

# Understanding the Dalvik Virtual Machine

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# Myself

was a Kaffe (world-frist open source JVM)  
Developer

- Threaded Interpreter, JIT, AWT for embedded system, robustness

was a GCJ (Java Frontend for GCC)  
and GNU Classpath Developer

is an AOSP (Android Open Source  
Project) contributror

- 45+ patches are merged officially
- bionic libc, ARM optimizations



# Goals of This Presentation

- Understand how a virtual machine works
- Analyze the Dalvik VM using existing tools
- VM hacking is really interesting!



# Environment Setup



# Reference Hardware and Host Configurations

- Android Phone: Nexus S
  - <http://www.google.com/phone/detail/nexus-s>
  - Install CyanogenMod (**CM9**)  
<http://www.cyanogenmod.com/>
- Host: Lenovo x200
  - Ubuntu Linux 11.10+ (32-bit)
- AOSP/CM9 source code: 4.0.3
- Follow the instructions in Wiki  
[http://wiki.cyanogenmod.com/wiki/Building\\_from\\_source](http://wiki.cyanogenmod.com/wiki/Building_from_source)



# Build CyanogenMod from Source

- cyanogen-ics\$ **source build/envsetup.sh**  
including device/moto/stingray/vendorsetup.sh  
including device/moto/wingray/vendorsetup.sh  
including device/samsung/maguro/vendorsetup.sh  
including device/samsung/toro/vendorsetup.sh  
including device/ti/panda/vendorsetup.sh  
including vendor/cm/vendorsetup.sh  
including sdk/bash\_completion/adb.bash
- cyanogen-ics\$ **lunch**  
You're building on Linux  
Lunch menu... pick a combo:  
    1. full-eng  
    ...  
    8. full\_panda-eng  
    9. cm\_crespo-userdebug

Target: **cm\_crespo**  
Configuration: **userdebug**



# Nexus S Device Configurations

- Which would you like? [full-eng] 9

=====

```
PLATFORM_VERSION_CODENAME=REL
```

```
PLATFORM_VERSION=4.0.3
```

```
TARGET_PRODUCT=cm_crespo
```

```
TARGET_BUILD_VARIANT=userdebug
```

```
TARGET_BUILD_TYPE=release
```

```
TARGET_BUILD_APPS=
```

```
TARGET_ARCH=arm
```

```
TARGET_ARCH_VARIANT=armv7-a-neon
```

```
HOST_ARCH=x86
```

```
HOST_OS=linux
```

```
HOST_BUILD_TYPE=release
```

```
BUILD_ID=MR1
```

=====





# Build Dalvik VM

## (ARM Target + x86 Host)

- cyanogen-ics\$ **make dalvikvm dalvik**

=====

PLATFORM\_VERSION\_CODENAME=REL

PLATFORM\_VERSION=4.0.3

TARGET\_PRODUCT=cm\_crespo

TARGET\_BUILD\_VARIANT=userdebug

TARGET\_BUILD\_TYPE=release

...

**libdvm.so is the VM engine**

Install: out/host/linux-x86/lib/libdvm.so

Install: out/target/product/crespo/system/bin/dalvikvm

host C++: dalvikvm <= dalvik/dalvikvm/Main.cpp

host Executable: dalvikvm Install: out/host/linux-x86/bin/dalvikvm

Copy: dalvik (out/host/linux-x86/obj/EXECUTABLES/dalvik\_intermediates/dalvik)

Install: out/host/linux-x86/bin/dalvik

**“dalvik” is a shell script to launch dvm**



# Dalvik VM requires core APIs for runtime

```
cyanogen-ics$ out/host/linux-x86/bin/dalvik
```

```
E( 6983) No valid entries found in bootclasspath  
'/tmp/cyanogen-ics/out/host/linux-x86/framework/core-  
hostdex.jar:/tmp/cyanogen-ics/out/host/linux-  
x86/framework/bouncycastle-hostdex.jar:/tmp/cyanogen-  
ics/out/host/linux-x86/framework/apache-xml-  
hostdex.jar' (dalvikvm)
```

```
E( 6983) VM aborting (dalvikvm)
```

...

```
out/host/linux-x86/bin/dalvik: line 28: 6983  
Segmentation fault (core dumped)
```

```
ANDROID_PRINTF_LOG=tag ANDROID_LOG_TAGS=""
```

```
ANDROID_DATA=/tmp/android-data
```

```
ANDROID_ROOT=$ANDROID_BUILD_TOP/out/host/linux-x86
```

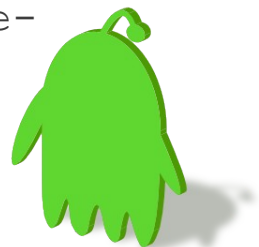
```
LD_LIBRARY_PATH=$ANDROID_BUILD_TOP/out/host/linux-x86/lib
```

```
$ANDROID_BUILD_TOP/out/host/linux-x86/bin/dalvikvm -Xbootclasspath:
```

```
$ANDROID_BUILD_TOP/out/host/linux-x86/framework/core-hostdex.jar:
```

```
$ANDROID_BUILD_TOP/out/host/linux-x86/framework/bouncycastle-  
hostdex.jar: \
```

```
$ANDROID_BUILD_TOP/out/host/linux-x86/framework/apache-xml-  
hostdex.jar $*
```



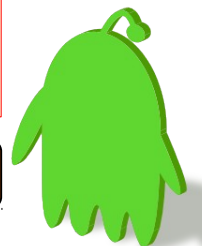
# Satisfy Dalvik Runtime Dependency

```
cyanogen-ics$ make bouncycastle bouncycastle-hostdex  
cyanogen-ics$ make sqlite-jdbc mockwebserver  
cyanogen-ics$ make sqlite-jdbc-host  
cyanogen-ics$ make mockwebserver-hostdex  
cyanogen-ics$ make apache-xml-hostdex  
cyanogen-ics$ (cd libcore && make)  
cyanogen-ics$ out/host/linux-x86/bin/dalvik  
...
```

```
I(19820) Unable to open or create cache for  
/tmp/cyanogen-ics/out/host/linux-x86/framework/core-  
hostdex.jar (/data/dalvik-cache/tmp@cyanogen-  
ics@out@host@linux-x86@framework@core-  
hostdex.jar@classes.dex) (dalvikvm)
```

```
E(19820) Could not stat dex cache directory  
'/data/dalvik-cache': No such file or directory  
(dalvikvm)
```

Extra space for "dalvik-cache" is required.



# Host-side Dalvik VM

```
cyanogen-ics$ make dexopt  
cyanogen-ics$ sudo mkdir -p /data/dalvik-cache  
cyanogen-ics$ sudo chmod 777 /data/dalvik-cache  
cyanogen-ics$ out/host/linux-x86/bin/dalvik
```

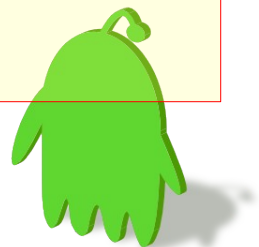
Dalvik VM requires a class name

Finally, host-side dalvik vm is ready.  
It just complain no given class.

```
cyanogen-ics$ ls /data/dalvik-cache/
```

```
tmp@cyanogen-ics@out@host@linux-x86@framework@apache-xml-  
hostdex.jar@classes.dex  
tmp@cyanogen-ics@out@host@linux-x86@framework@bouncycastle-  
hostdex.jar@classes.dex  
tmp@cyanogen-ics@out@host@linux-x86@framework@core-  
hostdex.jar@classes.dex
```

Optimized DEX generated by "dexopt"



# Agenda

- (1) How Virtual Machine Works
- (2) Dalvik VM
- (3) Utilities



# How Virtual Machine Works



# What is Virtual Machine

- A **virtual machine (VM)** is a software implementation of a machine (i.e. a computer) that executes programs like a physical machine.
- Basic parts
  - A set of registers
  - A stack (optional)
  - An execution environment
  - A garbage-collected heap
  - A constant pool
  - A method storage area
  - An instruction set



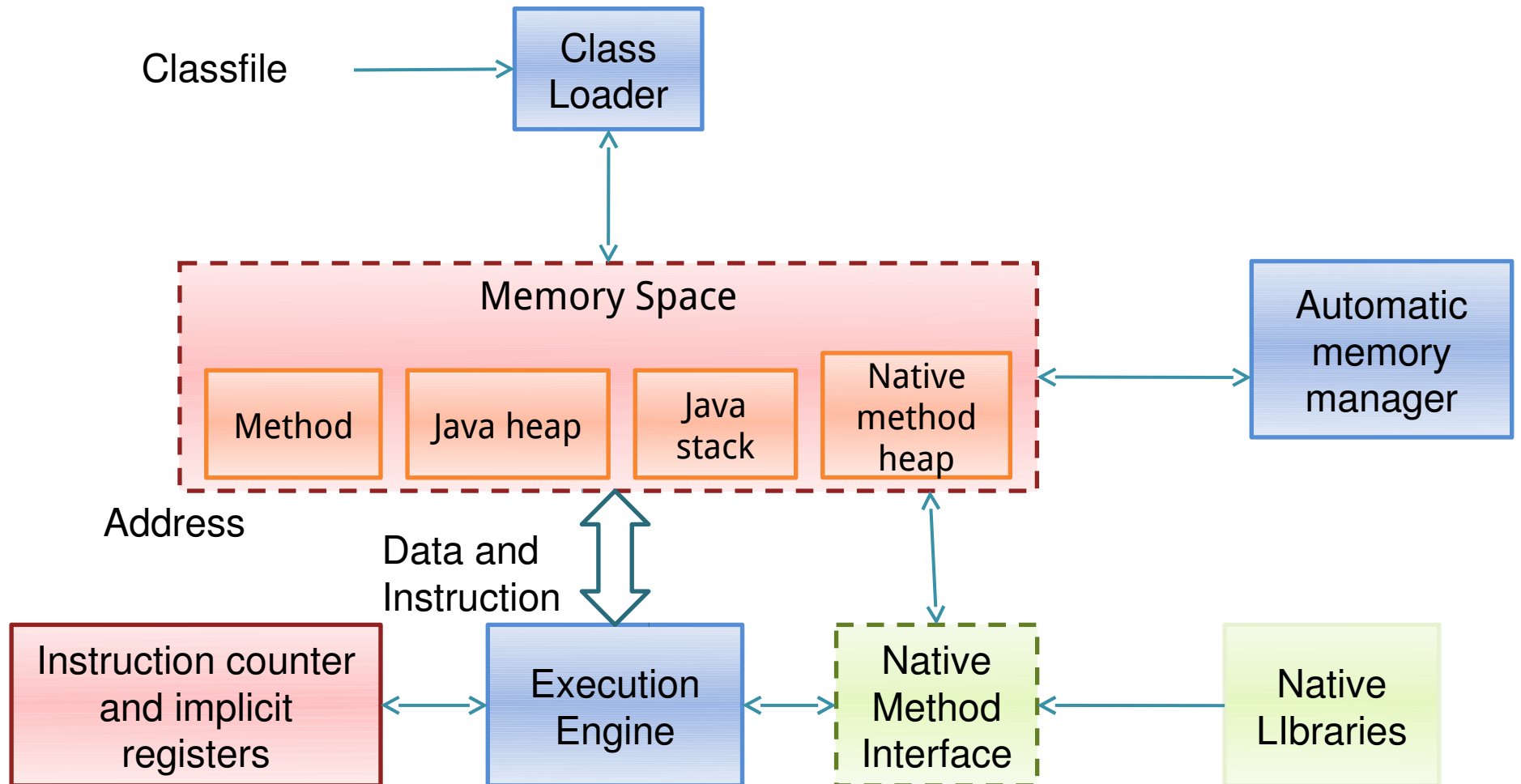
# VM Types

- Based on its functionality
  - System Virtual Machine  
supports execution of a complete OS
  - Process Virtual Machine  
supports execution of a single process
- Based on its architecture
  - Stack based VM (uses instructions to load in a stack for execution)
  - Register based VM (uses instructions to be encoded in source and destination registers)

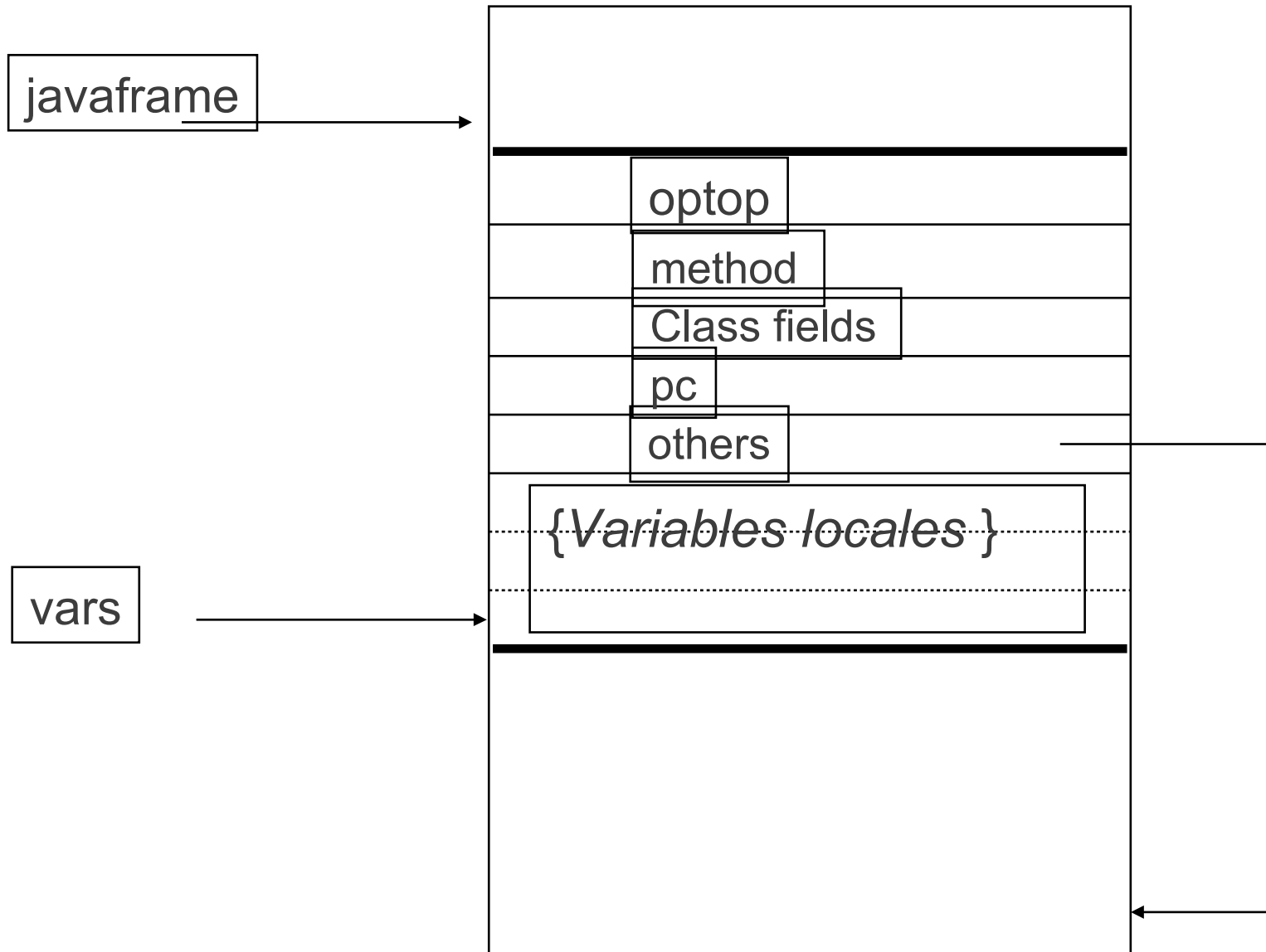




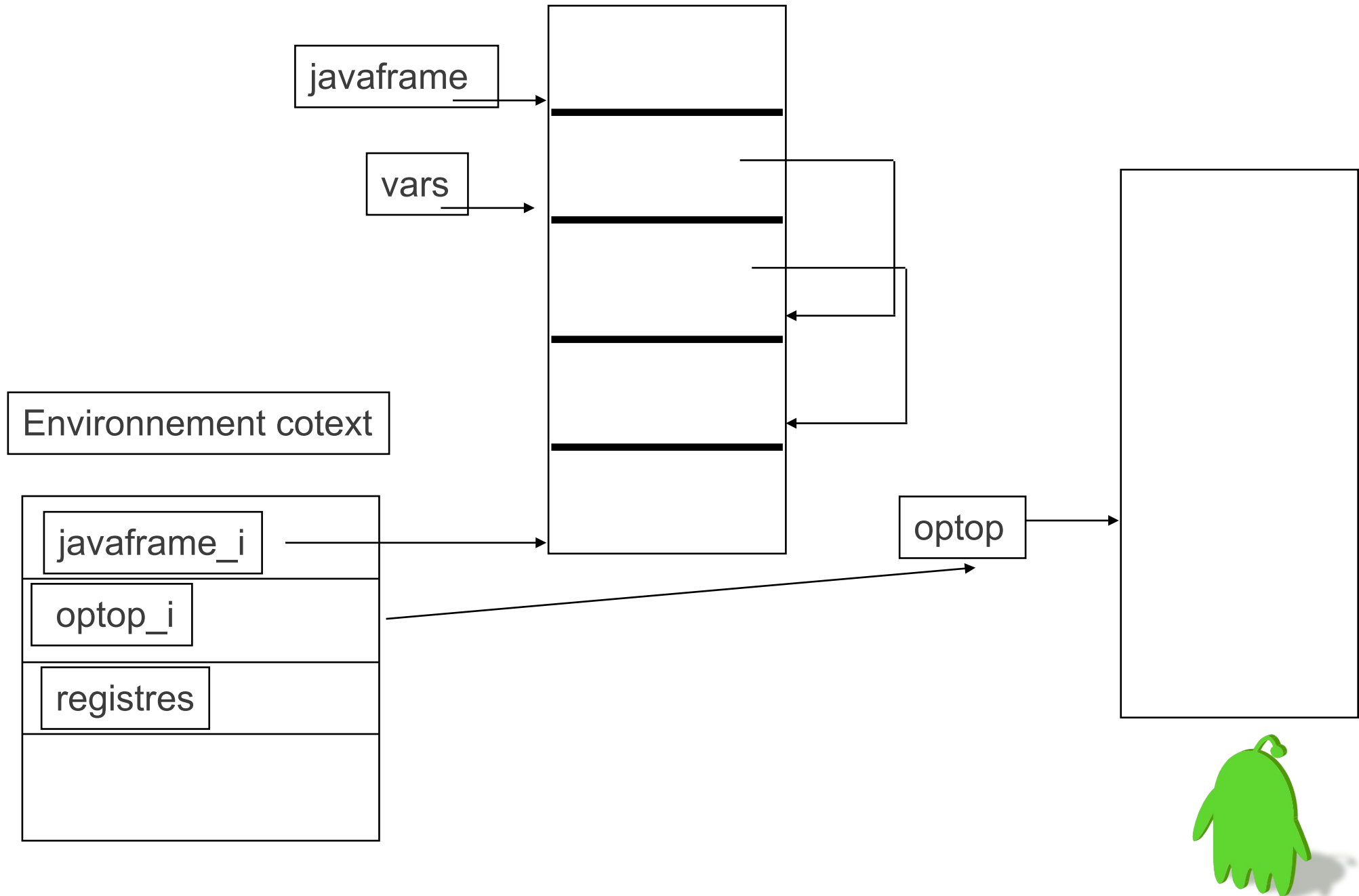
# JVM Conceptual Architecture



# Segment



# Segment



# Example: JVM

- Example Java source: Foo.java

```
class Foo {  
    public static void main(String[] args) {  
        System.out.println("Hello, world");  
    }  
    int calc(int a, int b) {  
        int c = 2 * (a + b);  
        return c;  
    }  
}
```



# Example: JVM

```
$ javac Foo.java
```

```
$ javap -v Foo
```

```
Compiled from "Foo.java"
```

```
class Foo extends java.lang.Object
```

```
...
```

```
int calc(int, int);
```

```
Code:
```

```
Stack=3, Locals=4, Args_size=3
```

```
0:   iconst_2
```

```
1:   iload_1
```

```
2:   iload_2
```

```
3:   iadd
```

```
4:   imul
```

```
5:   istore_3
```

```
6:   iload_3
```

```
7:   ireturn
```



# Bytecode execution

**c := 2 \* (a + b)**

- Example bytecode
  - **iconst 2**
  - **iload a**
  - **iload b**
  - **iadd**
  - **imul**
  - **istore c**



- Example bytecode:

→ `iconst 2`

`iload a`

`iload b`

`iadd`

`imul`

`istore c`

a	42
b	7
c	0

2

- Computes:  $c := 2 * (a + b)$



- Example:

```
iconst 2  
→ iload a  
  iload b  
  iadd  
  imul  
  istore c
```

a	42
b	7
c	0

42
2

- Computes:  $c := 2 * (a + b)$





- Example:

```
iconst 2  
iload a  
→ iload b  
iadd  
imul  
istore c
```

a	42
b	7
c	0

7
42
2

- Computes:  $c := 2 * (a + b)$



- Example:

```
iconst 2  
iload a  
iload b  
→ iadd  
imul  
istore c
```

a	42
b	7
c	0

49
2

- Computes:  $c := 2 * (a + b)$



- Example:

`iconst 2`

`iload a`

`iload b`

`iadd`

→ `imul`

`istore c`

a	42
b	7
c	0

98

- Computes:  $c := 2 * (a + b)$



- Example:

`iconst 2`

`iload a`

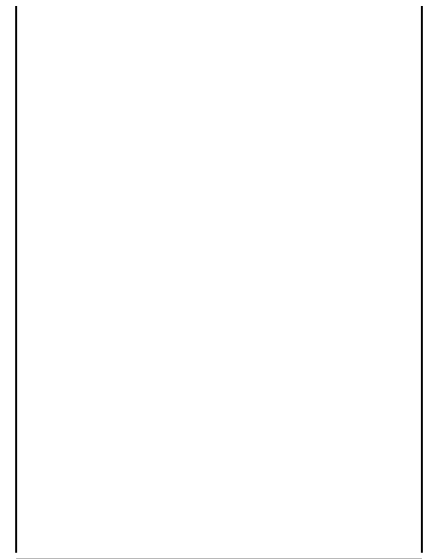
`iload b`

`iadd`

`imul`

 `istore c`

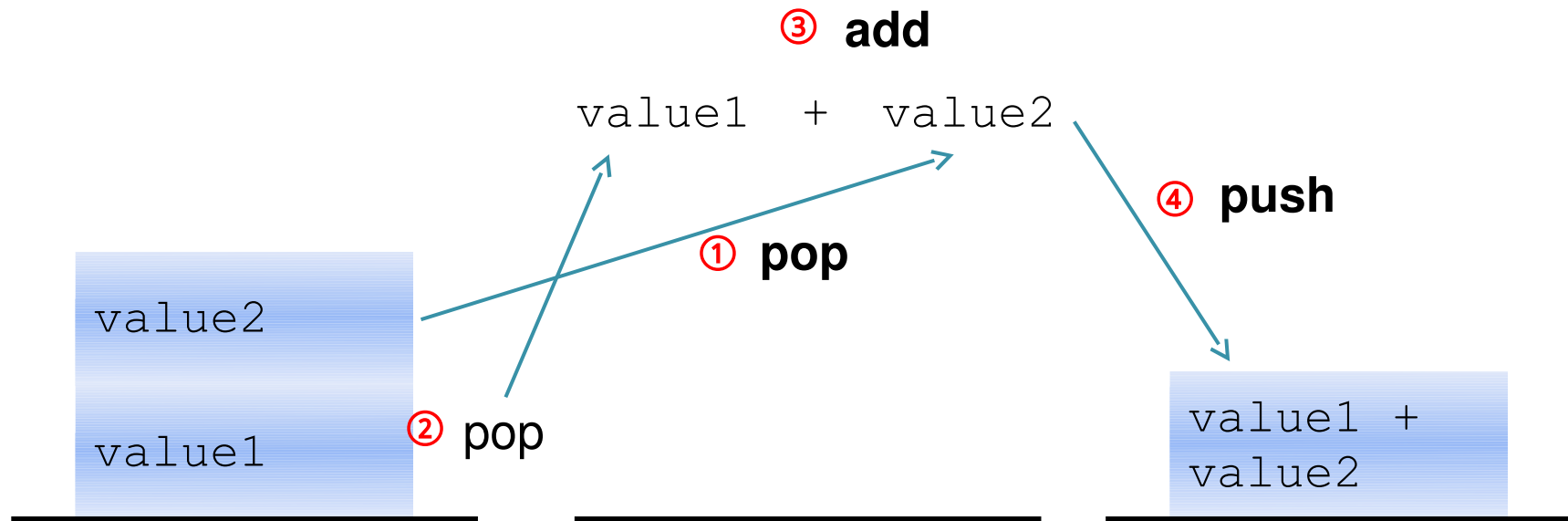
a	42
b	7
c	98



- Computes: `c := 2 * (a + b)`

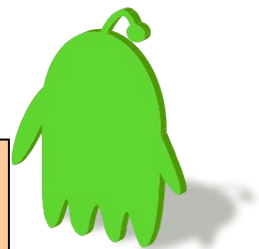


# iadd in specification and implementation



```
case SVM_INSTRUCTION_IADD: {  
    /* instruction body */  
    jint value1 = stack[stack_size - 2].jint;           ②  
    jint value2 = stack[--stack_size].jint;             ①  
    stack[stack_size - 1].jint = value1 +               ④  
                                   value2;              ③  
  
    /* dispatch */  
    goto dispatch;  
}
```

Taken from SableVM  
sablevm/src/libstablevm/instructions\_switch.c



# Example: Dalvik VM

```
$ dx --dex --output=Foo.dex Foo.class
```

```
$ dexdump -d Foo.dex
```

```
Processing 'Foo.dex'...
```

```
Opened 'Foo.dex', DEX version '035'
```

```
...
```

```
Virtual methods      -
```

```
    #0                : (in LFoo;)
```

```
        name          : 'calc'
```

```
        type           : '(II)I'
```

```
...
```

```
00018c:                |[00018c] Foo.calc:(II)I
```

```
00019c: 9000 0203        |0000: add-int v0, v2, v3
```

```
0001a0: da00 0002        |0002: mul-int/lit8 v0, v0, #int 2
```

```
0001a4: 0f00             |0004: return v0
```



# Java bytecode vs. Dalvik bytecode

```
public int method(int i1, int i2)
{
    int i3 = i1 * i2;
    return i3 * 2;
}
```

(stack vs. register)

.var 0 is "this"  
.var 1 is argument #1  
.var 2 is argument #2

this: v1 (Ltest2;)  
parameter[0] : v2 (I)  
parameter[1] : v3 (I)

```
method public method(II)I
    iload_1
    iload_2
    imul
    istore_3
    iload_3
    iconst_2
    imul
    ireturn
.end method
```

Java

```
.method public method(II)I
    mul-int v0,v2,v3
    mul-int/lit-8 v0,v0,2
    return v0
.end method
```

Dalvik

# Dalvik is register based

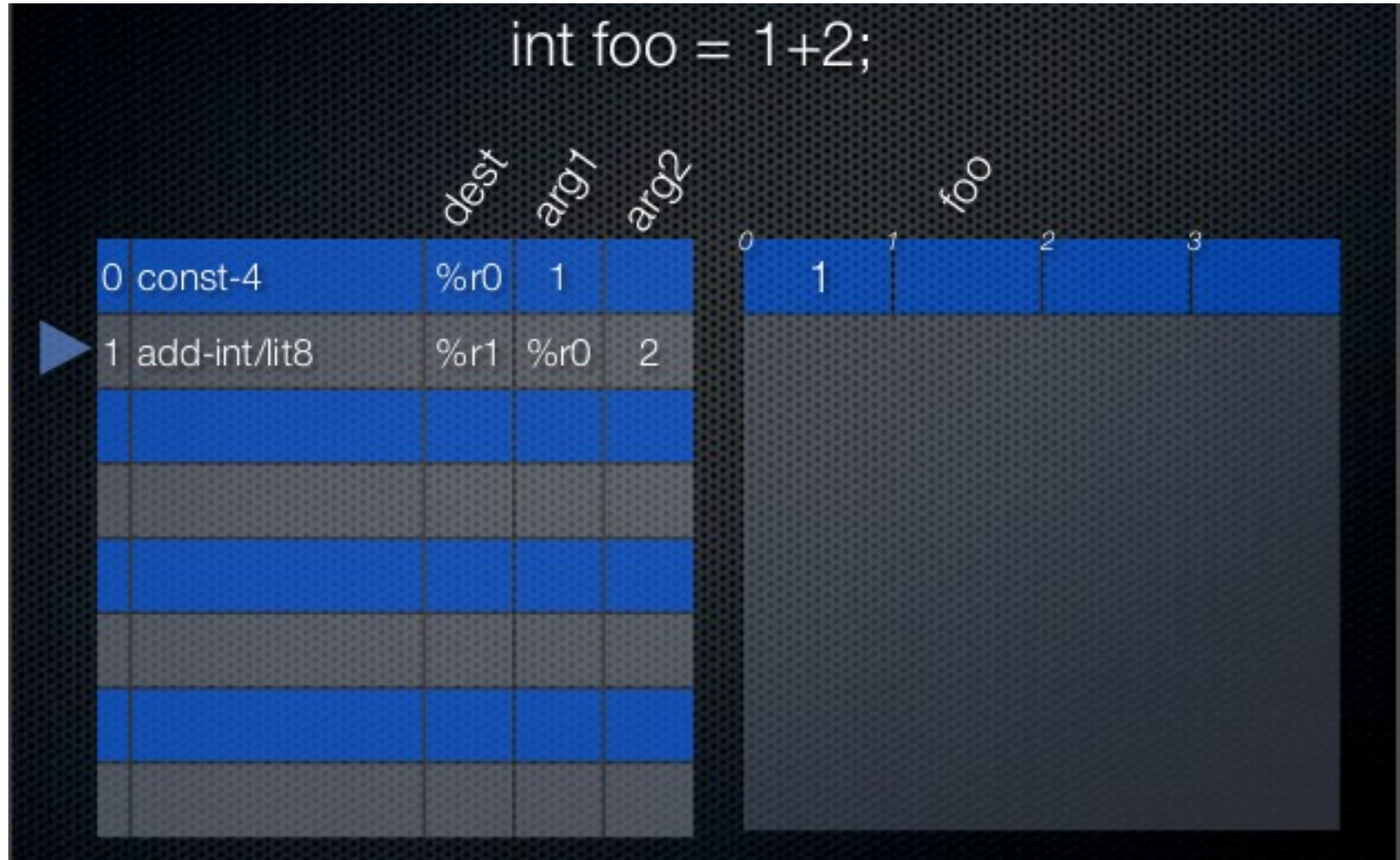


- Dalvik uses 3-operand form, which is what a processor actually uses





# Dalvik is register based



- To execute "int foo = 1 + 2", the VM does:
  - const-4 to store 1 into register 0
  - add-int/lit8 to sum the value in register 0 (1) with the literal 2 and store the result into register 1 -- namely "foo"



# Dalvik is register based

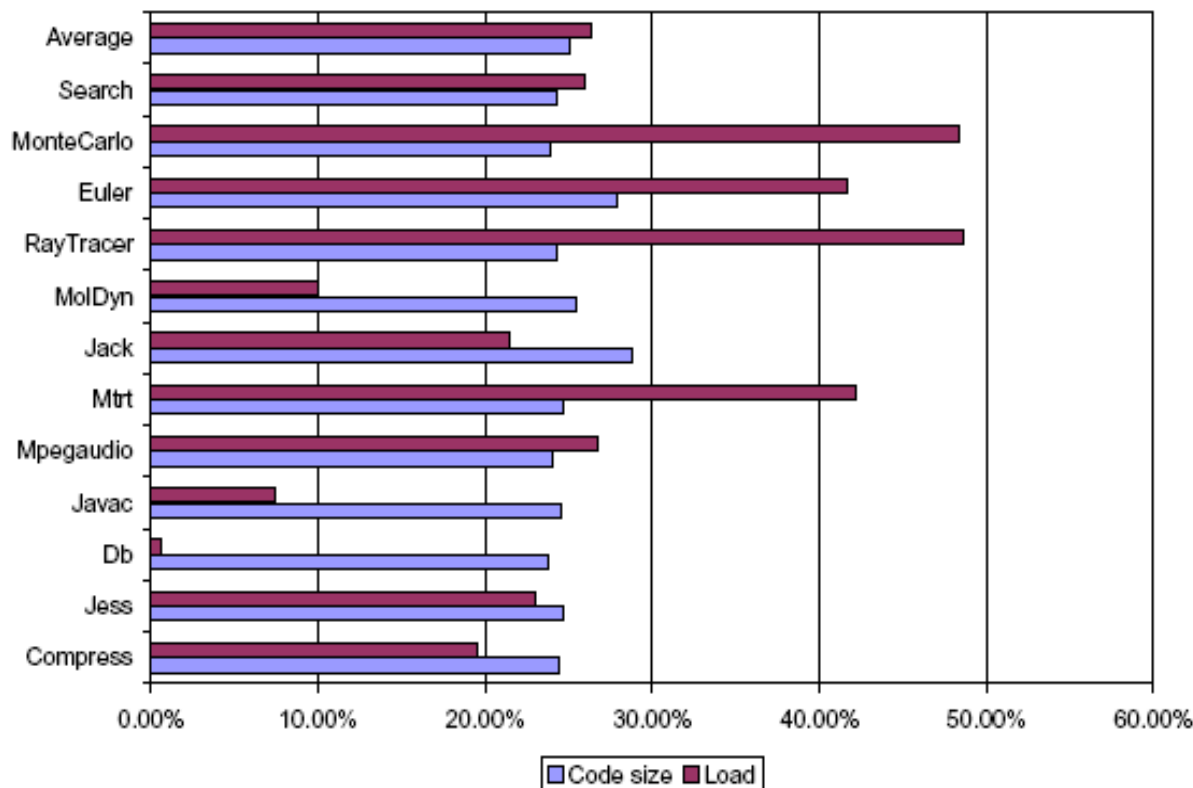


- This is only 2 dispatches, but Dalvik byte code is measured into 2-byte units
- Java byte code was 4-bytes, the Dalvik byte code is actually 6-bytes



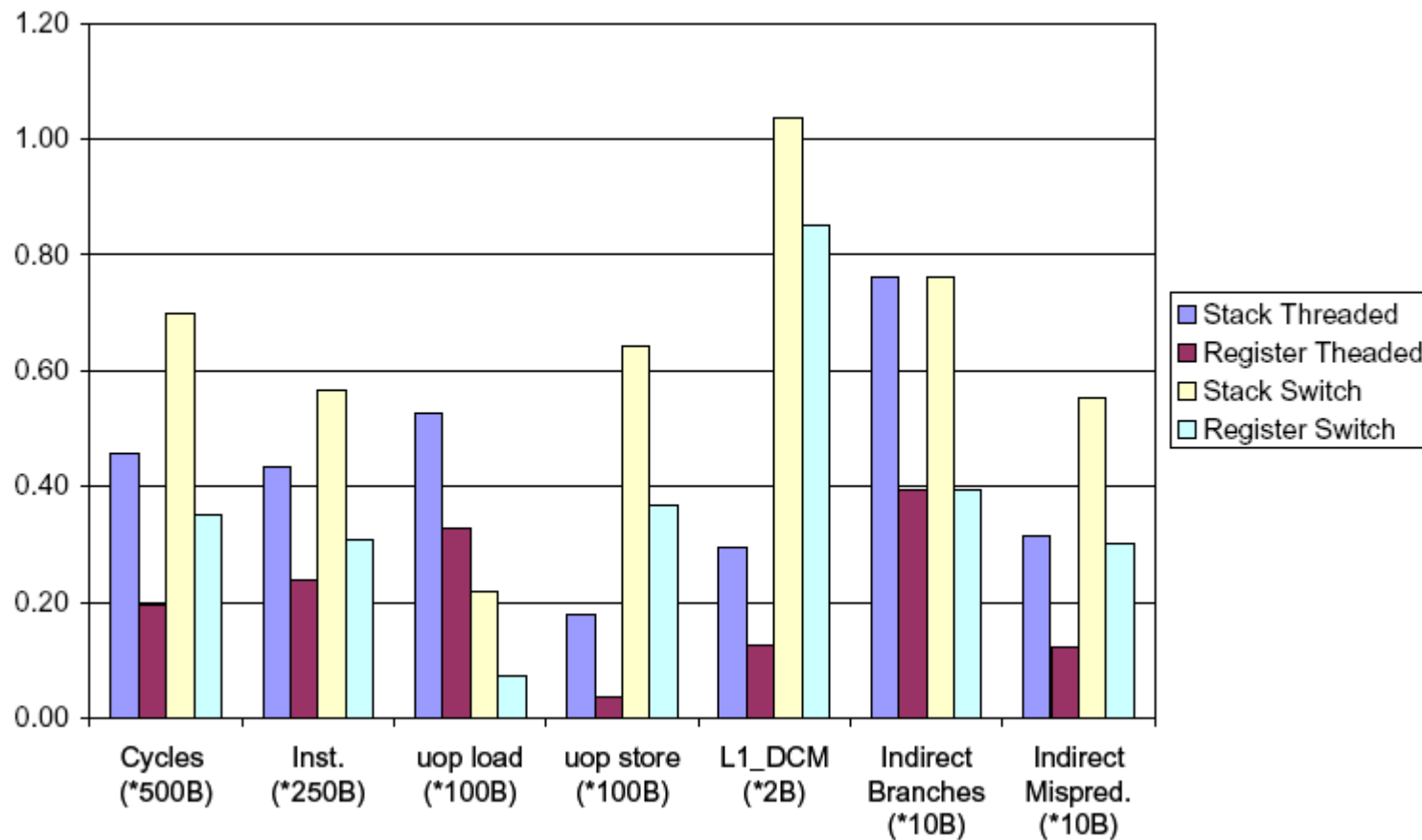
# Code Size

- Generally speaking, the code size of register-based VM instructions is larger than that of the corresponding stack VM instructions
- On average, the register code is 25.05% larger than the original stack code



# Execution Time

- Register architecture requires an average of 47% fewer executed VM instructions



Source: Virtual Machine Showdown: Stack Versus Registers  
Yunhe Shi, David Gregg, Andrew Beatty, M. Anton Ertl



- Instruction translation
  - one Dalvik instruction  $\rightarrow$  multiple Java instructions

Dalvik	Java
<code>add-int <math>d_0, s_0, s_1</math></code>	<code>iload <math>s'_0</math></code> <code>iload <math>s'_1</math></code> <code>iadd</code> <code>istore <math>d'_0</math></code>



# Dalvik VM Execution on ARM Target

```
$ dx --dex --output=foo.jar Foo.class
$ adb push foo.jar /data/local/
$ adb shell dalvikvm \
    -classpath /data/local/foo.jar Foo
Hello, world
```



# Instruction Dispatch

```
static void interp(const char* s) {  
    for (;;) {  
        switch (*(s++)) {  
            case 'a': printf ("Hello"); break;  
            case 'b': printf (" "); break;  
            case 'c': printf ("world!"); break;  
            case 'd': printf ("\n"); break;  
            case 'e': return;  
        }  
    }  
}  
int main (int argc, char** argv) {  
    interp("abcbde");  
}
```





# Computed GOTO

(in GCC's way)

```
#define DISPATCH() \  
    { goto *op_table[*((s)++) - 'a']; }  
  
static void interp(const char* s) {  
    static void* op_table[] =  
        { &op_a, &op_b, &op_c, &op_d, &op_e };  
    DISPATCH();  
    op_a: printf("Hello"); DISPATCH();  
    op_b: printf (" "); DISPATCH();  
    op_c: printf ("world!"); DISPATCH();  
    op_d: printf ("\n"); DISPATCH();  
    op_e: return;  
}
```





# Best Dispatch Implementation

- The computed GOTO can be further optimized if we re-write it in assembly.
- The code above uses typically two memory reads. We can lay out all our bytecodes in memory in such a way that each bytecode takes exactly the same amount of memory - this way we can calculate the address directly from the index.
- Added benefit is the cacheline warm-up for frequently used bytecodes.



# Class 文件所记录的信息

- 结构信息

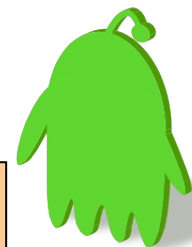
- Class 文件格式版本号
- 各部分的数量与大小

- 元数据

- 类 / 继承的超类 / 实现的接口的声明信息
- 域与方法声明信息
- 常量池
- 用户自定义的、 [RetentionPolicy](#) 为 CLASS 或 RUNTIME 的注解
- 一对应Java 源代码中“声明”与“常量”对应的信息

- 方法信息

- 字节码
- 异常处理器表
- 操作数栈与局部变量区大小
- 操作数栈的类型记录 ( StackMapTable , Java 6 开始 )
- 调试用符号信息 ( 如LineNumberTable、LocalVariableTable )
- 一对应Java 源代码中“语句”与“表达式”对应的信息



# Class 文件例子

```
import java.io.Serializable;

public class Foo implements Serializable {
    public void bar() {
        int i = 31;
        if (i > 0) {
            int j = 42;
        }
    }
}
```

结构：  
声明与常量

代码：  
语句与表达式

输出调试符号信息

编译 Java 源码

```
javac -g Foo.java
```

反编译 Class 文件

```
javap -c -s -l -verbose Foo
```



# Class 文件例子

方法  
元数据

```
public Foo();  
Signature: ()V  
LineNumberTable:  
  line 2: 0
```

```
LocalVariableTable:  
  Start  Length  Slot  Name  Signature  
  0       5       0   this    LFoo;
```

字节码

```
Code:  
Stack=1, Locals=1, Args_size=1  
0:   aload_0  
1:   invokespecial    #1; //Method java/lang/Object."<init>":()V  
4:   return
```



# Class 文件例子

方法  
元数据

```
public void bar();  
Signature: ()V  
LineNumberTable:  
  line 4: 0  
  line 5: 3  
  line 6: 7  
  line 8: 10
```

```
LocalVariableTable:  
  Start Length Slot Name  
Signature  
  10      0      2    j      I  
  0      11      0   this
```

```
LFoo;
```

```
  3      8      1    i      I
```

```
StackMapTable: number_of_entries = 1  
  frame_type = 252 /* append */  
  offset_delta = 10  
  locals = [ int ]
```

Java 6 开始，有分支控制流的方法会带有 StackMapTable，记录每个基本块开头处操作数栈的类型状态

字节码

```
Code:  
Stack=1, Locals=3, Args_size=1  
0:  bipush    31  
2:  istore_1  
3:  iload_1  
4:  ifle 10  
7:  bipush    42  
9:  istore_2  
10: return
```



# 基于栈与基于寄存器的体系结构的区别

foo()代码

偏移量	字节码	助记符
0	04	iconst_1
1	3B	istore_0
2	05	iconst_2
3	3C	istore_1
4	1A	iload_0
5	1B	iload_1
6	60	iadd
7	08	iconst_5
8	68	imul
9	3D	istore_2
A	B1	return

程序计数器 (PC)

操作数栈

栈顶→

局部变量区

0	
1	
2	

```
public class Demo {  
    public static void foo() {  
        int a = 1;  
        int b = 2;  
        int c = (a + b) * 5;  
    }  
}
```

概念中的 Dalvik 虚拟机

foo()代码

偏移量	字节码	助记符
0	1210	const/4 v0, #int 1
1	1221	const/4 v1, #int 2
2	B010	add-int/2addr v0, v1
3	DA00 0005	mul-int/lit8 v0, v0, #int 5
5	0E00	return-void

程序计数器 (PC)

虚拟寄存器

v0	
v1	

概念中的 Java 虚拟机



# Dalvik VM



- Dalvik architecture is register based
- Optimized to use less space
- Execute its own Dalvik byte code rather than Java byte code
- Class Library taken from Apache Harmony
  - A compatible, independent implementation of the Java SE 5 JDK under the Apache License v2
  - A community-developed modular runtime (VM and class library) architecture. (deprecated now)

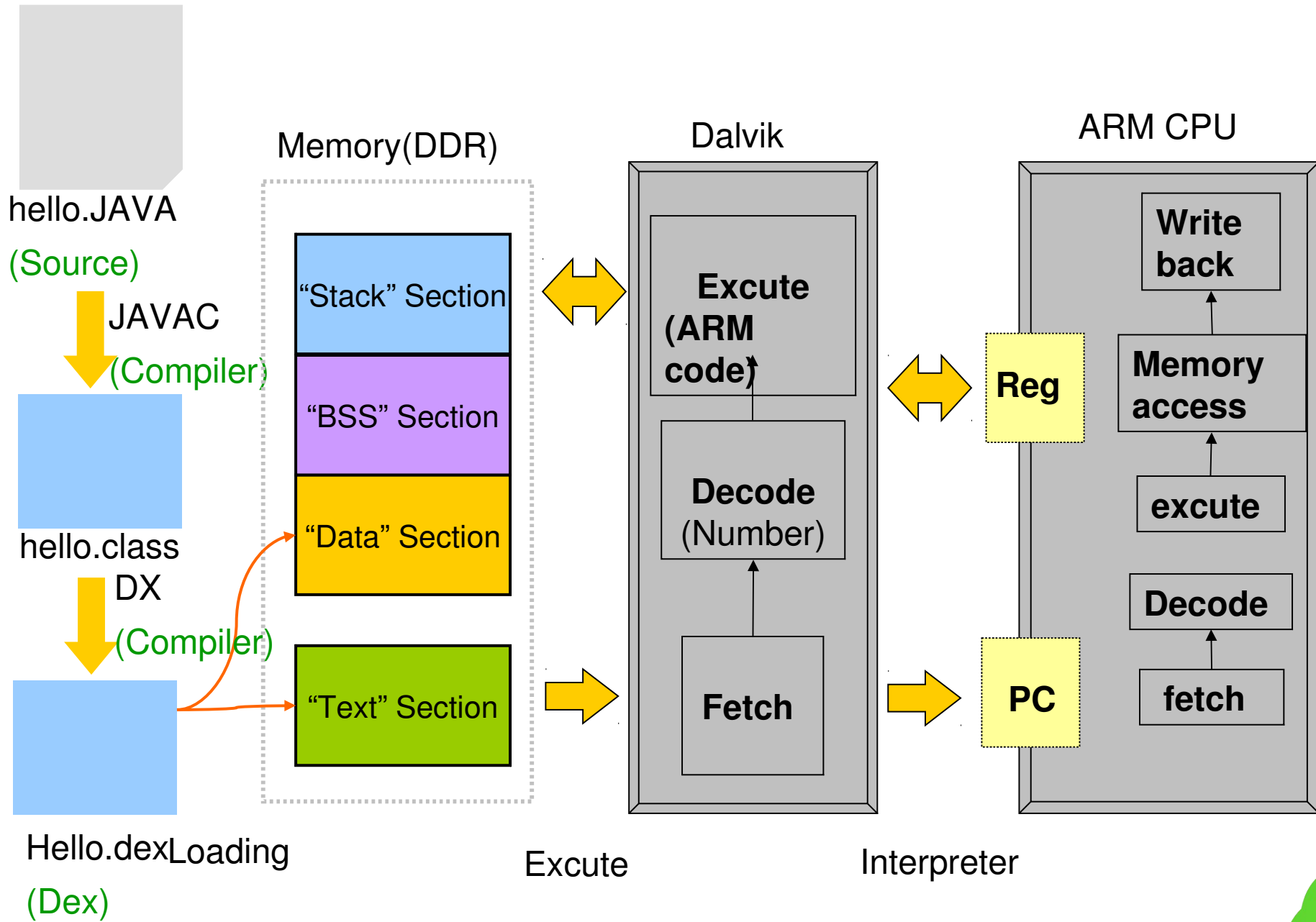


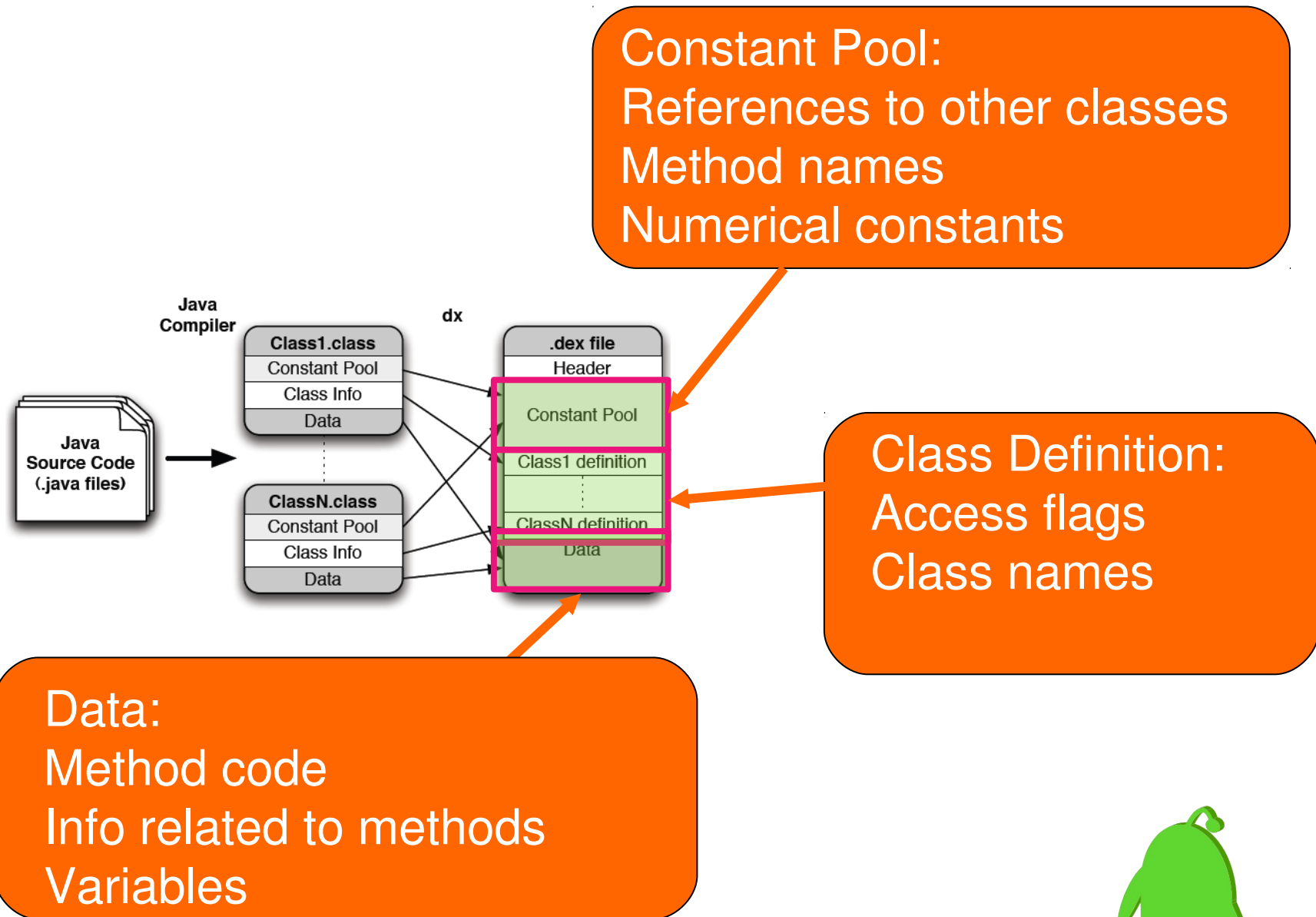


# Reasons to Choose Dalvik

- Dalvik (Register based) take average 47 % less executed VM instruction then JVM (Stack based).
- Register code is 25% larger than the corresponding stack code.
- This increased cost of fetching more VM instructions due to larger code size involves only 1.07% extra real machine loads per VM instruction. Which is negligible.
- Some Marketing Reasons too
  - Oracle lawsuit against Google







# Dalvik Architecture

- Register architecture
- $2^{16}$  available registers
- Instruction set has 218 opcodes
  - JVM: 200 opcodes
- 30% fewer instructions, but 35% larger code size (bytes) compared to JVM



# Constant Pool

- Dalvik
  - Single pool
  - dx eliminates some constants by inlining their values directly into the bytecode
- JVM
  - Multiple



# Primitive Types

- Ambiguous primitive types
  - Dalvik
    - int/float, long/double use the same opcodes
    - does not distinguish : int/float, long/double, 0/null.
  - JVM
    - Different: JVM is typed
- Null references
  - Dalvik
    - Not specify a null type
    - Use zero value



# Object Reference

- Comparison of object references
- Dalvik
  - Comparison between two integers
  - Comparison of integer and zero
- JVM
  - if\_acmpeq / if\_acmpne
  - ifnull / ifnonnull



- Storage of primitive types in arrays
- Dalvik
  - Ambiguous opcodes
  - aget for int/float, aget-wide for long/double

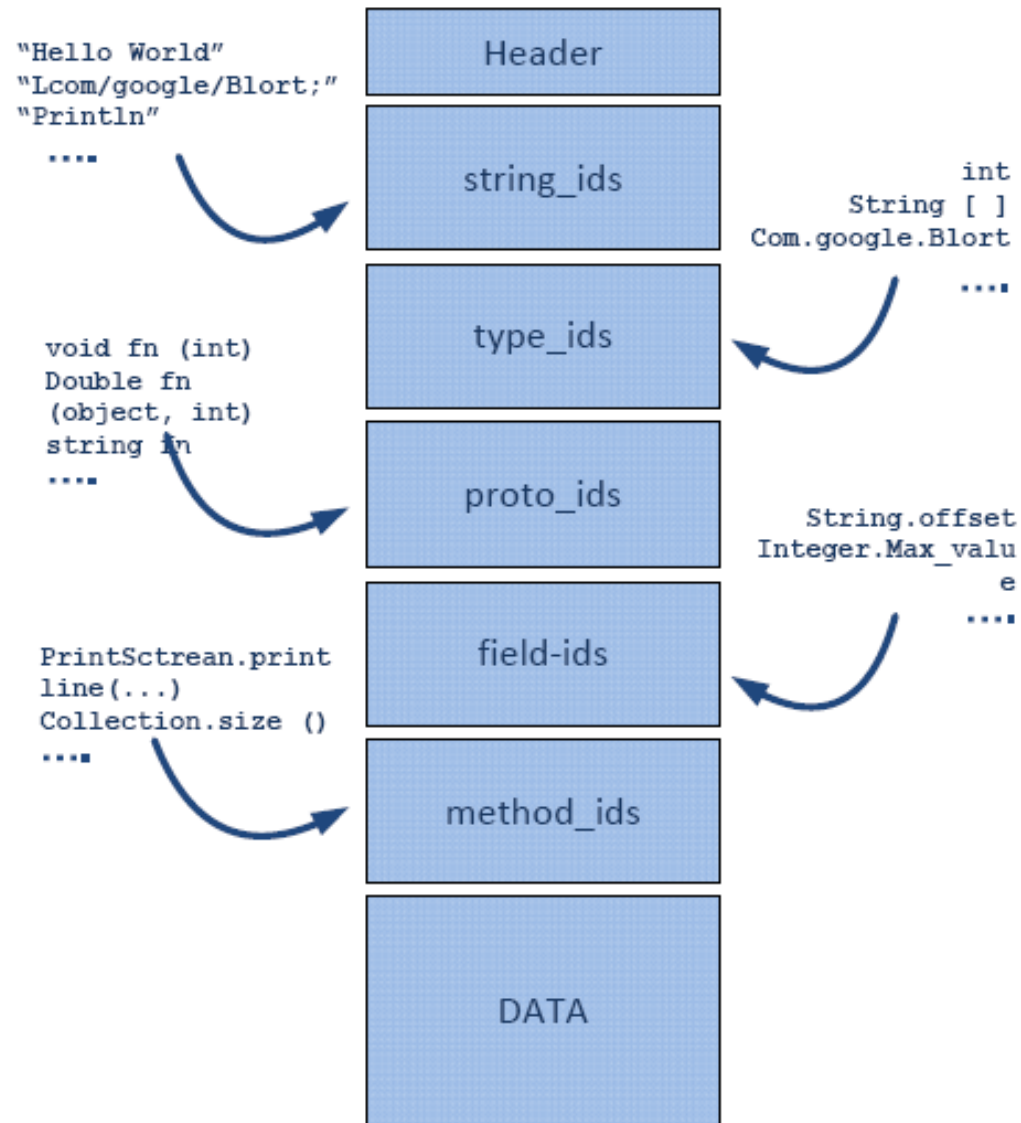




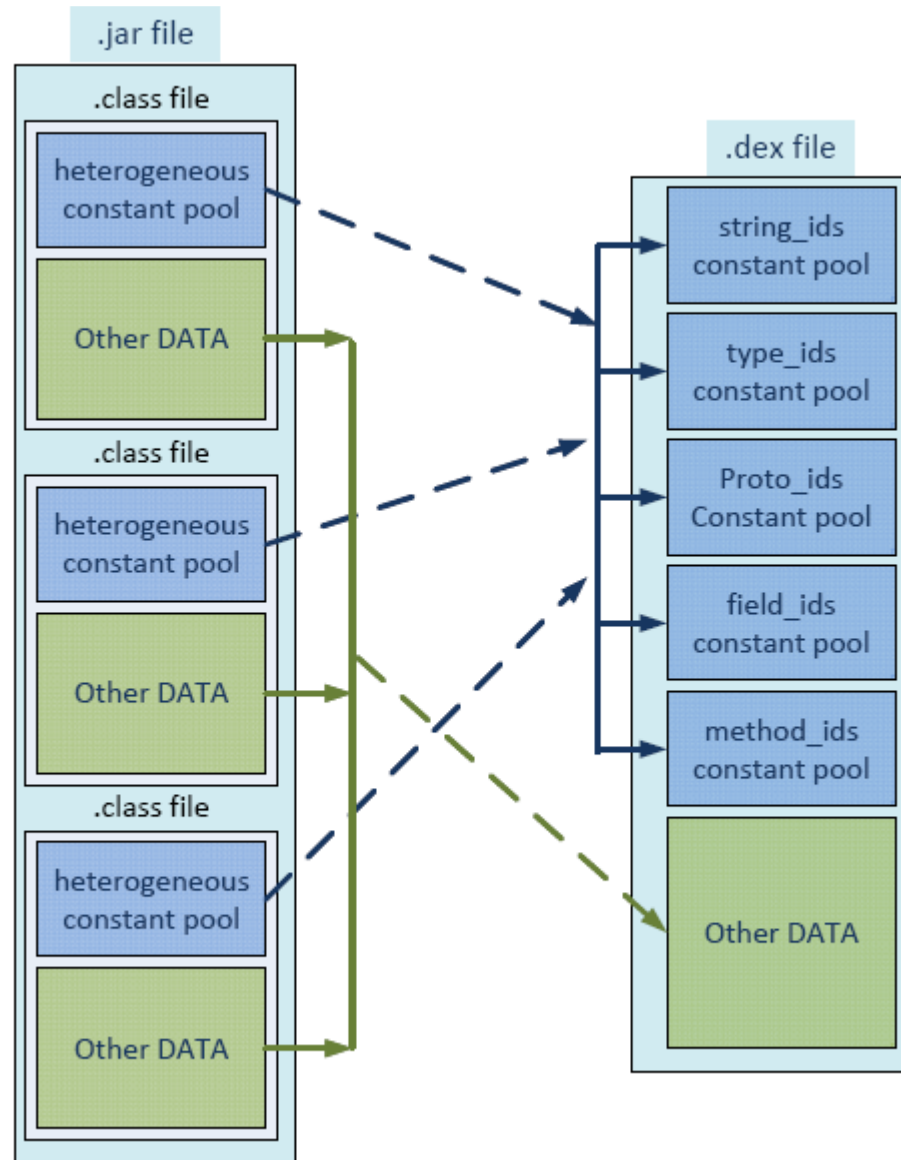
- Dalvik uses annotation to store:
  - signature
  - inner class
  - Interface
  - Throw statement.
- Dalvik is more compact, average of 30% less instructions than JVM.



# DEX File Anatomy



# Map Java bytecode to Dalvik bytecode



# Java bytecode vs. Dalvik bytecode

```
public class Demo {  
    private static final char[] DATA = {  
        'A','m','b','e','r',  
        ' ','u','s','e','s',' ',  
        'A','n','d','r','o','i','d'  
    };  
}
```

Java

```
0: bipush 18  
2: newarray char  
4: dup  
5: iconst_0  
6: bipush 65  
8: castore  
...  
101: bipush 17  
103: bipush 100  
105: castore  
106: putstatic #2; // DATA  
109: return
```

Dalvik

```
|0000: const/16 v0, #int 18  
|0002: new-array v0, v0, [C  
|0004: fill-array-data v0,  
        0000000a  
|0007: sput-object v0,  
        LDemo;.DATA:[C  
|0009: return-void  
|000a: array-data (22 units)
```

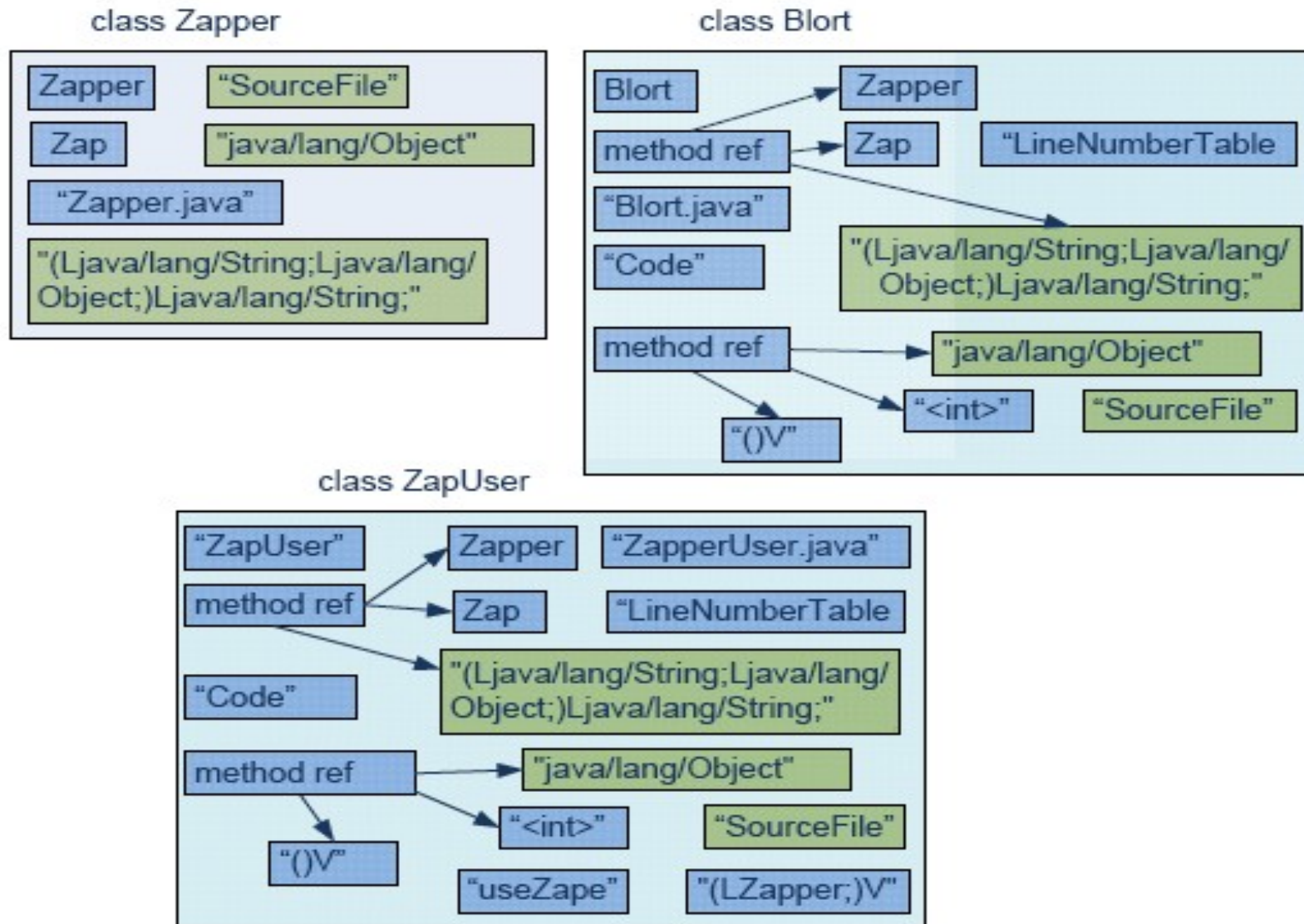
# Shared constant pool

- **Zapper.java**

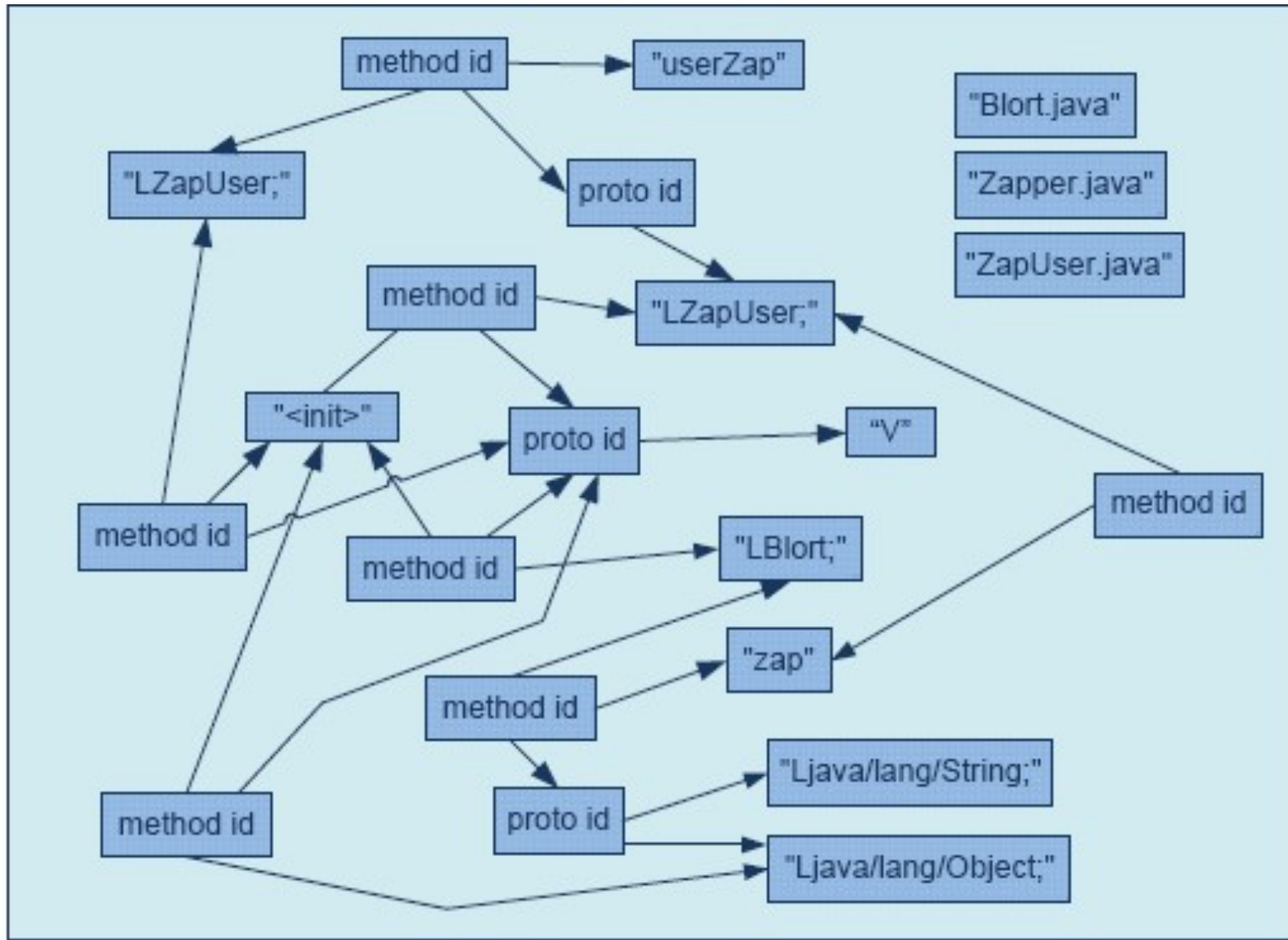
```
public interface Zapper {  
    public String zap(String s, Object o);  
}  
  
public class Blort implements Zapper {  
    public String zap(String s, Object o) { ... }  
}  
  
public class ZapUser {  
    public void useZap(Zapper z) { z.zap(...); }  
}
```



# Shared constant pool



# Shared constant pool



# Shared constant pool (memory usage)

- minimal repetition
- per-type pools (implicit typing)
- implicit labeling

Contents	Uncompressed jar File		Compressed jar files		Uncompressed dex file	
	In Bytes	In %	In Bytes	In %	In Bytes	In %
Common System Libraries	21445320	100	10662048	50	10311972	48
Web browser Application	470312	100	232065	49	209248	44
Alarm Check Application	119200	100	61658	52	53020	44





```

public static long sumArray(int[] arr) {
    long sum = 0;
    for (int i : arr) {
        sum += i;
    }
    return sum;
}

```

Java class

0000: lconst_0	
0001: lstore_1	
0002: aload_0	
0003: astore_3	
0004: aload_3	
0005: arraylength	
0006: istore 04	
0008: iconst_0	
0009: istore_05	
000b: iload 05	// rl ws
000d: iload 04	// rl ws
000f: if_icmpge 0024	// rs rs
0012: aload_3	// rl ws
0013: iload_05	// rl ws
0015: iaload	// rs rs ws
0016: istore 06	// rs wl
0018: lload_1	// rl rl ws ws
0019: iload_06	// rl ws
001b: i2l	// rs ws ws
001c: ladd	// rs rs rs rs ws ws
001d: lstore_1	// rs rs wl wl
001e: iinc 05, #+01	// rl wl
0021: goto 000b	
0024: lload_1	
0025: lreturn	

read local → write local

read stack → write stack

- 25 bytes
- 14 dispatches
- 45 reads
- 16 writes

```

public static long sumArray(int[] arr) {
    long sum = 0;
    for (int i : arr) {
        sum += i;
    }
    return sum;
}

```

	bytes	dispatches	reads	writes
.class	25	14	45	16
.dex	18	6	19	6

**Dalvik DEX**

```

0000: const-wide/16 v0, #long 0
0002: array-length v2, v8
0003: const/4 v3, #int 0
0004: move v7, v3
0005: move-wide v3, v0
0006: move v0, v7
0007: if-ge v0, v2, 0010           // r r
0009: aget v1, v8, v0             // r r w
000b: int-to-long v5, v1          // r w w
000c: add-long/2addr v3, v5       // r r r r w w
000d: add-int/lit8 v0, v0, #int 1 // r w
000f: goto 0007
0010: return-wide v3

```

- 18 bytes
- 6 dispatches
- 19 reads
- 6 writes

# Comparison between Dalvik VM and JVM

- Memory Usage Comparison
- Architecture Comparison
- Supported Libraries Comparison

Libraries	Dalvik	Standard Java
java.io	Y	Y
java.net	Y	Y
android.*	Y	N
com.google.*	Y	N
javax.swing.*	N	Y
...	...	...

- Reliability Comparison
- Multiple instance and JIT Comparison
- Concurrent GC



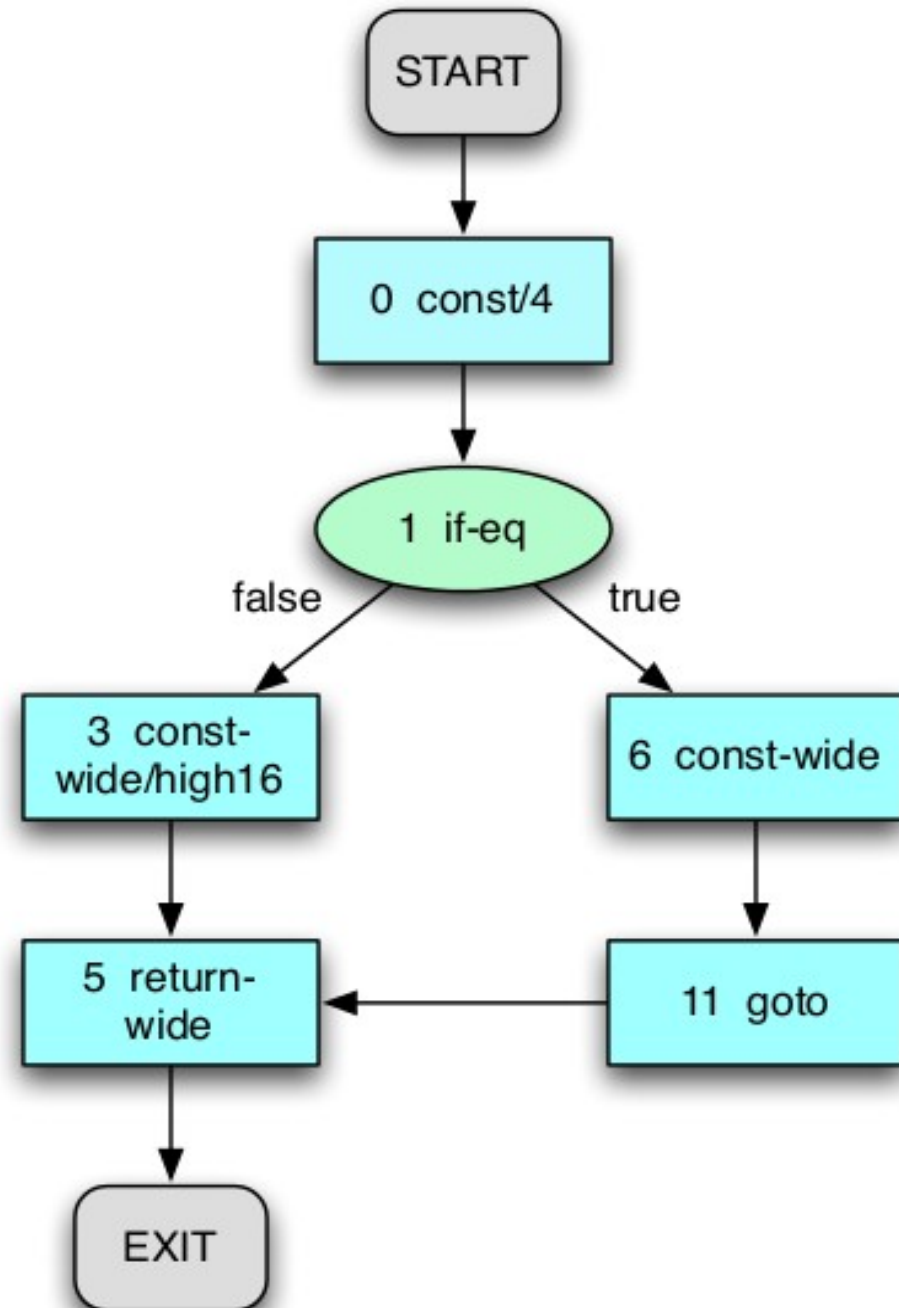
# AST to Bytecode

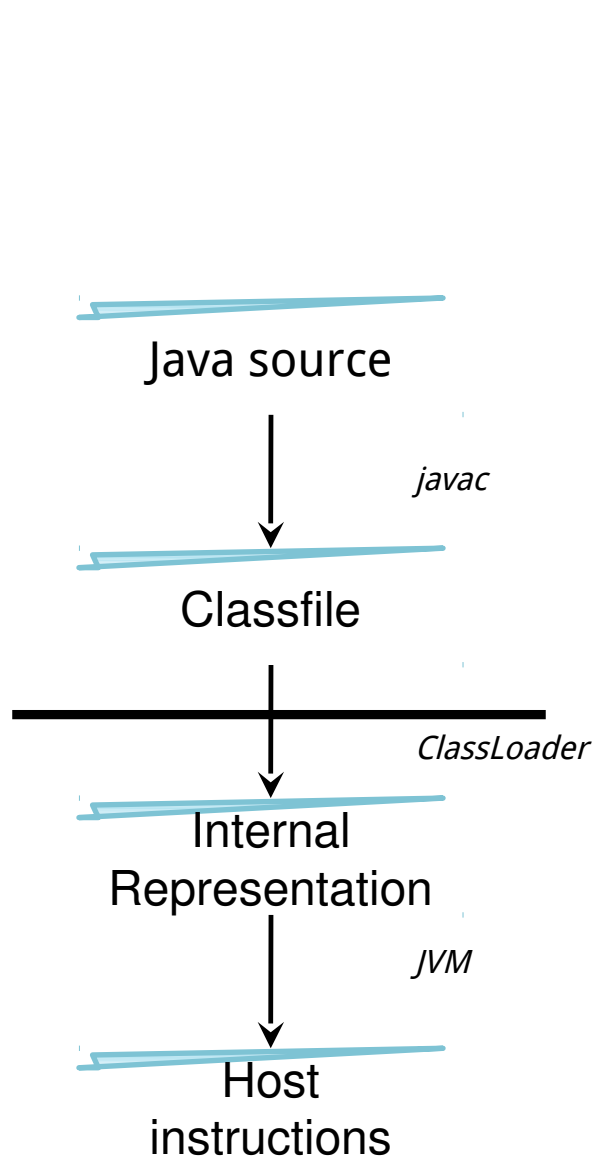
## Java source

```
double return_a_double(int a) {  
    if (a != 1)  
        return 2.5;  
    else  
        return 1.2;  
}
```

## DEX Bytecode

```
double return_a_double(int)  
0: const/4 v0,1  
1: if-eq v3,v0,6  
3: const-wide/high16 v0,16388  
5: return-wide v0  
6: const-wide v0,4608083138725491507  
11: goto 5
```





```
public class ZZZZ {  
    private int value;  
    public void foo() {  
        int v = this.value;  
    }  
}
```



```
...  
2a      /* aload_0                               */  
b40002  /* getfield #2; //Field value:I          */  
3c      /* istore_1                             */  
...
```



Class ZZZZ

...

methods

...

**Method foo()V**

```
...  
dcd00100 /* fast_iaccess_0 #1 */  
3c      /* istore_1          */  
...
```



```
...  
8b4108 ; mov eax,dword ptr ds:[ecx+8]  
...
```



# Efficient Interpreter in Android

- There are 3 forms of Dalvik
  - dexopt: optimized DEX
  - Zygote
  - libdvm + JIT



# Efficient Interpreter: Optimized DEX

- Apply platform-specific optimizations:
  - specific bytecode
  - vtables for methods
  - offsets for attributes
  - method inlining
- Example:

Common operations like `String.length` have their own special instruction `execute-inline`

- VM has special code just for those common operations
- Things like calling the `Object`'s constructor - optimized to nothing because the method is empty

invoke-virtual	nib	2-byte
----------------	-----	--------

java/lang/String#length():I
-----------------------------

execute-inline	nib	nib
----------------	-----	-----

java/lang/String#length():I
-----------------------------

invoke-direct	nib	2-byte
---------------	-----	--------

java/lang/Object#<init>():V
-----------------------------



# ODEX Example

```
$ dexdump -d Foo.dex
```

```
...
```

```
|[00016c] Foo.main:([Ljava/lang/String;)V
```

```
|0000: sget-object v0, Ljava/lang/System;.out:Ljava/io/PrintStream;
```

```
|0002: const-string v1, "Hello, world"
```

```
|0004: invoke-virtual {v0, v1},  
        Ljava/io/PrintStream;.println:(Ljava/lang/String;)V
```

```
|0007: return-void
```

Optimized DEX generated by "dexopt"

Where is "println"?

```
$ dexdump -d \
```

```
    /data/dalvik-cache/tmp@cyanogen-ics@tests@Foo.dex
```

```
...
```

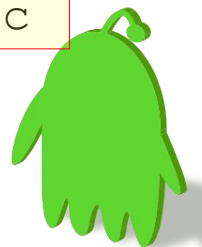
```
|[00016c] Foo.main:([Ljava/lang/String;)V
```

```
|0000: sget-object v0, Ljava/lang/System;.out:Ljava/io/PrintStream;
```

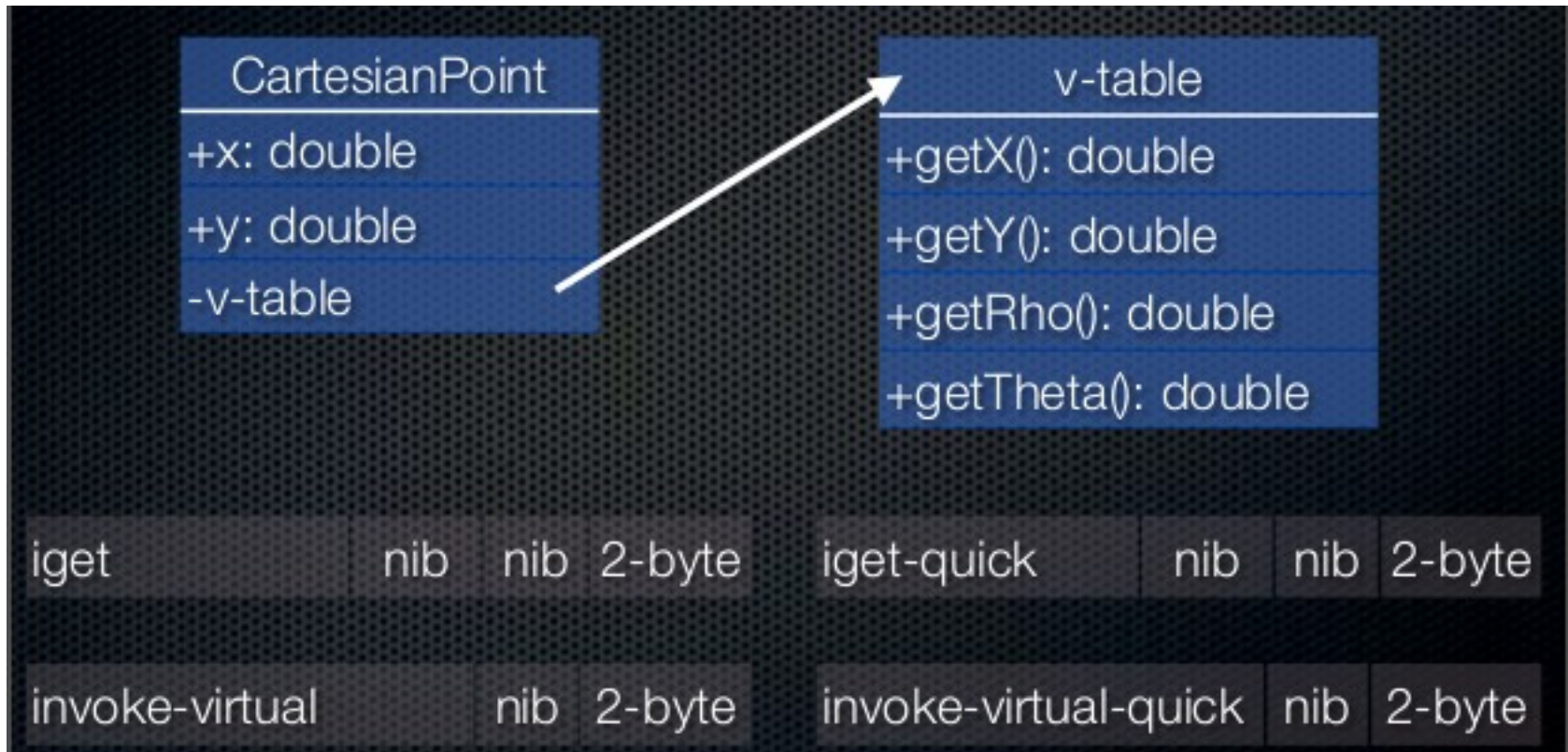
```
|0002: const-string v1, "Hello, world"
```

```
|0004: +invoke-virtual-quick {v0, v1}, [002c] // vtable #002c
```

```
|0007: return-void
```







- Virtual (non-private, non-constructor, non-static methods)

**invoke-virtual** <symbolic method name> → **invoke-virtual-quick** <vtable index>

Before:

```
invoke-virtual {v0, v1},
```

```
Ljava/io/PrintStream;.println:(Ljava/lang/String;)V
```

After:

```
+invoke-virtual-quick {v0, v1}, [002c] // vtable #002c
```

- Can change invoke-virtual to invoke-virtual-quick
  - because we know the layout of the v-table



# DEX Optimizations

- Before being executed by Dalvik, DEX files are optimized.
  - Normally it happens before the first execution of code from the DEX file
  - Combined with the bytecode verification
  - In case of DEX files from APKs, when the application is launched for the first time.
- Process
  - The dexopt process (which is actually a backdoor of Dalvik) loads the DEX, replaces certain instructions with their optimized counterparts
  - Then writes the resulting optimized DEX (ODEX) file into the /data/dalvik-cache directory
  - It is assumed that the optimized DEX file will be executed on the same VM that optimized it. ODEX files are NOT portable across VMs.



# dexopt: Instruction Rewritten

- Virtual (non-private, non-constructor, non-static methods)

**invoke-virtual** <symbolic method name> → **invoke-virtual-quick** <vtable index>

Before:

```
invoke-virtual  
{v1,v2},java/lang/StringBuilder/append;append(Ljava/lang/String;)Ljava/lang/StringBuilder;
```

After:

```
invoke-virtual-quick {v1,v2},vtable #0x3b
```

- Frequently used methods

**invoke-virtual/direct/static** <symbolic method name> → **execute-inline** <method index>

– Before:

```
invoke-virtual {v2},java/lang/String/length
```

– After:

```
execute-inline {v2},inline #0x4
```

- instance fields: **iget/put** <field name> → **iget/put-quick** <memory offset>

– Before: `iget-object v3,v5,android/app/Activity.mComponent`

– After: `iget-object-quick v3,v5,[obj+0x28]`



# Meaning of DEX Optimizations

- Sets byte ordering and structure alignment
- Aligns the member variables to 32-bits / 64-bits
- boundary (the structures in the DEX/ODEX file itself are 32-bit aligned)
- Significant optimizations because of the elimination of symbolic field/method lookup at runtime.
- Aid of Just-In-Time compiler

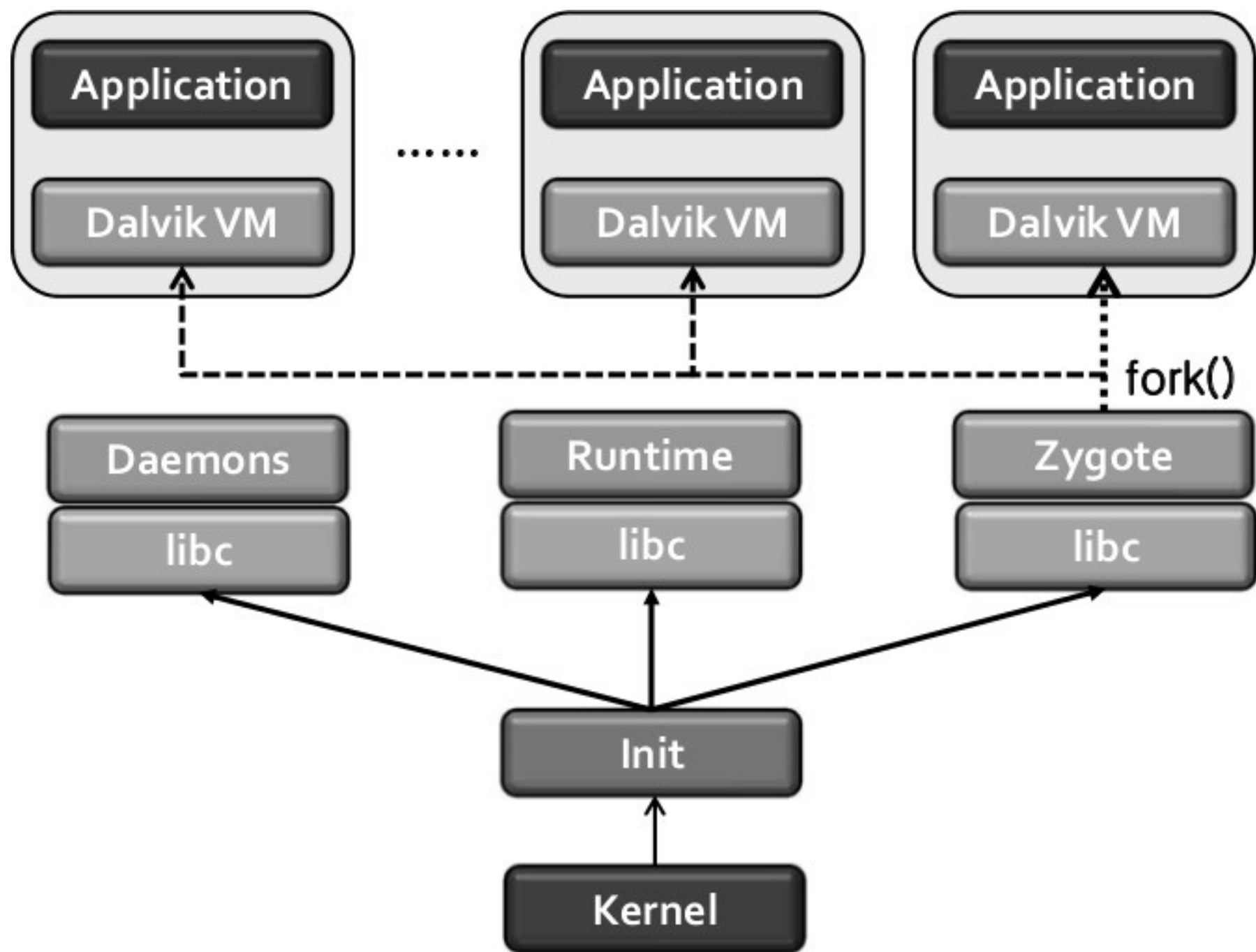


# Efficient Interpreter: Zygote

is a VM process that starts at system boot time.

- Boot-loader load kernel and start init process.
- Starts Zygote process
- Initializes a Dalvik VM which preloads and pre-initializes core library classes.
- Keep in an idle state by system and wait for socket requests.
- Once an application execution request occur, Zygote forks itself and create new process with pre-loaded Dalvik VM.





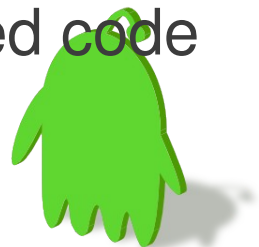
# Efficient Interpreter: Just-In-Time Compilation

- **Just-in-time compilation (JIT)**, also known as **dynamic translation**, is a technique for improving the runtime performance of a computer program.
- A hybrid approach, with translation occurring continuously, as with interpreters, but with caching of translated code to minimize performance degradation



# JIT Types

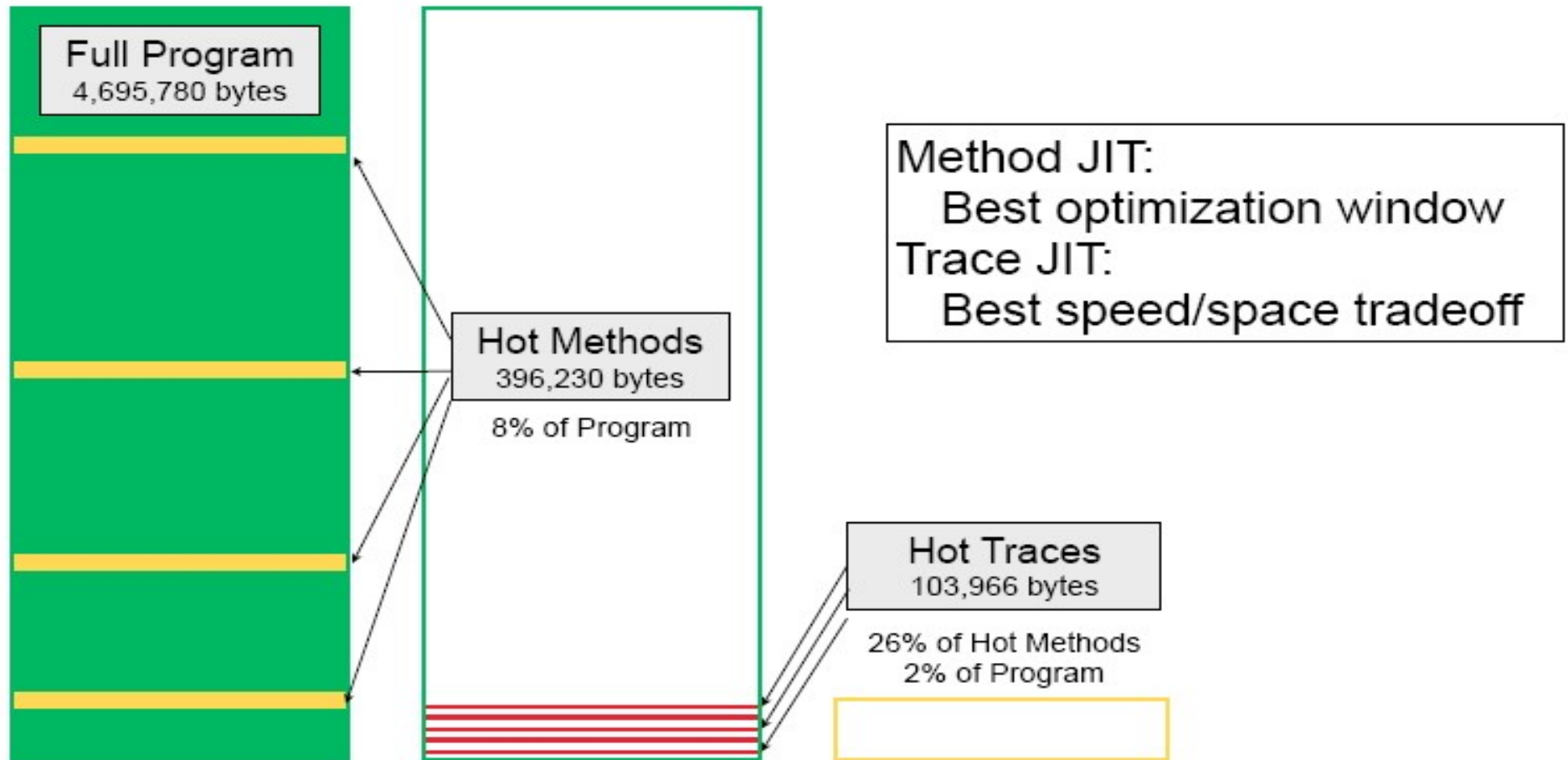
- When to compile
  - install time, launch time, method invoke time, instruction fetch time
- What to compile
  - whole program, shared library, page, method, trace, single instruction
- Android needs a combination that meet the needs of a mobile
  - Minimal additional memory usage
  - Coexist with Dalvik's container-based security model
  - Quick delivery of performance boost
  - Smooth transition between interpretation & compiled code





# Android system\_server example

Source: Google I/O 2010 - A JIT Compiler for Android's Dalvik VM



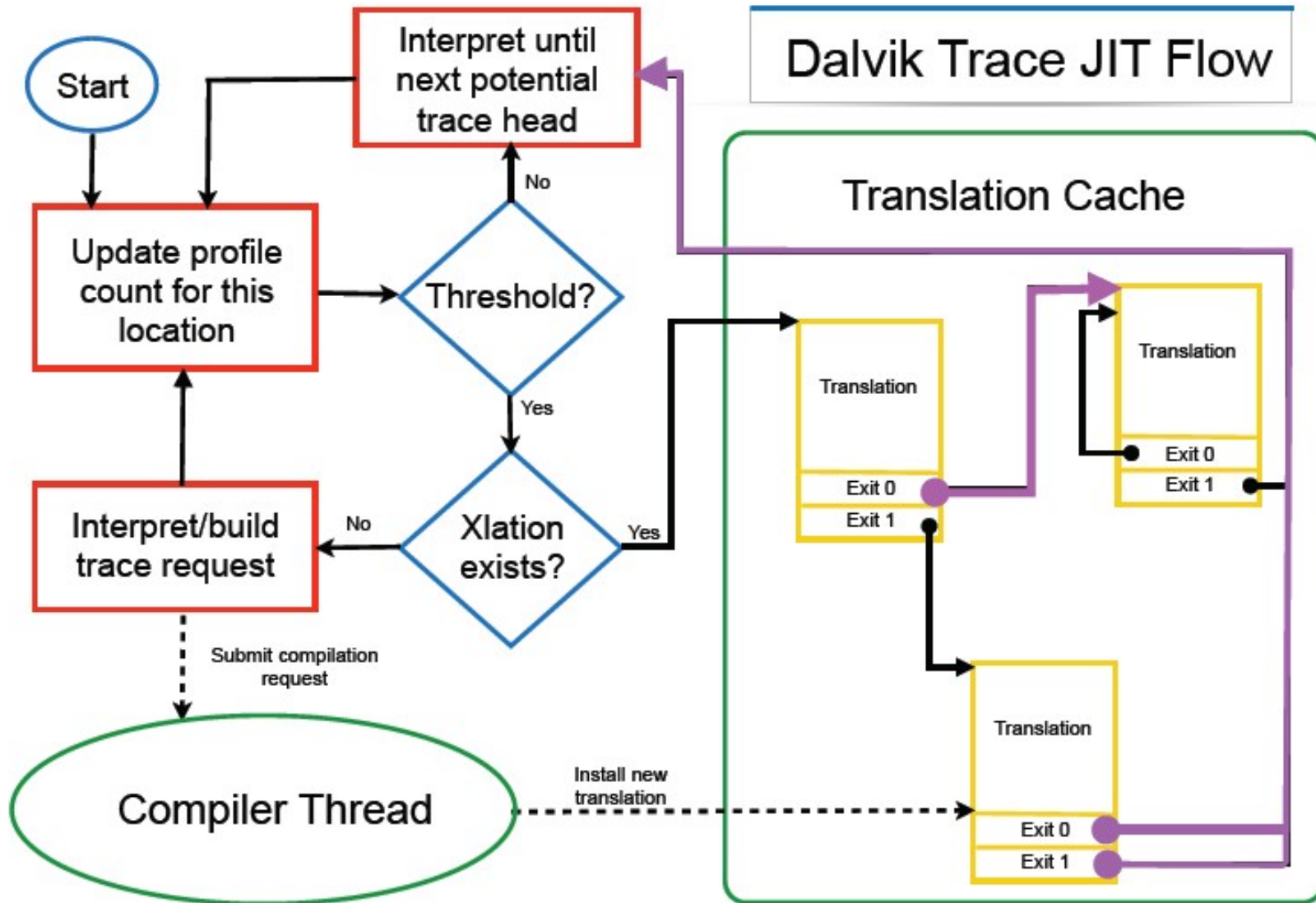
- Compiled Code takes up memory - want the benefits of JIT with small memory footprint
- Small amount compilation provides a big benefit
- In test program, 4.5MB of byte code - 8% of methods: 390K was hot; 25% of code in methods was hot - so 2% in the end
- 90% of time in 10% of the code may be generous



- Trace : String of Instructions
- Minimizing memory usage critical for mobile devices
- Important to deliver performance boost quickly
  - User might give up on new app if we wait too long to JIT
- Leave open the possibility of supplementing with method based JIT
  - The two styles can co-exist
  - A mobile device looks more like a server when it's plugged in
  - Best of both worlds
    - Trace JIT when running on battery
    - Method JIT in background while charging



# Dalvik Trace JIT Flow



# Dalvik JIT Overview

- Tight integration with interpreter
  - Useful to think of the JIT as an extension of the interpreter
- Interpreter profiles and triggers trace selection mode when a potential trace head goes hot
- Trace request is built during interpretation
- Trace requests handed off to compiler thread, which compiles and optimizes into native code
- Compiled traces chained together in translation cache



# Dalvik JIT Features

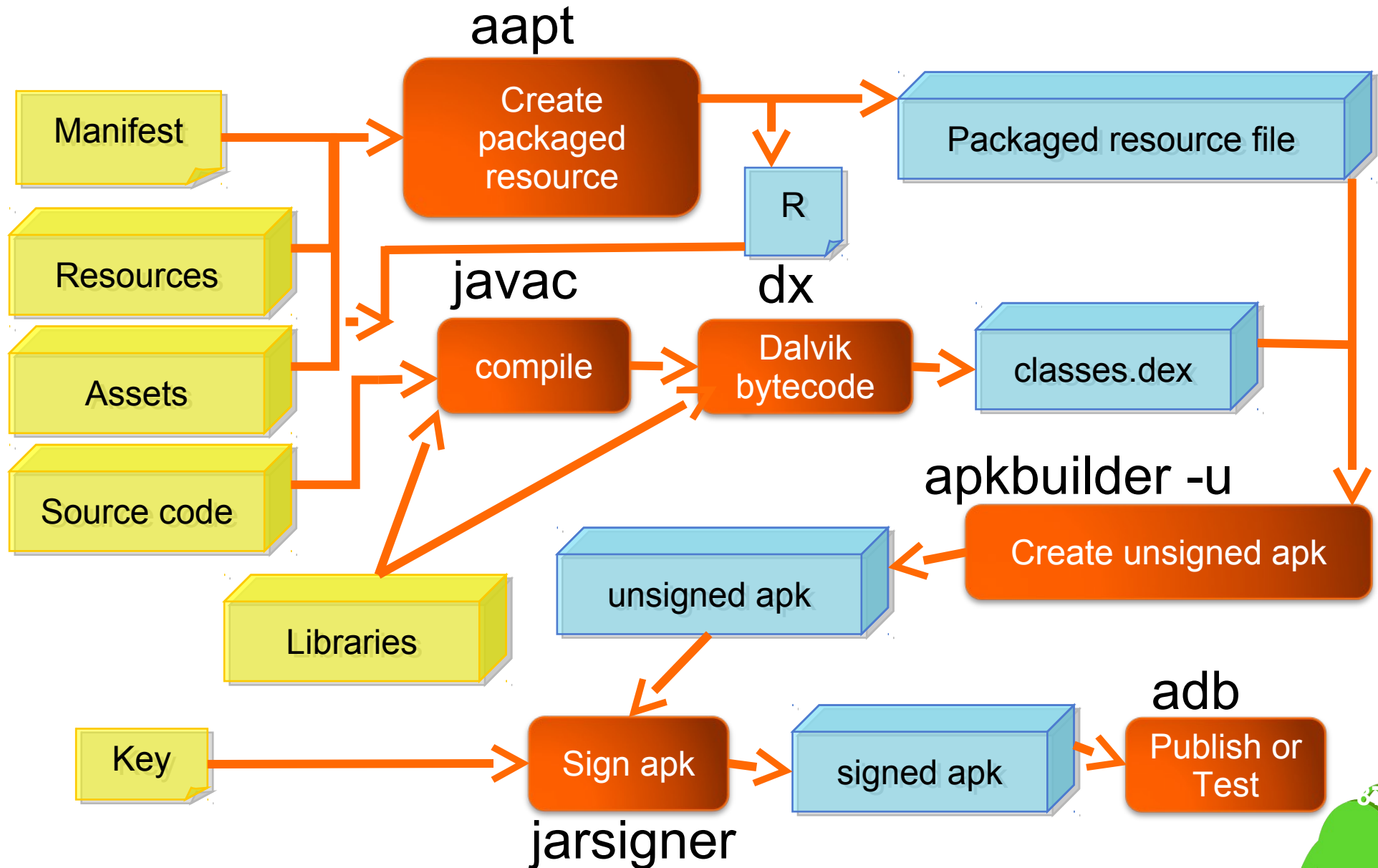
- Per-process translation caches (sharing only within security sandboxes)
- Simple traces - generally 1 to 2 basic blocks long
- Local optimizations
  - Register promotion
  - Load/store elimination
  - Redundant null-check elimination
- Loop optimizations
  - Simple loop detection
  - Invariant code motion
  - Induction variable optimization



# Utilities



# Android Application Development Flow



# APK content

```
$ unzip Angry+Birds.apk
Archive:  Angry+Birds.apk
```

```
...
```

```
  inflating: AndroidManifest.xml
```

```
extracting: resources.arsc
```

```
extracting: res/drawable-hdpi/icon.png
```

```
extracting: res/drawable-ldpi/icon.png
```

```
extracting: res/drawable-mdpi/icon.png
```

```
  inflating: classes.dex
```

**Dalvik DEX**

```
  inflating: lib/armeabi/libangrybirds.so
```

**JNI**

```
  inflating: lib/armeabi-v7a/libangrybirds.so
```

```
  inflating: META-INF/MANIFEST.MF
```

```
  inflating: META-INF/CERT.SF
```

```
  inflating: META-INF/CERT.RSA
```

**manifest +  
signature**





# APK content

```
$ unzip Angry+Birds.apk
Archive:  Angry+Birds.apk
```

```
...
```

```
  inflating: AndroidManifest.xml
```

```
Name: classes.dex
```

```
SHA1-Digest: I9Vne//i/5Wyzs5HhBVu9dIoHDY=
```

```
Name: lib/armeabi/libangrybirds.so
```

```
SHA1-Digest: pSdb9FYauyfjDUxM8L6JDmQk4qQ=
```

```
  inflating: classes.dex
```

```
  inflating: lib/armeabi/libangrybirds.so
```

```
  inflating: lib/armeabi-v7a/libangrybirds.so
```


```
  inflating: META-INF/MANIFEST.MF
```

```
  inflating: META-INF/CERT.SF
```

```
  inflating: META-INF/CERT.RSA
```



# AndroidManifest

← →  <http://code.google.com/p/android-apktool/>

```
$ unzip Angry+Birds.  
Archive:  Angry+Bird  
...  
...
```



**android-apktool**  
A tool for reengineering Android apk files

```
    inflating: AndroidManifest.xml  
extracting: resources.arsc
```

```
$ file AndroidManifest.xml  
AndroidManifest.xml: DBase 3 data file (2328 records)
```

```
$ apktool d ../AngryBirds/Angry+Birds.apk
```

```
I: Baksmaling...
```

```
I: Loading resource table...
```

```
...
```

```
I: Decoding file-resources...
```

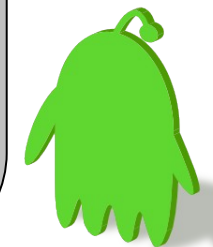
```
I: Decoding values*/* XMLs...
```

```
I: Done.
```

```
I: Copying assets and libs...
```

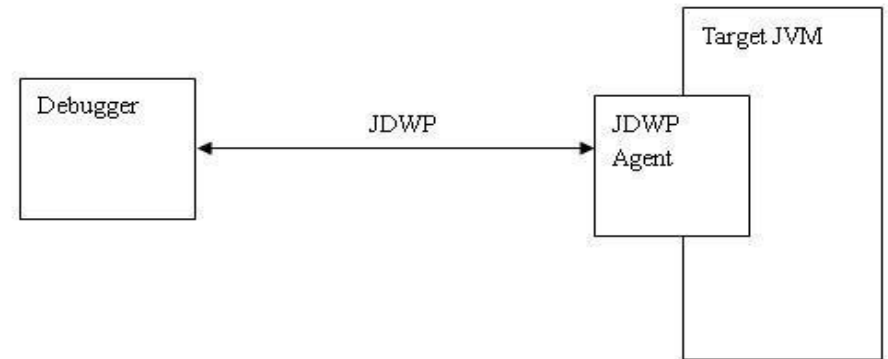
```
$ file Angry+Birds/AndroidManifest.xml
```

```
Angry+Birds/AndroidManifest.xml: XML document text
```



# Use JDB to Trace Android Application

```
#!/bin/bash
adb wait-for-device
adb shell am start \
    -e debug true \
    -a android.intent.action.MAIN \
    -c android.intent.category.LAUNCHER \
    -n org.jfedor.frozenbubble/.FrozenBubble &
debug_port=$(adb jdwp | tail -1);
adb forward tcp:29882 jdwp:$debug_port &
jdb -J-Duser.home=. -connect \
    com.sun.jdi.SocketAttach:hostname=localhost,port=29882 &
```



In APK manifest, debuggable="true"

JDWP: Java Debug Wire Protocol



# JDB usage

> **threads**

Group system:

(java.lang.Thread)0xc14050e388	<6> Compiler	cond. Waiting
(java.lang.Thread)0xc14050e218	<4> Signal Catcher	cond. waiting
(java.lang.Thread)0xc14050e170	<3> GC	cond. waiting
(java.lang.Thread)0xc14050e0b8	<2> HeapWorker	cond. waiting

Group main:

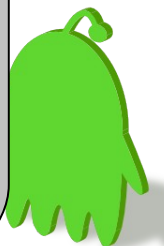
(java.lang.Thread)0xc14001f1a8	<1> main	running
(org.jfedor.frozenbubble.GameView\$GameThread)0xc14051e300	<11> Thread-10	running
(java.lang.Thread)0xc14050f670	<10> SoundPool	running
(java.lang.Thread)0xc14050f568	<9> SoundPoolThread	running
(java.lang.Thread)0xc140511db8	<8> Binder Thread #2	running
(java.lang.Thread)0xc140510118	<7> Binder Thread #1	running

> **suspend 0xc14051e300**







> **thread 0xc14051e300**

<11> Thread-10[1] **where**

- [1] android.view.SurfaceView\$3.internalLockCanvas (SurfaceView.java:789)
- [2] android.view.SurfaceView\$3.lockCanvas (SurfaceView.java:745)
- [3] org.jfedor.frozenbubble.GameView\$GameThread.run (GameView.java:415)







Name		
com.android.phone	114	
com.android.systemui	117	
com.android.launcher	121	
com.android.settings	158	
android.process.acore	177	
com.android.deskclock	187	
android.process.media	206	
com.android.mms	220	
com.android.email	240	
com.android.quicksearchbox	252	
com.android.music	263	
com.android.protips	274	
org.jfedor.frozenbubble	293	

Info Threads VM Heap Allocation Tracker Sysinfo

DDM-aware?

App description:

VM version:

Process ID:

Supports Profiling Control:

Supports HPROF Control:

yes

org.jfedor.frozenbubble

Dalvik v1.4.0

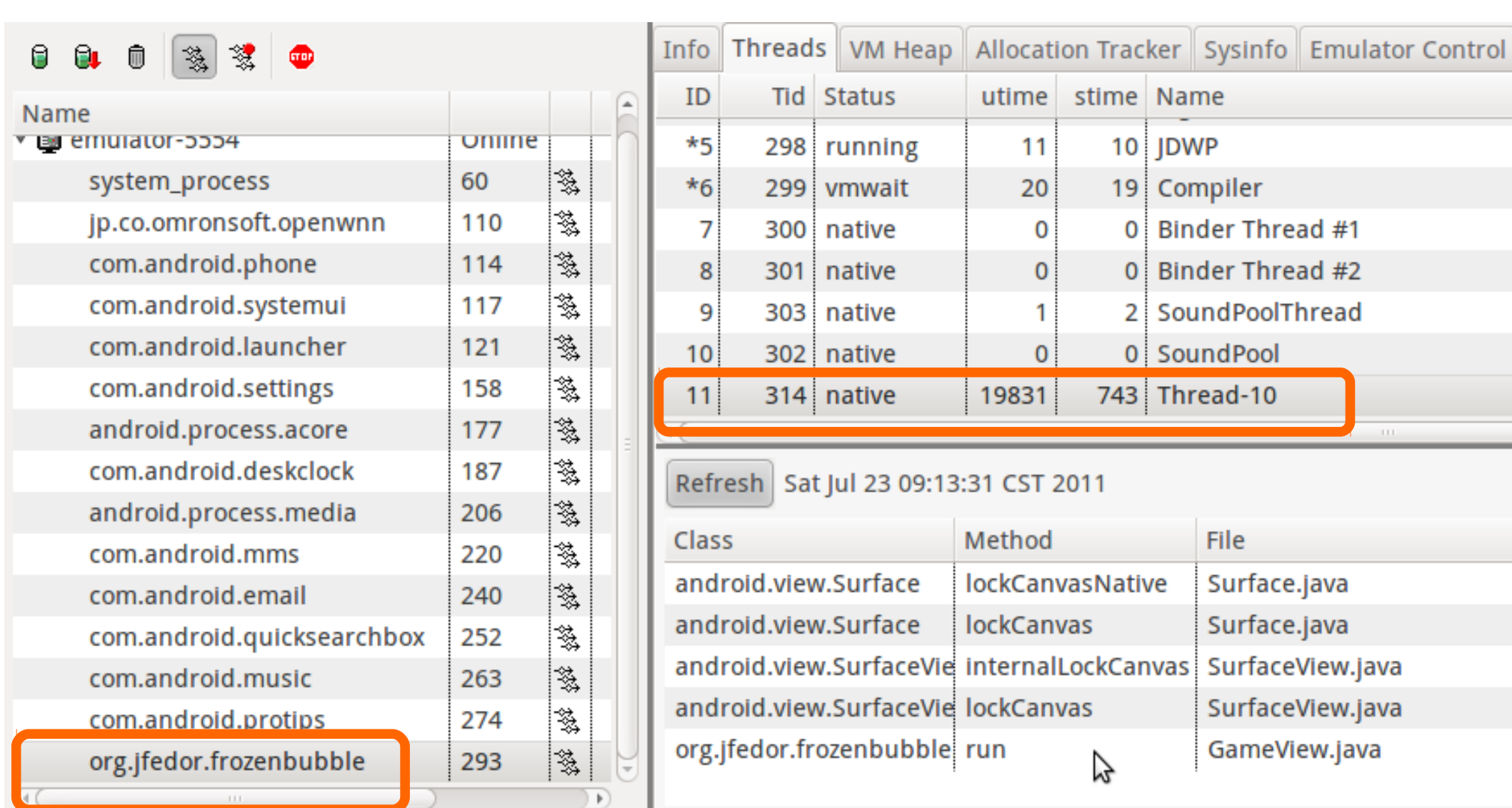
293

Yes

Yes

DDMS = Dalvik Debug Monitor Server





The screenshot shows the Android Studio interface. On the left, the 'Name' column of the process list shows various system and application processes. The process 'org.jfedor.frozenbubble' is highlighted with an orange box. On the right, the 'Threads' tab is active, displaying a list of threads. The thread 'Thread-10' (ID 11, TID 314) is highlighted with an orange box. Below the thread list, the 'Refresh' button and the timestamp 'Sat Jul 23 09:13:31 CST 2011' are visible. The 'Class', 'Method', and 'File' columns show the stack trace for the selected thread.

ID	Tid	Status	utime	stime	Name
*5	298	running	11	10	JDWP
*6	299	vmwait	20	19	Compiler
7	300	native	0	0	Binder Thread #1
8	301	native	0	0	Binder Thread #2
9	303	native	1	2	SoundPoolThread
10	302	native	0	0	SoundPool
11	314	native	19831	743	Thread-10

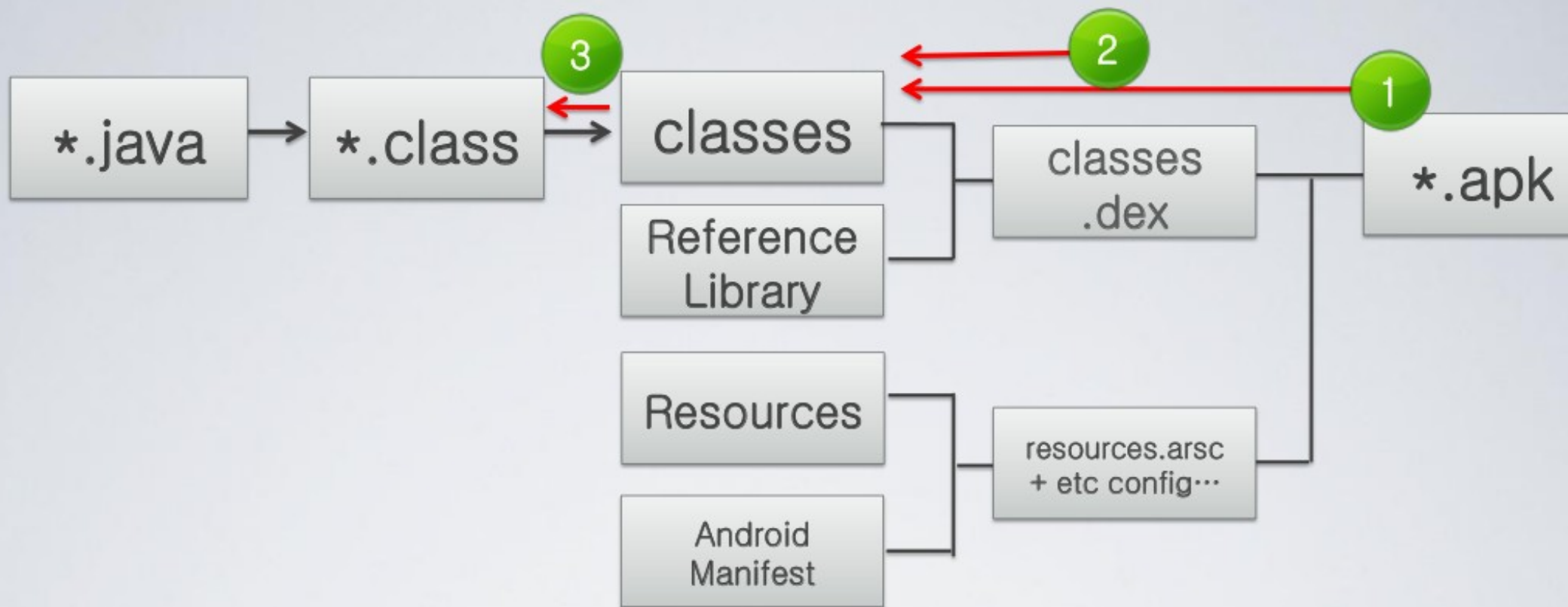
Class	Method	File
android.view.Surface	lockCanvasNative	Surface.java
android.view.Surface	lockCanvas	Surface.java
android.view.SurfaceView	internalLockCanvas	SurfaceView.java
android.view.SurfaceView	lockCanvas	SurfaceView.java
org.jfedor.frozenbubble	run	GameView.java

```
(JDB)
> thread 0xc14051e300
<11> Thread-10[1] where
[1] android.view.SurfaceView$3.internalLockCanvas (SurfaceView.java:789)
[2] android.view.SurfaceView$3.lockCanvas (SurfaceView.java:745)
[3] org.jfedor.frozenbubble.GameView$GameThread.run (GameView.java:415)
```





- apktool: <http://code.google.com/p/android-apktool/>
- dex2jar: <http://code.google.com/p/dex2jar/>
- Jad / jd-gui: <http://java.decompiler.free.fr/>





# smali : assembler/disassembler for Android's dex format

- <http://code.google.com/p/smali/>
- smali: The assembler
- baksmali: The disassembler
- Fully integrated in apktool

```
$ apktool d ../AngryBirds/Angry+Birds.apk  
I: Baksmaling...  
I: Loading resource table...  
...  
I: Decoding file-resources...  
I: Decoding values*/* XMLs...  
I: Done.  
I: Copying assets and libs...
```





# Disassembly

```
$ mkdir workspace smali-src
$ cd workspace
$ unzip ../FrozenBubble-orig.apk
Archive:  ../FrozenBubble-orig.apk
  inflating: META-INF/MANIFEST.MF
  inflating: META-INF/CERT.SF
  inflating: META-INF/CERT.RSA
  inflating: AndroidManifest.xml
...
extracting: resources.arsc
$ bin/baksmali -o smali-src workspace/classes.dex
```



**org.jfedor.frozenbubble/.FrozenBubble**

```
smali-src$ find
```

```
./org/jfedor/frozenbubble/FrozenBubble.smali
./org/jfedor/frozenbubble/R$id.smali
./org/jfedor/frozenbubble/MapView.smali
./org/jfedor/frozenbubble/SoundManager.smali
./org/jfedor/frozenbubble/LaunchBubbleSprite.smali
./org/jfedor/frozenbubble/Compressor.smali
./org/jfedor/frozenbubble/R$attr.smali
./org/jfedor/frozenbubble/BubbleFont.smali
./org/jfedor/frozenbubble/PenguinSprite.smali
./org/jfedor/frozenbubble/MapView$GameThread.smali
./org/jfedor/frozenbubble/LevelManager.smali
./org/jfedor/frozenbubble/BubbleSprite.smali
./org/jfedor/frozenbubble/R$string.smali
...
```

**Generated  
from resources**



# Dexmaker: bytecode generator

<http://code.google.com/p/dexmaker/>

- A Java-language API for doing compile time or runtime code generation targeting the Dalvik VM. Unlike cglib or ASM, this library creates Dalvik .dex files instead of Java .class files.
- It has a small, close-to-the-metal API. This API mirrors the Dalvik bytecode specification giving you tight control over the bytecode emitted.
- Code is generated instruction-by-instruction; you bring your own abstract syntax tree if you need one. And since it uses Dalvik's dx tool as a backend, you get efficient register allocation and regular/wide instruction selection for free.



# Reference

- Dalvik VM Internals, Dan Bornstein (2008)  
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- 《 Android 惡意代碼分析教程 》 , Claud Xiao (2012)  
<http://code.google.com/p/amatutor/>
- XXX



# Reference

- ded: Decompiling Android Applications  
<http://siis.cse.psu.edu/ded/>
- TBD





<http://0xlab.org>