# **CSP: Communicating Sequential** Processes

#### Overview

- Computation model and CSP primitives
- Refinement and trace semantics
- Automaton view
- Refinement checking algorithm
- Failures Semantics

#### **CSP**

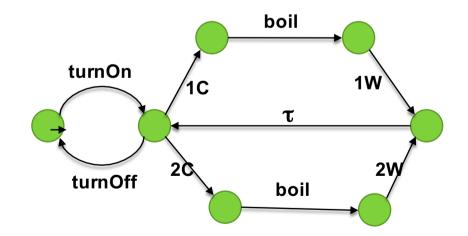
- Communicating Sequential Processes, introduced by Hoare, 1978.
- Abstract and formal event-based language to model concurrent systems. Belong to the "Process Algebra" family.
- Elegant, with refinement based reasoning.

Senseo = 
$$turnOn \rightarrow Active$$

Active =  $(turnOff \rightarrow Senseo)$ 

$$\Box (1c \rightarrow boil \rightarrow 1w \rightarrow Active)$$

$$\Box (2c \rightarrow boil \rightarrow 2w \rightarrow Active)$$



#### References

- Quick info at Wikipedia.
- Communicating Sequential Processes, Hoare, Prentice Hall, 1985.

3rd most cited computer science reference ©

Renewed edition by Jim Davies, 2004.

Available free!

Model Checking CSP, Roscoe, 1994.

# Computation model

- A concurrent system is made of a set of interacting processes.
- Each process produces events. Each event is atomic.
   Examples:
  - turnOn, turnOff, Play, Reset
  - lockAcquire, lockRelease
- Some events are internals -> not observable from outside.
- There is no notion of variables, nor data. A process is abstractly decribed by the sequences of events that it produces.

# Computation model

- Multiple processes can synchronize on an event, say a.
  - They will wait each other until all synchronizing processes are ready to execute a.
  - Then they will simultaneously execute a.
  - As in :

$$a \rightarrow \text{STOP} \mid \mid_{\{a\}} x \rightarrow a \rightarrow \text{STOP}$$

The 1<sup>st</sup> process will have to wait until the 2<sup>nd</sup> has produced x.

#### Some notation first

Names :

A,B,C

a,b,c

P,Q,R

alphabets (sets of events)

→ events (actions)

→ processes

 Formally for each process we also specify its alphabet, but here we will usually leave this implicit.

• αP denotes the alphabet of P.

#### CSP constructs

We'll only consider simplified syntax:

```
Process ::= STOP<sub>Alphabhet</sub>

| Event → Process
| Process [] Process
| Process | Process
| Process | Process
| Process / Alphabet
| ProcessName
```

Process definition:

ProcessName "=" Process

# STOP, sequence, and recursion

Some simple primitives :

```
    STOP<sub>{a}</sub> // as the name says
    a → P // do a, then behave as P
```

Recursion is allowed, e.g. :

 $Clock = tick \rightarrow Clock$ 

Recursion must be 'guarded' (no left recursion thus).

#### Internal choice

We also have internal / non-deterministic choice: P □ Q, as in :

$$R_1 = (a \rightarrow P) \mid \overline{\phantom{a}} \quad (b \rightarrow Q)$$

R<sub>1</sub> behave as either:

$$a \rightarrow P$$
 or  $b \rightarrow Q$ 

but the choice is decided internally by R<sub>1</sub> itself. From outside it is as if R<sub>1</sub> makes a non-deterministic choice.

 R<sub>1</sub> may therefore deadlock (e.g. the environment only offers a, but R<sub>1</sub> have decided that it wants to do b instead).

#### External choice

Denoted by P □ Q

Behave as either *P* or *Q*. The choice is decided by the environment.

Ex:

$$R_2 = (a \rightarrow P) \square (b \rightarrow Q)$$

R<sub>2</sub> behaves as either:

$$a \rightarrow P$$
 or  $b \rightarrow Q$ 

depending on the actions *offered* by the environment (e.g. think *a*,*b* as representing actions by a user to push on buttons).

#### External choice

 However, it can degenerate to non-deterministic choice:

$$R_3 = (a \rightarrow P) \square (a \rightarrow Q)$$

# Parallel composition

Denoted by P || Q

This denotes the process that behaves as the *interleaving* of P and Q, but *synchronizing* them on  $\alpha P \cap \alpha Q$ .

#### Example:

R = 
$$(a_1 \to b \to STOP_{\{a1,b\}}) \parallel (a_2 \to b \to STOP_{\{a2,b\}})$$

This produces a process that behaves as either of these:

$$a_1 \rightarrow a_2 \rightarrow b \rightarrow STOP_{\{a1,a2,b\}}$$
  
 $a_2 \rightarrow a_1 \rightarrow b \rightarrow STOP_{\{a1,a2,b\}}$ 

(Notice the interleaving on  $a_1, a_2$  and synchronization on b).

# Hiding (abstraction)

Denoted by P / A

Hide (internalize) the events in A; so that they are not visible to the environment.

#### Example:

R = 
$$(a_1 \to b \to STOP_{\{a1,b\}}) \parallel (a_2 \to b \to STOP_{\{a2,b\}})$$

R / {b} = 
$$(a_1 \rightarrow a_2 \rightarrow STOP_{\{a1,a2\}}) \Box (a_2 \rightarrow a_1 \rightarrow STOP_{\{a1,a2\}})$$

In particular:

$$(P \parallel Q) / (\alpha P \cap \alpha Q)$$

is the parallel composition of *P* and *Q*, and then we internalize their synchronized events.

# Specifications and programs have the same status

That is, a specification is expressed by another CSP process :

SenseoSpec = 
$$(1c \rightarrow 1w) \Box (2c \rightarrow 2w) \rightarrow$$
 SenseoSpec

 More precisely, when events not in {1c,1w,2c,2w} are abstracted away, our Senseo machine should behave as the above SenseoSpec process. This is expressed by refinement:

```
SenseoSpec \subseteq Senseo / \{ turnOn, turnOff, boil \}
```

Cannot be conveniently expressed in temporal logic. Conversely, CSP has no native temporal logic constructs to express properties. <u>Refinement relation</u>:  $P \le Q$  means that Q is at least as good as P.

-What this exactly entails depends on our intent. In any case, we usually expect a refinement relation to be <u>preorder</u>  $\bigcirc$ 

# Monotonicity

A relation 
 ⊆ (over A) is a preorder if it is reflexive and transitive :

```
    P □ P
    P □ Q and Q □ R implies P □ R
```

 A function F:A→A is monotonic roughly if its value increases if we increase its argument.

More precisely it is monotonic wrt to a relation ≤ iff

$$P \sqsubseteq Q \Rightarrow F(P) \sqsubseteq F(Q)$$

Analogous definition if F has multiple arguments.

#### Monotonicity & compositionality

 Suppose we have a preorder ≤ over CSP processes, acting as a refinement relation.

$$\varphi \sqsubseteq P$$
  $\Rightarrow$  express  $P$  satisfies the specification  $\varphi$ 

 A monotonic || would give us this result, which you can use to decompose the verification of a system to component level, and avoiding, in theory, state explosion:

(note that this presumes we have the specifications of the components) So, can we find a notion of refinement such that all CSP constructs are monotonic??

Many formalisms for concurrent systems do not have this. CSP monotonicity is mainly due to its level of abstraction.

- Idea: abstractly consider two processes to be equivalent if they generate the same traces.
- Introduce traces(P)

the set of all *finite traces* (sequences of events) that P can produce.

- E.g. **traces**(  $a \rightarrow b \rightarrow STOP_{\{a,b\}}$ ) = { <>, <a>, <a,b>}
- Simple semantics of CSP processes
- But it is oblivious to certain things.
- Still useful to check safety.
  - Induce a natural notion of refinement.

• We can define "traces" inductively over CSP operators.

```
• traces STOP_A = \{ <> \}
```

• traces  $(a \rightarrow P) = \{ \langle \rangle \} \cup \{ \langle a \rangle \land s \mid s \in \text{traces}(P) \}$ 

 If s is a trace, s<sub>A</sub> is the trace obtained by throwing away events not in A.

Pronounced "s restricted to A".

Example : 
$$\langle a,b,b,c \rangle \upharpoonright \{a,c\} = \langle a,c \rangle$$

Now we can define:

traces 
$$(P/A) = \{ s \upharpoonright (\alpha P - A) \mid s \in \text{traces}(P) \}$$

 If A is an alphabet, A\* denote the set of all traces over the events in A. E.g. <a,b,b> ∈ {a,b}\*, and <a,b,b> ∈ {a,b,c}\*; but <a,b,b> ∉ {b}\*.

```
• traces (P \parallel Q)

= \{ s \mid s \in (\alpha P \cup \alpha Q)^*, 

s \mid \alpha P \in \text{traces}(P) \text{ and } s \mid \alpha Q \in \text{traces}(Q) \}
```

# Example

Consider:

P = 
$$a_1 \rightarrow b \rightarrow STOP$$
 //  $\alpha P = \{a_1,b\}$   
Q =  $a_2 \rightarrow b \rightarrow STOP$  //  $\alpha Q = \{a_2,b\}$ 

• traces(P||Q) = { <> , < $a_1$ > , < $a_1$ ,  $a_2$ >, < $a_1$ ,  $a_2$ >, < $a_1$ ,  $a_2$ , b>, ... }

Notice that e.g.:

$$\upharpoonright \alpha P \in \mathbf{traces}(P)$$
  
 $\upharpoonright \alpha Q \in \mathbf{traces}(Q)$ 

- traces $(P \square Q) = \text{traces}(P) \cup \text{traces}(Q)$
- traces $(P \mid Q) = \text{traces}(P) \cup \text{traces}(Q)$
- So in this semantics you can't distinguish between internal and external choices.

# Traces of recursive processes

Consider

$$P = (a \rightarrow a \rightarrow P) \square (b \rightarrow P)$$

How to compute traces(P) ? According to defs:

• Define **traces**(P) as the smallest solution of the above equation.

 We can now define refinement as trace inclusion. Let P, Q be processes over the same alphabet:

$$P \sqsubseteq Q = traces(P) \supseteq traces(Q)$$

which implies that Q won't produce any 'unsafe trace' unless P itself can produce it.

- Moreover, this relation is obviously a preorder.
- Theorem:

All CSP operators are monotonic wrt this trace-based refinement relation.

#### Verification

Because specification is expressed in terms of refinement :

$$\phi \sqsubseteq P$$

verification in CSP amounts to refinement checking.

In the trace semantics it amounts to checking:

$$traces(\varphi) \supseteq traces(P)$$

We can't check this directly since the sets of traces are typically infinite.

 If we view CSP processes as automata, we can do this checking with some form of model checking.

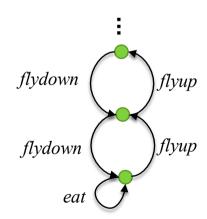
#### Automata semantic

- Represent CSP process P with an automaton M<sub>P</sub> that generates the same set of traces.
- Such an automaton can be systematically constructed from the P's CSP description.
  - However, the resulting M<sub>P</sub> may be non-deterministic.
  - Convert it to a deterministic automaton generating the same traces
    - Comparing deterministic automata are easier as we later check refinement.
    - There is a standard procedure to convert to deterministic automaton.
- Things are however more complicated as we later look at failures semantic.

# Only finite state processes

Some CSP processes may have infinite number of states, e.g.
 Bird<sub>0</sub> below:

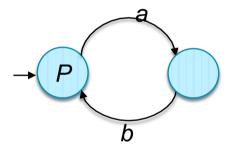
$$Bird_0 = (flyup \rightarrow Bird_1) \square (eat \rightarrow Bird_0)$$
  
 $Bird_{i+1} = (flyup \rightarrow Bird_{i+2}) \square (flydown \rightarrow Bird_i)$ 



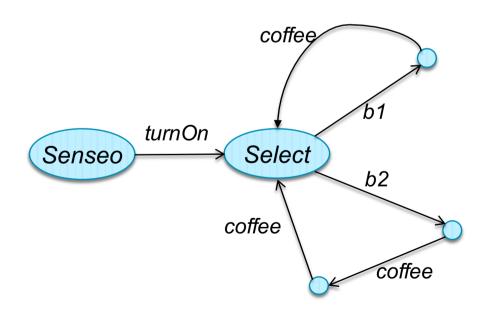
We will only consider finite state processes.

#### **Automaton semantics**

$$P = a \rightarrow b \rightarrow P$$

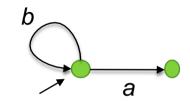


Select = 
$$b1 \rightarrow \text{coffee} \rightarrow \text{Select}$$
 $b2 \rightarrow \text{coffee} \rightarrow \text{coffee} \rightarrow \text{Select}$ 

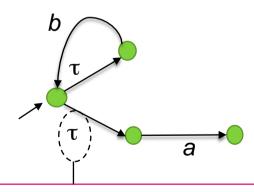


#### No distinction between ext. and int. choice

$$P = (a \rightarrow STOP) \square (b \rightarrow P)$$



$$P = (a \rightarrow STOP) \mid \neg (b \rightarrow P)$$



Internal action, representing internal decision in choosing between a and b.

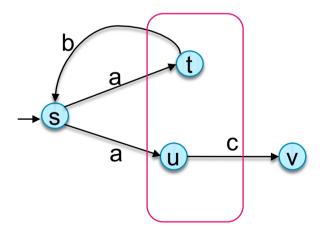
However, since in trace semantics we don't see the difference between □ and □ anyway, so for now we can pretend that their automata to be the same.

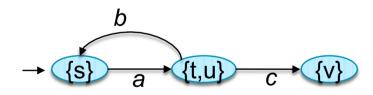
#### Converting to deterministic automaton

"

"can still lead to an implicit non-determinism. But this should be indistinguishable in the trace semantic, so convert it to a deterministic automaton, essentially by merging end-states with common events. The transformation preserves traces.

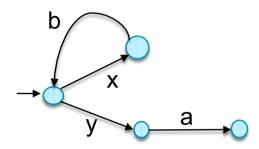
$$P = (a \rightarrow c \rightarrow STOP) \square (a \rightarrow b \rightarrow P)$$



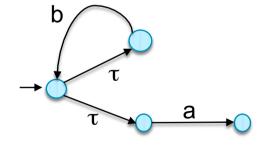


# Hiding

*P* :

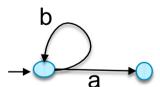


 $P / \{x,y\}$ :



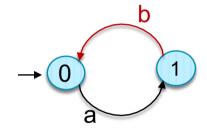


convert it to a deterministic version.

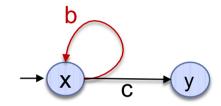


#### Parallel comp.

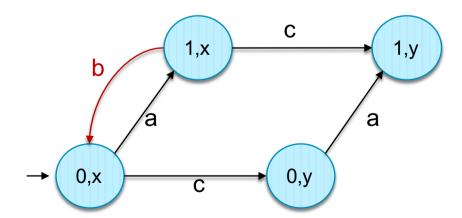
$$P = a \rightarrow b \rightarrow P$$



$$Q = (b \rightarrow Q) \quad \Box \quad (c \rightarrow STOP)$$



P || Q , common alphabet is { b } :



# Checking trace refinement

- Formally, we will represent a deterministic automaton M by a tuple (S,s<sub>0</sub>,A,R), where:
  - S M's set of states
  - s<sub>0</sub> the initial state
  - A the alphabet (set of events); every transition in M is labeled by an event.
  - R :  $S \rightarrow A \rightarrow pow(S)$  encoding the transitions in M.
    - Deterministic: R s a is either  $\emptyset$  or a singleton. Else non-deterministic.
    - "R s a = {t}" means that M can go from state s to t by producing event a.
    - "R s a =  $\emptyset$ " means that M can't produce a when it is in state s.

# Checking trace refinement

Let  $M_P = (S, s_0, A, R)$  and  $M_Q = (S, t_0, B, S)$  be deterministic (!) automata representing respectively processes P and Q; they have the same alphabet. We want to check:

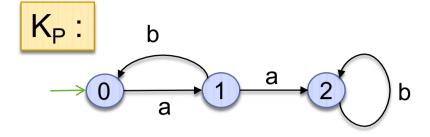
$$traces(P) \supseteq traces(Q)$$

 For s∈S, let initials<sub>P</sub>(s) be the set of P's possible next events when it is in the state s:

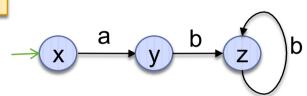
initials<sub>P</sub>(s) = 
$$\{a \mid Rsa \neq \emptyset \}$$

• Let's construct  $M_P \cap M_Q \rightarrow$  contains all traces which both automata can do. Check the initials of both at each state.

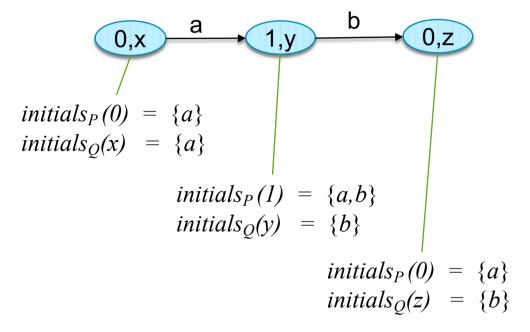
# Example



#### M<sub>Q</sub>:



The intersection:



### Checking trace refinement

The traces of  $M_Q$  is a subset of  $M_P$  iff for all (s,t) in  $M_P \cap M_Q$  we have :

$$initials_{P}(s) \supseteq initials_{Q}(t)$$

- If at some (s,t) this condition is violated  $\rightarrow$  then uc is a counter example, where u is a trace that leads to the state t, and c is an event in **initials**<sub>O</sub>(t) / **initials**<sub>P</sub>(s).
- This gives you an algorithm to check refinement → construct the intersection automaton, and check the above condition on every state in the intersection. → you can also construct it lazily.

### Refinement Checking Algorithm

```
checked = \emptyset;
pending = \{(s_0, t_0)\};
while pending \neq \emptyset do {
      get and remove an (s,t) from pending;
           initials(s) \supseteq initials(t) then {
            checked := \{(s,t)\} \cup \text{checked }\}
           pending := pending
                           (\{(s',t') \mid (\exists a. s' \in R s a \land t' \in R t a)\} / checked);
      else error!
```

#### More refined semantics?

Unfortunately, in trace-based semantics these are equivalent :

P = 
$$(a \rightarrow STOP) \square (b \rightarrow STOP)$$
  
Q =  $(a \rightarrow STOP) | \square (b \rightarrow STOP)$   
(all STOPs are index by  $\{a,b\}$ )

 But Q may deadlock when we put it with e.g. E = a → STOP; whereas P won't.

### Refusal

• Suppose  $\alpha R = \{a,b\}$ , then:

$$R = a \rightarrow STOP$$

will refuse to synchronize over b.

- P =  $(a \rightarrow STOP) \square (b \rightarrow STOP)$  will refuse neither a nor b.
- Q = (a → STOP) | (b → STOP)

may refuse to sync over a, or b, not over both (if the env can do either a or b, but leave the choice to P).

#### Refusal

- An offer to P is a set of event choices that the environment (of P) is offerring to P as the first event to synchronize; the choice is up to P.
- So we define a refusal of P as an offer that P may fail to synchronize (due to internal chocies P may come to a state where it can't sync over any event in the offer).
- refusals(P) = the set of all P's refusals.

$$P = (a \rightarrow STOP) \square (b \rightarrow STOP)$$

refusals(P) = 
$$\{\emptyset\}$$

$$Q = (a \rightarrow STOP) | \overline{\ } (b \rightarrow STOP)$$

refusals(Q) =  $\{\emptyset, \{a\}, \{b\}\}$ 

#### Refusals

- Assuming alphabet A
- refusals  $(STOP_A) = \{X \mid X \subseteq A\}$
- refusals (a → P) = { X | X ⊆ A /\ a∉X }

refuse any offer that does not include a

#### Refusals

refusals (P [] Q) = refusals(P) ∩ refusals(Q)

$$P = a \rightarrow ...$$

Assuming alphabet {a,b}

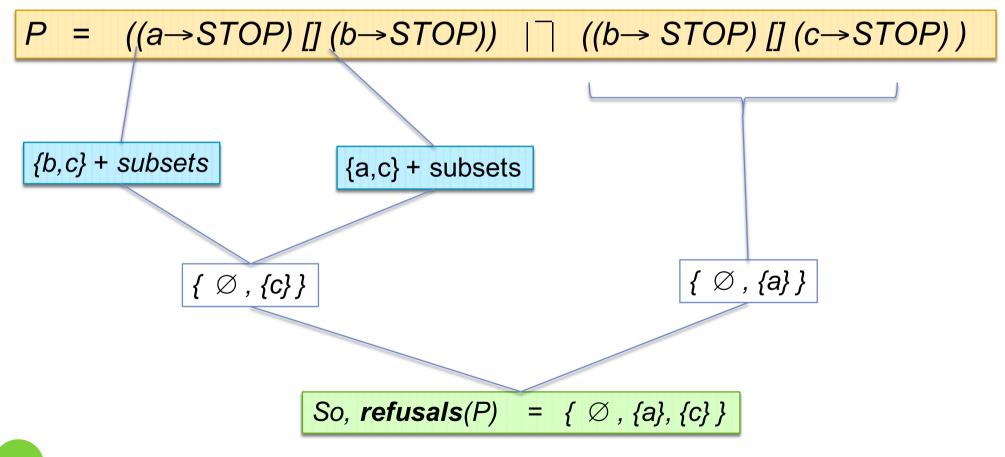
$$Q = b \rightarrow ...$$

refusals (P | Q) = refusals(P) ∪ refusals(Q)

In the above example:

- may refuse ∅, {a}, {b}
- won't refuse {a,b}

 What is the refusals of this? Assume {a,b,c} as alphabet.



## Refusals of ||

refusals(P || Q) = { X ∪ Y | X∈refusals(P) /\ Y∈refusals(Q) }

$$\alpha P = \{a,b,x\}$$

$$P = a \rightarrow ...$$

refusals: {b,x} and all its subsets

refusals: { d,x } and all its subsets

$$\alpha Q = \{c, d, x\}$$

$$Q = C \rightarrow ...$$

refuse common actions or other Q's non-common actions.

$$P||Q = (a \rightarrow c \rightarrow ...)[] (c \rightarrow a \rightarrow ...)$$

refusals: {b,d, x } and all its subsets

## Refusals of ||

refusals(P || Q) = { X ∪ Y | X∈refusals(P) /\ Y∈refusals(Q) }

$$\alpha P = \{a,b,x\}$$

$$P = x \rightarrow ...$$

refusals: {a,b} + subsets

refusals: {c,d} + subsets

$$\alpha Q = \{c, d, x\}$$

$$Q = X \rightarrow ...$$

$$P||Q = x \rightarrow ...$$

refusals: {a,b,c,d} + subsets

#### Refusals after s

Define:

refusals(P/s) = the refusals of P after producing the trace s.

Example, with alphabet αP = {a,b} :

$$P = (a \rightarrow P) \mid \neg \mid (b \rightarrow b \rightarrow STOP)$$

refusals(P/<>) = refusals(P)

refusals(P/<b>) =  $\emptyset$ , {a}

refusals(P/<b,b>) = all substes of  $\alpha$ P

#### "Failures"

Define :

Note that due to nondeterminism, there may be several possible states where P may end up after doing s.

$$failures(P) = \{ (s,X) \mid s \in traces(P) , X \in refusals(P/s) \}$$

(s,X) is a 'failure' of P means that P can perform s, afterwhich it may deadlock when offered alternatives in X.

- E.g. (s,αP) ∈ failures(P/s) means after s P may stop.
- If for all X:

$$(s,X) \in failures(P/s) \Rightarrow a \notin X$$

this implies that after s P cannot refuse a (implying progress!) .

Consider this P with αP = {a,b} :

$$P = (a \rightarrow STOP) \mid \overline{\phantom{a}} \mid (b \rightarrow STOP)$$

P's failures :

```
• (\varepsilon, \{a\}) , (\varepsilon, \{b\}) , (\varepsilon, \emptyset)
```

- (a, {a,b}) ... // and other (a,X) where X is a subset of {a,b}
- (b, {a,b}) ... // and other (b,X) where X is a subset of {a,b}
- Notice the "closure" like property in X and s.

#### Failures Refinement

 We can use failures as our semantics, and define refinement as follows. Let P and Q to have the same alphabet.

$$P \sqsubseteq Q = failures(P) \supseteq failures(Q)$$

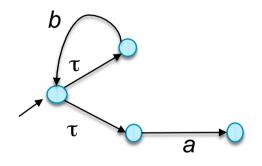
- Also a preorder!
- And it implies trace-refinement, since:

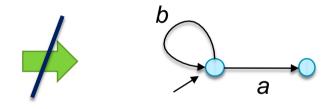
traces(P) = 
$$\{ s \mid (s,\emptyset) \in failures(P) \}$$

So, it follows that  $P \sqsubseteq Q$  implies  $traces(P) \supseteq traces(Q)$ .

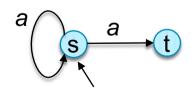
## Back to automata again

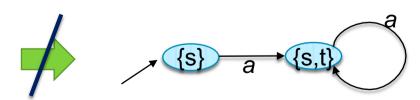
- As before we want to use automata to check refinement.
- However now we can't just remove non-determinism, because it does matter in the failures semantic:





Notice that the transformation, although it preserves traces, it does not preserve refusals.





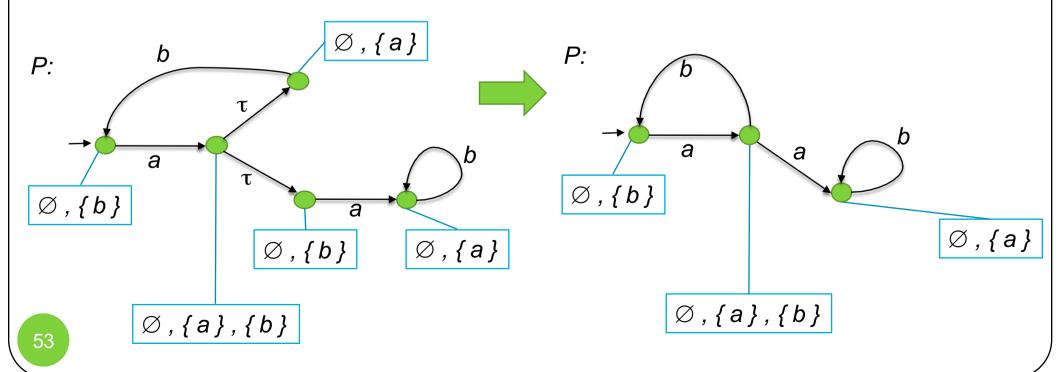
#### Back to automata

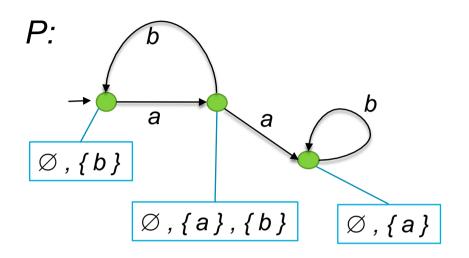
- Still, deterministic automata are attractive because we have seen how we can check trace inclusion.
- Furthermore, in a deterministic automaton, the end-state u after producing a trace s is unique.
- Now remember that a 'failure' is a pair of (trace, refusal). Since a trace ends in some end-state (or states), this suggests a strategy to label the states with its refusals.
- Then we can adapt our trace-based refinement checking algorithm to also check failures.

$$P = a \rightarrow ((b \rightarrow P) \mid \overline{\ } (a \rightarrow B))$$
 $B = b \rightarrow B$ 
 $Q = a \rightarrow b \rightarrow (Q \mid \overline{\ } STOP_{\{a,b\}})$ 

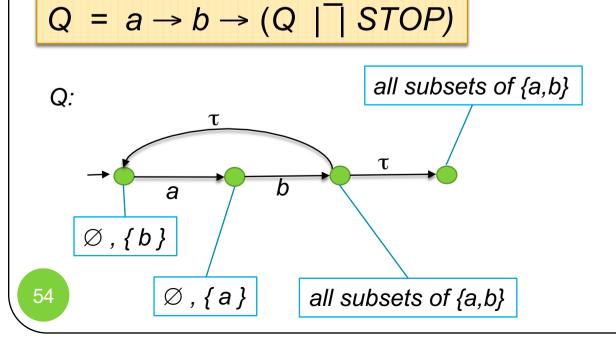
Assuming  $\{a,b\}$  as alphabet.

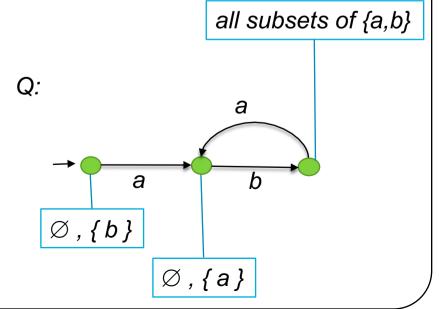
So, is  $P \sqsubseteq Q$ ?





$$P \sqsubseteq Q$$
?



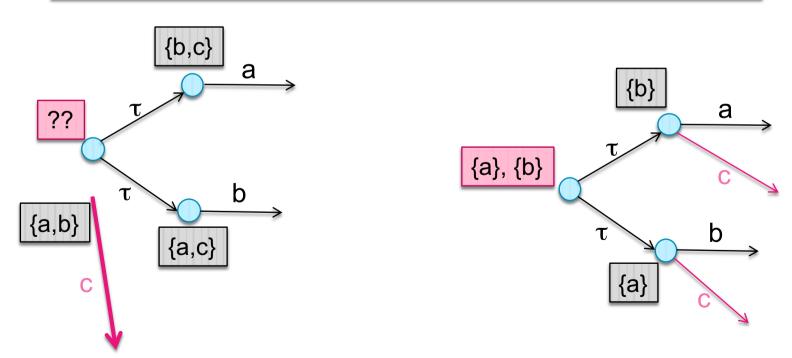


### But...

The procedure doesn't work well with e.g.:

assuming {a,b,c} as the alphabet

$$((a \rightarrow STOP) \mid \overline{\ } (b \rightarrow STOP)) \quad [] \quad (c \rightarrow STOP)$$

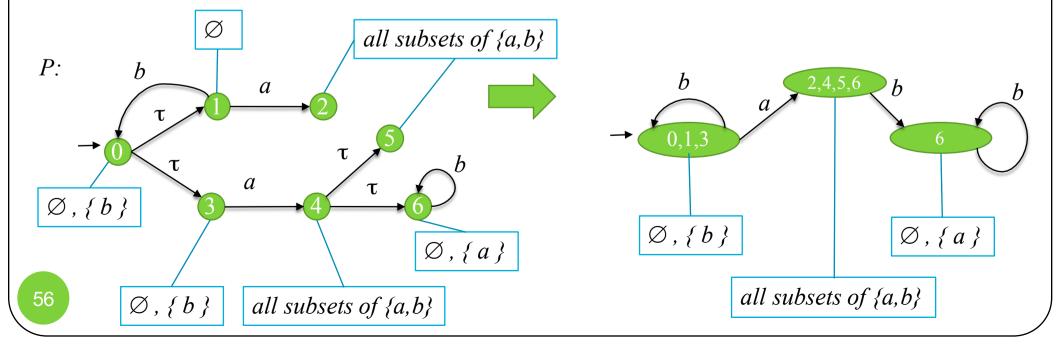


In CSP [] distributes over | , So, option to do an external event is assumed to be maintained across τ transitions

$$P = (a \rightarrow STOP)[] ((b \rightarrow P) \mid \overline{\ } (a \rightarrow B))$$

$$B = b \rightarrow B$$

#### After normalizing:



So, is P 
$$\sqsubseteq$$
 Q, where  $Q = a \rightarrow (R \mid \neg STOP)$ ?  
 $R = b \rightarrow R$ 

