# Semantic Analysis

#### The role of semantic analysis is to assign meaning

- "It smells fishy."
- Lexical analysis
  - > Tokenizes "It", "smells", "fishy", "."
  - Determines noun, verb, adjective, punctuation token types
- Syntax analysis
  - > Parses the grammatical structure of the sentence
- Semantic analysis

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  - Determines noun, verb, adjective, punctuation token types
- Syntax analysis
  - > Parses the grammatical structure of the sentence
- Semantic analysis
  - > Assigns meaning to the words "It", "smells", "fishy"
  - > Flags error if the sentence does not make sense

# Semantic Analysis = Binding + Type Checking

- "I don't wanna eat that sushi."
  - "It smells fishy."
    - > "It": the sushi
    - > "smells": feels to my nose
    - > "fishy": that the sushi has gone bad
- "The professor says that the exam is going to be easy."
  - "It smells fishy."
    - > "It": the situation
    - > "smells": feels to my sixth sense
    - > "fishy": that it is highly suspicious

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  - "It smells fishy."
    - "It": the situation
    - "smells": feels to my sixth sense
    - > "fishy": that it is highly suspicious
- Semantic analysis consists of two tasks
  - > Binding: associating a pronoun to an object
  - > Type checking: inferring meaning based on type of object

#### Semantic analysis cannot be done during parsing

- Context Free Grammars (CFGs) cannot recognize bindings
  - > Every use of a name needs to be bound to the declaration.
  - Name can refer to a variable, function, class, ...
  - Names are called symbols in semantic analysis
- To do bindings, a CFG must recognize this language:

$$\{\alpha \mathbf{c}\alpha | \alpha \in (\mathbf{a}|\mathbf{b})^*\}$$

The 1st  $\alpha$  represents the declaration, The 2nd  $\alpha$  represents a use.

Above language is a Context Sensitive Language

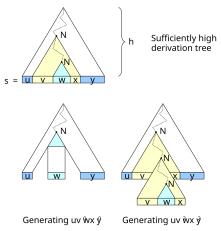
# Why is $\{\alpha c\alpha | \alpha \in (a|b)^*\}$ not a CFG?

- We will base our proof on the pumping lemma for CFGs.
- Pumping lemma: a theorem about strings in a grammar
  - "lemma": a mathematical term for a theorem
  - "pumping": for a sufficiently long enough string, a substring exists within that string that can be "pumped" (repeated 0 or more times and still be in the language).
- For example, for the Regular Language 0(0|1)\*0:
  - > A string longer than 2 will look like 000, 010, 0100, ...
  - ➤ Let's take "010". Here, substring "1" can be pumped.
  - > ("00", "010", "0110", "01110" are all in the language)
- Pumping Lemma applies to CFGs as well.

#### Pumping Lemma for CFGs

For a sufficiently long string s derived from a CFG, s can be written as s = uvwxy (u,v,w,x,y are substrings)

Where v and x can be pumped and |vx| > 1.



# $\{\alpha \boldsymbol{c}\alpha | \alpha \in (\boldsymbol{a}|\boldsymbol{b})^*\}$ is not a CFG

- Let's say s = uvwxy is a sufficiently long string in language  $\{\alpha c\alpha | \alpha \in (a|b)^*\},$ 
  - where v, x can be pumped and  $|vx| \ge 1$ .
  - 1. The substring vwx must bisect  $\alpha c\alpha$ .
    - If vwx is contained in 1st  $\alpha$  (or mostly contained), if we pump v and x 0 times, 1st  $\alpha$  gets shorter than 2nd  $\alpha$ .
    - ightharpoonup string is no longer in  $\{\alpha c\alpha | \alpha \in (a|b)^*\}$ . Contradiction.
    - ightharpoonup The same applies to when vwx is contained in 2nd  $\alpha$ .
- 2. Even when vwx bisects  $\alpha c\alpha$ , pumping fails.
  - Let string s' be the result of pumping v and x 0 times.
  - Let's say s' =  $\alpha_1 c \alpha_2$ , where  $\alpha_1$  and  $\alpha_2$  are shortened versions of the 1st and 2nd  $\alpha$ s.
  - ightharpoonup While  $|\alpha_1|=|\alpha_2|$ , there exist  $\alpha_1$  and  $\alpha_2$  such that  $\alpha_1!=\alpha_2$ .
  - ightharpoonup E.g. s = abcab, and vwx = bca where v = b and x = a. Then,  $\alpha_1$  becomes "a" and  $\alpha_2$  becomes "b". Contradiction.

#### Semantic analysis does binding and type checking

- Semantic analysis performs binding
  - > Since CFGs cannot recognize bindings, as we just proved
  - Done by traversing parse tree produced by syntax analysis
  - Definitions are stored in data structure called symbol table
  - Uses are bound to entries in the symbol table
- Semantic analysis performs type checking
  - ightharpoonup Infer what "a + b" means:
    - If a and b are ints, integer add and return int
    - If a and b are floats, FP add and return float
    - If a and b are strings, concatenate and return string
  - ➤ Infer what "a.foo()" means:
    - If object a is an instance of class A, call A.foo()
    - If object a is an instance of class B, call B.foo()
  - $\rightarrow$  Infer what "a[i][j]" means:
    - Offset from a calculated based on type and dimensions

#### Semantic analysis also performs semantic checks

All symbol uses have a corresponding declaration;

All operations are type legal;

Inheritance relationships are correct;

A class is defined only once;

A method in a class is defined only once;

#### **Symbol Binding**

#### What is symbol binding?

"Matching symbol declarations with uses"

If there are multiple declarations, which one is matched?

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☐ If there are multiple declarations, which one is matched?

```
void foo()
{
    char x;
    ...
    {
        int x;
        ...
    }
    x = x + 1;
}
```

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"Matching symbol declarations with uses"

If there are multiple declarations, which one is matched?

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```

#### Scope

L	Binding: the association of a use of a symbol to the
	declaration of that symbol

- Which variable (or function) an identifier is referring to
- Scope: section of program where a declaration is valid
  - Uses in the scope of declaration are bound to it
- Some implications of scopes
  - A symbol may have different bindings in different scopes
  - Scopes for the same symbol never overlap
    - there is always exactly one binding per symbol use
- Two types: static scope and dynamic scope

#### Static Scope

Static scope depends on the program text, not run-time behavior (also known as lexical scoping)

```
C/C++, Java, Objective-C
```

Rule: Refer to the closest enclosing declaration

```
void foo()
   char x;
      int x;
      ...
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x = x + 1;
```

# Dynamic Scope

- Dynamic scoping depends on bindings formed during the execution of the program
  - LISP, Scheme, Perl
- Rule: Refer to the closest binding in the current execution

```
void foo()
{
    (1) char x;
    (2) if (...) {
     (3) int x;
    (4) ...
    }
    (5) x = x + 1;
}
```

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- Dynamic scoping depends on bindings formed during the execution of the program
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void foo()
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    (1) char x;
    (2) if (...) {
     (3) int x;
    (4) ...
    }
    (5) x = x + 1;
}
```

- $\square$  Which x's declaration is the closest?
  - > Execution (a): ...(1)...(2)...(5)
  - > Execution (b): ...(1)...(2)...(3)...(4)...(5)

#### Static vs. Dynamic Scoping

- Most languages that started with dynamic scoping (LISP, Scheme, Perl) added static scoping afterwards
- ☐ Why?
  - It is easier for human beings to understand
    - Bindings are visible in code without tracing execution
  - It is easier for compilers to understand
    - Compiler can determine bindings at compile time
    - Compiler can translate identifier to a single memory location
    - Results in generation of efficient code
  - With dynamic scoping...
    - There may be multiple possible bindings for a variable
    - Impossible to determine bindings at compile time
    - All bindings have to be done at execution time (Typically with the help of a hash table)

# Symbol Table

#### Symbol Table

- Symbol Table: A compiler data structure that tracks information about all identifiers (symbols) in a program
  - Maps symbol uses to declarations given a scope
  - > Needs to provide bindings according to the current scope
- Usually discarded after generating the binary code
  - All symbols are mapped to memory locations already
  - > For debugging, symbols may be included in binary
    - To map memory locations back to symbols for debuggers
    - For GCC or Clang, add "-g" flag to include symbol tables

#### Maintaining Symbol Table

```
Basic idea:
```

```
int x; ... void foo() { int x; ... x=x+1; } ... x=x+1 ...
```

- ➤ In foo, add x to table, overriding any previous declarations
- After foo, remove x and restore old declaration if any

```
Operations
```

```
enter_scope() start a new nested scope
```

exit\_scope() exit current scope

```
find_symbol(x) find declaration of x
```

add\_symbol(x) add declaration of x to symbol table

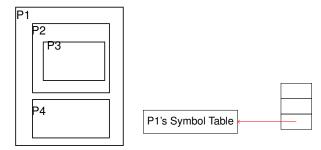
#### Adding Scope Information to the Symbol Table

- ☐ To handle multiple scopes in a program,
  - > (Conceptually) need an individual table for each scope
  - Symbols added to the table may not be deleted just because you exited a scope

```
class X { ... void f1() {...} ... } class Y { ... void f2() {...} ... } X v; call v.f1();
```

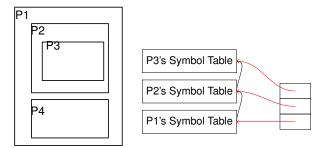
Without deleting symbols, how are scoping rules enforced?
 Keep a list of all scopes in the entire program
 Keep a stack of active scopes at a given point

# Symbol Table with Multiple Scopes



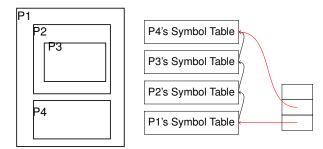
- For nested scopes,
  - Search from top of the active symbol table stack
  - Remove pointer to symbol table when exiting its scope

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# Symbol Table with Multiple Scopes



- For nested scopes,
  - Search from top of the active symbol table stack
  - Remove pointer to symbol table when exiting its scope

#### What Information is Stored in the Symbol Table

Li Entry in Symbol Table:

string kind a	attributes

- String the name of identifier
- ➤ Kind variable, parameter, function, class, ...
- Attributes vary with the kind of symbol
  - ➤ variable → type, address in memory
  - → function → return type, parameter types, address
- Vary with the language
  - ➤ Fortran's array → type, dimension, dimension size real A(5) /\* dimension required for static allocation \*/
  - C's array → type, dimension, optional dimension size char A[5]; /\* statically sized array \*/ char A[]="hello"; /\* dynamically sized to fit content \*/

#### Symbol Table Attribute List

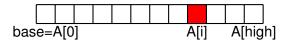
struct

Type information might be arbitrarily complicated ➤ In C: struct { int a[10]; char b; float c; Store all relevant attributes in an attribute list 1st upper bound 2nd upper bound array id field₁ type field<sub>2</sub> | type id size size

# Example application of Type to an operator: Array index operator

#### Addressing Array Elements

```
int A[0..high];
A[i] ++;
```



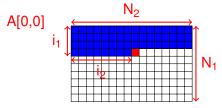
- > width width of element type
- ➤ base address of the first
- > high upper bound of subscript
- Addressing an array element:

# Multi-dimensional Arrays

Layout n-dimension items in 1-dimension memory int A[N<sub>1</sub>][N<sub>2</sub>]; /\* int A[0..high<sub>1</sub>][0..high<sub>2</sub>]; \*/  $A[i_1][i_2] ++;$  $N_2$ A[0,0] $N_1$ A[high<sub>1</sub>,high<sub>2</sub>]

# Row Major

Row major — store row by row

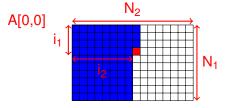


Offset inclues all the "blue" items before A[i1,i2]

$$\begin{split} & \text{offset}(A[i_1,i_2]) = (i_1 \ ^*\ N_2 + i_2\ )\ ^*\ width \\ & = i_1 \ ^*\ N_2\ ^*\ width + i_2\ ^*\ width \\ & = \text{offset}(A[i_1])\ ^*\ N_2 + i_2\ ^*\ width \end{split}$$

# Column Major

Column major — store column by column



 $\Box$  Offset inclues all the "blue" items before A[i<sub>1</sub>,i<sub>2</sub>]

offset(A[i<sub>1</sub>,i<sub>2</sub>]) = 
$$(i_2 * N_1 + i_1)*$$
width  
=  $i_2 * N_1 *$  width +  $i_1 *$  width  
=  $i_2 * N_1 *$ width + offset(A[i<sub>1</sub>])

# Generalized Row/Column Major

Let  $A_k = \text{offset}(A[i_1, i_2, ..., i_k])$ . Then,

Row major

1-dimension:  $A_1 = i_1^*$ width

2-dimension:  $A_2 = (i_1 * N_2 + i_2) * width = A_1 * N_2 + i_2 * width$ 

3-dimension:  $A_3 = (i_1 * N_2 * N_3 + i_2 * N_3 + i_3) * width = A_2 * N_3 + i_3 * width$ 

k-dimension:  $A_k = A_{k-1} N_k + i_k \text{width}$ 

**Type** needs to provide  $N_2...N_k$  and width for offset

Column major

1-dimension:  $A_1 = i_1^*$ width

2-dimension:  $A_2 = (i_2 * N_1 + i_1) * width = i_2 * N_1 * width + A_1$ 

3-dimension:  $A_3 = ((i_3 * N_2 + i_2) * N_1 + i_1) * width = i_3 * N_2 * N_1 * width + A_2$ 

k-dimension:  $A_k = i_k^* N_{k-1}^* N_{k-2}^* ... N_1^* width + A_{k-1}$ 

**Type** needs to provide  $N_1...N_{k-1}$  and width for offset

# C's implementation

```
C uses row major
   int fun1(int p[ ][100])
   {
      ...
      int a[100][100];
      a[i<sub>1</sub>][i<sub>2</sub>] = p[i<sub>1</sub>][i<sub>2</sub>] + 1;
}
```

Why is p[][100] allowed?

Why is a[][100] not allowed?

# C's implementation

C uses row major
 int fun1(int p[ ][100])
{
 ...
 int a[100][100];
 a[i<sub>1</sub>][i<sub>2</sub>] = p[i<sub>1</sub>][i<sub>2</sub>] + 1;
}

Why is p[][100] allowed?

- ➤ The info is enough to compute p[i₁][i₂]'s address
- $\rightarrow$  A<sub>2</sub> = (i<sub>1</sub>\*N<sub>2</sub>+i<sub>2</sub>)\*width (N<sub>1</sub> is not required)

Why is a[][100] not allowed?

The info is not enough to allocate space for the array

# Type Checking

## What, Why and When

- What is a type?

  Type = a set of values + a set of operations on these values
- What is type checking?
  Verifying and enforcing type consistency
  - Only legal values are assigned to a type
  - Only legal operations are performed on a type
- Why is compile-time type checking desirable?
  - Runtime errors may go unnoticed while testing
  - Dynamic type checking when static checking infeasible
    - E.g. Java array bounds checks
    - E.g. Type checks to verify C++/Java downcasting

# Static vs. Dynamic Typing

- Statically typed: C/C++, Java
   Our discussion

  - > Types are explicitly declared or can be inferred from code
  - E.g. int x; /\* type of x is int \*/
  - Efficient code since runtime type checks are not needed
- Dynamically typed: Python, JavaScript, PHP
  - > Type is a runtime property decided only during execution
  - E.g. var x; /\* type of x is undecided \*/
  - Type of x changes depending on the type of value it holds
  - More memory since every variable now needs a "type tag"
  - Inefficient code due to runtime checks on type tags

#### Rules of Inference

- What are *rules of inference*?
  - ➤ Inference rules have the form if Precondition is true, then Conclusion is true
  - Below concise notation used to express above statement

# Precondition Conclusion

- ➤ In the context of type checking: if expressions E1, E2 have certain types (Precondition), expression E3 is legal and has a certain type (Conclusion)
- Type checking via inference
  - Start from variable types and constant types
  - Repeatedly apply rules until entire program is inferred legal

### Notation for Inference Rules

By tradition inference rules are written as

# Precondition<sub>1</sub>, ..., Precondition<sub>n</sub> Conclusion

- The precondition/conclusion has the form "e:T"
- Meaning
  - If Precondition<sub>1</sub> and ... and Precondition<sub>n</sub> are true, then Conclusion is true.
  - > "e:T" indicates "e is of type T"
  - > Example: rule-of-inference for add operation

```
e<sub>1</sub>: int
e<sub>2</sub>: int
e<sub>1</sub>+e<sub>2</sub>:int
```

Rule: If  $e_1$ ,  $e_2$  are ints then  $e_1+e_2$  is legal and is an int

# Two Simple Rules

[Constant] i is an integer i: int [Add operation]  $\begin{array}{c} e_1 \colon \text{int} \\ e_2 \colon \text{int} \\ \hline e_1 + e_2 \colon \text{int} \end{array}$ 

Example: given "10 is an integer" and "20 is an integer", is the expression "10+20" legal? Then, what is the type?

10 is an integer 20 is an integer 20: int 20: int

10+20:int

This type of reasoning can be applied to the entire program

#### More Rules

[New]

new T: T

[Not]

e: Boolean

not e: Boolean

However,

[Var?]

x is an identifier

x: ?

- > the expression itself insufficient to determine type
- > solution: provide context for this expression

## Type Environment

- □ A type environment gives type info for free variables
  - > A variable is *free* if not declared inside the expression
  - ➤ It is a function mapping Symbols to Types
    - Set of declarations active at the current scope
    - Conceptual representation of a symbol table

# Type Environment Notation

Let O be a function from Symbols to Types, the sentence O e:T

is read as "under the assumption of environment O, expression e has type T"

$$\begin{array}{c|c} i \text{ is an intger} & O \text{ e1: int} \\ \hline O \text{ is int} & O \text{ e2: int} \\ \hline O \text{ i: int} & O \text{ e1+e2: int} \\ \end{array}$$

- "if i is an integer, expression i is an int in any environment"
- "if e1 and e2 are ints in O, expression e1+e2 is int in O"
- "if variable x is mapped to int in O, expression x is int in O"

### **Declaration Rule**

#### [Declaration w/o initialization]

O[T<sub>0</sub>/x] 
$$e_1$$
: T<sub>1</sub>  
O let x: T<sub>0</sub> in  $e_1$ : T<sub>1</sub>

 $O[T_0/x]$ : environment O modified so that it return  $T_0$  on argument x and behaves as O on all other arguments:

$$O[T_0/x](x) = T_0$$
  
 $O[T_0/x](y) = O(y)$  when  $x \neq y$ 

Translation: "If expression  $e_1$  is type  $T_1$  when x is mapped to type  $T_0$  in the current environment, expression  $e_1$  is type  $T_1$  when x is declared to be  $T_0$  in the current environment"

## **Declaration Rule with Initialization**

[Declaration with initialization (initial try)]

```
\begin{array}{c} \textbf{O} \ \textbf{e}_0 \colon \textbf{T}_0 \\ \hline \textbf{O}[\textbf{T}_0/\textbf{x}] \ \textbf{e}_1 \colon \textbf{T}_1 \\ \textbf{O} \ \textbf{let} \ \textbf{x} \colon \textbf{T}_0 \leftarrow \textbf{e}_0 \ \textbf{in} \ \textbf{e}_1 \colon \textbf{T}_1 \end{array}
```

The rule is too strict (i.e. correct but not complete)

```
Example class C inherits P ... let x:P ← new C in ...
```

the above rule does not allow this code

## Subtyping

- ☐ Subtyping is a relation ≤ on classes
  - > X ≤ X
  - ightharpoonup if X inherits from Y, then  $X \leq Y$
  - ightharpoonup if  $X \leq Y$  and  $Y \leq Z$ , then  $X \leq Z$
- An improvement of our previous rule

[Declaration with initialization]

$$\begin{array}{c} \textbf{O} \; \textbf{e}_0 \colon \textbf{T} \\ \textbf{T} \leq \textbf{T}_0 \\ \textbf{O}[\textbf{T}_0/\textbf{x}] \; \textbf{e}_1 \colon \textbf{T}_1 \\ \textbf{O} \; \textbf{let} \; \textbf{x} \colon \textbf{T}_0 \; \leftarrow \textbf{e}_0 \; \textbf{in} \; \textbf{e}_1 \colon \textbf{T}_1 \end{array}$$

- Both versions of declaration rules are correct
- > The improved version checks more programs

## **Assignment**

A correct but too strict rule

```
[Assignment] \\ \textbf{O(id)} = \textbf{T}_0 \\ \textbf{O e}_1 : \textbf{T}_1 \\ \textbf{T}_1 \leq \textbf{T}_0 \\ \textbf{O id} \leftarrow \textbf{e}_1 : \textbf{T}_0
```

The rule does not allow the below code class C inherits P { only\_in\_C() { ... } } x ← y ← new C x.only in C()

## **Assignment**

An improved rule

```
[Assignment] \\ \textbf{O(id)} = \textbf{T}_0 \\ \textbf{O e_1: T}_1 \\ \textbf{T}_1 \leq \textbf{T}_0 \\ \textbf{O id} \leftarrow \textbf{e_1: T}_1
```

The rule now does allow the below code class C inherits P { only\_in\_C() { ... } }  $x \leftarrow y \leftarrow \text{new C}$  x.only in C()

#### If-then-else

- Consider
  - if e<sub>0</sub> then e<sub>1</sub> else e<sub>2</sub>
    - The result can be either e<sub>1</sub> or e<sub>2</sub>
    - The type is either e<sub>1</sub>'s type or e<sub>2</sub>'s type
  - The best that we can do (statically) is the super type larger than e<sub>1</sub>'s type and e<sub>2</sub>'s type
- Least upper bound (LUB)
  - Z = lub(X,Y) Z is defined as the least upper bound of X and Y iff
    - $X \le Z \land Y \le Z$  ; Z is an upper bound
    - $\bullet \ \ X{\le}W \land Y{\le}W \Longrightarrow Z{\le}W \ \ ; Z \ \text{is least among all upper bounds}$

## If-then-else, case

```
[If-then-else]

O e_0: Bool
O e_1: T_1
O e_2: T_2

O if e_0 then e_1 else e_2 fi: lub(T_1,T_2)
```

The rule allows the below code let x:float, y:int, z:float in x ← if (...) then y else z /\* Assuming lub(int, float) = float \*/

## **Error Recovery**

- Just like other errors, we should recover from type errors
  - ➤ Too many errors? let y: int ← x+2 in y+3
    - if x is undefined —- reporting an error "x type undefined"
    - x+2 is undefined —- reporting an error "x+2 type undefined"
    - ...
- Introduce no-type for ill-typed expressions
  - > It is compatible with all types
  - > Report the place where no-type is generated
    - Reduce the number of error messages

# Wrong Declaration Rule (case 1)

Consider a hypothetical let rule

[Wrong Declaration with initialization (case 1)]

```
\begin{array}{c} \textbf{O} \; \textbf{e}_0 \colon \textbf{T} \\ \textbf{T} \leq \textbf{T}_0 \\ \textbf{O} \; \textbf{e}_1 \colon \textbf{T}_1 \\ \textbf{O} \; \textbf{let} \; \textbf{x} \colon \textbf{T}_0 \leftarrow \textbf{e}_0 \; \textbf{in} \; \textbf{e}_1 \colon \textbf{T}_1 \end{array}
```

- > How is it different from the the correct rule?
- ➤ The following good program does not pass check let x: int ← 0 in x+1

# Wrong Declaration Rule (case 2)

Consider a hypothetical let rule

[Wrong Declaration with initialization (case 2)]

```
\label{eq:controller} \begin{array}{c} \textbf{O} \; \textbf{e}_0 \colon \textbf{T} \\ \textbf{T}_0 \leq \textbf{T} \\ \\ \textbf{O}[\textbf{T}_0/\textbf{x}] \; \textbf{e}_1 \colon \textbf{T}_1 \\ \textbf{O} \; \textbf{let} \; \textbf{x} \colon \textbf{T}_0 \leftarrow \textbf{e}_0 \; \textbf{in} \; \textbf{e}_1 \colon \textbf{T}_1 \end{array}
```

- > How is it different from the the correct rule?
- The following bad program passes the check class B inherits A { only\_in\_B() { ... } } let x: B ← new A in x.only\_in\_B()

#### Discussion

- Type rules have to be carefully constructed, or
  - ➤ The type system becomes unsound (bad programs are accepted as well typed)
  - The type system becomes unusable (good programs are rejected as badly typed)

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  - Good program: a program where all operations on all values are type consistent at runtime

#### Discussion

- Type rules have to be carefully constructed, or
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  - The type system becomes unusable (good programs are rejected as badly typed)
- What is a "good" program anyway?
  - Good program: a program where all operations on all values are type consistent at runtime
- All runtime behavior not expressed in a static type system
  - At below is a good program rejected by the type system obj ← if (x > y) then new Child else new Parent if (x > y) then obj.only in Child()
  - LUB type makes a choice of soundness over usability

# Designing a Good Type Checking System

- A good type system achieves two opposing goals:
  - Prevents false negative type errors, that is, runtime errors that are missed by type checking
  - Minimizes false positive type errors, that is, type errors that do not cause runtime errors
- A good type system should allow the following code:

```
class Parent {
    Parent clone() { return new this.getClass(); }
}
class Child inherits Parent { ... }
    void main() {
        // Error! Assignment of parent to child reference.
        Child c ← (new Child).clone();
    }
```

## What Went Wrong?

- What is (new Child).clone()'s type?
  - Dynamic type Child
  - Static type Parent
  - > Type system is not able to express runtime types precisely
  - > This makes inheriting clone() not very useful
    - clone() needs redefinition to return correct type anyway
- A "SELF\_TYPE" would be useful in these situations.

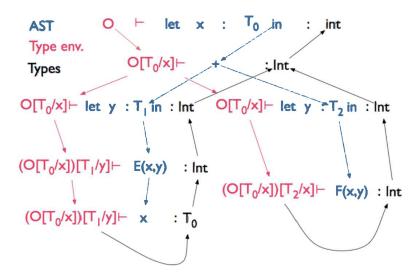
# SELF\_TYPE expresses runtime types precisely

- ☐ What is SELF\_TYPE?
  - clone() returns "self" instead of "Parent" type
  - > Self can be Parent or any subclass of Parent
- SELF\_TYPE is a static type
  - Type reflects precise runtime behavior for each class
  - > Type violations can still be detected at compile time
- In practice
  - > Python, Rust, Scala: language support for self types
  - C++: can emulate using C++ templates
  - Java: can emulate to a lesser degree using Java generics

## Can Static Type Checking ever be Perfect?

- ☐ Many examples where "good" programs are disallowed
  - Reason for elaborate type systems like templates and generics
  - Why programmers are sometimes forced to typecast anyway
- Solution? Can't have your cake and eat it too.
  - Dynamic typing: values have types, variables do not
    - + Allows all runtime behaviors that are type consistent
    - Type errors occur at runtime rather than compile time
    - Best used for fast prototyping (scripting languages)
  - Static typing: variables have declared (or inferred) types
    - + Type errors can be caught at compile time
    - Effort needed to express "good" programs using type system
    - Best used when reliability is important

# Implementing Type Checking on AST



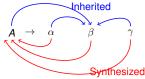
# Syntax Directed Definitions (SDDs)

### SDD: Definitions of attributes and rules

- Syntax Directed Definitions (SDD):
  - Set of attributes attached to each grammar symbol
  - 2. Set of **semantic rules** attached to each production
  - Semantic rules define values of attributes
- Attribute Grammar:
  - An SDD where rules depend only on other attributes (i.e. An SDD that does not rely on any side-effects)
  - > Think of it as a "grammar" for semantic analysis
- Example: let's say we want to define type checking
  - > SDD can have semantic rules to access a symbol table
  - > Attribute grammar must transmit type info through attributes

# Syntax Directed Definition (SDD)

Semantic rule:



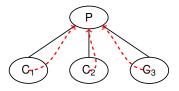
SDD has rule of the form for each CFG production  $b = f(c_1, c_2, ..., c_n)$ 

#### either

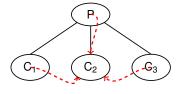
- If b is a synthesized attribute of A, c₁ (1≤i≤n) are attributes of grammar symbols of its Right Hand Side (RHS); or
- 2. If b is an inherited attribute of one of the symbols of RHS, c<sub>i</sub>'s are attribute of A and/or other symbols on the RHS

# Two Types of Attributes

- Synthesized attributes: attributes are computed from attributes of children nodes
  - > P.synthesized\_attr = f(C<sub>1</sub>.attr, C<sub>2</sub>.attr, C<sub>3</sub>.attr)
- Inherited attributes: attributes are computed from attributes of sibling and parent nodes
  - $ightharpoonup C_3.inherited_attr = f(P_1.attr, C_1.attr, C_3.attr)$



Synthesized attribute



Inherited attribute

# Synthesized Attribute Example

- Example
  - Each non-terminal symbol is associated with val attribute
  - > The val attribute is computed soley from children attributes

```
[Grammar Rules]
                                     [Semantic Rules]
\mathsf{I} \to \mathsf{F}
                                     print(E.val)
\mathsf{E} \to \mathsf{E_1} + \mathsf{T}
                                     E.val = E_1.val + T.val
\mathsf{F} \to \mathsf{T}
                                     E.val = T.val
T \to T_1 \ ^* \ F
                                     T.val = T_1.val * F.val
\mathsf{T} \to \mathsf{F}
                                     T.val = F.val
\mathsf{F} \to (\mathsf{E})
                                     F.val = F.val
F → digit
                                     F.val = digit.lexval
```

Is this an SDD or an attribute grammar?

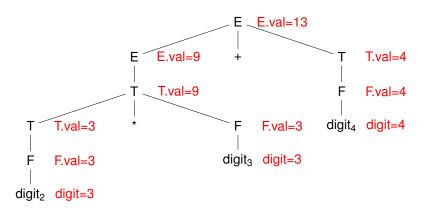
## Inherited Attribute Example

- Example:
  - T.type: synthesized attribute
  - L.in: inherited attribute

- Why is L.in an inherited attribute?
  - L.in is computed from a sibling T.type
  - ➤ L<sub>1</sub>.in is computed from a parent L.in
- 🔲 Is this an SDD or an attribute grammar?

#### Attribute Parse Tree

- SDDs produce an attribute parse tree
  - > Attribute parse tree: Parse tree annotated with attributes



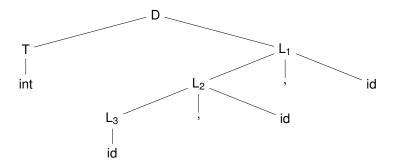
## **SDD** Implementation

## SDD Implementation using Parse Trees

- Assumes a previous parse stage
  - Input: a parse tree with no attribute annotations
  - Output: an attribute parse tree
- Goal: compute attribute values from leaf token values
  - > Traverse in some order, apply semantic rules at each node
  - > Traversal order must consider attribute dependencies

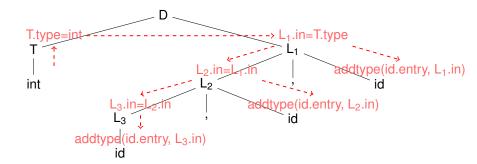
### **Dependency Graph**

- ☐ Directed graph where edges are attribute dependencies
  - "To" attribute is computed base on "from" attribute
  - > Must be acyclic such that there exists "a" traversal order



### **Dependency Graph**

- ☐ Directed graph where edges are attribute dependencies
  - > "To" attribute is computed base on "from" attribute
  - > Must be **acyclic** such that there exists "a" traversal order



# SDD Implementation using SDT

- Syntax Directed Translation (SDT)
  - Applying semantic rules as part of syntax analysis (parsing)
  - Does NOT assume a pre-existing parse tree
  - Done through semantic actions embedded in grammar
- Semantic action:
  - Code between curly braces embedded into RHS
  - Executed "at that point" in the RHS
    - Top-down: Right after previous symbol has been consumed
    - Bottom-up: After previous symbol has been pushed to stack (when the 'dot' reaches the semantic action)
  - > Example of building a parse tree:
    - Program : Program IDNum ; Classes { \$\$=makeTree(ProgramOp, \$2, \$4); }
  - > \$2 and \$4 are indices into the parse stack
    - RHS is currently at top of stack waiting to be reduced
    - \$2 is attribute value for IDNum and \$4 is Classes

- Syntax Directed Translation Scheme (SDTS)
  - A "scheme" or plan to perform SDT
  - > A grammar specification embedded with semantic actions
  - Depends heavily on choice of top-down or bottom-up parser
- Example:

- Both inherited and synthesized attributes are used
  - T synthesized attribute T.val
  - R inherited attribute R.i synthesized attribute R.s
  - ➤ E synthesized attribute E.val

Evaluating attributes using SDTS

```
E \rightarrow T \{R.i=T.val\} R \{E.val=R.s\}
R \rightarrow + T \{R_1.i=R.i+T.val\} R_1 \{R.s=R_1.s\}
R \rightarrow \text{-} \quad T \ \{R_1.i\text{=}R.i\text{-}T.val\} \ R_1 \ \{R.s\text{=}R_1.s\}
R \rightarrow \varepsilon \{R.s=R.i\}
T \rightarrow (E) \{T.val=E.val\}
T \rightarrow num \{T.val=num.val\}
                                              Ε
                 T.val=num
                                                  \rightarrow R_1.i=T.val
                                                                                                            R_2
        num
                     num
                                                                                                                         R_3
                                            num
                                                                         num
```

Evaluating attributes using SDTS

```
E \rightarrow T \{R.i=T.val\} R \{E.val=R.s\}
R \rightarrow + T \{R_1.i=R.i+T.val\} R_1 \{R.s=R_1.s\}
R \rightarrow - T \{R_1.i=R.i-T.val\} R_1 \{R.s=R_1.s\}
R \rightarrow \varepsilon \{R.s=R.i\}
T \rightarrow (E) \{T.val=E.val\}
T \rightarrow num \{T.val=num.val\}
                                           Ε
                                                 R<sub>1</sub>.i=T.val R<sub>1</sub>
                                                T.val = num \rightarrow R_2.i=R_1.i+T.val
                                                                                                    R_2
       num
                                                                                                                R_3
                                         num
                                                     num
                                                                    num
```

Evaluating attributes using SDTS

```
E \rightarrow T \{R.i=T.val\} R \{E.val=R.s\}
R \rightarrow + T \{R_1.i=R.i+T.val\} R_1 \{R.s=R_1.s\}
R \rightarrow - T \{R_1.i=R.i-T.val\} R_1 \{R.s=R_1.s\}
R \rightarrow \varepsilon \{R.s=R.i\}
T \rightarrow (E) \{T.val=E.val\}
T \rightarrow num \{T.val=num.val\}
                                                    EE.val=R<sub>1</sub>.s
                                                            R<sub>1</sub>.i=T.val
                                                                                R<sub>1</sub>R<sub>1</sub>.s=R<sub>2</sub>.s
                                                                                      R_2.i=R_1.i+T.val
                                                                                                                         R<sub>2</sub> R<sub>2</sub>.s= R<sub>3</sub>.s
         num
                                                                                     T T.val = num \Rightarrow R<sub>3</sub>.i=R<sub>2</sub>.i+T.valR<sub>3</sub>R<sub>3</sub>.s= R<sub>3</sub>.i
                                                  num
                                                                                  num
                                                                                              num
```

### What are the dependencies allowed in SDTS?

- Parse trees: dependencies only required to be acyclic
- What is required of dependencies for SDTS?
  - Different parsing schemes see nodes in different orders
    - Top-down parsing LL(k) parsing
    - Bottom-up parsing LR(k) parsing
  - What if dependency node has not been seen yet?
- For certain classes of SDDs, using SDTS is feasible
  - > If dependencies of SDD are amenable to parse order
  - > This class of SDDs are called L-Attributed Grammars

### Left-Attributed Grammar

- An SDD is L-attributed if each of its attributes is either:
  - ightharpoonup a synthesized attribute of A in A $\rightarrow$  X<sub>1</sub>... $X_n$ ,

or

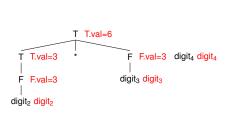
- $\rightarrow$  an inherited attribute of  $X_i$  in  $A \rightarrow X_1...X_n$  that
  - depends on attributes of siblings to its left i.e.  $X_1...X_{j-1}$
  - and/or depends on parent A

### Left-Attributed Grammar

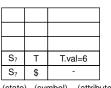
- An L-Attributed grammar
  - may have synthesized attributes
  - may have inherited attributes but only from left sibling attributes or inherited attributes of the parent
- Evaluation order
  - Left-to-right depth-first traversal of the parse tree
    - Order for both top-down and bottom-up parsers
  - Evaluate inherited attributes while going down the tree
  - > Evaluate synthesized attributes while going up the tree
- Can be evaluated using SDTS w/o parse tree

When using LR parsing (bottom-up parsing),

it is natural and easy to evaluate synthesized attributes

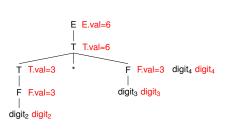


### parsing stack:

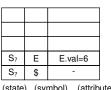


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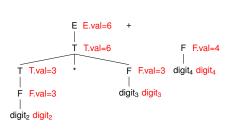


### parsing stack:



When using LR parsing (bottom-up parsing),

it is natural and easy to evaluate synthesized attributes

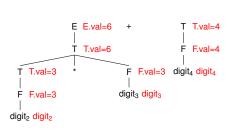


#### parsing stack:

S <sub>?</sub>	F	F.val=4	
S <sub>?</sub>	+	-	
S <sub>?</sub>	Е	E.val=6	
S <sub>?</sub>	\$	-	
(state) (symbol) (attribute			

When using LR parsing (bottom-up parsing),

it is natural and easy to evaluate synthesized attributes

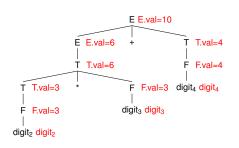


#### parsing stack:

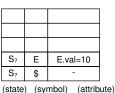
			_
S <sub>?</sub>	Т	T.val=4	
S <sub>?</sub>	+	-	
S <sub>?</sub>	Е	E.val=6	
S <sub>?</sub>	\$	-	
(state) (symbol) (attribute			oute

When using LR parsing (bottom-up parsing),

it is natural and easy to evaluate synthesized attributes



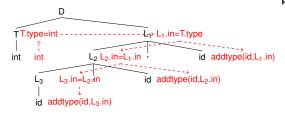
### parsing stack:



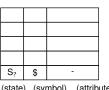
(state) (symbol)

When using LR parsing (bottom-up parsing),

it is **not natural** to evaluate inherited attributes



### parsing stack:

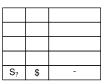


When using LR parsing (bottom-up parsing),

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#### parsing stack:

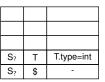


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### parsing stack:

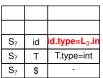


When using LR parsing (bottom-up parsing),

it is **not natural** to evaluate inherited attributes

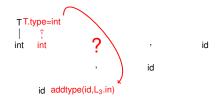


### parsing stack:

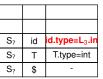


When using LR parsing (bottom-up parsing),

it is **not natural** to evaluate inherited attributes

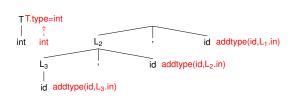


### parsing stack:

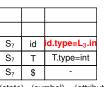


When using LR parsing (bottom-up parsing),

it is **not natural** to evaluate inherited attributes

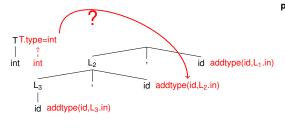


### parsing stack:

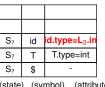


When using LR parsing (bottom-up parsing),

it is **not natural** to evaluate inherited attributes



### parsing stack:

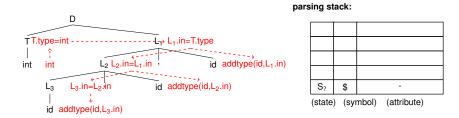


(symbol) (state) (attribute)

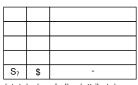
# **Evaluating Inherited Attributes using LR**

- Recall
- Only applies to L-Attributed grammars
  - What is L-attributed grammar?
- Claim: the information is in the stack, we just do not know the exact location
- Solution: let us hack the stack to find the location

```
\begin{array}{ll} D \rightarrow T & L \\ T \rightarrow \text{int } \{\text{stack[top]=integer}\} \\ T \rightarrow \text{real } \{\text{stack[top]=real}\} \\ L \rightarrow L & , & \text{id } \{\text{addtype(stack[top],stack[top-3])}\} \\ L \rightarrow \text{id } \{\text{addtype(stack[top],stack[top-1])}\} \end{array}
```



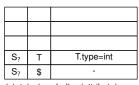
```
\begin{array}{lll} D \rightarrow T & L \\ T \rightarrow & \text{int } \{stack[top] = & \text{integer} \} \\ T \rightarrow & \text{real } \{stack[top] = & \text{real} \} \\ L \rightarrow L & , & \text{id } \{addtype(stack[top], stack[top-3])\} \\ L \rightarrow & \text{id } \{addtype(stack[top], stack[top-1])\} \end{array}
```



(state) (symbol) (attribute)

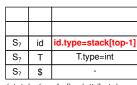
int , id id id

```
\begin{split} & D \to T \quad L \\ & T \to \text{int } \{\text{stack[top]=integer}\} \\ & T \to \text{real } \{\text{stack[top]=real}\} \\ & L \to L \quad , \quad \text{id } \{\text{addtype}(\text{stack[top],stack[top-3]})\} \\ & L \to \text{id } \{\text{addtype}(\text{stack[top],stack[top-1]})\} \end{split}
```





```
\begin{array}{lll} D \rightarrow T & L \\ T \rightarrow int & \{stack[top]=integer\} \\ T \rightarrow real & \{stack[top]=real\} \\ L \rightarrow L & , & id & \{addtype(stack[top],stack[top-3])\} \\ L \rightarrow id & \{addtype(stack[top],stack[top-1])\} \end{array}
```



```
\begin{tabular}{lll} T.T.type=int \\ & & \uparrow \\ int & int & & , & id \\ & & & id & addtype(id,L_3.in) \\ \end{tabular}
```

```
\begin{array}{lll} D \rightarrow T & L \\ T \rightarrow \text{int } \{stack[top] = \text{integer}\} \\ T \rightarrow \text{real } \{stack[top] = \text{real}\} \\ L \rightarrow L & , & \text{id } \{addtype(stack[top], stack[top-3])\} \\ L \rightarrow \text{id } \{addtype(stack[top], stack[top-1])\} \end{array}
```

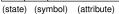


S <sub>?</sub>	id	id.type=stack[top-1]
S <sub>?</sub>	Т	T.type=int
S <sub>?</sub>	\$	-

(state) (symbol) (attribute)

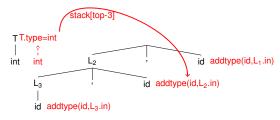
```
D \rightarrow T L
T \rightarrow int \{stack[top]=integer\}
T \rightarrow real \{ stack[top] = real \}
L \rightarrow L, id {addtype(stack[top],stack[top-3])}
L \rightarrow id \{addtype(stack[top],stack[top-1])\}
```

S <sub>?</sub>	id	id.type=stack[top-3]
S <sub>?</sub>	,	
S <sub>?</sub>	L <sub>3</sub>	L <sub>3</sub> .in=int
S <sub>?</sub>	Т	T.type=int
S <sub>?</sub>	\$	-
(atata) (aalbal) (attillata)		





```
\begin{array}{lll} D \rightarrow T & L \\ T \rightarrow \text{int } \{\text{stack[top]=integer}\} \\ T \rightarrow \text{real } \{\text{stack[top]=real}\} \\ L \rightarrow L & , & \text{id } \{\text{addtype(stack[top],stack[top-3])}\} \\ L \rightarrow \text{id } \{\text{addtype(stack[top],stack[top-1])}\} \end{array}
```



S?	id	id.type=stack[top-3]
S <sub>?</sub>	,	
S <sub>?</sub>	L <sub>3</sub>	L <sub>3</sub> .in=int
S <sub>?</sub>	Т	T.type=int
S <sub>?</sub>	\$	=

### Marker

 $\Box$  Given the following SDD, where  $|\alpha| != |\beta|$ 

$$A \rightarrow X \alpha Y \mid X \beta Y$$

$$Y \rightarrow \gamma \{... = f(X.s)\}$$

- Problem: cannot generate stack location for X.s since X is at different relative stack locations from Y
- Solution: introduce *markers* M<sub>1</sub> and M<sub>2</sub> that are at the same relative stack locations from Y

$$\mathsf{A} \!\to \mathsf{X} \ \alpha \ \mathsf{M_1} \ \mathsf{Y} \ | \ \mathsf{X} \ \beta \ \mathsf{M_2} \ \mathsf{Y}$$

$$Y \rightarrow \gamma \{ \dots = f(M_{12}.s) \}$$

$$M_1 \rightarrow \varepsilon \{M_1.s = X.s\}$$

$$M_2 \rightarrow \varepsilon \{M_2.s = X.s\}$$

$$(M_{12} = \text{the stack location of } M_1 \text{ or } M_2, \text{ which are identical})$$

A marker intuitively marks a stack location that is equidistant from the reduced non-terminal

### Example

How to add the marker?

```
Example 1:
        S \rightarrow a A \{ C.i = A.s \} C
        S \rightarrow b A B \{ C.i = A.s \} C
        C \rightarrow c \{ C.s = f(C.i) \}
Solution:
        S \rightarrow a A \{ C.i = A.s \} C
        S \rightarrow b A B \{ M.i=A.s \} M \{ C.i = M.s \} C
        C \rightarrow c \{ C.s = f(C.i) \}
        M \rightarrow \varepsilon \{ M.s = M.i \}
That is:
        S \rightarrow a A C
        S \to b \; A \; B \; M \; C
        C \rightarrow c \{ C.s = f(stack[top-1]) \}
        M \rightarrow \varepsilon \{ M.s = stack[top-2] \}
```

### How to Add the Marker?

- 1. Identify the stack location(s) to find the desired attribute
- 2. Is there a conflict of location?
  - Yes, add a marker;
  - > No, no need to add.
- Add the marker in the place to remove location inconsistency

### Example:

$$\begin{split} S &\rightarrow a \text{ A B C E D} \\ S &\rightarrow b \text{ A F B C F D} \\ C &\rightarrow c \text{ {/* C.s = f(A.s) */}} \\ D &\rightarrow d \text{ {/* D.s = f(B.s) */}} \end{split}$$

### **Answer**

```
S \rightarrow a A B C E D

S \rightarrow b A D M B C F D

C \rightarrow c {/* C.s = f(stack[top-2]) */}

D \rightarrow d {/* D.s = f(stack[top-3]) */}

M \rightarrow \varepsilon {/* M.s = f(stack[top-2]) */}

Regarding C.s, from stack[top-2], and stack[top-3]

.... add a Marker

Regarding D.s, always from stack[top-2]

.... no need to add
```

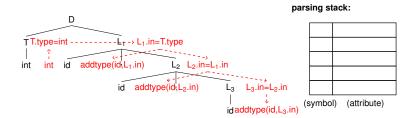
How about Top-Down Parsing?

### Translation Scheme for Top-Down Parsing

- Predictive Recursive Descent Parsers: Straightforward
  - Synthesized Attribute: Return value of function call for non-terminal is synthesized attribute
    - All function calls for children nodes would have completed by the time this function call returns
    - All dependent values would have been computed
  - Inherited Attribute: Pass as argument to function call for non-terminal inheriting attribute
    - L-Attributed grammar guarantees that dependent attributes come from left sibling attributes or parent inherited attributes
    - Left sibling function calls would have completed and parent inherited attribute would have been passed in as argument
    - All dependent values would have been computed
- Now let's focus on table-driven LL Parsers

When using LL parsing (top-down parsing),

it is natural to evaluate inherited attributes



When using LL parsing (top-down parsing),

it is natural to evaluate inherited attributes

D

#### parsing stack:



(symbol) (attribute)

When using LL parsing (top-down parsing),

it is natural to evaluate inherited attributes



#### parsing stack:



(symbol) (attribute)

When using LL parsing (top-down parsing),

it is natural to evaluate inherited attributes

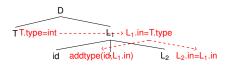


#### parsing stack:



When using LL parsing (top-down parsing),

it is natural to evaluate inherited attributes

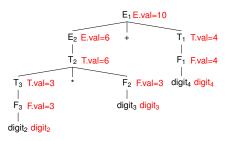


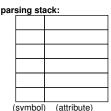
#### parsing stack:



(symbol) (attribute)

When using LL parsing (top-down parsing),





par

### Translation Scheme for LL Parsing

When using LL parsing (top-down parsing),

it is **not natural** to evaluate synthesized attributes

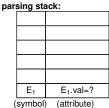
Εı

sing stack:	
E <sub>1</sub>	
eymbol)	(attributa)

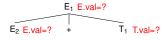
When using LL parsing (top-down parsing),

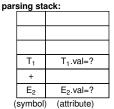
it is **not natural** to evaluate synthesized attributes

E<sub>1</sub> E.val=?



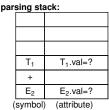
When using LL parsing (top-down parsing),





When using LL parsing (top-down parsing),



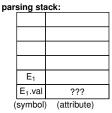


- - Always push a 'dummy' stack item below a non-terminal to hold intermediate values for attribute calculation
  - Update dummy item whenever a child node is popped with intermediate value
  - When all children nodes have been popped, compute synthesized attribute from stored values

When using LL parsing (top-down parsing),

it is **not natural** to evaluate synthesized attributes

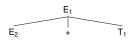
E<sub>1</sub>

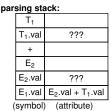


### Solution

- Always push a 'dummy' stack item below a non-terminal to hold intermediate values for attribute calculation
- Update dummy item whenever a child node is popped with intermediate value
- When all children nodes have been popped, compute synthesized attribute from stored values

When using LL parsing (top-down parsing),







- Always push a 'dummy' stack item below a non-terminal to hold intermediate values for attribute calculation
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