

1. THE DUALITY THEOREM

Definition 1.1. For an arbitrary space X and coefficient ring R , define an R -linear cap product $\frown: C_k(X; R) \times C^l(X; R) \rightarrow C_{k-l}(X; R)$ for $k \geq l$ by setting

$$(1.2) \quad \sigma \frown \phi = \phi(\sigma|v_0, \dots, v_l)\sigma|v_l, \dots, v_k]$$

for $\sigma \in \Delta^k \rightarrow X$ and $\phi \in C^k(X; R)$.

This induces a cap product in homology and cohomology by the formula

$$(1.3) \quad \partial(\sigma \frown \phi) = (-1)^l(\partial\sigma \frown \phi - \sigma \frown \delta\phi).$$

Theorem 1.4. *If M is a closed R -orientable n -manifold with fundamental class $[M] \in H_n(M; R)$, then the map $D: H^k(M; R) \rightarrow H_{n-k}(M; R)$ defined by $D(\alpha) = [M] \frown \alpha$ is an isomorphism for all k .*

2. THE LEFSCHETZ FIXED POINT THEOREM

Let X be a closed oriented smooth manifold of dimension n . Let A and B be oriented smooth submanifolds of X of dimensions $n-i$ and $n-j$ respectively. Then $A \cap B$ is a submanifold of dimension $n - (i+j)$. When $i+j = n$, $A \cap B$ is a finite set of points.

By Poincare duality, there is an isomorphism $D: H^i(M; \mathbb{Z}) \rightarrow H_{n-i}(M)$ such that $D(\alpha) = [M] \frown \alpha$. Let $[A], [B], [A \cap B]$ be images of the fundamental classes of $A, B, A \cap B$ under the inclusion map into X . Then we have $[A] \in H_{n-i}(X)$, $[B] \in H_{n-j}(X)$ and $[A \cap B] \in H_{n-(i+j)}(X)$. We denote their Poincare duals by $[A]^*, [B]^*$ and $[A \cap B]^*$. Then we can show that cup product in Poincare dual to intersection:

Theorem 2.1. $[A]^* \smile [B]^* = [A \cap B]^*$.

Definition 2.2. Given X a closed oriented manifold of dimension n , we define the *intersection pairing*

$$(2.3) \quad \cdot: H_{n-i}(X) \otimes H_{n-j}(X) \rightarrow H_{n-i-j}(X)$$

by first applying Poincare duality, taking the cup product and then applying Poincare duality again:

$$(2.4) \quad \alpha \cdot \beta = [X] \frown (\alpha^* \smile \beta^*).$$

And by definition, we have

$$(2.5) \quad [A] \cdot [B] = [A \cap B].$$

When A and B have complementary dimensions and X is connected, we have $[A] \cdot [B] \in H_0(X) = \mathbb{Z}$ is the signed number of intersection points.

Let $f: X \rightarrow X$ be a smooth map. A *fixed point* of f is a point $p \in X$ such that $f(p) = p$. Then we have:

Theorem 2.6. *(The Lefschetz fixed point theorem) Let X be a closed smooth manifold and let $f: X \rightarrow X$ be a smooth map with all fixed points nondegenerate. Then*

$$(2.7) \quad L(f) = \sum_i (-1)^i \text{Tr}(f_*: H_i(X; \mathbb{Q}) \rightarrow H_i(X; \mathbb{Q})).$$

It follows from the universal coefficient theorem that the above traces are integers.

Definition 2.8. Define the *diagonal* to be

$$(2.9) \quad \Delta = \{(x, x) | x \in X\}.$$

Also define the *graph* of f to be

$$(2.10) \quad \Gamma(f) = \{(x, f(x)) | x \in X\}.$$

Since given any fixed point p of f , we have $p \in \Delta \cap \Gamma(f)$, to prove the Lefschetz theorem, we will look at $\Delta \cap \Gamma(f) \subset X \times X$. We also have

Lemma 2.11. *f has nondegenerate fixed points if and only if $\Gamma(f)$ and Δ intersect transversally in $X \times X$. In that case, for each fixed point p , the local Lefschetz number at p agrees with the sign of intersection of $\Gamma(f)$ and Δ at (p, p) .*

It follows that if f has only nondegenerate fixed points, we have

$$(2.12) \quad L(f) = [\Gamma(f) \cap \Delta] = [\Gamma(f)] \cdot [\Delta].$$

To prove the Lefschetz theorem, we just need to compute the intersection number $[\Gamma(f)] \cdot [\Delta]$.

Recall that for any topological spaces X and Y there is a homology cross product

$$(2.13) \quad \times : H_i(X) \otimes H_j(Y) \rightarrow H_{i+j}(X \times Y).$$

If X and Y are smooth manifolds and A and B are closed oriented submanifolds of X and Y , then we have

$$(2.14) \quad [A] \times [B] = [A \times B].$$

Let $n = \dim(X)$ and if $\alpha \in H_*(X)$ has pure degree, denoted by $|\alpha|$. Then we have the following lemmas

Lemma 2.15. *Let $\alpha, \beta, \gamma, \delta \in H_*(X)$ with $|\alpha| + |\beta| = |\gamma| + |\delta| = n$. Then*

$$(2.16) \quad (\alpha \times \beta) \cdot (\gamma \times \delta) = (-1)^{|\beta|} (\alpha \cdot \gamma) (\beta \cdot \delta),$$

if $|\beta| = |\gamma|$; and 0 otherwise.

Lemma 2.17. *If $\alpha, \beta \in H_*(X)$ with $|\alpha| + |\beta| = n$, then*

$$(2.18) \quad [\Gamma(f)] \cdot (\alpha \times \beta) = (-1)^{|\alpha|} f_* \alpha \cdot \beta.$$

Note that if $\alpha, \beta, \gamma, \delta$ can be represented by submanifolds, above lemmas can be proved by Theorem 1.1. In general, these two lemmas follow from the basic properties of cup products and we skip the computation here.

Let $\{e_k\}$ be a basis for the vector space $H_*(X; \mathbb{Q})$ and let $\{e'_k\}$ be the dual basis of $H_*(X; \mathbb{Q})$, with respect to the intersection pairing \cdot , i.e., $e_i \cdot e'_j = \delta_{i,j}$. This dual basis exists and is unique since the intersection pairing is a perfect pairing.

By Kunneth theorem $H_*(X \times X; \mathbb{Q}) = H_*(X; \mathbb{Q}) \otimes H_*(X; \mathbb{Q})$, with the isomorphism given by homology cross product. Then $\{e_i \times e'_j\}$ is a basis for $H_*(X \times X; \mathbb{Q})$. Then we can write $[\Delta]$ in terms of these basis elements:

Lemma 2.19. $[\Delta] = \sum_k e_k \times e'_k$.

Proof. Since $\{e'_i \times e_j\}$ is also a basis, it is sufficient to check that both sides have the same intersection pairing with $e'_i \times e_j$ for any $|e'_i| + |e_j| = n$.

$$(2.20) \quad \left(\sum_k e_k \times e'_k \right) \cdot (e'_i \times e_j) = \sum_{k: |e'_k| = |e'_i|} (-1)^{|e'_i|} (e_k \cdot e'_i) (e'_k \cdot e_j)$$

$$(2.21) \quad = (-1)^{|e'_i|} e'_i \cdot e_j$$

$$(2.22) \quad = [\Delta] \cdot (e'_i \times e_j).$$

Then we have $[\Delta] = \sum_k e_k \times e'_k$ as desired. \square

With this equality, we can proof Lefschetz fixed point theorem.

Proof. By previous lemmas, we have

$$(2.23) \quad [\Gamma(f)] \cdot [\Delta] = [\Gamma(f)] \cdot \sum_k e_k \times e'_k$$

$$(2.24) \quad = \sum_k (-1)^{|e_k|} f_* e_k \cdot e'_k$$

$$(2.25) \quad = \sum_i (-1)^i \text{Tr}(f_* : H_i(X) \rightarrow H_i(X)).$$

\square