Data Structure

http://smartlead.hallym.ac.kr

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Office Hours:



Non Linear Data Structure

- Data structure we will consider this semister:
 - Tree
 - Binary Search Tree
 - Graph
 - Weighted Graph
 - Sorting



Balanced Search Tree



Balanced Search Trees 균형 탐색 트리

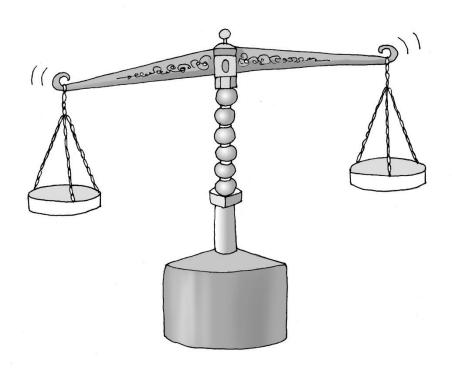


<u>2-3 Trees</u> (2-3 트리)



Balanced?

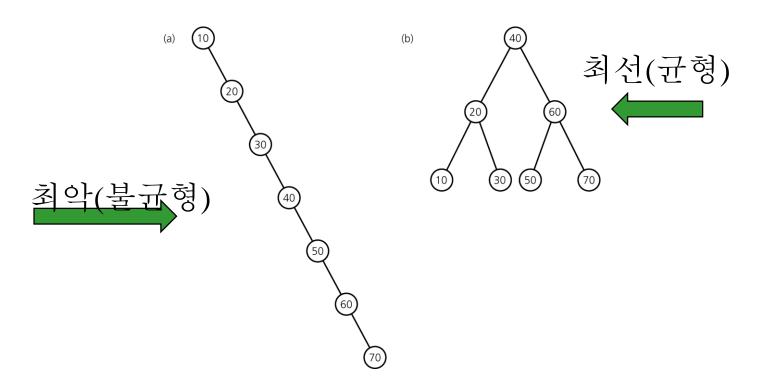
◆ 균형





Why care about advanced implementations?

Same entries, different insertion sequence:

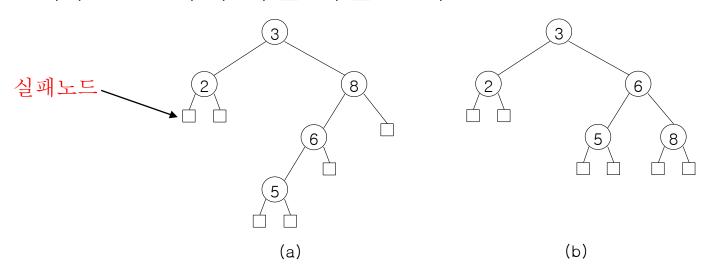


- (a) Skewd bst 불균형 (b) complete bst
- → Not good! Would like to keep tree balanced.



Extended binary tree

- ◆ 외부 노드(external node)
 - ◆ 이진 트리:n개의 노드, n+1개의 널 링크
 - ◆ 널 링크에 사각형 노드(외부 노드)를 붙이면 처리에 편리
 - ◆ 실패 노드(failure node)라고도 한다.
 - ◆ cf) 내부 노드(internal node) : 원래의 트리 노드
- ◆ 확장 이진 트리(extended binary tree)
 - ◆ 외부 노드가 추가된 이진 트리

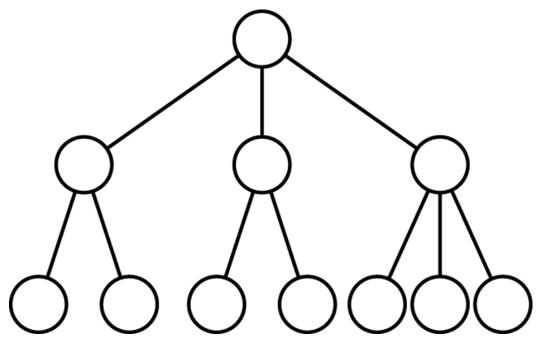




2-3 Trees

Features

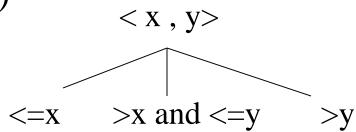
- > each internal node has either 2 or 3 children
- ➤ all leaves are at the same level (단말노드는 같은 레벨)
- ➤ Balanced(균형이 이루어짐)





2-3 Trees

- ◆ Relax constraint that a node has 2 children(자식2명제한을 완화)
- Allow 2-child nodes and 3-child nodes
 - With bigger nodes, tree is shorter & branchier
 - 2-node is just like before (one item, two children)
 - 3-node has two values and 3 children (left, middle, right)





2-3 tree searching algorithm

```
twoThreeSearch(x)
for(p ← root; p; )  // root는 2-3 트리의 루트 노드
switch (compare(p, x)) {
    case 1 : p ← p.left; break;
    case 2 : p ← p.middle; break;
    case 3 : p ← p.right; break;
    case 4 : return p;  // x는 p의 키 중에 하나
    }
end twoThreeSearch()
```



Why 2-3 tree

- Faster searching?
 - Actually, no. 2-3 tree is about as fast as an "equally balanced" binary tree, because you sometimes have to make 2 comparisons to get past a 3-node
- Easier to keep balanced?
 - Yes, definitely.
 - Insertion can split 3-nodes into 2-nodes, or promote 2-nodes to 3-nodes to keep tree approximately balanced!



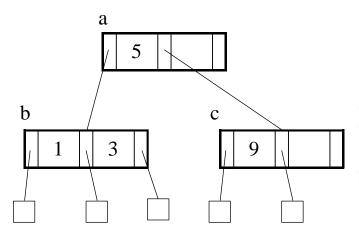
2-3 Trees

- ◆ Traversing a 2-3 tree
 - To traverse a 2-3 tree
 - Perform the analogue of an inorder traversal
- Searching a 2-3 tree
 - Searching a 2-3 tree is as efficient as searching the shortest binary search tree
 - Searching a 2-3 tree is $O(\log_2 n)$
 - Number of comparisons required to search a 2-3 tree for a given item
 - Approximately equal to the number of comparisons required to search a binary search tree that is as balanced as possible



2-3 트리 (3)

◆ 2-3 트리의 예





- ◆a, c: 2-노드, b: 3-노드
- ◆ 높이가 h인 2-3 트리의 키수
 - ◆2^{h+1}-1과 3^{h+1}-1 사이
- ◆ n개의 키값을 가진 2-3 트리의 높이
 - $\log_3(n+1)-1$ 과 $\log_2(n+1)-1$ 사이

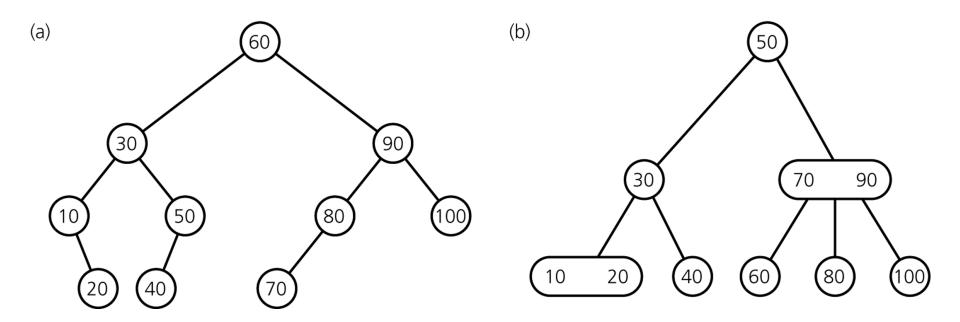


2-3 Trees

- Advantage of a 2-3 tree over a balanced binary search tree
 - Maintaining the balance of a binary search tree is difficult
 - Maintaining the balance of a 2-3 tree is relatively easy



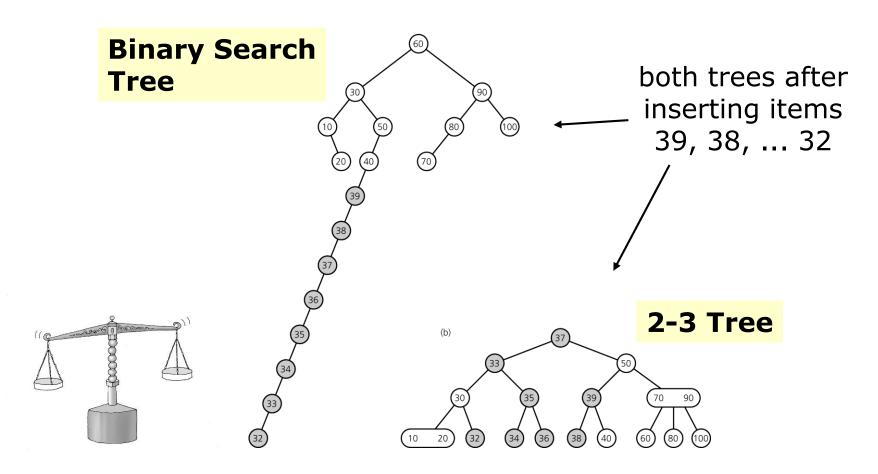
What did we gain?



What is the time efficiency of searching for an item?



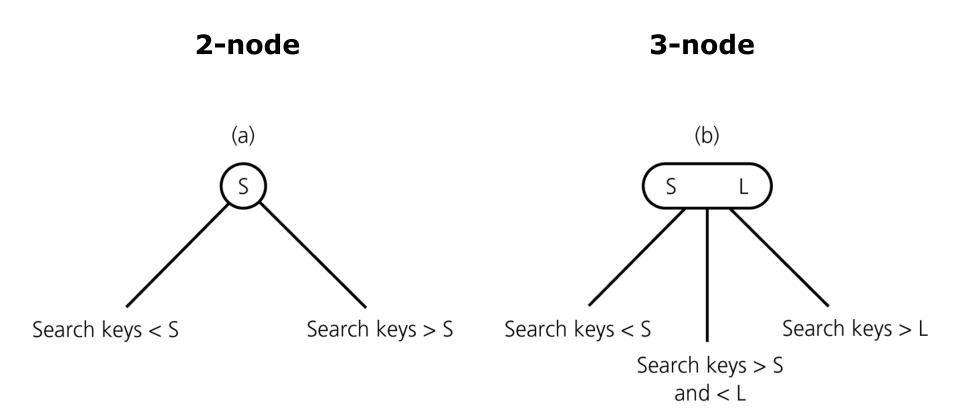
Gain: Ease of Keeping the Tree Balanced (이진탐색트리보다 균형을 유지하기 쉽다)



루트에서 단말까지의 깊이가 똑같다. 완전한 균형



2-3 Trees with Ordered Nodes



leaf node can be either a 2-node or a 3-node



Traversing a 2-3 Tree

```
inorder(in ttTree: TwoThreeTree)
   if(ttTree's root node r is a leaf)
       visit the data item(s)
   else if (r has two data items)
       inorder(left subtree of ttTree's root)
       visit the first data item
       inorder (middle subtree of ttTree's root)
       visit the second data item
       inorder(right subtree of ttTree's root)
   else
       inorder(left subtree of ttTree's root)
       visit the data item
       inorder(right subtree of ttTree's root)
```

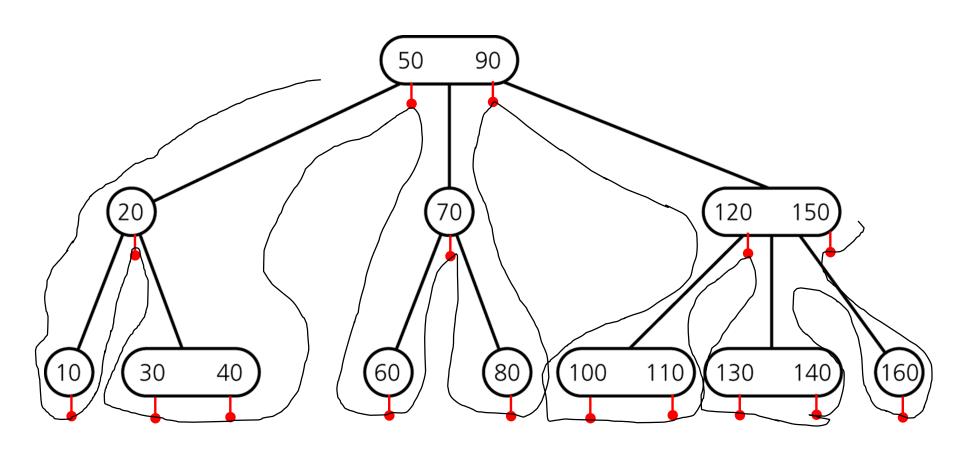


Searching a 2-3 Tree

```
retrieveItem(in ttTree: TwoThreeTree,
             in searchKey: KeyType,
             out treeItem:TreeItemType):boolean
   if (searchKey is in ttTree's root node r)
       treeItem = the data portion of r
       return true
   else if(r is a leaf)
       return false
   else
       return retrieveItem(appropriate subtree,
                            searchKey, treeItem)
```



Example of 2-3 Tree





- ◆ To insert an item, say key, into a 2-3 tree
 - 1. Locate the leaf at which the search for key would terminate
 - 2. If leaf is null (only happens when root is null), add new root to tree with item
 - 3. If leaf has one item insert the new item key into the leaf
 - 4. If the leaf contains 2 items, split the leaf into 2 nodes n_1 and n_2



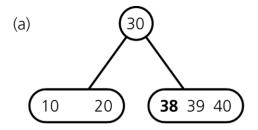
- When an internal node would contain 3 items
 - 1. Split the node into two nodes
 - 2. Accommodate the node's children
- When the root contains three items
 - 1. Split the root into 2 nodes
 - 2. Create a new root node
 - 3. The tree grows in height

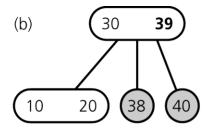


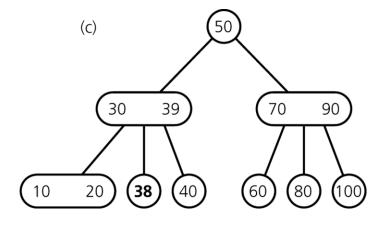
Insert 38

insert in leaf

divide leaf and move middle value up to parent

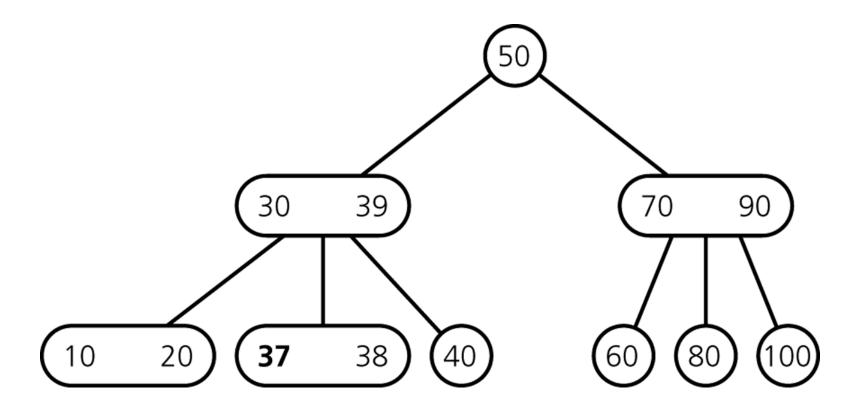








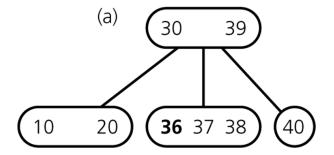
Insert 37



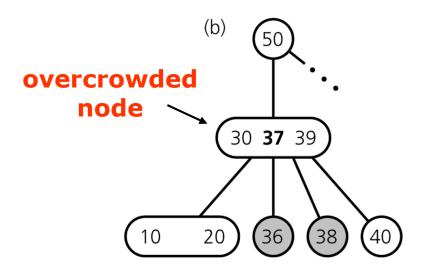


Insert 36

insert in leaf



divide leaf and move middle value up to parent

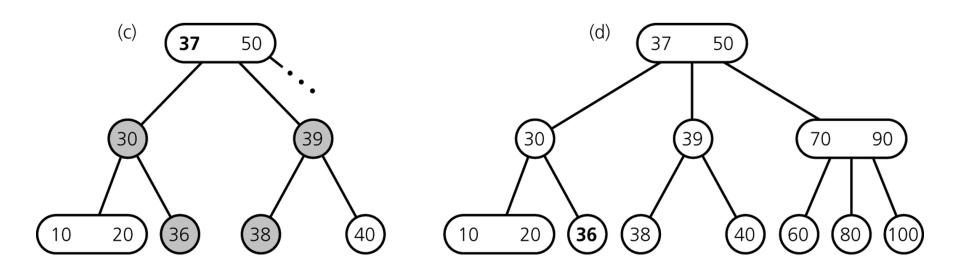




... still inserting 36

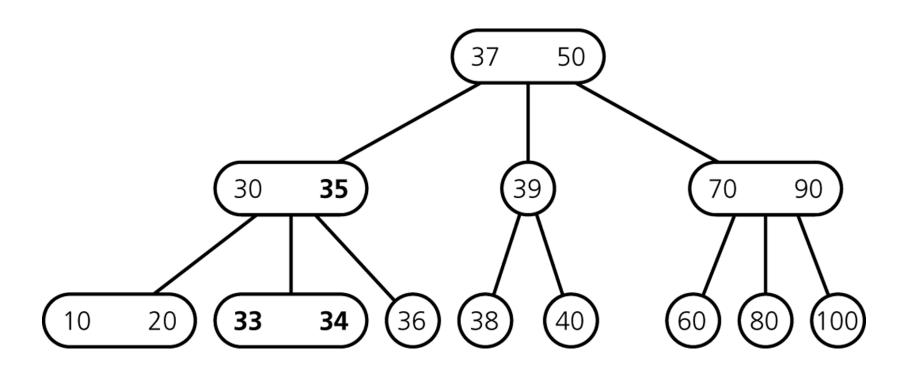
divide overcrowded node, move middle value up to parent, attach children to smallest and largest

result



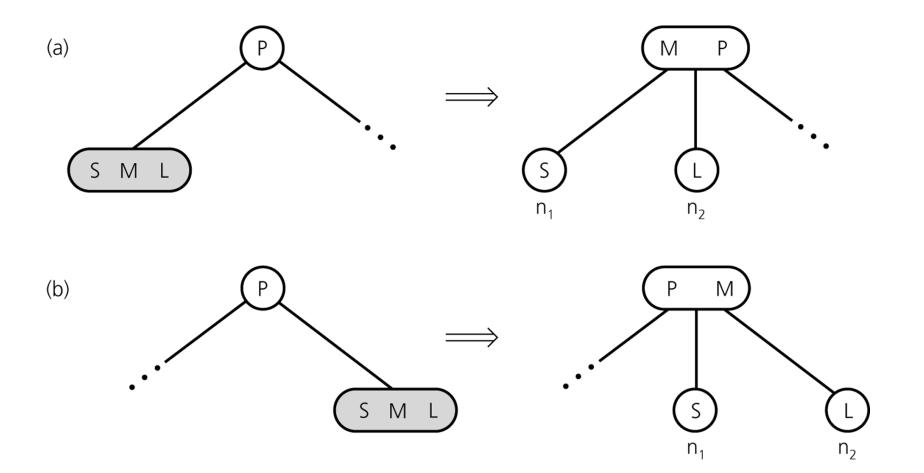


After Insertion of 35, 34, 33



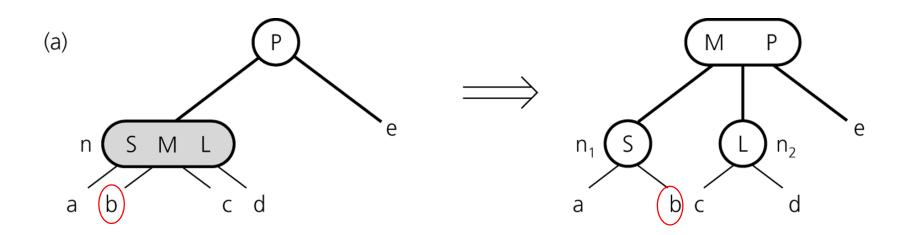


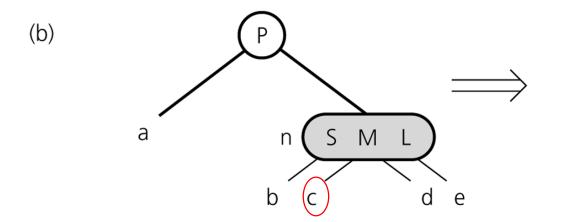
<u>Inserting so far</u>

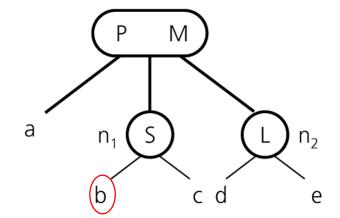




Inserting so far

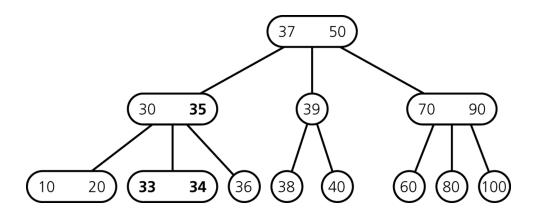


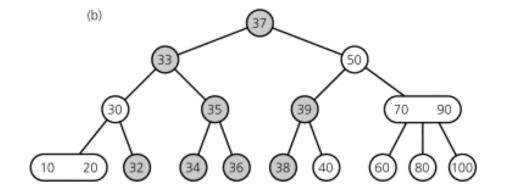






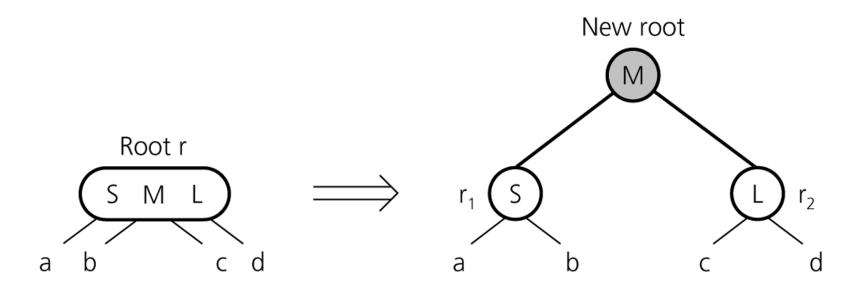
How do we insert 32?





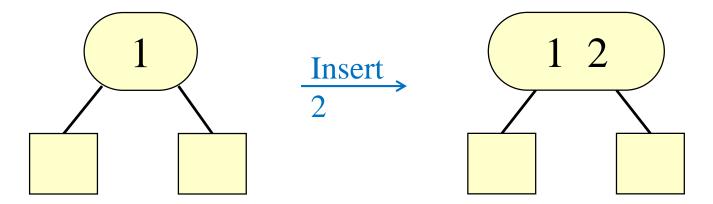
Backward Split(후진분할) with one insertion. Bad. Takes time

- → creating a new root if necessary
- → tree grows at the root

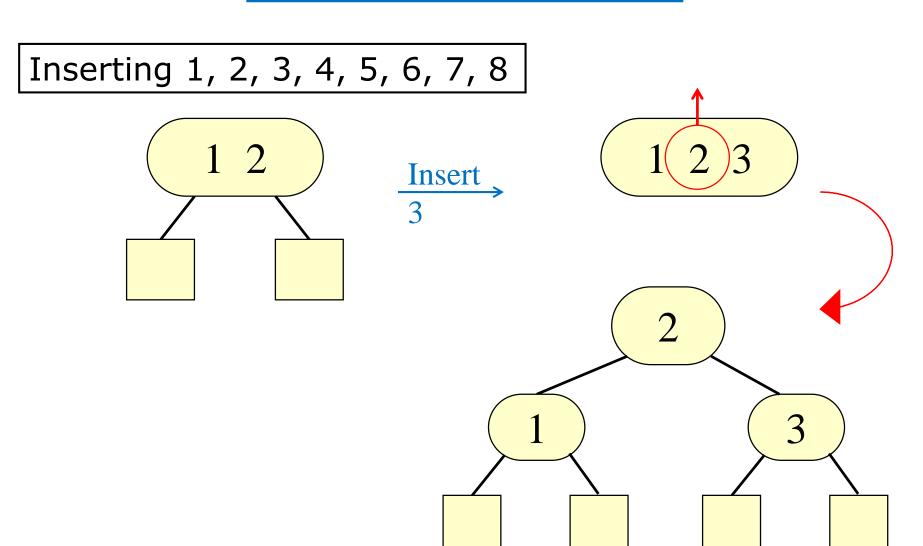




Inserting 1, 2, 3, 4, 5, 6, 7, 8

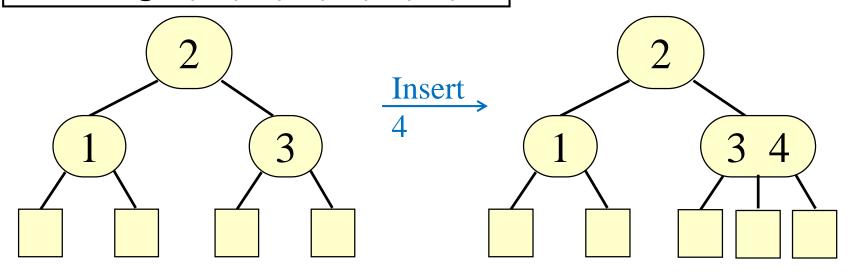




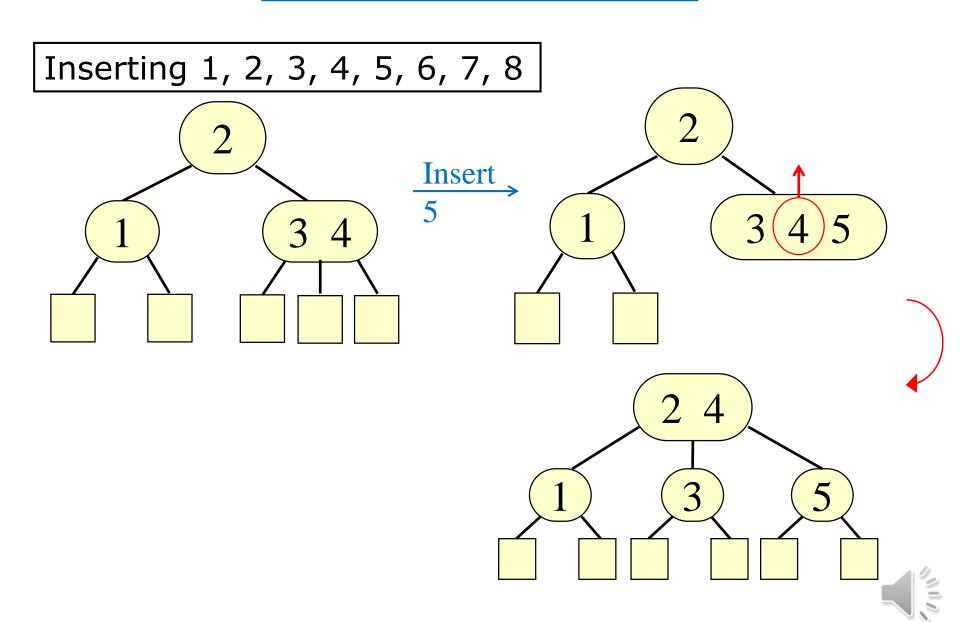




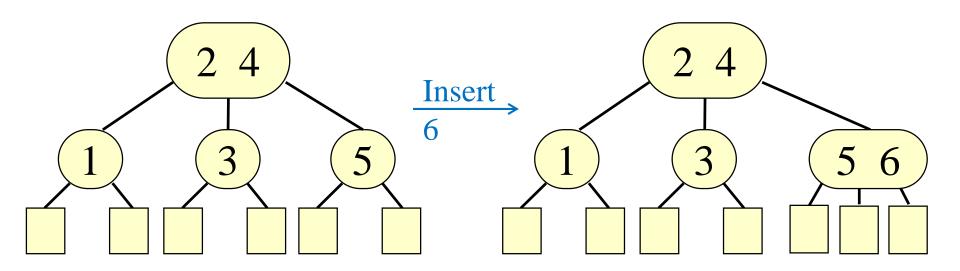
Inserting 1, 2, 3, 4, 5, 6, 7, 8





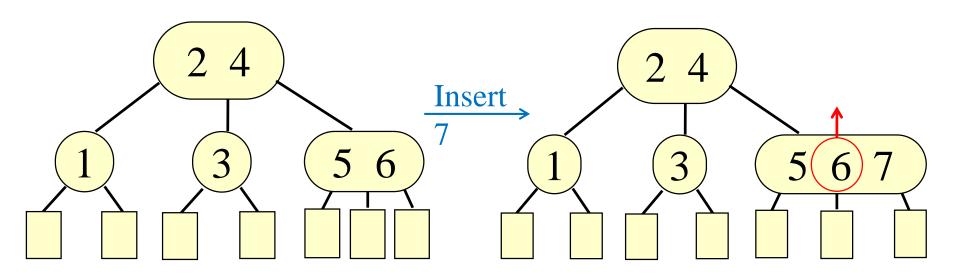


Inserting 1, 2, 3, 4, 5, 6, 7, 8



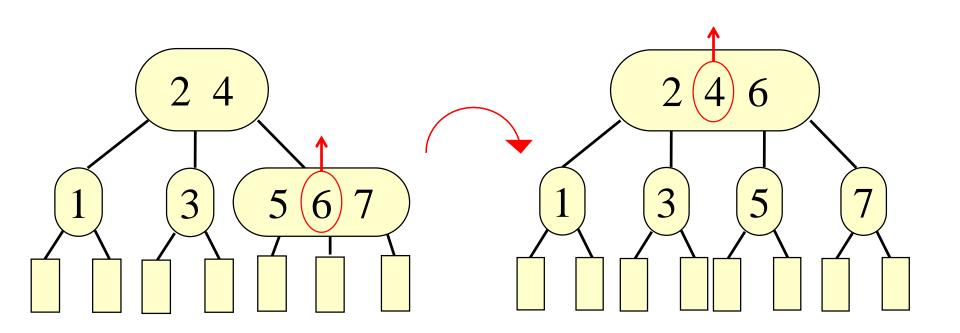


Inserting 1, 2, 3, 4, 5, 6, 7, 8





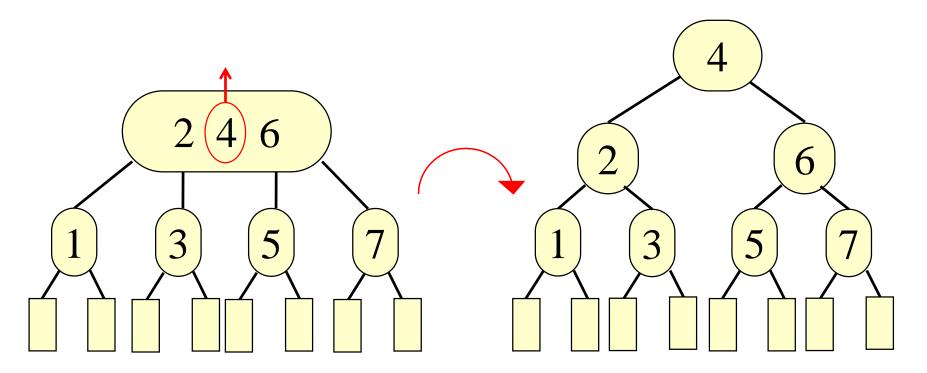
Inserting 1, 2, 3, 4, 5, 6, 7, 8



Backward Split with one insertion. Bad

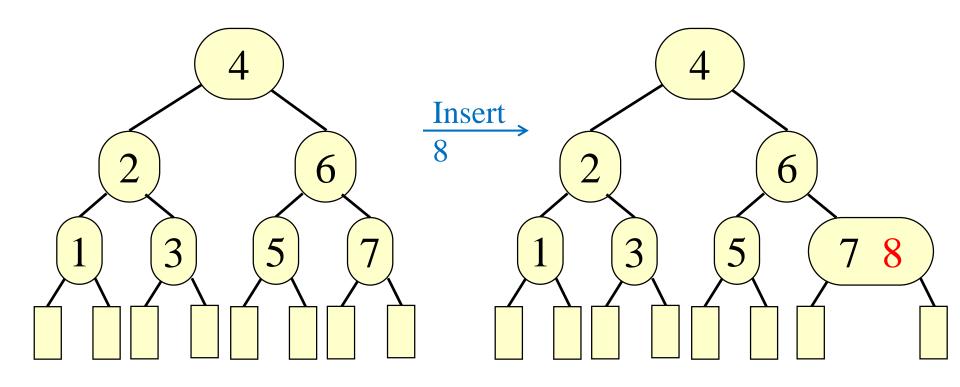


Inserting 1, 2, 3, 4, 5, 6, 7, 8



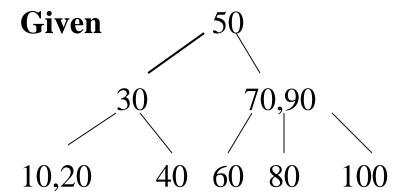


Inserting 1, 2, 3, 4, 5, 6, 7, 8

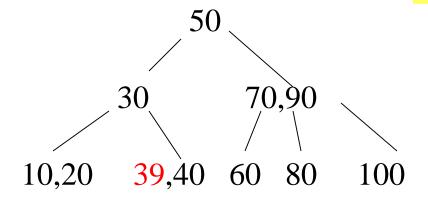




Insertion



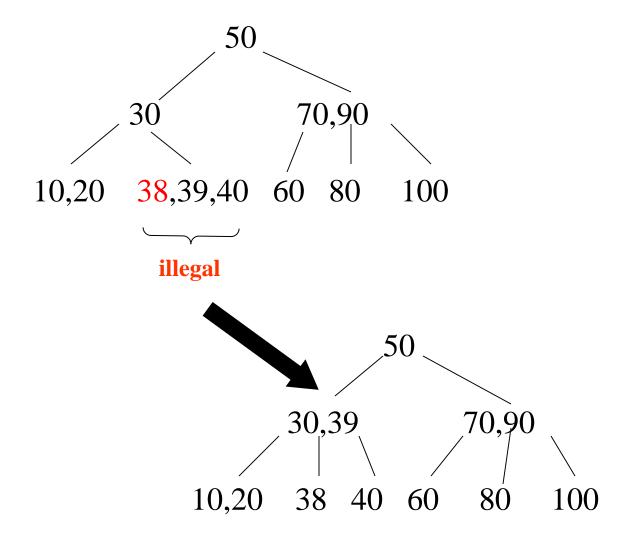
Insert 39



Insertions are always at a leaf

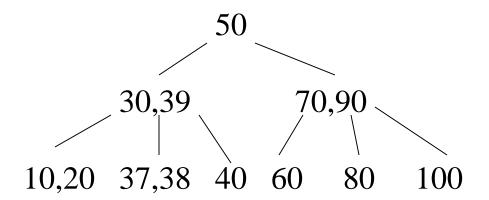


Insert 38





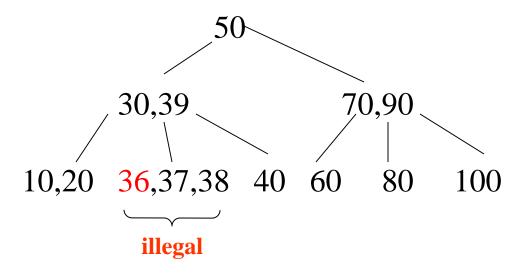
Insert 37

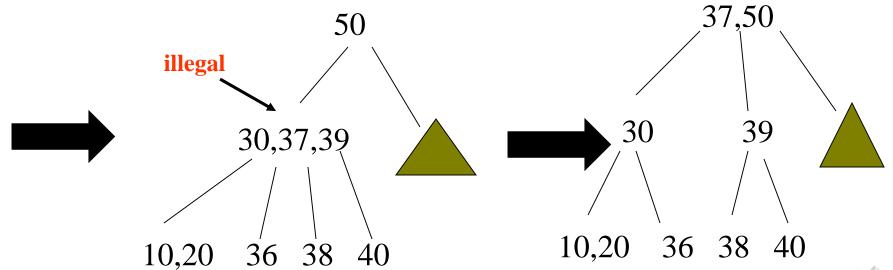


When the height grows it does so from the top.



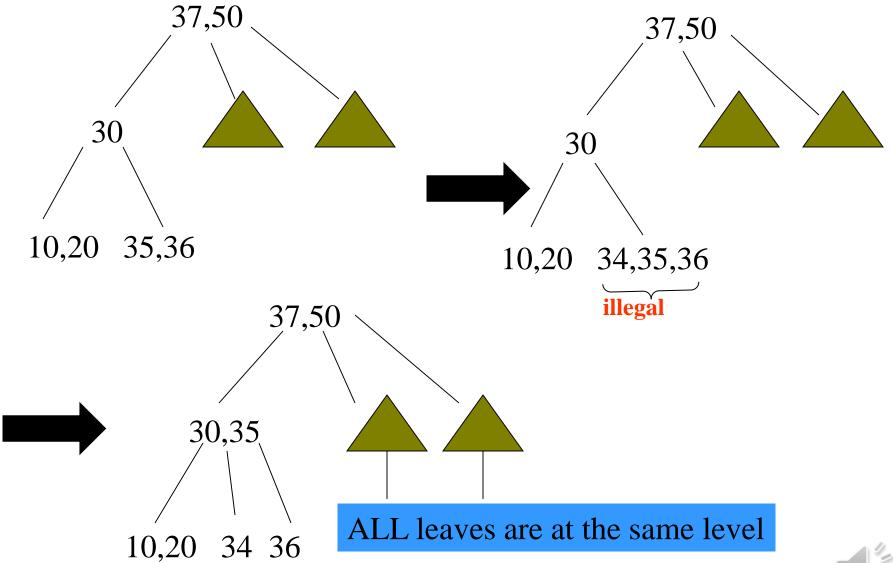
Insert 36



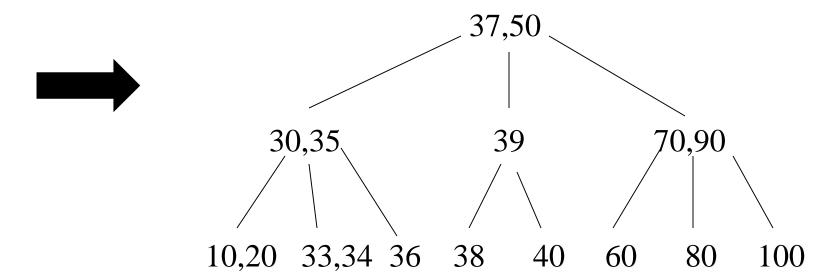




Insert 35, 34, 33





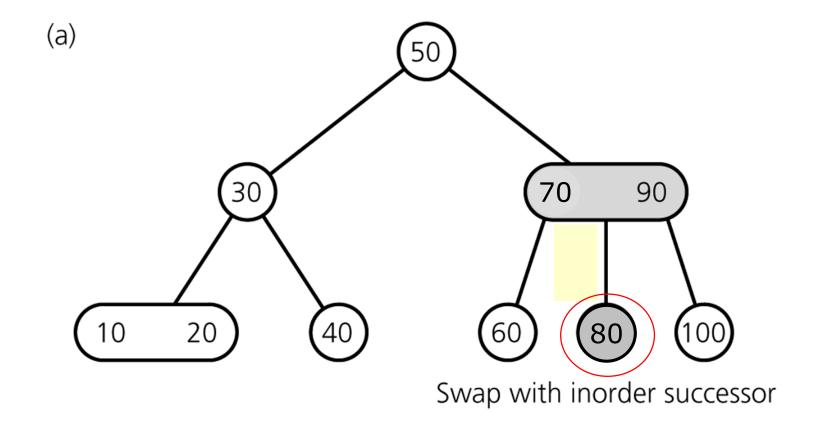




2-3 Tree: Deletion

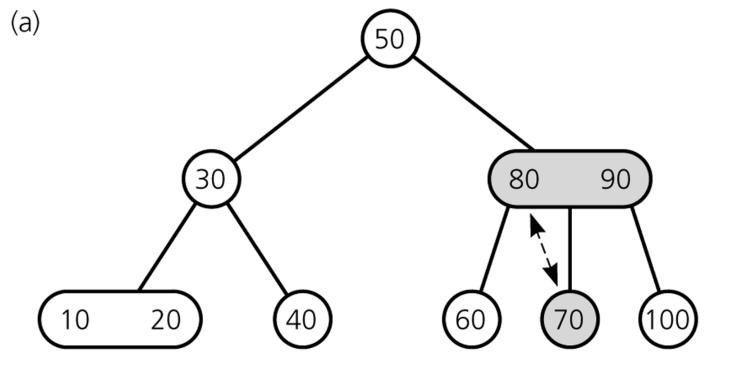
We do not discuss deletion due to limited time.







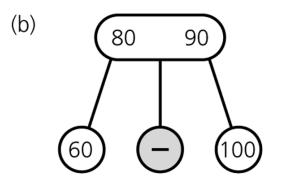
Deleting 70: swap 70 with inorder successor (80)



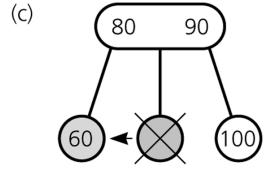
Swap with inorder successor

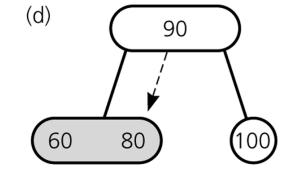


Deleting 70: ... get rid of 70





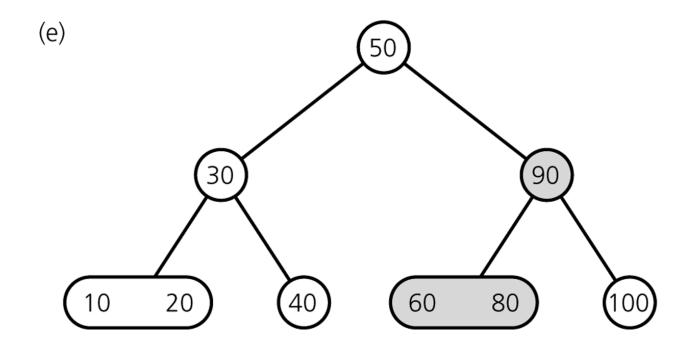




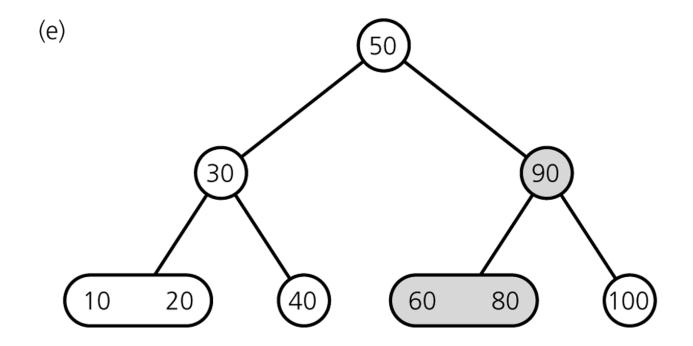
Merge nodes by deleting empty leaf and moving 80 down



Result

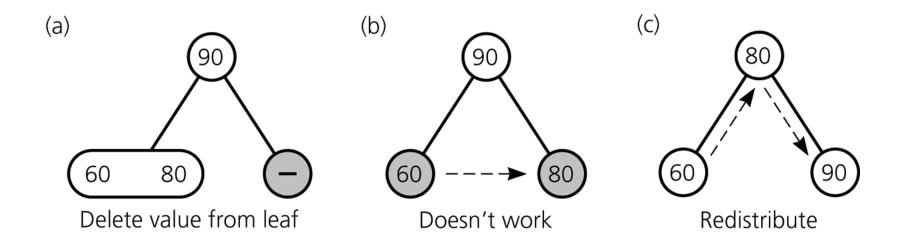






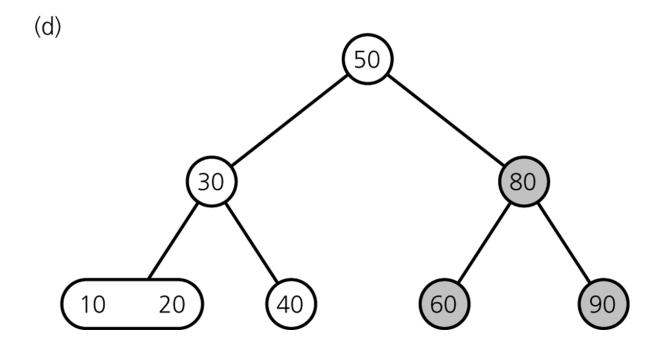


Deleting 100

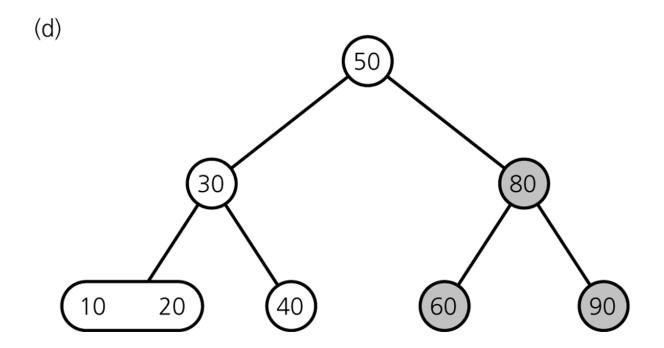




Result

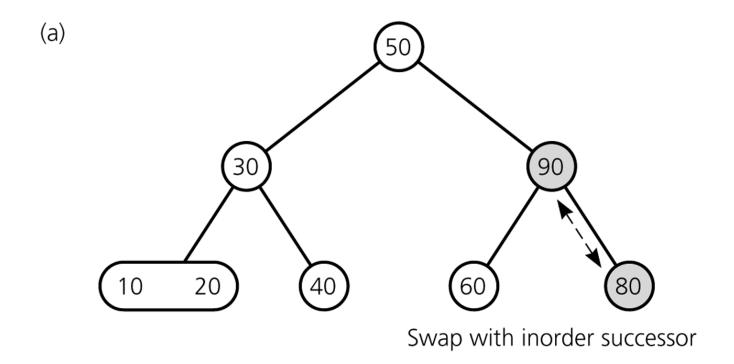






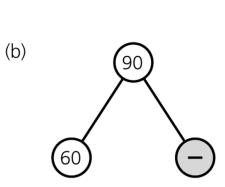


Deleting 80 ...

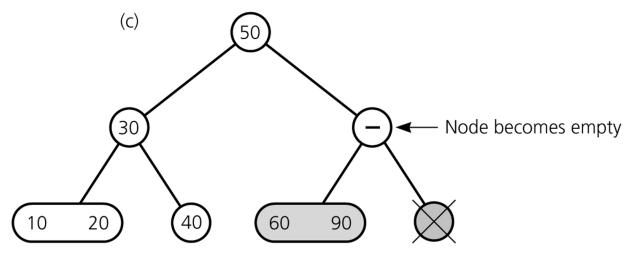




Deleting 80 ...



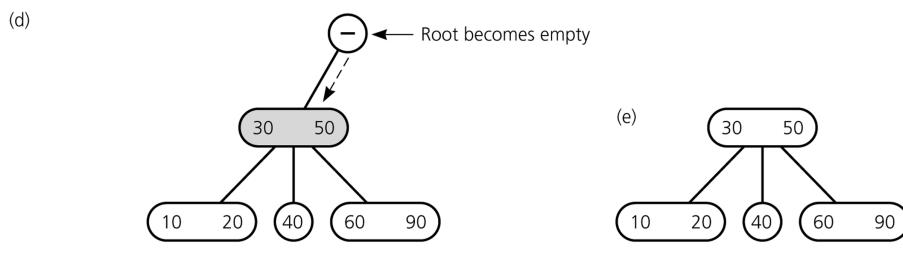




Merge by moving 90 down and removing empty leaf



Deleting 80 ...

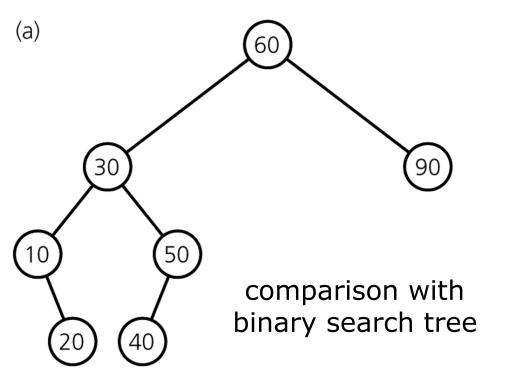


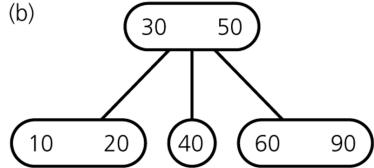
Merge: move 50 down, adopt empty leaf's child, remove empty node



Remove empty root

Final Result







Deletion Algorithm I

Deleting item I:

- 1. Locate node n, which contains item I (may be null if no item)
- 2. If node n is not a leaf \rightarrow swap I with inorder successor
- deletion always begins at a leaf
- 3. If leaf node *n* contains another item, just delete item *I* else

try to redistribute nodes from siblings (see next slide) if not possible, merge node (see next slide)



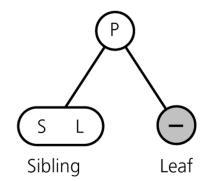
Deletion Algorithm II

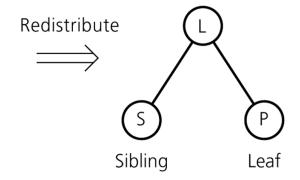
Redistribution

(a)

A sibling has 2 items:

→ redistribute item between siblings and parent



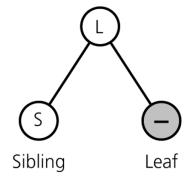


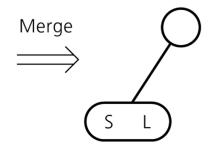
Merging

(b)

No sibling has 2 items:

- → merge node
- move item from parent to sibling







Deletion Algorithm III

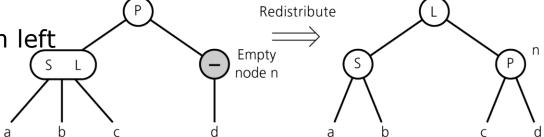
Redistribution

Internal node *n* has no item left

(c)

(d)

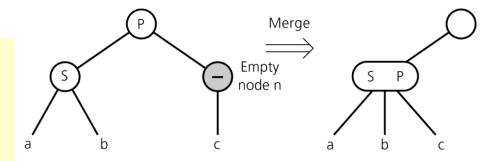
→ redistribute



Merging

Redistribution not possible:

- → merge node
- move item from parent to sibling
- \rightarrow adopt child of n



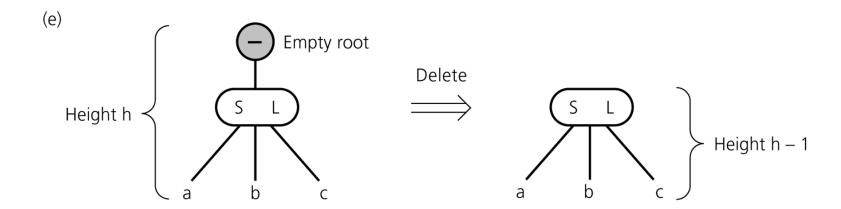
If *n*'s parent ends up without item, apply process recursively



Deletion Algorithm IV

If merging process reaches the root and root is without item

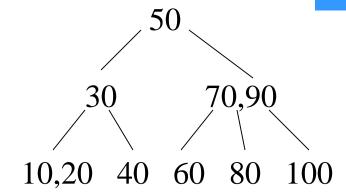
→ delete root

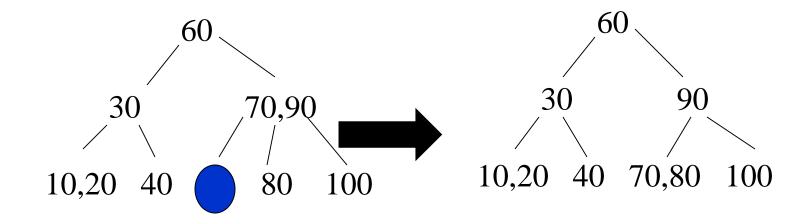




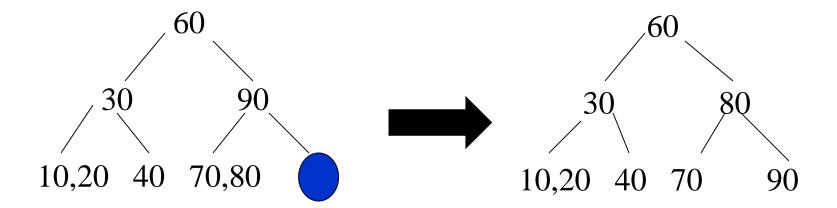
Deletion

Given

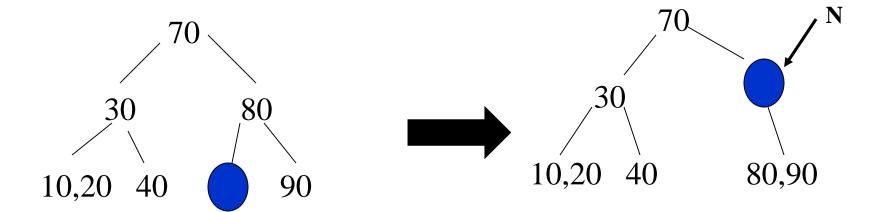


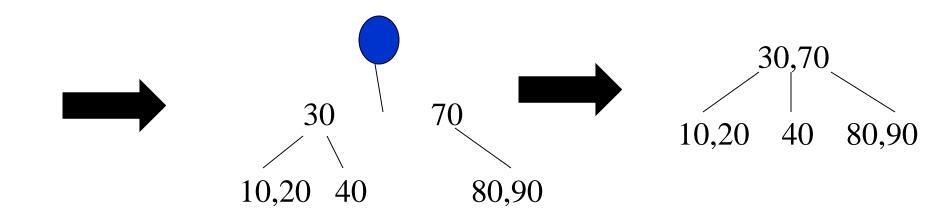




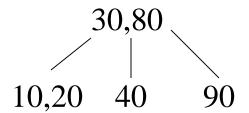


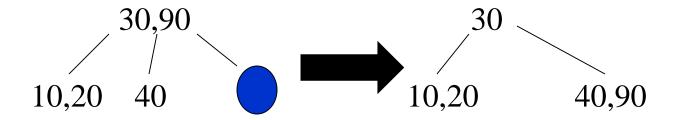






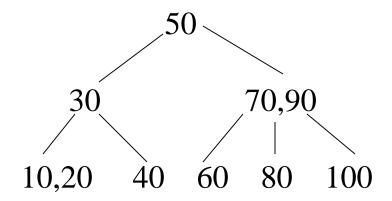






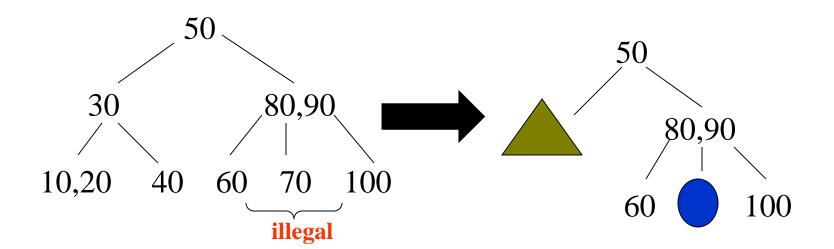


Given

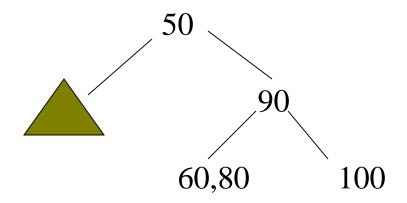


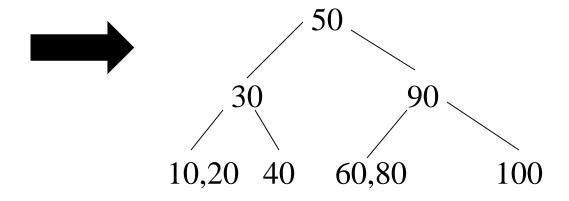
Delete 70

You always begin deletion from a leaf so swap with inorder successor.

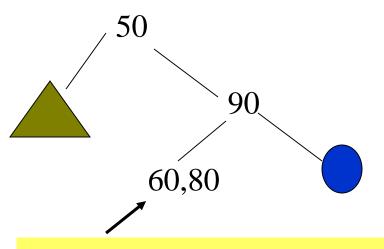




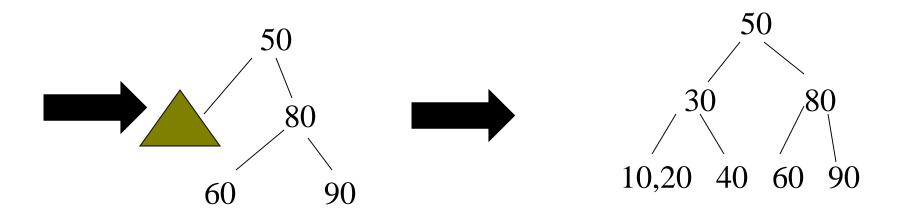




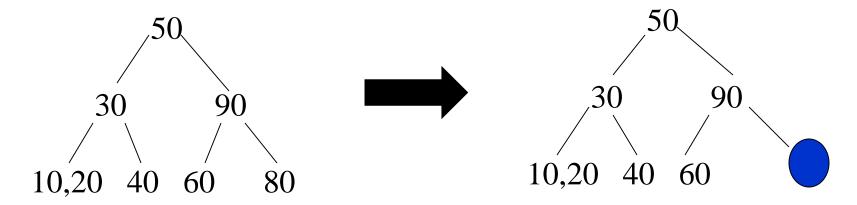


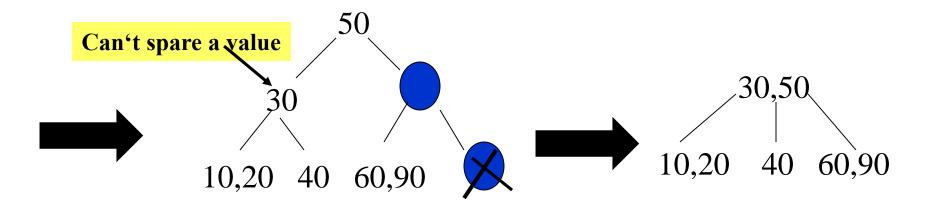


This leaf can spare a value











Operations of 2-3 Trees

all operations have time complexity of log n

Disadvangage :후진 분할(backward split)이 일어남



2-3-4 tree

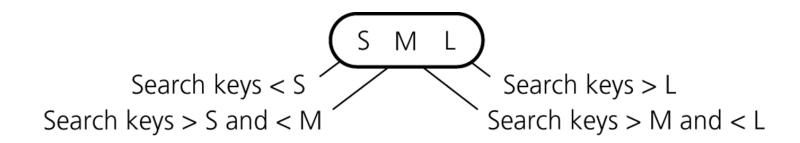
Backward Split(후진분할) does not occur. Good!



2-3-4 Trees

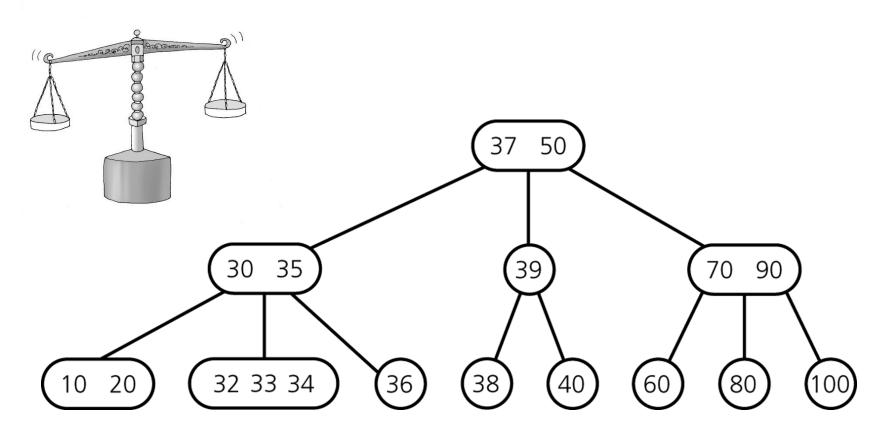
- similar to 2-3 trees
- 4-nodes can have 3 items and 4 children

4-node





2-3-4 Tree Example





Insertion procedure:

- similar to insertion in 2-3 trees
- items are inserted at the leafs
- since a 4-node cannot take another item,
 4-nodes are split up during insertion process

Strategy

- on the way from the root down to the leaf: split up all 4-nodes "on the way"
- →insertion can be done in one pass (remember: in 2-3 trees, a reverse pass might be necessary). 2-3-4 is better.

삽입은 한번의 패스로 끝. 후진분할이 일어나지 않음. 2-3-4가 더 효율적.



Inserting 60, 30, 10, 20, 50, 40, 70, 80, 15, 90, 100



Inserting 60, 30, 10, 20 ...

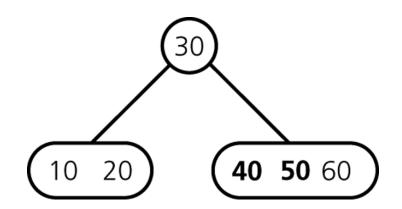
(a)

10 30 60

... 50, 40 ...



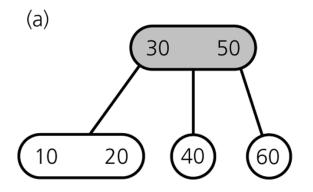
Inserting 50, 40 ...

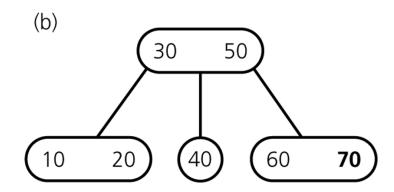


... 70, ...



Inserting 70 ...

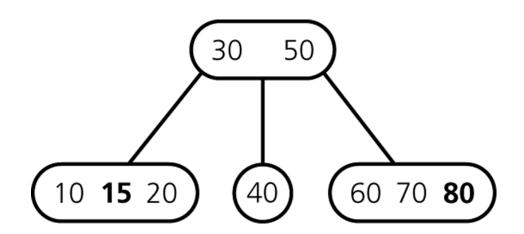




... 80, 15 ...



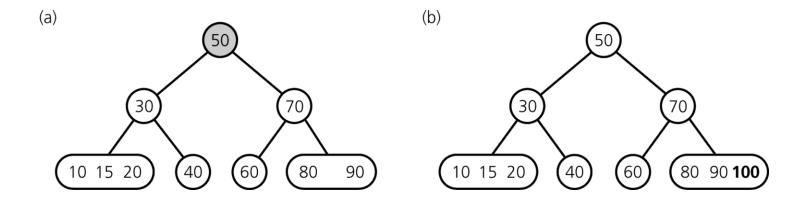
Inserting 80, 15...



... 90 ...



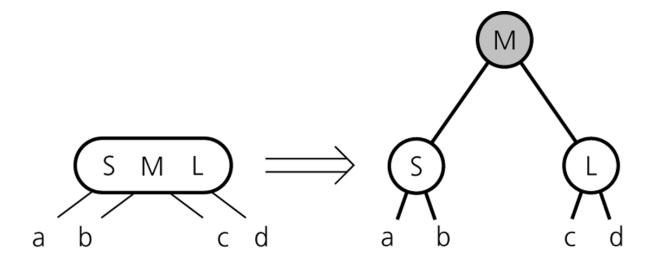
Inserting 100 ...





2-3-4 Tree: Insertion Procedure

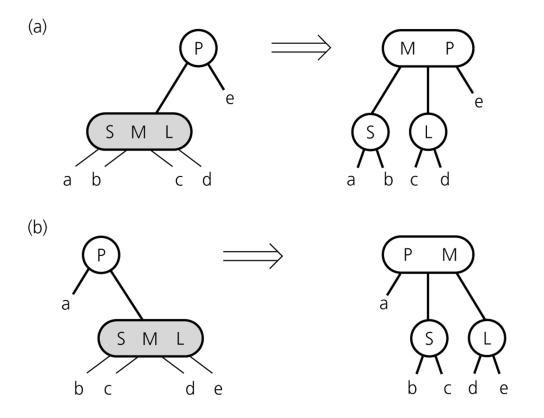
Splitting 4-nodes during Insertion





2-3-4 Tree: Insertion Procedure

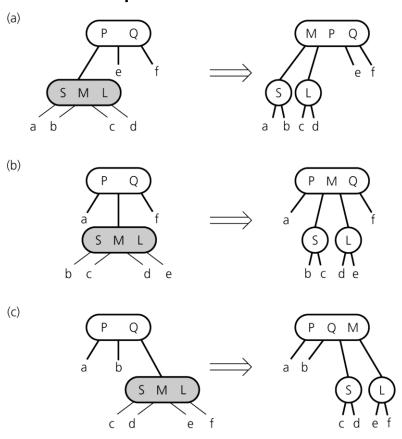
Splitting a 4-node whose parent is a 2-node during insertion





2-3-4 Tree: Insertion Procedure

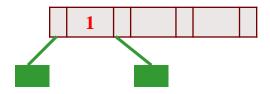
Splitting a 4-node whose parent is a 3-node during insertion



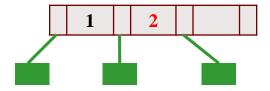


Example 1

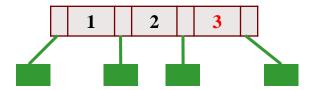






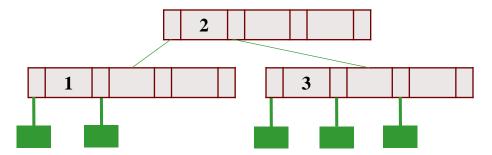






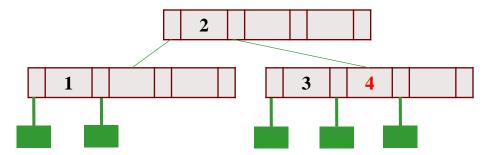


Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10



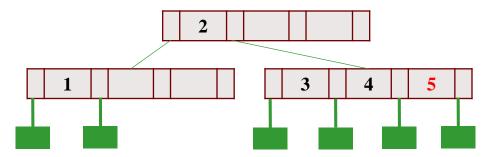


Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10





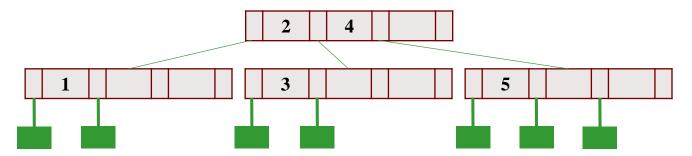
Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10





<u>2-3-4 TREE</u>

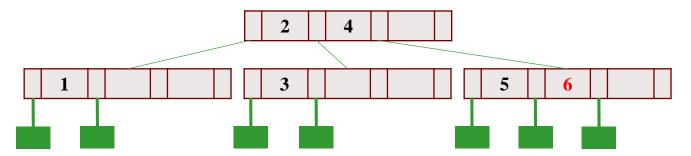
Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10





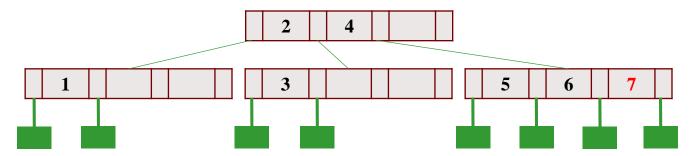
<u>2-3-4 TREE</u>

Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10



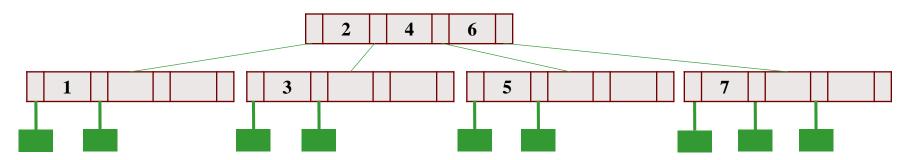


Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10



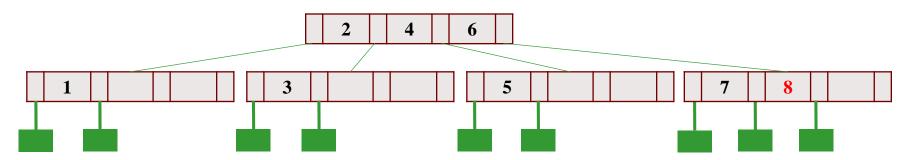


<u>2-3-4 TREE</u>



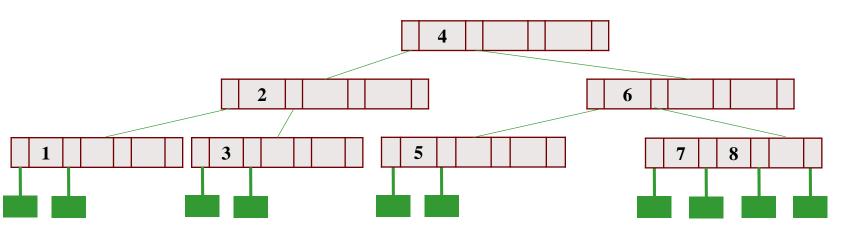


<u>2-3-4 TREE</u>



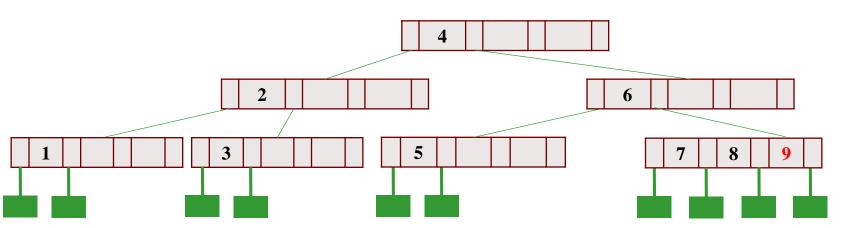


Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 9원소삽입(root거쳐서 삽입)



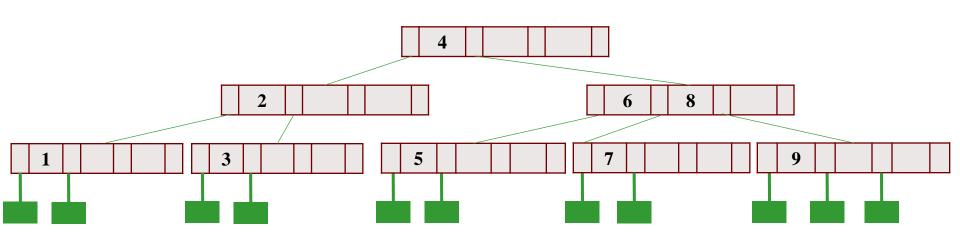


Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 9원소삽입(root거쳐서 삽입)



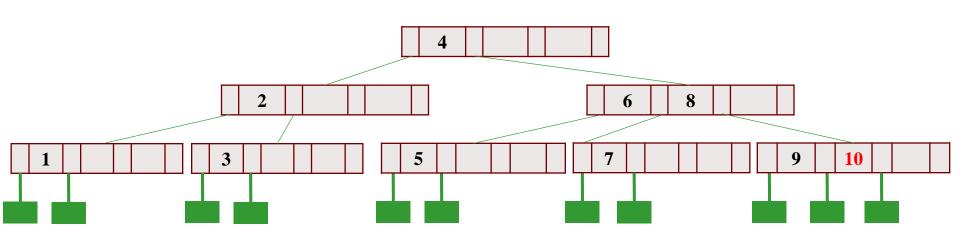


Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10





Insert 1, 2, 3, 4, 5, 6, 7, 8, 9, 10





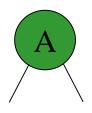
Example 2



Keys: A S E R C H I N G X

What would the 2-3-4 tree look like after inserting this set of keys?





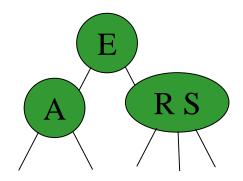




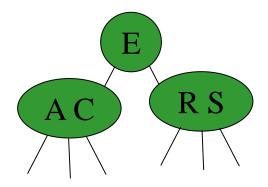




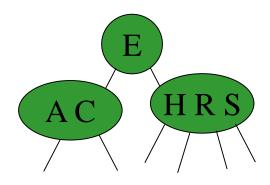




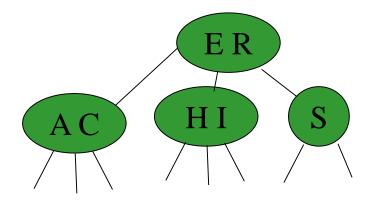




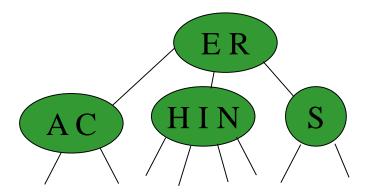




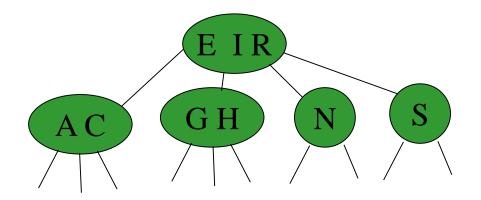




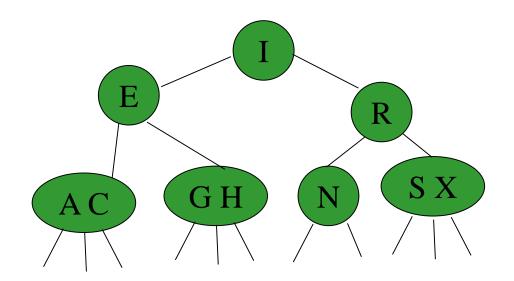














2-3-4 Tree: Deletion

Similar to 2-3 tree.

We do not discuss deletion due to limited time.



2-3-4 Trees: Conclusions

- Insertion/deletion algorithms for a 2-3-4 tree require fewer steps than those for a 2-3 tree
 - Only one pass from root to a leaf(삽입삭제시 한번의 패스만 발생. 루트로 영향을 주지 않음)
- ◆ A 2-3-4 tree is always balanced
- A 2-3-4 tree requires more storage than a binary search tree
- Allowing nodes with more data and children is counter productive, unless the tree is in external storage



Red black tree(레드블랙트리)

◆ Reb black tree is binary search tree.(이진탐색트리)



Red-Black Tree

 binary-search-tree representation of 2-3-4 tree(즉 실제로는 234트리와 같은데 이진 탐색트리로 표현)이진트리가 이해하기쉽기때문에

- 3- and 4-nodes are represented by equivalent binary trees
- red and black child pointers are used to distinguish between original 2-nodes and 2-nodes that represent 3- and 4-nodes



Review: Red-Black Trees

- ◆ Red-black trees:
 - Binary search trees augmented with node color
 - Operations designed to guarantee that the height $h = O(\lg n)$ (very good)



Red-Black Properties

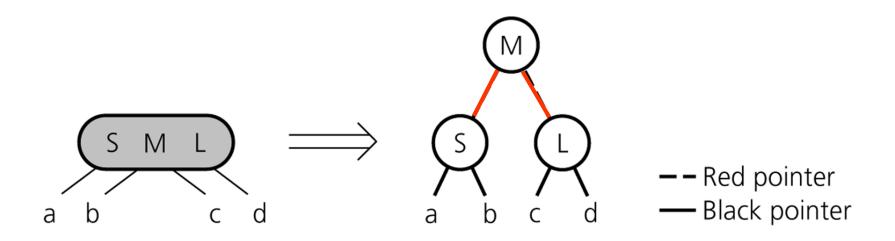
- ◆ The red-black properties:
 - 1. Every node is either red or black
 - 2. Every leaf (NULL pointer) is black(단말은 항상검정)
 - Note: this means every "real" node has 2 children
 - 3. If a node is red, both children are black
 - Note: can't have 2 consecutive reds on a path(연속으로 두개의 빨강노드가 오면 안됨)
 - 4. Every path from node to descendent leaf contains the same number of black nodes
 - 5. The root is always black(루트노드는 항상 검정)

Black-Height(검은노드높이)

- black-height: # black nodes on path to leaf
- ◆ What is the minimum black-height of a node with height h?(검은 노드의 개수는?)
- A: a height-h node has black-height $\geq h/2$
- ◆ Theorem: A red-black tree with n internal nodes has height $h \le 2 \lg(n+1)$
 - Proved by (what else?) induction



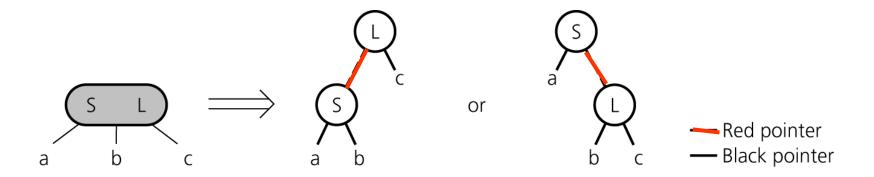
Red-Black Representation of 4-node



234 트리를 레드블랙트리로 표현. 이진탐색트리로 변환

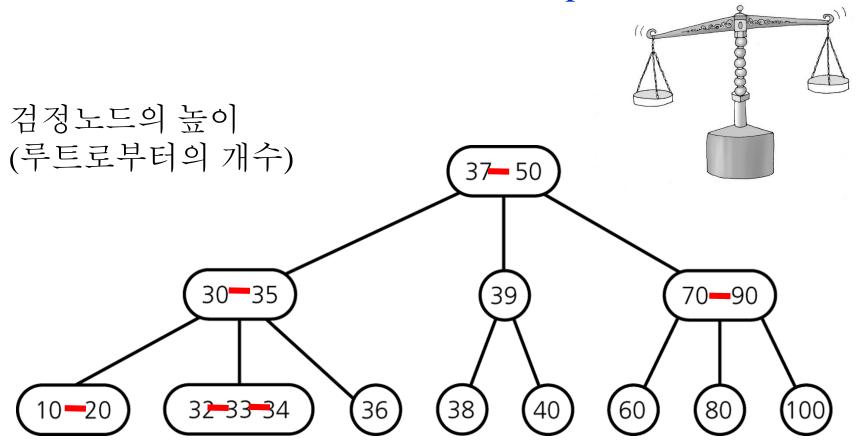


Red-Black Representation of 3-node



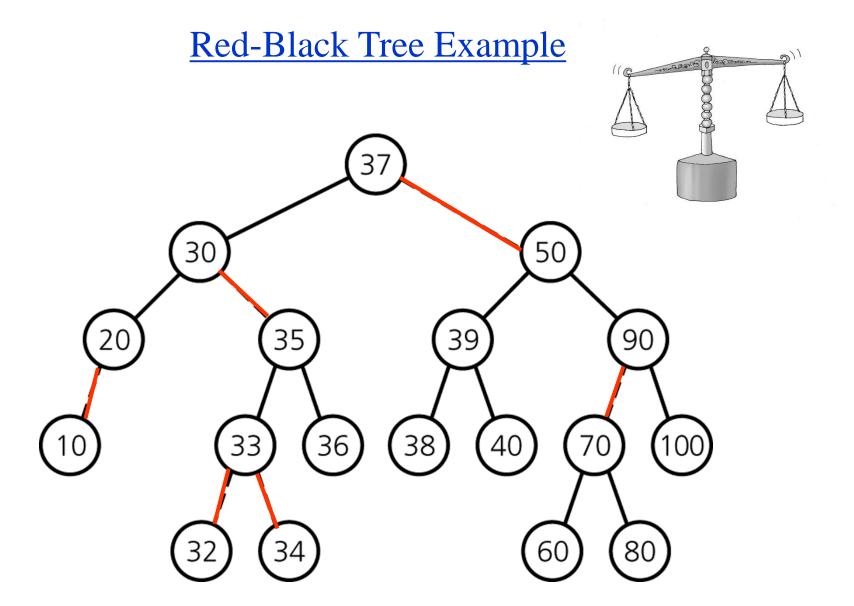


Red-Black Tree Exampl



2-3-4 tree







RBT violations occur in cases

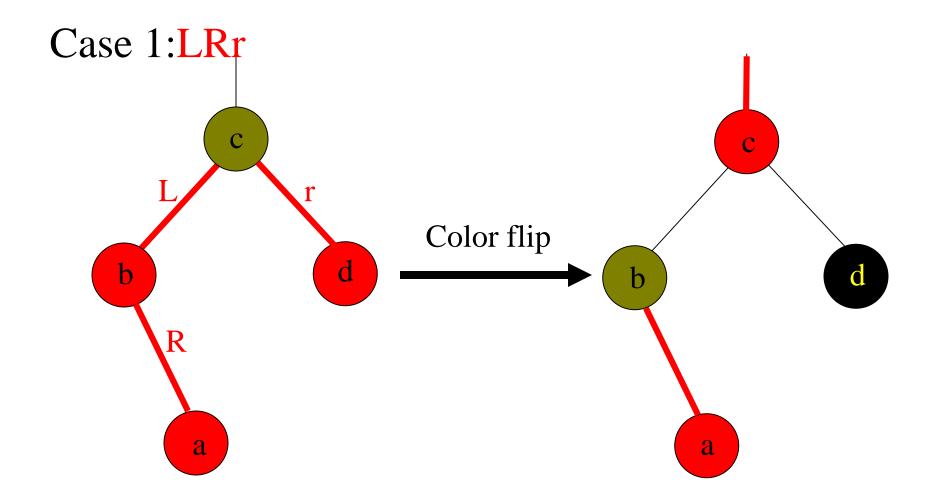
Case 1:LRr

Case 2: LLr Two consecutive red nodes

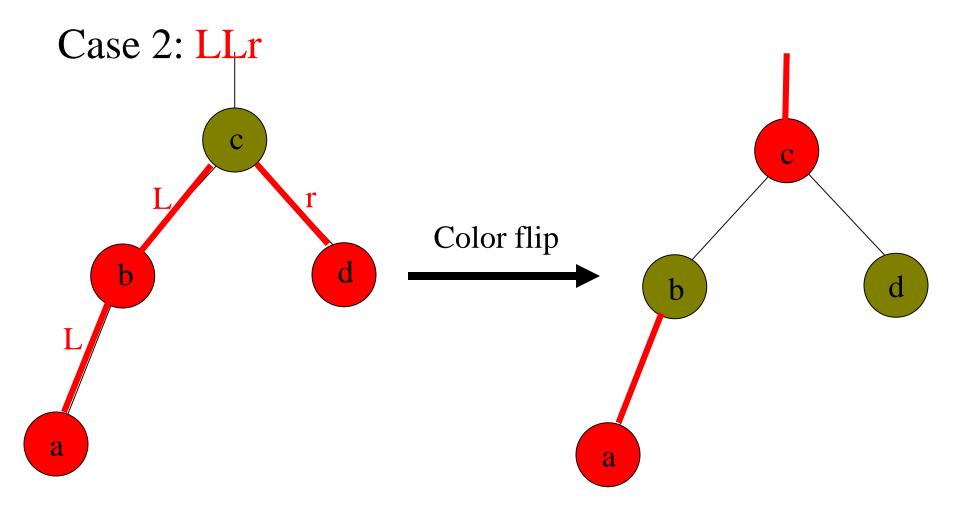
Case 3: LRb (두 개의 연속된 빨강노드)

Case 4: LLb

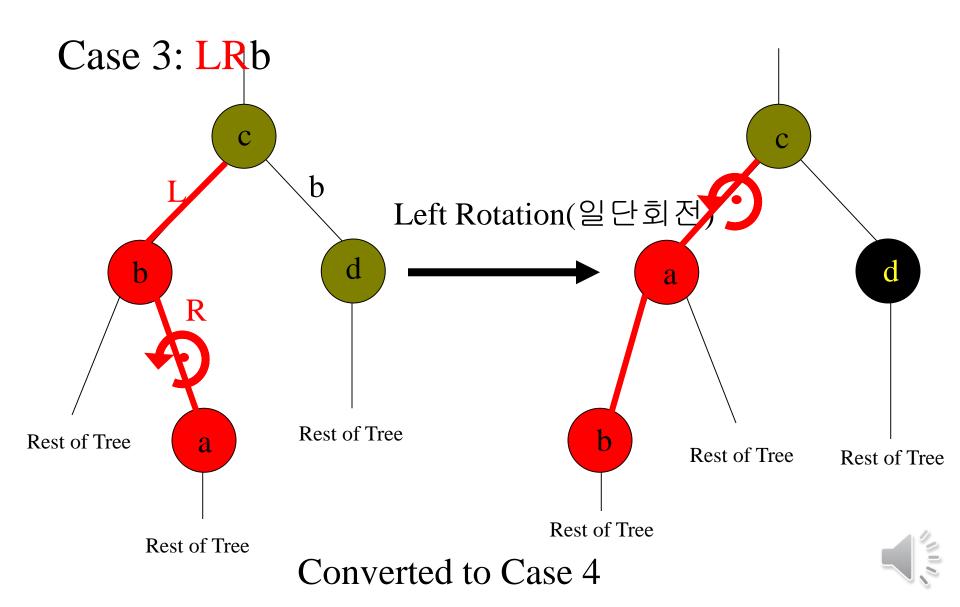




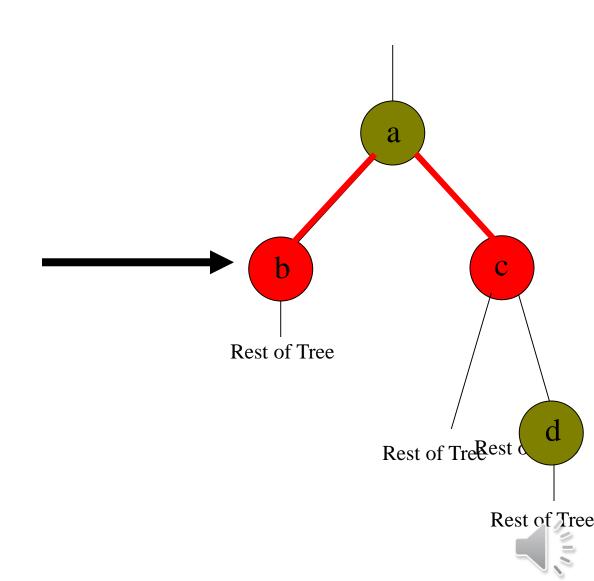
Color flip fixes or moves violation towards the root.



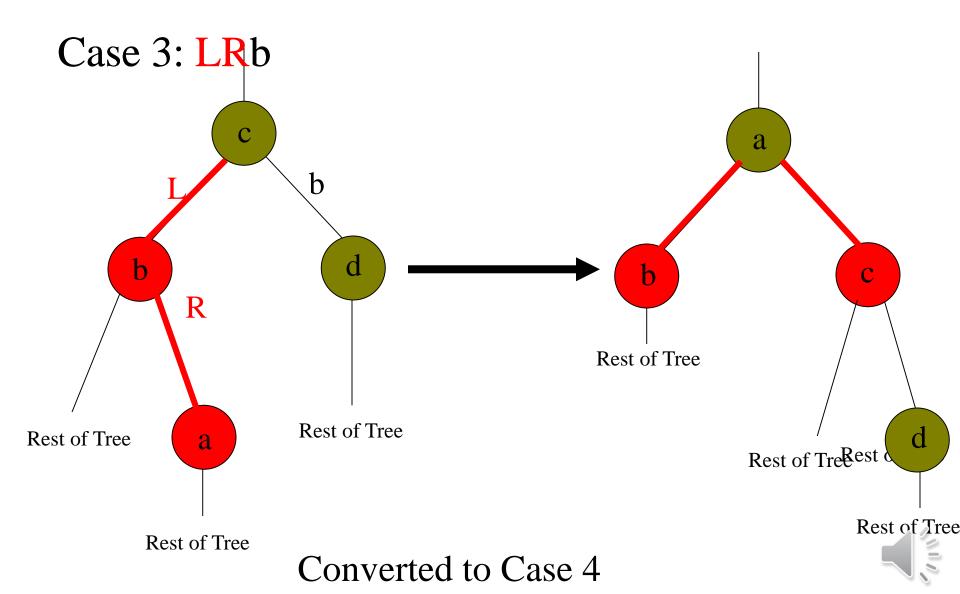
Color flip fixes or moves violation towards the root.

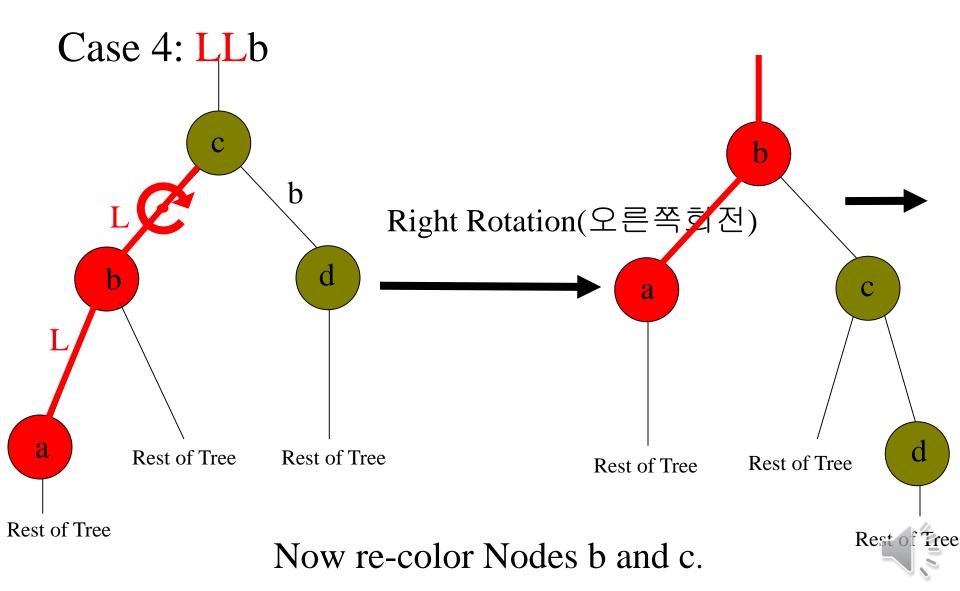


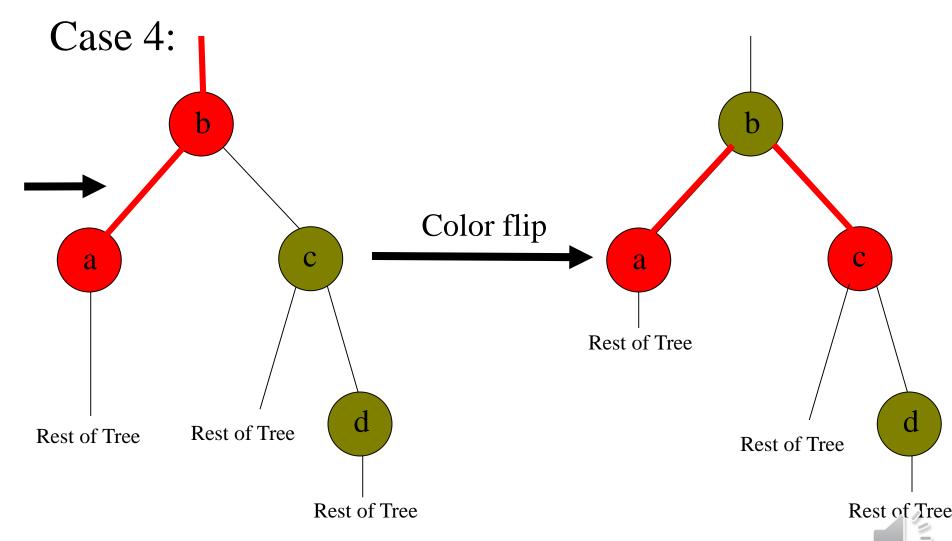
Case 3: LRb



RBT Violation Fixes(summary)

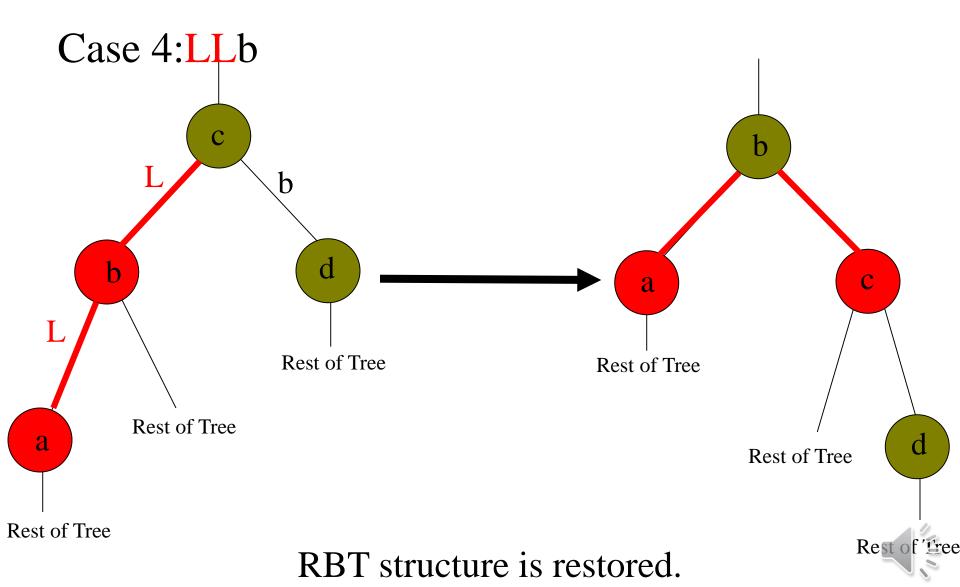






RBT violation is removed (see next slide).

RBT Violation Fixes(summary)



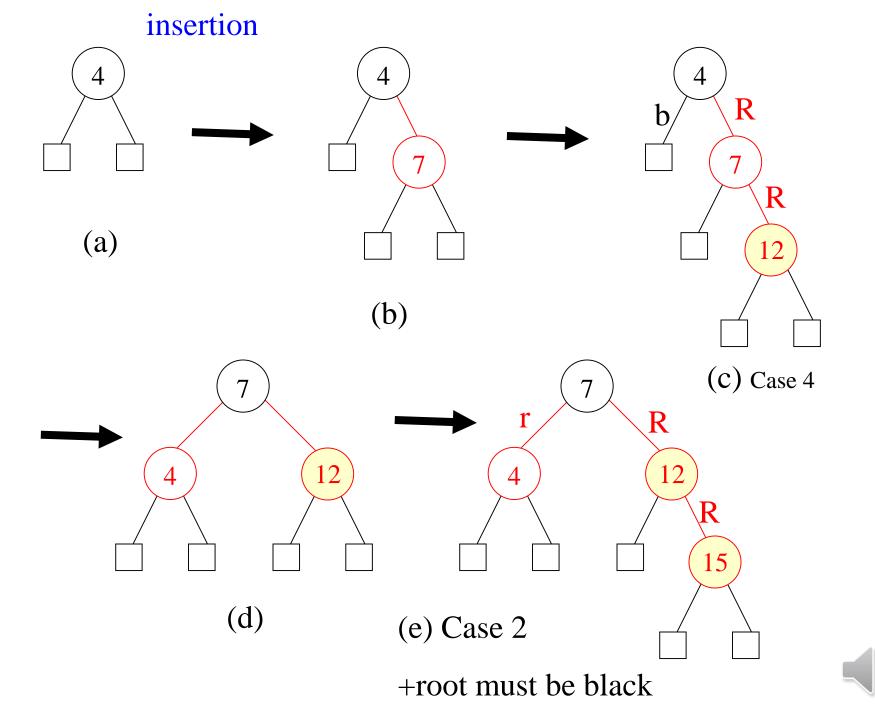
Mirror images are same

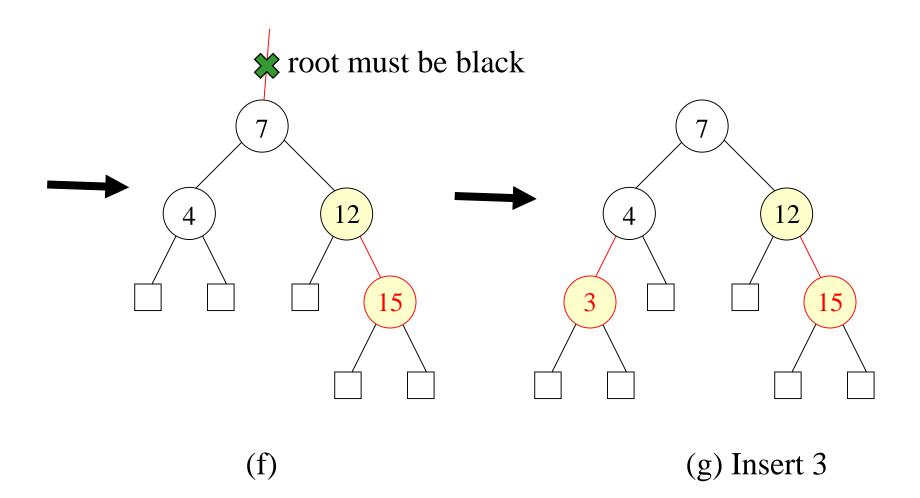
- RRb
- RLb
- ◆ RRr
- RLr



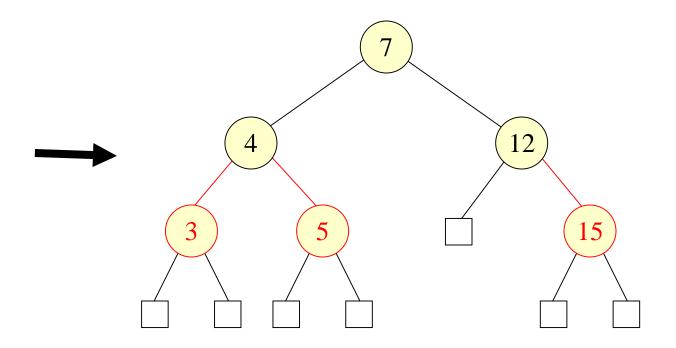
Example 1





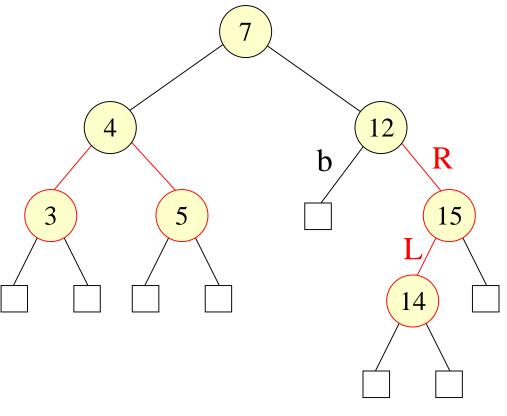






(h) Insert 5

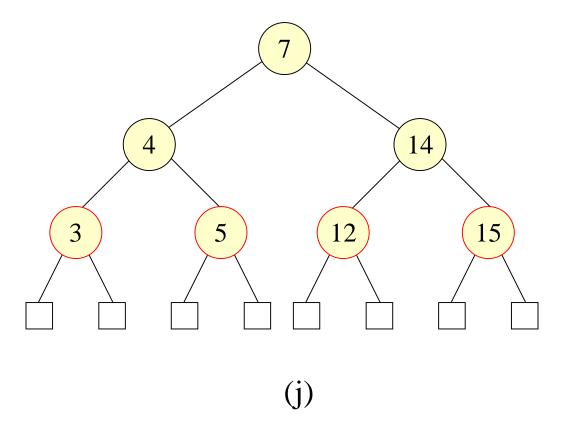




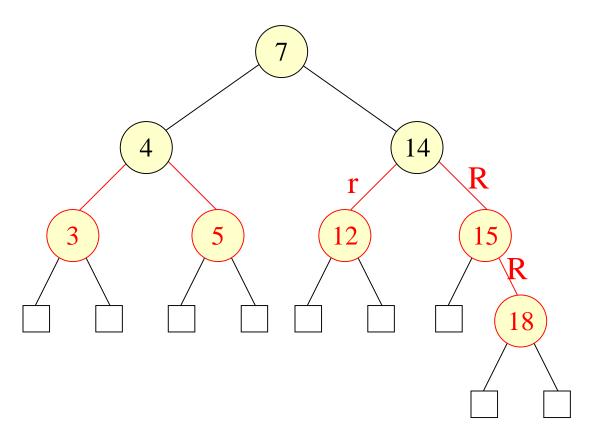
(i)Insert 14

Case 3



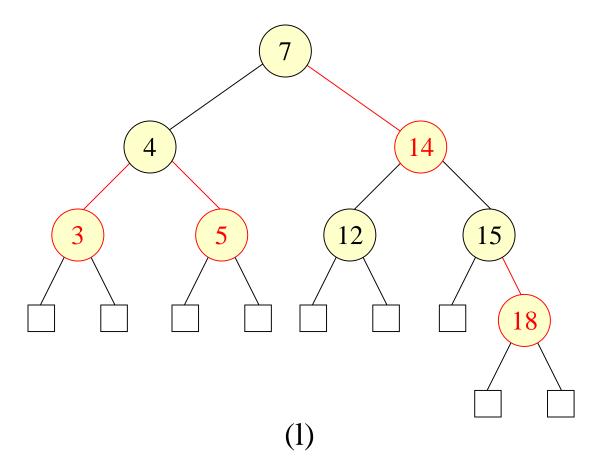




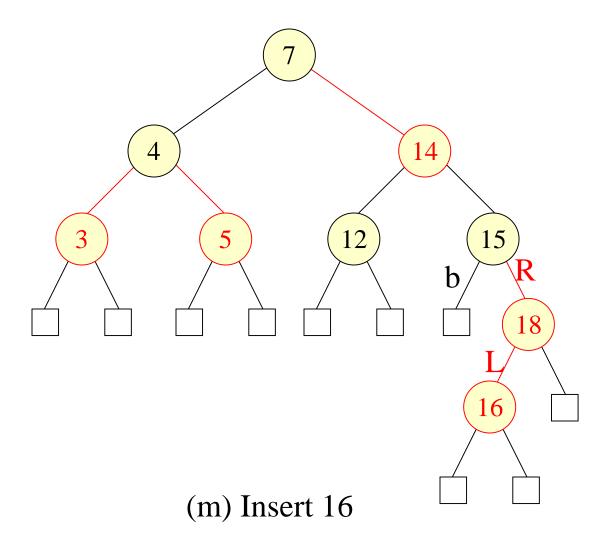


(k) Insert 18

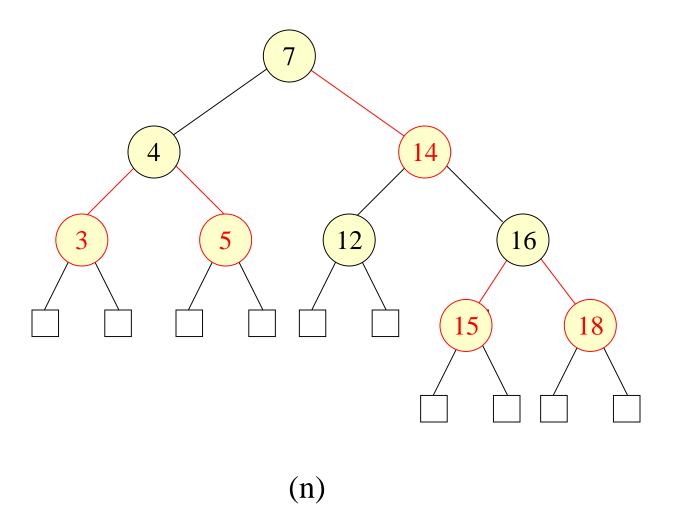




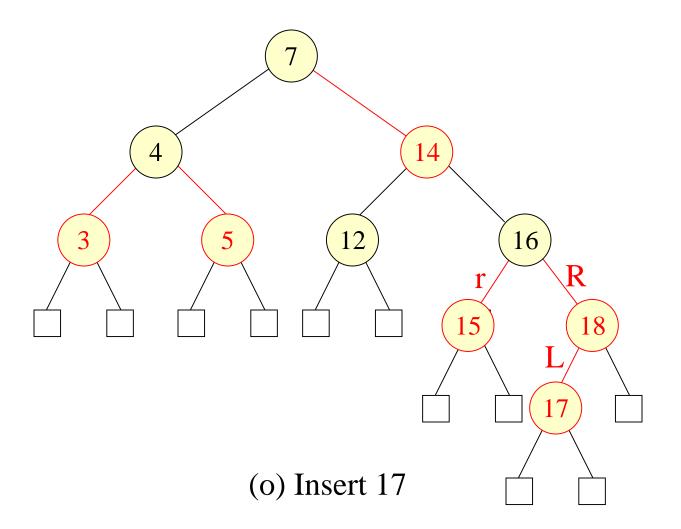




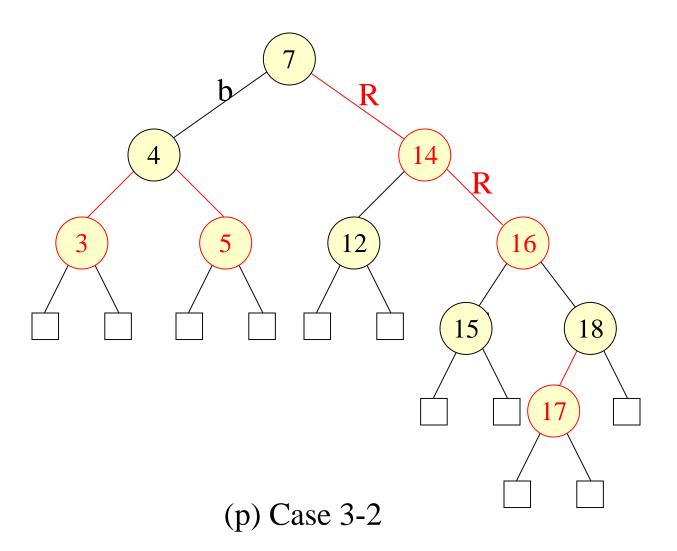




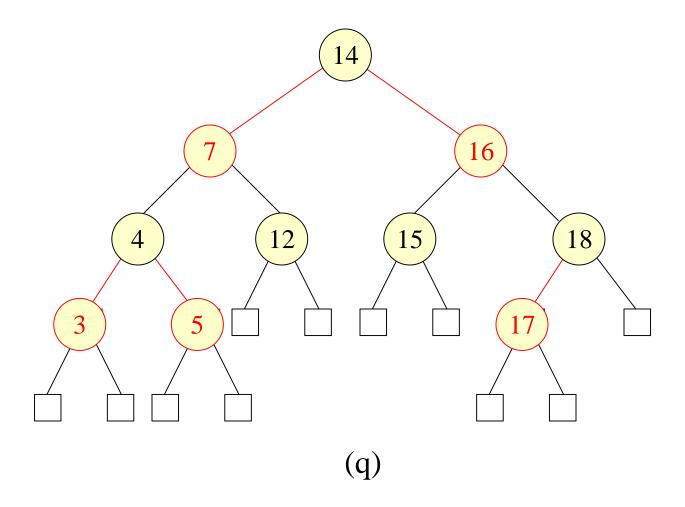








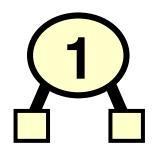






Example 2

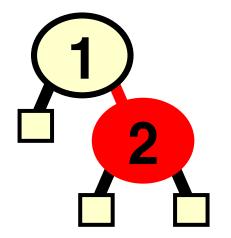




 Data 1을 삽입한다.

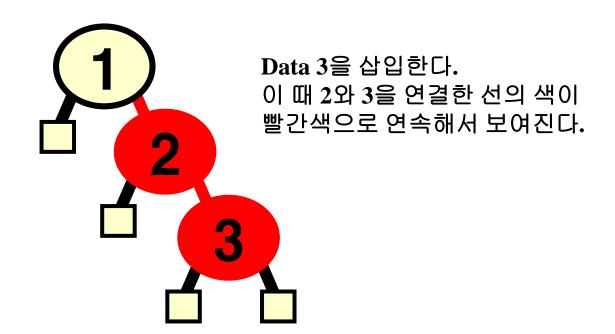
 이 때 1은 root값이 된다.



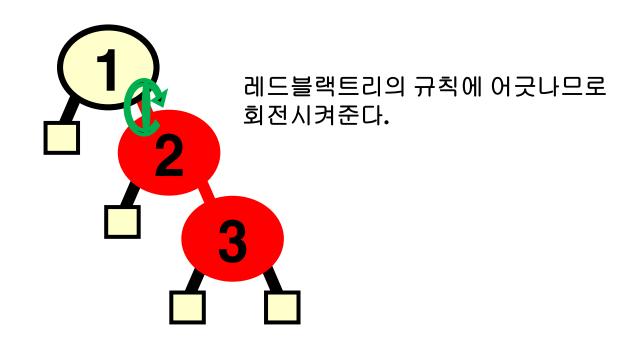


Data 2를 삽입한다.

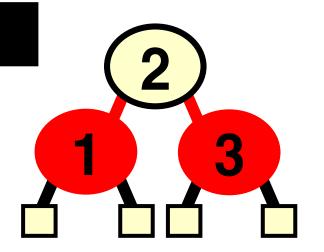










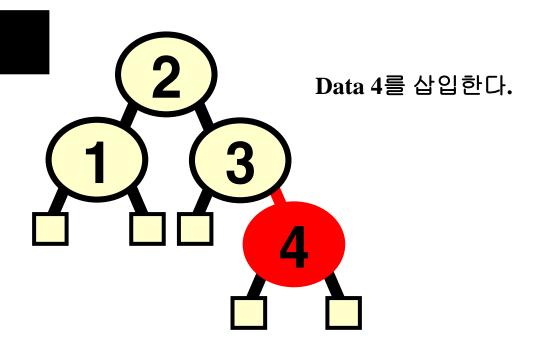


2는 root자리에 오게 되고, 1은 2의 왼쪽 자식, 3은 2의 오른쪽 자식의 자리로 오게 된다.

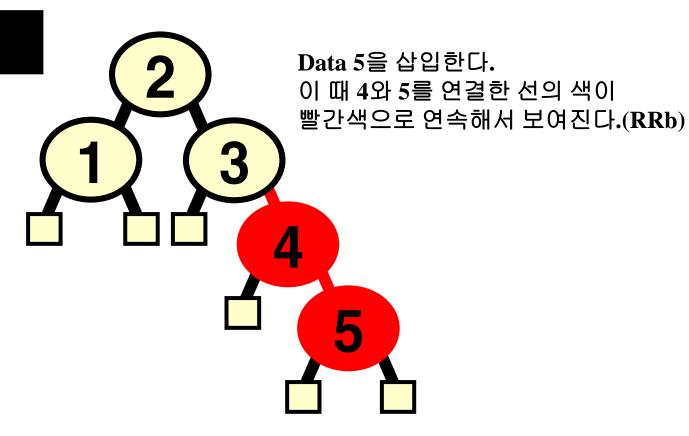


Data 4를 삽입한다.

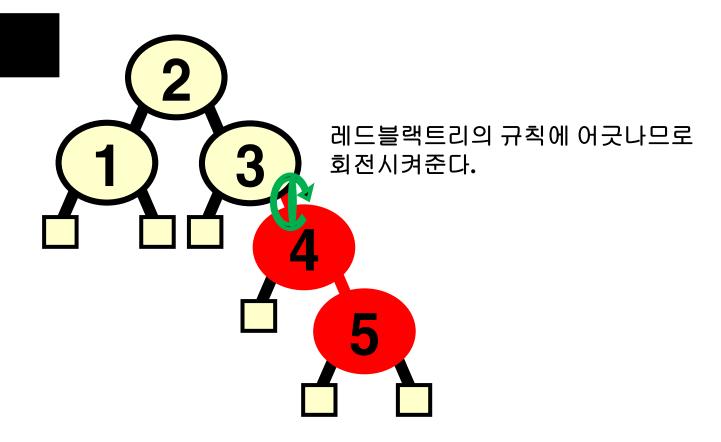




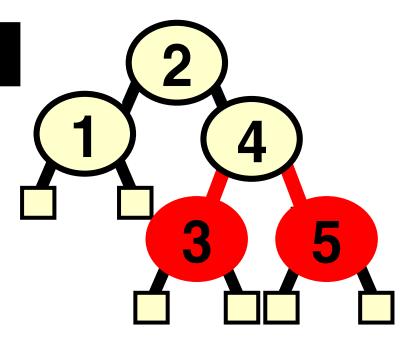




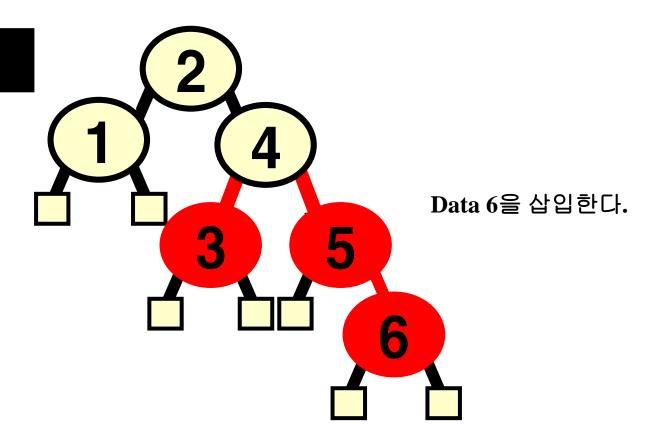




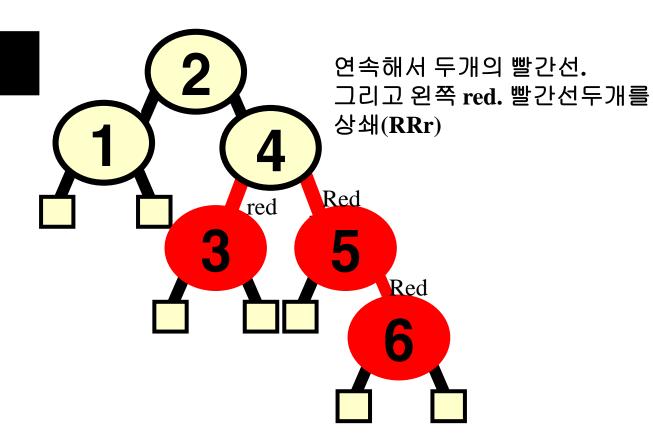




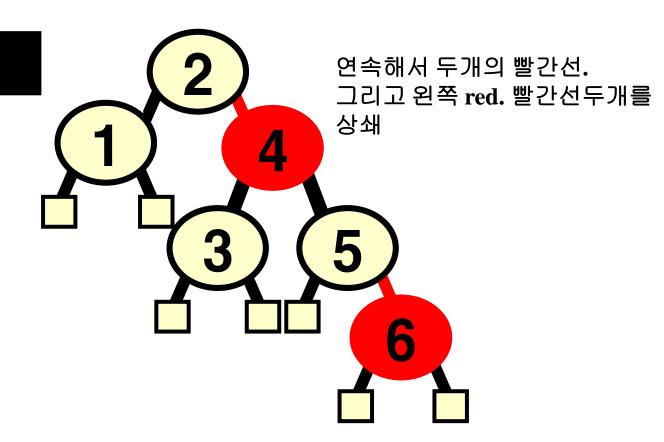














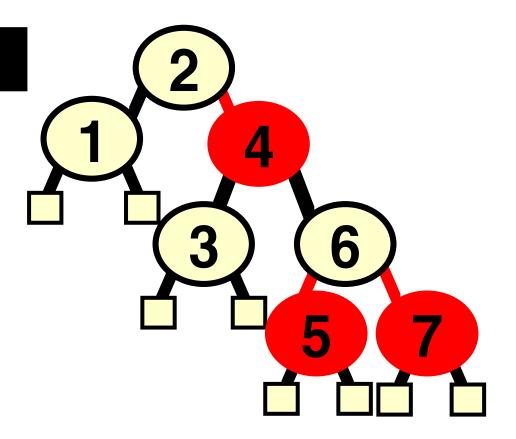
Insert 7 Red black Red

Data 7을 삽입한다. 이 때 6과 7을 연결한 선의 색이 빨간색으로 연속해서 보여진다. RRb->회전

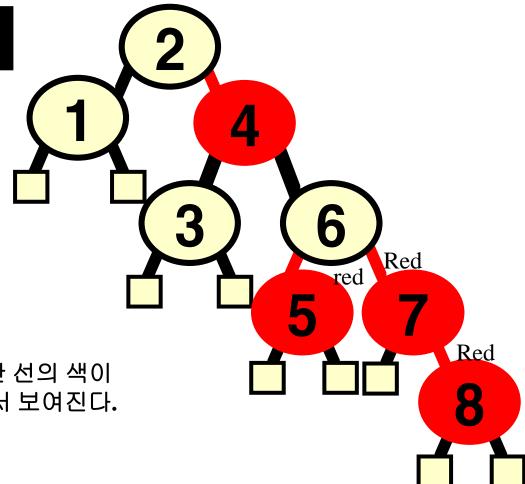


Insert 7 레드블랙트리의 규칙에 어긋나므로 회전시켜준다.





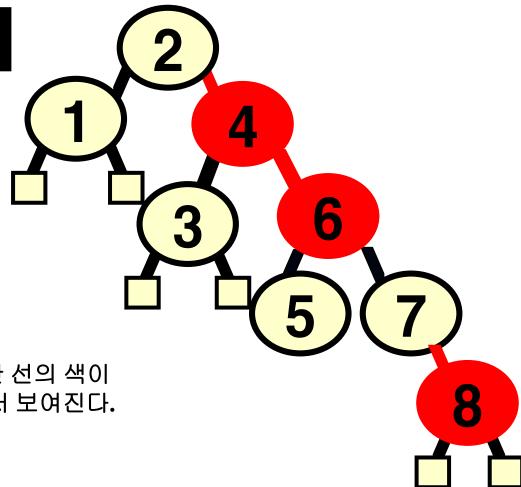




Data 8을 삽입한다. 이 때 7과 8을 연결한 선의 색이 빨간색으로 연속해서 보여진다.

RRr->빨강끈상쇄





Data 8을 삽입한다. 이 때 7과 8을 연결한 선의 색이 빨간색으로 연속해서 보여진다. RRr->빨강끈상쇄

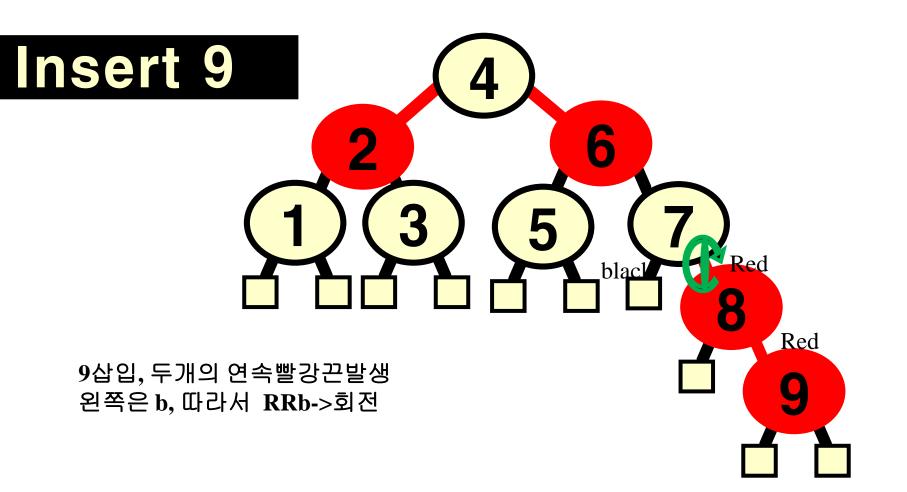


Insert 8 black Red 상쇄하니, 두개의 연속빨강끈발생 왼쪽은 b, 따라서 RRb->회전

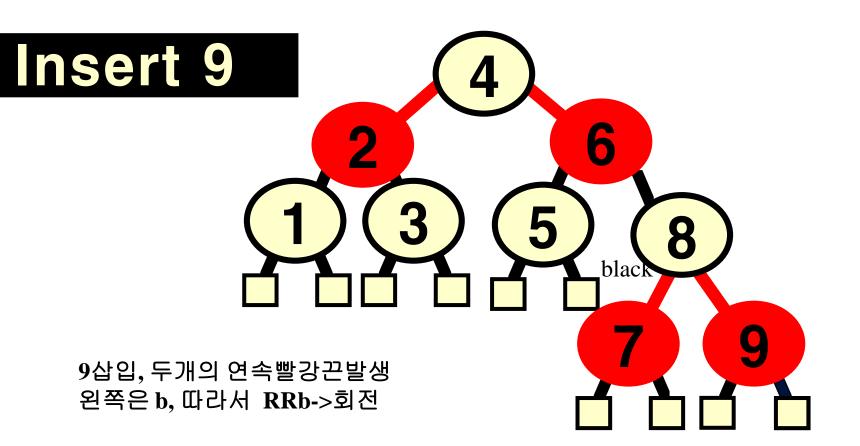


Insert 8 1 3 5 7











레드 블랙 트리

- ◆ 사용이유
 - ◆ 2-3-4 트리의 복잡한 노드 구조 그리고 복잡한 삽입 삭제 코드
 - ◆ 레드 블랙 트리는 이진 탐색트리의 함수를 거의 그대로 사용
 - ◆ 2-3-4 트리의 장점인 단일 패스 삽입 삭제가 그대로 레드 블랙 트리에도 적용.
 - ◆ 언제 회전에 의해 균형을 잡아야 하는지가 쉽게 판별됨.



레드 블랙 트리의 효율

◆ 위 예

◆ 이진 탐색트리에 10, 20, ..., 60의 순으로 삽입하면 결과는 모든 노드가 일렬로 늘어서서 최악의 효율.

◆ 탐색 효율

- ◆ 삽입 삭제를 위한 코드의 간결성은 이진 탐색트리와 비슷하면서도
- ◆ 레드 블랙 트리의 높이는 O(log₂N)에 근접
- ◆ 레드 블랙 트리는 회전에 의해서 어느 정도 균형을 이름.
- ◆ AVL은 회전시기를 판단하기 위해 복잡한 코드 실행. 회전방법 역시 복잡한 코드 실행. 그에 따를 실행시간 증가
- ◆ 레드 블랙 트리는 빨강 링크의 위치만으로 회전시기를 쉽게 판단, 회전방법도 간단.



Which algorithm is best?

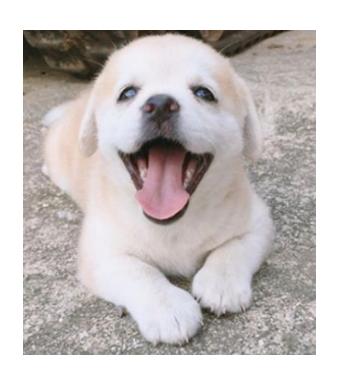
Advantages

- AVL: relatively easy to program. Insert requires only one rotation.
- Splay: No extra storage, high frequency nodes near the top
- RedBlack: Fastest in practice, no traversal back up the tree on insert

Disadvantages

- AVL: Repeated rotations are needed on deletion, must traverse back up the tree.
- SPLAY: Can occasionally have O(N) finds, multiple rotates on every search
- RedBlack: Multiple rotates on insertion, delete algorithm difficult to understand and program











감사합니다.

