

# Advanced Data Structures

(a,b)-trees  
+

Bit vectors



# Recap :

Last time: B-trees, &  
external memory model

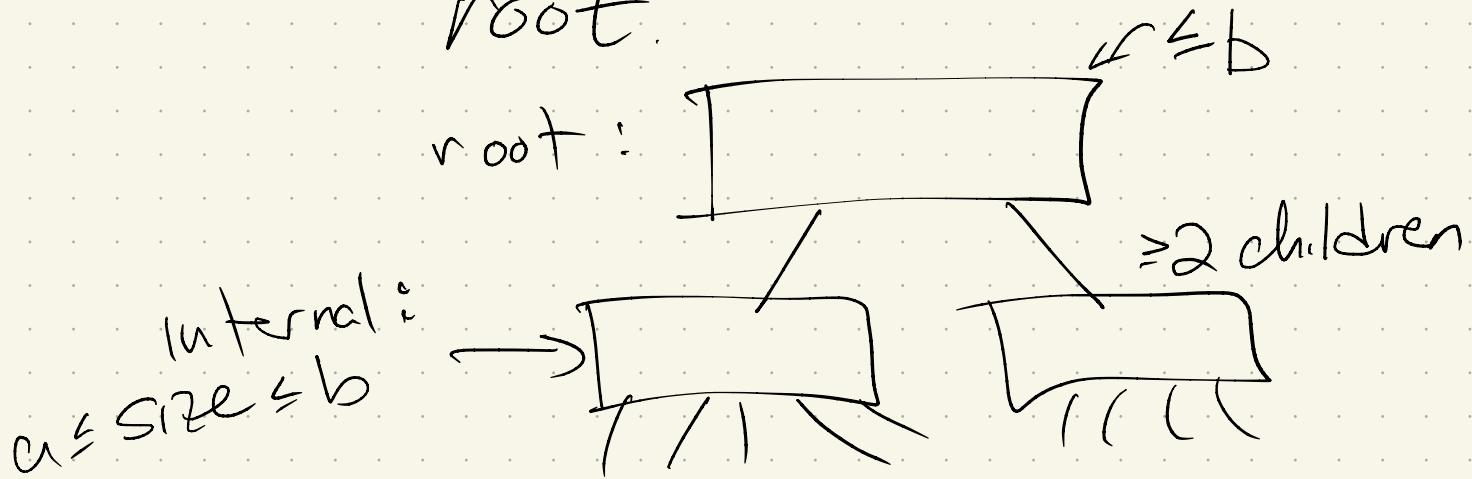
This time: Back to "normal"  
analysis

(External coming again?  
soon...)

Let  $a, b$  be constants, with  
 $2a < b$ .

An  $(a, b)$ -tree is similar  
to a B-tree:

- the root has between  $2 \leq b$  children
- every internal node has between  $a \leq b$  children
- all leaves have the same distance to the root.



[So B-trees  $\approx (B/2, B)$ -trees  
in this notation]

$(a, b)$ -trees:

Most of the implementation & analysis is the same as for B-trees!

Since  $2a < b$ , still can use amortized accounting method.

Result:  $\frac{\log b}{\log a} \cdot \log n$

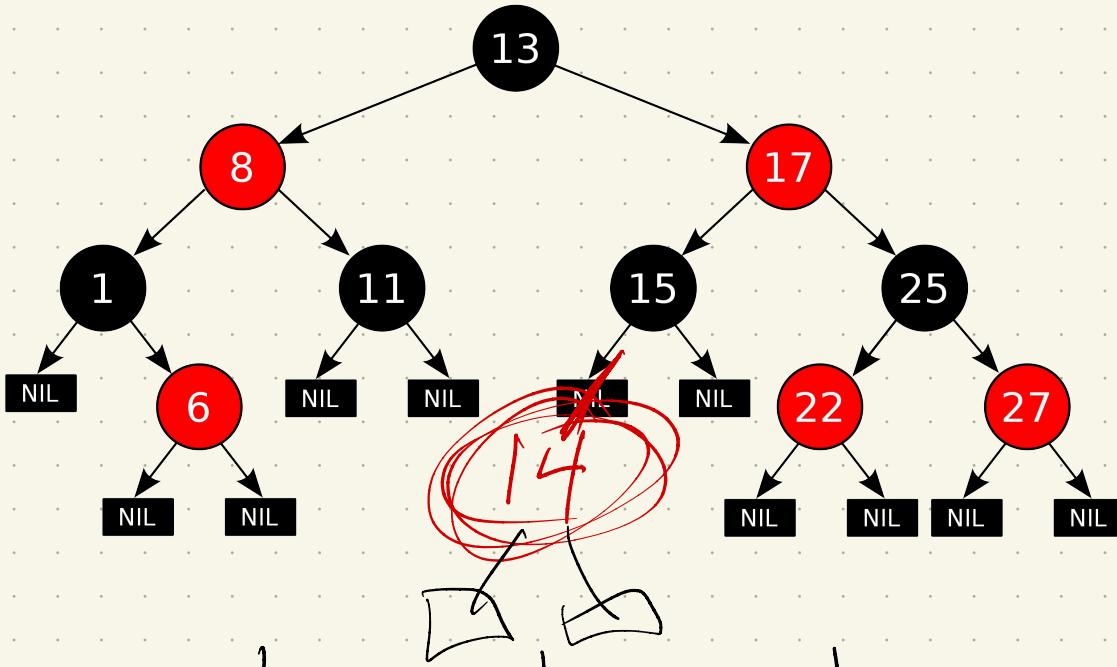
$$= \log_a b \cdot \log n$$

Neat connection to red-black trees (if you've seen them)

Dm: A red-black tree is a BST, where each node is red or black, with the following structure:

- 1: the root is black
- 2: Every "NULL" leaf is black
- 3: The children of a red node are black
- 4: All leaves have the same "black depth":  
# of node ancestors colored black - 1.

Picture:



Insert: color red

(b/c keeps 4<sup>th</sup> + 2<sup>nd</sup> property)

But: 3<sup>rd</sup> may be violated!

Solution: rotations!

Result:  $\log_2 n \leq \text{height} \leq 2 \log_2 n$

Next: Cool Connection

(See slides in link...)

Next data structure:

What if we restrict inputs?

Goal: Have a bounded set of possible elements, & want to store which ones are in my set

i.e: subset of 32-bit integer  
or list of names  
(all  $\leq 30$  chars)

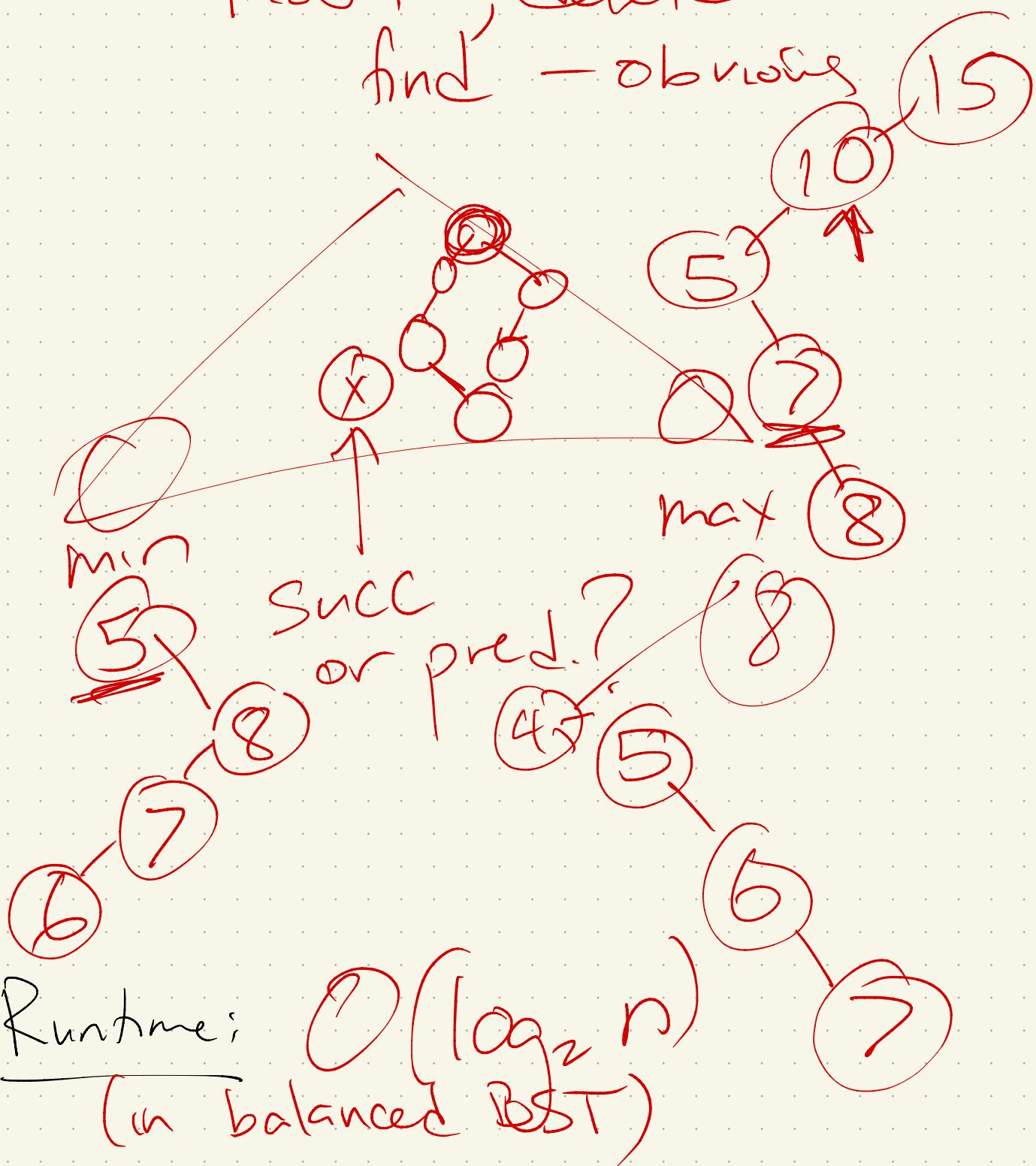
### Operations

- insert( $x$ )
- find( $x$ )
- delete( $x$ )
- max/min
- Successor( $x$ )
- Predecessor( $x$ )

Note: BSTs can do all  
of this!

How?

Insert, Delete &  
find - obvious



Better approach:

Use bounded set!

Prior example: Radix Sort:

n elements, from 1 to k

Sort Digit 0	Sort Digit 1	Sort Digit 2	Final Result
9 5 4	4 1 1	0 0 9	0 0 9
3 5 4	9 5 4	4 1 1	3 5 4
0 0 9	3 5 4	9 5 4	4 1 1
4 1 1	0 0 9	3 5 4	9 5 4

0 1 -- 9

Each can be written using  
~~log k~~ bits.

Runtime:  $\log_2 k \cdot (n + k)$

Here : Values 1..U so  
size to store is  $\omega =$

Bitvector approach :

length  $U$  vector of bits

§ 13

$U$   
↓

B.

110110001011101110001001101010111100110111101111

$B[i] = 1$  means  $i$  is in set

insert ]  
delete  
lookup

$O(1)$

min / max:

$O(U)$

pred / successor:

$O(\sqrt{U})$

000000000000000000000000000010000000000000000000000  
↓  
sum

# Tiered Bitvector:

Put a summary on top of the vector. W/B  
OR the bits

1	0	1	0	1	1	0	0
00100010	00000000	00011000	00000000	00000100	11110111	00000000	00000000

B

How to search / update:

Success: check for next value in x's block  
if none, move up + scan upper tier (ceil(B))  
Move down + find min in low block

Runtime:

$$B + \frac{U}{B} + B$$
$$= O\left(B + \frac{U}{B}\right)$$

How to find "best" value for B?

# Calculus!

Minimize  $O\left(\frac{U}{B} + B\right)$ :

$$\frac{d}{dB} \left( UB^{-1} + B \right) = 0$$

$$\Rightarrow -UB^{-2} + 1 = 0$$

$$1 = UB^{-2} \Rightarrow B^2 = U$$

Solve for  $B$ :

$$B = \sqrt{U} = U^{1/2}$$

Runtime:  $O(B + \frac{U}{B})$

$$= O\left(\sqrt{U} + \frac{U}{\sqrt{U}}\right)$$

$$\geq O(\sqrt{U})$$

# What about deleting?

1	0	1	0	X 0	1	0	0
001000X0	00000000	00011000	00000000	00000X00	11110111	00000000	00000000

10                            0

- 1 delete in bottom  
 $O(1)$

Is-empty  
~ if empty, delete top  
 $(0 \rightarrow 1)$

Runtime:

$O(\sqrt{m})$