

# Introduction to Machine Learning

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# Agenda

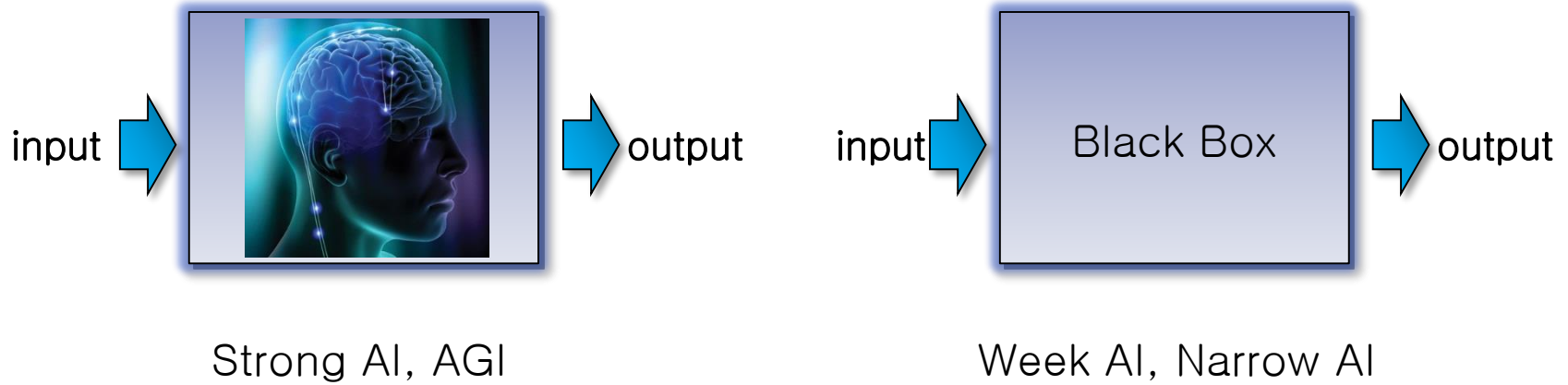
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- Introduction
- Machine Learning Models
- Types of Machine Learning
- Machine Systems
- k-Nearest Neighbor
- Q&A

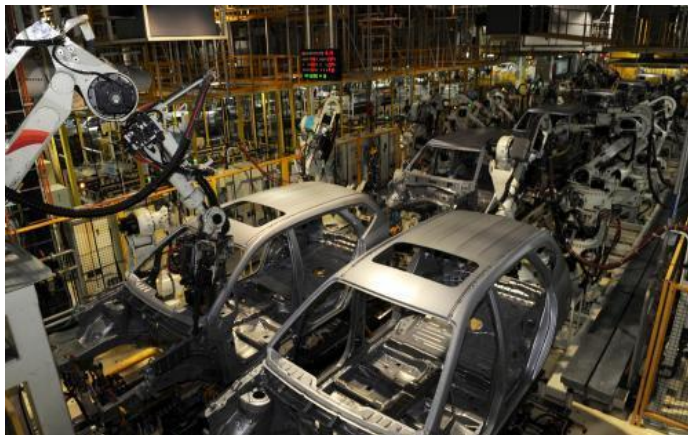
# Artificial Intelligence

- Artificial intelligence (AI) is **the intelligence** exhibited by machines or software
- **Automation of tasks** that require intelligence
  - Recognition, prediction, learning, natural language processing, planning, reasoning, etc.



# AI vs. Conventional SW

- Conventional SW
  - Perform tasks by following **predefined algorithm**
- Artificial intelligence targets
  - **Complex tasks** hard to solve by a fixed procedure
  - Tasks under **changing environment**
  - Decisions under **uncertainty** or **ambiguity**

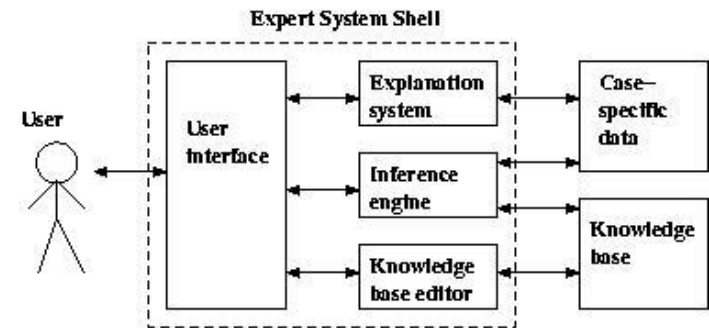


vs.

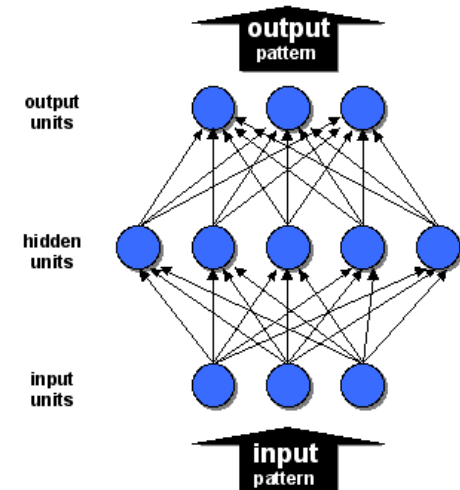


# Two Major Approaches to AI

- Knowledge-based approach
  - Rules derived from designer's knowledge
  - **Symbolic AI**
  - Ex) IBM Watson



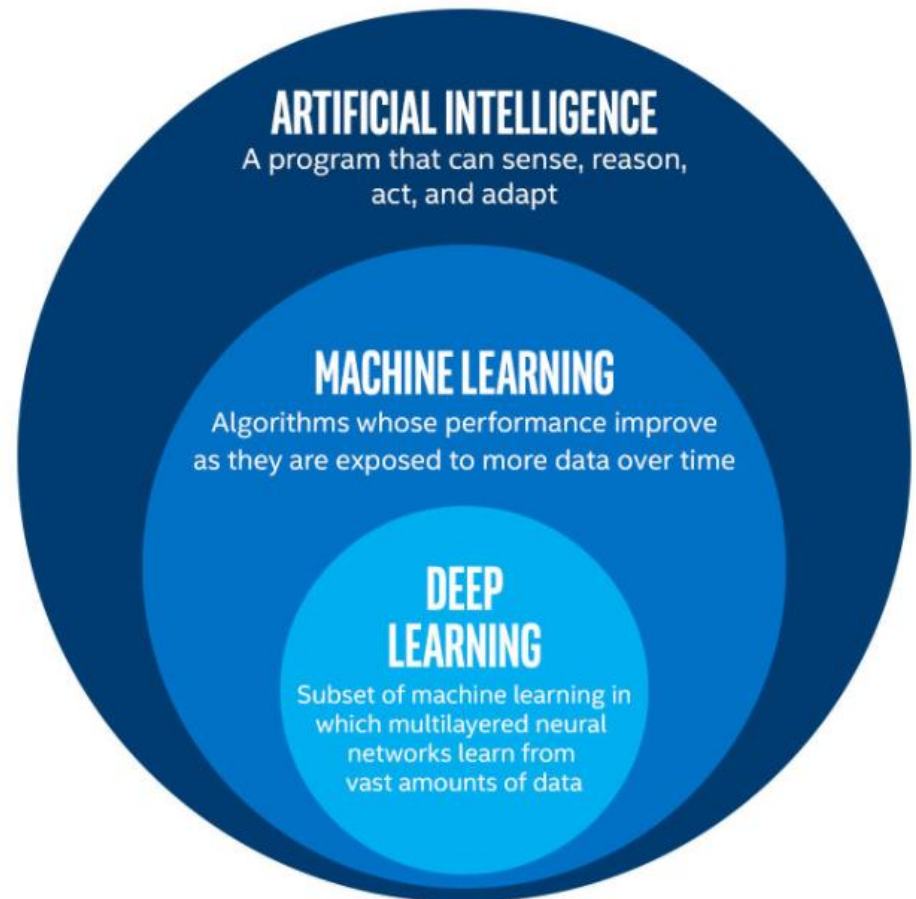
- Data-driven approach (machine learning)
  - Learn from data
  - **Connectionist AI**
  - Ex) AlphaGo



Given sufficient training samples,  
data-driven approach can be better  
than knowledge-based approach

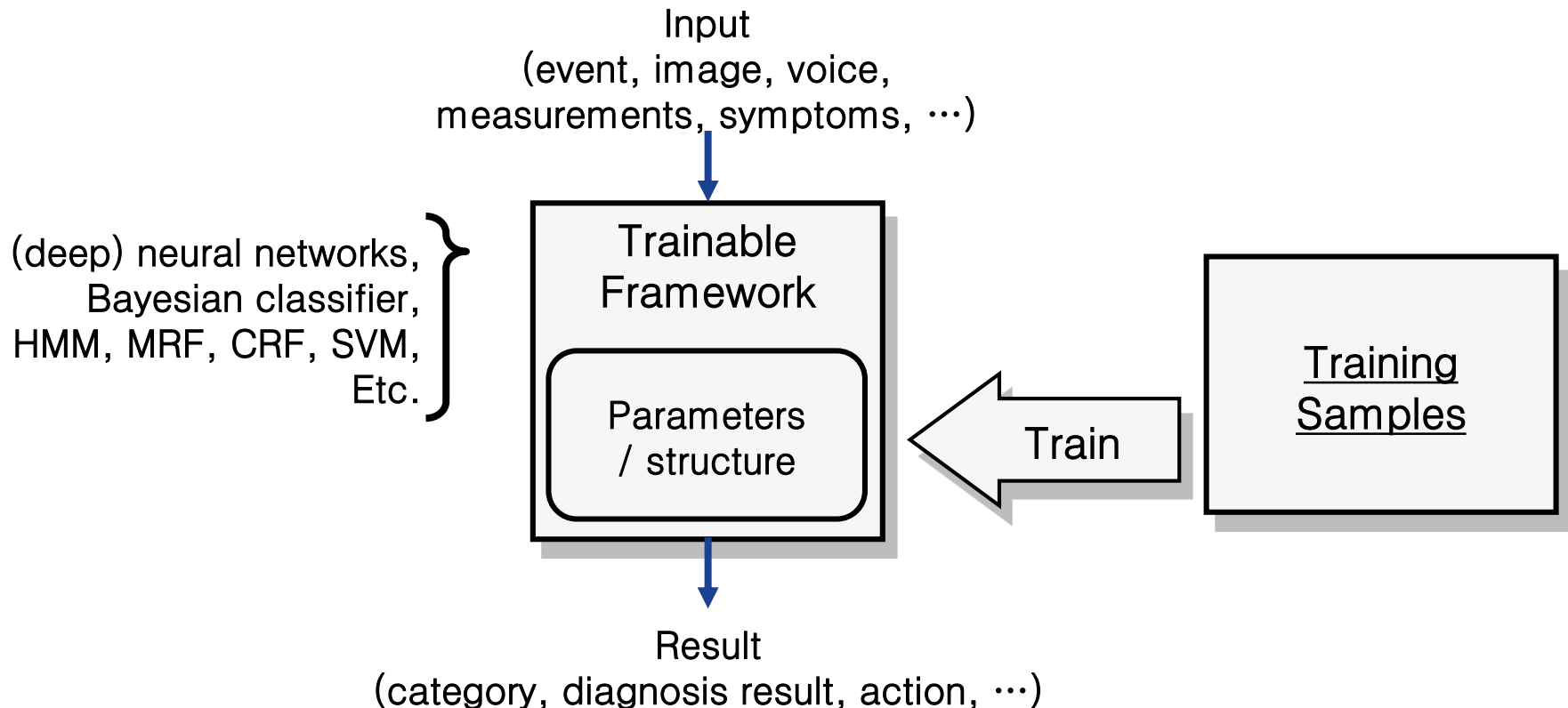
# AI, Machine Learning, Deep Learning

- **Artificial intelligence**: the **intelligence** exhibited by machines or software
- **Machine learning**: a field of study that gives computers the ability to **learn from data** without being explicitly programmed.
- **Deep learning**: a branch of machine learning based on a set of algorithms that attempt to model **high-level abstractions in data**, mostly, based on **deep neural networks**.



# Machine Learning

- Field of study that gives computers the ability to learn without being explicitly programmed.
  - Data-driven approach



# Classification

- The act of taking in raw data and taking an action based on the **category** (or class) of the data.
  - Input: a data (event, object, observation, ...) that belongs to one of predefined categories
  - Output: category of the input event





# Regression

- Regression: techniques for modeling and analyzing several variables focusing on the relationship between a dependent variable and independent variables (or 'predictors').

Ex) Predicting a **variable** from other variables

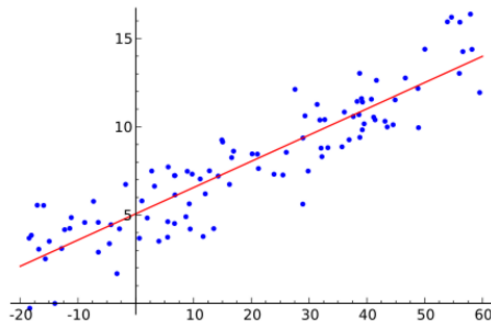
(bankrupt prediction, sales prediction, object coordinate estimation, ... )



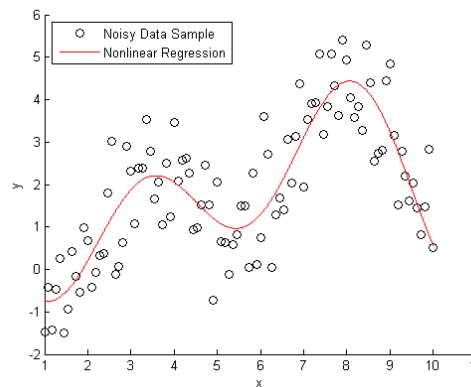
# Regression

- Regression model:  $\hat{y} \approx F(X; \theta)$ 
  - The form of function  $F$  is specified.
  - $\theta$ : set of model parameters

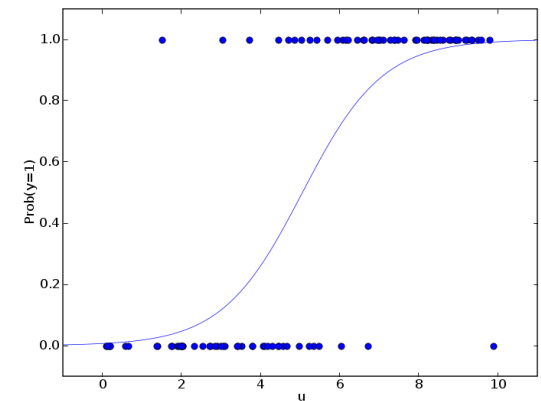
linear regression



nonlinear regression



logistic regression



# Machine Learning Methodologies

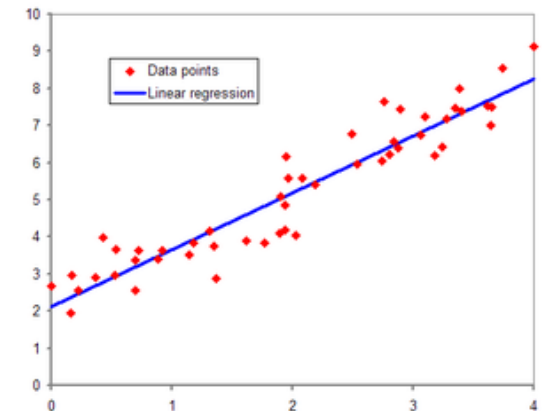


- Linear tasks
  - Linear regression/classification (simple and explainable)
- Nonlinear tasks (simple)
  - Decision tree (explainable)
  - Tree ensemble (Random Forests, GBM, XGBoost)
  - ANN (versatile, scalable)
  - SVM (good generalization)
- Nonlinear tasks (complex)
  - Image: CNN
  - Sequence, time-series data: RNN, CNN, Transformers
- Probabilistic modeling (generation, transform, anomaly detection)
  - Gaussian models, GMM, HMM
  - GAN, VAE, auto-regressive models, flow-based models etc.

# Linear Models

## ■ Linear regression

$$\hat{y}_j = w_{1j}x_1 + w_{2j}x_2 + \cdots + b_j = \sum_i w_{ij}x_i + b_j$$



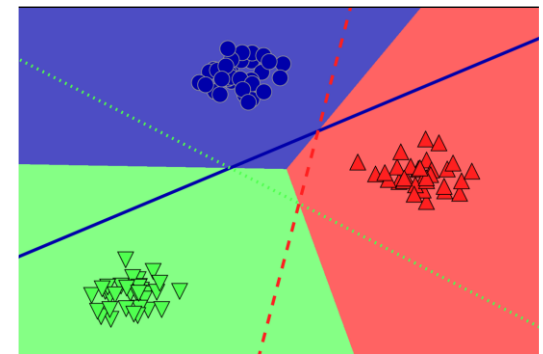
## ■ Linear classification

- Discriminant function of a class  $j$  :

$$f_j(x) = \sum_i w_{ji}x_i + b_j$$

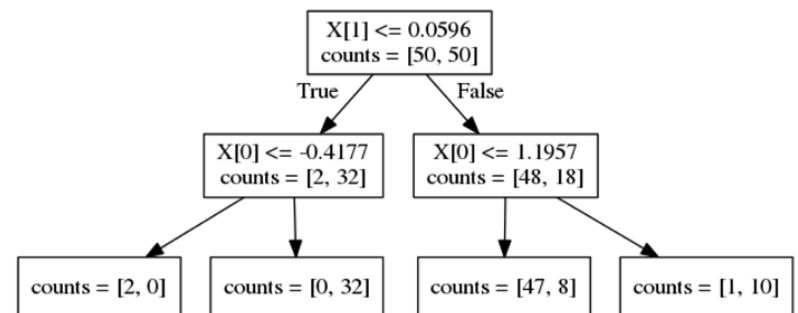
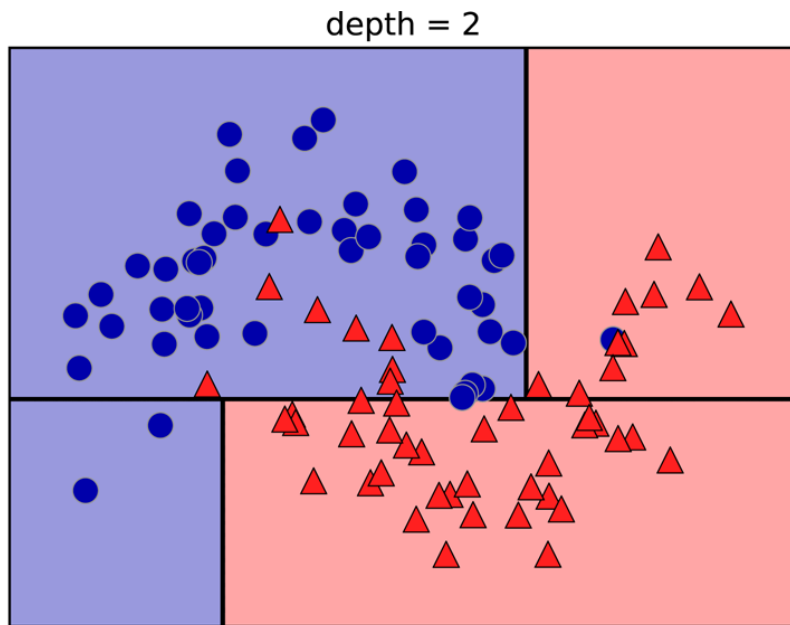
- Decision rule

$$\hat{y} = \operatorname{argmax}_j [f_j(x)]$$



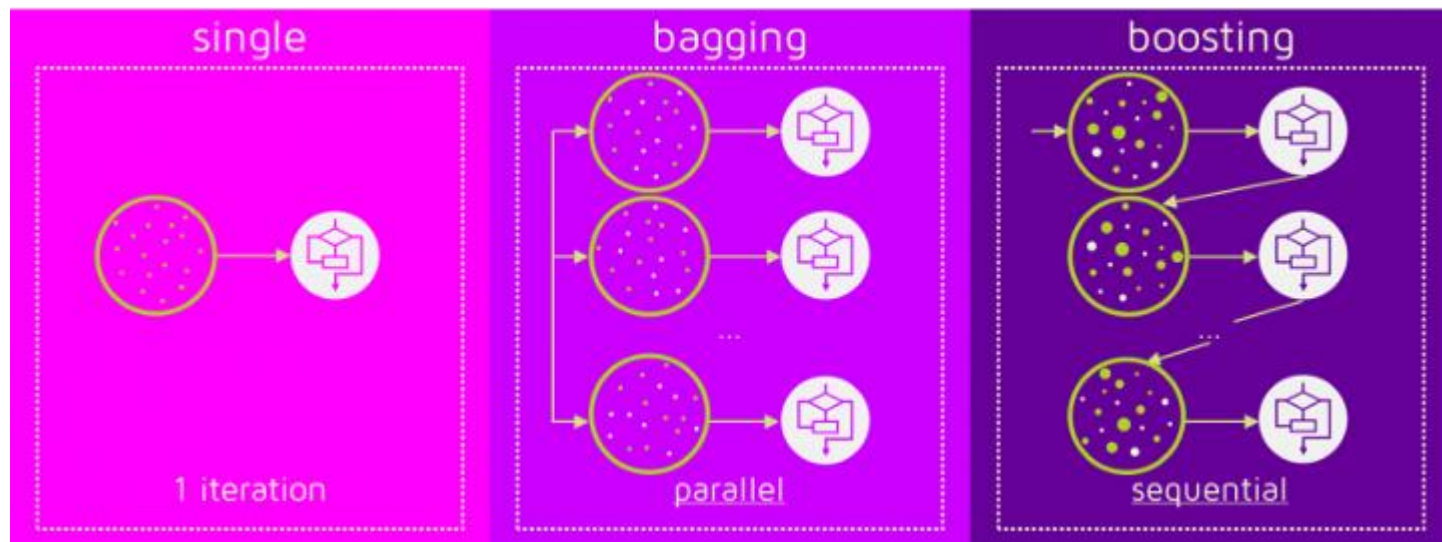
# Decision Trees

- Decision tree: a tree structure that represents a hierarchy of if/else questions leading to a decision.
  - If/else question (feature, threshold) splits feature space



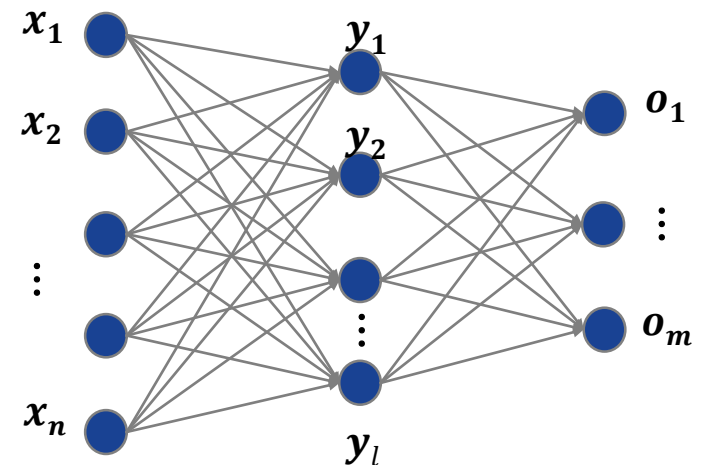
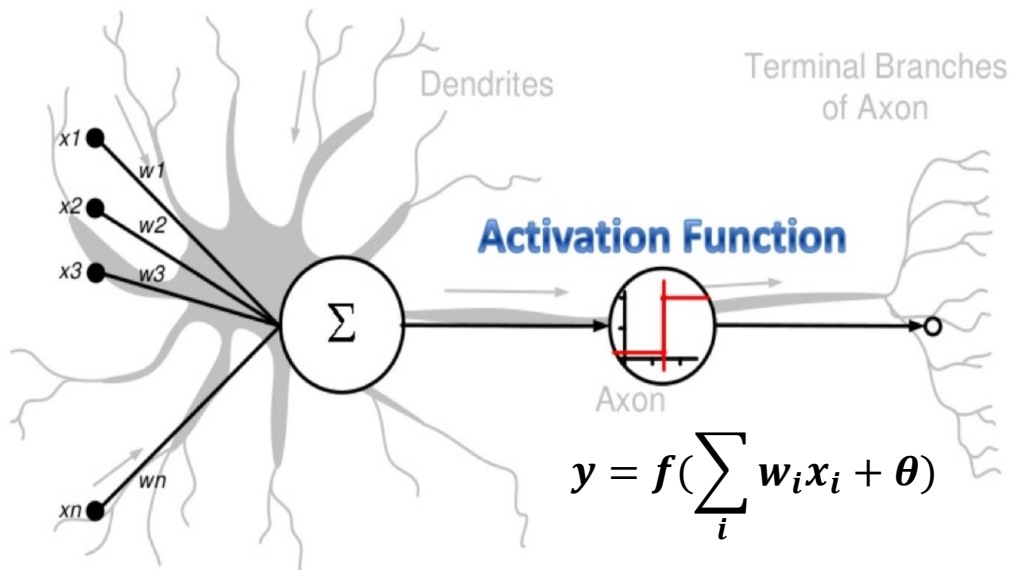
# Ensemble

- Bagging: parallel combination
  - Reduces variance
  - Ex) Random forest
- Boosting: sequential combination
  - Primarily reduces bias, and also variance
  - Ex) AdaBoost, GBM, XGBoost, etc.



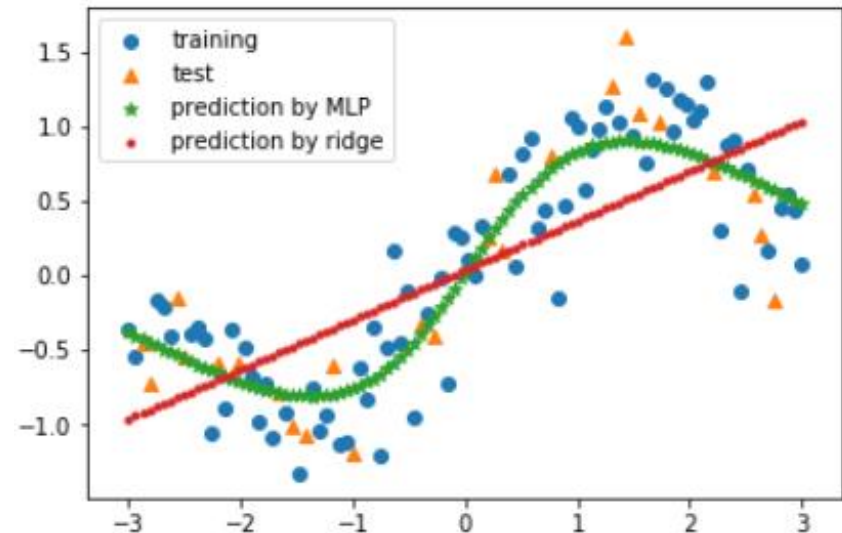
# Neural Networks

- An artificial neural network is a mathematical model inspired by biological neural networks.
  - Intelligence comes from their connection weights
  - Connection weights are decided by learning or adaptation



# Neural Networks

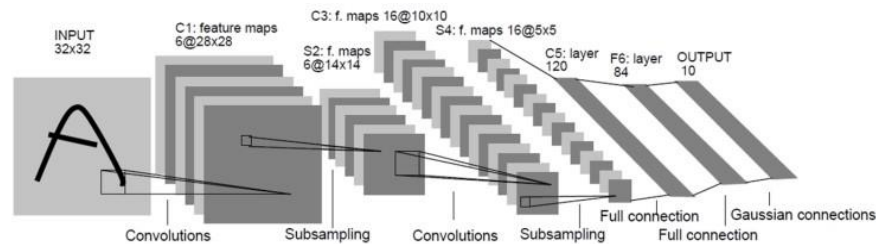
- Universal approximation theorem
  - Neural network with nonlinear hidden layers can approximate any Borel measurable function
  - Can approximate any linear/nonlinear functions
- Applicable to various tasks
  - Classification
  - Regression
  - Generation, transform
  - Anomaly detection



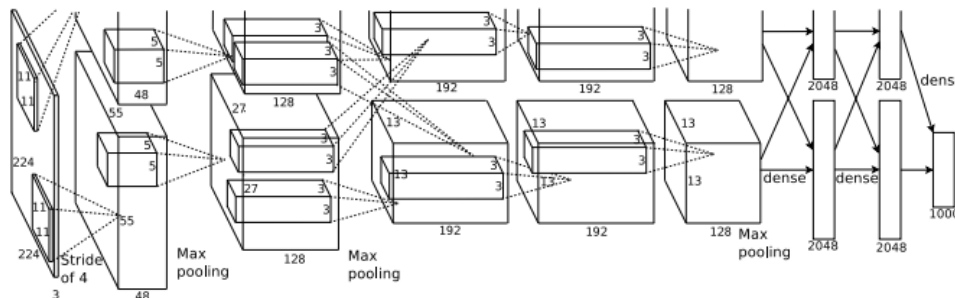


# Convolutional Neural Networks

- **Convolutional Neural networks (CNN)**: a class of deep feed-forward network designed to mimic human/animal visual systems
  - LeNet 1998, MNIST, CPU

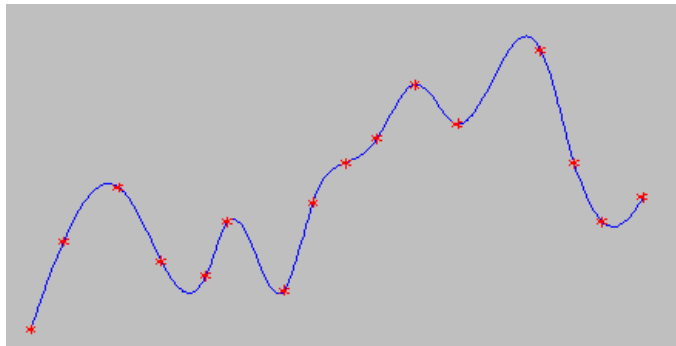


- AlexNet 2012, ImageNet, GTX 580 x 2

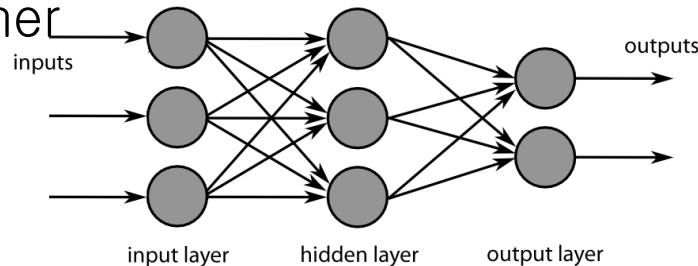


# Recurrent Neural Networks

- Artificial neural net designed to analyze sequence or time series data
  - Many real world data are dependent on the previous or next data.

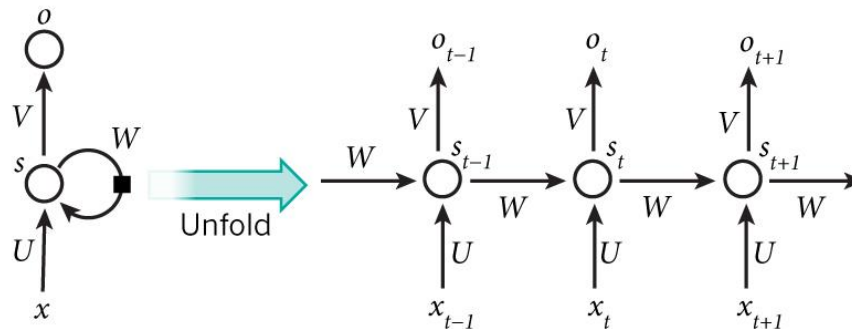


- Feed forward networks assumes all inputs are independent from each other



# Recurrent Neural Networks

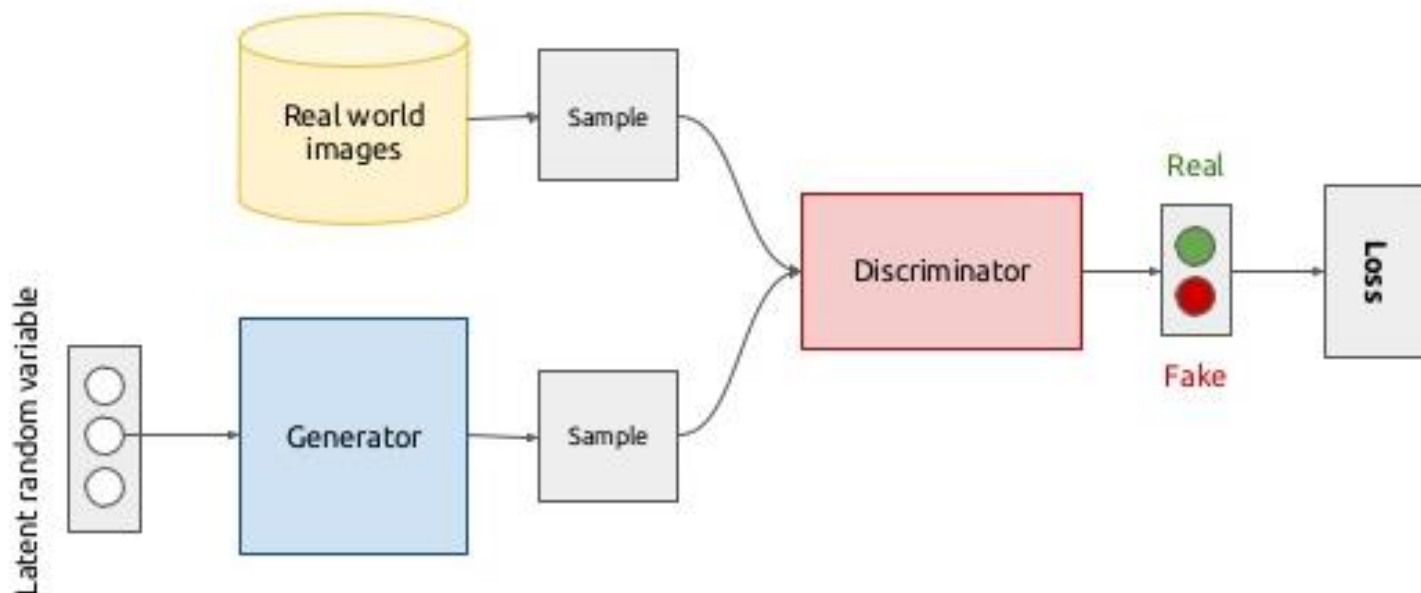
- Recurrent neural network is a neural network specialized for processing a sequence of values  $x^{(1)}, x^{(2)}, \dots, x^{(\tau)}$ .
  - Neural networks with recurrent connection
  - State of nodes affect the output and the next state
  - Model for dynamic process
  - Temporarily shared connections



# Generative Adversarial Networks (GANs)

- I. Goodfellow, et.al, “Generative Adversarial Nets,” 2014. 6.

$$\min_G \max_D V(D, G) = \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})} [\log(1 - D(G(\mathbf{z})))]$$



# BigGAN

- A. Brock, et al., “LARGE SCALE GAN TRAINING FOR HIGH FIDELITY NATURAL IMAGE SYNTHESIS” 2018.
  - Large-scale GAN training using large batch
  - Truncation trick for random noise generation
    - Trade-off between variety and fidelity)
  - Orthogonal regularization to the generator



Figure 1: Class-conditional samples generated by our model.



source

destination



Coarse styles copied



Middle styles copied



Fine styles



# Agenda

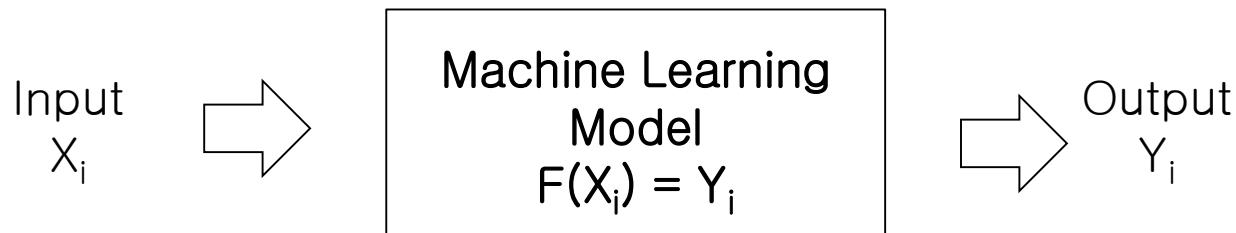
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# Types of Machine Learning

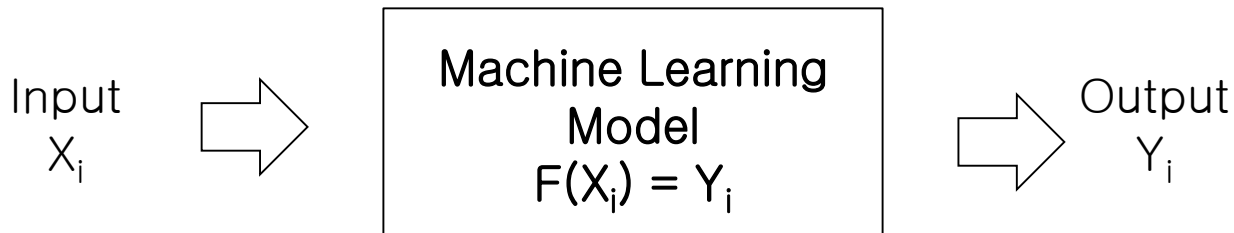
- Supervised learning (labeled data)
  - Learning **with teacher**
- Unsupervised learning (unlabeled data)
  - Learning **without teacher**
- Semi-supervised learning (partially labeled data)
  - Hybrid of supervised/unsupervised learning
- Reinforcement learning (reward)
  - Learning **from critics**



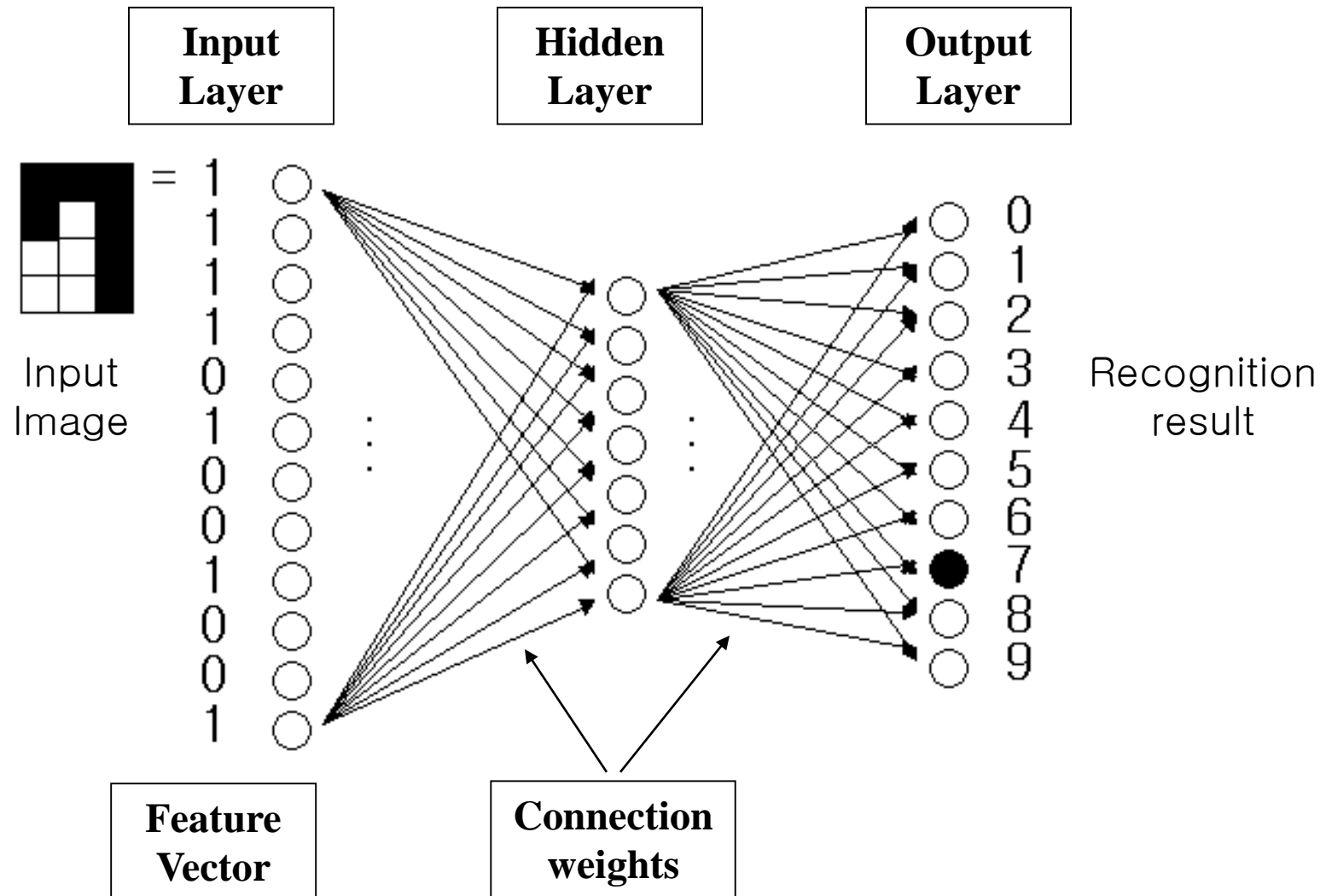


# Supervised Learning

- **Supervised learning** is the machine learning task of inferring a function from **labeled training data**.
  - Learn to produce desired outputs for each training samples
  - Given a set of labeled training samples  $\{ (X_1, Y_1), (X_2, Y_2), (X_3, Y_3) \dots \}$ , learn  $F(X_i) = Y_i$

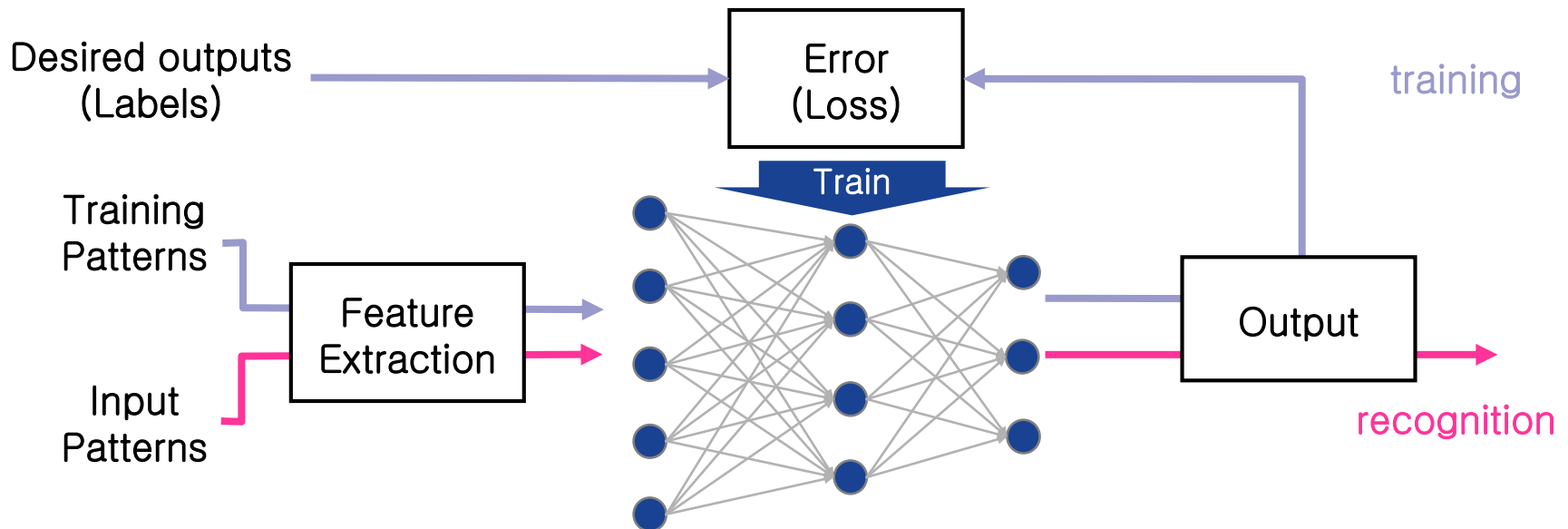


# Ex) Digit Recognition using Neural Network

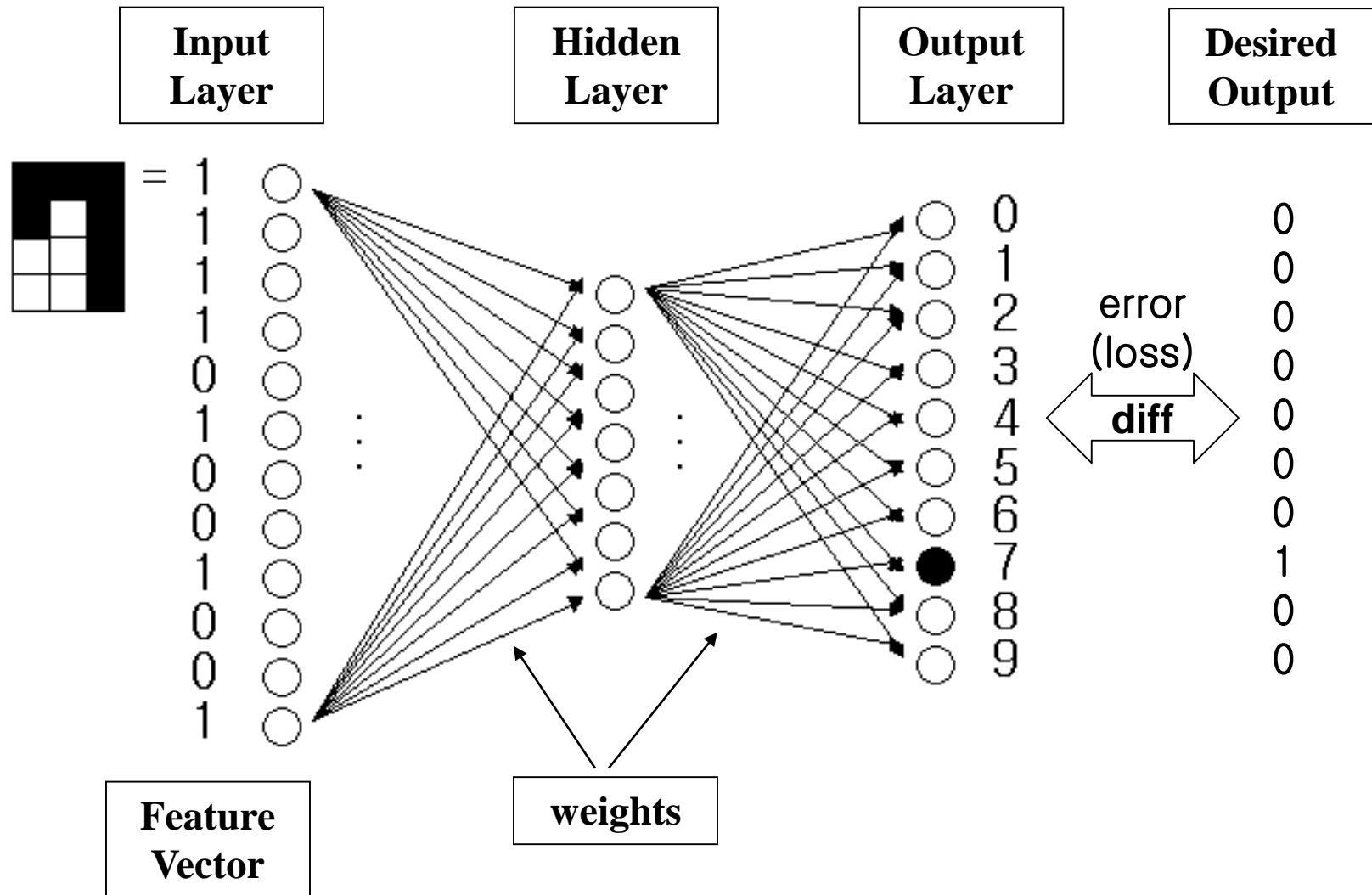


# Ex) Building Neural Network Recognizer

1. Design network structure
2. Collect or acquire training samples (with labels)
3. **Train connection weights**
  - Given **training samples** and **desired outputs**, find **weights** that minimizes error.
4. Apply the trained neural network to target data



# Neural Networks Classifier



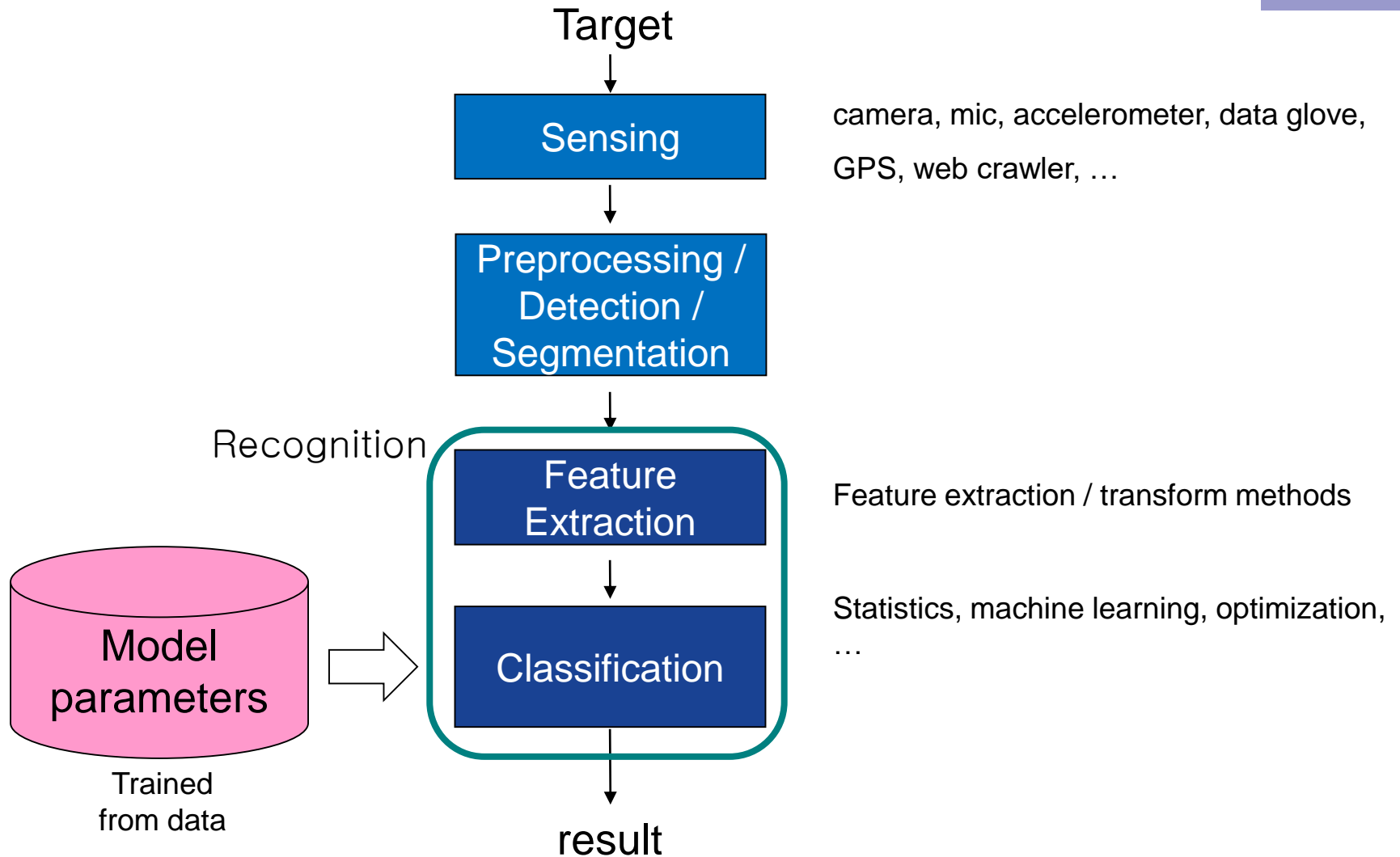
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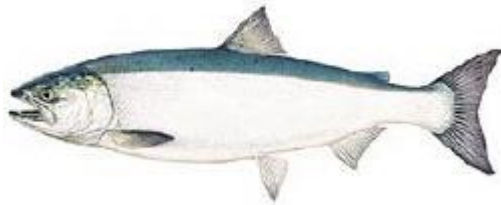
# Recognition System



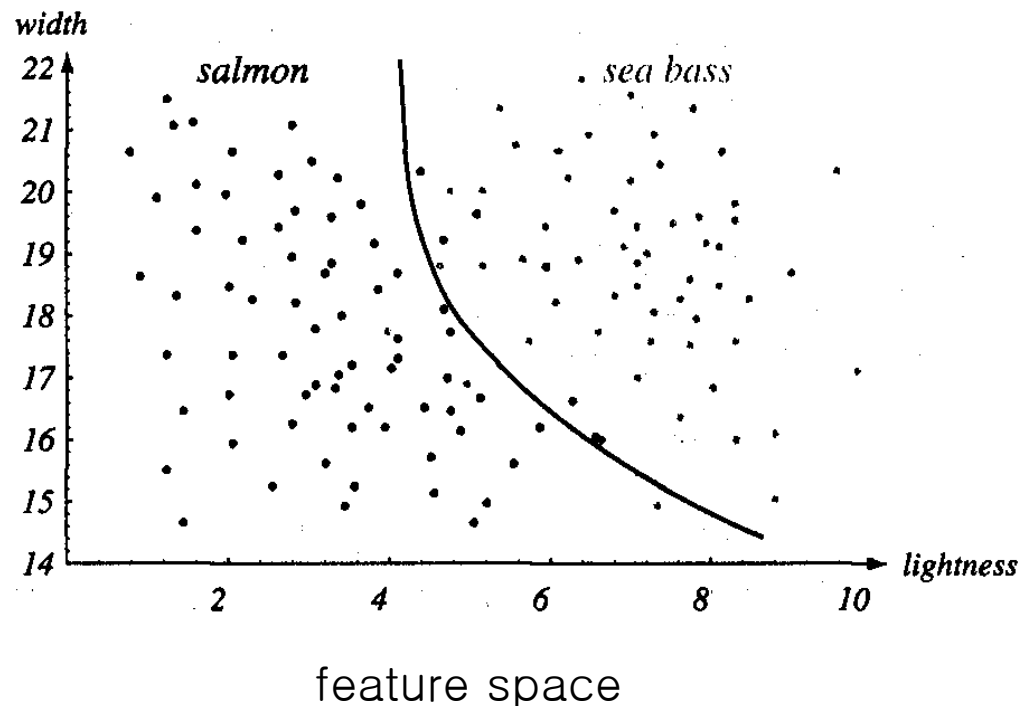
# Feature Space

- Representation of fish: (lightness, width)

salmon



sea bass



# Why Feature Vector?

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- Representation of data or concept
  - Most machine learning models takes vectors (or sequences) as input
  - Focus on relevant information while ignoring irrelevant information
  - Desirable properties
  - Efficient



# Good Representation

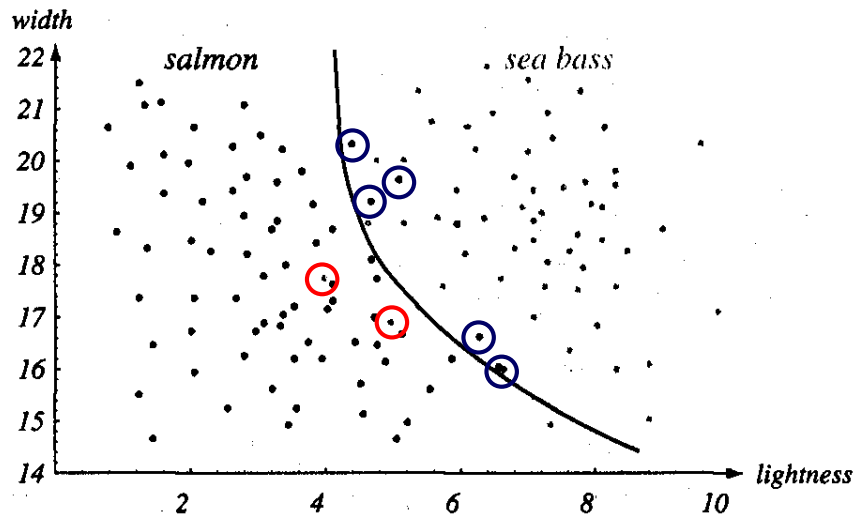
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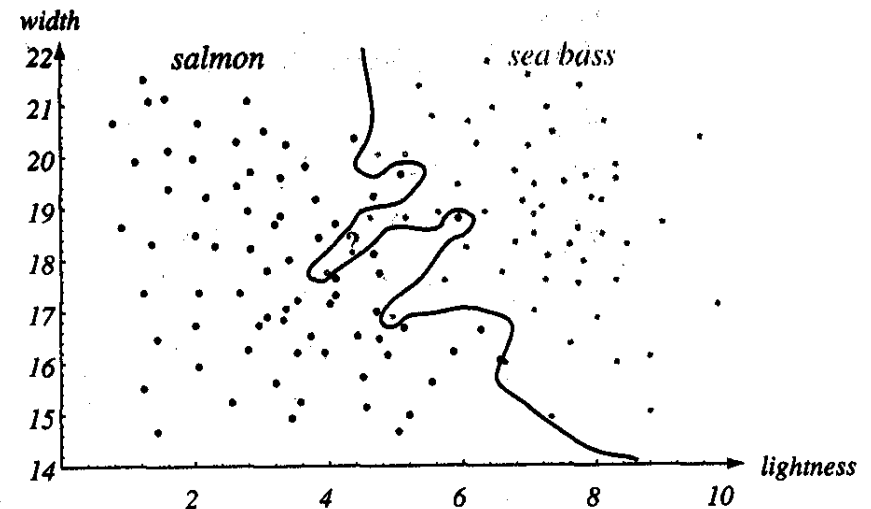
- Inclusive of rich information
- Independence (no redundancy)
- Accessibility (disentangling knowledge)
- Efficiency, sparsity, etc.
- Meaningful distance/similarity measure

# Complexity and Generalization Ability

- Complex classifier requires more training data
  - Otherwise, suffer from overfitting
- Simple classifier has advantage on unknown patterns



Simple model



Too complex model

# Agenda

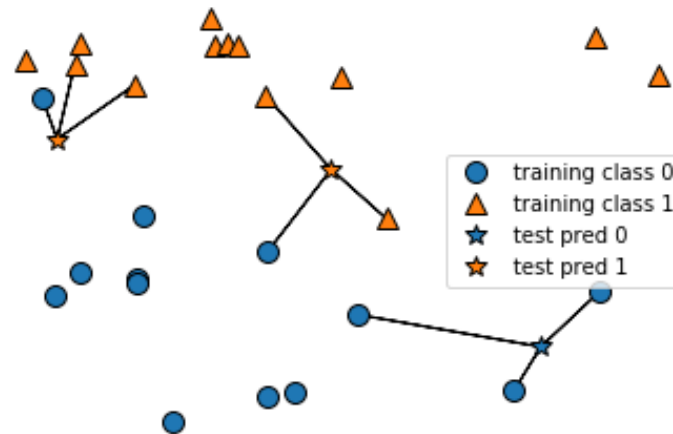
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# k-Nearest Neighbor Algorithm

- A non-parametric method used for classification and regression.
  - The input consists of the **k** closest training examples in the feature space.
  - **Classification**: the input object is classified by a plurality vote of its neighbors
  - **Regression**: the output is the average of the values of k nearest neighbors.

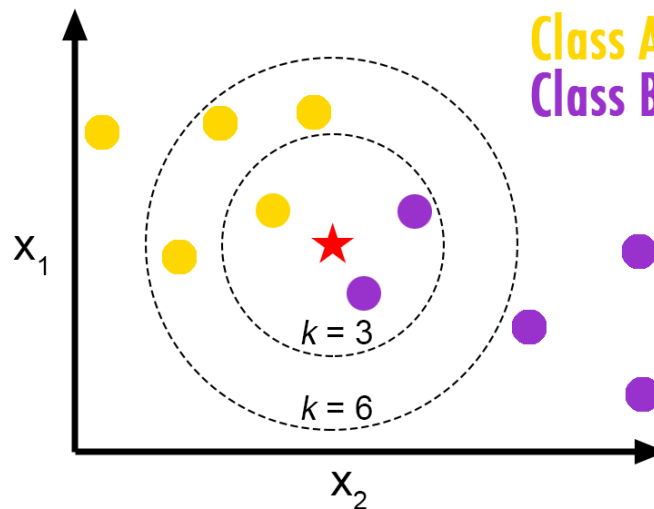


# K-NN Classifier

- Approximate  $P(\omega_j|X)$  by distribution of classes around  $X$

$$P(\omega_j|X) \approx \frac{N_j}{\sum_j N_j} = \frac{N_j}{k}$$

- $\omega_j$ : classes,  $N_j$ : # of class- $j$  samples among neighbors
- $k$  controls the size of neighborhood (typically, 1, 3 or 5)



# k-Nearest Neighbor Algorithm

- Upper-bound of error rate

$$R^* \leq R_{kNN} \leq R^* \left( 2 - \frac{MR^*}{M-1} \right)$$

- $R^*$ : Bayesian error rate (optimum)
- $M$ : # of classes

- Limitations

- Relies on distance metric
- Not applicable to a large volume of data

- Combined with metric learning

- Deep learning + k-NN → few-shot learning

# k-NN using scikit-learn

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- Import packages

```
import numpy as np
import sklearn as sk
```

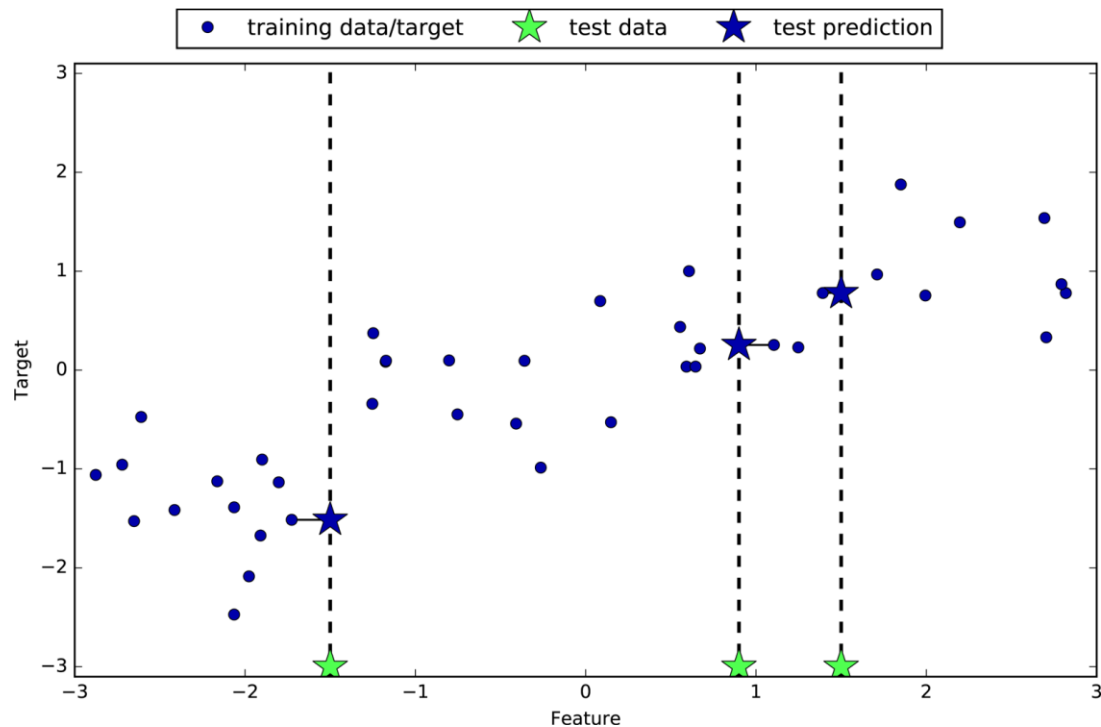
- Load dataset

```
from sklearn.datasets import load_iris
iris_dataset = load_iris()
```

```
from sklearn.model_selection import train_test_split
X_train, X_test, y_train, y_test = train_test_split(
    iris_dataset.data., iris_dataset.target, random_state=0)
```

# k-Nearest Neighbor Regression

- k-NN regression
  - Find k-nearest neighbors
  - Prediction = average value of the neighbors





# K-NN using scikit-learn



- Create and train kNN classifier

```
from sklearn.neighbors import KNeighborsClassifier  
knn = KNeighborsClassifier(n_neighbors=3)  
knn.fit(X_train,y_train)
```

- Apply to new samples

```
X_new = np.array([[5,2.9,1,0.2]])    # 2D array  
prediction = knn.predict(X_new)  
print("prediction = {} ({}).format(prediction.squeeze(),  
                                     iris_dataset.target_names[prediction].squeeze()))
```

- Evaluate

- print("Accuracy = {}".format(knn.score(X\_test, y\_test)))

# Numpy Functions: reshape()



## ■ Creating a 1D array

- `a = np.arange(0, 20)`
- `print("a.shape = ", a.shape)`  
`a.shape = (20,)`
- `print(a)`

```
[ 0  1  2  3  4  5  6  7  8  9 10 11 12 13 14 15 16 17 18 19]
```

## ■ reshape()

- `b = np.reshape(a, (4, 5))`
- `print("b.shape = ", b.shape)`  
`b.shape = (4, 5)`
- `print(b)`

```
[[ 0  1  2  3  4] [ 5  6  7  8  9] [10 11 12 13 14] [15 16 17 18 19]]
```

# Numpy Functions: `expand_dims()`

- `expand_dims()`
  - parameter `axis` specifies the new axis
  - `# the new dimension becomes axis 0`
  - `c = np.expand_dims(a, axis = 0)`
  - `print("c.shape = ", c.shape)`  
`c.shape = (1, 20)`
  - `print(c)`  
`[[ 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19]]`
  - `# the new dimension becomes axis 1`
  - `d = np.expand_dims(a, axis = 1)`
  - `print("d.shape = ", d.shape)`  
`d.shape = (20, 1)`
  - `print(d)`  
`[[ 0] [ 1] [ 2] [ 3] [ 4] [ 5] [ 6] [ 7] [ 8] [ 9] [10] [11] [12] [13]`  
`[14] [15] [16] [17] [18] [19]]`

# Numpy Functions: squeeze()

- `squeeze()` removes single dimensional entries
  - `print("c.shape = ", c.shape)`  
`c.shape = (1, 20)`
  - `f = np.squeeze(c)`
  - `print("f.shape = ", f.shape)`  
`f.shape = (20,)`
  - `print(f)`  
`[ 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19]`
- `print("d.shape = ", d.shape)`  
`d.shape = (20, 1)`
- `g = np.squeeze(d)`
- `print("g.shape = ", g.shape)`  
`g.shape = (20,)`
- `print(g)`  
`[ 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19]`



Thank you  
for your attention!

