

CS3100

Approximation



## Announcements

- HW out, + oral grading  
next Friday

## Hard Problems

Apparently, the world is full of them!

- some impossible
  - ie
- others just slow

What to do?

- Approximate
- Randomization

# Example: Load Balancing

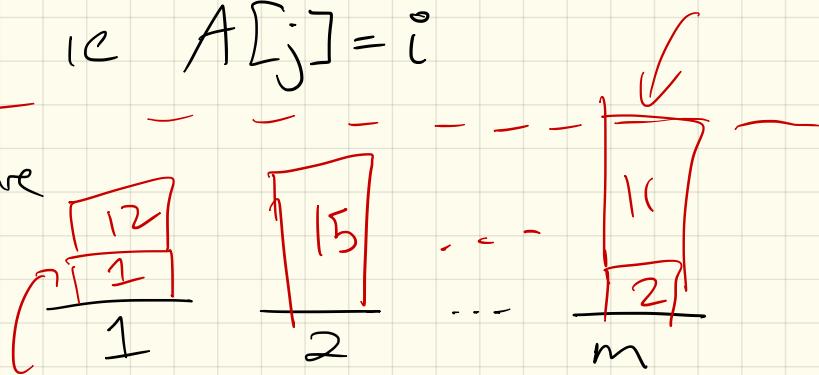
- $n$  jobs, each with a running time  $T[1..n]$
- $m$  machines available on which to run them

Goal: Compute an assignment

$A[1..n]$  where job  $j$  gets assigned to some machine  $i \in [1..m]$

$$\text{ie } A[j] = i$$

Picture



$$\begin{cases} A[1]=1 \\ A[2]=1 \end{cases}$$

## Natural Goal:

Finish as early as possible!

Makespan: max time any machine is running jobs.

$$\text{makespan}(A) = \max_i \left( \sum_{j: A[j]=i} T[j] \right)$$

Goal:  $\min A \max_i \left( \sum_{j: A[j]=i} T[j] \right)$

↑  
Worst machine      ↑  
Sum jobs on  
machine i

Minimize makespan:

$$\min A \max_i \left( \sum_{j: A[j]=i} T[j] \right)$$

This is NP-Hard.  
Why?

Reduce partition to  
this:

Given list  $S = \{s_1, \dots, s_n\}$

( $\hookrightarrow$  run  $n$  jobs with  $T[j] = s_j$ )

→ set  $m = 2$ .

ask for makespan  
of value  $\frac{\sum s_i}{2}$



Approximating  
What seems a natural strategy?

Greed!

Possible heuristic :

A heuristic technique ([/hjuːrɪstɪk/](#); Ancient Greek: εὑρίσκω, "find" or "discover"), often called simply a **heuristic**, is any approach to problem solving, learning, or discovery that employs a practical method not guaranteed to be optimal or perfect, but sufficient for the immediate goals. Where finding an optimal solution is impossible or impractical, heuristic methods can be used to speed up the process of finding a satisfactory solution. Heuristics can be mental shortcuts that ease the cognitive load of making a decision. Examples of this method include using a [rule of thumb](#), an [educated guess](#), an intuitive judgment, [guesstimate](#), stereotyping, [profiling](#), or [common sense](#).

Consider jobs 1 at a time  
+ assign to current  
"emphiest" machine.

# Algorithm :

GREEDYLOADBALANCE( $T[1..n], m$ ):

for  $i \leftarrow 1$  to  $m$   
 $Total[i] \leftarrow 0$

loop over  
Jobs

initialize  
machines to 0

for  $j \leftarrow 1$  to  $n$   
 $mini \leftarrow \arg \min_i Total[i]$  ← find emptiest  
machine

$A[j] \leftarrow mini$

$Total[mini] \leftarrow Total[mini] + T[j]$

return  $A[1..m]$

Runtime:

$$m + n(m+1)$$

$$= O(nm)$$

if you do  $Total[i]$ 's

in a heap

$$\hookrightarrow O(n \log m)$$

## "Correctness"

Claim: The makespan of this greedy algorithm is at most twice the optimal solution.

Pf:

Start w/ 2 observations:

①

$$\text{OPT} \geq \max_j(T[j])$$

optimal alg's makespan

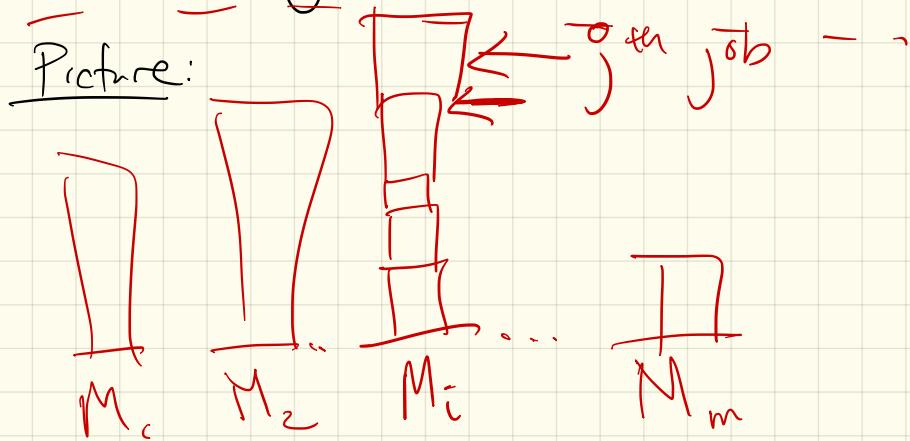
②

$$\text{OPT} \geq \frac{\text{average job length}}{m} \left( \sum_{j=1}^n T[j] \right)$$

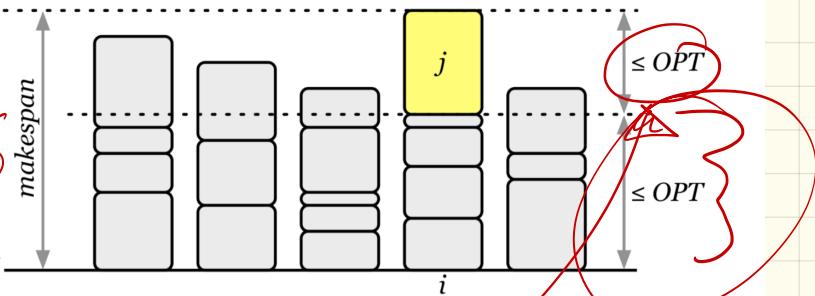
(pf cont)

Now consider machine w/ largest makespan in greedy alg  
↳ machine  $i$ .

Let  $j$  be last job assigned to machine  $i$ .



Better picture :



Proof that GREEDYLOADBALANCE is a 2-approximation algorithm

Obs 1  $\Rightarrow$

Goal:

$$\text{Total}[i] - T[j] \leq \text{OPT}$$

$\hookrightarrow M_i$ 's makespan w/j removed

When  $j$  was assigned,  $M_i$  had lowest makespan

$$\text{Total}[i] - T[j] \leq \text{Total}[k]$$

$\hookrightarrow$  had to be less than or equal to average?

by (2),  $\text{OPT} \geq \text{average}$

Q: Could this be optimal?

(Answer: NO!)

Possibly on hw... )

Note: This is actually an online algorithm

↪ input is not specified ahead of time

Why might this be a useful observation?

Can we do better if given input offline?

Yes!

SORTEDGREEDYLOADBALANCE( $T[1..n], m$ ):

→ sort  $T$  in decreasing order

→ return GREEDYLOADBALANCE( $T, m$ )

Runtime:  $n(\log n + \log m)$

Claim: Makespan of above is  $\leq \frac{3}{2} \circ \text{OPT}$ .

Pf.:

2 cases:

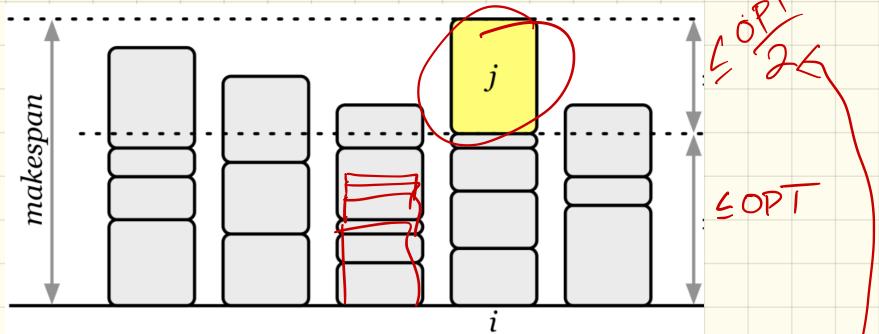
$n \leq m$ : (easy case)

One per machine

→ greedy = OPT  
 $(\leq \frac{3}{2} \circ \text{OPT})$

Otherwise:  $n \geq m$ .

Consider  $i \succ j$  as before:



- Still have:  $\text{Total}[i] - T[j] \leq \text{OPT}$ .

Now: in any schedule, some machine must have 2 of the first  $m+1$  jobs.

$$\rightarrow \text{Say } k + l \leq m+1$$

$$T[k] + T[l] \leq \text{OPT}$$

So:

$$T[j] \leq T[m+1] \leq T[\max\{k, l\}]$$

(since sorted)

$$\leq \frac{\text{OPT}}{2}$$

## Dfs for Approx :

Let  $OPT(x)$  = value of optimal solution

$A(x)$  = value of solution computed by algorithm A

A is an  $\alpha(n)$ -approximation algorithm if:

$$\frac{OPT(x)}{A(x)} \leq \alpha(n) \quad \text{max vs min}$$

and

$$\frac{A(x)}{OPT(x)} \leq \alpha(n)$$

$\underline{-\alpha(n)}$  is called the approximation factor.

So greedy load balancing:

$$A(x) \leq 2 \text{OPT}(x)$$

$$\frac{A(x)}{\widehat{\text{OPT}}(x)} \leq 2$$

$$\frac{\text{OPT}(x)}{A(x)} \geq \frac{1}{2}$$

For this problem:

$$\text{OPT}(x) \leq A(x)$$

# Vertex Cover

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NP-Hard.

Shall we try greedy again?

How should we be greedy?

# Algorithm :

GREEDYVERTEXCOVER( $G$ ):

$C \leftarrow \emptyset$

while  $G$  has at least one edge

$v \leftarrow$  vertex in  $G$  with maximum degree

$G \leftarrow G \setminus v$

$C \leftarrow C \cup v$

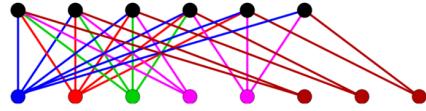
return  $C$

Question : Is this ever optimal?

Q: Is it a 2-approx?

↳ No:

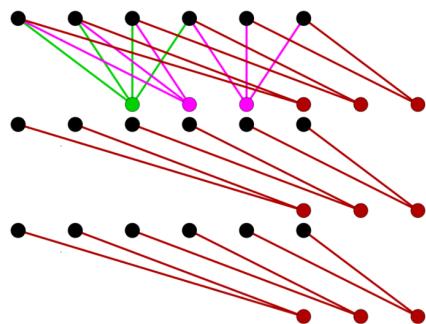
.....



Remove the blue vertex... And add it to the VC



Remove red vertex



OPT:

Greedy:

Thm: Greedy VC is an  $\mathcal{O}(\log n)$  approximation:

$$\text{Greedy} \leq \mathcal{O}(\log n) \cdot \text{OPT}$$

Pf: Let  $G_i$  = graph in  $i^{th}$  iteration.

Let  $d_i = \max \text{ degree in } G_i$

GREEDYVERTEXCOVER( $G$ ):

$C \leftarrow \emptyset$

$G_0 \leftarrow G$

$i \leftarrow 0$

while  $G_i$  has at least one edge

$i \leftarrow i + 1$

$v_i \leftarrow \text{vertex in } G_{i-1} \text{ with maximum degree}$

$d_i \leftarrow \deg_{G_{i-1}}(v_i)$

$G_i \leftarrow G_{i-1} \setminus v_i$

$C \leftarrow C \cup v_i$

return  $C$