# Syntax Analysis

### Syntax Analysis is the second phase of compilation

Comparison with lexical analysis:

Phase	Input	Output
Lexer	string of characters	string of tokens
Parser	string of tokens	Parse tree/AST

- Syntax analysis is also called parsing
  - > Because it produces a parse tree.
  - > AST (Abstract Syntax Tree) is a simplified parse tree.

#### What is a Parse Tree?

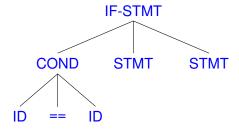
- Parse tree: a tree that represents grammatical structure
- Language constructs often have recursive structures

 $\textbf{If-stmt} \equiv \textbf{if} \; (EXPR) \; \textbf{then Stmt else Stmt fi}$ 

Stmt ≡ If-stmt | While-stmt | ...

#### A Parse Tree Example

- Code to be compiled:
  - $\dots$  if x==y then  $\dots$  else  $\dots$  fi
- Lexer: ... ...
- Parser:
  - Input: sequence of tokens
    - ... IF ID==ID THEN ... ELSE ... FI
  - > Desired output:



### REs cannot express recursive program constructs

Example of a recursive construct is matching parenthesis: # of "(" must equal # of ")"

```
✓ (x+y)*z
```

### REs cannot express recursive program constructs

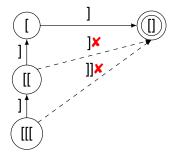
- Example of a recursive construct is matching parenthesis: # of "(" must equal # of ")"
  - ✓ (x+y)\*z
  - ✓ ((x+y)+y)\*z
  - ✓ (...(((x+y)+y)+y)...)
- Can regular expressions express this construct?
  - ightharpoonup Recall RL  $\equiv$  L(Regular Expression)  $\equiv$  L(Finite Automata)
  - Boils down to whether an FA can accept this construct

### RE/FA is Not Powerful Enough

 $\square$  Describe strings with pattern  $[i]^i$  (i $\ge$ 1)

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 $\square$  Describe strings with pattern  $[i]^i$  ( $i \ge 1$ )



- > "[", "[[" are different states as only former accepts on "]"
- > "[[", "[[[" are different states as only former accepts on "]]"
- $\rightarrow$  Infinite as for any [i, there exists a [i+1] that is a new state
- > Contradiction: no finite automaton accepts arbitrary nesting

### REs are not suitable for Syntax Analysis

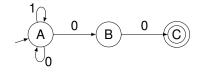
- REs cannot express recursive language constructs
- Programming languages belong to a category called CFLs
  - CFL is short for Context Free Language
  - CFLs are a strictly larger set than RLs
- To express CFLs, we need a new formalism: Grammars
- Grammars are general enough to express most languages
  - Regular Languages
  - Context Free Languages
  - Context Sensitive Languages
  - Recursively Enumerable Languages

### A Grammar defines a Language

- A grammar, along with tokens, defines a language
  - Like how English grammar defines the English language
- Grammars are defined using rigorous math just like for REs
- Recall the following definitions
  - ightharpoonup Language: A set of strings over alphabet Alphabet: A finite set of symbols Empty string:  $\varepsilon$
- ☐ We will also start calling strings in the language sentences

### An Example Grammar

Language L = { any string with "00" at the end }



- Grammar G = { T, N, s,  $\delta$  } where T = { 0, 1 }, N = { A, B }, s = A, and production rules  $\delta$  = { A $\rightarrow$  0A | 1A | 0B, B $\rightarrow$  0 }
- Derivation: from grammar to language
  - ightharpoonup A  $\Rightarrow$  0A  $\Rightarrow$  00B  $\Rightarrow$  000
  - ightharpoonup A  $\Rightarrow$  1A  $\Rightarrow$  10B  $\Rightarrow$  100
  - ightharpoonup A  $\Rightarrow$  0A  $\Rightarrow$  00A  $\Rightarrow$  000B  $\Rightarrow$  0000
  - ightharpoonup A  $\Rightarrow$  0A  $\Rightarrow$  01A  $\Rightarrow$  ...

### Grammar, formally defined

- $\square$  A grammar consists of 4 components (T, N, S,  $\delta$ )
  - ➤ T set of terminal symbols
    - Leaves in the parse tree essentially tokens
  - N set of non-terminal symbols
    - Internal nodes in the parse tree that expands into tokens
    - Language construct composed of one or more tokens like: statements, loops, functions, classes, ...
  - ➤ S A special non-terminal start symbol
    - Every string in language is derived from it
  - $\rightarrow \delta$  a set of **production** rules
    - "LHS → RHS": left-hand-side produces right-hand-side

#### Production Rule and Derivation

- $\sqcup$  "LHS  $\to$  RHS"
  - Production rule to replace LHS with RHS
  - Applied repeatedly to derive target sentence from S
- $\beta \Rightarrow \alpha$ : string  $\beta$  derives  $\alpha$ 

  - $\begin{array}{lll} \blacktriangleright & \beta \Rightarrow \alpha & & \text{1 step} \\ \blacktriangleright & \beta \Rightarrow *\alpha & & \text{0 or more steps} \end{array}$
  - $\Rightarrow \beta \stackrel{*}{\Longrightarrow} \alpha$  0 or more steps
  - example:

$$A \Rightarrow 0A \Rightarrow 00B \Rightarrow 000$$

$$A \stackrel{*}{\Longrightarrow} 000$$

$$A \stackrel{+}{\Longrightarrow} 000$$

### Noam Chomsky Grammars

Chomsky classified grammars into 4 types:

Type 0: recursive grammar

Type 1: context sensitive grammar

Type 2: context free grammar

Type 3: regular grammar

(Classification done based on form of production rules)

The grammars produce the corresponding languages:

L(recursive grammar)  $\equiv$  recursively enumerable language L(context sensitive grammar)  $\equiv$  context sensitive language

L(context sensitive grammar)  $\equiv$  context sensitive language

 $L(context\ free\ grammar) \equiv context\ free\ language$ 

L(regular grammar) ≡ regular language

### Type 0: Unrestricted/Recursive Grammar

- ☐ Type 0 grammar unrestricted or recursive grammar
  - ightharpoonup Form of rules  $\alpha \to \beta$

where 
$$\alpha \in (N \cup T)^+$$
,  $\beta \in (N \cup T)^*$ 

- No restrictions on form of grammar rules
- $\begin{array}{c} \blacktriangleright \quad \text{Example:} \\ \quad \text{aAB} \rightarrow \text{aCD} \\ \quad \text{aAB} \rightarrow \text{aB} \\ \end{array}$

 $\mathsf{A} o arepsilon$  ; erase rule is allowed

### Type 1: Context Sensitive Grammar

- Type 1 grammar context sensitive grammar
  - > Form of rules

$$\alpha A\beta \to \alpha \gamma \beta$$

where 
$$A \in N$$
,  $\alpha, \beta \in (N \cup T)^*$ ,  $\gamma \in (N \cup T)^+$ 

- ightharpoonup Replace A by  $\gamma$  only if found in the context of  $\alpha$  and  $\beta$
- No erase rule
- ➤ Example: aAB → aCB

### Type 2: Context Free Grammar

- - > Form of rules

$$A \rightarrow \gamma$$

where 
$$A \in N$$
,  $\gamma \in (N \cup T)^+$ 

ightharpoonup Can replace A by  $\gamma$  at any time — cannot specify context

## Type 2: Context Free Grammar

- ☐ Type 2 grammar context free grammar
  - > Form of rules

$$A \rightarrow \gamma$$

where 
$$A \in N$$
,  $\gamma \in (N \cup T)^+$ 

- ightharpoonup Can replace A by  $\gamma$  at any time cannot specify context
- Are programming languages (PLs) context free ?
  - > Some PL constructs are context free: If-stmt, declaration
  - Many are not: def-before-use, matching formal/actual parameters, etc.

## Type 3: Regular Grammar

- Type 3 grammar regular grammar
  - > Form of rules

$$A \rightarrow \alpha$$
, or  $A \rightarrow \alpha B$ 

where 
$$A, B \in N$$
,  $\alpha \in T$ 

- Regular grammar defines RE
- > Can be used to define tokens for lexical analysis
- > Example:

$$A \rightarrow 1A \mid 0$$

# Differentiate Type 2 and 3 Grammars

> Regular grammar

$$S \rightarrow [S \mid [T \mid T \rightarrow T \mid T]]$$

> Context free grammar

$$S \rightarrow [S][]$$

### Differentiate Type 1 and 2 Grammars

Type 2 grammar (context free)

```
\begin{array}{lll} S \rightarrow D \ U \\ D \rightarrow int \ x; & | & int \ y; \\ U \rightarrow x{=}1; & | & y{=}1; \end{array}
```

☐ Type 1 grammar (context sensitive)

```
S \rightarrow D U

D \rightarrow int x; | int y;

int x; U \rightarrow int x; x=1;

int y; U \rightarrow int y; y=1;
```

# Are Programming Languages Really Context Free?

- Language from type 2 grammar
  - $ightharpoonup S \Rightarrow DU \Rightarrow int x; U \Rightarrow int x; x=1;$
  - $ightharpoonup S \Rightarrow DU \Rightarrow int x; U \Rightarrow int x; y=1;$
  - $ightharpoonup S \Rightarrow DU \Rightarrow int y; U \Rightarrow int y; x=1;$
  - $ightharpoonup S \Rightarrow DU \Rightarrow int y; U \Rightarrow int y; y=1;$

- Language from type 1 grammar
  - $ightharpoonup S \Rightarrow DU \Rightarrow int x; U \Rightarrow int x; x=1;$
  - $ightharpoonup S \Rightarrow DU \Rightarrow int y; U \Rightarrow int y; y=1;$

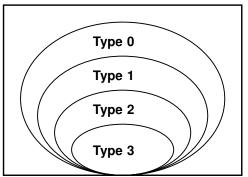
### Are Programming Languages Really Context Free?

- Language from type 2 grammar
  - $\Rightarrow$  S  $\Rightarrow$  DU  $\Rightarrow$  int x; U  $\Rightarrow$  int x; x=1;
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- Language from type 1 grammar
  - $ightharpoonup S \Rightarrow DU \Rightarrow int x; U \Rightarrow int x; x=1;$
  - $ightharpoonup S \Rightarrow DU \Rightarrow int y; U \Rightarrow int y; y=1;$
- PLs are context sensitive, why use CFG in parsing?

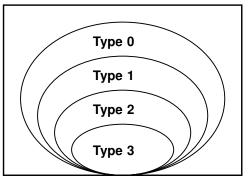
### The Chomsky Hierarchy of Grammars

 $\square$  RL  $\subset$  CFL  $\subset$  CSL  $\subset$  L(Recursive Grammar)



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 $\square$  RL  $\subset$  CFL  $\subset$  CSL  $\subset$  L(Recursive Grammar)



- $\square$  However,  $\mathsf{L}_{\mathsf{y}} \subset \mathsf{L}_{\mathsf{x}}$  where  $\mathsf{L}_{\mathsf{x}} : [^i]^k$ —RG,  $\mathsf{L}_{\mathsf{y}} : [^i]^i$ —CFG
  - > Is it a problem?

# **Context Free Grammars**

### Syntax Analysis is a process of derivation

- Grammar is used to derive string or construct parser
- A derivation is a sequence of applications of rules
  - Starting from the start symbol
  - $ightharpoonup S \Rightarrow ... \Rightarrow ... \Rightarrow ... \Rightarrow (sentence)$
- Leftmost and Rightmost drivations
  - At each derivation step, leftmost derivation always replaces the leftmost non-terminal symbol
  - > Rightmost derivation always replaces the rightmost one

#### Examples

$$\mathsf{E} \to \mathsf{E} \,^*\,\mathsf{E} \, \mid \, \mathsf{E} \,^+\,\mathsf{E} \,\mid \, (\,\mathsf{E}\,) \,\mid \, \mathsf{id}$$

leftmost derivation

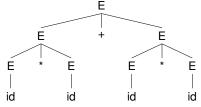
$$\mathsf{E} \Rightarrow \mathsf{E} + \mathsf{E} \Rightarrow \mathsf{E} * \mathsf{E} + \mathsf{E} \Rightarrow \mathsf{id} * \mathsf{E} + \mathsf{E} \Rightarrow \mathsf{id} * \mathsf{id} + \mathsf{E} \Rightarrow ...$$
$$\Rightarrow \mathsf{id} * \mathsf{id} + \mathsf{id} * \mathsf{id}$$

> rightmost derivation

$$E \Rightarrow E + E \Rightarrow E + E * E \Rightarrow E + E * id \Rightarrow E + id * id \Rightarrow ...$$
  
  $\Rightarrow id * id + id * id$ 

### A Parse Tree represent the Derivation

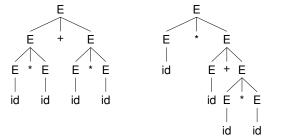
This is the parse tree that represents both derivations:

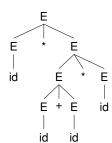


- A parse tree
  - describes program structure (defined by the rules applied)
  - is agnostic of leftmost or rightmost derivation (as long as the same rules are applied in both)
- ☐ There are two types of nodes in a parse tree:
  - > Leaves: terminals that form the sentence
  - > Non-leaves: intermediate non-terminals in the derivation

#### Different Rules result in different Parse Trees

Application of different rules result in different parse trees:





- Note: each parse tree has a unique leftmost derivation
  - ightharpoonup First:  $E \Rightarrow E + E \Rightarrow E * E + E \Rightarrow id * E + E \Rightarrow id * id + E \Rightarrow ...$
  - ightharpoonup Second:  $E \Rightarrow E * E \Rightarrow id * E \Rightarrow id * E + E \Rightarrow id * id + E \Rightarrow ...$
  - ightharpoonup Third:  $E \Rightarrow E * E \Rightarrow id * E \Rightarrow id * E * E \Rightarrow id * E + E * E \Rightharpoonup ...$
- Same goes for rightmost derivations

#### **Ambiguity**

- □ A grammar G is ambiguous if
  - ightharpoonup there exist a string  $str \in L(G)$  such that
  - > more than one parse tree derives *str* 
    - $\equiv$  there is more than leftmost derivation for str
    - $\equiv$  there is more than rightmost derivation for *str*
- Grammars that produce multiple parse trees is a problem
  - Each parse tree is a different interpretation of program
- Likely, there is an unambiguous version of the grammar
  - That accepts the same programming language
  - Programming languages are rarely inherently ambiguous

### Grammar can be rewritten to remove ambiguity

- ☐ Method I: to specify **precedence** 
  - > build precedence into grammar, have different non-terminal for each precedence level
    - Lower precedence relatively higher in tree (close to root)
    - Higher precedence relatively lower in tree (far from root)
    - Same precedence depends on associativity

Syntax Analysis

### How to Remove Ambiguity?

- - > Allow recursion only on either left or right non-terminal
    - Left associative recursion on left non-terminal
    - Right associative recursion on right non-terminal
- For the previous example,

```
\mathsf{E} \to \mathsf{E} + \mathsf{E} \dots; allows both left/right associativity
```

rewrite it to

$$E \rightarrow E + T \dots$$
; only left associativity  $F \rightarrow P \hat{F} \dots$ ; only right associativity

#### Ambiguity is undecidable for CFGs

- Decidable: computable using a Turing Machine
- lt is **decidable** if a string is in a context free language
  - Implementing a parser is feasible for every CFL
- It is **undecidable** if a CFG is ambiguous
  - Checking ambiguity at compile time is impossible
  - Can only be checked reliably at runtime for a given string
  - In practice, tools like Yacc check for a more restricted grammar (e.g. LALR(1)) instead
    - LALR(1) is a subset of unambiguous grammars
    - Can be done easily at compile time

### The Two Outcomes of Parsing

- Outcome 1: Parser is able to derive input from grammar
  - Parser builds parse tree that represents the derivation
- Outcome 2: Parser is unable to derive input from grammar
  - Parser emits a syntax error with source code location

### The Two Outcomes of Parsing

- Outcome 1: Parser is able to derive input from grammar
  - Parser builds parse tree that represents the derivation
- Outcome 2: Parser is unable to derive input from grammar
  - Parser emits a syntax error with source code location
- How would you write a parser that does both well?

# Types of Parsers

- Universal parser
  - Can parse any CFG e.g. Early's algorithm
  - Powerful but extremely inefficient (O(N³) where N is length of string)
- Top-down parser
  - Tries to expand start symbol to input string
  - > Finds leftmost derivation
  - > Only works for a certain class of grammars
  - Starts from root and expands into leaves
  - > Parser structure closely mimics grammar
    - Amenable to implementation by hand

# Types of Parsers (cont.)

- Bottom-up parser
  - Tries to reduce the input string to the start symbol
  - Finds reverse order of the rightmost derivation
  - Works for wider class of grammars
  - Starts at leaves and build tree in bottom-up fashion
  - > More amenable to generation by an automated tool

# What Output do We Want?

- The output of parsing is
  - parse tree, or
  - abstract syntax tree
- An abstract syntax tree is
  - similar to a parse tree but ignores some details
  - > internal nodes may contain terminal symbols

# An Example

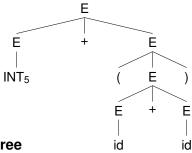
Consider the grammar

$$\mathsf{E} \ \to \ \mathsf{int} \ | \ (\,\mathsf{E}\,) \ | \ \mathsf{E} + \mathsf{E}$$
 and an input

$$5 + (2 + 3)$$

After lexical analysis, we have a sequence of tokens

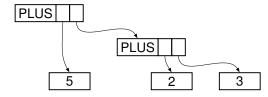
# Parse Tree of the Input



- A parse tree
  - > Traces the operation of the parser
  - Does capture the nested structure
- but contains too much information
  - > parentheses
  - > single-successor nodes

# **Abstract Syntax Tree**

An Abstract Syntax Tree (AST) for the input



- > AST also captures the nested structure
- > AST abstracts from parse tree (a.k.a. concrete syntax tree)
- > AST is more compact and contains only relevant info
- > ASTs are used in most compilers rather than parse trees

### How are ASTs Constructed?

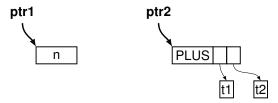
- ☐ Through implementation of semantic actions
- ☐ We already used them in project 1 to return token tuples
- To construct AST, we attach an **attribute** to each symbol X
  - X.ast the constructed AST for symbol X
- Extend each production rule with semantic actions, i.e.

$$X \rightarrow Y_1Y_2...Y_n$$
 { actions }

actions may define or use X.ast,  $Y_i$ .ast  $(1 \le i \le n)$ 

For the previous example, we have

- Here, we use two pre-defined fuctions
  - ptr1=mkleaf(n) create a leave node and assign value "n"
  - > ptr2=mkplus(t1, t2) create a tree node and assign the root value "PLUS", and two subtrees as t1 and t2



For input INT<sub>5</sub> '+' '(' INT<sub>2</sub> '+' INT<sub>3</sub> ')'
Construction order given is for a top-down LL(1) parser
(Order can change depending on parser implementation)

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E1.ast=mkleaf(5)

For input INT<sub>5</sub> '+' '(' INT<sub>2</sub> '+' INT<sub>3</sub> ')'

Construction order given is for a top-down LL(1) parser (Order can change depending on parser implementation)

E1.ast=mkleaf(5) E2.ast=mkleaf(2)





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Construction order given is for a top-down LL(1) parser (Order can change depending on parser implementation)

E1.ast=mkleaf(5) E2.ast=mkleaf(2) E3.ast=mkleaf(3)





3

For input INT<sub>5</sub> '+' '(' INT<sub>2</sub> '+' INT<sub>3</sub> ')'
Construction order given is for a top-down LL(1) parser
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E4.ast=mkplus(E2.ast, E3.ast)

PLUS

PLUS

E1.ast=mkleaf(5) E2.ast=mkleaf(2) E3.ast=mkleaf(3)

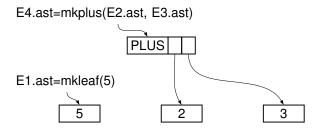
5

2

3

For input INT<sub>5</sub> '+' '(' INT<sub>2</sub> '+' INT<sub>3</sub> ')'

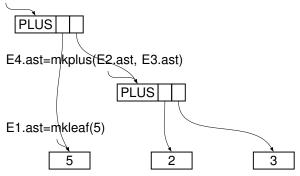
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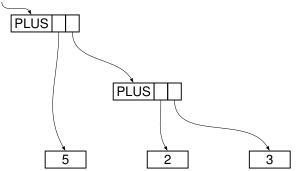
E5.ast=mkplus(E1.ast, E4.ast)



For input INT<sub>5</sub> '+' '(' INT<sub>2</sub> '+' INT<sub>3</sub> ')'

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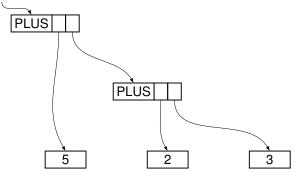
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### Summary

- Compilers specify program structure using CFG
  - Most programming languages are not context free
  - Context sensitive analysis can easily separate out to semantic analysis phase
- A parser uses CFG to
  - ightharpoonup ... answer if an input str  $\in$  L(G)
  - ... and build a parse tree
  - > ... or build an AST instead
  - ... and pass it to the rest of compiler

# Parsing

# Parsing

- We will study two approaches
- ☐ Top-down
  - Easier to understand and implement manually
- Bottom-up
  - More powerful, can be implemented automatically

Consider a CFG grammar G

$$S \rightarrow AB$$
  $A \rightarrow a$   $D \rightarrow d$   $C \rightarrow c$ 

$$A \ \rightarrow$$

$$S \rightarrow AB$$
  $A \rightarrow aC$   $B \rightarrow bD$ 

Actually, this language has only one sentence, i.e.

$$L(G) = \{ acbd \}$$

#### Leftmost Derivation:

$$S \Rightarrow AB (1)$$

- $\Rightarrow$  aCB (2)
- $\Rightarrow$  acB (3)
- $\Rightarrow$  acbD (4)
- $\Rightarrow$  acbd (5)



$$S \Rightarrow AB (5)$$

- $\Rightarrow$  AbD (4)
- $\Rightarrow$  Abd (3)
- $\Rightarrow$  aCbd (2)
- $\Rightarrow$  acbd (1)

Consider a CFG grammar G

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  $A \rightarrow aC$   $B \rightarrow bD$ 

 $D \rightarrow d \qquad C \rightarrow c$ 

Actually, this language has only one sentence, i.e.

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$$\Rightarrow$$
 acb



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Consider a CFG grammar G

$$S \rightarrow AB$$
  $A \rightarrow a$   $D \rightarrow d$   $C \rightarrow c$ 

$$A \rightarrow i$$

$$S \,\rightarrow\, A\,B \qquad A \,\rightarrow\, a\,C \qquad B \,\rightarrow\, b\,D$$

Actually, this language has only one sentence, i.e.

$$L(G) = \{ acbd \}$$

#### Leftmost Derivation:

$$S \Rightarrow AB (1)$$

$$\Rightarrow$$
 aCB (2)

$$\Rightarrow$$
 acB  $(3)$ 

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 acbD (4)

$$\Rightarrow$$
 acbd (5)



$$S \Rightarrow AB (5)$$

$$\Rightarrow$$
 AbD (4)

$$\Rightarrow$$
 Abd (3)

$$\Rightarrow$$
 aCbd (2)

$$\Rightarrow$$
 acbd (1)

Consider a CFG grammar G

$$egin{array}{lll} \mathsf{S} & 
ightarrow \mathsf{A} \, \mathsf{B} & \mathsf{A} & 
ightarrow \mathsf{a} \ \mathsf{D} & \mathsf{d} & \mathsf{C} & 
ightarrow \mathsf{c} \end{array}$$

$$S \,\rightarrow\, A\,B \qquad A\,\rightarrow\, a\,C \qquad B\,\rightarrow\, b\,D$$

Actually, this language has only one sentence, i.e.

$$L(G) = \{ acbd \}$$

#### Leftmost Derivation:

$$S \Rightarrow AB (1)$$

$$\Rightarrow$$
 aCB (2)

$$\Rightarrow$$
 acB (3)

$$\Rightarrow$$
 acbD (4)

$$\Rightarrow$$
 acbd (5)



$$S \Rightarrow AB (5)$$

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Consider a CFG grammar G

$$S \rightarrow AB$$
  $A \rightarrow a$   $D \rightarrow d$   $C \rightarrow c$ 

$$\mathsf{A} \, \to \,$$

$$S \rightarrow AB$$
  $A \rightarrow aC$   $B \rightarrow bD$ 

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Consider a CFG grammar G

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  $A \rightarrow a$   $D \rightarrow d$   $C \rightarrow c$ 

$$A \rightarrow a$$

$$S \rightarrow AB$$
  $A \rightarrow aC$   $B \rightarrow bD$ 

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$$S \rightarrow AB$$
  $A \rightarrow aC$   $B \rightarrow bD$ 

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#### Leftmost Derivation:

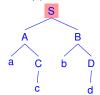
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Consider a CFG grammar G

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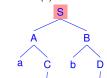
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$$\Rightarrow$$
 acbd (4)  $\Rightarrow$  acbd (5)



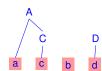
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Consider a CFG grammar G

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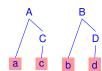
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Consider a CFG grammar G

$$S \rightarrow AB$$
  $A \rightarrow a$   $D \rightarrow d$   $C \rightarrow c$ 

$$S \rightarrow AB$$
  $A \rightarrow aC$   $B \rightarrow bD$ 

Actually, this language has only one sentence, i.e.

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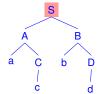
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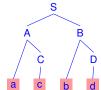
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# Top Down Parsers

# Backtracking or Predictive?

- How does a parser choose between production rules?
  - ightharpoonup Given  $A o \alpha | \beta$ , expand A to  $\alpha$  or  $\beta$ ?
- Backtracking parser: exhaustively tries all rules
  - > When input mismatch, backtrack to alternative rule
  - Con Non-linear time due to exhaustive search
  - Con Complex to roll back semantic actions on backtrack
  - Pro Can parse most CFGs (except left-recursion)
- Predictive parser: predict correct rule using lookahead
  - Looks ahead k input symbols to make prediction
  - Con Can parse only a subset of CFGs (dependent on k)
  - Pro Linear time as only correct derivations are done
  - Pro Simple structure as there is no need to backtrack
- Parsers can be backtracking or predictive (or both).

### Recursive Descent or Table Driven?

- How is the parser implementation done?
  - Hand-coded parsers are typically recursive descent
  - Auto-generated parsers are table driven
- Recursive descent parser: each non-terminal is a function
  - > Function is in charge of expanding non-terminal
  - Descends parse tree via recursive calls to non-terminals
  - Hand-written but easier to customize and control
  - > Typically uses backtracking rather than prediction
- ☐ Table driven parser: uses a table of predictions
  - Similar to lexer, uses a table to decide on next production
  - ➤ Table indexed by non-terminal and *k* lookahead symbols
  - > Similar to lexer, table can be generated from grammar
  - Always predictive but can use backtracking if needed

# Backtracking Example

input string: int \* int

start symbol: E

initial parse tree is E

## Backtracking Example

input string: int \* int

start symbol: E

initial parse tree is E

Assume: when there are alternative rules, try right rule first

Ε

 $E \Rightarrow T$ 

– pick right most rule  $E{\rightarrow}T$ 

$$\mathsf{E} \; \Rightarrow \; \mathsf{T} \; \Rightarrow \; (\; \mathsf{E} \;)$$

- pick right most rule E→T
- pick right most rule  $T\rightarrow$ (E)

$$\mathsf{E} \Rightarrow \mathsf{T} \Rightarrow (\mathsf{E})$$

- pick right most rule E→T
- pick right most rule  $T\rightarrow (E)$
- "(" does not match "int"

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- failure, backtrack one level

$$E \Rightarrow T \Rightarrow (E)$$

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$$E \Rightarrow T \Rightarrow (E)$$

 $\Rightarrow$  int

- pick right most rule E→T
- pick right most rule T→(E)
- "(" does not match "int"
- failure, backtrack one level
- pick  $T \rightarrow int$
- "int" matches input "int"

$$E \Rightarrow T \Rightarrow (E)$$

 $\Rightarrow$  int

- pick right most rule E→T
- pick right most rule T→(E)
- "(" does not match "int"
- failure, backtrack one level
- pick  $T \rightarrow int$
- "int" matches input "int"
- however, we expect more tokens
- failure, backtrack one level

$$E \Rightarrow T \Rightarrow (E)$$

 $\rightarrow$  int

- pick right most rule E→T
- pick right most rule T→(E)
- "(" does not match "int"
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$$E \Rightarrow T \Rightarrow (E)$$

 $\rightarrow$  int

 $\Rightarrow$  int \* T

- pick right most rule E→T
- pick right most rule T→(E)
- "(" does not match "int"
- failure, backtrack one level
- pick  $T \rightarrow int$
- "int" matches input "int"
- however, we expect more tokens
- failure, backtrack one level
- pick T→int \* T

$$\begin{array}{lll} E \Rightarrow T \xrightarrow{} (E) & - \operatorname{pick} \operatorname{right} \operatorname{most} \operatorname{rule} E {\to} T \\ & - \operatorname{pick} \operatorname{right} \operatorname{most} \operatorname{rule} T {\to} (E) \\ & - \text{``('')} \operatorname{does} \operatorname{not} \operatorname{match} \text{``int''} \\ & - \operatorname{failure, backtrack} \operatorname{one} \operatorname{level} \\ & \to \operatorname{int} & - \operatorname{pick} T {\to} \operatorname{int} \\ & - \operatorname{pick} \operatorname{Tomegaphical} \text{``int''} \\ & - \operatorname{however, we expect more} \operatorname{tokens} \\ & - \operatorname{failure, backtrack} \operatorname{one} \operatorname{level} \\ & \to \operatorname{int} {}^* T \Rightarrow \operatorname{int} {}^* (E) & - \operatorname{pick} T {\to} \operatorname{int} {}^* T \\ & - \operatorname{pick} T {\to} \operatorname{int} {}^* (E) & \end{array}$$

$$\begin{array}{lll} E \Rightarrow T \xrightarrow{} (E) & - \text{pick right most rule } E \rightarrow T \\ & - \text{pick right most rule } T \rightarrow (E) \\ & - \text{"(" does not match "int")} \\ & - \text{failure, backtrack one level} \\ & \rightarrow \text{int} & - \text{pick } T \rightarrow \text{int} \\ & - \text{pick } T \rightarrow \text{int} \\ & - \text{however, we expect more tokens} \\ & - \text{failure, backtrack one level} \\ & \Rightarrow \text{ int * T } \Rightarrow \text{ int * (E)} \\ & - \text{pick } T \rightarrow \text{int * (E)} \\ & - \text{"(" does not match input "int")} \end{array}$$

failure, backtrack one level

$$\begin{array}{ll} \mathsf{E} \, \Rightarrow \, \mathsf{T} \, \frac{}{\Rightarrow \, (\mathsf{E})} & - \, \mathsf{pick} \, \mathsf{right} \, \mathsf{most} \, \mathsf{rule} \, \mathsf{E} \! \to \! \mathsf{T} \\ & - \, \mathsf{pick} \, \mathsf{right} \, \mathsf{most} \, \mathsf{rule} \, \mathsf{T} \! \to \! (\mathsf{E}) \\ & - \, \text{"(" does not match "int"} \\ & - \, \mathsf{failure, backtrack one level} \\ & \Rightarrow \, \mathsf{int} & - \, \mathsf{pick} \, \mathsf{T} \! \to \! \mathsf{int} \\ & - \, \mathsf{pick} \, \mathsf{T} \! \to \! \mathsf{int} \\ & - \, \mathsf{however, we expect more tokens} \\ & - \, \mathsf{failure, backtrack one level} \\ & \Rightarrow \, \mathsf{int} \, ^* \, \mathsf{T} \, \xrightarrow{} \, \mathsf{int} \, ^* \, (\mathsf{E}) \\ & \Rightarrow \, \mathsf{int} \, ^* \, \mathsf{T} \, \xrightarrow{} \, \mathsf{int} \, ^* \, (\mathsf{E}) \\ \end{array}$$

- "(" does not match input "int"- failure, backtrack one level

$$\begin{array}{lll} E \Rightarrow T \xrightarrow{} (E) & - \operatorname{pick} \operatorname{right} \operatorname{most} \operatorname{rule} E {\to} T \\ & - \operatorname{pick} \operatorname{right} \operatorname{most} \operatorname{rule} T {\to} (E) \\ & - \text{``('' does not match "int''} \\ & - \operatorname{failure, backtrack one level} \\ & \Rightarrow \operatorname{int} & - \operatorname{pick} T {\to} \operatorname{int} \\ & - \operatorname{pick} \operatorname{Total} \\ & - \operatorname{however, we expect more tokens} \\ & - \operatorname{failure, backtrack one level} \\ & \Rightarrow \operatorname{int} {}^*T \xrightarrow{} \operatorname{int} {}^*(E) \\ & - \operatorname{pick} T {\to} \operatorname{int} {}^*(E) \\ & - \operatorname{mint} {}^*\operatorname{match input} \text{``int''} \\ & - \operatorname{failure, backtrack one level} \\ & \Rightarrow \operatorname{int} {}^*\operatorname{int} & - \operatorname{pick} T {\to} \operatorname{int} \\ & - \operatorname{pick} T {\to} \\ & -$$

$$\begin{array}{lll} E \Rightarrow T \xrightarrow{} (E) & - \operatorname{pick} \operatorname{right} \operatorname{most} \operatorname{rule} E {\to} T \\ & - \operatorname{pick} \operatorname{right} \operatorname{most} \operatorname{rule} T {\to} (E) \\ & - \text{``('')} \operatorname{does} \operatorname{not} \operatorname{match} \text{``int''} \\ & - \operatorname{failure, backtrack} \operatorname{one} \operatorname{level} \\ & - \operatorname{pick} T {\to} \operatorname{int} \\ & - \operatorname{int''} \operatorname{matches} \operatorname{input} \text{``int''} \\ & - \operatorname{however, we expect} \operatorname{more} \operatorname{tokens} \\ & - \operatorname{failure, backtrack} \operatorname{one} \operatorname{level} \\ & - \operatorname{pick} T {\to} \operatorname{int} {}^* T \\ & - \operatorname{pick} T {\to} \operatorname{int} {}^* (E) \\ & - \operatorname{``('')} \operatorname{does} \operatorname{not} \operatorname{match} \operatorname{input} \text{``int''} \\ & - \operatorname{failure, backtrack} \operatorname{one} \operatorname{level} \\ & \to \operatorname{int} {}^* \operatorname{int} \\ & - \operatorname{pick} T {\to} \operatorname{int} \\ & - \operatorname{pick} T {\to} \operatorname{int} \\ & - \operatorname{pick} T {\to} \operatorname{int} \\ & - \operatorname{match, accept} \end{array}$$

# Recursive Descent Parser with Backtracking

## **Recursive Descent Parsing Implementation**

- When expanding a non-terminal, try all productions until
  - A production is found that generates a portion of the input, or
  - No production is found that generates a portion of the input, in which case backtrack to previous non-terminal
- Create a function for each non-terminal
  - 1. For RHS of each production rule,
    - a. For a terminal, match with input symbol and consume
    - b. For a non-terminal, call function for that non-terminal
    - c. If match succeeds for entire RHS, return success
    - d. If match fails, regurgitate input and try next RHS
  - 2. If match succeeds for any rule, apply that rule to LHS
- If entire input string matched with start symbol, success!

 $\mathsf{E} \to \mathsf{T} + \mathsf{E} + \mathsf{T}$ 

#### A Hand-coded Recursive Descent Parser

■ Sample implementation of parser for previous grammar:

```
T \rightarrow int * T \mid int \mid (E)
char fetchNext() {
                                      bool term() {
  // Fetch one character
                                      rule1:
                                         if (fetchNext()!=IntNum) {
void regurgitate(int n) {
                                           regurgitate(1);
  // Unfetch n characters
                                           goto rule2;
bool expr() {
                                         if (fetchNext()!=StarNum) {
rule1:
                                           regurgitate(2):
   if(!term()) goto rule2;
                                           aoto rule2:
   if (fetchNext()!=AddNum) {
     regurgitate(1);
                                         if(!term()) {
     aoto rule2:
                                           regurgitate(2);
                                           goto rule2;
   if(!expr()) {
     regurgitate(1);
                                         return true:
     goto rule2;
                                      rule2:
   return true:
                                         return true;
rule2:
                                      rule3:
   if (!term()) return false;
   return true;
                                         return true;
```

#### Recursive Descent has a Left Recursion Problem

- Recursive descent doesn't work if grammar is left recursive
- Why is left recursion a problem?
  - For left recursive grammar

$$A \to A \ b \ \mid \ c$$

We may repeatedly choose to apply A b

$$A \Rightarrow A b \Rightarrow A b b \dots$$

- Sentence can grow indefinitely w/o consuming input
- > How do you know when to stop recursion and choose c?

#### Recursive Descent has a Left Recursion Problem

- Recursive descent doesn't work if grammar is left recursive
- Why is left recursion a problem?
  - For left recursive grammar

$$A \to A \ b \ \mid \ c$$

We may repeatedly choose to apply A b

$$\mathsf{A} \Rightarrow \mathsf{A} \; \mathsf{b} \Rightarrow \mathsf{A} \; \mathsf{b} \; \mathsf{b} \; ...$$

- > Sentence can grow indefinitely w/o consuming input
- > How do you know when to stop recursion and choose c?
- Rewrite the grammar so that it is right recursive
  - > Which expresses the same language

## Removing Left Recursion

All immediate left recursion can be eliminated this way:

$$\textbf{A} \rightarrow \textbf{A} \ \textbf{x} \ | \ \textbf{y}$$

change to

$$A \rightarrow y A'$$

$$A' \rightarrow x A' \mid \varepsilon$$

Not all left recursion is immediate

(Recursion may involve multiple non-terminals)

$$\textbf{A} \rightarrow \textbf{BC} \ | \ \textbf{D}$$

$$\mathsf{B} \to \mathsf{AE} \ | \ \mathsf{F}$$

... see Section 4.3 for elimination of general left recursion

... (not required for this course)

# Table Driven Parser using Predictions

## Predictive Parsers can avoid Backtracking

- Predict correct production rule based on *k* lookahead
  - Backtracking can be avoided if grammar limited to LL(k)
- LL(k) Parser
  - ➤ L left to right scan
  - ➤ L leftmost derivation
  - > k k symbols of lookahead
  - > A predictive parser that uses k lookahead tokens
- LL(k) Grammar
  - A grammar parse-able by LL(k) parser with no backtracking
- LL(k) Language
  - > A language that can be expressed as a LL(k) grammar
  - > LL(k) languages are a restricted subset of CFLs
  - ➤ But many languages are LL(k). In fact, many are LL(1)!

## Left factoring can make grammars LL(1)

- An LL(1) grammar
  - > First terminal of every alternative production is unique

$$A \rightarrow a B D \mid b B B$$

$$B \rightarrow c \mid bce$$

- $\mathsf{D} \to \mathsf{d}$
- What if no LL(1)? Left factor to make it LL(1)!
  - What if production rules for A was changed to below?

$$A \rightarrow a \ B \ D \ \mid \ a \ B \ B$$

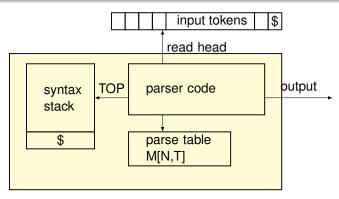
Left factor a B to enable prediction:

$$A \rightarrow a B A' \mid a B A' A' \rightarrow D \mid B$$

 $\square$  In general, if you see  $A \to \alpha\beta + \alpha\gamma$ , change to:

$$A \rightarrow \alpha A'$$
 $A' \rightarrow \beta \mid \gamma$ 

#### A Table Driven Pushdown Automaton



Syntax stack — hold right hand side (RHS) of grammar rules Parse table M[A,b] — an entry containing rule "A  $\rightarrow$  ..." or error Parser code — next action based on **(current token, stack top)** Table can be automatically generated from grammar (just like lexers)

## A Sample Parse Table

	int	*	+	(	)	\$
E	$E\toTX$			$E\toTX$		
X			$X \to +E$		X  o arepsilon	X  o arepsilon
T	$T \to int\;Y$			T  o (E)		
Y		$Y \rightarrow *T$	$Y \rightarrow \varepsilon$		$Y \rightarrow \varepsilon$	$Y \rightarrow \varepsilon$

- Predicts rule based on (current non-terminal, lookahead)
  - > First column lists all non-terminals
  - First row lists all possible terminals and \$
  - A table entry contains one production (one prediction)
- What if an entry has more than one production?
  - Means that this grammar is not LL(1)
  - > A parser can handle this situation by either:
    - Throwing an error to grammar writer to fix the problem
    - Resorting to backtracking to try out both productions

#### Pseudocode for Table-Driven Parser

- **X** symbol at the top of the syntax stack
- a current input symbol
- Parsing based on (X,a)
  - $\rightarrow$  If X==a==\$, then
    - parser halts with "success"
  - ➤ If X==a!=\$, then
    - pop X from stack and advance input head
  - If X!=a, then Case (a): if  $X \in T$ , then
    - parser halts with "failed", input rejected

Case (b): if  $X \in N$ ,  $M[X,a] = "X \rightarrow RHS"$ 

pop X and push RHS to stack in reverse order

### Push RHS in Reverse Order

**X** — symbol at the top of the syntax stack

a — current input symbol

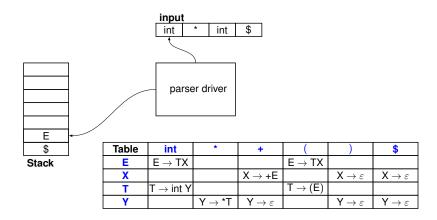
☐ Why? Because that is the order of leftmost derivation.

## Applying LL(1) Parsing to a Grammar

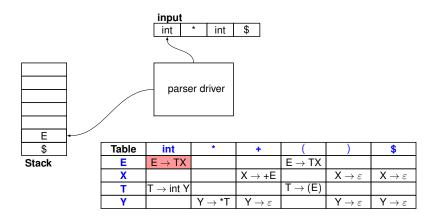
Given our old grammar

- Requires left factoring of T and int
- After rewriting grammar, we have

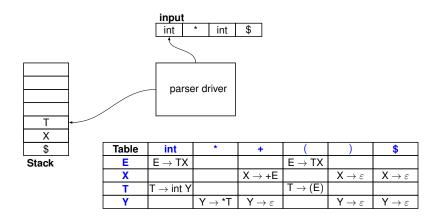
To recognize "int \* int"



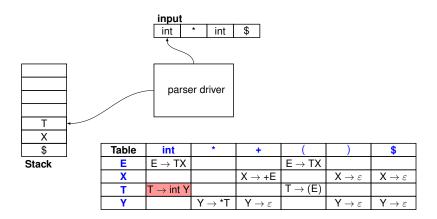
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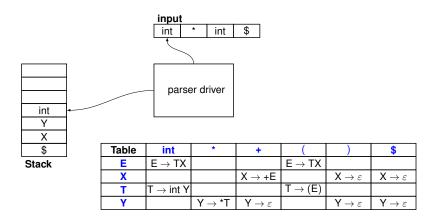
To recognize "int \* int"



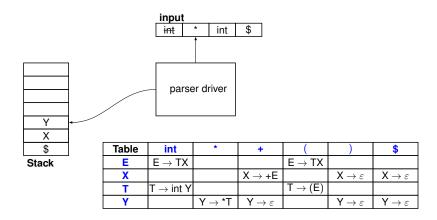
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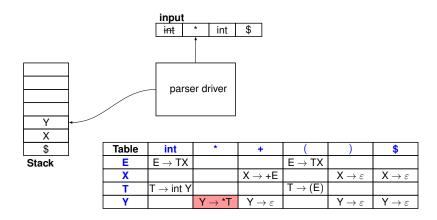


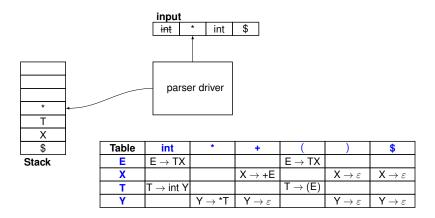
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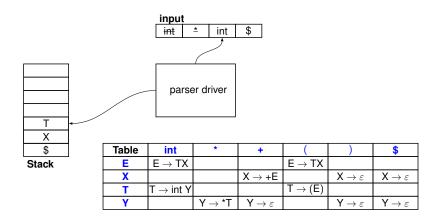


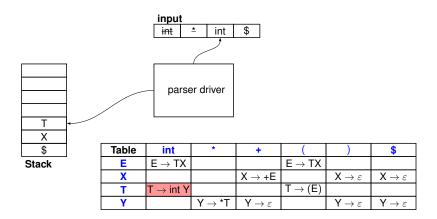
To recognize "int \* int"

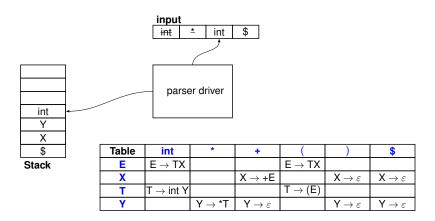


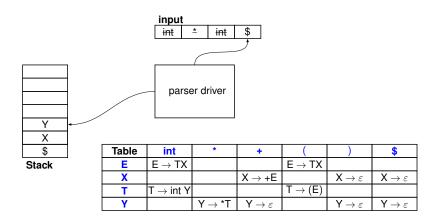


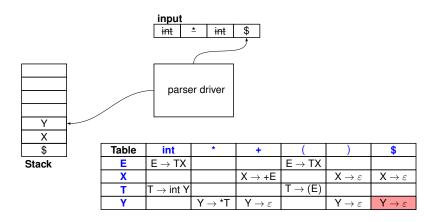


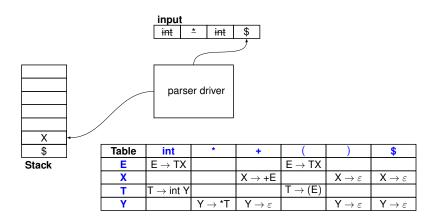


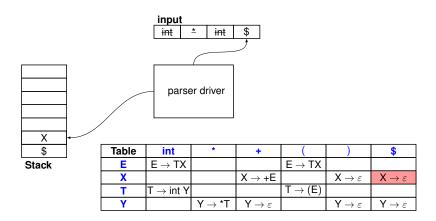


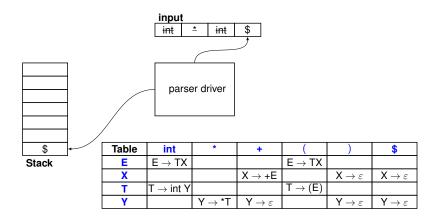


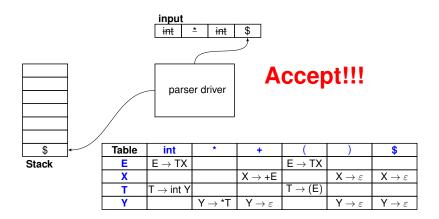












## Recognition Sequence

It is possible to write in a action list

Stack	Input	Action
E \$	int * int \$	$E{ o}TX$
T X \$	int * int \$	T→ int Y
int Y X \$	int * int \$	terminal
Y X \$	* int \$	Y→ * T
* T X \$	* int \$	terminal
T X \$	int \$	T→ int Y
int Y X \$	int \$	terminal
Y X \$	\$	$Y \rightarrow \varepsilon$
X \$	\$	$X \rightarrow \varepsilon$
\$	\$ \$ halt and acce	

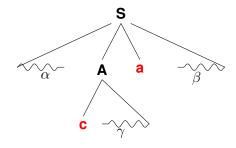
# First step in building Parse Table: First and Follow Sets

 $\triangleright$  Set of terminals that can start a string derived from  $\alpha$ .

 $\triangleright$  Set of terminals that can follow  $\alpha$  in some derivation.

- $\square$  Given rule  $A \rightarrow \alpha$ ,
  - ightharpoonup Choose  $A \to \alpha$  for all terminals in First( $\alpha$ )
  - ightharpoonup Choose  $A \to \alpha$  for all terminals in Follow(A), if and only if  $\alpha \Rightarrow *\varepsilon$

# Intuitive Meaning of First and Follow



 $c \in First(A)$ 

 $a \in Follow(A)$ 

■ Why is the Follow Set important?

# Calculating First( $\alpha$ )

- Given  $A \to \alpha$ , let's calculate First( $\alpha$ ).
  - $\rightarrow \alpha$  is string  $Y_1 Y_2 Y_3 ... Y_m$  of terminals and non-terminals.
  - 1) For all  $Y_i$ , if  $Y_i$  is a terminal t, then First( $Y_i$ ) = t
  - 2) For all non-terminal  $Y_i$ , recursively calculate First( $Y_i$ )
  - 3) Calculate First( $\alpha$ ) based on First( $Y_i$ ) where i = 1...m
- $lue{}$  Apply following rules until no terminal or arepsilon can be added
  - 1) Add (First( $Y_1$ )  $\varepsilon$ ) to First( $\alpha$ ).
  - 2) If First( $Y_1$ ), ..., First( $Y_{k-1}$ ) all contain  $\varepsilon$ , then add  $(\sum_{1 \le i \le k} First(Y_i) \varepsilon)$  to First( $\alpha$ ).
  - 3) If First( $Y_1$ ), ..., First( $Y_m$ ) all contain  $\varepsilon$ , then add  $\varepsilon$  to First( $\alpha$ ).

# Calculating Follow(A)

- Follow( $\alpha$ ) =  $\{t|S \Rightarrow *\alpha t\beta\}$ Intuition: if X  $\rightarrow$  A B, then First(B)  $\subseteq$  Follow(A)
- Apply following rules until no terminal or  $\varepsilon$  can be added

little trickier because B may be  $\varepsilon$  i.e. B  $\Rightarrow$  \*  $\varepsilon$ 

- 1).  $\$ \in \text{Follow}(S)$ , where S is the start symbol. e.g.  $\text{Follow}(E) = \{\$ ... \}$ .
- 2). Look at the occurrence of a non-terminal on the right hand side of a production which is followed by something If ...  $\rightarrow \alpha A\beta$ , then First( $\beta$ )-{ $\varepsilon$ }  $\subseteq$  Follow(A)
- 3). Look at N on the RHS that is not followed by anything, if  $(X \to \alpha A)$  or  $(X \to \alpha A\beta)$  and  $\varepsilon \in \text{First}(\beta)$ , then  $\text{Follow}(X) \subset \text{Follow}(A)$

## Calculating First and Follow Sets for the example

- Start by calculating the First Sets for all RHSs
  - ➤ First(T X)
  - $\rightarrow$  First(+ E), First( $\varepsilon$ )
  - First(int Y), First(( E ))
  - $\rightarrow$  First(\* T), First( $\varepsilon$ )
- If any of the above First Sets contains  $\varepsilon$ , calculate the Follow Set for corresponding non-terminal

## Calculating First and Follow Sets for the example

Symbol	First		
(	(		
)	)		
+	+		
*	*		
int	int		
Е	(, int		
Χ	<b>+</b> , ε		
T	(, int		
Υ	$^{*}, \varepsilon$		

1   6			
RHS	First		
ΤX	(,int		
+ E	+		
$\varepsilon$	ε		
int Y	int		
(E)	(		
* T	*		
Э	3		

## Calculating First and Follow Sets for the example

Symbol	First		
(	(		
)	)		
+	+		
*	*		
int	int		
Е	(, int		
Х	<b>+</b> , ε		
Т	(, int		
Υ	$^{*}, \varepsilon$		

RHS	First
TX	(,int
+ E	+
ε	ε
int Y	int
(E)	(
* T	*
ε	$\varepsilon$

Non-terminal	Follow		
Χ	\$,)		
Υ	\$,),+		
Е	\$,)		
T	\$,),+		

## Construction of LL(1) Parse Table

- $lue{}$  To construct the parse table, we check each  $A \rightarrow \alpha$ 
  - ightharpoonup For each terminal  $a \in First(\alpha)$ , then add  $A \rightarrow \alpha$  to M[A,a].
  - ightharpoonup If ε ∈ First(α), then for each terminal b ∈ Follow(A), add A→ α to M[A,b].
  - ightharpoonup If  $\varepsilon \in \mathsf{First}(\alpha)$  and  $\$ \in \mathsf{Follow}(\mathsf{A})$ , then add  $\mathsf{A} \rightarrow \alpha$  to M[A,\$].

#### Example

RHS	First		
ΤX	(,int		
+ E	+		
$\varepsilon$	ε		
int Y	int		
(E)	(		
* T	*		
ε	ε		

Non-terminal	Follow	
Х	\$,)	
Υ	\$,),+	

Table	int	*	+	(	)	\$
E	$E\toTX$			$E\toTX$		
X			$X \to +E$		X  o arepsilon	X  o arepsilon
T	$T \rightarrow int Y$			T  o (E)		
Υ		Y  o *T	$Y \rightarrow \varepsilon$		Y  o arepsilon	Y  o arepsilon

## Determine if Grammar G is LL(1)

#### Observation

If a grammar is LL(1), then each of its LL(1) table entry contains at most one rule. Otherwise, it is not LL(1).

- Two methods to determine if a grammar is LL(1) or not
  - Construct LL(1) table, and check if there is a multi-rule entry or
  - (2) Checking each rule as if the table is getting constructed. G is LL1(1) iff for a rule A  $\rightarrow \alpha | \beta$
  - ightharpoonup First( $\alpha$ )  $\cap$  First( $\beta$ ) =  $\phi$
  - ightharpoonup at most one of  $\alpha$  and  $\beta$  can derive  $\varepsilon$
  - ightharpoonup If  $\beta$  derives  $\varepsilon$ , then First( $\alpha$ )  $\cap$  Follow(A) =  $\phi$

## Left-recursion disqualifies grammar for LL(1)

- Recall recursive descent had trouble with left-recursion.
- ☐ Table-driven parsers have a similar problem.
- igspace Left-recursion is of the form A o Ab|a or A o Ab|arepsilon
  - ightharpoonup For A o Ab|a, First(Ab)  $\cap$  First(a) = {a}
  - ightharpoonup For  $A o Ab|\varepsilon$ , First $(Ab) \cap$  Follow $(A) = \{b\}$
  - Either way, an ambiguity in prediction
- Even if prediction can be made with more lookahead,
  - > Sentence can grow indefinitely w/o consuming input
  - ightharpoonup We may repeatedly choose to apply  $A \rightarrow Ab$ :

$$A \Rightarrow A b \Rightarrow A b b \dots$$

> Same stack explosion problem as with recursive descent

## Dealing with Non-LL(1) Grammars

- (1) Likely still an LL(1) language. Massage to LL(1) grammar:
  - Apply left-factoring
  - Apply left-recursion removal
- (2) If (1) fails, the possibilities are...
  - Grammar just needs a little more lookahead (May need LL(k) parser where k > 1 or backtracking)
  - Grammar is ambiguous (multiple parse trees)
- How do we deal with ambiguous grammars then?
  - Note: left-factoring and left-recursion removal don't help
  - Expressing precedence and associativity in grammar helps

## Ambiguous not just non-LL(1)

```
Some grammars are not LL(1) even after left-factoring and
     left-recursion removal
           S \rightarrow if C then S \mid if C then S else S \mid a (other statements)
           C \rightarrow b
     change to
           S \rightarrow if C then S X \mid a
           X \rightarrow \text{else S} \mid \varepsilon
           C \rightarrow b
     problem sentence: "if b then if b then a else a"
           First(X) = {else, \varepsilon}
           From S \rightarrow if C then S X, Follow(S) \subseteq Follow(X)
           Follow(X) = \{else, \$\}
           For X \to \text{else } S \mid \varepsilon, First(else S) \cap Follow(X) = {else}
Such grammars are potentially ambiguous
```

# Removing Ambiguity

- ☐ We want to express precedence of if-then-else over if-then.
- How would you rewrite grammar to express precedence?

```
S \rightarrow if C then S \mid S2 S2 \rightarrow if C then S2 else S \mid a C \rightarrow b
```

- Now grammar is unambiguous but it is not LL(k) for any k
  - > Intuitively, must lookahead until 'else' to choose rule for 'S'
  - That lookahead may be an arbitrary number of tokens
- Changing the grammar to be perfectly unambiguous
  - Can be very taxing for programmers to specify correctly
  - May still result in grammar not suitable for LL(1) parsing
- More practical to encode precedence rules into parser
  - ightharpoonup E.g. Always choose  $X \to else$  S over  $X \to \varepsilon$  on 'else' token

# LL(1) Time and Space Complexity

- LL(1) parsers operate in linear time and space relative to the length of input.
- Time: each token is processed constant number of times
  - ➤ Why?
- Space: stack space required is at max the length of input
  - ightharpoonup If X  $ightharpoonup \varepsilon$  rules removed (easily done by substitution)
  - > Why?
- How about LL(k)?
  - > Same time complexity as the same argument applies
  - ightharpoonup Space complexity is  $O(T^k)$ , where T is number of terminals (if constructing the parse table naively)

#### ANTLR: A modern LL(k) parser

- A free open source top-down LL(k) parser (antlr.org)
  - > Used in Apache Groovy, Jython, MySQL Workbench, ...
- Reduces table space by expressing lookahead as DFA
  - A DFA decides on which rule for each non-terminal
  - DFA can express arbitrarily long lookahead compactly
- If you are interested, refer to this paper:
  - LL(\*): The Foundation of the ANTLR Parser Generator https://www.antlr.org/papers/LL-star-PLDI11.pdf