

Semantic Analysis

The role of semantic analysis is to assign meaning

- ❑ "It smells fishy."
- ❑ Lexical analysis
 - Tokenizes "It", "smells", "fishy", "."
 - Determines noun, verb, adjective, punctuation token types
- ❑ Syntax analysis
 - Parses the grammatical structure of the sentence
- ❑ Semantic analysis

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- ❑ Syntax analysis
 - Parses the grammatical structure of the sentence
- ❑ Semantic analysis
 - Assigns meaning to the words "It", "smells", "fishy"
 - Flags error if the sentence does not make sense

Semantic Analysis = Binding + Type Checking

❑ "I don't wanna eat that sushi."

"It smells fishy."

- "It": the sushi
- "smells": feels to my nose
- "fishy": that the sushi has gone bad

❑ "The professor says that the exam is going to be easy."

"It smells fishy."

- "It": the situation
- "smells": feels to my sixth sense
- "fishy": that it is highly suspicious

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- ❑ "The professor says that the exam is going to be easy."
 "It smells fishy."
 - "It": the situation
 - "smells": feels to my sixth sense
 - "fishy": that it is highly suspicious
- ❑ Semantic analysis consists of two tasks
 - **Binding**: associating a pronoun to an object
 - **Type checking**: inferring meaning based on type of object

Semantic Analysis = Binding + Type Checking

- ❑ Semantic analysis performs binding
 - Done by traversing parse tree produced by syntax analysis
 - Declarations are stored in data structure called **symbol table**
 - Uses are bound to entries in the symbol table

- ❑ Semantic analysis performs type checking
 - Infers what " $a + b$ " means:
 - If a and b are ints, integer add and return int
 - If a and b are floats, FP add and return float
 - If a and b are strings, concatenate and return string
 - Infers what " $x.foo()$ " means:
 - If object x is a reference of class A , call to $\text{foo}()$ in A
 - If object x is a reference of class B , call to $\text{foo}()$ in B
 - Infers what " $a[i][j]$ " means:
 - Offset from a based on array type and dimensions

Semantic analysis also performs semantic checks

- ❑ All symbol uses have corresponding declarations
- ❑ All symbols defined only once
 - Where symbols can be variables, methods, classes
 - Declaration: provides type information for a symbol
 - Definition: allocates a symbol in program memory
- ❑ All statements do not violate type rules
 - Operators (+, -, *, /, =, >, <, ==, ...) have legal parameters
 - Method calls have correct numbers of legal parameters
 - Private methods are not called by external classes
 - ...

Symbol Binding

What is symbol binding?

“Matching symbol **declarations** with **uses**”

- ❑ If there are multiple declarations, which one is matched?

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```
void foo()
{
    char x;
    ...
    {
        int x;
        ...
    }
    x = x + 1;
}
```

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```

The diagram illustrates a common challenge in semantic analysis: determining which variable declaration is intended for a specific use. In this code snippet, the variable 'x' is declared both at the top level (char x;) and within a local block (int x;). The assignment statement x = x + 1; is highlighted in a blue box. A curly brace groups the declaration int x; and the assignment x = x + 1;. A question mark is placed next to the brace, indicating that the compiler must determine which declaration (the global 'char x;' or the local 'int x;') is intended for the assignment.

Scope

- ❑ **Binding**: associating a symbol use to its declaration
 - Which variable (or function) an identifier is referring to
- ❑ **Scope**: section of program where a declaration is valid
 - Uses in the scope of declaration are bound to it
- ❑ Some implications of scopes
 - A symbol may have different bindings in different scopes
 - Scopes for the same symbol never overlap
 - there is always exactly one binding per symbol use
- ❑ Two types: static scope and dynamic scope

Static Scope

❑ **Static Scope**: scope expressed in program text

- Also called **Lexical Scope**
- C/C++, Java, JavaScript, Python

❑ Rule: bind to the closest enclosing declaration

```
void foo()
{
    char x;

    ...
}

int x;

...
}

x = x + 1;
}
```

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        int x;
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    }
    x = x + 1;
}
```



Dynamic Scope

- ❑ **Dynamic Scope**: bindings formed during code execution
 - LISP, Scheme, Perl

- ❑ Rule: bind to the most recent declaration during execution

```
void foo()
{
    (1) char x;
    (2) if (...) {
        (3)     int x;
        (4)     ...
    }
    (5) x = x + 1;
}
```

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    (2) if (...) {
        (3)   int x;
        (4)   ...
        }
    (5) x = x + 1;
}
```

- ❑ Which *x*'s declaration is the closest?
 - Execution (a): ...**(1)**...(2)...(5)
 - Execution (b): ...**(1)**...(2)...**(3)**...(4)...(5)

Static vs. Dynamic Scoping

- ❑ Most languages that started with dynamic scoping (LISP, Scheme, Perl) added static scoping afterwards
- ❑ Why?
 - It is easier for human beings to understand
 - Bindings are visible in code without tracing execution
 - It is easier for compilers to understand
 - Compiler can determine bindings at compile time
 - Compiler can translate identifier to a single memory location
 - Results in generation of efficient code
 - With dynamic scoping...
 - There may be multiple possible bindings for a variable
 - Impossible to determine bindings at compile time
 - All bindings have to be done at execution time
(Typically with the help of a hash table)

Symbol Table

Symbol Table

- ❑ **Symbol Table:** A compiler data structure that tracks information about all identifiers (symbols) in a program
 - Maps symbol uses to declarations given a scope
 - Needs to provide bindings according to the current scope

- ❑ Usually discarded after generating the binary code
 - All symbols are mapped to memory locations already
 - For debugging, symbols may be included in binary
 - To map memory locations back to symbols for debuggers
 - For GCC or Clang, add “-g” flag to include symbol tables

Maintaining Symbol Table

❑ Basic idea:

```
int x; ... void foo() { int x; ... x=x+1; } ... x=x+1 ...
```

- In *foo*, add *x* to table, overriding any previous declarations
- After *foo*, remove *x* and restore old declaration if any

❑ Operations

`enter_scope()` start a new nested scope

`exit_scope()` exit current scope

`find_symbol(x)` find declaration of *x*

`add_symbol(x)` add declaration of *x* to symbol table

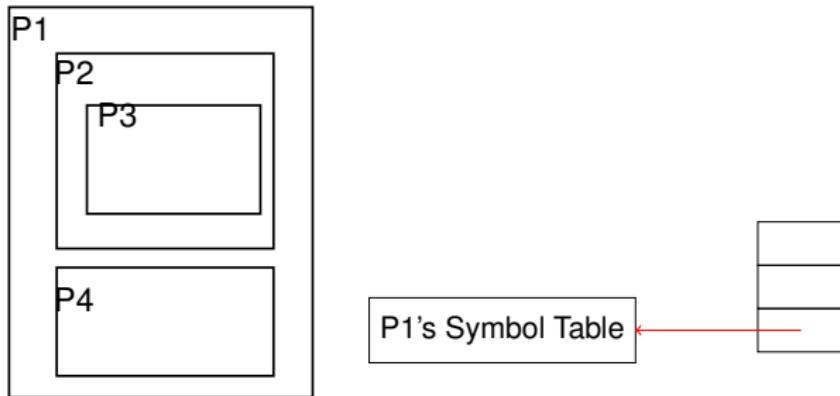
Adding Scope Information to the Symbol Table

- ❑ To handle multiple scopes in a program,
 - (Conceptually) need an individual table for each scope
 - Symbols added to the table may not be deleted just because you exited a scope

```
class X { ... void f1() {...} ... }
class Y { ... void f2() {...} ... }
class Z { ... void f3() {
    X v;
    v.f1();
} ... }
```

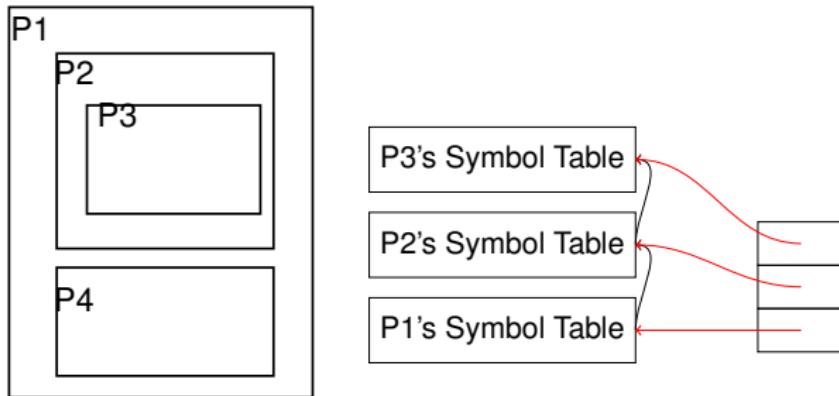
- Without deleting symbols, how are scoping rules enforced?
 - ☞ Keep a list of all scopes in the entire program
 - ☞ Keep a stack of active scopes at a given point

Symbol Table with Multiple Scopes



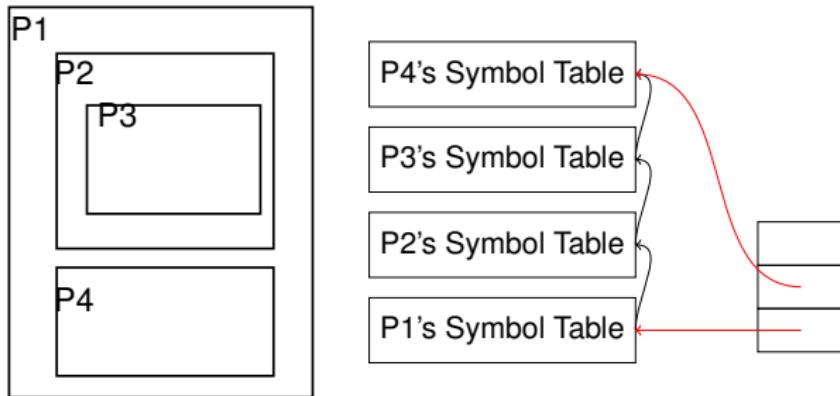
- ❑ For nested scopes,
 - Search from top of the active symbol table stack
 - Remove pointer to symbol table when exiting its scope

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 - Remove pointer to symbol table when exiting its scope

What Information is Stored in the Symbol Table

❑ Entry in Symbol Table:

string	kind	attributes
--------	------	------------

- String — the name of identifier
- Kind — variable, parameter, function, class, ...

❑ Attributes vary with the kind of symbol

- variable → type, address in memory
- function → return type, parameter types, address

❑ Vary with the language

- Fortran's array → type, dimension, dimension size
`real A(5) /* dimension required for static allocation */`
- C's array → type, dimension, optional dimension size
`char A[5]; /* statically sized array */`
`char A[]="hello"; /* dynamically sized to fit content */`

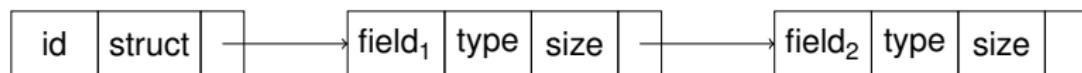
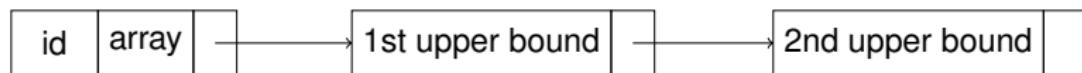
Symbol Table Attribute List

- ❑ Type information might be arbitrarily complicated

- In C:

```
struct {
    int a[10];
    char b;
    float c;
}
```

- ❑ Store all relevant attributes in an attribute list



Example application of Type to an operator: Array index operator

Addressing Array Elements

```
int A[0..high];  
A[i] ++;
```



- width — width of element type
- base — address of the first
- high — upper bound of subscript

□ Addressing an array element:

$$\text{address}(A[i]) = \text{base} + i * \text{width}$$

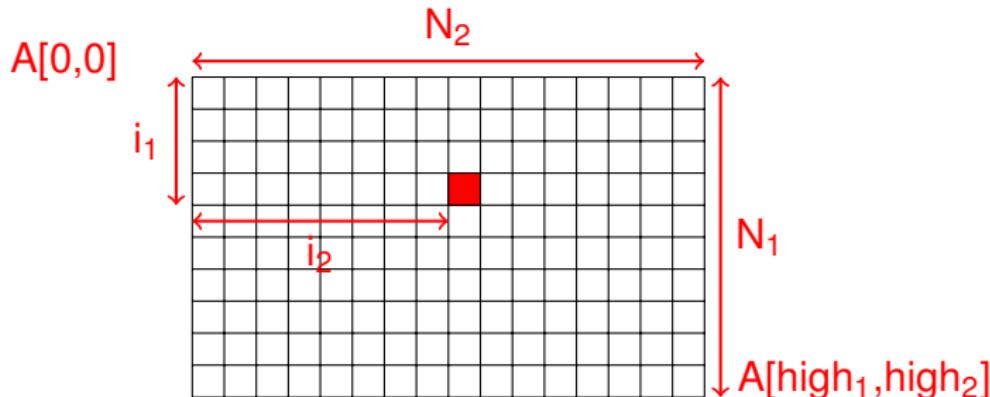
$$\text{offset}(A[i]) = i * \text{width}$$

Multi-dimensional Arrays

- ❑ Layout n-dimension items in 1-dimension memory

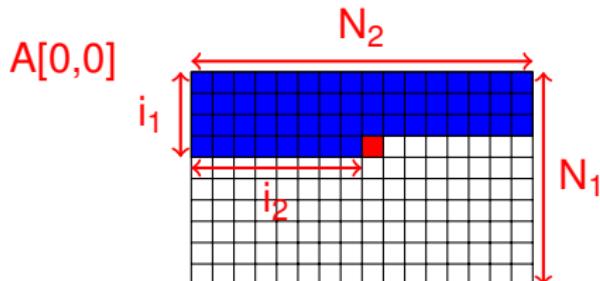
```
int A[N1][N2]; /* int A[0..high1][0..high2]; */
```

```
A[i1][i2] ++;
```



Row Major

Row major — store row by row

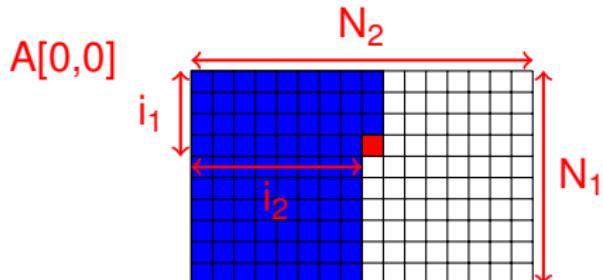


- ❑ Offset includes all the “blue” items before $A[i_1, i_2]$

$$\begin{aligned}\text{offset}(A[i_1, i_2]) &= (i_1 * N_2 + i_2) * \text{width} \\ &= i_1 * N_2 * \text{width} + i_2 * \text{width} \\ &= \text{offset}(A[i_1]) * N_2 + i_2 * \text{width}\end{aligned}$$

Column Major

Column major — store column by column



- ❑ Offset includes all the “blue” items before $A[i_1, i_2]$

$$\begin{aligned}\text{offset}(A[i_1, i_2]) &= (i_2 * N_1 + i_1) * \text{width} \\ &= i_2 * N_1 * \text{width} + i_1 * \text{width} \\ &= i_2 * N_1 * \text{width} + \text{offset}(A[i_1])\end{aligned}$$

Generalized Row/Column Major

- ❑ Let $A_k = \text{offset}(A[i_1, i_2, \dots, i_k])$. Then,
- ❑ Row major (C/C++, C#, Objective-C)
 - 1-dimension: $A_1 = i_1 * \text{width}$
 - 2-dimension: $A_2 = (i_1 * N_2 + i_2) * \text{width} = A_1 * N_2 + i_2 * \text{width}$
 - 3-dimension: $A_3 = (i_1 * N_2 * N_3 + i_2 * N_3 + i_3) * \text{width} = A_2 * N_3 + i_3 * \text{width}$
 - k-dimension: $A_k = A_{k-1} * N_k + i_k * \text{width}$
 - ☞ **Type** needs to provide $N_2 \dots N_k$ and width for offset
- ❑ Column major (Fortran, Matlab, R)
 - 1-dimension: $A_1 = i_1 * \text{width}$
 - 2-dimension: $A_2 = (i_2 * N_1 + i_1) * \text{width} = i_2 * N_1 * \text{width} + A_1$
 - 3-dimension: $A_3 = ((i_3 * N_2 + i_2) * N_1 + i_1) * \text{width} = i_3 * N_2 * N_1 * \text{width} + A_2$
 - k-dimension: $A_k = i_k * N_{k-1} * N_{k-2} * \dots * N_1 * \text{width} + A_{k-1}$
 - ☞ **Type** needs to provide $N_1 \dots N_{k-1}$ and width for offset

C's implementation

- ❑ C uses row major

```
int fun1(int p[ ][100])
{
    ...
    int a[100][100];
    a[i1][i2] = p[i1][i2] + 1;
}
```

Why is $p[]$ [100] allowed?

Why is $a[]$ [100] not allowed?

C's implementation

- ❑ C uses row major

```
int fun1(int p[ ][100])
{
    ...
    int a[100][100];
    a[i1][i2] = p[i1][i2] + 1;
}
```

Why is $p[]$ [100] allowed?

- The info is enough to compute $p[i_1][i_2]$'s address
- $A_2 = (i_1 * N_2 + i_2) * \text{width}$ (N_1 is not required)

Why is $a[]$ [100] not allowed?

- The info is not enough to allocate space for the array

Type Checking

What, When, and Why

❑ What?

- **Type**: a set of values + a set of operations on values
- **Type Checking**: Verifying and enforcing type consistency
 - Only legal values are assigned to a type
 - Only legal operations are performed on a type

❑ When?

- **Static Type Checking**: Type checking at compile-time
- **Dynamic Type Checking**: Type checking at execution time

❑ Static type checking is more desirable. Why?

- Better to fail at compile time than during deployment
- More memory since every variable now needs a "type tag"
- Longer runtime since type tag needs checking at runtime
- Check dynamically only when static checking is infeasible
 - E.g. Java array bounds checks
 - E.g. Type checks to verify C++/Java downcasting

Static vs. Dynamic Typing

- ❑ Statically typed: C/C++, Java  Our discussion
 - Types are explicitly declared or can be inferred from code

```
int x; /* type of x is int */
```
 - Better compiler error detection due to static type checks
 - Efficient code since dynamic type checks are not needed

- ❑ Dynamically typed: Python, JavaScript, PHP
 - Type is a runtime property decided only during execution

```
var x; /* type of x is undecided */  
x = 42; /* type of x is int */  
x = "forty two"; /* type of x is now string */  
/* Type of x changes depending on the value it holds */
```
 - Static type checking and error reporting is impossible
 - Inefficient code due to dynamic checks on type tags

Rules of Inference

❑ What are *rules of inference*?

- Inference rules have the form
if **Precondition** is true, then **Conclusion** is true
- Below concise notation used to express above statement

Precondition
Conclusion

- In the context of type checking:
if expressions E1, E2 have certain types (Precondition),
expression E3 is legal and has a certain type (Conclusion)

❑ Type checking via inference

- Start from variable types and constant types
- Repeatedly apply rules until entire program is inferred legal

Notation for Inference Rules

- ❑ By tradition inference rules are written as

Precondition₁, ..., Precondition_n
Conclusion

- The precondition/conclusion has the form “e:T”
- ❑ Meaning
 - If **Precondition₁** and ... and **Precondition_n** are true, then **Conclusion** is true.
 - “e:T” indicates “e is of type T”
 - Example: rule-of-inference for add operation

$$\frac{\begin{array}{c} e_1: \text{int} \\ e_2: \text{int} \end{array}}{e_1 + e_2: \text{int}}$$

Rule: If e_1, e_2 are ints then $e_1 + e_2$ is legal and is an int

Two Simple Rules

[Constant]

$$\frac{i \text{ is an integer}}{i: \text{int}}$$

[Add operation]

$$\frac{e_1: \text{int} \quad e_2: \text{int}}{e_1 + e_2: \text{int}}$$

- ❑ Example: given “10 is an integer” and “20 is an integer”, is the expression “10+20” legal? Then, what is the type?

10 is an integer

10: int

20 is an integer

20: int

10+20:int

- ❑ This type of reasoning can be applied to the entire program

More Rules

[New]

new T: T

[Not]

e: Boolean
not e: Boolean

❑ However,

[Var?]

x is an identifier
x: ?

- the expression itself insufficient to determine type
- **solution:** provide context for this expression

Type Environment

- ❑ A *type environment* gives type info for free variables
 - A variable is *free* if not declared inside the expression
 - It is a function mapping **Symbols** to **Types**
 - Set of declarations active at the current scope
 - Conceptual representation of a symbol table

Type Environment Notation

Let O be a function from **Symbols** to **Types**,
the sentence $O \ e:T$

is read as “under the assumption of environment O ,
expression e has type T ”

$$\frac{\text{i is an intger}}{O \ i: \text{int}} \qquad \frac{\begin{array}{c} O \ e1: \text{int} \\ O \ e2: \text{int} \end{array}}{O \ e1+e2: \text{int}} \qquad \frac{O(x) == T}{O \ x: T}$$

- “if i is an integer, expression i is an int in any environment”
- “if $e1$ and $e2$ are ints in O , expression $e1+e2$ is int in O ”
- “if variable x is mapped to int in O , expression x is int in O ”

Declaration Rule

[Declaration w/o initialization]

$$\frac{O[T_0/x] \ e_1 : T_1}{O \text{ let } x : T_0 \text{ in } e_1 : T_1}$$

$O[T_0/x]$: environment O modified so that it return T_0 on argument x and behaves as O on all other arguments:

$$O[T_0/x](x) = T_0$$

$$O[T_0/x](y) = O(y) \text{ when } x \neq y$$

- ❑ Translation: "If expression e_1 is type T_1 when x is mapped to type T_0 in the current environment, expression e_1 is type T_1 when x is declared to be T_0 in the current environment"

Declaration Rule with Initialization

[Declaration with initialization (initial try)]

$$\frac{\mathcal{O} e_0 : T_0 \quad \mathcal{O}[T_0/x] e_1 : T_1}{\mathcal{O} \text{let } x : T_0 \leftarrow e_0 \text{ in } e_1 : T_1}$$

- ❑ The rule is too strict (i.e. correct but not complete)

Example

class C inherits P ...
let x:P ← new C in ...

☞ the above rule does not allow this code

Subtype

- ❑ A subtype is a relation \leq on classes

- $X \leq X$
- if X inherits from Y , then $X \leq Y$
- if $X \leq Y$ and $Y \leq Z$, then $X \leq Z$

- ❑ An improvement of our previous rule

[Declaration with initialization]

$$\frac{\begin{array}{c} O\ e_0 : T \\ T \leq T_0 \\ O[T_0/x]\ e_1 : T_1 \end{array}}{O \text{ let } x : T_0 \leftarrow e_0 \text{ in } e_1 : T_1}$$

- Both versions of declaration rules are correct
- The improved version checks more programs

Wrong Declaration Rule (case 1)

- ❑ Consider a hypothetical let rule

[Wrong Declaration with initialization (case 1)]

$$\frac{\begin{array}{c} \text{O } e_0 : T \\ T \leq T_0 \\ \text{O } e_1 : T_1 \end{array}}{\text{O let } x : T_0 \leftarrow e_0 \text{ in } e_1 : T_1}$$

- How is it different from the the correct rule?
- The following good program does not pass check
let x: int \leftarrow 0 in x+1

Wrong Declaration Rule (case 2)

- ❑ Consider a hypothetical let rule

[Wrong Declaration with initialization (case 2)]

$$\frac{\begin{array}{c} O e_0 : T \\ T_0 \leq T \\ O[T_0/x] e_1 : T_1 \end{array}}{O \text{ let } x : T_0 \leftarrow e_0 \text{ in } e_1 : T_1}$$

- How is it different from the the correct rule?
- The following bad program passes the check
class B inherits A { only_in_B() { ... } }
let x: B ← new A in x.only_in_B()

Assignment

- ❑ A correct but too strict rule

[Assignment]

$$O(id) = T_0$$

$$O e_1 : T_1$$

$$T_1 \leq T_0$$

$$O id \leftarrow e_1 : T_0$$

- The rule does not allow the below code

```
class C inherits P { only_in_C() { ... } }
```

```
let x:C in
```

```
let y:P in
```

```
x ← y ← new C
```

```
x.only_in_C()
```

Assignment

❑ An improved rule

[Assignment]

$$O(id) = T_0$$

$$O e_1 : T_1$$

$$T_1 \leq T_0$$

$$O id \leftarrow e_1 : T_1$$

- The rule now does allow the below code

```
class C inherits P { only_in_C() { ... } }
```

```
let x:C in
```

```
let y:P in
```

```
x ← y ← new C
```

```
x.only_in_C()
```

If-then-else

- ❑ Let's say semantics of "if e_0 then e_1 else e_2 " is:
 - Returns the value of either e_1 or e_2 , depending on e_0 .
- ❑ What is the type of the above expression?
 - The type is either e_1 's type or e_2 's type.
 - Best compiler can do is to assign a super type of e_1 and e_2 .
- ❑ Least upper bound (LUB): the super type of two types
 - $Z = \text{lub}(X, Y)$ — Z is the least upper bound of X and Y iff
 - $X \leq Z \wedge Y \leq Z$; Z is an upper bound
 - $X \leq W \wedge Y \leq W \implies Z \leq W$; Z is least among all upper bounds

If-then-else

[If-then-else]

O e_0 : Bool

O e_1 : T_1

O e_2 : T_2

O if e_0 then e_1 else e_2 fi: lub(T_1, T_2)

- ❑ The rule allows the below code

```
let x:float, y:int, z:float in  
  x ← if (...) then y else z  
/* Assuming lub(int, float) = float */
```

Discussion

- ❑ Type rules have to be carefully constructed, or
 - The type system becomes unsound
(ill-behaved programs are accepted as well typed)
 - The type system becomes unusable
(well-behaved programs are rejected as badly typed)

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 - Program that performs no forbidden operations **at runtime**

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- ❑ Type rules have to be carefully constructed, or
 - The type system becomes unsound
(ill-behaved programs are accepted as well typed)
 - The type system becomes unusable
(well-behaved programs are rejected as badly typed)
- ❑ What is a “well-behaved” program anyway?
 - Program that performs no forbidden operations **at runtime**
- ❑ Static type system cannot accurately capture behavior
 - Here is a well-behaved program rejected by the type system

```
obj ← if (x > y) then new Child else new Parent
if (x > y) then obj.only_in_Child()
```
 - LUB type makes a choice of soundness over usability

Designing a Good Type Checking System

- ❑ A good type system achieves two opposing goals:
 - Prevents **false negative** type errors, that is, runtime errors that are missed by type checking
 - Minimizes **false positive** type errors, that is, type errors that do not cause runtime errors
- ❑ A good type system should allow the following code:

```
class Parent {  
    Parent clone() { return new this.getClass(); }  
}  
class Child inherits Parent { ... }  
void main() {  
    // Error! Assignment of parent to child reference.  
    Child c ← (new Child).clone();  
}
```

What Went Wrong?

- ❑ What is `(new Child).clone()`'s type?
 - Dynamic type — Child
 - Static type — Parent
 - Type system is not able to express runtime types precisely
 - This makes inheriting `clone()` not very useful
 - `clone()` needs redefinition to return correct type anyway

- ❑ A "SELF_TYPE" would be useful in these situations.

SELF_TYPE expresses runtime types precisely

❑ What is SELF_TYPE?

- `clone()` returns “self” instead of “Parent” type
- Self can be Parent or any subclass of Parent

❑ SELF_TYPE is a static type

- Type reflects precise runtime behavior for each class
- Type violations can still be detected at compile time

❑ In practice

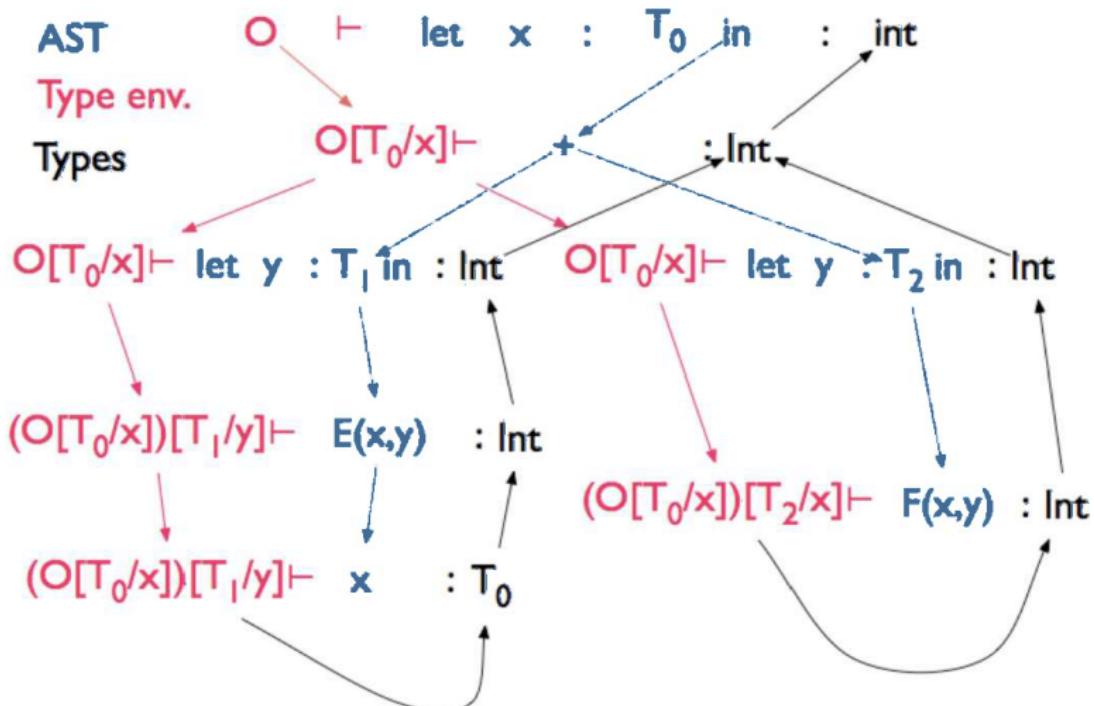
- Python, Rust, Scala: language support for self types
- C++: can emulate using C++ templates
- Java: can emulate to a lesser degree using Java generics

Can Static Type Checking ever be Perfect?

- ❑ Many cases where well-behaved programs are rejected
 - Reason for elaborate type systems like generics
 - Why programmers must sometimes typecast anyway

- ❑ Solution? Can't have your cake and eat it too.
 - Dynamic type checking
 - + Allows all runtime behaviors that are type consistent
 - Type errors occur at runtime rather than compile time
 - ☞ Best used for fast prototyping (scripting languages)
 - Static type checking
 - + Type errors can be caught at compile time
 - Effort to express well-behaved programs using type system
 - ☞ Best used when reliability is important

Implementing Type Checking on AST



Error Recovery

- ❑ Compiler must recover from type errors like syntax errors
 - Or else, below code results in multiple cascading errors
- `let y: int ← x+2 in y+3`
- Reports error “x is undefined”
 - Reports error “Type of x+2 is undefined”
 - Reports error “Type of let y: int ← x+2 in y+3 is undefined”
 - ...
-
- ❑ Solution: introduce **no-type** for ill-typed expressions
 - It is compatible with all types → no cascading errors
 - Report only the place where **no-type** is generated

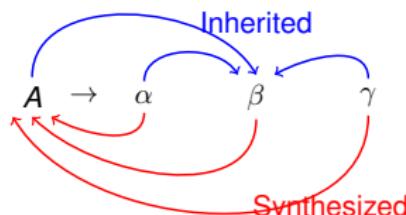
Syntax Directed Definitions (SDDs)

SDD: Definitions of attributes and rules

- ❑ Syntax Directed Definitions (SDD):
 1. Set of **attributes** attached to each grammar symbol
 2. Set of **semantic rules** attached to each production
 - Semantic rules define values of attributes
- ❑ Attribute Grammar:
 - An SDD where rules depend only on other attributes (i.e. An SDD that does not rely on any side-effects)
 - Think of it as a "grammar" for semantic analysis
- ❑ Example: let's say we want to define type checking
 - SDD can have semantic rules to access a symbol table
 - Attribute grammar must transmit type info through attributes

Synthesized vs. Inherited Attributes

- Semantic rule:



SDD has rule of the form for each CFG production

$$b = f(c_1, c_2, \dots, c_n)$$

either

- If b is a synthesized attribute of A ,
 c_i ($1 \leq i \leq n$) are attributes of grammar symbols of its Right Hand Side (RHS); or
- If b is an inherited attribute of one of the symbols of RHS,
 c_i 's are attribute of A and/or other symbols on the RHS

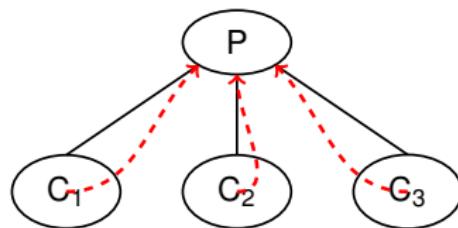
Synthesized vs. Inherited Attributes

❑ **Synthesized attributes:** computed from children nodes

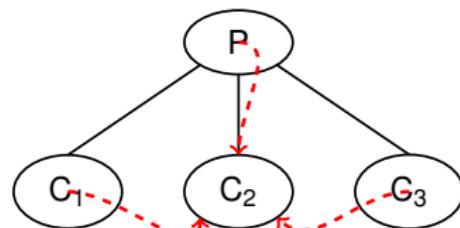
$$\Rightarrow P.\text{synthesized_attr} = f(C_1.\text{attr}, C_2.\text{attr}, C_3.\text{attr})$$

❑ **Inherited attributes:** computed from sibling/parent nodes

$$\Rightarrow C_3.\text{inherited_attr} = f(P_1.\text{attr}, C_1.\text{attr}, C_3.\text{attr})$$



Synthesized attribute



Inherited attribute

Synthesized Attribute Example

Example

- Each non-terminal symbol is associated with **val** attribute
- The **val** attribute is computed solely from children attributes

[Grammar Rules]

$L \rightarrow E$

$E \rightarrow E_1 + T$

$E \rightarrow T$

$T \rightarrow T_1 * F$

$T \rightarrow F$

$F \rightarrow (E)$

$F \rightarrow \text{digit}$

[Semantic Rules]

$\text{print}(E.\text{val})$

$E.\text{val} = E_1.\text{val} + T.\text{val}$

$E.\text{val} = T.\text{val}$

$T.\text{val} = T_1.\text{val} * F.\text{val}$

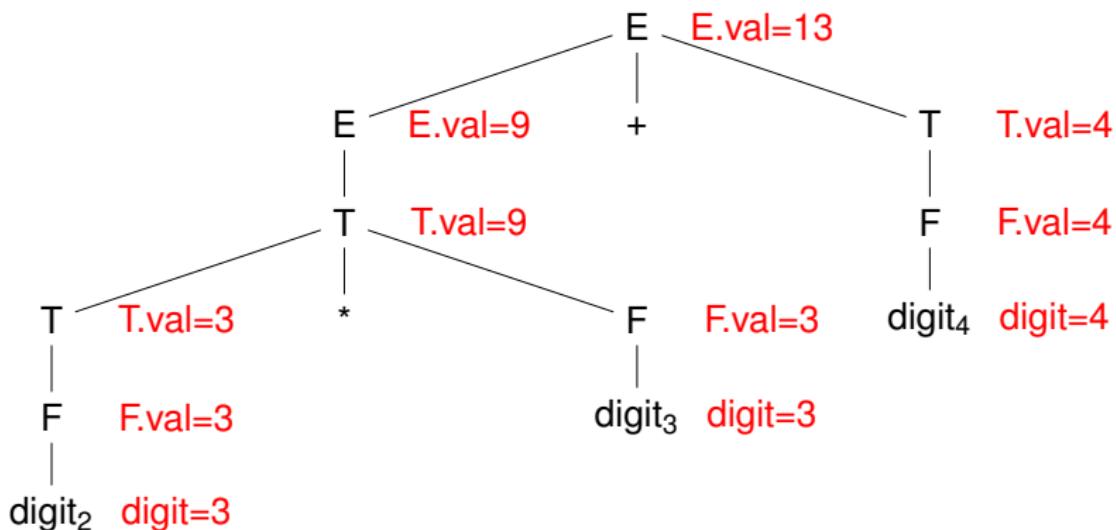
$T.\text{val} = F.\text{val}$

$F.\text{val} = E.\text{val}$

$F.\text{val} = \text{digit}.lexval$

Synthesized Attribute Example: Attribute Parse Tree

- Attribute parse tree: Parse tree decorated with attributes



Inherited Attribute Example

Example:

- T.type: synthesized attribute
- L.in: inherited attribute
- id.type: inherited attribute

[Grammar Rules]

$D \rightarrow T\ L$
 $T \rightarrow \text{int}$
 $T \rightarrow \text{real}$
 $L \rightarrow L_1\ ,\ \text{id}$
 $L \rightarrow \text{id}$

[Semantic Rules]

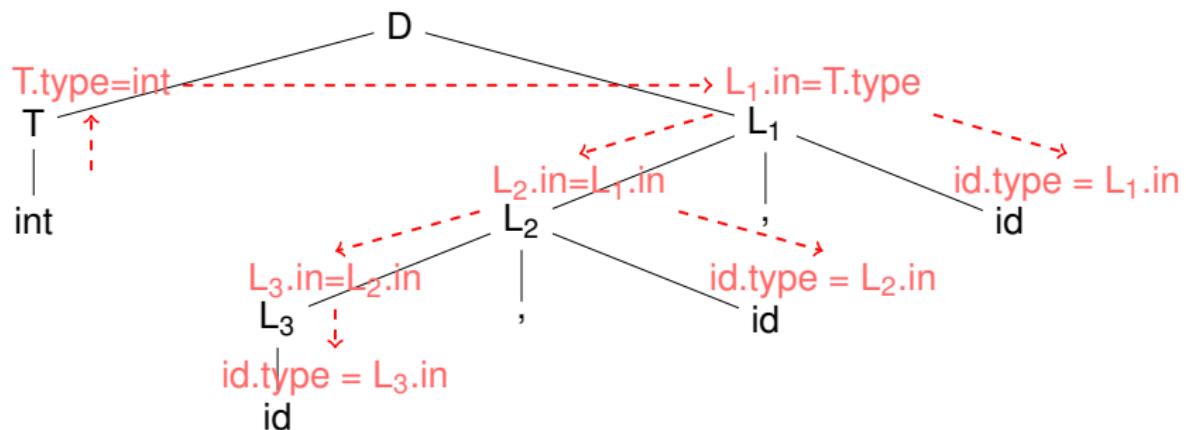
$L.\text{in} = T.\text{type}$
 $T.\text{type} = \text{integer}$
 $T.\text{type} = \text{real}$
 $L_1.\text{in} = L.\text{in}, \text{id}.type = L.\text{in}$
 $\text{id}.type = L.\text{in}$

Why is L.in an inherited attribute?

- L.in is computed from a sibling T.type
- $L_1.\text{in}$ is computed from a parent L.in

Inherited Attribute Example: Attribute Parse Tree

- Red arrows denote dependencies between attributes
- Arrows for inherited attributes go sideways or downwards
- Arrows for synthesized attributes go upwards



SDD Implementation

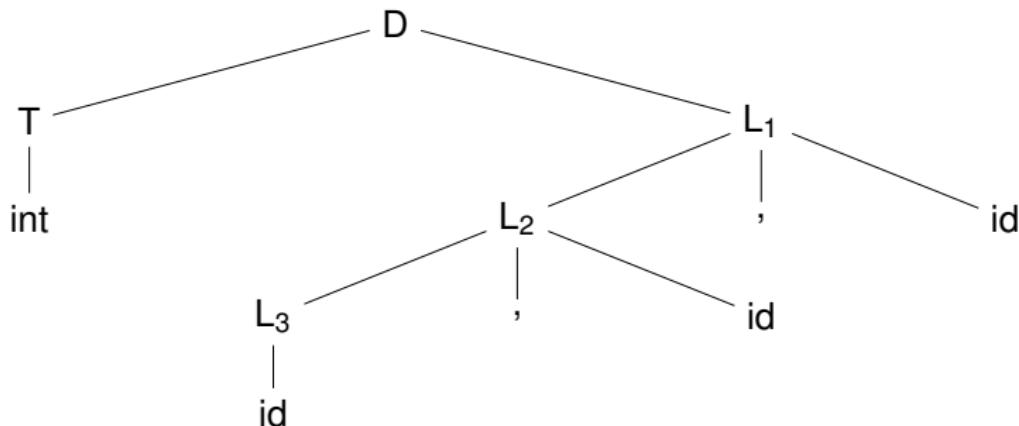
SDD Implementation using Parse Trees

- ❑ Assumes a previous parse stage
 - Input: a parse tree with no attribute annotations
 - Output: an attribute parse tree

- ❑ Goal: compute attribute values from leaf token values
 - Traverse in some order, apply semantic rules at each node
 - Traversal order must consider attribute dependencies

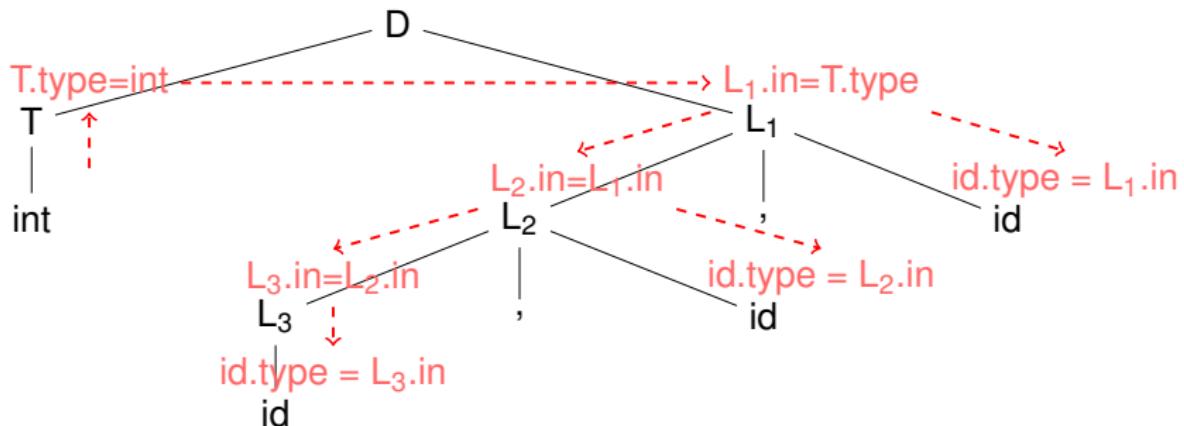
Dependency Graph

- ❑ Directed graph where edges are attribute dependencies
 - "To" attribute is computed base on "from" attribute
 - Must be **acyclic** such that there exists "a" traversal order



Dependency Graph

- ❑ Directed graph where edges are attribute dependencies
 - "To" attribute is computed base on "from" attribute
 - Must be **acyclic** such that there exists "a" traversal order



SDD Implementation using SDT

- ❑ Syntax Directed Translation (SDT)
 - Applying semantic rules as part of syntax analysis (parsing)
 - Does NOT assume a pre-existing parse tree

- ❑ Syntax Directed Translation Scheme (SDTS)
 - A "scheme" or plan to perform SDT
 - A grammar specification embedded with **semantic actions**
 - Specific to choice of parser (top-down or bottom-up)

An SDTS is specific to choice of parser

❑ Semantic action:

- Code between curly braces embedded into RHS
- Executed “at that point” in the RHS
 - Top-down: After previous symbol has been fully matched
 - Bottom-up: After previous symbol has been pushed to stack
(when the ‘dot’ reaches the semantic action)

❑ Example: Type declaration

- Given the following SDD:
 $L \rightarrow L_1 , id \quad L_1.in = L.in, id.type = L.in$
- SDTS for top-down parser:
 $L \rightarrow \{L_1.in=L.in\} L_1 , \{id.type=L.in\} id$
 - Doing $\{L_1.in=L.in\}$ before L_1 is expanded allows type attribute to flow down L_1 tree, when it is eventually expanded
- Using above SDTS for a bottom-up parser is not feasible
 - Symbol L is not on the stack when semantic actions are run
 - Don’t know whether RHS is the handle until ‘dot’ reaches end
(Hence cannot perform semantic actions in middle of RHS)

What are the dependencies allowed in SDTS?

- ❑ Parse trees: dependencies only required to be acyclic
- ❑ What is required of dependencies for SDTS?
 - Different parsing schemes see nodes in different orders
 - Top-down parsing — LL(k) parsing
 - Bottom-up parsing — LR(k) parsing
 - What if dependent node has not been seen yet?
- ❑ **L-Attributed Grammars:**
 - Short for Left-Attributed Grammar
 - Class of SDDs where LL(k) and LR(k) SDTS is feasible

Left-Attributed Grammar

- ❑ An SDD is L-attributed if each of its attributes is either:
 - a synthesized attribute of A in $A \rightarrow X_1 \dots X_n$,
 - or
 - an inherited attribute of X_j in $A \rightarrow X_1 \dots X_n$ that
 - depends on attributes of siblings to its left i.e. $X_1 \dots X_{j-1}$
 - and/or depends on parent A
- ❑ Evaluation order amenable to LL(k) and LR(k) parsing
 - All attribute values originate from token values
 - L-Attributed Grammar dependencies flow from left to right
 - No attributes depend on (unscanned) tokens to the right
 - There's a way to compute an attribute from scanned tokens

Syntax Directed Translation Scheme (SDTS)

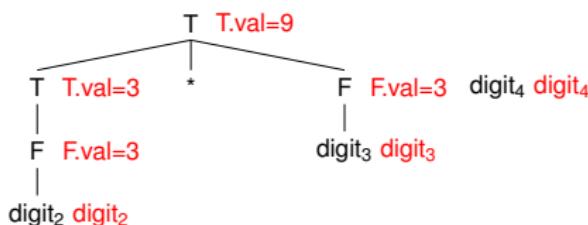
Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is natural and easy to evaluate synthesized attributes

parsing stack:

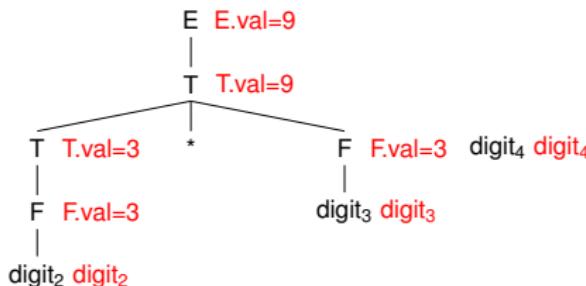
S _?	T	T.val=9
S _?	\$	-
(state)	(symbol)	(attribute)



Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is natural and easy to evaluate synthesized attributes



parsing stack:

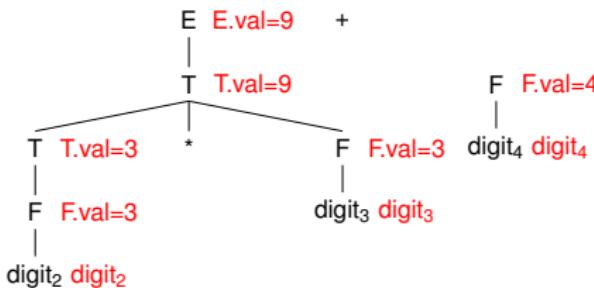
S?	E	E.val=9
S?	\$	-

(state) (symbol) (attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is natural and easy to evaluate synthesized attributes



parsing stack:

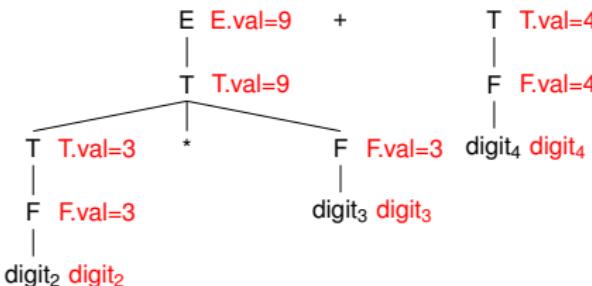
(state)	(symbol)	(attribute)
S?	F	F.val=4
S?	+	-
S?	E	E.val=9
S?	\$	-

(state) (symbol) (attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is natural and easy to evaluate synthesized attributes



parsing stack:

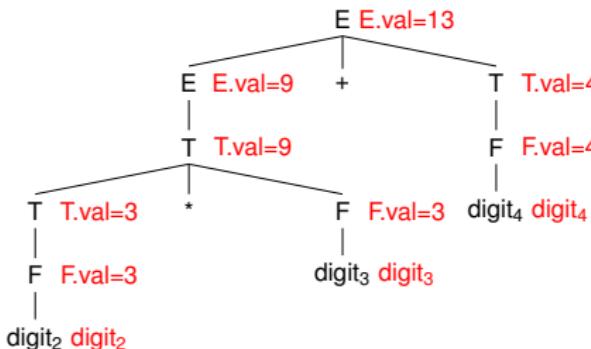
(state)	(symbol)	(attribute)
S?	T	T.val=4
S?	+	-
S?	E	E.val=9
S?	\$	-

(state) (symbol) (attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is natural and easy to evaluate synthesized attributes



parsing stack:

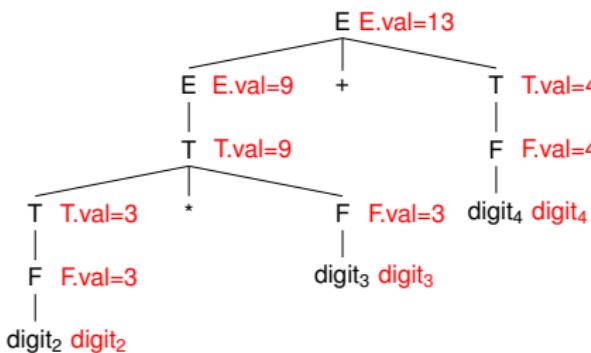
(state)	(symbol)	(attribute)
S?	E	E.val=13
S?	\$	-

(state) (symbol) (attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is natural and easy to evaluate synthesized attributes



parsing stack:

S?	E	E.val=13
S?	\$	-

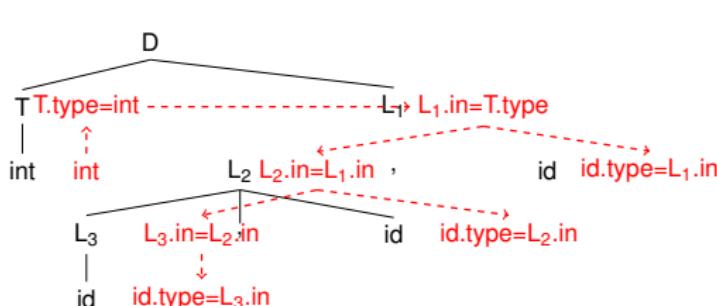
(state) (symbol) (attribute)

- Grammars with only synthesized attributes are called **S-Attributed Grammars**

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is **not natural** to evaluate inherited attributes



parsing stack:

S ₇	\$	-
(state)	(symbol)	(attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- ❑ it is **not natural** to evaluate inherited attributes

int , , id
 , id
 id

parsing stack:

S?	\$	-

(state) (symbol) (attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is **not natural** to evaluate inherited attributes



parsing stack:

S?	T	T.type=int
S?	\$	-

(state) (symbol) (attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is **not natural** to evaluate inherited attributes



parsing stack:

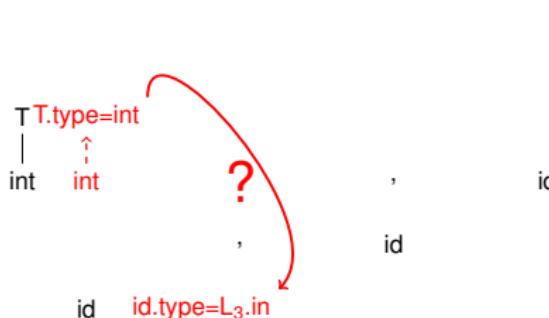
S?	id	$id.type=L_3.in$
S?	T	$T.type=int$
S?	\$	-

(state) (symbol) (attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is **not natural** to evaluate inherited attributes



parsing stack:

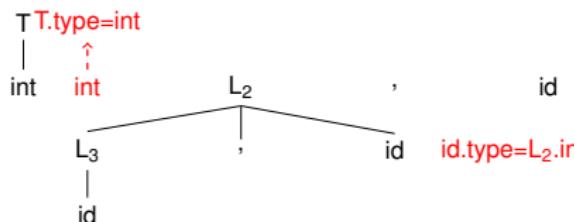
$S_?$	id	$\text{id.type=L}_3.\text{in}$
$S_?$	T	$T.type=\text{int}$
$S_?$	$\$$	-

(state) (symbol) (attribute)

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is **not natural** to evaluate inherited attributes



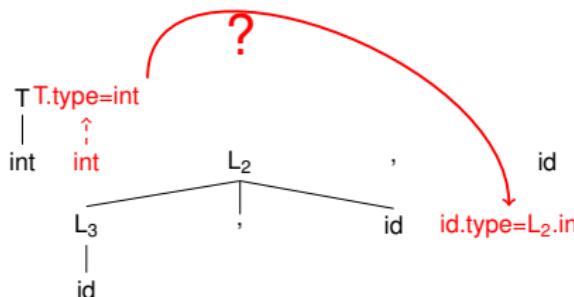
parsing stack:

(state)	(symbol)	(attribute)
S?	id	id.type=L2.in
S?	,	
S?	L3	L3.in=L2.in
S?	T	T.type=int
S?	\$	-

Translation Scheme for Bottom-Up Parsing

When using LR parsing (bottom-up parsing),

- it is **not natural** to evaluate inherited attributes



parsing stack:

(state)	(symbol)	(attribute)
S?	id	id.type=L2.in
S?	,	
S?	L3	L3.in=L2.in
S?	T	T.type=int
S?	\$	-

(state) (symbol) (attribute)

Evaluating Inherited Attributes using LR

❑ **Claim:** Given an L-Attributed grammar, inherited attributes needed for the computation are already on the stack

☞ Recall: What is an L-Attributed grammar?

- May have synthesized attributes
- May have inherited attributes but only from:
 - **Left** sibling attributes
 - Parent attribute

❑ Finding inherited attributes on the stack

- Left siblings: previously reduced, so already on the stack
- Parent: not yet reduced, but left siblings of the parent used to compute the parent attribute are on the stack

D → T - I

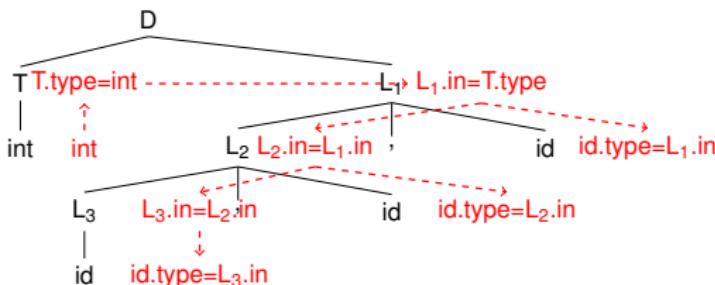
$T \rightarrow \text{int}$ { $T.\text{type}=\text{int}$ }

$T \rightarrow \text{real}$ { $T.\text{type}=\text{real}$ }

$L \rightarrow L$, id {id.type=stack[top-3].type}

$L \rightarrow id \quad \{id.type=stack[top-1].type\}$

parsing stack:



S?	\$	-
(state)	(symbol)	(attribute)

$$D \rightarrow T \ L$$

$$T \rightarrow \text{int } \{T.\text{type}=\text{int}\}$$

$$T \rightarrow \text{real } \{T.\text{type}=\text{real}\}$$

$$L \rightarrow L , \ id \ \{id.\text{type}=\text{stack}[\text{top}-3].\text{type}\}$$

$$L \rightarrow id \ \{id.\text{type}=\text{stack}[\text{top}-1].\text{type}\}$$

parsing stack:

int , , id
 , id id

(state)	(symbol)	(attribute)
S?	\$	-

$$D \rightarrow T \ L$$

$$T \rightarrow \text{int } \{T.\text{type}=\text{int}\}$$

$$T \rightarrow \text{real } \{T.\text{type}=\text{real}\}$$

$$L \rightarrow L , \text{ id } \{\text{id.type}=\text{stack[top-3].type}\}$$

$$L \rightarrow \text{id } \{\text{id.type}=\text{stack[top-1].type}\}$$

parsing stack:

T	T.type=int	
int	↑	
	int	

,		

,		

(state)	(symbol)	(attribute)
S?	T	T.type=int
S?	\$	-

$$D \rightarrow T \ L$$

$$T \rightarrow \text{int } \{T.\text{type}=\text{int}\}$$

$$T \rightarrow \text{real } \{T.\text{type}=\text{real}\}$$

$$L \rightarrow L \ , \ id \ \{id.\text{type}=\text{stack}[\text{top}-3].\text{type}\}$$

$$L \rightarrow id \ \{id.\text{type}=\text{stack}[\text{top}-1].\text{type}\}$$

parsing stack:



S?	id	$\text{id}.\text{type}=\text{stack}[\text{top}-1]$
S?	T	$T.\text{type}=\text{int}$
S?	\$	-

(state) (symbol) (attribute)

$$D \rightarrow T \ L$$

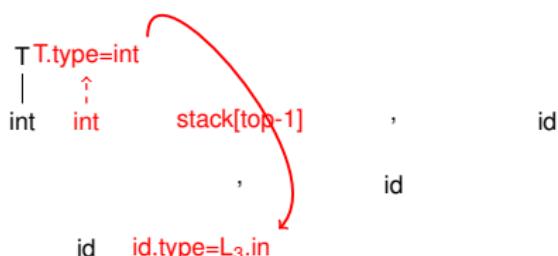
$$T \rightarrow \text{int} \quad \{\text{T.type=int}\}$$

$$T \rightarrow \text{real} \quad \{\text{T.type=real}\}$$

$$L \rightarrow L \ , \ id \quad \{\text{id.type=stack[top-3].type}\}$$

$$L \rightarrow id \quad \{\text{id.type=stack[top-1].type}\}$$

parsing stack:



S?	id	id.type=stack[top-1]
S?	T	T.type=int
S?	\$	-

(state) (symbol) (attribute)

$$D \rightarrow T \ L$$

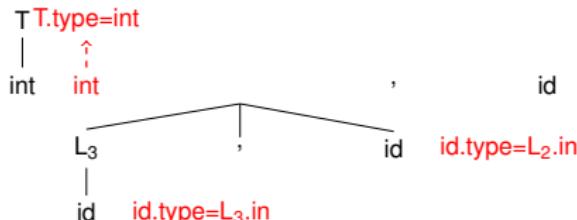
$$T \rightarrow \text{int} \quad \{T.\text{type}=\text{int}\}$$

$$T \rightarrow \text{real} \quad \{T.\text{type}=\text{real}\}$$

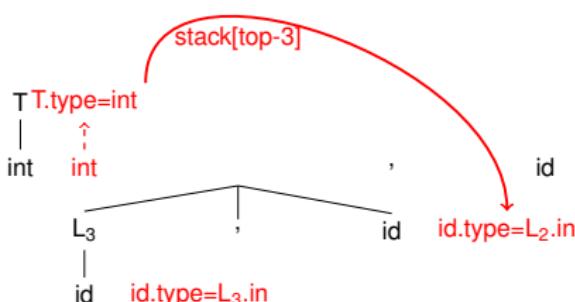
$$L \rightarrow L \ , \ id \quad \{id.\text{type}=\text{stack[top-3].type}\}$$

$$L \rightarrow id \quad \{id.\text{type}=\text{stack[top-1].type}\}$$

parsing stack:



(state)	(symbol)	(attribute)
$S_?$	id	$\text{id.type}=\text{stack[top-3]}$
$S_?$	$,$	
$S_?$	L_3	$L_3.\text{in}=\text{int}$
$S_?$	T	$T.\text{type}=\text{int}$
$S_?$	$\$$	-

$D \rightarrow T \ L$ $T \rightarrow \text{int} \quad \{\text{T.type=int}\}$ $T \rightarrow \text{real} \quad \{\text{T.type=real}\}$ $L \rightarrow L \ , \ id \quad \{\text{id.type=stack[top-3].type}\}$ $L \rightarrow id \quad \{\text{id.type=stack[top-1].type}\}$ 

parsing stack:

(state)	(symbol)	(attribute)
S?	id	id.type=stack[top-3]
S?	,	
S?	L3	L3.in=int
S?	T	T.type=int
S?	\$	-

Marker

- Given the following SDD, where $|\alpha| \neq |\beta|$

$$A \rightarrow X \alpha Y \mid X \beta Y$$
$$Y \rightarrow \gamma \{ \dots = f(X.s) \}$$

- Problem: cannot generate stack location for $X.s$ since X is at different relative stack locations from Y
- Solution: introduce *markers* M_1 and M_2 that are at the same relative stack locations from Y

$$A \rightarrow X \alpha M_1 Y \mid X \beta M_2 Y$$
$$Y \rightarrow \gamma \{ \dots = f(M_{12}.s) \}$$
$$M_1 \rightarrow \varepsilon \{ M_1.s = X.s \}$$
$$M_2 \rightarrow \varepsilon \{ M_2.s = X.s \}$$

(M_{12} = the stack location of M_1 or M_2 , which are identical)

- A marker intuitively marks a stack location that is equidistant from the reduced non-terminal

Example

- When is a marker necessary and how is it added?

Example 1:

$$\begin{aligned} S &\rightarrow a A \{ C.i = A.s \} C \\ S &\rightarrow b A B \{ C.i = A.s \} C \\ C &\rightarrow c \{ C.s = f(C.i) \} \end{aligned}$$

Solution:

$$\begin{aligned} S &\rightarrow a A \{ C.i = A.s \} C \\ S &\rightarrow b A B \{ M.i = A.s \} M \{ C.i = M.s \} C \\ C &\rightarrow c \{ C.s = f(C.i) \} \\ M &\rightarrow \varepsilon \{ M.s = M.i \} \end{aligned}$$

That is:

$$\begin{aligned} S &\rightarrow a A C \\ S &\rightarrow b A B M C \\ C &\rightarrow c \{ C.s = f(\text{stack}[\text{top}-1]) \} \\ M &\rightarrow \varepsilon \{ M.s = \text{stack}[\text{top}-2] \} \end{aligned}$$

When and how to add a marker

1. Identify the stack offset(s) to find the desired attribute
2. If stack offsets are different, add a marker
3. Add marker where it would result in uniform stack offsets

Example:

$S \rightarrow a A B C E D$

$S \rightarrow b A F B C F D$

$C \rightarrow c \{/* C.s = f(A.s) */\}$

$D \rightarrow d \{/* D.s = f(B.s) */\}$

Answer

$S \rightarrow a A B C E D$

$S \rightarrow b A D M B C F D$

$C \rightarrow c \{/* C.s = f(stack[top-2]) */\}$

$D \rightarrow d \{/* D.s = f(stack[top-3]) */\}$

$M \rightarrow \varepsilon \{/* M.s = f(stack[top-2]) */\}$

- Regarding C.s, from stack[top-2], and stack[top-3]
.... add a Marker
- Regarding D.s, always from stack[top-2]
... no need to add

 How about Top-Down Parsing?

Translation Scheme for Top-Down Parsing

- ❑ Recursive Descent Parsers: Straightforward
 - Synthesized Attribute
 - Say function for non-terminal returns synthesized attribute
 - Compute attribute from children function call return values
 - Inherited Attribute
 - Pass as argument to function call for inheriting non-terminal
 - Left sibling attributes: left sibling calls already complete
 - Parent attributes: passed in as arguments to parent function
- ❑ How about table-driven LL parsers?

Translation Scheme for LL Parsing

- it is natural to evaluate inherited attributes

$$D \rightarrow T \quad \{L.in=T.type\} \quad L$$

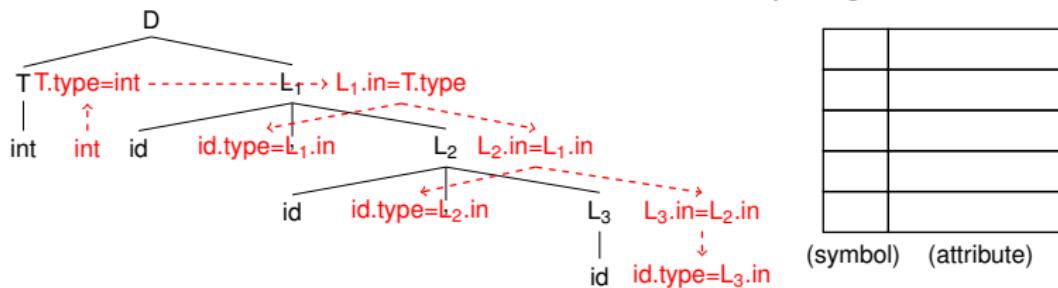
$$T \rightarrow \text{int} \quad \{T.type=int\}$$

$$T \rightarrow \text{real} \quad \{T.type=real\}$$

$$L \rightarrow \{\text{id.type}=L.in\} \text{ id} , \quad \{L_1.in=L.in\} \quad L_1$$

$$L \rightarrow \{\text{id.type}=L.in\} \text{ id}$$

parsing stack:



Translation Scheme for LL Parsing

- it is natural to evaluate inherited attributes

$D \rightarrow T \{L.in=T.type\} L$

$T \rightarrow \text{int } \{T.type=int\}$

$T \rightarrow \text{real } \{T.type=real\}$

$L \rightarrow \{\text{id.type}=L.in\} \text{id} , \{L_1.in=L.in\} L_1$

$L \rightarrow \{\text{id.type}=L.in\} \text{id}$

parsing stack:

D

D	

(symbol) (attribute)

Translation Scheme for LL Parsing

- it is natural to evaluate inherited attributes

$D \rightarrow T \quad \{L.in=T.type\} \quad L$

$T \rightarrow \text{int} \quad \{T.type=int\}$

$T \rightarrow \text{real} \quad \{T.type=real\}$

$L \rightarrow \{\text{id.type}=L.in\} \text{ id} \quad , \quad \{L_1.in=L.in\} \quad L_1$

$L \rightarrow \{\text{id.type}=L.in\} \text{ id}$



parsing stack:

T	T.type=int
	{L1.in=T.type}
L	L1.in=???

(symbol) (attribute)

Translation Scheme for LL Parsing

- it is natural to evaluate inherited attributes

$D \rightarrow T \{L.in=T.type\} L$

$T \rightarrow \text{int } \{T.type=int\}$

$T \rightarrow \text{real } \{T.type=real\}$

$L \rightarrow \{\text{id.type}=L.in\} \text{id} , \{L_1.in=L.in\} L_1$

$L \rightarrow \{\text{id.type}=L.in\} \text{id}$



parsing stack:

	{L ₁ .in=int}
L	L ₁ .in=???

(symbol) (attribute)

Translation Scheme for LL Parsing

- it is natural to evaluate inherited attributes

$$D \rightarrow T \quad \{L.in=T.type\} \quad L$$

$$T \rightarrow \text{int} \quad \{T.type=int\}$$

$$T \rightarrow \text{real} \quad \{T.type=real\}$$

$$L \rightarrow \{\text{id.type}=L.in\} \text{ id} \quad , \quad \{L_1.in=L.in\} \quad L_1$$

$$L \rightarrow \{\text{id.type}=L.in\} \text{ id}$$


	L1.in=int

(symbol) (attribute)

Translation Scheme for LL Parsing

- it is natural to evaluate inherited attributes

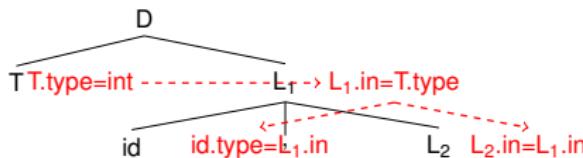
$D \rightarrow T \{L.in=T.type\} L$

$T \rightarrow \text{int}$ { $T.\text{type}=\text{int}$ }

$T \rightarrow \text{real}$ { $T.\text{type}=\text{real}$ }

$L \rightarrow \{id.type=L.in\} id , \{L_1.in=L.in\} L_1$

$L \rightarrow \{id.type=L.in\} id$



parsing stack:

	{id.type=L ₁ .in}
id	id.type=???
,	
	{L ₂ .in=L ₁ .in}
L ₂	L ₂ .in=???

Translation Scheme for LL Parsing

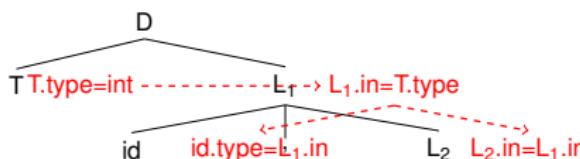
- it is natural to evaluate inherited attributes

$$D \rightarrow T \{L.in=T.type\} L$$

$$T \rightarrow \text{int } \{T.type=int\}$$

$$T \rightarrow \text{real } \{T.type=real\}$$

$$L \rightarrow \{\text{id.type}=L.in\} \text{id} , \{L_1.in=L.in\} L_1$$

$$L \rightarrow \{\text{id.type}=L.in\} \text{id}$$


parsing stack:

	{id.type=int}
id	id.type=???
,	
	{L2.in=int}
L2	L2.in=???

(symbol) (attribute)

Translation Scheme for LL Parsing

- it is natural to evaluate inherited attributes

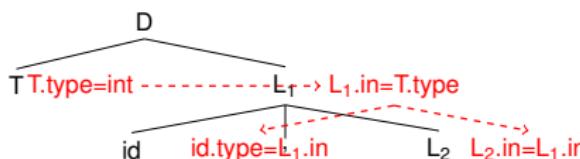
$D \rightarrow T \{L.in=T.type\} L$

$T \rightarrow \text{int } \{T.type=int\}$

$T \rightarrow \text{real } \{T.type=real\}$

$L \rightarrow \{\text{id.type}=L.in\} \text{id} , \{L_1.in=L.in\} L_1$

$L \rightarrow \{\text{id.type}=L.in\} \text{id}$



parsing stack:

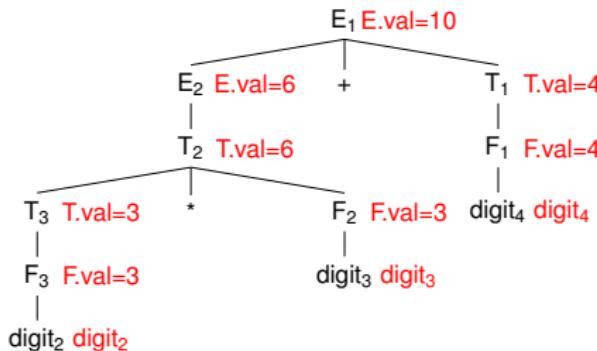
	{id.type=int}
id	id.type=???
,	
	{L2.in=int}
L2	L2.in=???

(symbol) (attribute)

- Semantic actions on the stack are called **action-records**.

Translation Scheme for LL Parsing

- it is **not natural** to evaluate synthesized attributes



parsing stack:

(symbol)	(attribute)

(symbol) (attribute)

Translation Scheme for LL Parsing

- ❑ it is **not natural** to evaluate synthesized attributes

E_1

parsing stack:

E_1	
(symbol)	(attribute)

Translation Scheme for LL Parsing

- it is **not natural** to evaluate synthesized attributes

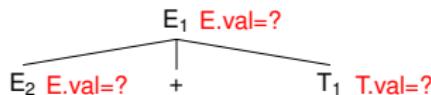
E_1 E.val=?

parsing stack:

E_1	E ₁ .val=?
(symbol)	(attribute)

Translation Scheme for LL Parsing

- it is **not natural** to evaluate synthesized attributes



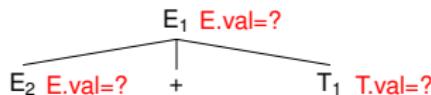
parsing stack:

E ₂	E ₂ .val=?
+	
T ₁	T ₁ .val=?

(symbol) (attribute)

Translation Scheme for LL Parsing

- it is **not natural** to evaluate synthesized attributes



parsing stack:

E ₂	E ₂ .val=?
+	
T ₁	T ₁ .val=?

(symbol) (attribute)

Translation Scheme for LL Parsing

- ❑ it is **not natural** to evaluate synthesized attributes

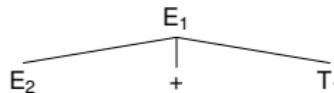
E_1

parsing stack:

E_1	
$E_1.val$???
(symbol)	(attribute)

Translation Scheme for LL Parsing

- it is **not natural** to evaluate synthesized attributes



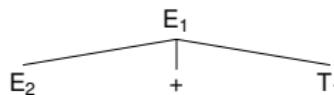
parsing stack:

E ₂	
E ₂ .val	???
+	
T ₁	
T ₁ .val	???
E ₁ .val	E ₂ .val + T ₁ .val

(symbol) (attribute)

Translation Scheme for LL Parsing

- it is **not natural** to evaluate synthesized attributes



parsing stack:

E ₂	
E ₂ .val	???
+	
T ₁	
T ₁ .val	???
E ₁ .val	E ₂ .val + T ₁ .val
(symbol)	(attribute)

- Synthesized attributes on the stack: **synthesize-records**.
(Inserted below non-terminal with synthesized attribute)
- In synthesize-record $E_1.val = E_2.val + T_1.val$,
 $E_2.val$ and $T_1.val$ are place holders for pending values.
(Updated when records $E_2.val$ and $T_1.val$ are popped.)