# HOL, Part 2

# Automating my own logic

#### UNITY

- Based on UNITY, proposed by Chandy and Misra, 1988, in Parallel Program Design: a Foundation. Later, 2001, becomes Seuss, with a bit OO-flavour in: A Dicipline of Multiprogramming: Programming Theory for Distributed Applications
- Unlike LTL, UNITY defines its logic Axiomatically:
  - more abstract
  - suitable for deductive style of proving
  - you can deal with infinite state space
  - but it is not a counter example based logic

#### Example

```
init : empty(s) \land x=0
actions:

\neg \text{empty}(s) \rightarrow y := \text{retrieve}(s)
[]
x++
[]
isprime(x) \rightarrow \text{add}(x,s)
```

No control structure  $\rightarrow$  we focus on concurrency, and we try to model that abstractly, by simply specifying *when* the activities can be scheduled, rather than how they are scheduled.

#### **UNITY Program & Execution**

A program P is (simplified) a pair (Init,A)

Init: a predicate specifying allowed initial statesA: a set of concurrent (atomic and guarded)actions

- Execution model :
  - Each action  $\alpha$  is executed atomically. Only when its guard is enabled (true),  $\alpha$  can be selected for execution.
  - A run of P is <u>infinite</u>. At each step an enabled action is <u>non-deterministically</u> selected for execution. The run has to be <u>weakly fair</u>: when an action is persistently enabled, it will eventually be selected. When no action is enabled, the system stutters (does a skip).

However the logic is axiomatic. It will not actually construct the runs. → next slides.

## Temporal properties

Safety is expressed by this operator:

(Init,A) 
$$|-p|$$
 unless  $q = \forall \alpha \in A$ .  $\{p \land \neg q\} \alpha \{p \lor q\}$ 

Whenever p holds the program will either stay in p, or go over to q.

- This roughly corresponds to  $\Box(p \rightarrow p \mathbf{W} q)$
- An LTL property is quantified over executions of the program P (not over all executions!). This is problematical for constructing its proof.
- A UNITY property totally ignores executions.

#### Example

```
init : pc=0 \land x=0

actions:

pc=0 \rightarrow x, pc := x+1, 1

pc=1 \rightarrow x, pc := x+1, 2

pc=2 \rightarrow skip
```

```
{ x+1; 
 x+1; 
 repeat skip }
```

- This is valid:  $\Box$ (x=2  $\rightarrow$  x=2 **W** false)
- But this is not valid: x=2 unless false, however this is:
   pc∈{0,1,2} /\ (pc=0 ⇔ x=0) /\ (pc=1 ⇔ x=1) /\ (pc=2 ⇔ x=2) /\ x=2
   unless false
- Note that a direct LTL proof will also have to somehow construct those intermediate information.

## Temporal properties

 A predicate p is transient in P=(I,A) if there is an action in A that can make it false.

$$(I,A)$$
 |- transient  $p = \exists \alpha \in A. \{p\} \alpha \{\neg p\}$ 

Now define:

$$(I,A)$$
 |-  $p$  ensures  $q = (I,A)$  |-  $p$  unless  $q$  and  $(I,A)$  |- transient  $p \land \neg q$ 

 The weak fairness assumption now forces P to progress from p to q. (implying [](p → <>q))

# General progress operator

 "ensures" only captures progress driven by a single action. More general progress is expressed by |→ (leads-to).

It is defined as the *smallest relation* satisfying:

$$\begin{array}{ccc} p \text{ ensures } q \\ \hline p & \mapsto & q \end{array}$$

(it is a closure of **ensures**)

$$p \mapsto q$$
 ,  $q \mapsto r$ 

$$p \mapsto r$$

(it is transitive)

$$\begin{array}{cccc} p_1 \mapsto q & , & p_2 \mapsto q \\ \hline & p_1 \lor p_2 & \mapsto & q \end{array}$$

(it is disjunctive on the left argument)

# Some other (derived) laws

- → itself is relf, trans, and disj.
- Progress Safety

Bounded progress

$$p \land m = C \mapsto (p \land m < C) \lor q$$

$$p \mapsto q$$

- $0 \le m$  holds innitially
- 0 ≤ m unless false

#### Example

Consider this program, with x≤3 as initial predicate:

- Notice it has infinite state space.
- Proof of: **true**  $\mapsto$  x=0
  - (1) 4-x = C ensures  $4-x < C \lor x = 0$
  - (2)  $4-x = C \mapsto 4-x < C \lor x = 0$
  - (3)  $4-x \ge 0$  unless false
  - (4) true  $\mapsto x=0$

# Implementing UNITY Logic

 For example, this "proof rule" (actually definition) of unless:

```
(Init,A) |- p unless q = \forall \alpha \in A. \{p \land \neg q\} \alpha \{p \lor q\}
```

- We can e.g. implement in ML (ala implementation of GCL/wlp), export the resulting verification conditions to HOL for verification.
- We can embed UNITY in HOL itself

# Shallow embedding

Recall this example:

```
Define `SEQ S_1 S_2 state = S_2 (S_1 state)`;
```

 To cater for non-determinism, represent UNITY actions as relations. So, a thing of type:

```
'state → 'state → bool
```

• Define `SEQ  $S_1$   $S_2$  =  $(\lambda s u. (?t. S_1 s t \land S_2 t u))`$ 

## Shallow embedding

- How to represent a pre- or post-condition?
- Simply writing e.g. x>0 in HOL is an expression of type bool. How to connect this to the state of an action?
- Represent a state predicate as a function of type 'state → bool
- For example, is a state is represented by a tuple (x,y), then this is a state predicate: (λ(x,y), x>y)
- And we can define operators like these on statepredicates:

Define 'AND pq =  $(\lambda s. ps / qs)$ '

# Shallow embedding

We define the meaning of Hoare triples in HOL:

```
Define HOA \alpha p q = (!s t. p s \land \alpha s t \Rightarrow q t)
```

Then we can define the UNITY operator. Below A is an action list, represented as action→bool.

```
Define `unless A p q
= (!\alpha. \text{ MEM } \alpha \text{ A})
\Rightarrow \text{HOA } \alpha \text{ (p AND NOT q) (p OR q))}`
```

transient and ensures can be defined in a similar way.

# What do we get?

 Now you can verify UNITY properties in HOL, after properly representing the target program in the corresponding HOL representation.

Basically, unfolding the definition of UNITY operators reduce a UNITY specification to the underlying predicate logic formulas.

 You can also verify proof rules (of your UNITY logic). For example:

unless  $A p q \land valid (q IMP r) \Rightarrow unless A p r$ 

## Encoding a program

- Let represent a state by a function string → int
- An example of an action:

```
--`(\lambda s t. (s x \ge 3 \Rightarrow (!var. if var = x then t var = 0 else t var = s var))

/\
(<math>s x < 3 \Rightarrow (t = s)) `--
```

You can come up with a DSL to make this less verbose.

# Deeper embedding

 For example, by restricting the syntactical structure of actions:

```
Hol_datatype `ACTION = Act of 'pred ⇒ string ⇒ 'expr`
```

• sem(Act  $g \times e$ ) =  $(\lambda s t. (g s \Rightarrow (!var. if var = x then t var = e s else t var = s var))$  $(\neg g s \Rightarrow (t = s))$ 

# Primitive HOL

# Implementing HOL

 An obvious way would be to start with an implementation of the predicate logic, e.g. along this line:

- But want/need more:
  - We want terms to be typed.
  - We want to have more operators
  - We want to have functions.

#### λ- calculus

Grammar:

The terms are typed; allowed types:

#### Building ontop (typed) $\lambda$ - calculus

- It's a clean and minimalistic formal system.
- It comes with a very natural and simple type system.
- Because of its simplicity, you can trust it.
- Straight forward to implement.
- You can express functions and higher order functions very naturally.
- We'll build our predicate logic ontop of it; so we get all the benefit of λ-calculus for free.

#### λ- calculus computation rule

One single rule called β-reduction

$$(\lambda x. t) u \rightarrow t[u/x]$$

 However in theorem proving we're more interested in concluding whether two terms are 'equivalent', e.g. that:

$$(\lambda x. t) u = t [u/x]$$

So we add the type "bool" and the constant "=" of type:

'a 
$$\rightarrow$$
 'a  $\rightarrow$  bool

(Desc 1.7)

 These inference rules are then the minimum you need to add (implemented as ML functions):

ASSUME 
$$(t:bool) = [t] | -t$$

$$REFL \quad t = |-t=t|$$

BETA\_CONV "(\x. t) u"
$$=$$

$$|- (\x. t) u| = t[u/x]$$

INST\_TYPE 
$$(\alpha, \tau)$$
  $( |-t ) = |-t[\tau/\alpha]$ 

ABS 
$$( |-t=u ) = |-(x, t) = (x, u)$$

SUBST 
$$( |-x=u ) t = |-t=t[u/x]$$

In  $\lambda$ -calculus you also have the  $\eta$ -conversion that says:

$$f = g$$
 iff  $(\forall x. fx = gx)$ 

This is formalized indirectly by this axiom:

ETA\_AX: 
$$|-\forall f$$
.  $(\lambda x. fx) = f$ 

 We'll also add the constant "⇒", whose logical properties are captured by the following rules:

DISCH 
$$(t, A \mid -u) = A \mid -t \Rightarrow u$$

MP  $thm_1 thm_2 \rightarrow implementing the modus ponens rule$ 

## Examples of building a derived rules

```
UNDISCH (A \mid -t \Rightarrow u) = t,A \mid -u
```

#### Examples of building a derived rules

$$SYM "A | - t = u" = A | - u = t$$

#### Predicate logic

(Desc 3.2)

- So far the logic is just a logic about equalities of  $\lambda$ -calculus terms.
- Next we want to add predicate logic, but preferably we build it in terms of  $\lambda$ -calculus, rather than implementing it as a hard-wired extension to the  $\lambda$ -calculus.
- Let's start by declaring two constants T,F of type bool with the obvious intent. Now find a way to encode the intent of "T" in  $\lambda$ -calculus  $\rightarrow$  captured by this definition:

T\_DEF: 
$$|-T| = ((\lambda x:bool. x) = (\lambda x. x))$$

# **Encoding Predicate Logic**

(Desc 3.2)

Introduce constant "∀ "of type ('a→bool)→bool, defined as follows:

FORALL\_DEF: 
$$|- \forall P = (P = (\lambda x. T))|$$

which HOL pretty prints as  $(\forall x. P x)$ 

Now we define "F" as follows:

$$F_DEF: |-F = \forall t:bool. t$$

Puzzle for you: prove just using HOL primitive rules
 (more later) that ¬(T = F).

# **Encoding Predicate Logic**

- NOT\_DEF:  $|- \forall p. \sim p = p \Rightarrow F$
- AND\_DEF:  $| \forall p \ q. \quad p \land q = \sim (p \Rightarrow \sim q)$
- OR DEF ...

• SELECT\_AX:  $| - \forall Px. Px \Rightarrow P (@P)$ 

• EXISTS\_DEF:  $|- \exists P = P@P$ 

#### And some axioms ...

- BOOL\_CASES\_AX:  $| \forall b. \ (b=T) \lor (b=F)$
- IMP ANTISYM:

$$|- \forall b_1 b_2$$
.  $(b_1 \Rightarrow b_2) \Rightarrow (b_2 \Rightarrow b_1) \Rightarrow (b_1 = b_2)$ 

# Proving $\sim$ (T = F)

# And this infinity axiom...

We declare a type called "ind", and impose this axiom:

INFINITY\_AX:

$$|-\exists f:ind \rightarrow ind. \ One\_Onef \land \sim Ontof$$

This indirect says that there "ind" is a type with infinitely many elements!

```
One One f = \forall x \ y. (f \ x = f \ y) \Rightarrow (x = y) // every point in rng f has at most 1 source Onto f = \forall y. \exists x \ y = f \ x . // every point in rng f has at least 1 source // also keep in mind that all function sin HOL are total
```

# extending HOL with new types

# Extending HOL with your own types

 The easiest way to do it is by using the ML function HOL\_datatype, e.g. :

```
Hol_datatype `RGB = RED | GREEN | BLUE`
```

```
Hol_datatype `MyBinTree = Leaf int | Node MyBinTree MyBinTree
```

which will make the new type for you, and *magically* also conjure a bunch of 'axioms' about this new type ©.

We'll take a closer look at the machinery behind this.

# Defining a new type by postulating it.

To do it from scratch we do:

```
new_type ("RGB",0);
```

• and then declare these constants:

```
new_constant ("RED", Type `:RGB`);
new_constant ("GREEN", Type `:RGB`);
new_constant ("BLUE", Type `:RGB`);
```

Is this ok now?

To make it exactly as you expected, you will need to impose some axionms on RGB...

```
Similarly: (\forall c: RGB. \ (c=RED) \ \lor \ (c=GREEN) \lor \ (c=BLUE) \ )
```

# Defining a recursive type, e.g. "num"

We declare a new type "num", and declare its constructors:

```
• 0 : num
• SUC : num→ num
```

We also need some axioms, e.g. Peano's :

```
(\forall n. \ 0 \neq SUC \ n) (\forall n. \ (n=0) \ \lor \ (\exists k. \ n = SUC \ k))
```

```
(\forall P. P 0 \land (\forall n. P n \Rightarrow P (SUC n))
\Rightarrow (\forall n. P n)
```

# Defining "num"

And this axiom too:

```
(\forall e \oplus.
(\exists f. (f 0 = e) \land (\forall n. f(SUC n) = n \oplus (f n))
```

which implies that equations like:

$$sum 0 = 0$$
  
$$sum (SUC n) = n + (sum n)$$

define a function with exactly the above properties.

#### But ...

- Just adding axioms can be dangerous. If they're inconsistent (contradicting) the whole HOL logic will break down.
- Contradicting type axioms imply that your type  $\tau$  is actually empty. So, e.g.  $\beta$ -reduction should <u>not</u> be possible:

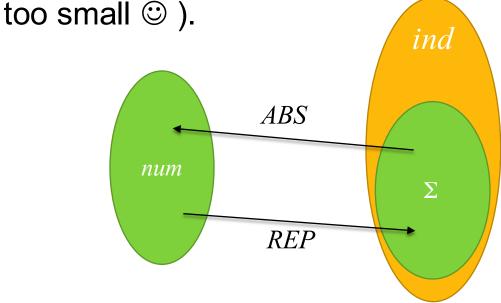
$$|-(\lambda x:\tau, P)|e| = P[e/x]$$

However HOL assumes types to be non-empty; its  $\beta$ -reduction will always succeed.

#### Definitional extension

 A safer way is to define a 'bijection' between your new type and an existing type.

At the moment the only candidate is "ind" ("bool" would be



 Now try to prove the type axioms from this bijection → safer!

# First characterize the $\Sigma$ part...

First, define σ as the function f:ind→ind that INFINITY\_AX says to exist. So, f satisfies:

$$ONE\_ONE f \land \sim ONTO f$$

- Take σ is "the model" of SUC at the ind-side.
- Similarly, model 0 by Z, defined by:

$$Z = @(\lambda z : ind. \sim (\exists x. z = \sigma x))$$

So, Z is some member of "ind" who has no f-source (or  $\sigma$  source).

# The $\Sigma$ part

 Define Σ as a subset of ind that admits num-induction. Prove it is not empty.

We'll encode  $\Sigma$  as a predicate ind $\rightarrow$ bool:

$$\sum x = (\forall P. \ PZ \land (\forall y. Py \Rightarrow P(\sigma y)) \Rightarrow Px)$$
Let's call such a "set" P

as a num-inductive set.

- So,  $\Sigma$  is the smallest num-inductive set.
- You get the num-induction principle on  $\Sigma$ .

# Defining "num"

 Now postulate that num can be obtained from Σ by a the following bijection. First declare these constants:

```
rep: num \rightarrow ind
 abs: ind \rightarrow num
```

Then add these axioms:

$$rep 0 = Z$$
  
 $rep (SUC n) = \sigma (rep n)$ 

(
$$\forall n:num. \ \Sigma(rep n)$$
)

$$(\forall n: num. \ abs(rep n) = n)$$

$$(\forall x:ind. \ \Sigma x \Rightarrow rep(abs \ x) = x)$$

# Now you can actually prove the orgininal axioms of num

• E.g. to prove 0 ≠ SUC n; we prove this with contradiction:

```
0 = SUC n
     rep 0 = rep (SUC n)
= // with axioms defining reps of 0 and SUC
     Z = \sigma (rep n)
\Rightarrow // def. Z
     F
```

#### **Automated**

 Fortunately all these steps are automated when you make a new type using the function Hol\_datatype. E.g.:

Hol\_datatype `NaturalNumber = ZERO | NEXT of NaturalNumber

#### will generate e.g:

$$NaturalNumber\_distinct: | - \forall n. \sim (ZERO = NEXT n)$$

*NaturalNumber\_induction:* 

 $|- \forall P. PZERO / (\forall n. Pn \Rightarrow P(NEXTn)) \Rightarrow (\forall n. Pn)$ 

# Manipulating Terms

# More involved manipulation of goals

- Imagine A,B ?- hyp
- I want to :
  - Rewrite hyp using A // ok
  - I know A implies A'; I want to use A' to reduce hyp
  - Rewrite B
- I only want to rewrite some part of the hypothesis

#### Theorem Continuation

(Old Desc 10.5)

Is an (ML) function of the form:

$$tc: (thm \rightarrow tactic) \rightarrow tactic$$

tc f typically takes one of the goal's assumptions (e.g. the first in the list), ASSUMEs it to a theorem t, and gives t to f. The latter inspects t, and uses the knowledge to produce a new tactic, which is then applied to the original goal.

 Useful when we need a finer control on using or transforming specific assumptions of the goal.

# Example

Contain " $(\forall n. P n \Rightarrow ok n)$ "

So, by MP we should be able to reduce to the one on the right:

But how?? With the tactic below:

assumptions ?- P 10

 $MATCH\_MP\_TAC : thm \rightarrow tactic$ 

FIRST\_ASSUM MATCH\_MP\_TAC

"assumptions?- ok 10"

 $FIRST\_ASSUM$ :  $(thm \rightarrow tactic) \rightarrow tactic$ 

#### Some other theorem continuations

- $POP\_ASSUM: (thm \rightarrow tactic) \rightarrow tactic$
- $ASSUM\_LIST$ :  $(thm\ list\ \rightarrow tactic)\ \rightarrow tactic$
- $EVERY\_ASSUM$ :  $(thm \rightarrow tactic) \rightarrow tactic$
- etc

#### **Variations**

 In general, exploiting higher order functions allows flexible programming of tactics. Another example:

$$RULE\_ASSUM\_TAC: (thm \rightarrow thm) \rightarrow tactic$$

RULE\_ASSUM f maps f on all assumptions of the target goal; it fails if f fails on one asm.

Example:

RULE\_ASSUM\_TAC (fn thm => SYM thm handle \_ => thm)

#### Conversion

(Old Desc Ch 9)

- Is a function to generate equality theorem  $\rightarrow$  |- t=u
- Type:  $conv = term \rightarrow thm$  such that if c:conv

then ct can produce

$$|-t| = something$$

- We have seen one: BETA\_CONV; but HOL has lots of conversions in its library.
- Used e.g. in rewrites, in particular rewrites on a specific part of the goal.

# Examples

• BETA\_CONV "( $\x$ x) 0"  $\rightarrow$  |-  $(\x$ xx) 0 = 0

• COOPER\_CONV "1>0  $\rightarrow$  |- 1>0 = T

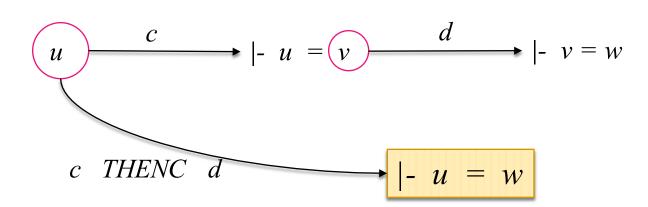
FUN\_EQ\_CONV "f=g" →

[- (f=g) = (!x. fx = gx)]

# Composing conversions

- The unit and zero: ALL\_CONV, NO\_CONV
- Sequencing: c THENC d

If c produces |-u=v|, d will take v; if d v then produces |-v=w|, the whole conversion will produce |-u=w|.



# Composing conversions

Try c; but if it fails then use d.

c ORELSEC d

Repeatedly apply c until it fails:

REPEATC c

# And tree walking combinators ...

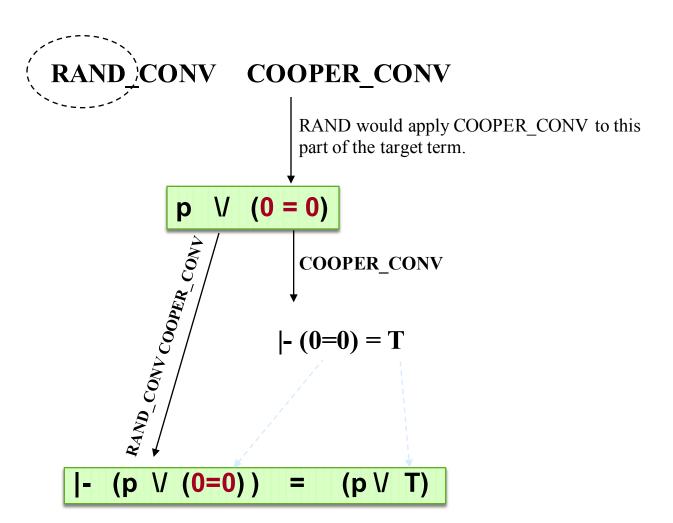
 Allows conversion to be applied to specific subtrees instead of the whole tree:

$$RAND\_CONV: conv \rightarrow conv$$

 $RAND\_CONV\ c\ t$  applies c to the 'operand' side of t.

- Similarly we also have RATOR\_CONV → apply c to the 'operator' side of t
- You can get to any part of a term by combining these kind of combinators.

## Example



# Tree walking combinators

- We also have combinators that operates a bit like in strategic programming ©
- Example: DEPTH\_CONV : conv → conv

DEPTH\_CONV c t will walk the tree t (bottom up, once, left to right) and repeatedly applies c on each node.

- Variant: ONCE\_DEPTH\_CONV
- Not enough? Write your own?

## Examples

- DEPTH CONV BETA CONV
  - → would do BETA-reduction on every node of t

- DEPTH CONV COOPER CONV
  - → use COOPER to simplify every arithmetics subexpression of t

e.g. 
$$1>0 \land p$$
  $\rightarrow$   $|-1>0 \land p = T \land p$ 

Though in this case it actually does not terminate because COOPER CONV on "T" produces "|- T=T"

Can be solved with CHANGED CONV.

# Turning a conversion to a tactic

You can lift a conv to a rule or a tactic ©

$$CONV\_RULE : conv \rightarrow rule$$

$$CONV\_TAC : conv \rightarrow tactic$$

• CONV\_TAC c "A?t"

would apply c on t; suppose this produces |-t=u|, this this theorem will be used to rewrite the goal to A? u.

• Example:  $?- \sim (f=g)$ 

To expand the inner functional equality to point-wise equality do:

CONV\_TAC (RAND\_CONV FUN\_EQ\_CONV)