

Algorithms - Spring '25

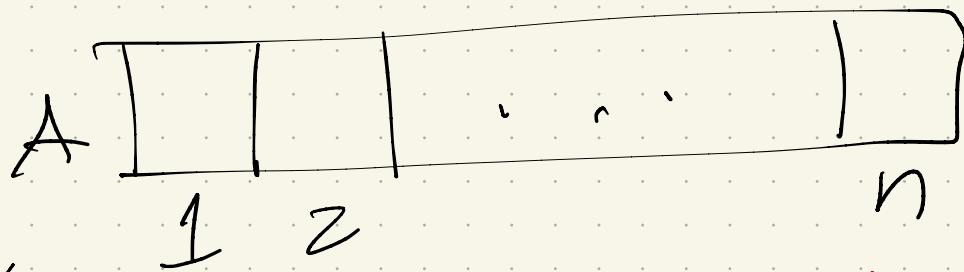
Dynamic Programming:
LIS



Recap: Longest Increasing Subsequence

Why "Jump to the middle"?
Need a recursion!

First: how many subsequences?



→ Could use or skip each #,
so 2^n worst case

Backtracking approach:

At index i :

Result:

Given two indices i and j , where $i < j$, find the longest increasing subsequence of $A[j \dots n]$ in which every element is larger than $A[i]$.

Store last "taken" index i^* .

Consider including $A[j]$:

- If $A[i] \geq A[j]$,

↳ must skip!

- If $A[i]$ is less:

try both options

Recursion:

$$LISbigger(i, j) = \begin{cases} 0 & \text{if } j > n \\ LISbigger(i, j+1) & \text{if } A[i] \geq A[j] \\ \max \left\{ LISbigger(i, j+1), 1 + LISbigger(j, j+1) \right\} & \text{otherwise} \end{cases}$$

Code version: (helper function)

```
LISBIGGER( $i, j$ ):  
    if  $j > n$   
        return 0  
    else if  $A[i] \geq A[j]$   
        return LISBIGGER( $i, j + 1$ )  
    else  
        skip  $\leftarrow$  LISBIGGER( $i, j + 1$ )  
        take  $\leftarrow$  LISBIGGER( $j, j + 1$ ) + 1  
        return max{skip, take}
```

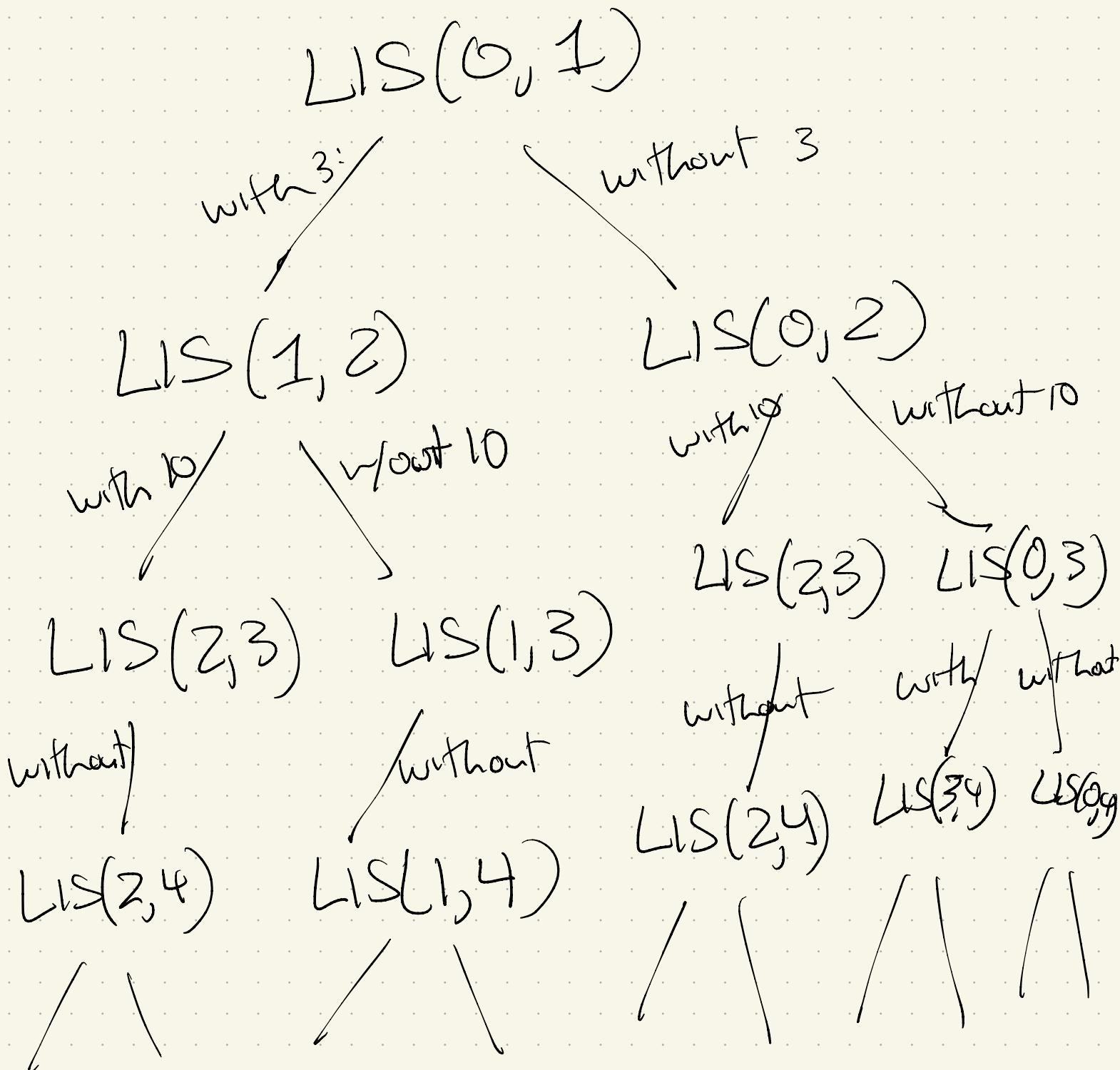
Problem - what did we want??

LIS($A[1..n]$)

So: don't forget our "main":

```
LIS( $A[1..n]$ ):  
     $A[0] \leftarrow -\infty$   
    return LISBIGGER(0, 1)
```

Example: $A: [3, 10, 2, 11, 5, 16]$
 $\hookrightarrow [-\infty, 3, 10, 2, 11, 5, 16]$



Next? memorize?

What sort of calls are we making often?

Can we save them, & avoid recomputing over and over?

$$LISbigger(i, j) = \begin{cases} 0 & \text{if } j > n \\ LISbigger(i, j + 1) & \text{if } A[i] \geq A[j] \\ \max \left\{ \begin{array}{l} LISbigger(i, j + 1) \\ 1 + LISbigger(j, j + 1) \end{array} \right\} & \text{otherwise} \end{cases}$$

```
LISBIGGER(i, j):  
    if j > n  
        return 0  
    else if A[i] ≥ A[j]  
        return LISBIGGER(i, j + 1)  
    else  
        skip ← LISBIGGER(i, j + 1)  
        take ← LISBIGGER(j, j + 1) + 1  
        return max{skip, take}
```

Here:

$$LISbigger(i, j) = \begin{cases} 0 & \text{if } j > n \\ LISbigger(i, j + 1) & \text{if } A[i] \geq A[j] \\ \max \left\{ \begin{array}{l} LISbigger(i, j + 1) \\ 1 + LISbigger(j, j + 1) \end{array} \right\} & \text{otherwise} \end{cases}$$

This is a recursion, but
think for a moment of it
as a function.

After computing, store values!
How many values to store?

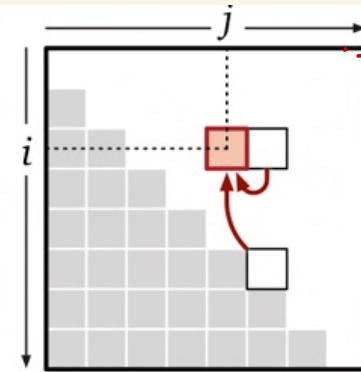
How long to compute each?

Now, can we do the same trick
as Fibonacci memoization,
& convert to something loop-based?

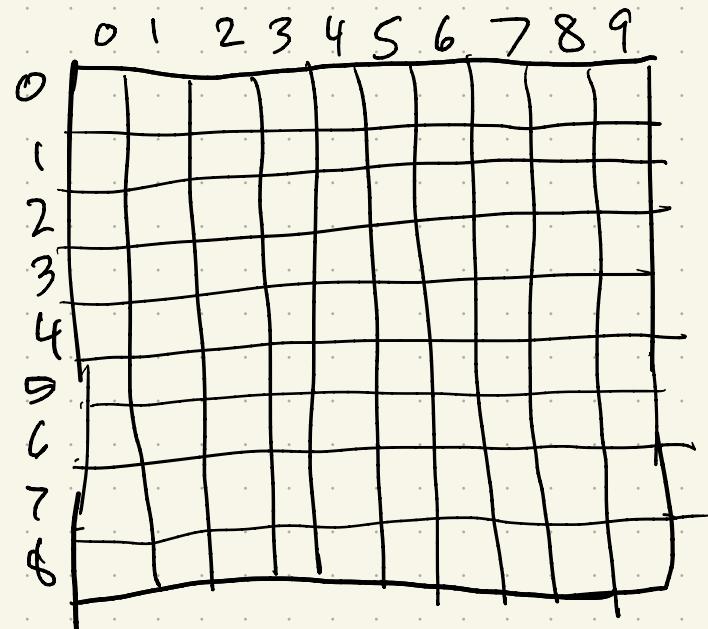
Rethink:

To fill in $L[i][j]$,
what do I need?

So, go in that order!



Ex: $A = [10 \ 2 \ 4 \ 1 \ 6 \ 11 \ 7 \ 9]$

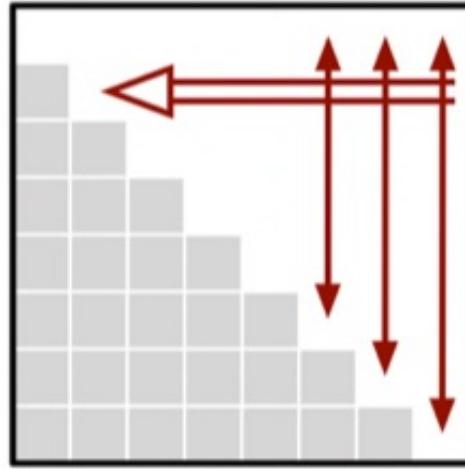


Result:

FASTLIS($A[1..n]$):

```
 $A[0] \leftarrow -\infty$            ⟨Add a sentinel⟩
for  $i \leftarrow 0$  to  $n$           ⟨Base cases⟩
     $LISbigger[i, n + 1] \leftarrow 0$ 
for  $j \leftarrow n$  down to 1
    for  $i \leftarrow 0$  to  $j - 1$       ⟨... or whatever⟩
         $keep \leftarrow 1 + LISbigger[j, j + 1]$ 
         $skip \leftarrow LISbigger[i, j + 1]$ 
        if  $A[i] \geq A[j]$ 
             $LISbigger[i, j] \leftarrow skip$ 
        else
             $LISbigger[i, j] \leftarrow \max\{keep, skip\}$ 
return  $LISbigger[0, 1]$ 
```

Picture:



Edit distance:

HUGE in bioinformatics!

One of the basic tools in sequence alignment.

(I have a book with an entire chapter on how to optimize.)

Also: spell checkers, word prediction,

How to begin? (Recursively!)

ALGORITHM

ALTRUISTIC

Start at end, & ask "obvious" question:

Let's try: A: ALGORITHM

B: ALTRUISTIC

Start at end:

Align:

Insert

Delete:

Example: TGCATAT
to ATCCGAT

TGCATAT

delete last T

TGCATA

delete last A

TGCAT

insert A at the front

ATGCAT

substitute C for G in the third position

ATCCAT

insert a G before the last A

ATCCGAT

TGCATAT

insert A at the front

ATGCATAT

delete T in the sixth position

ATGCAAT

substitute G for A in the fifth position

ATGCGAT

substitute C for G in the third position

ATCCGAT

-	T	G	C	-	A	T	A	T
AT	T	C	C	G	A	T	-	-

-	T	G	C	A	T	A	T
A	T	C	C	G	-	A	T

Input: $A[1..m]$
 $B[1..n]$

Edit(,)

= min {

+ Base cases!

this way:

$$Edit(i, j) = \begin{cases} i & \text{if } j = 0 \\ j & \text{if } i = 0 \\ \min \left\{ \begin{array}{l} Edit(i, j - 1) + 1 \\ Edit(i - 1, j) + 1 \\ Edit(i - 1, j - 1) + [A[i] \neq B[j]] \end{array} \right\} & \text{otherwise} \end{cases}$$

So: what's our "memory"
data structure?

Then, our algorithm:

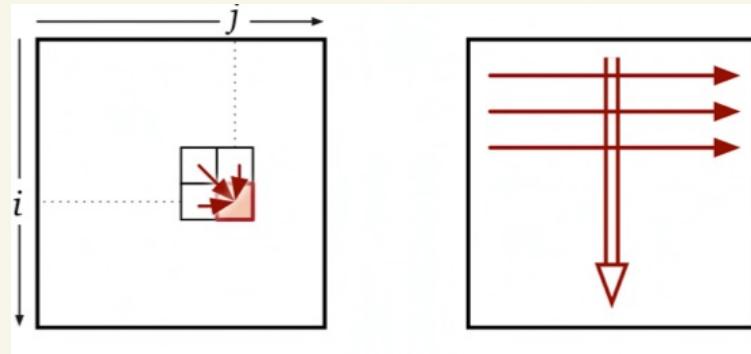
- start w/ base case
(row + column)
- Fill in :

$$Edit(i, j) = \begin{cases} i & \text{if } j = 0 \\ j & \text{if } i = 0 \\ \min \left\{ \begin{array}{l} Edit(i, j - 1) + 1 \\ Edit(i - 1, j) + 1 \\ Edit(i - 1, j - 1) + [A[i] \neq B[j]] \end{array} \right\} & \text{otherwise} \end{cases}$$

Result:

```
EDITDISTANCE( $A[1..m], B[1..n]$ ):  
    for  $j \leftarrow 0$  to  $n$   
         $Edit[0,j] \leftarrow j$   
    for  $i \leftarrow 1$  to  $m$   
         $Edit[i,0] \leftarrow i$   
        for  $j \leftarrow 1$  to  $n$   
             $ins \leftarrow Edit[i,j-1] + 1$   
             $del \leftarrow Edit[i-1,j] + 1$   
            if  $A[i] = B[j]$   
                 $rep \leftarrow Edit[i-1,j-1]$   
            else  
                 $rep \leftarrow Edit[i-1,j-1] + 1$   
             $Edit[i,j] \leftarrow \min \{ins, del, rep\}$   
    return  $Edit[m,n]$ 
```

Picture :



Question:

Can we do better?

A really good question!

Lots of attention in
bioinformatics

Clever divide and conquer
can reduce space.

↳ but will give #, not
sequence, w/out some
nice tricks

Subset sum (revisited)

Key takeaway (I think):

Sometimes, our backtracking recurrences can be memoized

(Note: Sometimes, they can't!

Think n queens.)

Recall:

Given a set $X[1..n]$ of numbers + a target T ,
find a subset of X whose sum is $= T$.

Ch2 solution

«Does any subset of X sum to T ?»

SUBSETSUM(X, T):

```
if  $T = 0$ 
    return TRUE
else if  $T < 0$  or  $X = \emptyset$ 
    return FALSE
else
     $x \leftarrow$  any element of  $X$ 
    with  $\leftarrow$  SUBSETSUM( $X \setminus \{x\}, T - x$ )    «Recurse!»
    wout  $\leftarrow$  SUBSETSUM( $X \setminus \{x\}, T$ )    «Recurse!»
    return (with  $\vee$  wout)
```

«Does any subset of $X[1..i]$ sum to T ?»

SUBSETSUM(X, i, T):

```
if  $T = 0$ 
    return TRUE
else if  $T < 0$  or  $i = 0$ 
    return FALSE
else
    with  $\leftarrow$  SUBSETSUM( $X, i - 1, T - X[i]$ )    «Recurse!»
    wout  $\leftarrow$  SUBSETSUM( $X, i - 1, T$ )    «Recurse!»
    return (with  $\vee$  wout)
```

The recursion
(Note: same thing as code!!)

$$SS(i, t) = \begin{cases} \text{TRUE} & \text{if } t = 0 \\ \text{FALSE} & \text{if } t < 0 \text{ or } i > n \\ SS(i + 1, t) \vee SS(i + 1, t - X[i]) & \text{otherwise} \end{cases}$$

$$SS(i, t) = T \text{ or } F$$

$0 \leq i \leq n$ $0 \leq t \leq T$

So: another 2-d table!

To decide:

$$SS(i, t) = \begin{cases} \text{TRUE} & \text{if } t = 0 \\ \text{FALSE} & \text{if } t < 0 \text{ or } i > n \\ SS(i+1, t) \vee SS(i+1, t-X[i]) & \text{otherwise} \end{cases}$$

↑ ↑
 look at these 2
 cells.

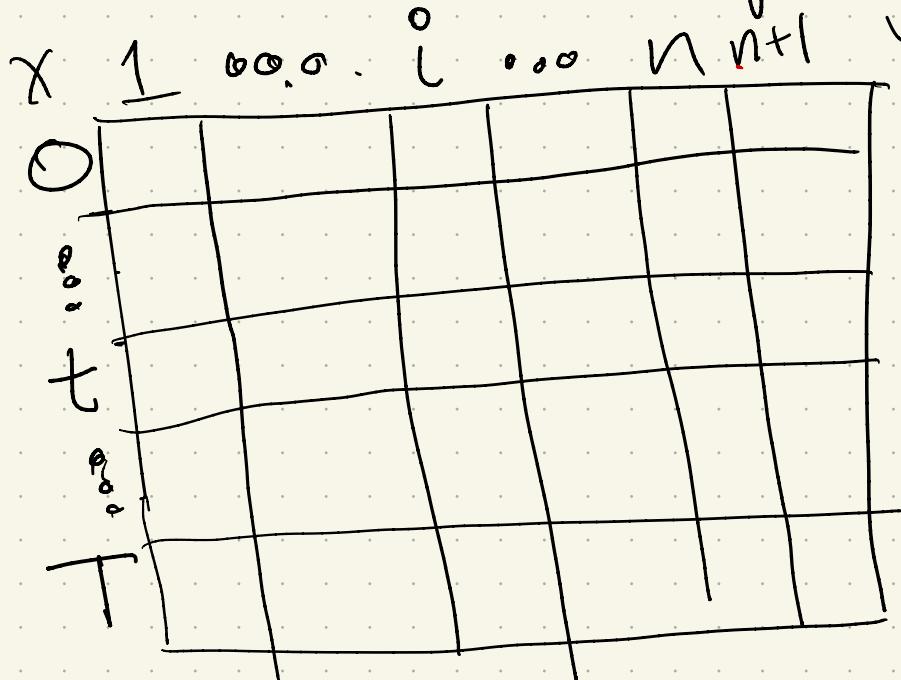
One note: if $t - X[i] < 0$, wasting time!
 Equivalent to:

$$SS(i, t) = \begin{cases} \text{TRUE} & \text{if } t = 0 \\ \text{FALSE} & \text{if } i > n \\ SS(i+1, t) & \text{if } t < X[i] \\ SS(i+1, t) \vee SS(i+1, t-X[i]) & \text{otherwise} \end{cases}$$

Now - need to code this:

$$SS(i, t) = \begin{cases} \text{TRUE} & \text{if } t = 0 \\ \text{FALSE} & \text{if } i > n \\ SS(i+1, t) & \text{if } t < X[i] \\ SS(i+1, t) \vee SS(i+1, t-X[i]) & \text{otherwise} \end{cases}$$

How should our loops go?



Fill:

Hs code :

```
FASTSUBSETSUM( $X[1..n]$ ,  $T$ ):  
     $S[n+1, 0] \leftarrow \text{TRUE}$   
    for  $t \leftarrow 1$  to  $T$   
         $S[n+1, t] \leftarrow \text{FALSE}$   
    for  $i \leftarrow n$  downto 1  
         $S[i, 0] = \text{TRUE}$   
        for  $t \leftarrow 1$  to  $X[i]-1$   
             $S[i, t] \leftarrow S[i+1, t]$     «Avoid the case  $t < 0$ »  
        for  $t \leftarrow X[i]$  to  $T$   
             $S[i, t] \leftarrow S[i+1, t] \vee S[i+1, t-X[i]]$   
    return  $S[1, T]$ 
```

Correctness :

Time/Space Analysis :

Note:

How big is this, & is it even a good idea??

Input: number T and array $X[1..n]$

table has a column for every number $1..T$.

How bad?

Well, X could be a list of 5000 #s, but T could be in the millions!

(lots of empty columns, many of which are impossible to hit!)