


Last time! Recursion Trees

$$T(n) = rT\left(\frac{n}{c}\right) + f(n)$$

↓

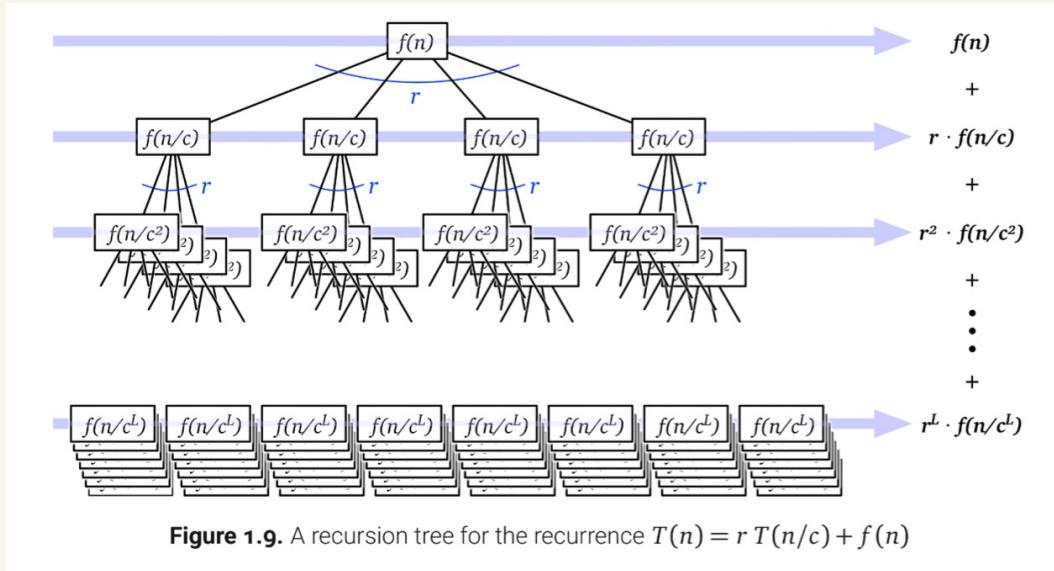


Figure 1.9. A recursion tree for the recurrence $T(n) = rT(n/c) + f(n)$

To solve: Sum all work
in the tree!

Master Theorem

$$T(n) = aT\left(\frac{n}{b}\right) + f(n) \Rightarrow$$

$$T(n) = \begin{cases} \Theta(n^{\log_b a}) & f(n) = O(n^{\log_b a - \varepsilon}) \\ \Theta(n^{\log_b a} \log n) & f(n) = \Theta(n^{\log_b a}) \\ \Theta(f(n)) & f(n) = \Omega(n^{\log_b a + \varepsilon}) \text{ AND } af(n/b) < cf(n) \text{ for large } n \end{cases} \quad \begin{cases} \varepsilon > 0 \\ c < 1 \end{cases}$$

Combining the three cases above gives us the following "master theorem".

Theorem 1 *The recurrence*

$$\begin{aligned} T(n) &= aT(n/b) + cn^k \\ T(1) &= c, \end{aligned}$$

where a, b, c , and k are all constants, solves to:

$$\begin{aligned} T(n) &\in \Theta(n^k) \text{ if } a < b^k \\ T(n) &\in \Theta(n^k \log n) \text{ if } a = b^k \\ T(n) &\in \Theta(n^{\log_b a}) \text{ if } a > b^k \end{aligned}$$

THEOREM 2

MASTER THEOREM Let f be an increasing function that satisfies the recurrence relation

$$f(n) = af(n/b) + cn^d$$

whenever $n = b^k$, where k is a positive integer, $a \geq 1$, b is an integer greater than 1, and c and d are real numbers with c positive and d nonnegative. Then

$$f(n) \text{ is } \begin{cases} O(n^d) & \text{if } a < b^d, \\ O(n^d \log n) & \text{if } a = b^d, \\ O(n^{\log_b a}) & \text{if } a > b^d. \end{cases}$$

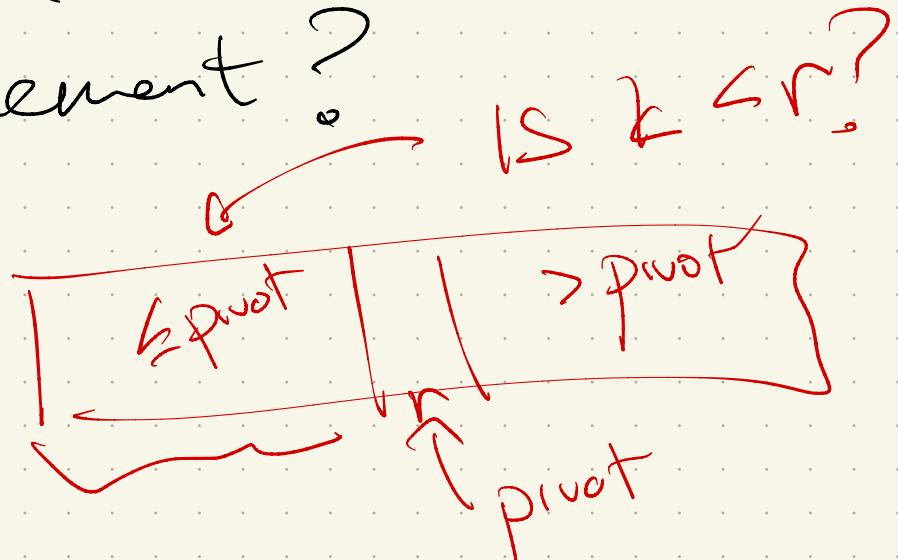
Other examples

Medians: find "middle" element.
Two were covered:

```
QUICKSELECT( $A[1..n]$ ,  $k$ ):  
    if  $n = 1$   
        return  $A[1]$   
    else  
        Choose a pivot element  $A[p]$   
         $r \leftarrow \text{PARTITION}(A[1..n], p)$   
        if  $k < r$   
            return QUICKSELECT( $A[1..r - 1]$ ,  $k$ )  
        else if  $k > r$   
            return QUICKSELECT( $A[r + 1..n]$ ,  $k - r$ )  
        else  
            return  $A[r]$ 
```

Figure 1.12. Quickselect, or one-armed quicksort

Q: How do we know which side has the k^{th} element?



Runtime

Still depends on pivot!

worst case:

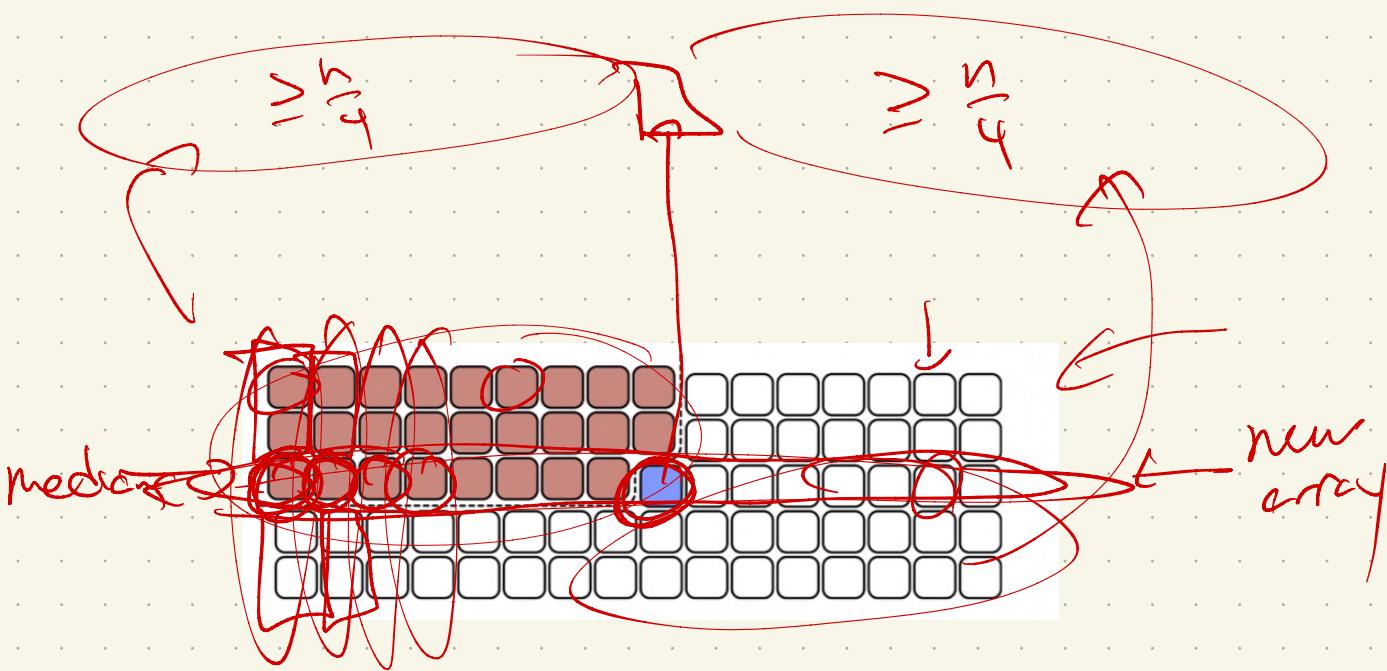
Choose 1st or last element

$$\begin{aligned} T(n) &= (n-1) + T(n-1) \\ &\quad (\text{worst}) \\ &= O(n^2) \end{aligned}$$

"Faster" version:

```
MOMSELECT( $A[1..n]$ ,  $k$ ):  
    if  $n \leq 25$  {{or whatever}}  
        use brute force  
    else  
         $m \leftarrow \lceil n/5 \rceil$   
        for  $i \leftarrow 1$  to  $m$   
             $M[i] \leftarrow \text{MEDIANOFFIVE}(A[5i - 4..5i])$  {{Brute force!}}  
        mom  $\leftarrow \text{MOMSELECT}(M[1..m], \lfloor m/2 \rfloor)$  {{Recursion!}}  
         $r \leftarrow \text{PARTITION}(A[1..n], \text{mom})$   
        if  $k < r$   
            return MomSELECT( $A[1..r - 1]$ ,  $k$ ) {{Recursion!}}  
        else if  $k > r$   
            return MomSELECT( $A[r + 1..n]$ ,  $k - r$ ) {{Recursion!}}  
        else  
            return mom
```

use for loop
(still $O(1)$ time)



The recurrence!

```
MOMSELECT( $A[1..n]$ ,  $k$ ):  
    if  $n \leq 25$   {{or whatever}}  
        use brute force  
    else  
         $m \leftarrow \lceil n/5 \rceil$   
        for  $i \leftarrow 1$  to  $m$   
             $M[i] \leftarrow \text{MEDIANOFFIVE}(A[5i - 4..5i])$  {{Brute force!}}  
        mom  $\leftarrow \text{MOMSELECT}(M[1..m], \lfloor m/2 \rfloor)$  {{Recursion!}}  
         $r \leftarrow \text{PARTITION}(A[1..n], mom)$   
        if  $k < r$   
            return MOMSELECT( $A[1..r - 1]$ ,  $k$ ) {{Recursion!}}  
        else if  $k > r$   
            return MOMSELECT( $A[r + 1..n]$ ,  $k - r$ ) {{Recursion!}}  
        else  
            return mom
```

Multiplication:

Known "fact":

$$(10^m a + b)(10^m c + d) =$$

$$10^{2m} ac + 10^m (bc + ad) \\ + bd$$

Example:

$$102568 \times 358691$$

=

↳ Why does this suggest recursion??

The algorithm

SPLITMULTIPLY(x, y, n):

if $n = 1$

 return $x \cdot y$

else

$m \leftarrow \lceil n/2 \rceil$

$a \leftarrow \lfloor x/10^m \rfloor; b \leftarrow x \bmod 10^m$

$c \leftarrow \lfloor y/10^m \rfloor; d \leftarrow y \bmod 10^m$

$$\langle\langle x = 10^m a + b \rangle\rangle$$

$$\langle\langle y = 10^m c + d \rangle\rangle$$

$e \leftarrow \text{SPLITMULTIPLY}(a, c, m)$

$f \leftarrow \text{SPLITMULTIPLY}(b, d, m)$

$g \leftarrow \text{SPLITMULTIPLY}(b, c, m)$

$h \leftarrow \text{SPLITMULTIPLY}(a, d, m)$

 return $10^{2m}e + 10^m(g + h) + f$

Runtime:

A better trick:

$$\begin{aligned} ac + bd - (a-b)(c-d) \\ = bc + ad \end{aligned}$$

FASTMULTIPLY(x, y, n):

```
if  $n = 1$ 
    return  $x \cdot y$ 
else
     $m \leftarrow \lceil n/2 \rceil$ 
     $a \leftarrow \lfloor x/10^m \rfloor; b \leftarrow x \bmod 10^m \quad \langle\langle x = 10^m a + b \rangle\rangle$ 
     $c \leftarrow \lfloor y/10^m \rfloor; d \leftarrow y \bmod 10^m \quad \langle\langle y = 10^m c + d \rangle\rangle$ 
     $e \leftarrow \text{FASTMULTIPLY}(a, c, m)$ 
     $f \leftarrow \text{FASTMULTIPLY}(b, d, m)$ 
     $g \leftarrow \text{FASTMULTIPLY}(a - b, c - d, m)$ 
    return  $10^{2m}e + 10^m(e + f - g) + f$ 
```

Runtime:

Exponentiation:

Still open!

(Amazing, right??)

The algorithms do very well:

- to compute a^n ,
need $O(\log n)$
multiplications

However, doesn't achieve

lowest possible for
every value - it's just
with a constant!

Ch 2: Backtracking:

Many of you saw in AI,
apparently.
(Don't worry if not...)

Why we discuss:

It's really recursion
(again)!

Also really a form of
brute force:

try everything recursively,
see what works.

N Queens

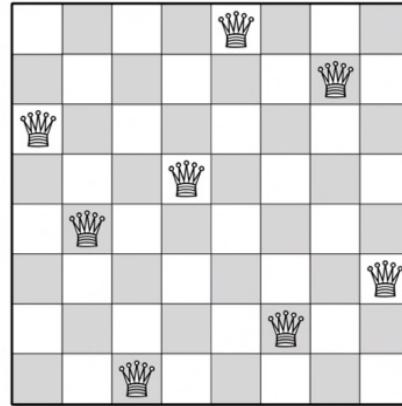


Figure 2.1. Gauss's first solution to the 8 queens problem, represented by the array [5, 7, 1, 4, 2, 8, 6, 3]

Issue: representation!

His choice: one per row,
so store index of queen
on rows in array.

Now, how to solve:

brute force! Place a
queen + keep going.

If you get stuck,
"unplace" last queen
+ back up.

The tree (b/c pretty) :

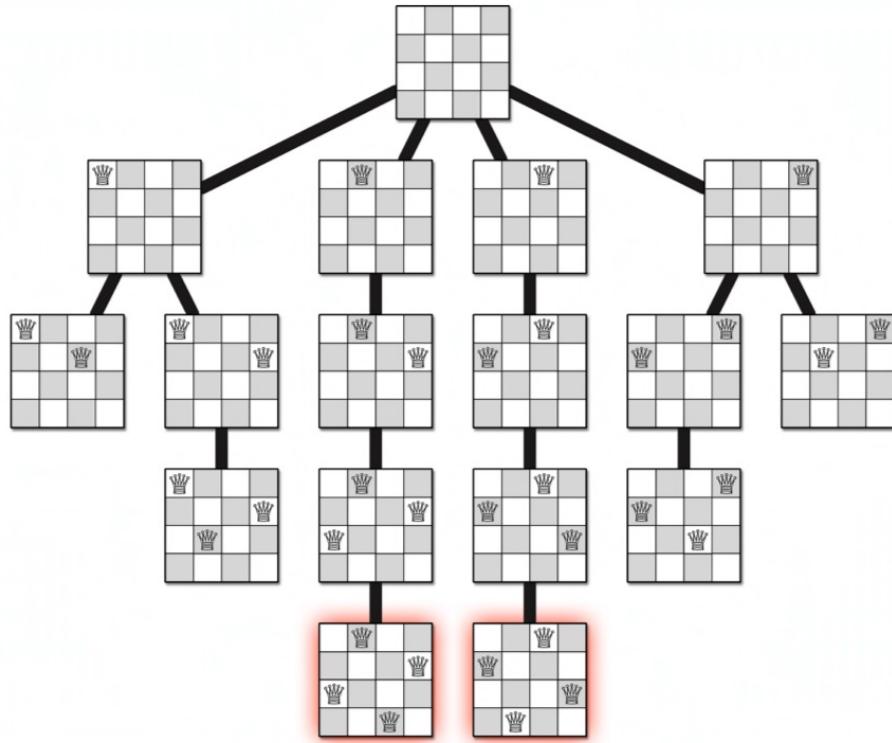


Figure 2.3. The complete recursion tree of Gauss and Laquière's algorithm for the 4 queens problem.

Problem (+ hard part):
Formalizing this in code.

Sketch:

Result:

```
PLACEQUEENS( $Q[1..n]$ ,  $r$ ):  
    if  $r = n + 1$   
        print  $Q[1..n]$   
    else  
        for  $j \leftarrow 1$  to  $n$   
            legal  $\leftarrow$  TRUE  
            for  $i \leftarrow 1$  to  $r - 1$   
                if ( $Q[i] = j$ ) or ( $Q[i] = j + r - i$ ) or ( $Q[i] = j - r + i$ )  
                    legal  $\leftarrow$  FALSE  
            if legal  
                 $Q[r] \leftarrow j$   
                PLACEQUEENS( $Q[1..n]$ ,  $r + 1$ )      ((Recursion!))
```

Figure 2.2. Gauss and Laquière's backtracking algorithm for the n queens problem.

Runtne!

$$\overbrace{Q(n)} =$$

Game Trees:

a way to model moves in
2-player games

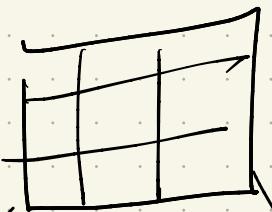
Assume:

- No randomness so the game is just 2 people taking turns
Ex: Checkers, chess, Nim, Go
— (not Settlers of Catan!)
- "Perfect" players:
Makes rational decisions, + if there is a move to get them to a win state, they do it!

Ideas: Track current state of the game, as play occurs

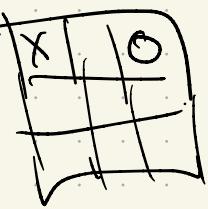
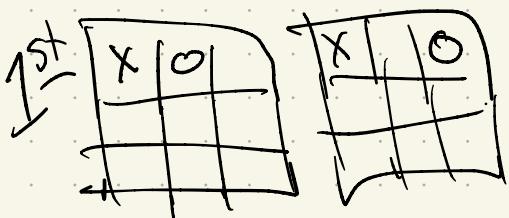
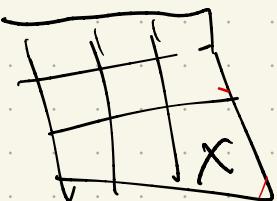
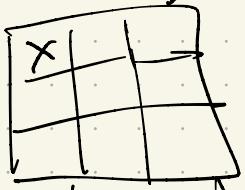
Tic-tac-toe ^

1st player:
play an X

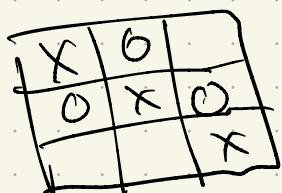


0 0 0

2nd
player:
put 0



1st player:
put X



leaf:
good for player 1
bad for player 2

Model every possible move.

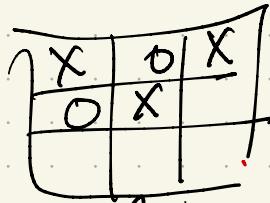
A state is good for player 1 if they either have won, or could move to a bad state for player 2.

and bad if they have lost, or if all possible moves lead to a state that is good for player 2.

Think from the bottom up:

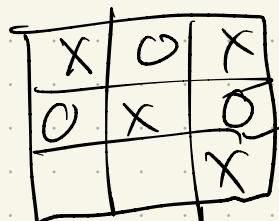
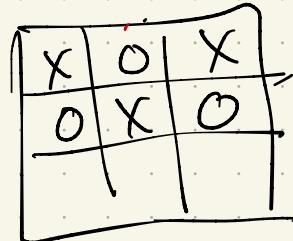
Tic-tac-toe again!

2's turn



good or bad?

1's turn

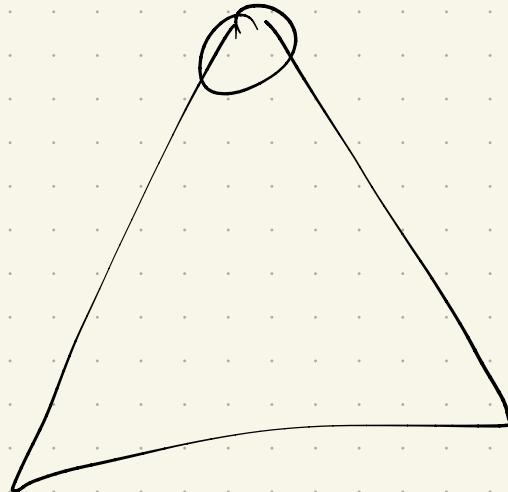


good for 1
bad for 2

This is
good for 1.
(He can move
some where
bad for 2)

So:

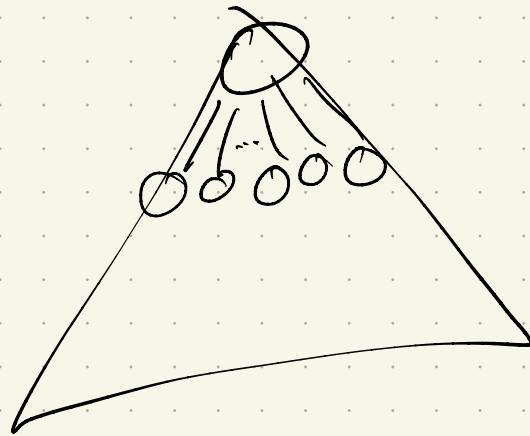
good:
I have a
child who
other guy
thinks is
bad:



Result:

Bad

All
of these
are good
for other guy



Result:

Downsides:

Game trees are HUGE!

Tic-tac-toe: over 200,000 leaves.

People can still "predict":
we're good at inferring
state / strategy intuitively,
with practice

Computers have to search.

Hence - took 60 years to
get a decent computer
chess player! Need
"heuristics" (aka guesses)
to make it work.

Game theory — a bit more complicated.

Here, we assume clear win vs. lose

Game theory suggests more subtle possibilities, as well as simultaneous moves & "randomness".

Example: Odds and Evens

Consider the simple game called **odds and evens**. Suppose that player 1 takes evens and player 2 takes odds. Then, each player simultaneously shows either one finger or two fingers. If the number of fingers matches, then the result is even, and player 1 wins the bet (\$2). If the number of fingers does not match, then the result is odd, and player 2 wins the bet (\$2). Each player has two possible strategies: show one finger or show two fingers. The *payoff matrix* shown below represents the payoff to player 1.

Payoff Matrix

		Player 2	
		1	2
Strategy			
	1	2	-2
Player 1	2	-2	2

Even if both know outcomes, result is unclear!

Example: Subset Sum

Given a set X of positive integers and a target value t , is there a subset of X which sums to t ?

Ex: $X = \{8, 6, 7, 3, 10, 5, 9\}$

$$t = 15$$

How would we solve?

Consider one at a time.

$$X = \{8, 6, 7, 5, 3, 1, 9\}$$

Formalize this: recursion!

or base case?

Algorithm:

reset to use
arrays.

«Does any subset of X sum to T ?»

SUBSETSUM(X, T):

if $T = 0$

 return TRUE

else if $T < 0$ or $X = \emptyset$

 return FALSE

else

$x \leftarrow$ any element of X

$with \leftarrow \text{SUBSETSUM}(X \setminus \{x\}, T - x)$ «Recurse!»

$wout \leftarrow \text{SUBSETSUM}(X \setminus \{x\}, T)$ «Recurse!»

 return ($with \vee wout$)

«Does any subset of $X[1..i]$ sum to T ?»

SUBSETSUM(X, i, T):

if $T = 0$

 return TRUE

else if $T < 0$ or $i = 0$

 return FALSE

else

$with \leftarrow \text{SUBSETSUM}(X, i-1, T - X[i])$ «Recurse!»

$wout \leftarrow \text{SUBSETSUM}(X, i-1, T)$ «Recurse!»

 return ($with \vee wout$)

Correctness: inductive proof,
on size of X, i

Base cases:

$i = |X| = 0$ (so $X = \{\}$):

Ind Hyp: works for $X[1..n-1]$
or smaller values of T

Ind step: Full array $X[1..n]$
Consider $X[n]$:

Buntme:

