# **Programming Assignment #2**

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#### 1. Environment

- · Mac OS (Monterey) M1 chip
- Python 3.10.3 (release March 16, 2022)

### 2. How to compile

Before compiling dt.py, python version 3 must be installed in your system.

[wonnx@wonnx project\_2\_decision\_tree % python3 dt.py dt\_train.txt dt\_test.txt dt\_result.txt

- Execution file name: dt.py
- Training file name: dt\_train.txt, dt\_train1.txt
- Test file name: dt\_test.txt, dt.test1.txt
- Output file name: dt\_result.txt, dt\_result1.txt

## 3. Summary of algorithm

Decision Trees are a non-parametric supervised learning method used for classification and regression. The goal is to create a model that predicts the value of a target variable by learning simple decision rules inferred from the data features.

- Create decision tree in a top-down recursive divided-and-conquer manner.
- At start, all the training examples are at the root.
- Examples are partitioned recursively based on the selected test attributes.
- The test attributes are selected based on a heuristic or statistic measure.

(In this case in used information gain.)

#### 4. Detailed description of codes

```
if __name__ == "__main__":
         input_file = open(sys.argv[1], 'r')
         result = input_file.readline()
         attributes_name = result.strip().split('\t')
         class_name = attributes_name[-1]
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         train_samples = list()
         attributes = defaultdict(set)
         class_label = set()
71
         while(True):
73
             dic = dict()
74
             line = input_file.readline().strip()
             if not line: break
             for idx, val in enumerate(line.split('\t')):
                  dic[attributes name[idx]] = val
77
                  attributes[attributes_name[idx]].add(val)
79
             class_label.add(dic[class_name])
             train_samples.append(dic)
         input_file.close()
```

In order to train the decision tree model using the training data set given as the project specification, the samples were transferred to various types of data containers.

- **train\_samples** is in the form of a list, which is a list containing training samples in the form of a dictionary.
- attributes are in the form of a dictionary, and the values that each attribute can has are collected
  in a set form. Since it is a collection of the attribute values of each sample of the training data, if
  the training data is not enough or have a lot of noise, the decision tree model may not be properly
  trained.
- class\_label is the form of list, which contains the values that class label can have.

```
# Algorithm for Decision Tree Induction
# use top-down recursive divide-and-conquer manner
def decision_tree(train_samples, attributes, class_label):

# If all samples for given node belong to the same class
# then stop the partitioning process
class_name = list(attributes)[-1]
labels = set([s[class_name] for s in train_samples])
if len(labels) == 1: return list(labels)[0]

# If there are no remaining attributes for further partitioning
# majority voting is employed for classifying the leaf
if len(attributes) == 1:
    class_count = dict.fromkeys(sorted(set(class_label)), 0)
    for s in train_samples: class_count[s[class_name]] += 1
    return Counter(class_count).most_common()[0][0]
```

When training the decision tree model, there are three conditions under which partitioning process should be stopped. In the code above, two out of three conditions are shown.

- All samples for given node belong to the same class.
   If all training samples have the same class label, we do not partition the decision tree anymore, and return the corresponding class label.
- There are no remaining attributes for further partitioning.
  A test attribute must be selected for partitioning, but if the attribute no longer exists, partitioning is not performed, and a class label is selected through majority voting.

```
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         dic = dict()
         total = len(train_samples)
         for A in list(attributes.keys())[:-1]:
             info_A = 0
             for val in attributes[A]:
29
                 new_samples = [s for s in train_samples if s[A] == val]
30
                 class_count = Counter([s[class_name] for s in new_samples])
                 s_total = sum(class_count.values())
                 entropy = 0
                 for cnt in class_count.values():
                     entropy += -(cnt/s_total) * math.log(cnt/s_total, 2)
34
                 info_A += (s_total/total)*entropy
             dic[A] = info_A
         test_attribute = min(dic.keys(), key = lambda k : dic[k])
```

Among the attributes other than the class, the one with the highest information gain is selected as a new test attribute. In order to the information gain to be the largest, the sum of entropy of data samples divided by the corresponding attribute should be the smallest.

$$Info(D) = -\sum_{i=1}^{m} p_i \log_2(p_i)$$

- Pi is the probability that an arbitrary tuple in partitioned data samples which belongs to class.
- Obtain the entropy of each divided data set, add then all, and obtain the expected information when the samples are divided by the test attribute.

```
# Create decision tree recursively
subtree = dict()

subtree[test_attribute] = dict()

for val in attributes[test_attribute]:

new_samples = [s for s in train_samples if s[test_attribute] == val]
new_attributes = copy.deepcopy(attributes)

del new_attributes[test_attribute]

if len(new_samples) == 0:

class_count = dict.fromkeys(sorted(set(class_label)), 0)

for s in train_samples:

class_count[s[class_name]] += 1

subtree[test_attribute][val] = Counter(class_count).most_common()[0][0]

else: subtree[test_attribute][val] = decision_tree(new_samples, new_attributes, class_label)

return subtree
```

The decision tree is implemented by putting a dictionary in a dictionary. When the selection of the test

attribute with the largest information gain is completed, the data samples are divided using the corresponding attribute and a subtree is created.

- If the size of the divided data sample is not 0, the subtree is recursively created.
- However, if there are no samples with each value of the attribute, the corresponding node is filled through majority voting, rather than recursively create a subtree.

```
test_file = open(sys.argv[2], 'r')
          test_attributes_name = test_file.readline().strip().split('\t')
          test_samples = list()
          while(True):
              dic = dict()
              line = test_file.readline().strip()
              if not line: break
              for idx, val in enumerate(line.split('\t')):
                  dic[test_attributes_name[idx]] = val
              test_samples.append(dic)
          test_file.close()
          for sample in test_samples:
              result += '\t'.join(list(sample.values())) + '\t'
              result += classify(decision_tree, sample, list(attributes.keys())[:-1]) + '\n'
          result_file = open(sys.argv[3], 'w')
          result_file.write(result)
104
          result_file.close()
```

Classify the class label of the test data using the decision tree learned using the training data. In the same way as when training the decision tree, the test data were divided into several data containers.

• test samples is type of list, which contains test samples in the form of a dictionary.

```
# Find the leaf node recursively for the test data set

def classify(dt, sample, attributes):
    node = list(dt.keys())[0]
    next_node = sample[node]
    if isinstance(dt[node][next_node], str): return dt[node][next_node]
    else: return classify(dt[node][next_node], sample, attributes)
```

For each test sample, traverses the decision tree and classifies the class label value. The decision tree is a form that contains a dictionary in the dictionary. If the value in the dictionary is a string, it indicates a class label, and if it is a dictionary, it means that the data set is partitioned by the test attribute.

### 5. Testing result

```
student credit_rating
                                         Class:buys_computer
     <=30
             high
                     no fair
                                 no
     <=30
                         excellent
             hiah
                                     no
                     no
     31...40 high
                     no
                         fair
                                 yes
     >40 medium no
                     fair
     >40 low yes fair
                         yes
     >40 low yes excellent
                             no
     31...40 low yes excellent
                                 yes
     <=30
             medium
                    no
                         fair
                                 no
     <=30
             low yes fair
     >40 medium yes fair
                             yes
     <=30
             medium yes excellent
                                      yes
     31...40 medium
                     no excellent
                                      yes
14
     31...40 high
                     yes fair
                                 ves
     >40 medium no excellent
                                 no
```

dt\_train.txt

The pircture above is the picture of training data samples. The first line shows attributes and class, and the attribute and class values of each training sample are shown from the next line. The form of the decision tree trained using this training data is as follows.

```
{'age': {'<=30': {'student': {'yes': 'yes', 'no': 'no'}}, '31...40': 'yes', '>40': {'cred it_rating': {'fair': 'yes', 'excellent': 'no'}}}
```

The decision tree is the form of dicionary in the dictionary, and if it is no longer partitioned, a class label is included. The above results are graphically shown as follows.



Using the above learned decision tree, classify the class label of the test data.

```
student credit_rating
age income
<=30
         low no
                  fair
                                              age income student credit_rating
<=30
                  yes fair
                                              <=30
                                                     low no fair
31...40 low no
                                              <=30
                                                     medium yes fair
                                                                        yes
                                              31...40 low no
                                                             fair
                                                                    yes
>40 high
             no
                  fair
                                                         no fair
                                              >40 high
                                                                    yes
>40 low yes excellent
                                              >40 low yes excellent
```

The test data is a sample that has values of attributes other than class as shown in the picture on the left. The result of classifying the test data using the decision tree is shown in the picture on the right.

```
C:\Users\labor\data>dt_test.exe dt_result.txt dt_answer.txt
5 / 5
C:\Users\labor\data>dt_test.exe dt_result1.txt dt_answer1.txt
320 / 346
```

The picture above is the result of scoring using the testing program. I did not get the best accuracy by setting the max depth of the tree, but I was able to get a good accuracy of about 92.4%.