

# 1. LEFSCHETZ FIXED POINT THEOREM

**Definition 1.1.** The global Lefschetz number of  $f$  is the intersection number  $I(\Delta, \text{graph}(f))$ , denoted  $L(f)$ .

**Theorem 1.2.** (*Smooth Lefschetz Fixed-Point Theorem*) Let  $f : X \rightarrow X$  be a smooth map on a compact orientable manifold. If  $L(f) \neq 0$ , then  $f$  has a fixed point.

**Proposition 1.3.**  $L(f)$  is a homotopy invariant.

**Proposition 1.4.** If  $f$  is homotopic to the identity, then  $L(f)$  equals the Euler characteristic of  $X$ . In particular, if  $X$  admits a smooth map  $f : X \rightarrow X$  that is homotopic to the identity and has no fixed points, then  $\chi(X) = 0$ .

**Definition 1.5.**  $f : X \rightarrow X$  is a Lefschetz map if  $\text{graph}(f) \bar{\cap} \Delta$

**Proposition 1.6.** Every map  $f : X \rightarrow X$  is homotopic to a Lefschetz map.

Given any  $x$  a fixed point of a Lefschetz map, we have  $\text{graph}(f) \bar{\cap} \Delta$  if and only if

$$(1.7) \quad \text{graph}(df_x) + \Delta_x = T_x(X) \times T_x(X).$$

And this implies that  $df_x$  has no nonzero fixed point.

**Definition 1.8.** A fixed point  $x$  is a Lefschetz fixed point of  $f$  if  $df_x$  has no nonzero fixed point.

So  $f$  is a Lefschetz map if and only if all its fixed points are Lefschetz. If  $x$  is a Lefschetz fixed point, we denote the orientation number of  $(x, x)$  in the intersection  $\Delta \cap \text{graph}(f)$  by  $L_x(f)$ , called the local Lefschetz number of  $f$  at  $x$ . Thus for  $f$  Lefschetz map,

$$(1.9) \quad L(f) = \sum_{f(x)=x} L_x(f).$$

$x$  is a Lefschetz fixed point if and only if  $df_x - I$  is an isomorphism of  $T_x(X)$ .

**Proposition 1.10.** The local Lefschetz number  $L_x(f)$  at a Lefschetz fixed point is 1 if the isomorphism  $df_x - I$  preserves orientation on  $T_x(X)$ , and  $-1$  if the isomorphism reverses orientation. That is the sign of  $L_x(f)$  equals the sign of the determinant of  $df_x - I$ .

**Proposition 1.11.** (*Splitting Proposition*) Let  $U$  be a neighborhood of the fixed point  $x$  that contains no other fixed points of  $f$ . Then there exists a homotopy  $f_t$  of  $f$  such that  $f_t$  has only Lefschetz fixed points in  $U$ , and each  $f_t$  equals  $f$  outside some compact subset of  $U$ .

**Definition 1.12.** Suppose that  $x$  is an isolated fixed point of  $f$  in  $\mathbb{R}^k$ . If  $B$  is a small closed ball centered at  $x$  that contains no other fixed point, then the degree of map

$$(1.13) \quad z \rightarrow \frac{f(z) - z}{|f(z) - z|}$$

is called the local Lefschetz number of  $f$  at  $x$ , denoted  $L_x(f)$ .

**Proposition 1.14.** At Lefschetz fixed points, the two definitions of  $L_x(f)$  agree.

**Proposition 1.15.** *Suppose that the map  $f$  in  $\mathbb{R}^k$  has an isolated fixed point at  $x$ , and let  $B$  be a closed ball around  $x$  containing no other fixed point of  $f$ . Choose any map  $f_1$  that equals  $f$  outside some compact subset of  $\text{Int}(B)$  but has only Lefschetz fixed points in  $B$ . Then*

$$(1.16) \quad L_x(f) = \sum_{f_1(z)=z} L_z(f_1),$$

for any  $z \in B$ .

**Theorem 1.17.** *(Local Computation of the Lefschetz Number). Let  $f : X \rightarrow Y$  be any smooth map on a compact manifold, with only finitely many fixed points. Then the global Lefschetz number equals the sum of the local Lefschetz numbers:*

$$(1.18) \quad L(f) = \sum_{f_x(x)} L_x(f).$$

## 2. EXAMPLES

**Example 2.1.** The Euler characteristic of  $S^2$  is 2.

*Proof.* Let  $\pi : \mathbb{R}^3 \rightarrow S^2$  be the projection  $\pi(x) = x/|x|$ . Then we define the map  $f : S^2 \rightarrow S^2$ :

$$(2.2) \quad f(x) = \pi(x + (0, 0, -1/2)).$$

This map has a source at  $(0, 0, 1)$ , a sink at  $(0, 0, -1)$  and no fixed point elsewhere, which gives  $L(f) = 2$ . Also the map  $F : S^2 \times I \rightarrow S^2$  defined by

$$(2.3) \quad F(x, t) = \pi(x + (0, 0, -t/2))$$

is a homotopy between  $f$  and the identity map. By Proposition 1.4, we know that

$$(2.4) \quad \chi(S^2) = 2.$$

□

**Corollary 2.5.** *Every map of  $S^2$  that is homotopic to the identity must possess a fixed point. In particular, the antipodal map is not homotopic to the identity.*

**Example 2.6.** The surface of genus  $k$  admits a Lefschetz map homotopic to the identity, with one source, one sink, and  $2k$  saddles. Consequently, its Euler characteristic is  $2 - 2k$ .

**Example 2.7.** The Euler characteristic of a compact connected Lie group is zero.

*Proof.* Let the compact connected Lie group be  $G$  and let  $g \in G$  such that  $g \neq 1$ . Define  $f : G \rightarrow G$  by  $f(x) = g \cdot x$ . This smooth map is homotopic to  $\text{id}_G$  but has no fixed point. Then we know

$$(2.8) \quad \chi(G) = L(f) = 0.$$

□

## 3. HOMOLOGY VERSION

**Theorem 3.1.** (*The Lefschetz fixed point theorem*) Let  $X$  be a closed smooth manifold and let  $f : X \rightarrow X$  be a smooth map with all fixed points nondegenerate. Then

$$(3.2) \quad L(f) = \sum_i (-1)^i \text{Tr}(f_* : H_i(X; \mathbb{Q}) \rightarrow H_i(X; \mathbb{Q})).$$

**Example 3.3.** (Brouwer Fixed Point Theorem) Every smooth  $f : D^n \rightarrow D^n$  has a fixed point.

*Proof.* Since  $D^n$  is contractible, we know that the only nontrivial homology group of  $D^n$  is  $H_0(D^n) = \mathbb{Z}$ . Then we know that

$$(3.4) \quad L(f) = L(f) = \sum_i (-1)^i \text{Tr}(f_* : H_i(X) \rightarrow H_i(X)) = 1.$$

Then  $f$  must have a fixed point. □