.6) \quad \chi(X \times Y) = \chi(X)\chi(Y).$$

□

**Proposition 2.7.** *Summing local Lefschetz numbers does not define a homotopy invariant without the compactness assumption.*

*Proof.* Pick any  $A, B$   $n \times n$  matrices such that  $\det(A - I) > 0$  and  $\det(B - I) < 0$ .  $\mathbb{R}^n$  contractible so we have  $A$  homotopic to  $B$  but  $L(A) = 1$  while  $L(B) = -1$ . □

**Proposition 2.8.** *The Euler characteristic of a compact connected Lie group is zero.*

*Proof.* Let the compact connected Lie group be  $G$  and let  $g \in G$  such that  $g \neq 1$ . Define  $f : G \rightarrow G$  by  $f(x) = g \cdot x$ . This smooth map is homotopic to  $id_G$  but has no fixed point. Then we know

$$(2.9) \quad \chi(G) = L(f) = 0.$$

□

### 3. EXTERIOR ALGEBRA

**Theorem 3.1.** *Let  $\{\phi_1, \dots, \phi_k\}$  be a basis for  $V^*$ . Then the  $p$ -tensors  $\{\phi_{i_1}, \dots, \phi_{i_p}\}$  form a basis for  $\mathcal{T}^p(V^*)$ . Consequently, the dimension is  $k^p$ .*

**Lemma 3.2.** *If  $\text{Alt}(T) = 0$ , then  $T \wedge S = S \wedge T = 0$ .*

**Theorem 3.3.** *If  $\{\phi_1, \dots, \phi_k\}$  is a basis for  $V^*$ , then  $\phi_I = \phi_{i_1} \wedge \dots \wedge \phi_{i_p}$  such that  $1 \leq i_1, \dots, i_p \leq k$  is a basis for  $\Lambda^p(V^*)$ . Consequently, dimension is  $\binom{k}{p}$ .*

**Corollary 3.4.** *The wedge product satisfies the following anticommutativity relation:*

$$(3.5) \quad T \wedge S = (-1)^{pq} S \wedge T,$$

when  $T \in \Lambda^p(V^*)$  and  $S \in \Lambda^q(V^*)$ .

**Theorem 3.6.** *If  $A : V \rightarrow V$  is a linear map, then  $A^*T = (\det A)T$  for every  $T \in \Lambda^k(V^*)$ , where  $k = \dim V$ . In particular, if  $\phi_1, \dots, \phi_k \in \Lambda^1(V^*)$ , then*

$$(3.7) \quad A^*\phi_1 \wedge \dots \wedge A^*\phi_k = (\det A)\phi_1 \wedge \dots \wedge \phi_k.$$