

# Adv. Data Structures

Van Ende  
Baa trees



# Recap

- HW2 posted,  
due next Friday
- No class on Friday this  
week  
(happy HW-ing)
- Will have at least 1  
more HW (after break)
- Project proposals: due  
April 2 (no exceptions)  
(2-3 pages - see webpage  
for details)

Current data structure:

What if we restrict inputs?

Goal: Have a bounded set of possible elements, & want to store which ones are in my set  
ie: subset of 32-bit integer

or list of names  
(all  $\leq 30$  chars)

Operations

- insert( $x$ )
- find( $x$ )
- delete( $x$ )
- max/min
- Successor( $x$ )
- Predecessor( $x$ )

# Tiered Bitvector:

Put a summary on top of the vector. W/B  
OR the bits

1	0	1	0	1	1	0	0
00100010	00000000	00011000	00000000	00000100	11110111	00000000	00000000

B

How to search / update:

Succ: check for next value in x's block  
if none, move up + scan upper tier (ceil 1)  
Move down + find min in low block

Runtime:

$$B + \frac{U}{B} + B$$
$$= O(B + \frac{U}{B})$$

How to find "best" value for B?

What about deleting?

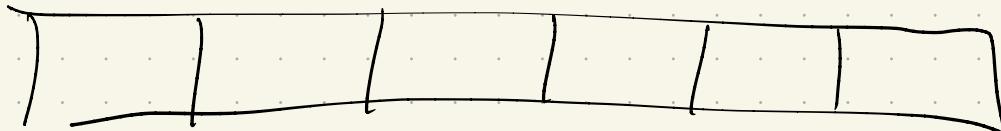
1	0	1	0	X 0	1	0	0
001000X 0	000000000	00011000	000000000	000000X 00	11110111	000000000	000000000
10				0			

- 1 delete in bottom  
 $O(1)$

Is-empty  
- if empty, delete top  
 $(0 \rightarrow 1)$

# Runtme

So tiling helped! ( $U \rightarrow SU$ )  
Can we improve even more?



$\sqrt{U}$  blocks  
each  $\sqrt{U}$  size

summary  
size  
 $\sqrt{U}$

Recurse!

For each block of size  $\sqrt{U}$ , apply the same construction:

$U^{1/4}$  size blocks,  
plus summary

Picture:

Suppose we have ASCII!

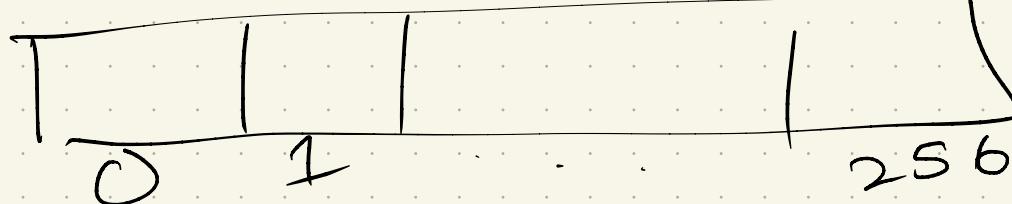
$$U = 65,536$$

$$\sqrt{U} = 256 \quad (\text{so } U^{\frac{1}{4}} = 16)$$

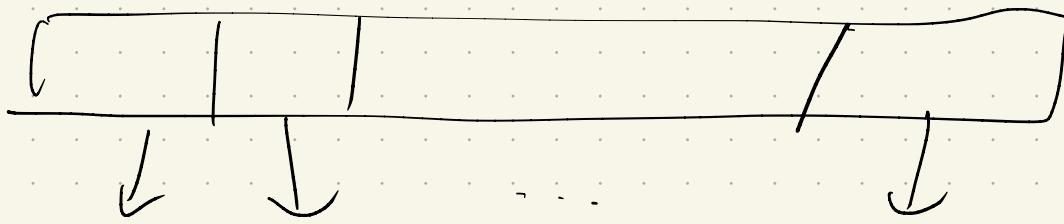
Before:

Just  
an arry

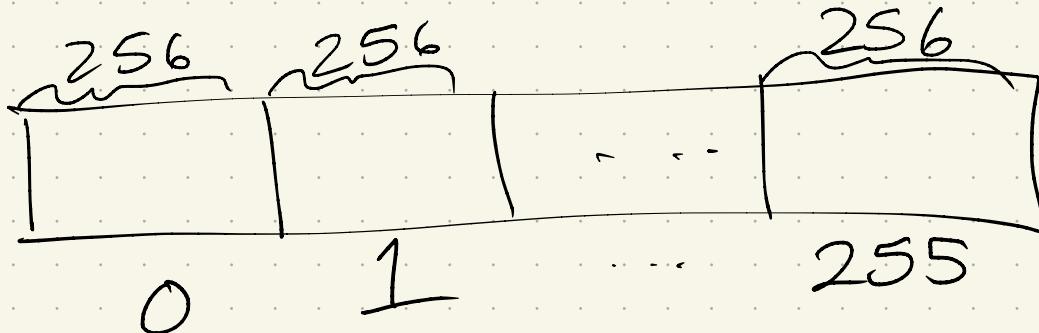
summary:



+ptrs



data:

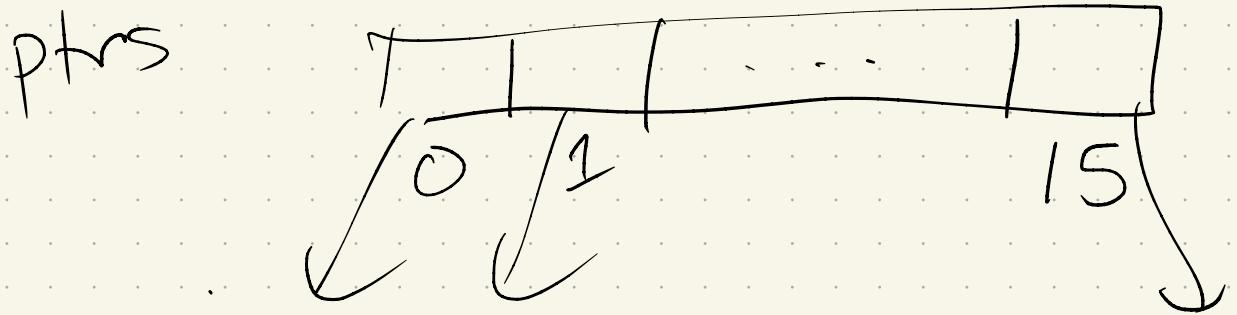
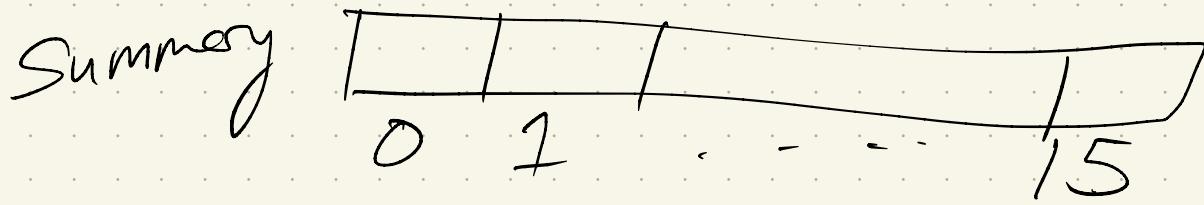


Change: recursively  
store summary  
& each level

Summary + data blocks:  
each size 256

Apply same construction:

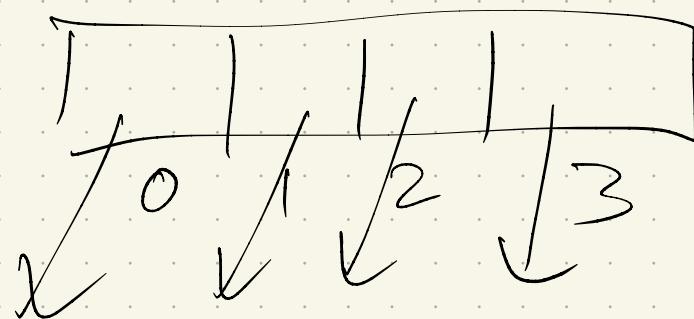
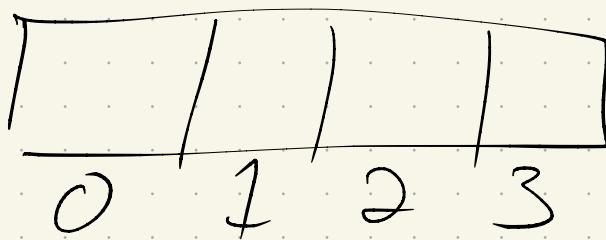
$$\sqrt{256} = 16$$



Each of those is size 16.

$$\sqrt{16} = 4$$

So:



(+ stop when  $\leq 2$ )

Details: recursive summary of size  $\sqrt{u}$

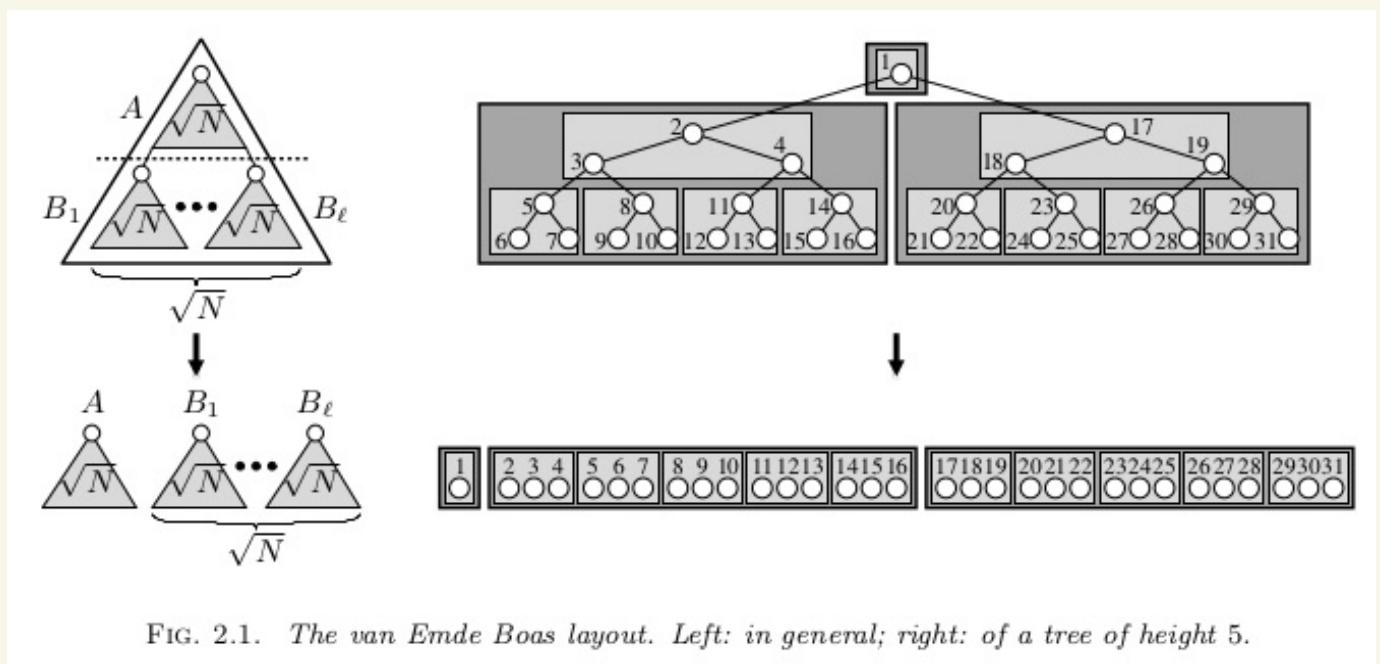
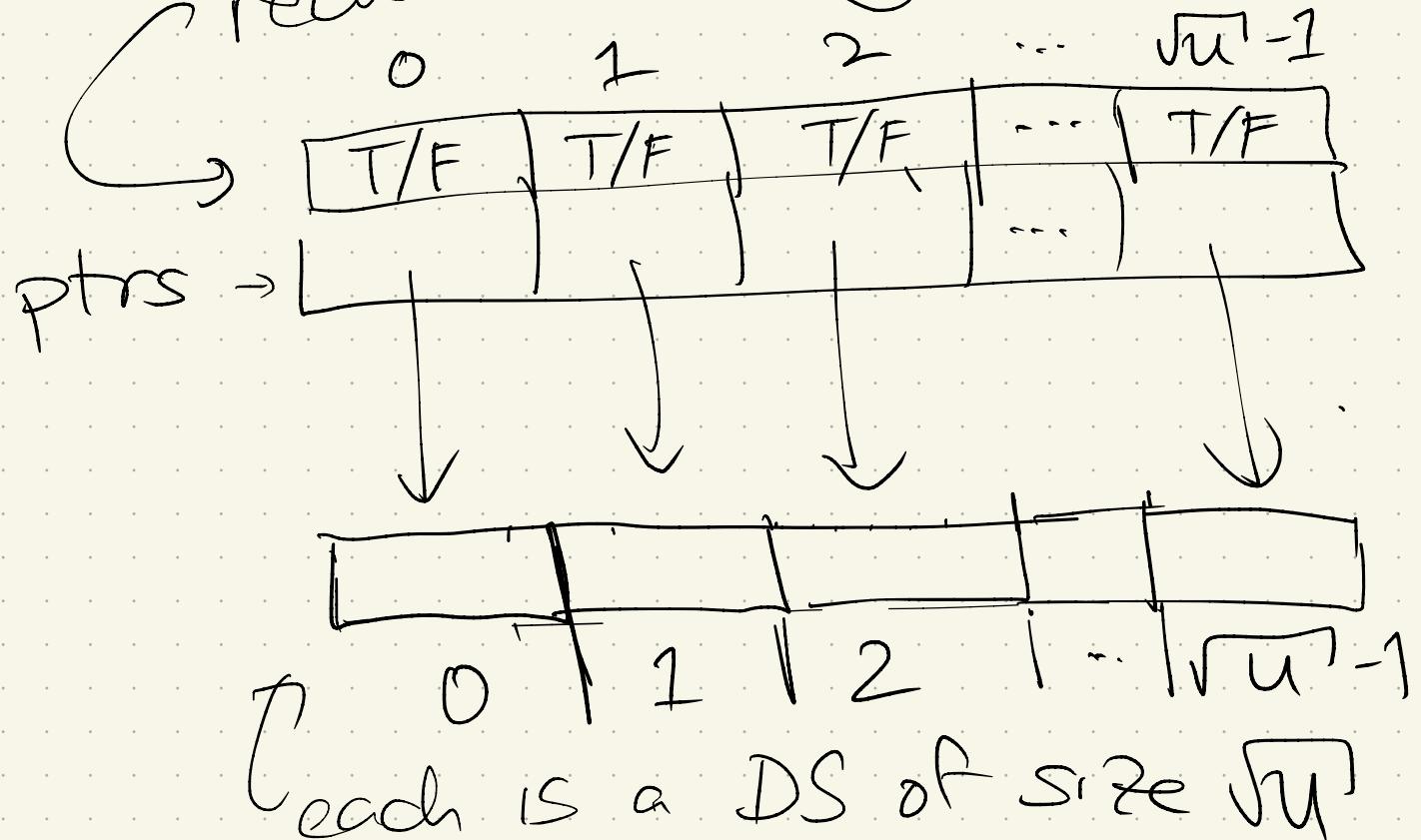


FIG. 2.1. The van Emde Boas layout. Left: in general; right: of a tree of height 5.

# Now: Implementation!

Lookup( $x$ ): 1 lookup  
(find element  $i$ 's TF in  
the  $(x \bmod u^{1/2})$  spot  
in  $\lfloor \frac{x}{u^{1/2}} \rfloor^{\text{th}}$  bit vector)

→ Summary is useless

$$L(u) = 1 + L(\sqrt{u})$$

Insert:  $\leq 2$  inserts in  
smaller data structure  
(plus an ISEmpty)

IsEmpty(): 1 recursive isEmpty

$$S(u) = 1 + S(\sqrt{u})$$

Min/Max(): 2 recursive calls  
one on summary

$M(u)$   
 $\frac{\partial M(u)}{\partial x}$ )  
↳ then on that level  
recursive structure

Succ/Pred(x):

max in bottom level,

if  $\max = x$ ,

recursive succ on  
summary data struc,

& then min in its  
lower level

Delete(x): 1 delete,

1 isEmpty, & (maybe)

another delete on  
summary

The recursion:

$$T(u) = T(\sqrt{u}) + O(1)$$

or

$$T(u) = 2T(\sqrt{u}) + O(1)$$

Use domain transformation  
(link posted):

$$\text{Let } S(k) = T(2^k) = T(u)$$

$$\text{so } k = \log u$$

$$\Rightarrow S(k) = 2S(k/2) + 1$$

or  $= S(k/2) + 1$

& solve

$$\log u$$

or  $\log \log u$

The takeaway:

$O(\log U)$  worst case  $\approx$

$O(\log \log U)$  lookups

vs:  $O(U) + O(1)$   
 $O(U)$

but:

$U$  is size of universe!

If  $n \geq \log U$ ,

we beat BST in  
lookups!

(Since  $\log n \geq \log \log U$ )

"proto VEB trees")

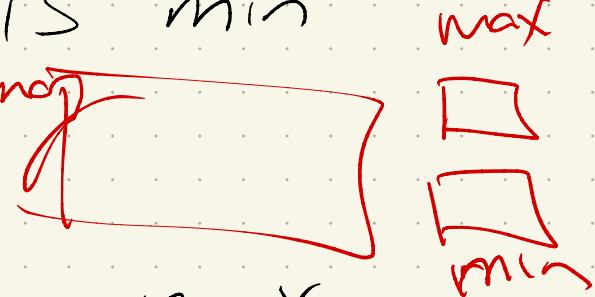
## van Emde Boas tree :

A slight modification of our  
termed bitvectors.

Besides Summary &  $\sqrt{U}$  pointers  
to next level, we'll also  
store min & max  
separately. (at each level)

Lookups are unchanged

(except we also check  
if target is min  
or max) *summary*



Important: min & max  
are only stored in  
special field.

(This changes the code...)

## The Good:

- Min, max, & isEmpty, are now  $O(1)$  time!  
vs.  $O(\log U) + O(\log \log U)$  before

- Look up is unchanged:

$O(\log \log U)$

If  $x$  isn't max or min,  
then query  $\left\lfloor \frac{x}{U^2} \right\rfloor^{th}$   
JS (in slot)  $x \bmod U^2$

## The bad:

- Need to change insert, delete, & succ/pred.

## Insert(x):

Basically the same  
( $\leq 2$  inserts in SU DS)

But:

- max + min
- empty case

## First attempt:

If tree is empty or size 1:  
change max [or] min

Else:

Check max + min  
(+ update if needed)

Then insert  $x \bmod U^{1/2}$

into  $\lceil \frac{x}{U^{1/2}} \rceil^{\text{th}}$  DS

If it wasn't empty  
insert into summary  
also

$\Rightarrow$  Runtime:  ~~$I(U) = 2I(\frac{U}{2}) + O(1)$~~

Doing better:

→ An observation: If tree is empty, insert runs in  $O(1)$  time.

Recall:

{ If low level is empty:  
    insert twice  
otherwise:  
    insert once

→ It was empty!!

New recurrence:

$$I(u) = 1 + \underbrace{1}_{\text{if empty}} + I(\sqrt{u})$$

$$\Rightarrow \overline{O(\log \log u)}$$

## Delete:

Similar setup ✓

OC If size is 1, update  
min/max & done

Else if min (or max) is  
deleted, replace with  
min (or max) of  
first (or last) non-  
empty block, &  
recursively delete that.

1 + DSU  
 $\Downarrow$   
 $O(\log \log n)$

Else:

delete  $x \bmod u^2$   
from correct subtree

If empty, delete  $\left\lfloor \frac{x}{u^2} \right\rfloor$   
from summary

Key: again, only delete twice if one was empty!

New recurrence:

$$\begin{aligned} D(u) &= 1 + D(\sqrt{u}) \\ &\quad + 1 \\ &= O(\log \log u) \end{aligned}$$

Successor(x):

If tree is empty or  $x > \max$ :  
    declare failure

else if tree  $[ \frac{x}{L^{U^2}} ]$  is  
not empty &  $x < \max$   
in that tree,  
recursively call successor  
on that tree

else:

    Find successor of  $[ \frac{x}{L^{U^2}} ]$   
    In Summary  
    if it exists, return  
        min in that tree

    otherwise  
        return max of  
            Summary

Runtime:

$$S(u) = 1 + S(\sqrt{u})$$

$$\Rightarrow \log \log u$$

??  
C

Takeaway: MAGIC!!

Runtime is  $O(\log \log n)$ ,  
(or  $O(1)$  for min, max  
+ isEmpty )

If  $n > \log n$ :

~~exponentially faster~~  
than a BST.

The catch:

Space  
~~+ hidden big-O~~

Other cool thing:

Cache oblivious

Next time:

Switching focus slightly:

- heap variants  
(binomial + Fibonacci  
heaps)
- and suffix trees