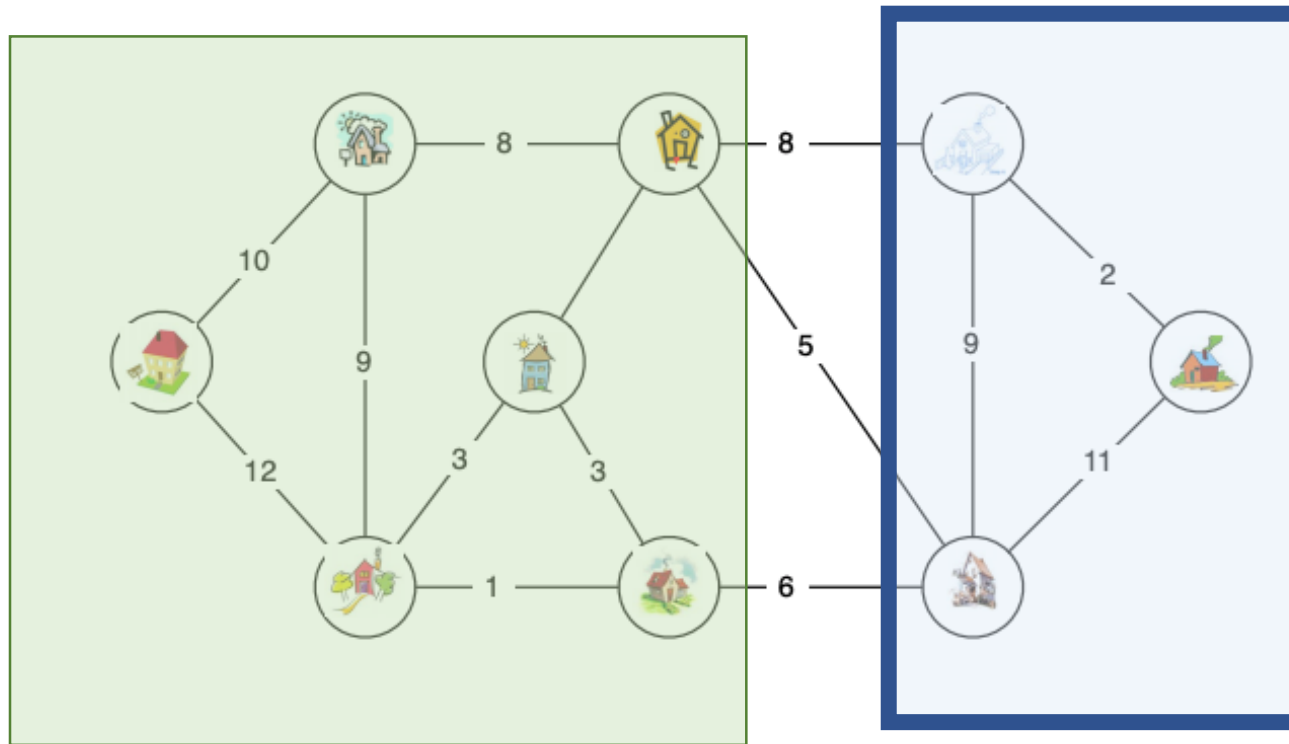


Greedy

Lecture 4

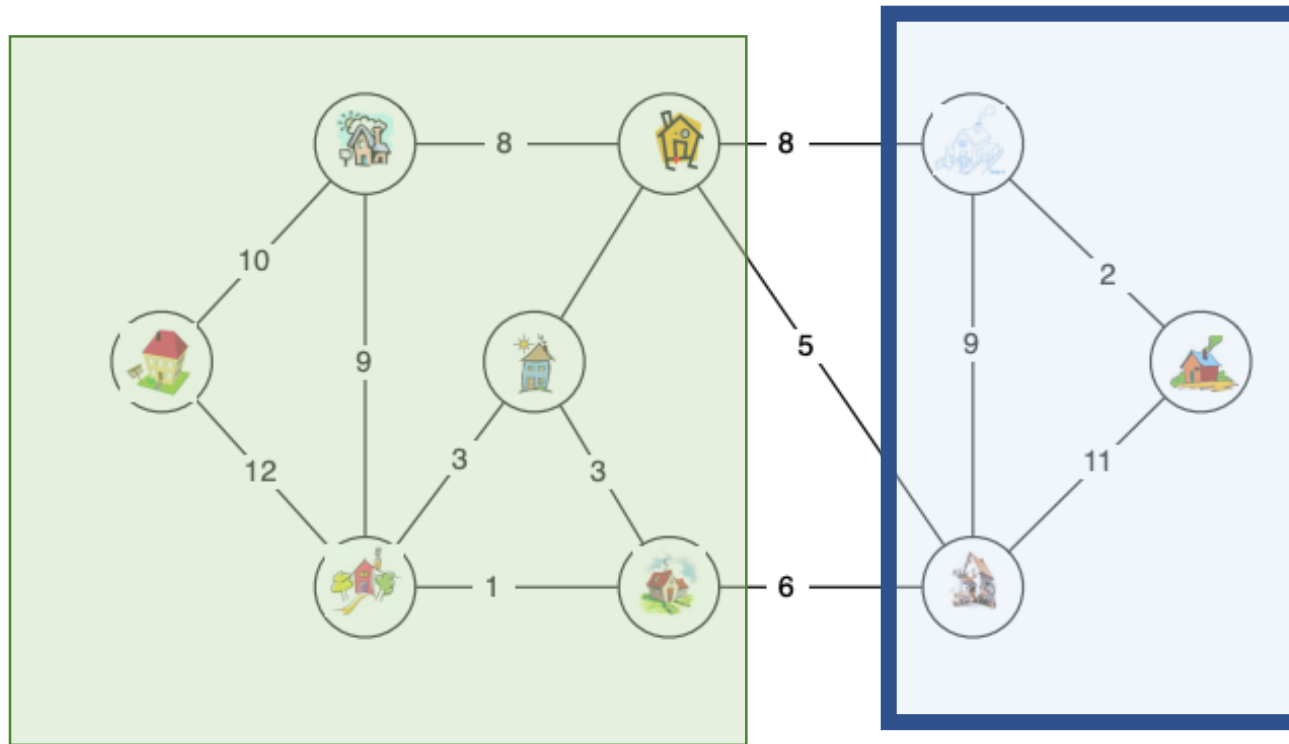
Definition: CUT

- CUT: a cut of $G=(V,E)$ is a partition of V into 2 sets (S , $V-S$)



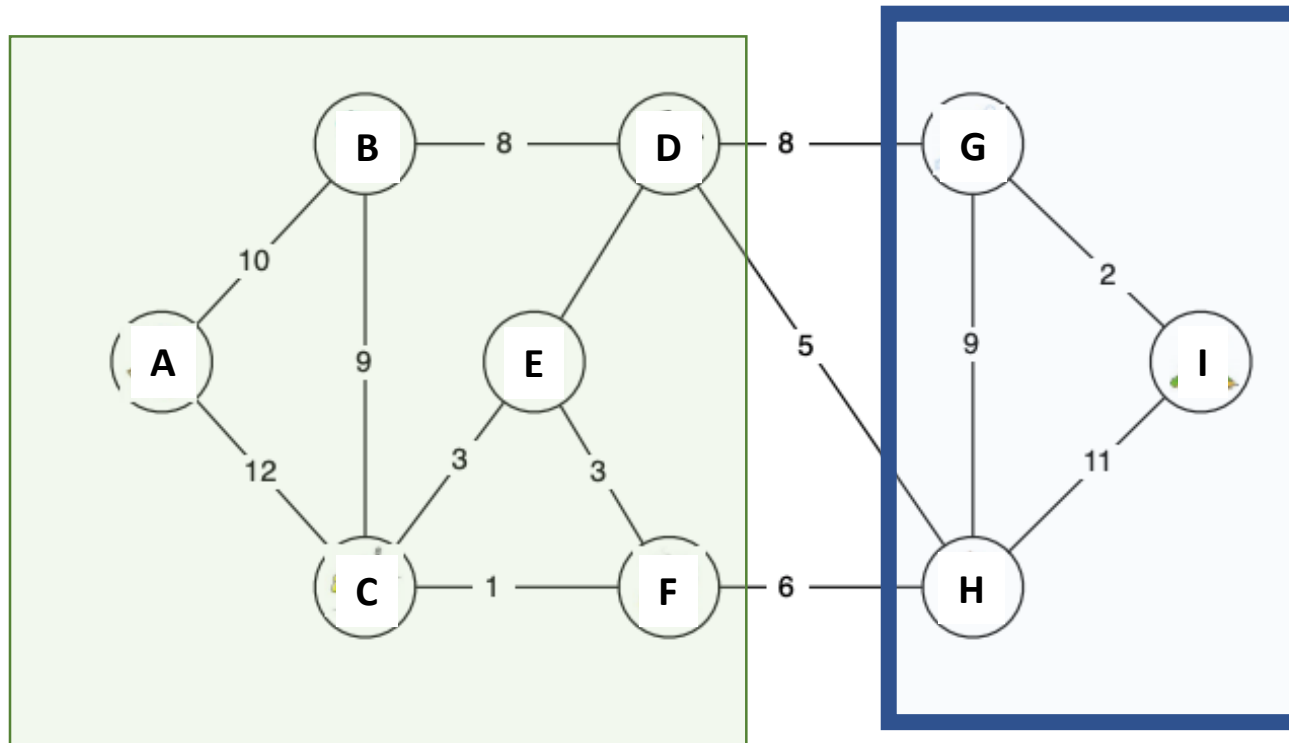
Definition: Crossing a CUT

- An edge $e=(u,v)$ crosses a cut $(S,V-S)$ if $u \in S$, and $v \in V-S$



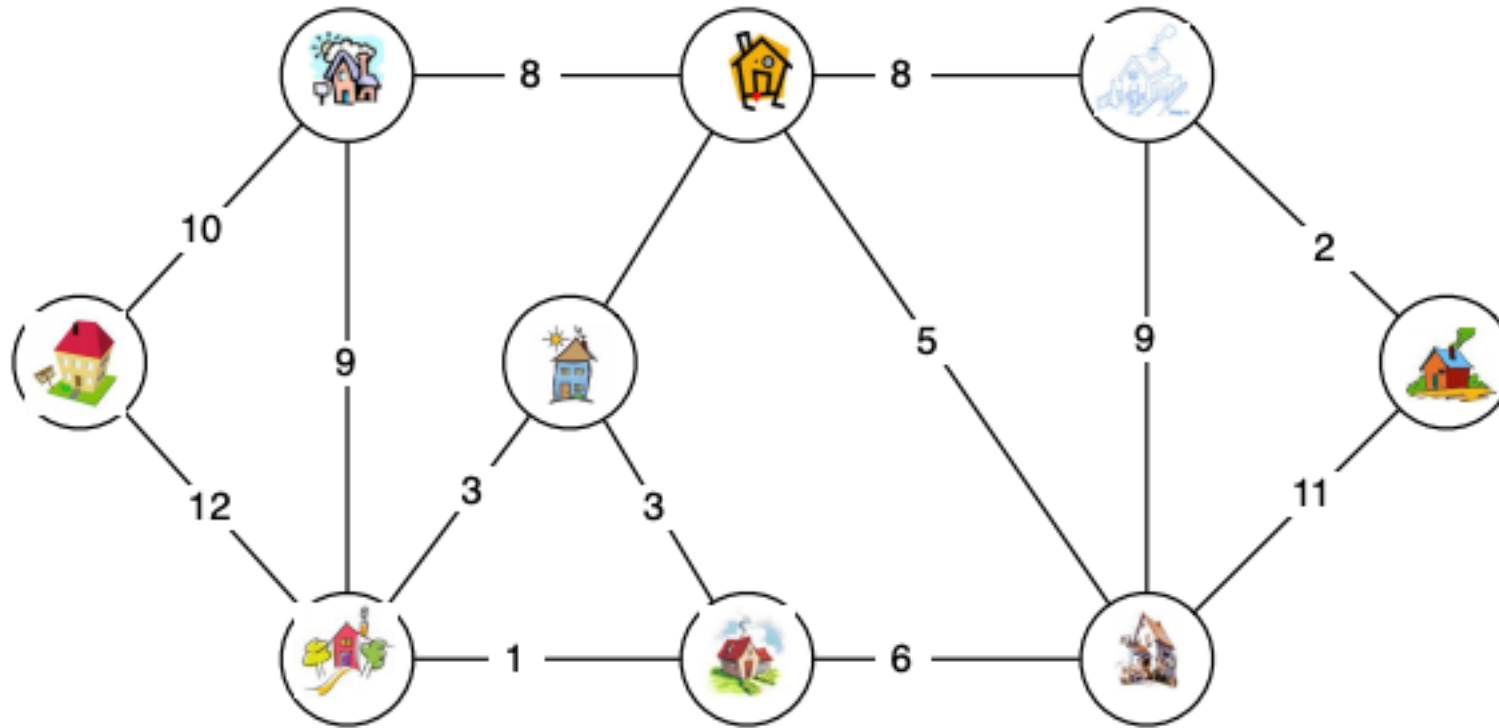
Definition: Respect

- A set A respects the cut $(S, V-S)$ if no edge $e \in A$ crosses $(S, V-S)$



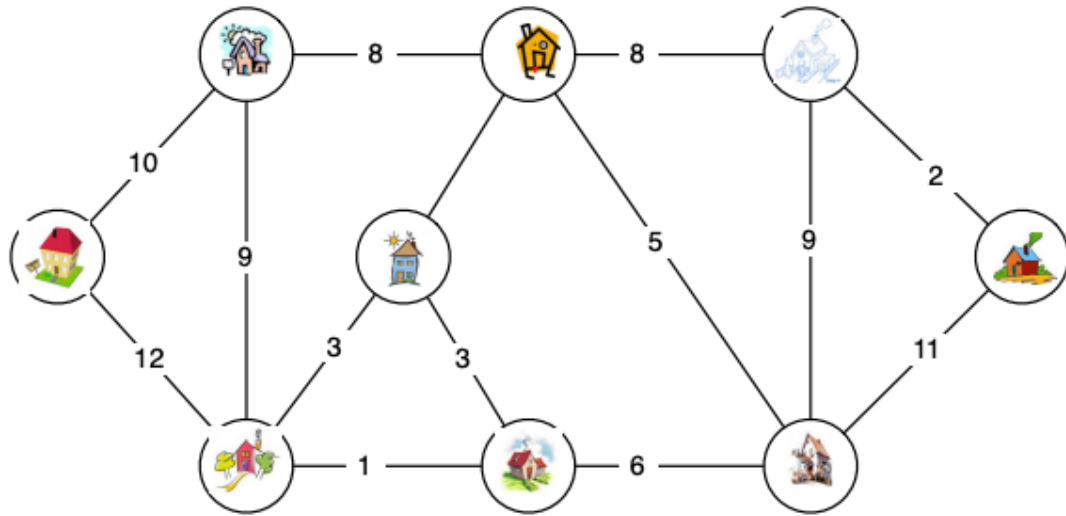
List of A

Minimum Spanning Tree



We want a tree that connects all **V**, of graph **G**, and has **minimum cost**

Minimum Spanning Tree



Looking for a set of edges such that $T \subseteq E$

1. Connects all vertices (V)

2. Has the least cost:

$$\text{Min } \sum_{(u,v) \in T} w(u,v)$$

How many edges does the solution have?

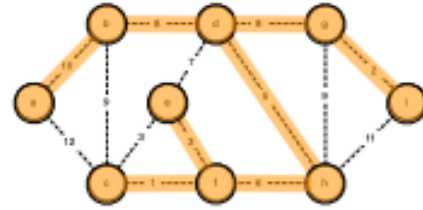
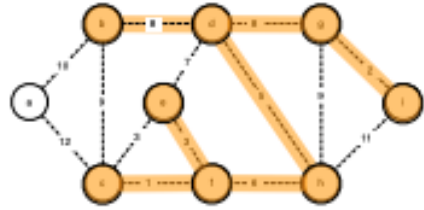
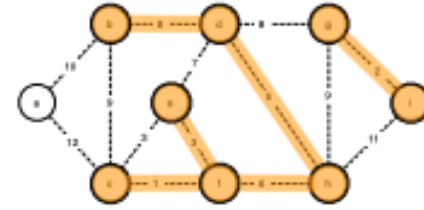
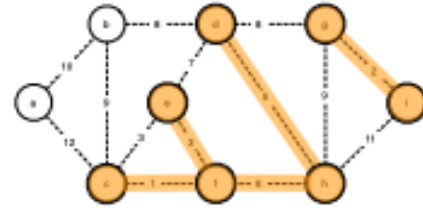
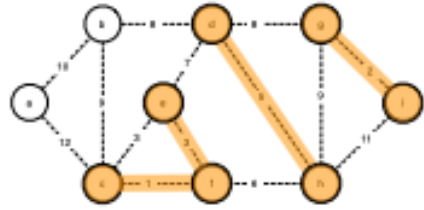
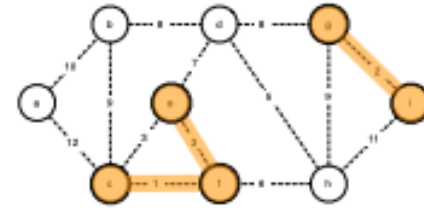
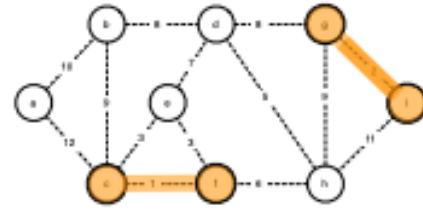
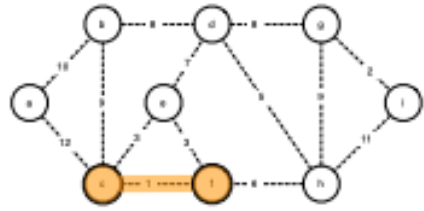
$V-1$

Does the solution have a cycle?

Strategy

- Start with an empty set of edges A
- Repeat for $v-1$ times:
 - Add lightest edge that does not create a cycle

Kruskal's algorithm



Why does this work?

$T \leftarrow \emptyset$

repeat $V - 1$ times:

add to T the lightest edge $e \in E$ that does not create a cycle

Cut theorem

Suppose the set of edges A is part of an m.s.t.

Let $(S, V - S)$ be any cut that respects A .

Let edge e be the min-weight edge across $(S, V - S)$

Then: $A \cup \{e\}$ is part of an m.s.t.

example of theorem

A is the set of orange edges.

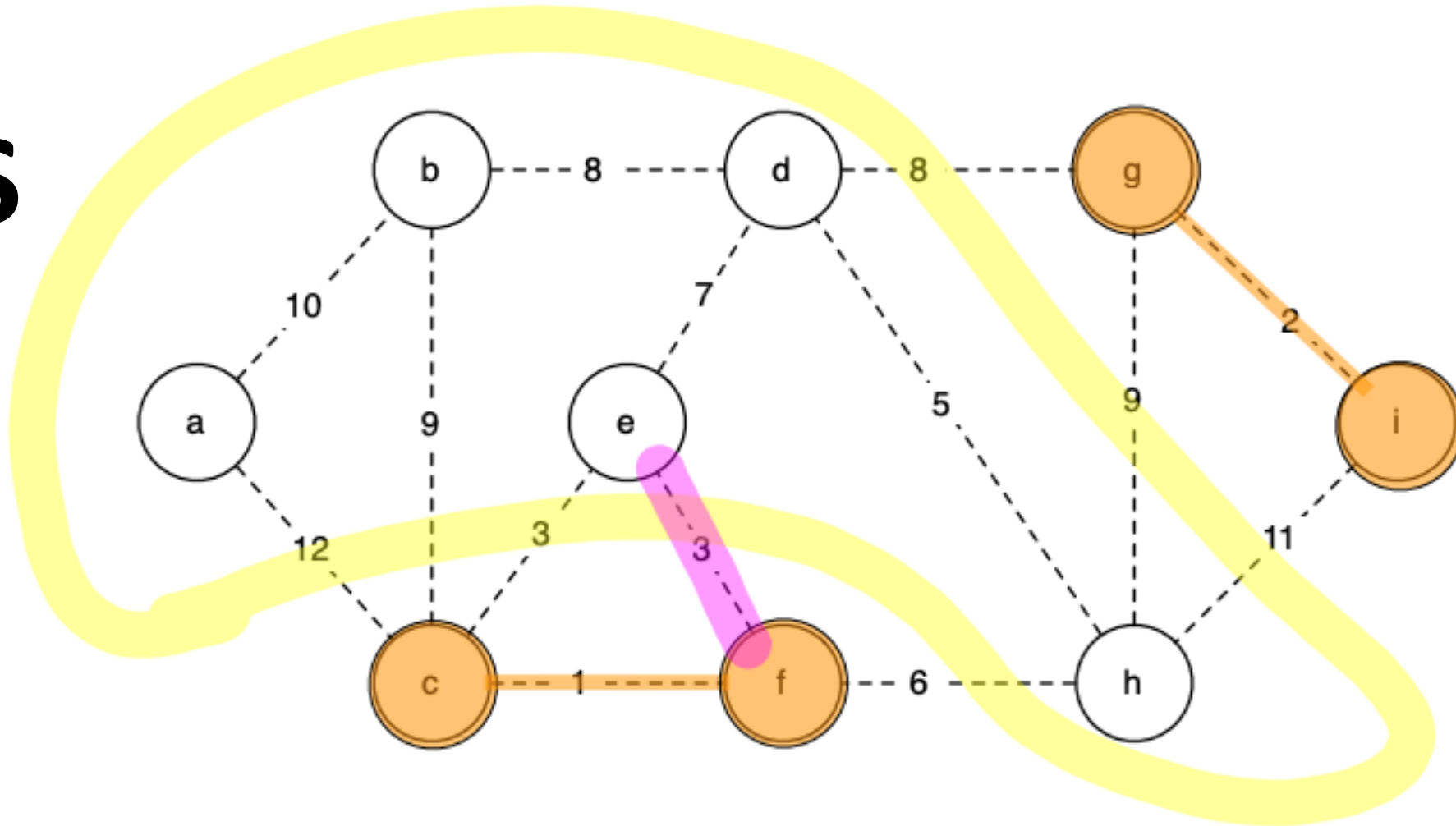
S is the cut.

A respects **S**.

e is the least weighted edge that crosses **S**.

A \cup {**e**} is part of some minimum spanning tree (MST)

S



example of theorem

A is the set of orange edges.

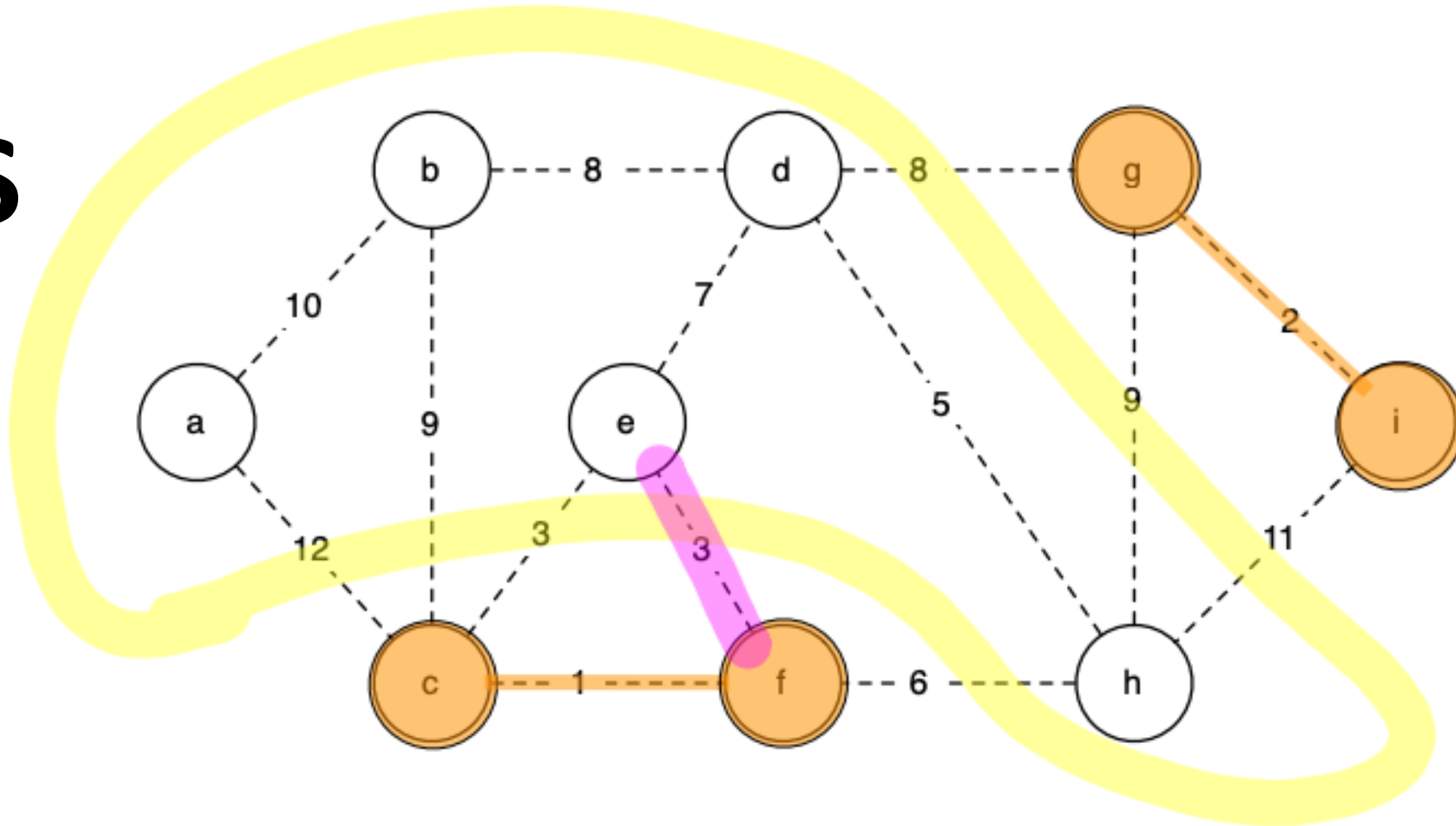
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S



GENERAL-MST-STRATEGY($G = (V, E)$)

1 $A \leftarrow \emptyset$

2 **repeat** $V - 1$ times:

3 Pick a cut $(S, V - S)$ that respects A

4 Let e be min-weight edge over cut $(S, V - S)$

5 $A \leftarrow A \cup \{e\}$

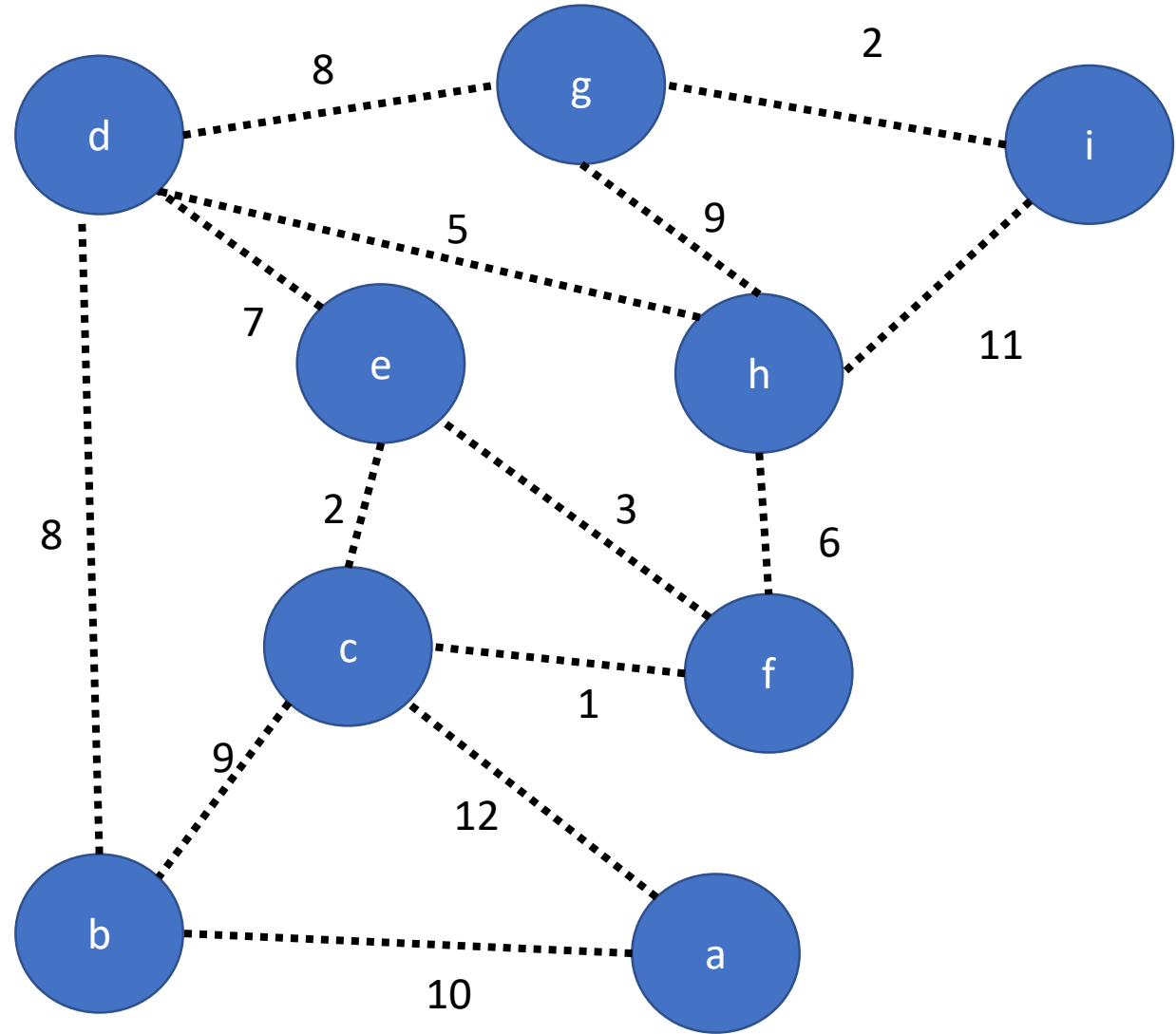
Modification: Cut S consists of all edges, and vertices of A

Algorithm

$A = \emptyset$

GENERAL-MST-STRATEGY($G = (V, E)$)

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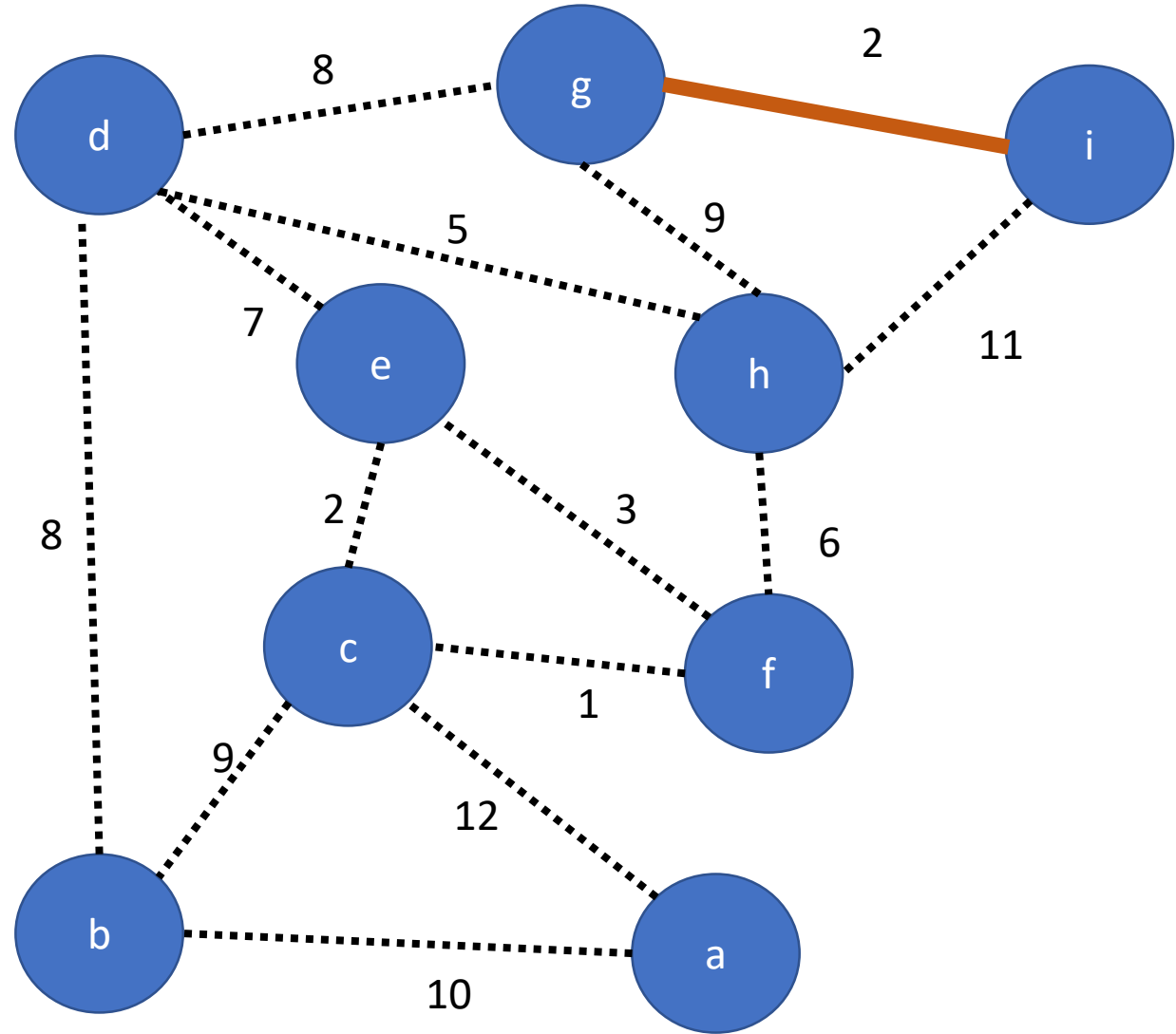


Algorithm

$A = \{(g,i)\}$

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- 1 $A \leftarrow \emptyset$
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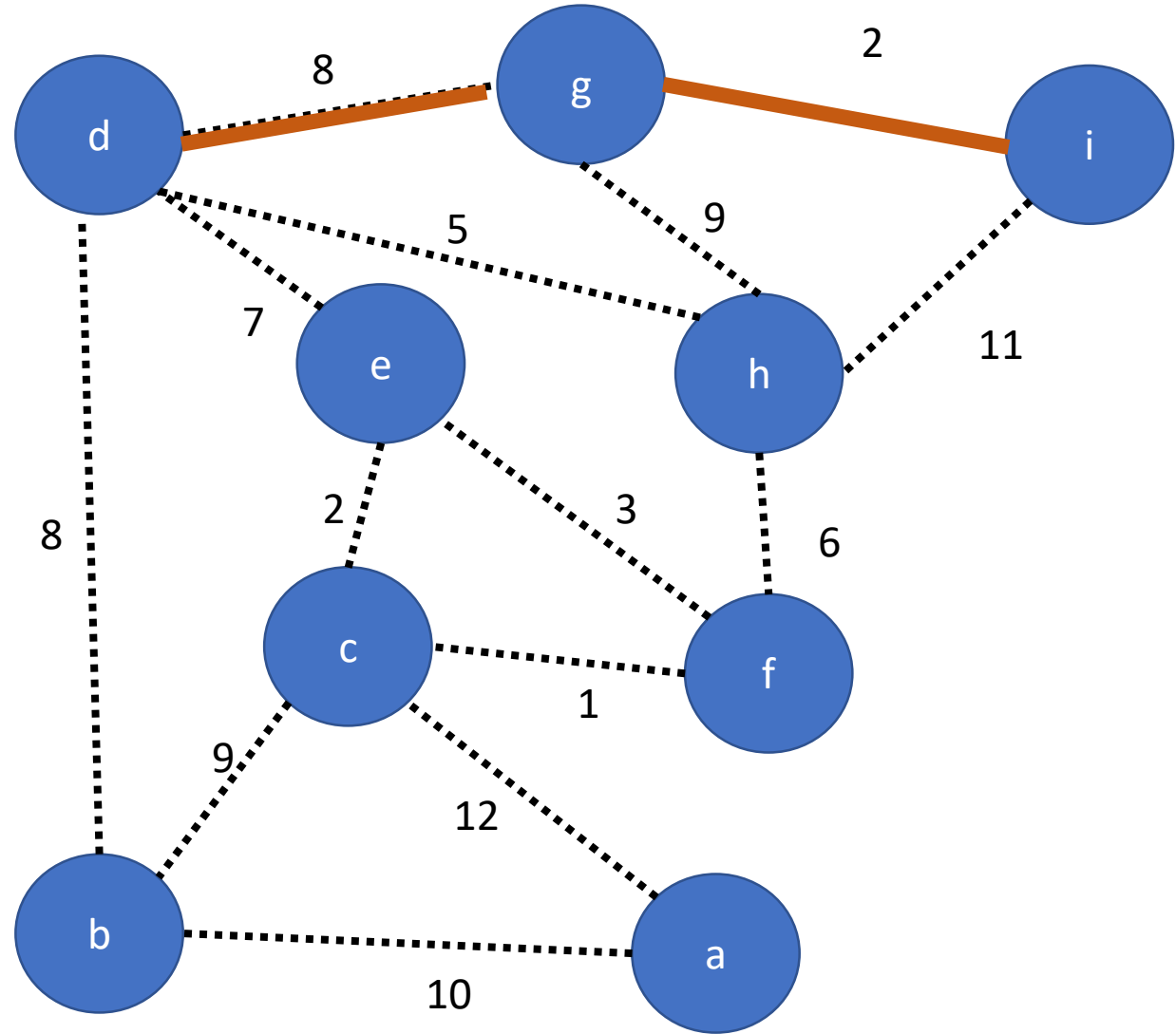


Algorithm

$A = \{(g,i), (d,g)\}$

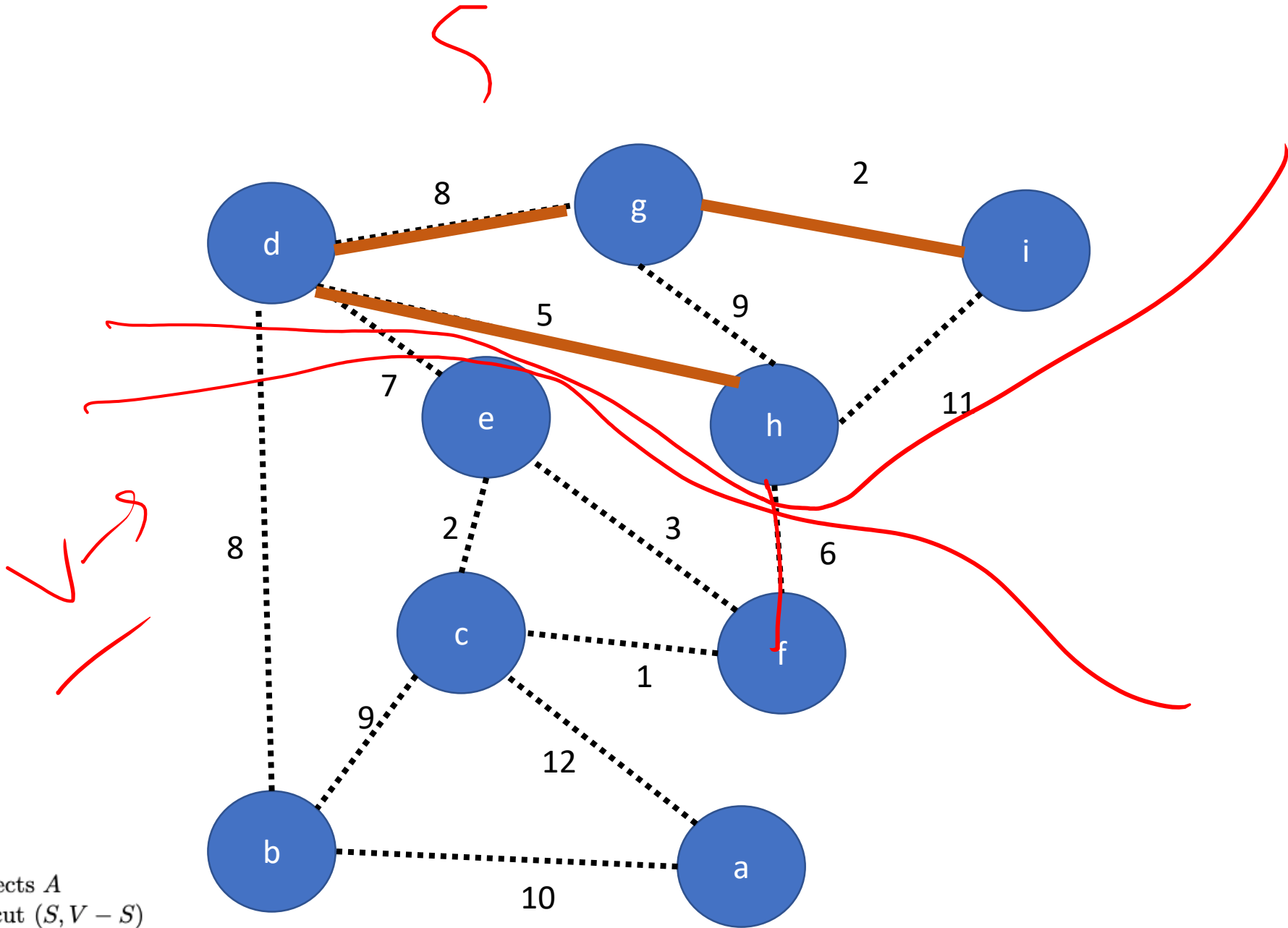
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- 2 **repeat** $V - 1$ times:
- 3 Pick a cut $(S, V - S)$ that respects A
- 4 Let e be min-weight edge over cut $(S, V - S)$
- 5 $A \leftarrow A \cup \{e\}$



Algorithm

$A = \{(g,i), (d,g), (d,h)\}$

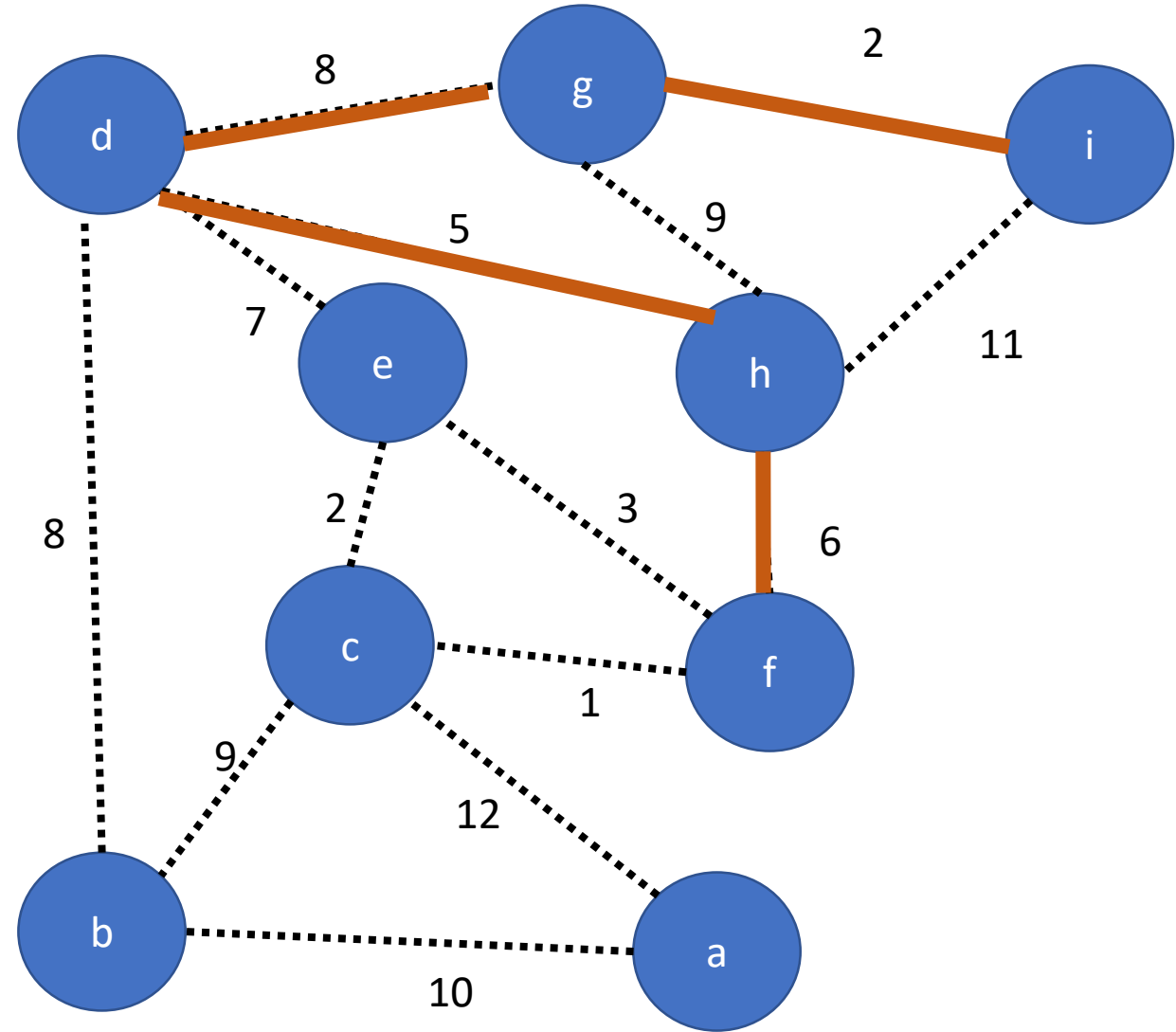


GENERAL-MST-STRATEGY($G = (V, E)$)

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- 2 **repeat** $V - 1$ times:
- 3 Pick a cut $(S, V - S)$ that respects A
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- 5 $A \leftarrow A \cup \{e\}$

Algorithm

$A = \{(g,i), (d,g), (d,h), (h,f)\}$

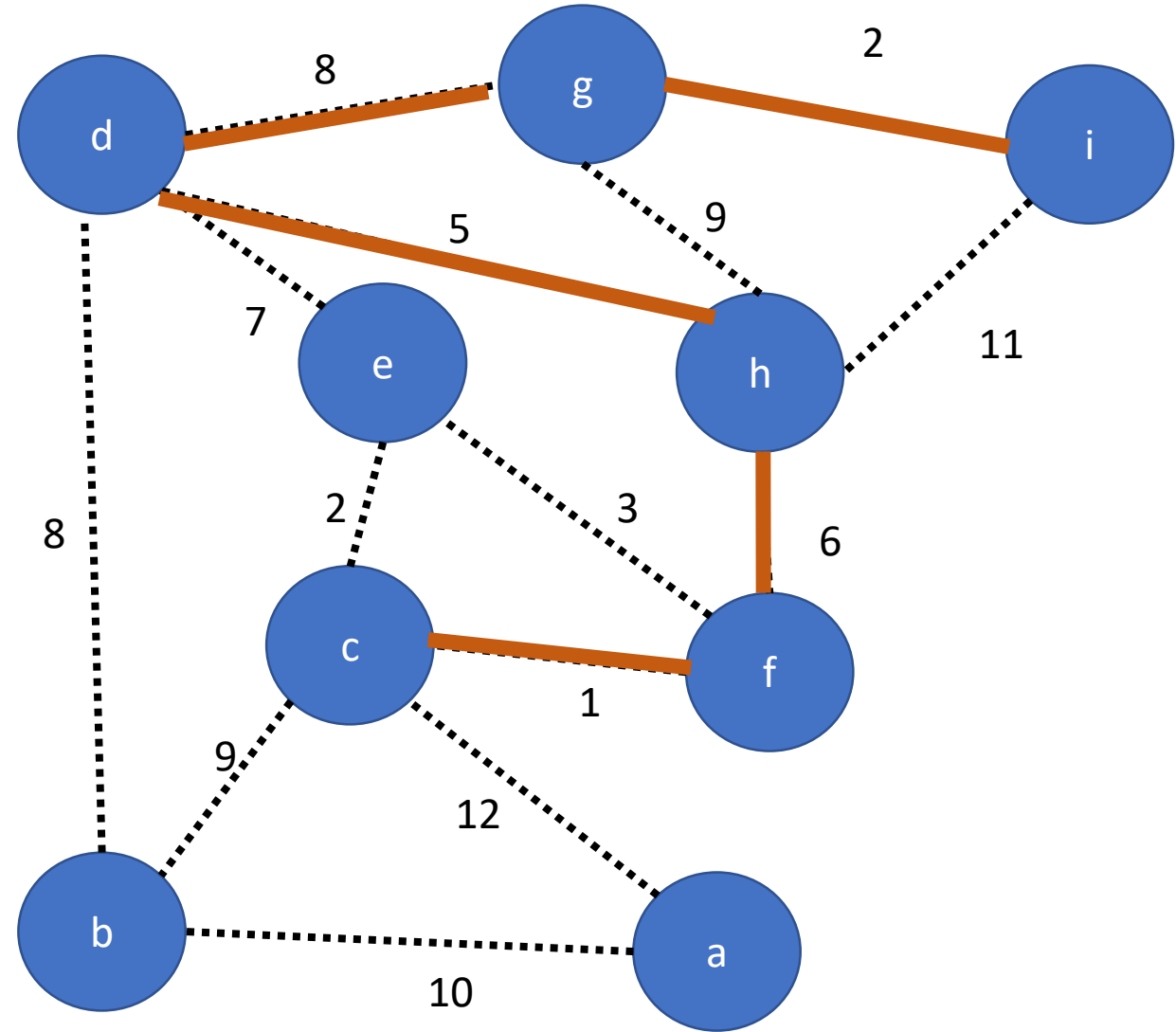


GENERAL-MST-STRATEGY($G = (V, E)$)

```
1  $A \leftarrow \emptyset$ 
2 repeat  $V - 1$  times:
3     Pick a cut  $(S, V - S)$  that respects  $A$ 
4     Let  $e$  be min-weight edge over cut  $(S, V - S)$ 
5      $A \leftarrow A \cup \{e\}$ 
```

Algorithm

$A = \{(g,i), (d,g), (d,h), (h,f), (f,c)\}$

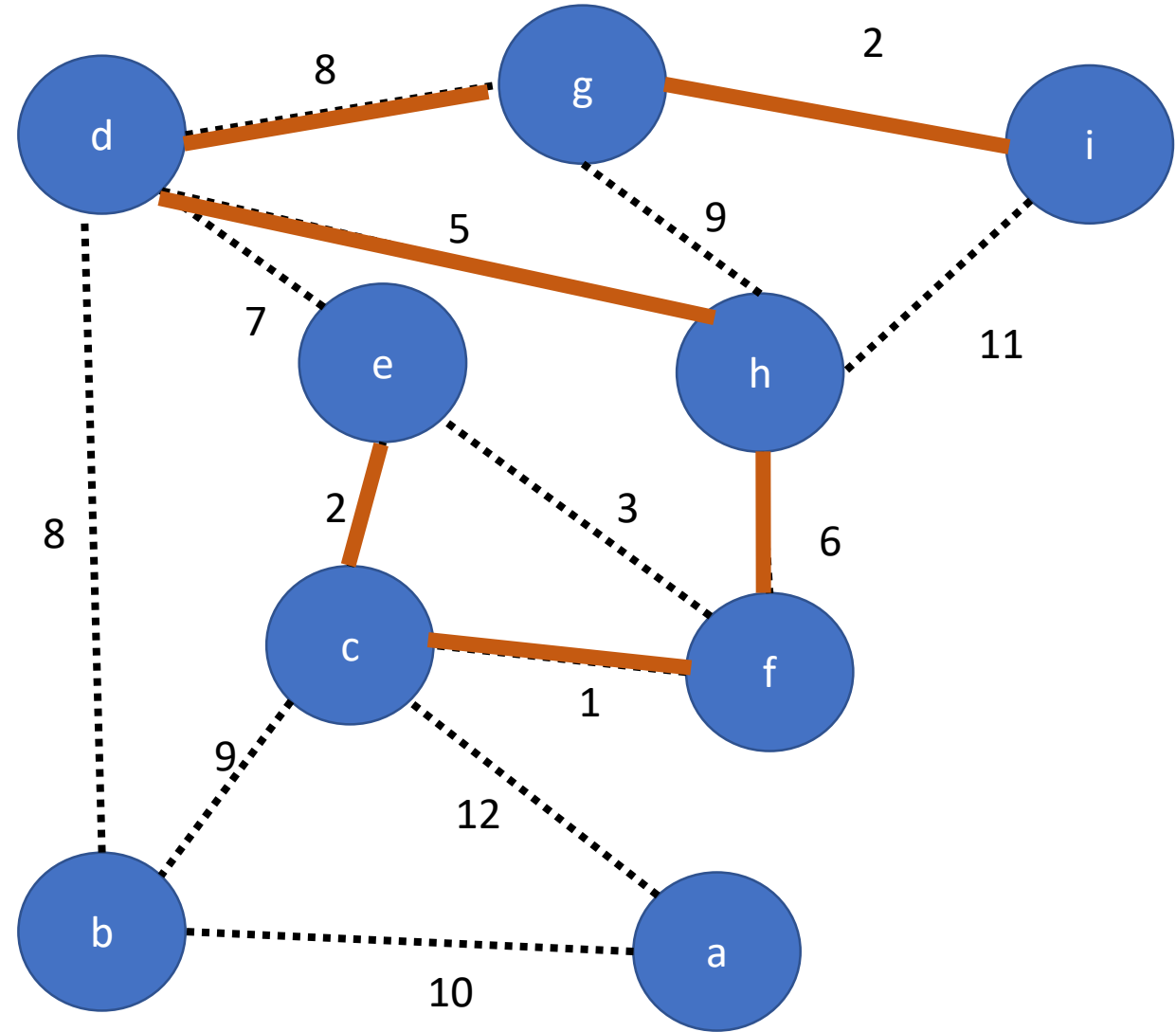


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Algorithm

$A = \{(g,i), (d,g), (d,h), (h,f), (f,c), (c,e)\}$



GENERAL-MST-STRATEGY($G = (V, E)$)

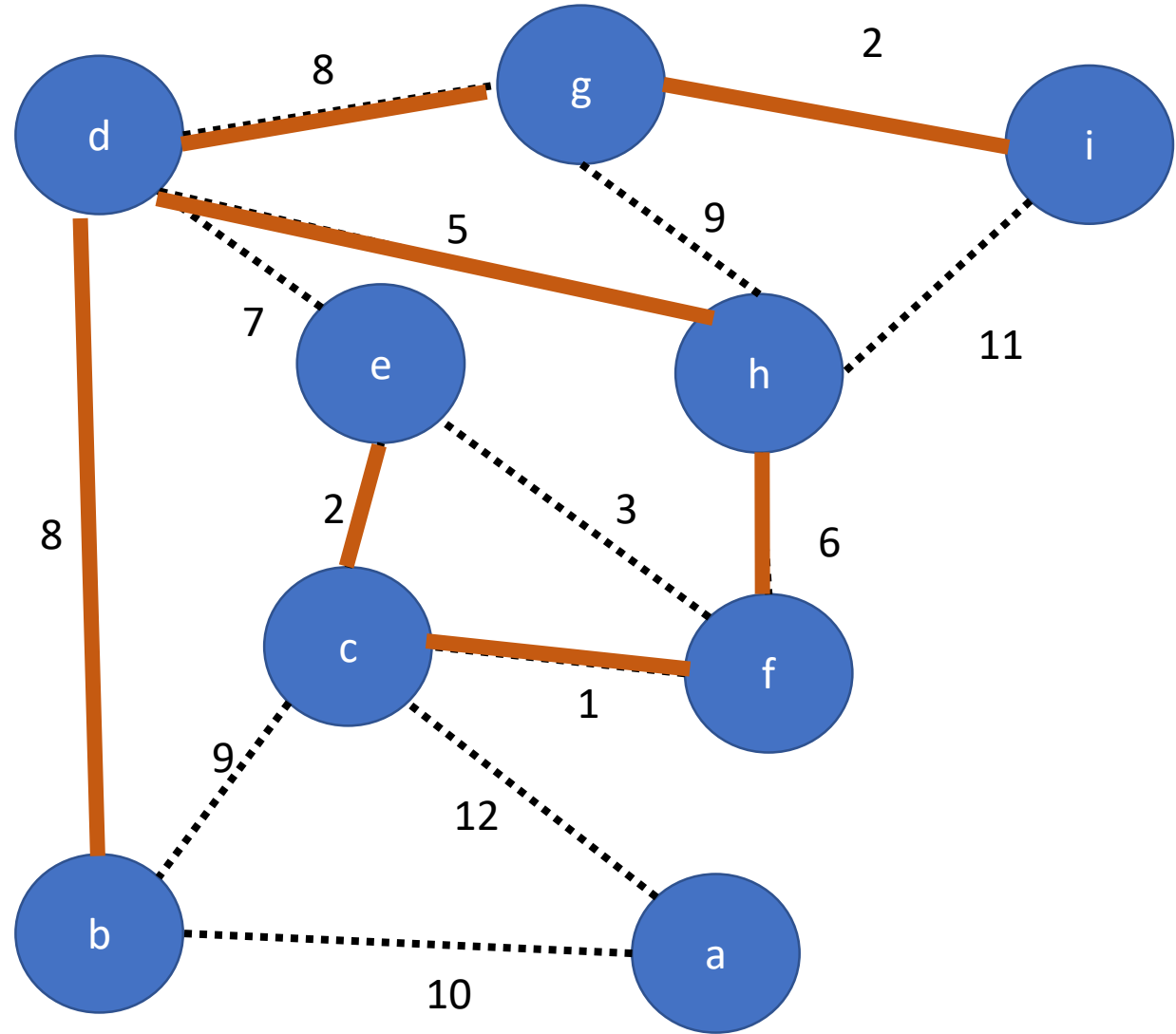
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```

Algorithm

$A = \{(g,i), (d,g), (d,h), (h,f), (f,c), (c,e), (d,b)\}$

GENERAL-MST-STRATEGY($G = (V, E)$)

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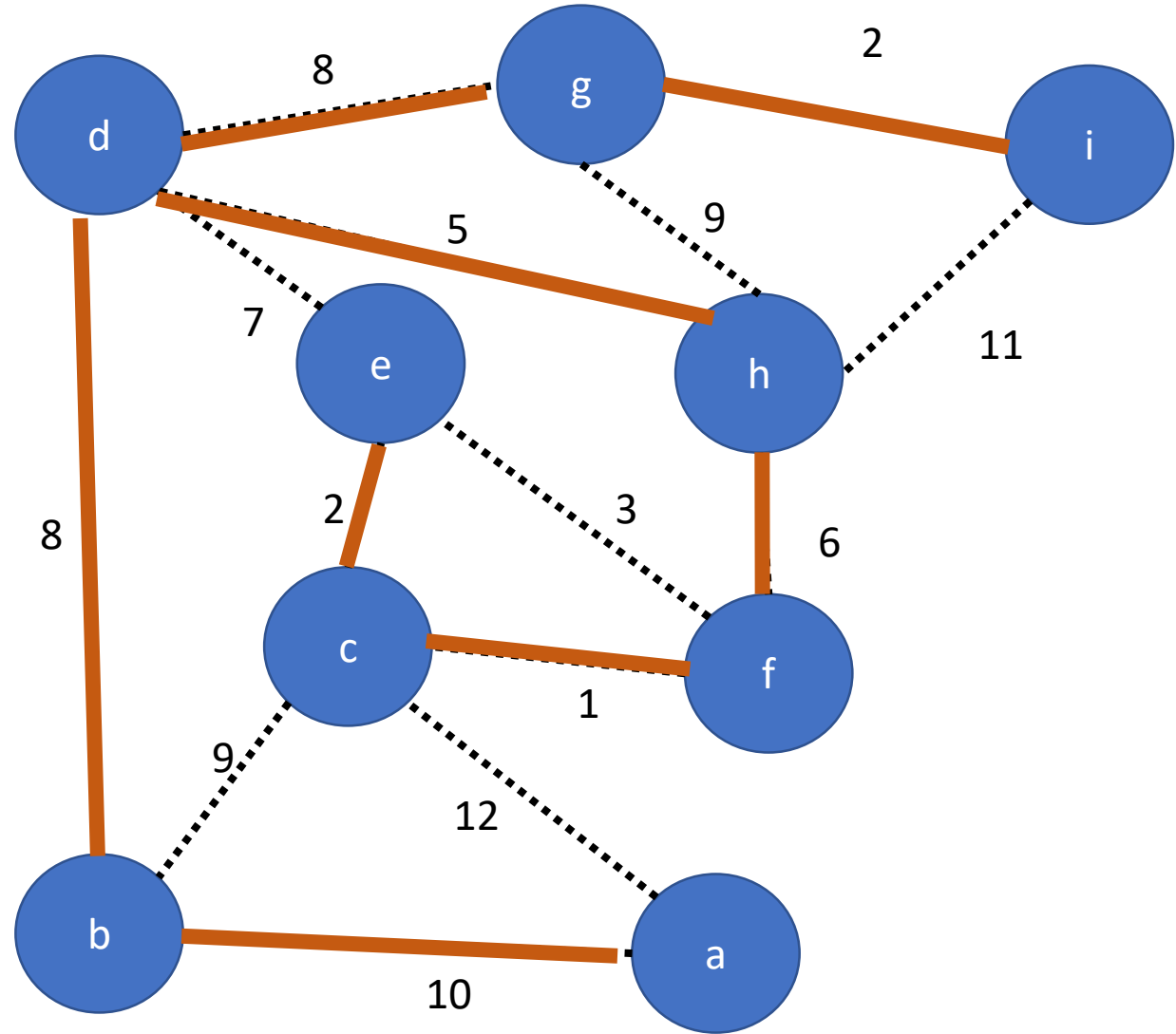


Algorithm

$A = \{(g,i), (d,g), (d,h), (h,f), (f,c), (c,e), (d,b), (b,a)\}$

GENERAL-MST-STRATEGY($G = (V, E)$)

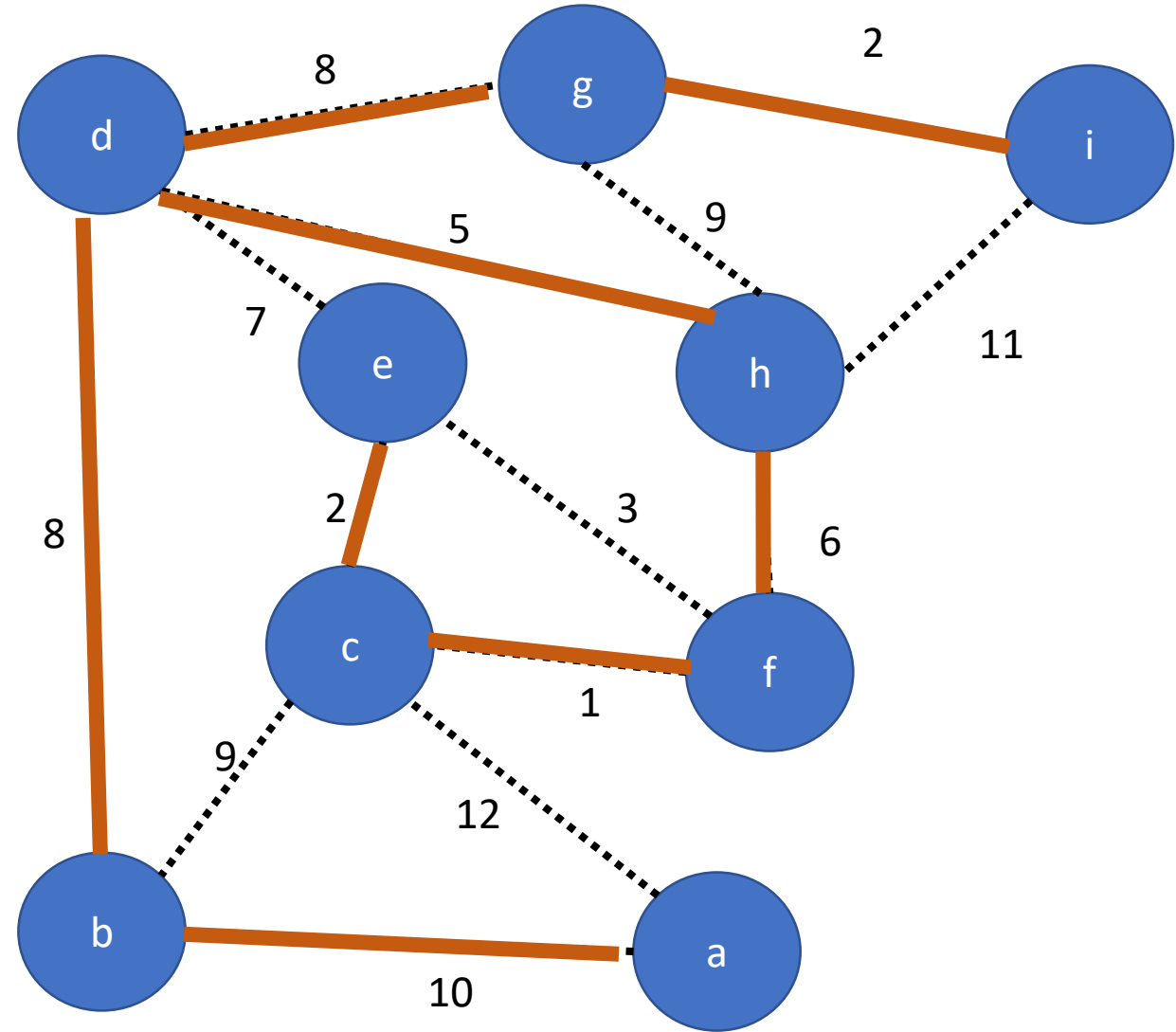
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```



MST

GENERAL-MST-STRATEGY($G = (V, E)$)

```
1  $A \leftarrow \emptyset$ 
2 repeat  $V - 1$  times:
3   Pick a cut  $(S, V - S)$  that respects  $A$ 
4   Let  $e$  be min-weight edge over cut  $(S, V - S)$ 
5    $A \leftarrow A \cup \{e\}$ 
```



Prim's Algorithm

GENERAL-MST-STRATEGY($G = (V, E)$)

1 $A \leftarrow \emptyset$

2 **repeat** $V - 1$ times:

3 Pick a cut $(S, V - S)$ that respects A

4 Let e be min-weight edge over cut $(S, V - S)$

5 $A \leftarrow A \cup \{e\}$

Implementation

- Idea:
- Keep a data structure which identifies the 'lightest edge' that crosses the cuts ($A=S$, $V-S$)
 - Priority queue

Implementation

- **Makequeue:**

- Insert a list of $(n_1, k_1), (n_2, k_2), \dots$ Into the Queue.

- **Exchange Operation:**

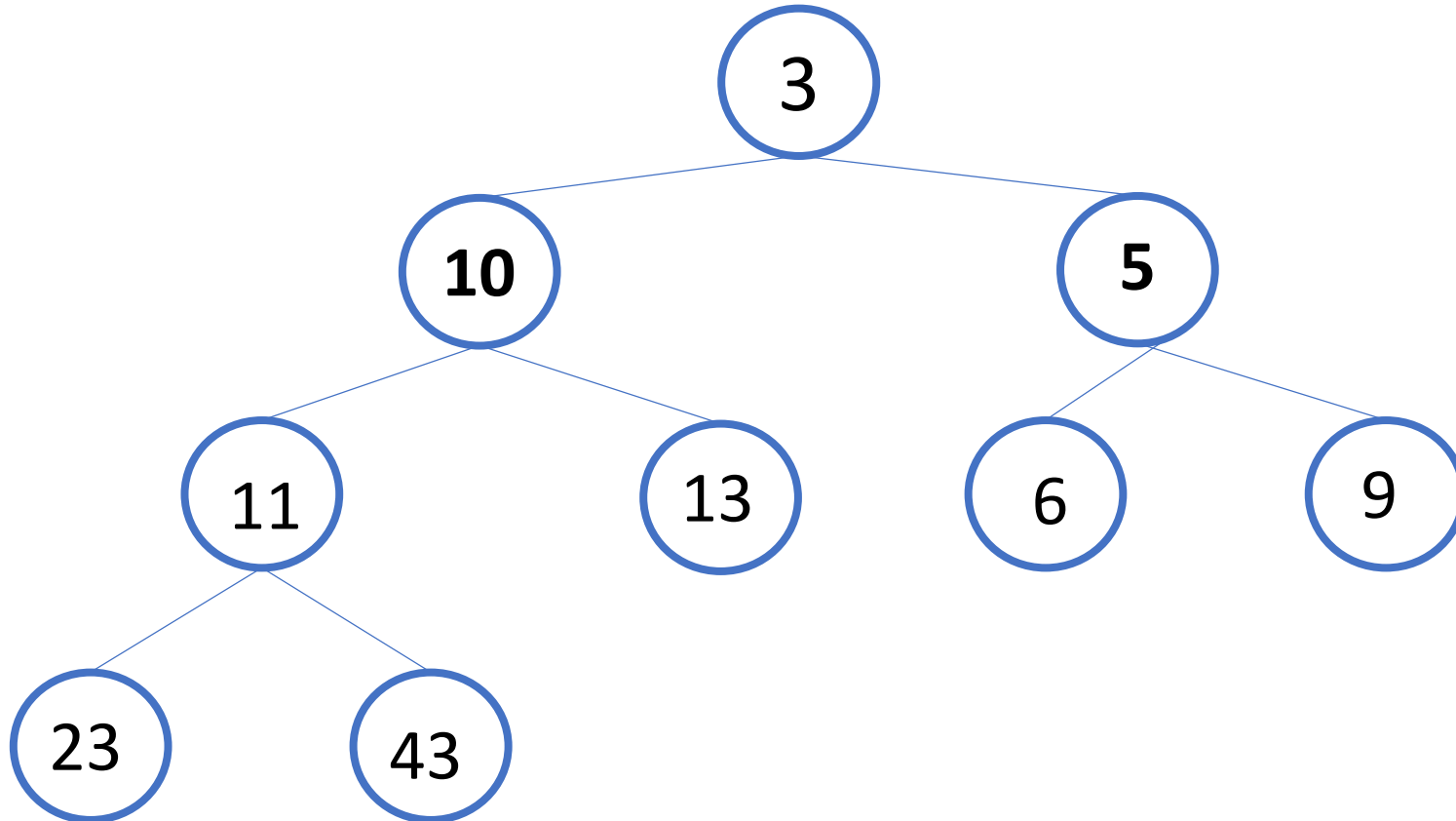
- Remove the node (n_i, k_i) where k_i is the smallest key in the Queue.

- **Decrease Key operation:**

- Given a key (n_i, k_i) , and a new value k_i^* ($k_i^* < k_i$), decrease the value k_i to k_i^* .

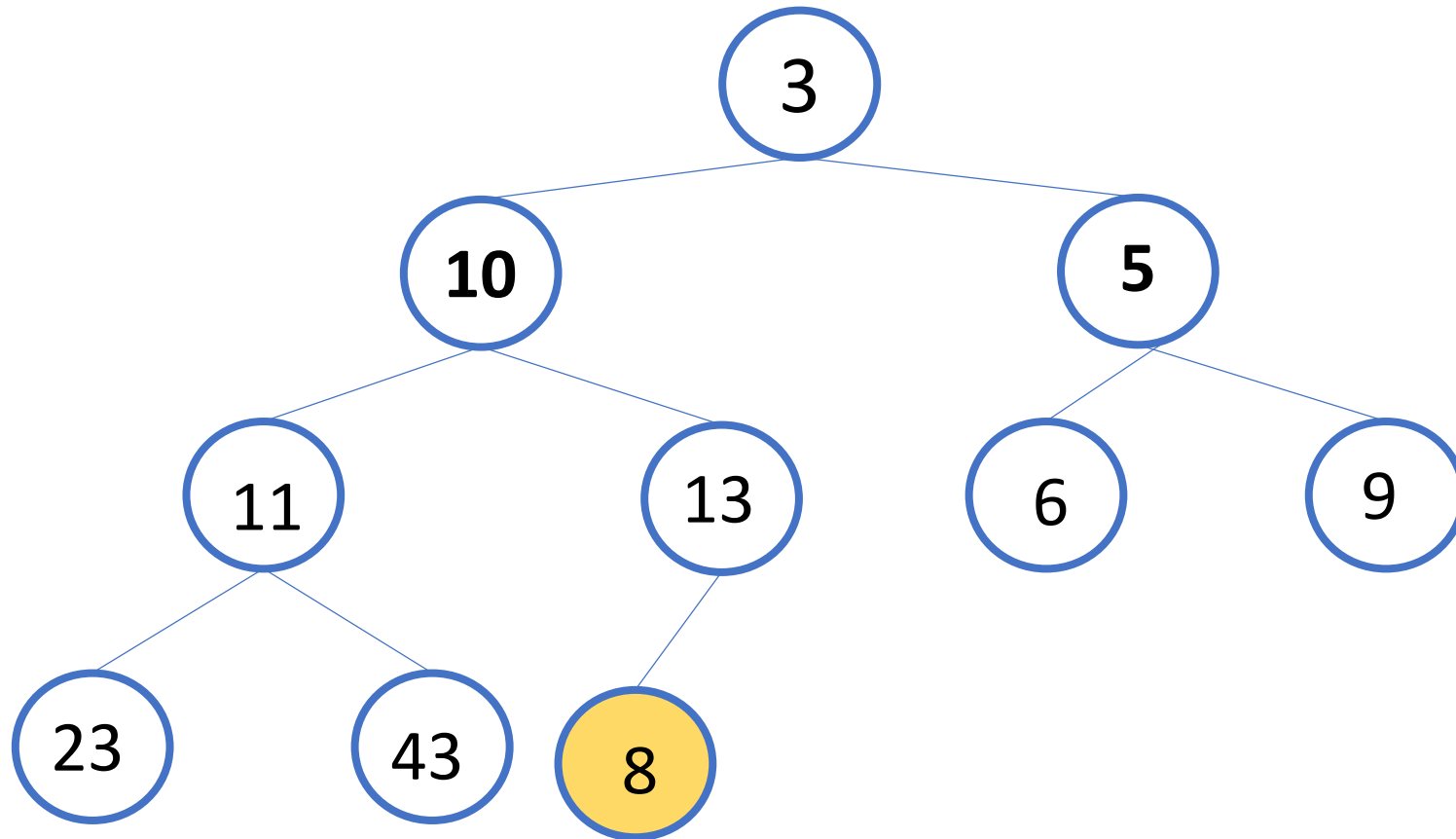
Binary heap

- A Full tree
- Key value of a node \leq key value of its children



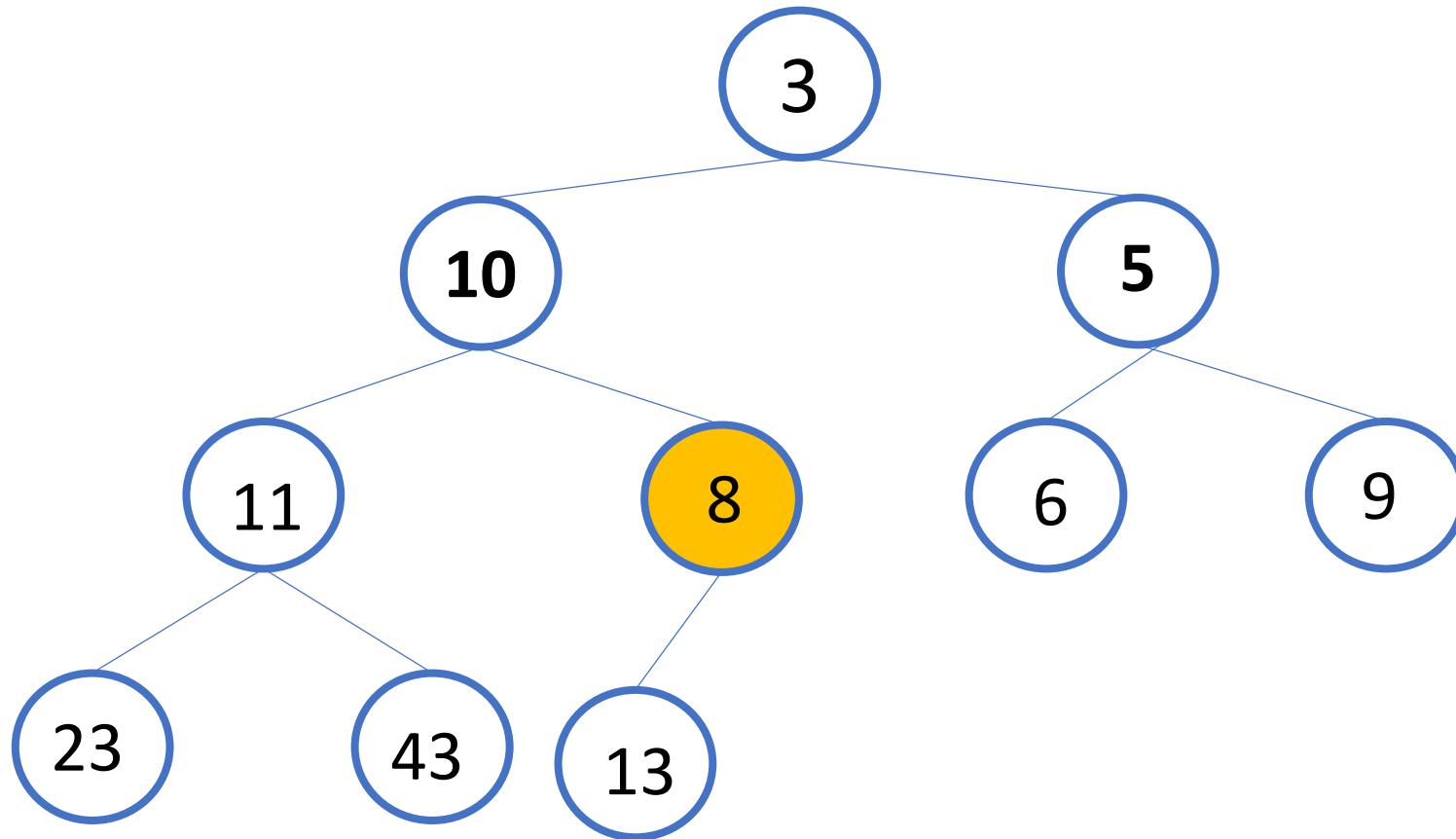
Binary heap

- **Insert:**



Binary heap

- **Insert:**



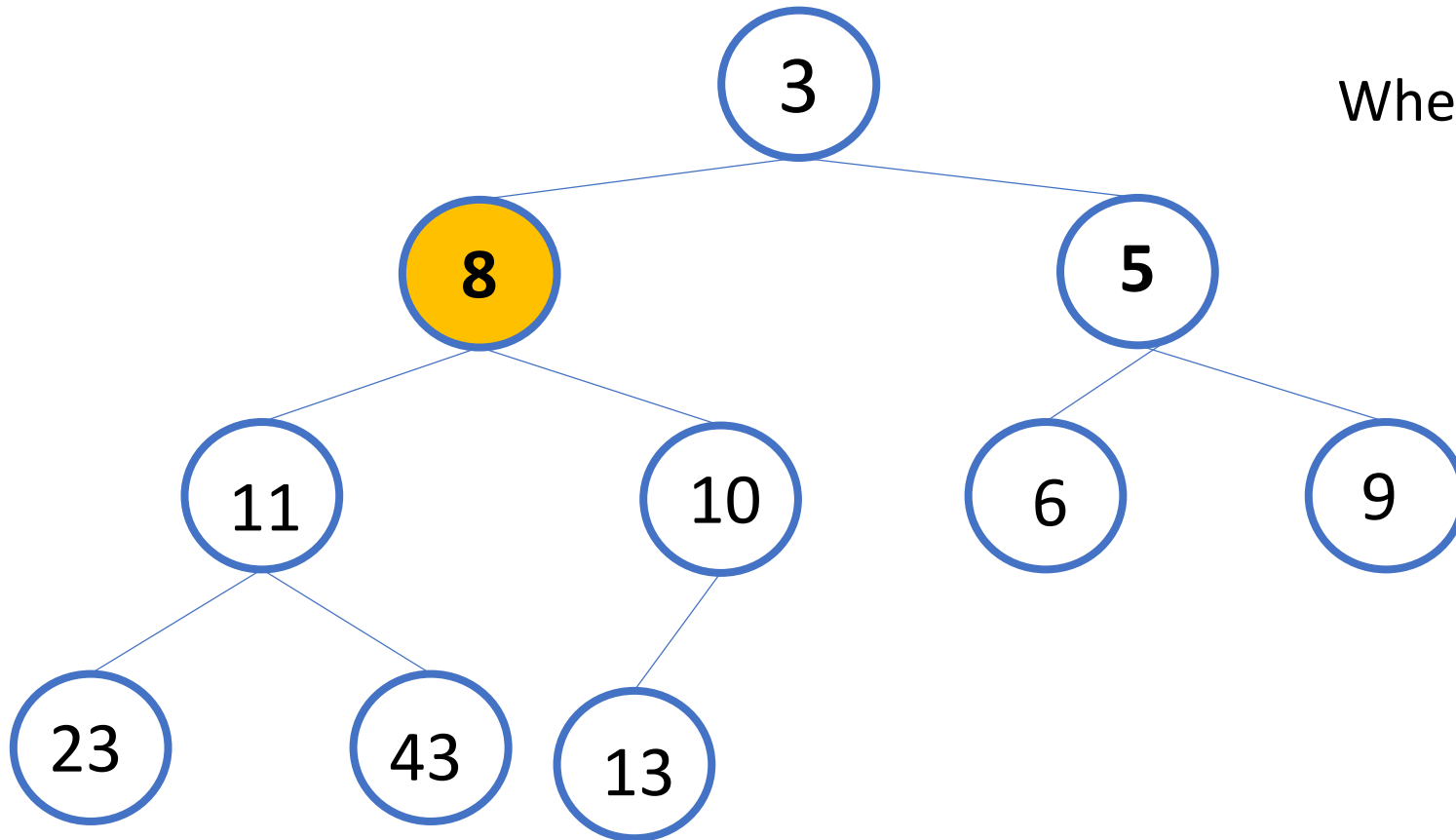
Binary heap

- **Insert:**

Insert time:

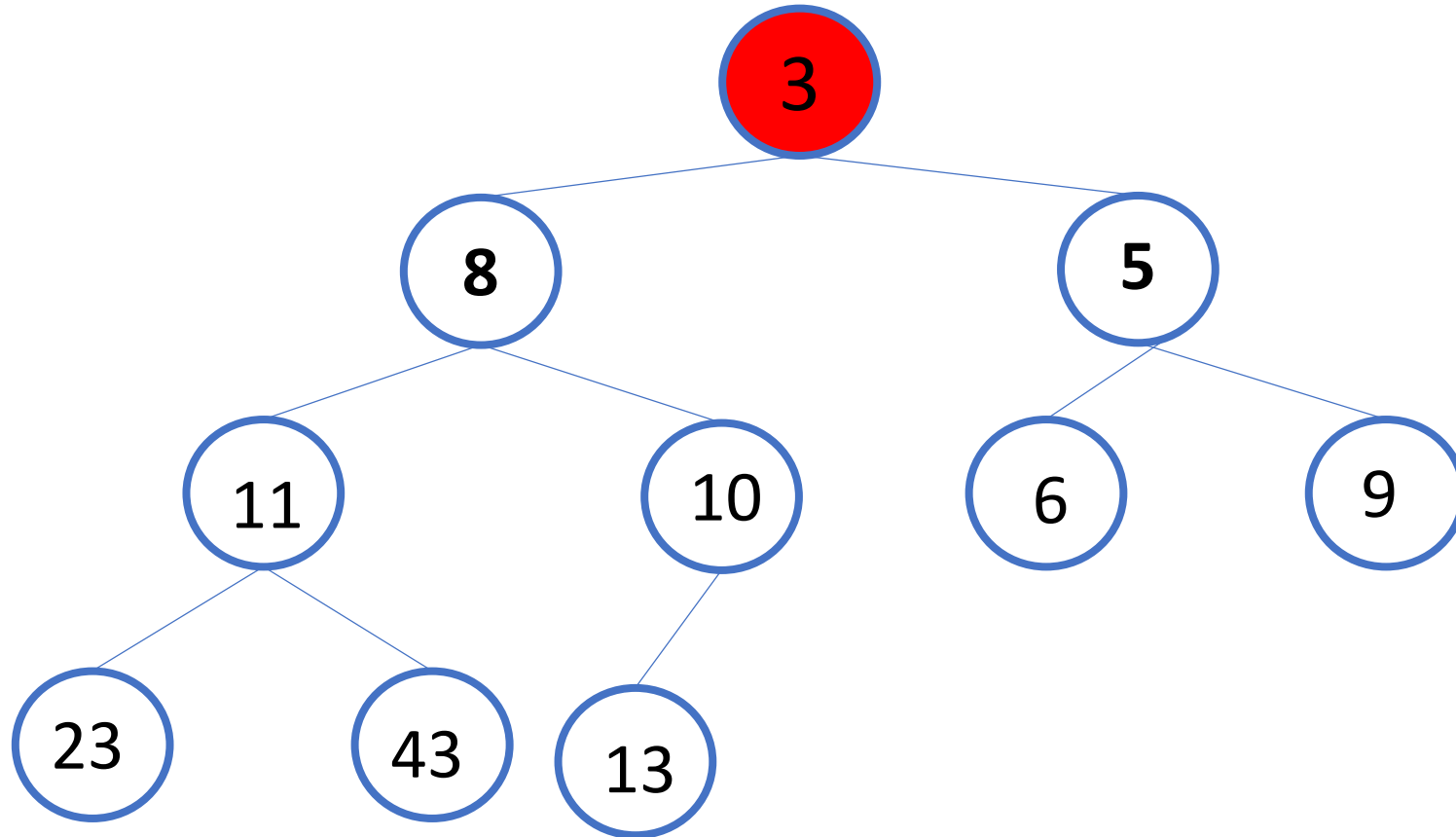
$O(\log n)$

Where n is the size of the heap



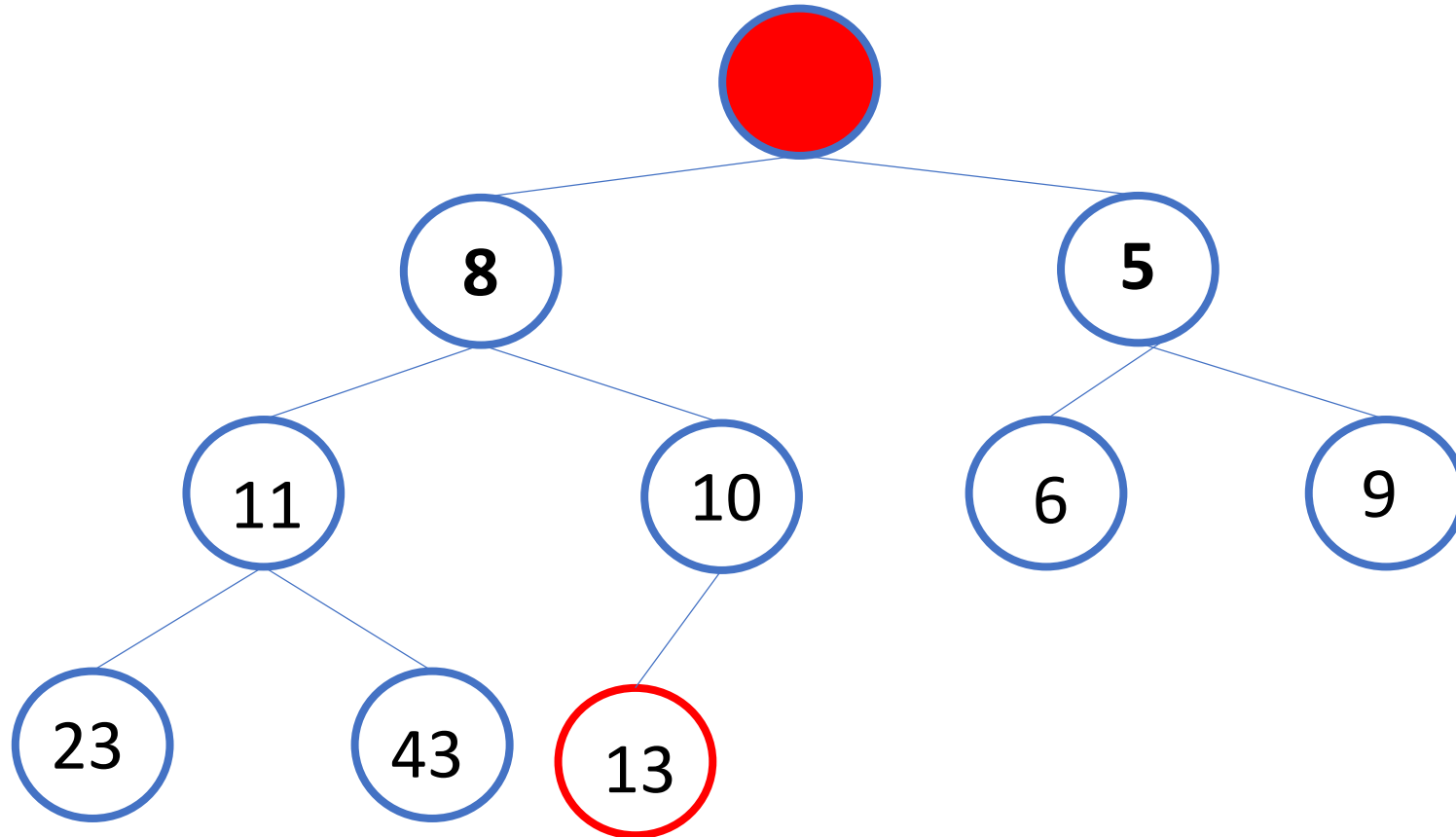
Binary heap

- **Extractmin:**



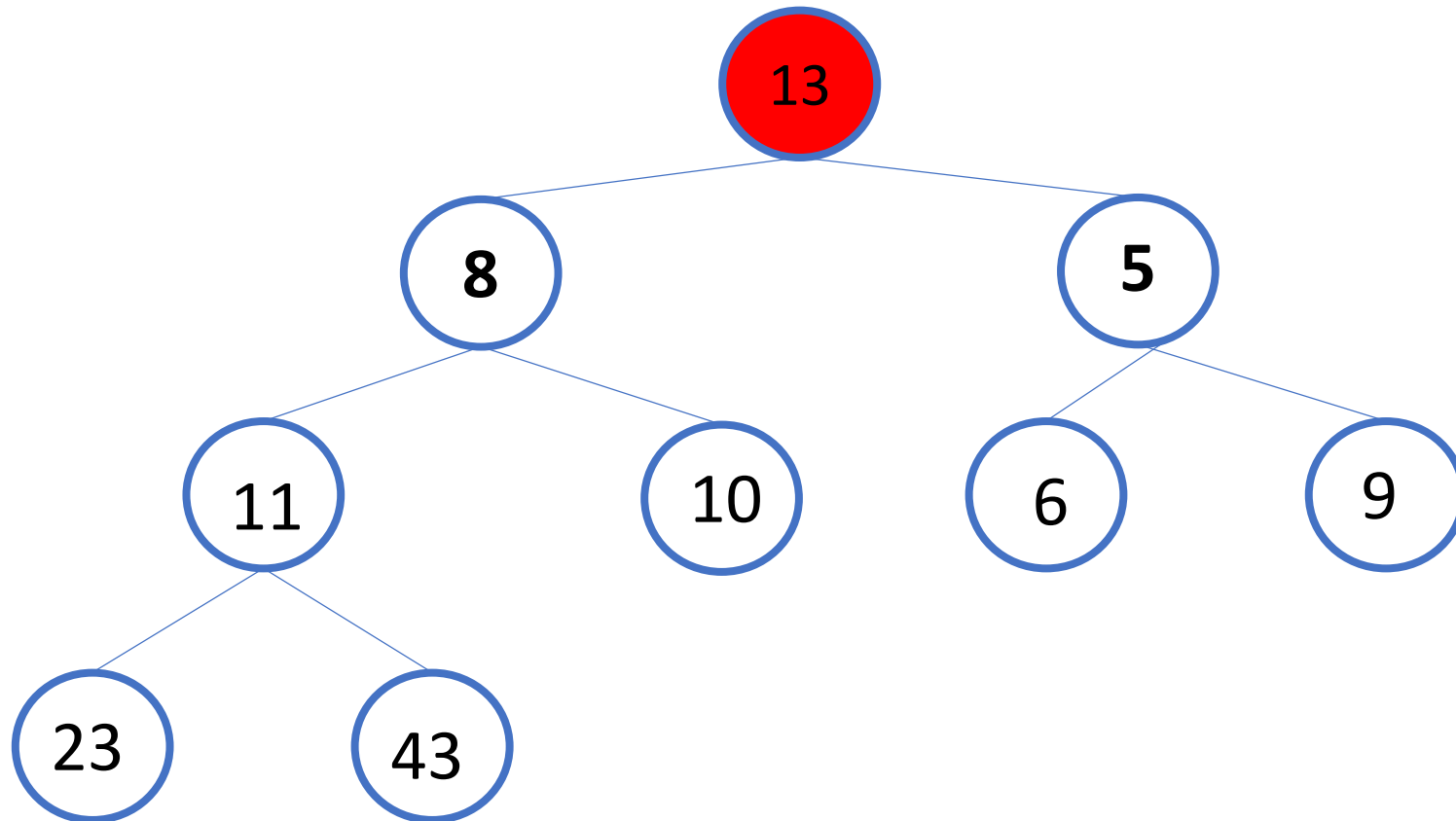
Binary heap

- **Extractmin:**



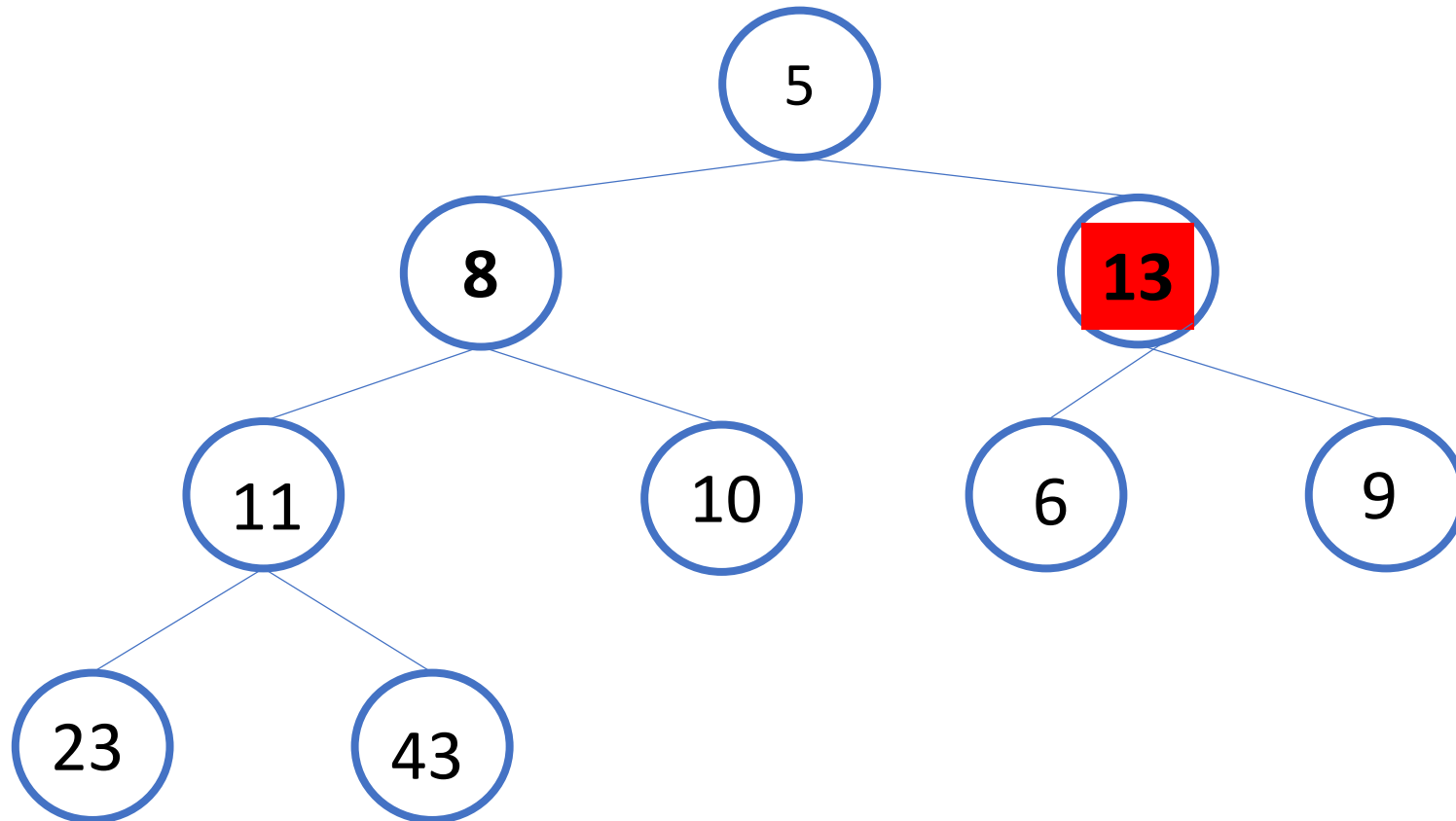
Binary heap

- **Extractmin:**



Binary heap

- **Extractmin:**



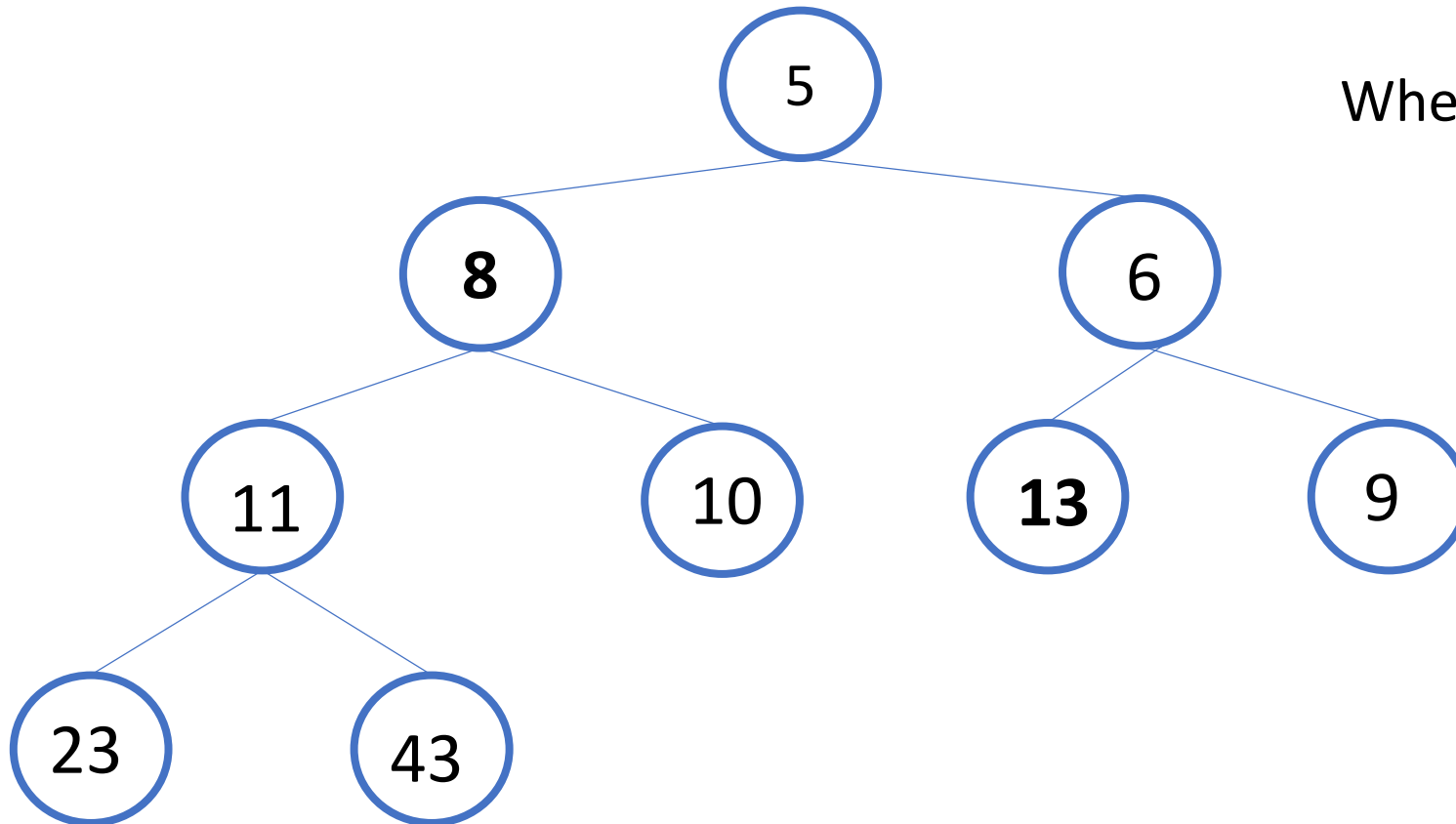
Binary heap

- **Extractmin:**

time:

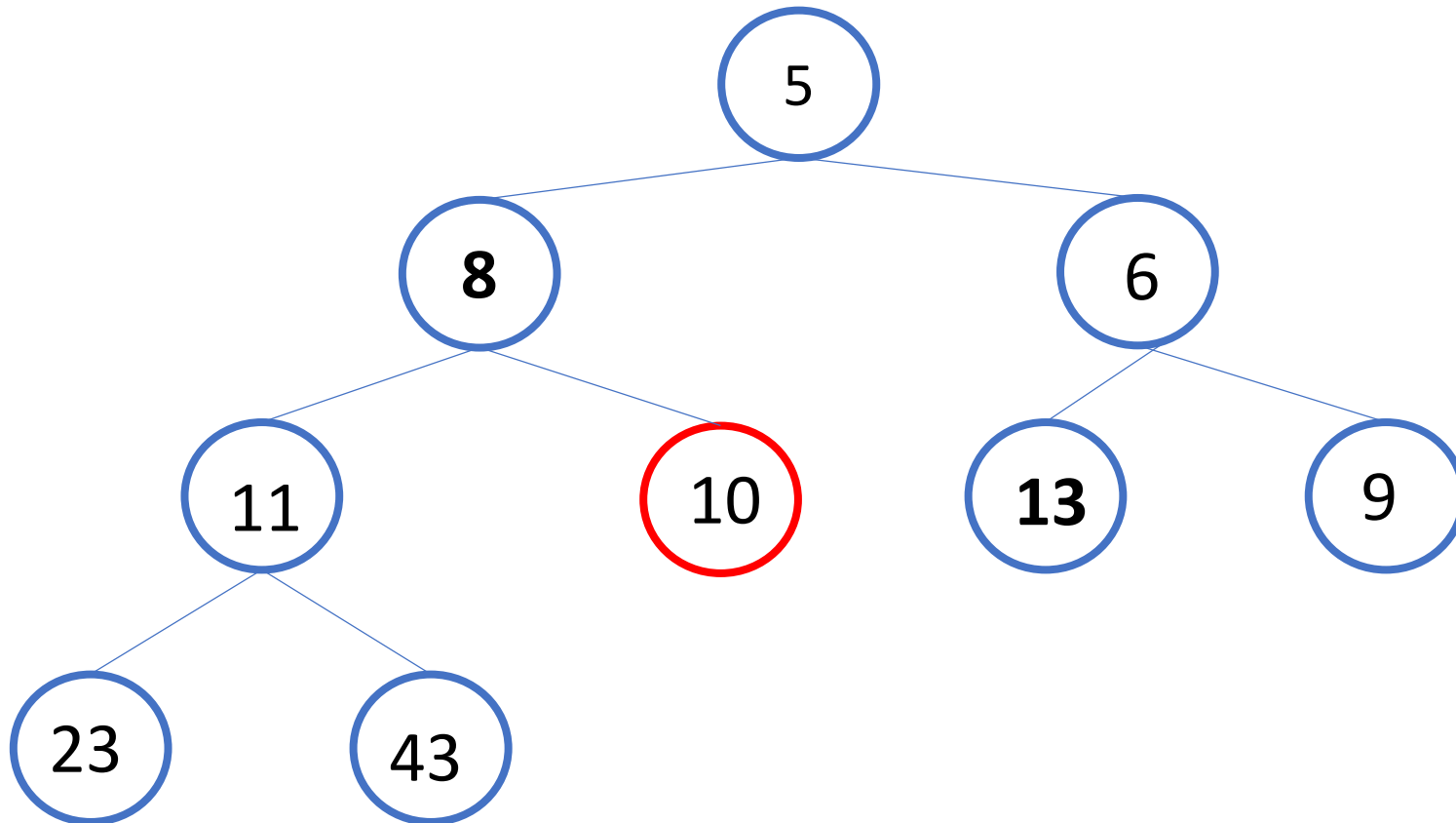
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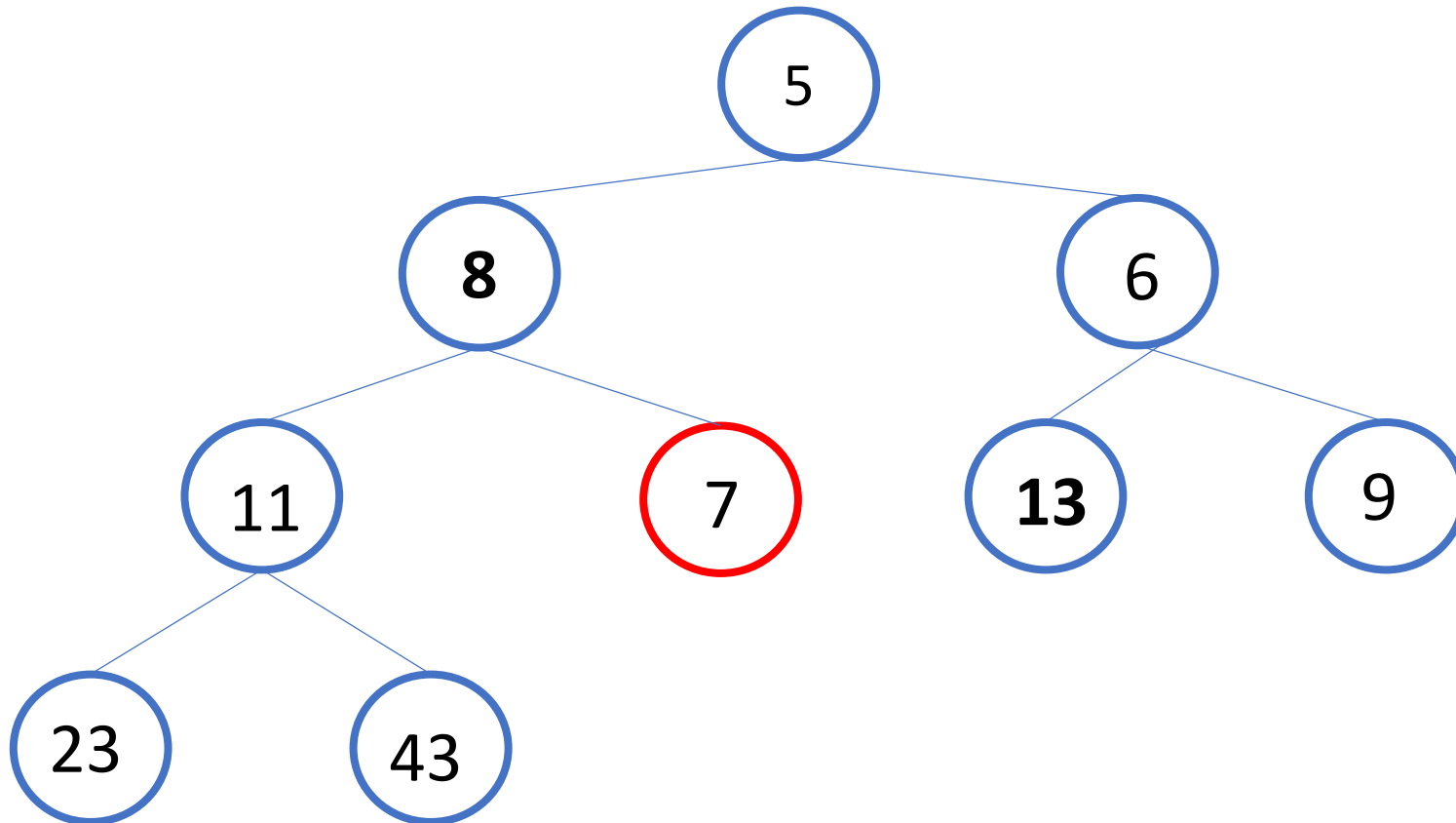
Binary heap

- **Decrease Key operation:**



Binary heap

- **Decrease Key operation:**



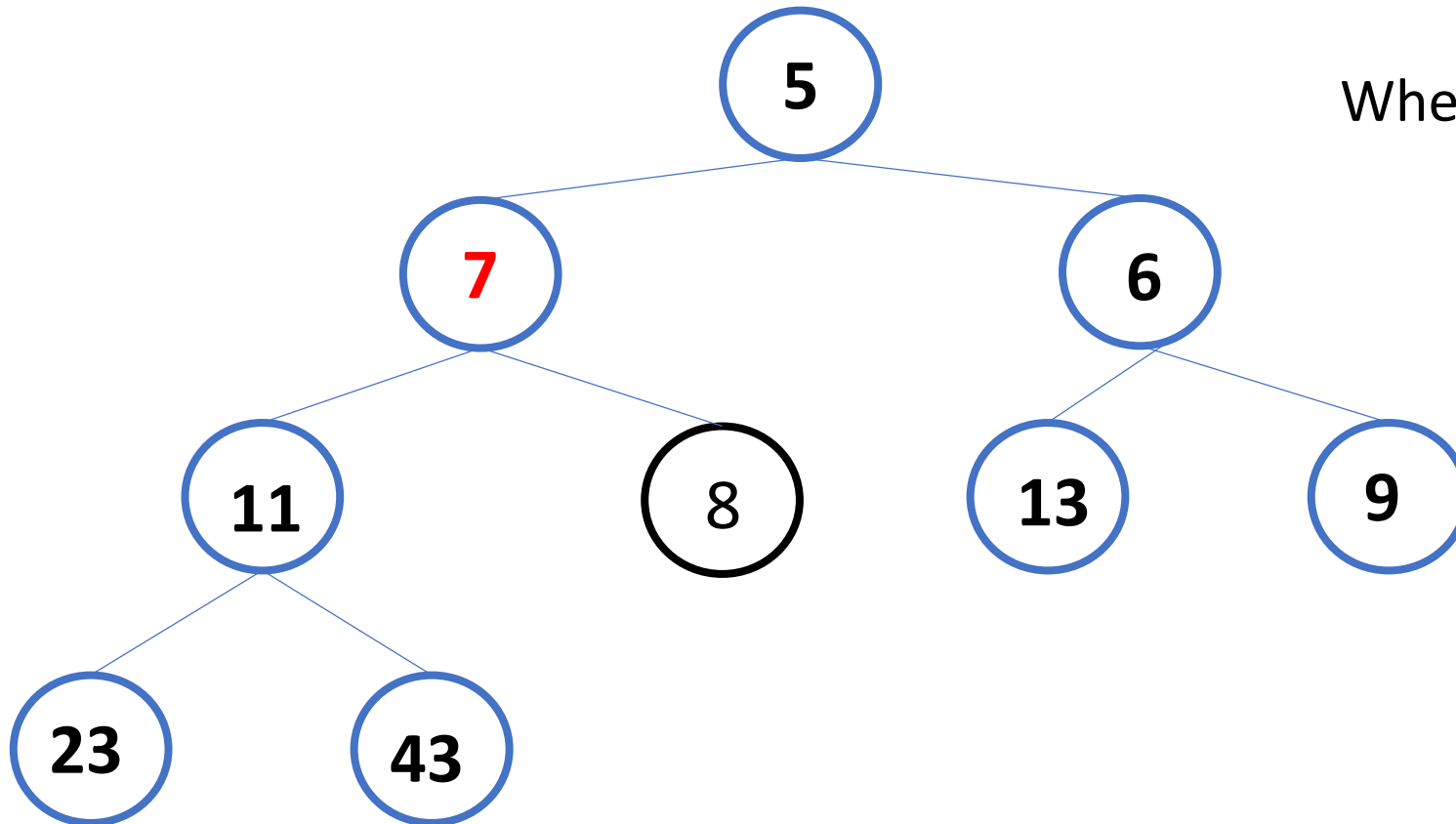
Binary heap

- **Decrease Key operation:**

time:

$O(\log n)$

Where n is the size of the heap



Implementation

- **Makequeue:**
 - $O(n)$
- **Exchange Operation:**
 - $O(\log n)$
- **Decrease Key operation:**
 - $O(\log n)$

Implementation

Prim ($G = (V, E)$)

$Q = \emptyset$ // Q is the Priority Queue

Initialize each $v \in V$ with key $K_v \leftarrow \infty$, $\Pi_v \leftarrow \text{NIL}$

Pick a starting node r and set $K_r \leftarrow 0$

Insert all nodes into Q with key K_v

While $Q \neq \emptyset$:

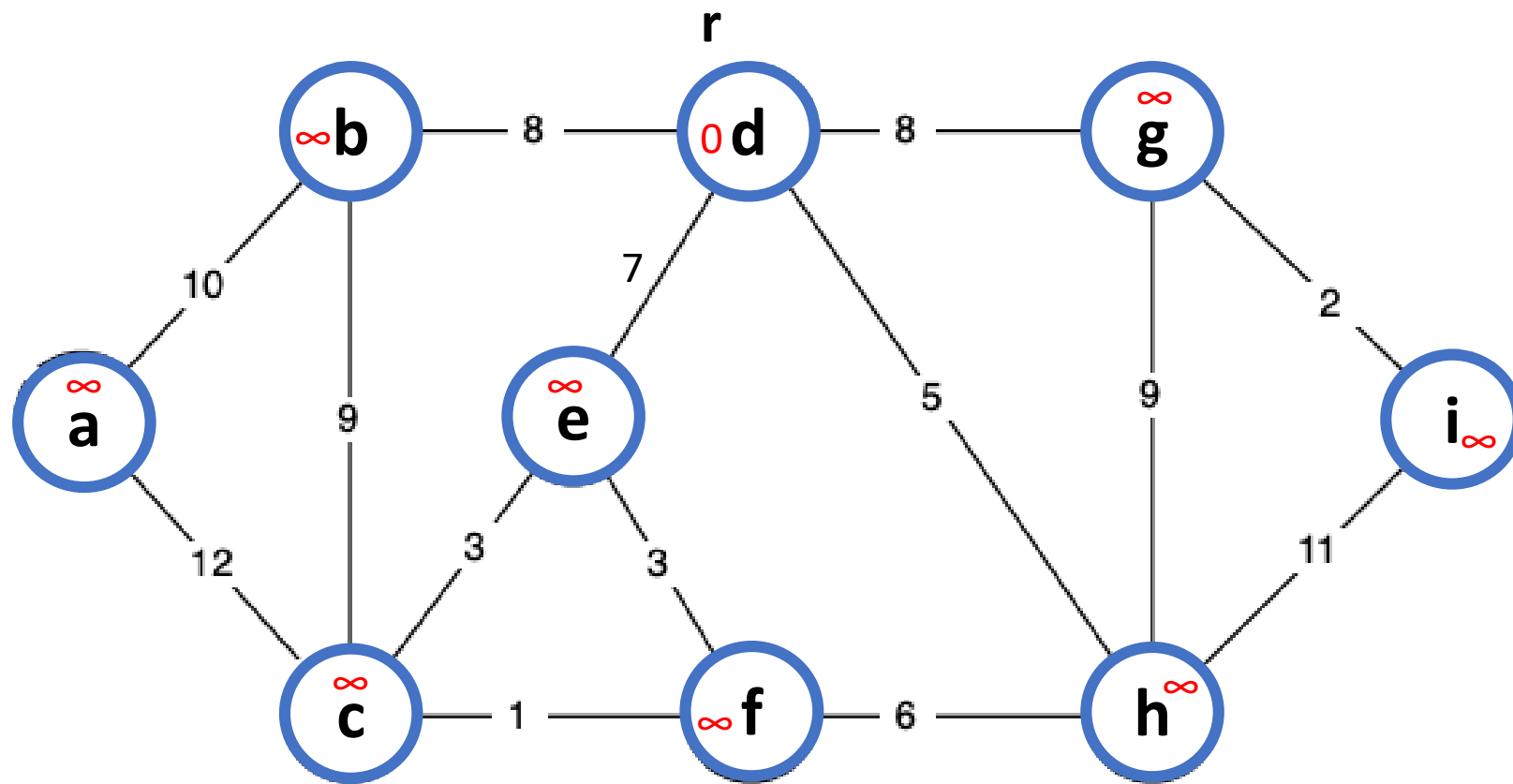
 Do $u \leftarrow \text{Extract-MIN}(Q)$

 for each $v \in \text{Adj}(u)$:

 do if $v \in Q$ and $w(u, v) < k_v$:

 then $\Pi_v \leftarrow u$

 DECREASE-KEY($Q, v, w(u, v)$) //setting $k_v \leftarrow w(u, v)$



Implementation

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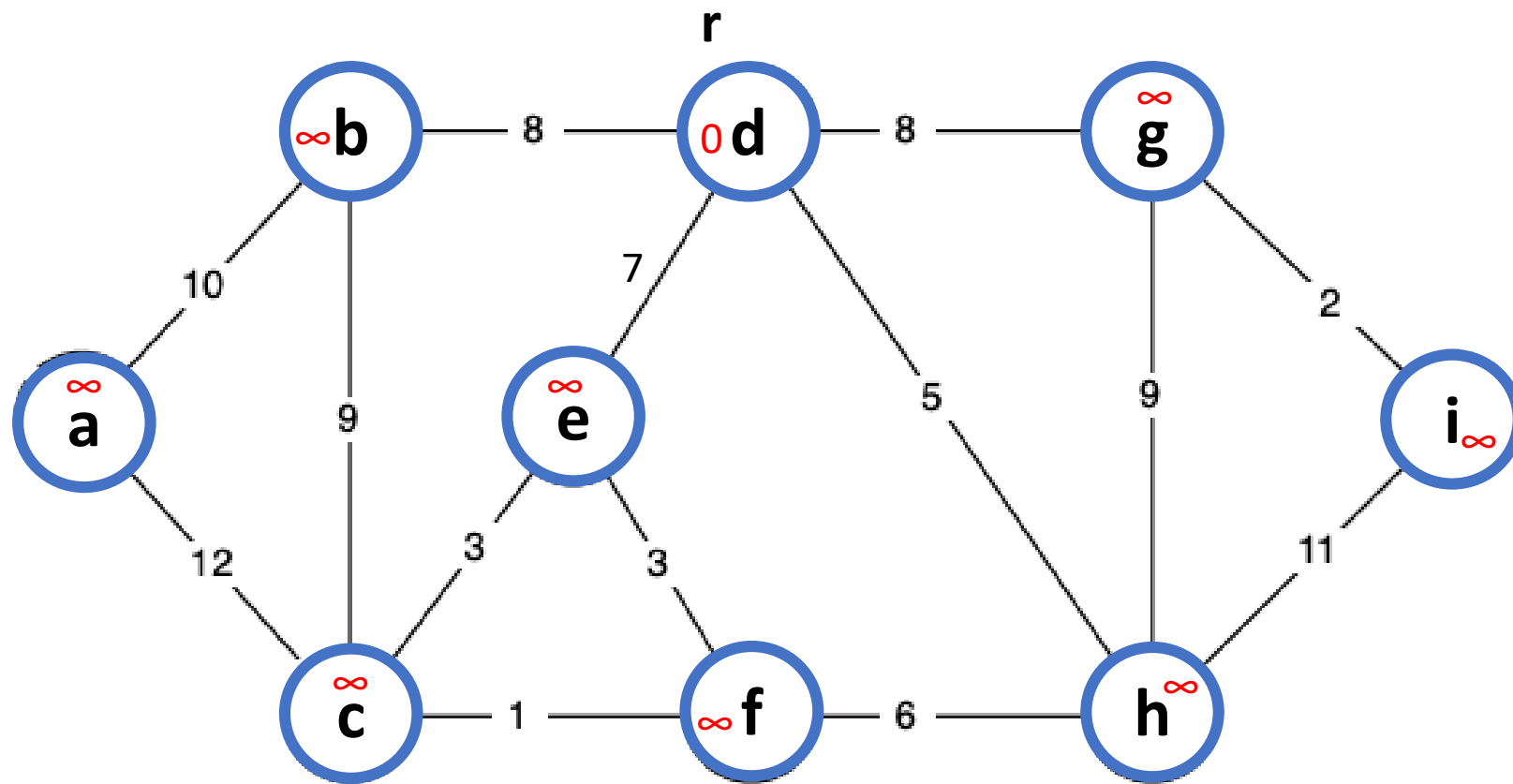
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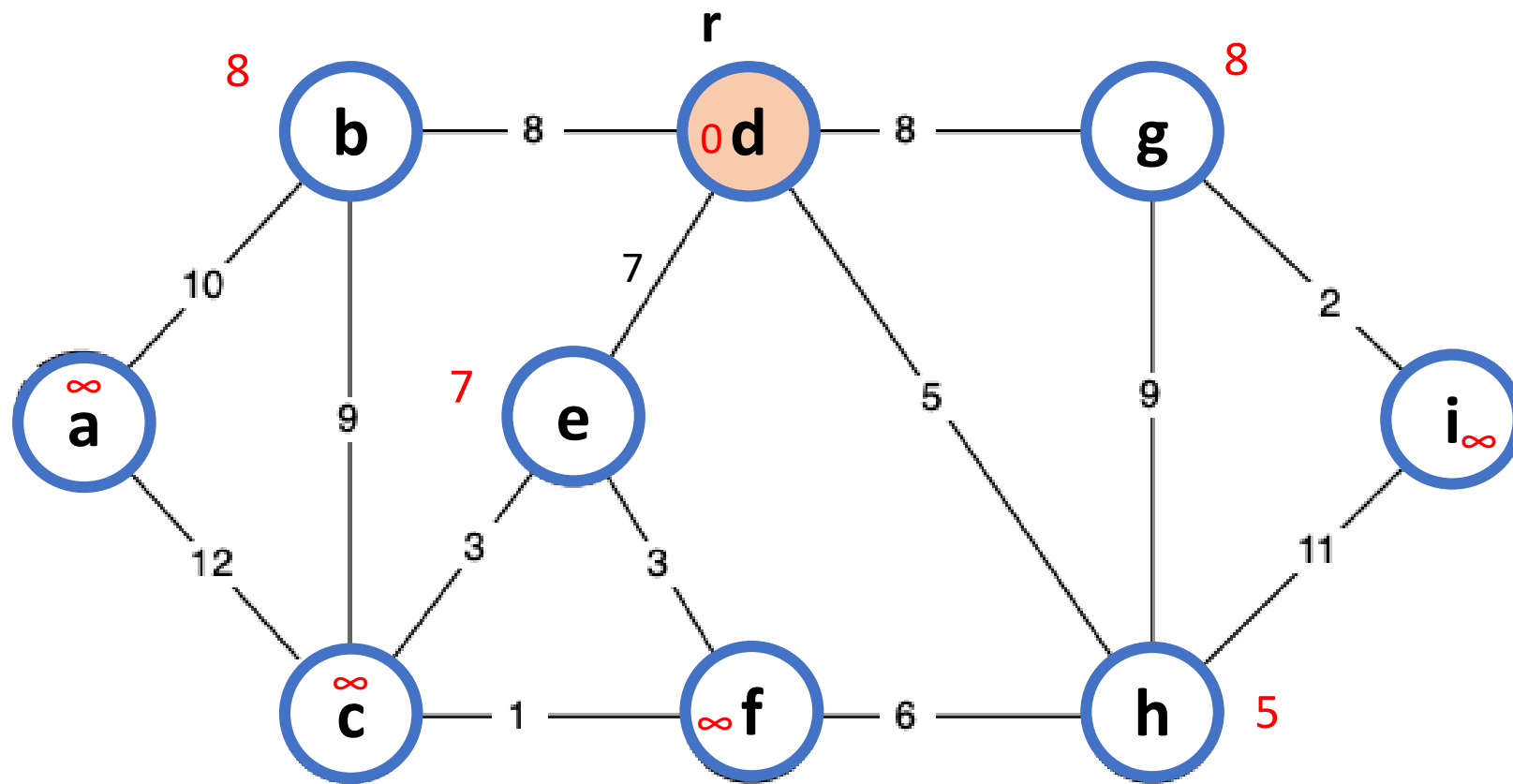
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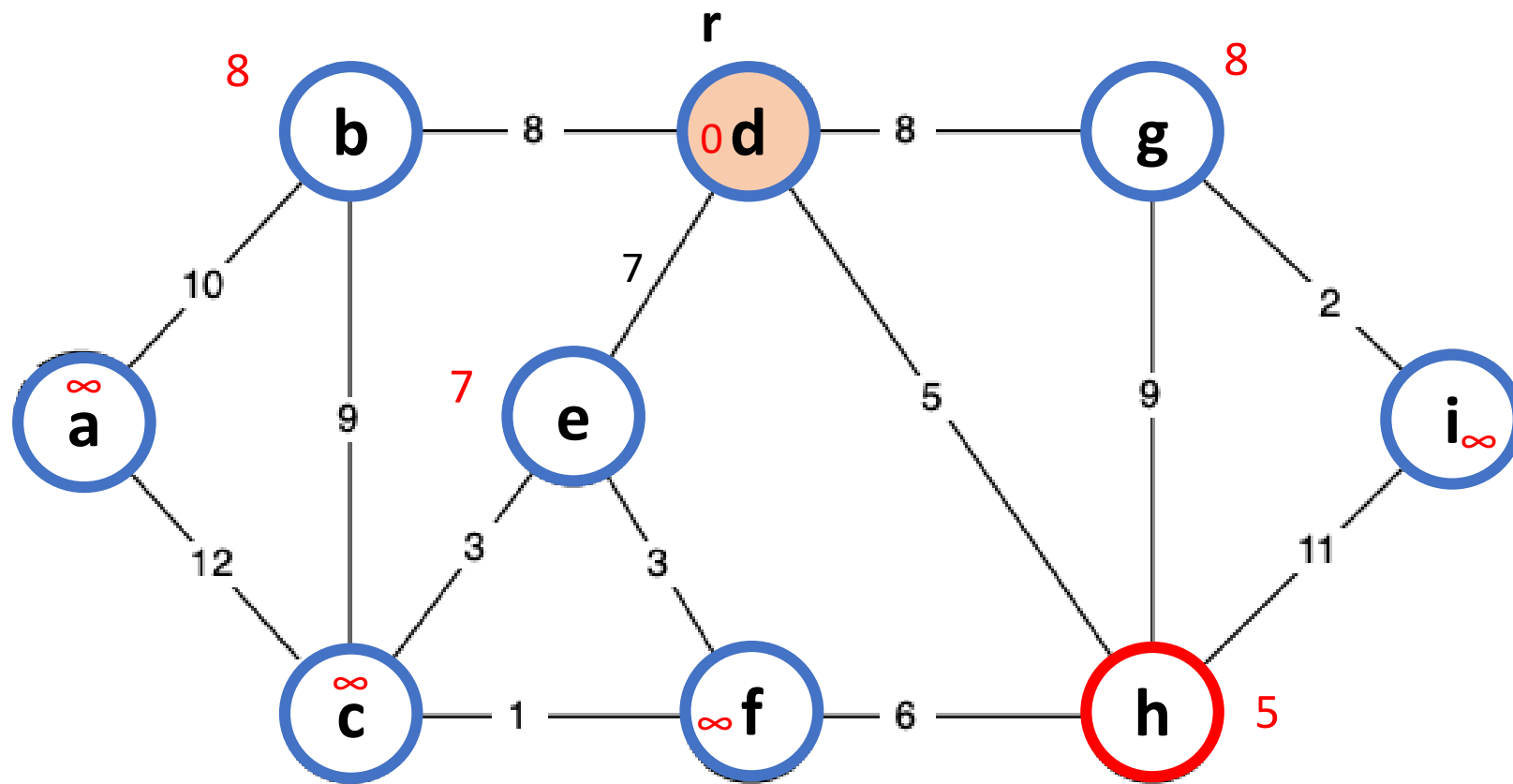
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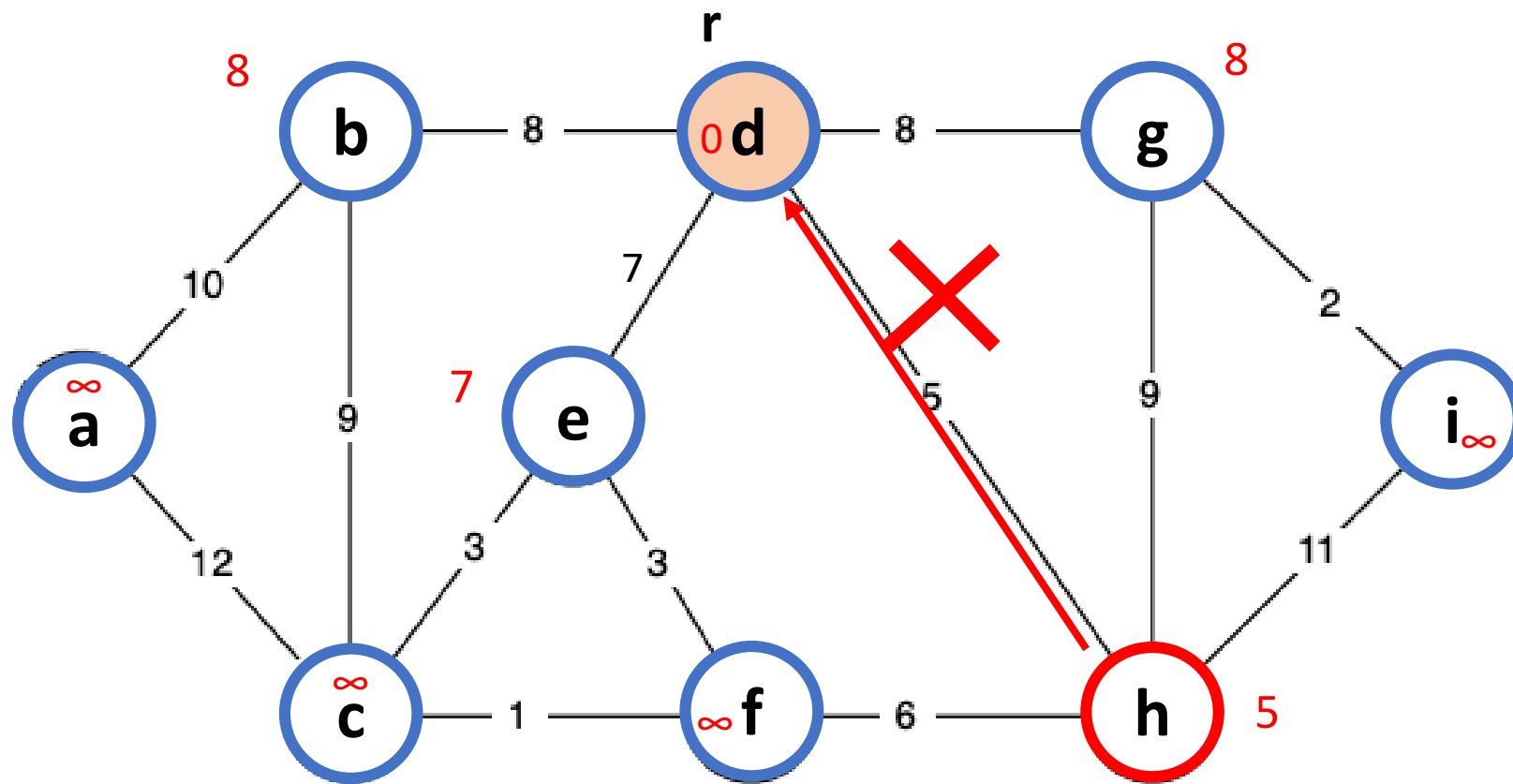
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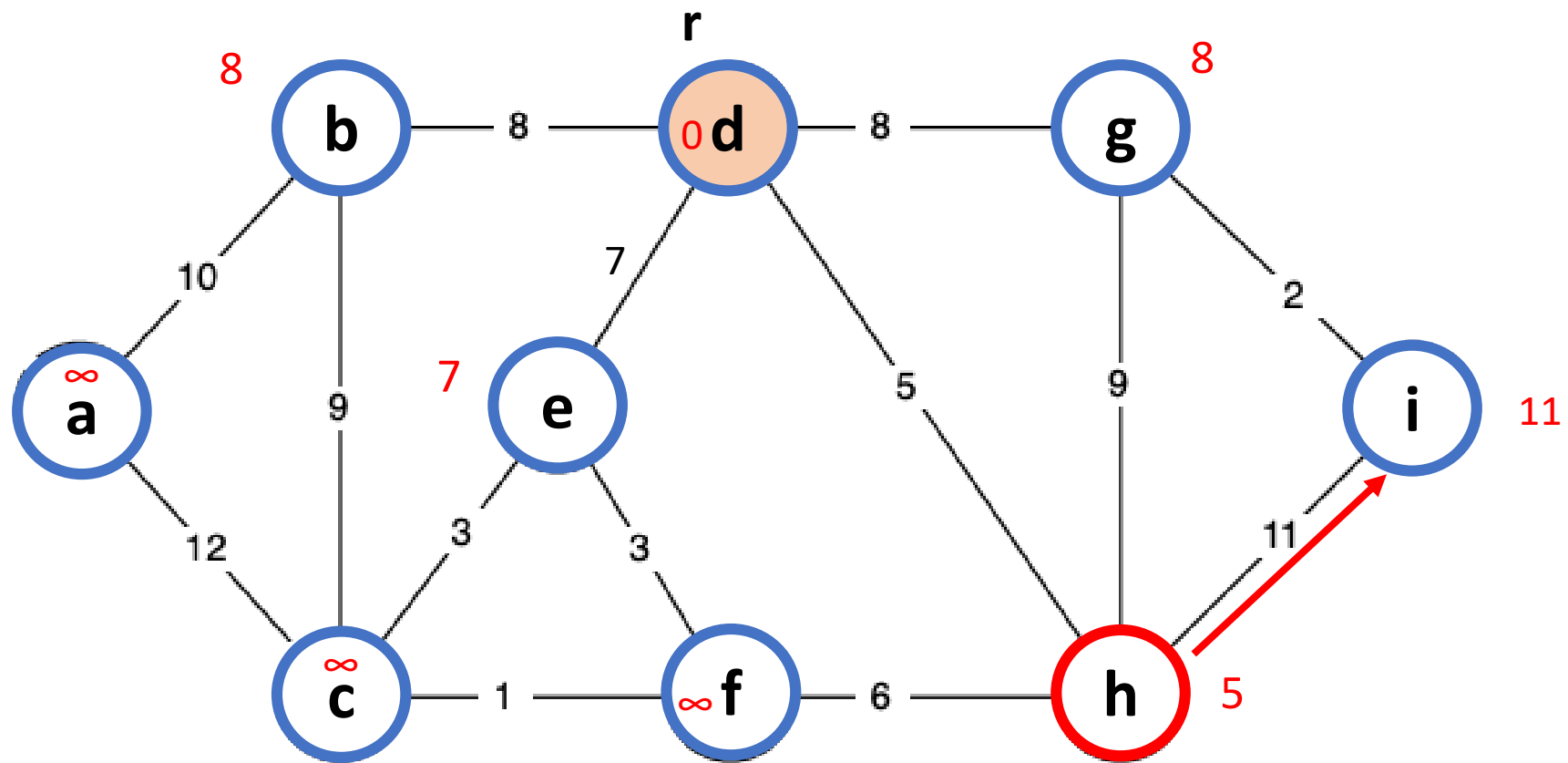
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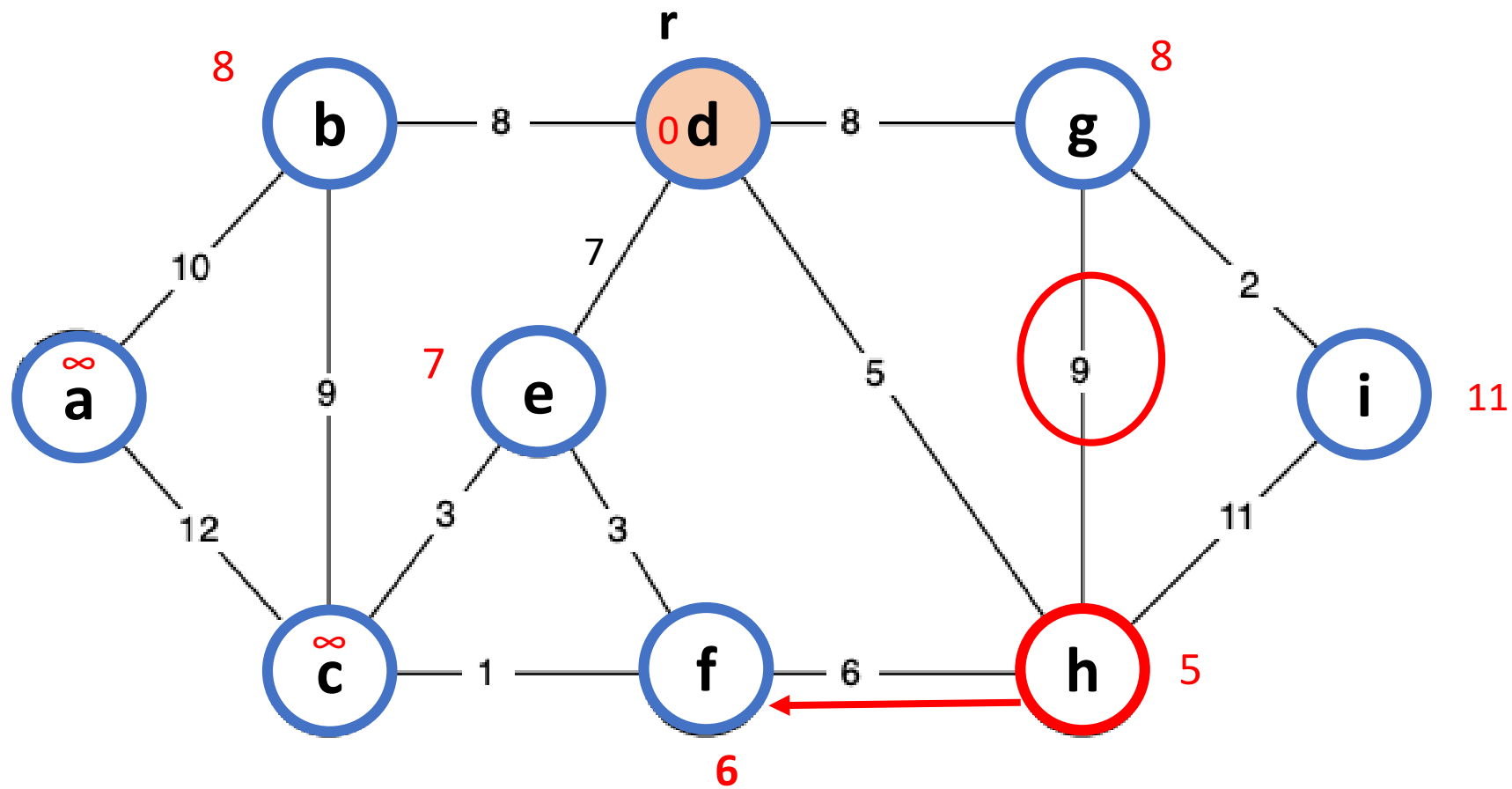
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Implementation

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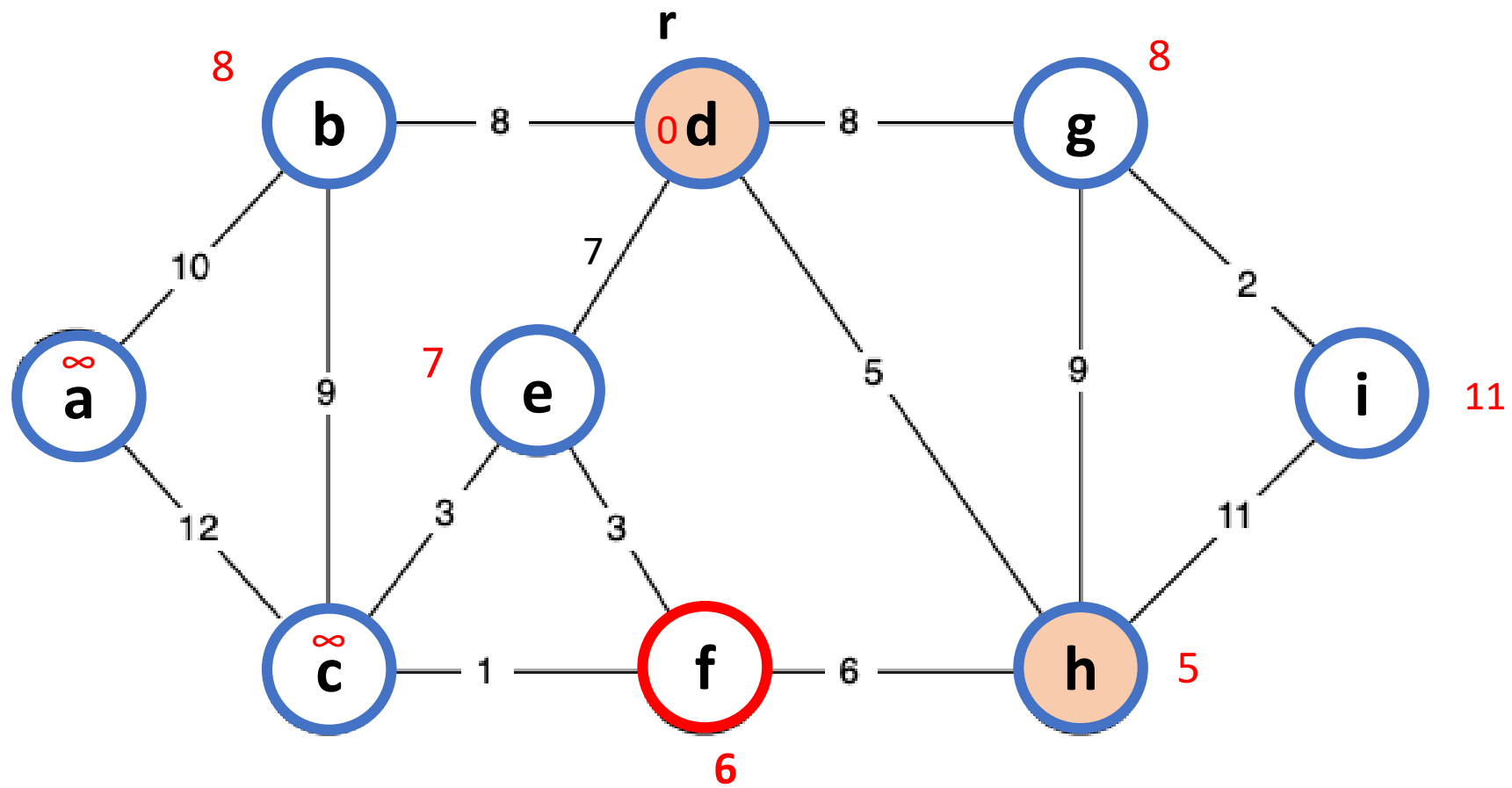
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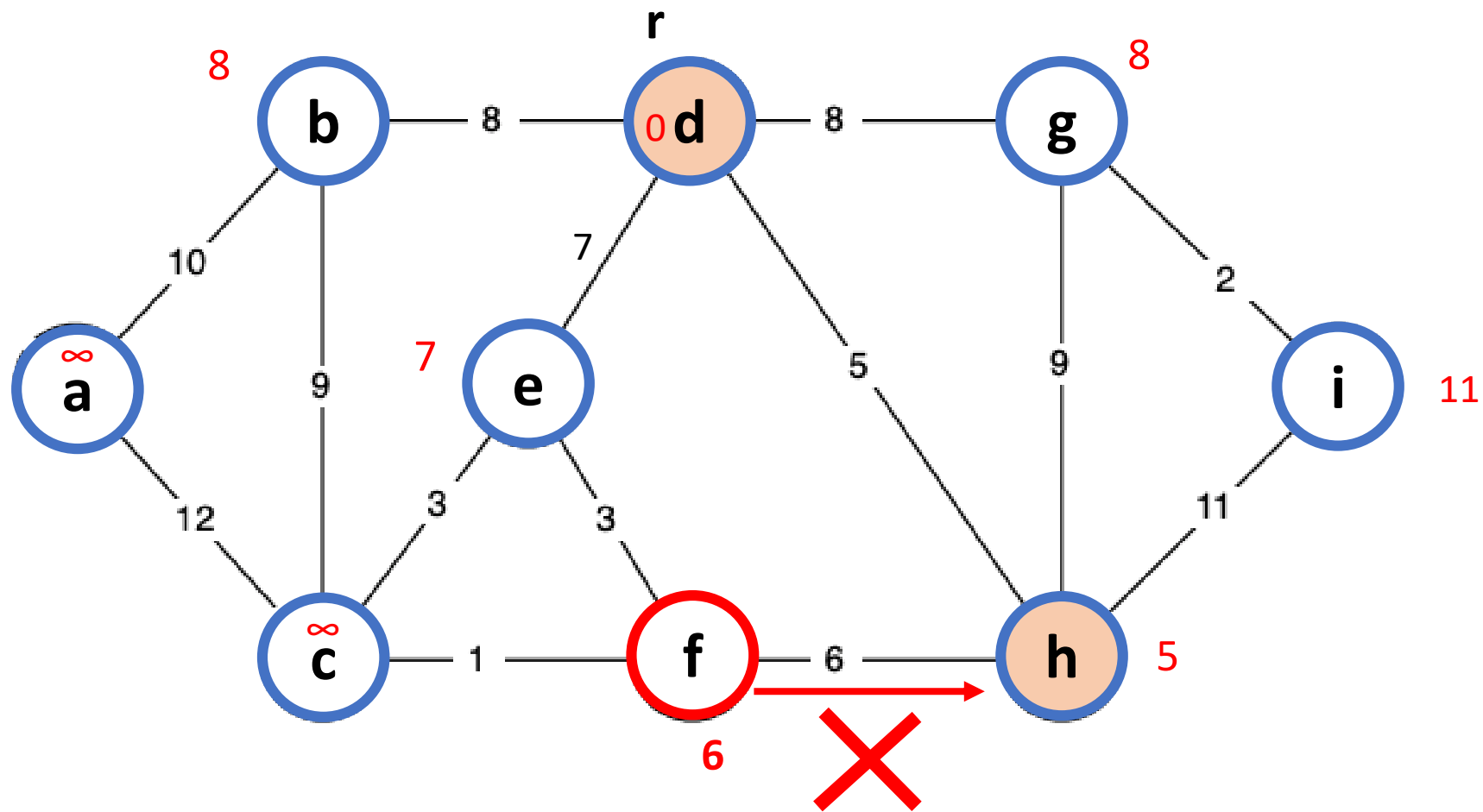
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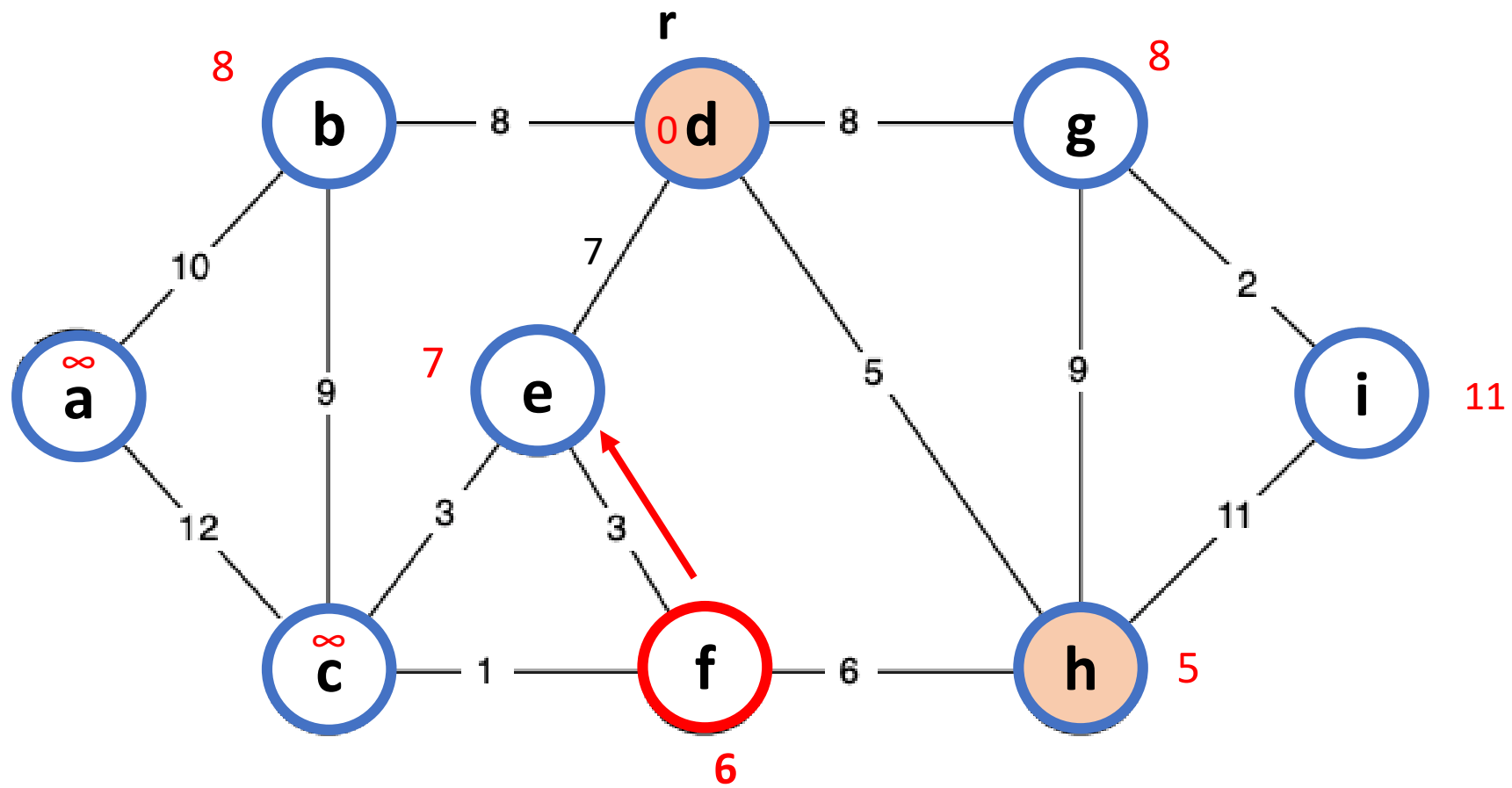
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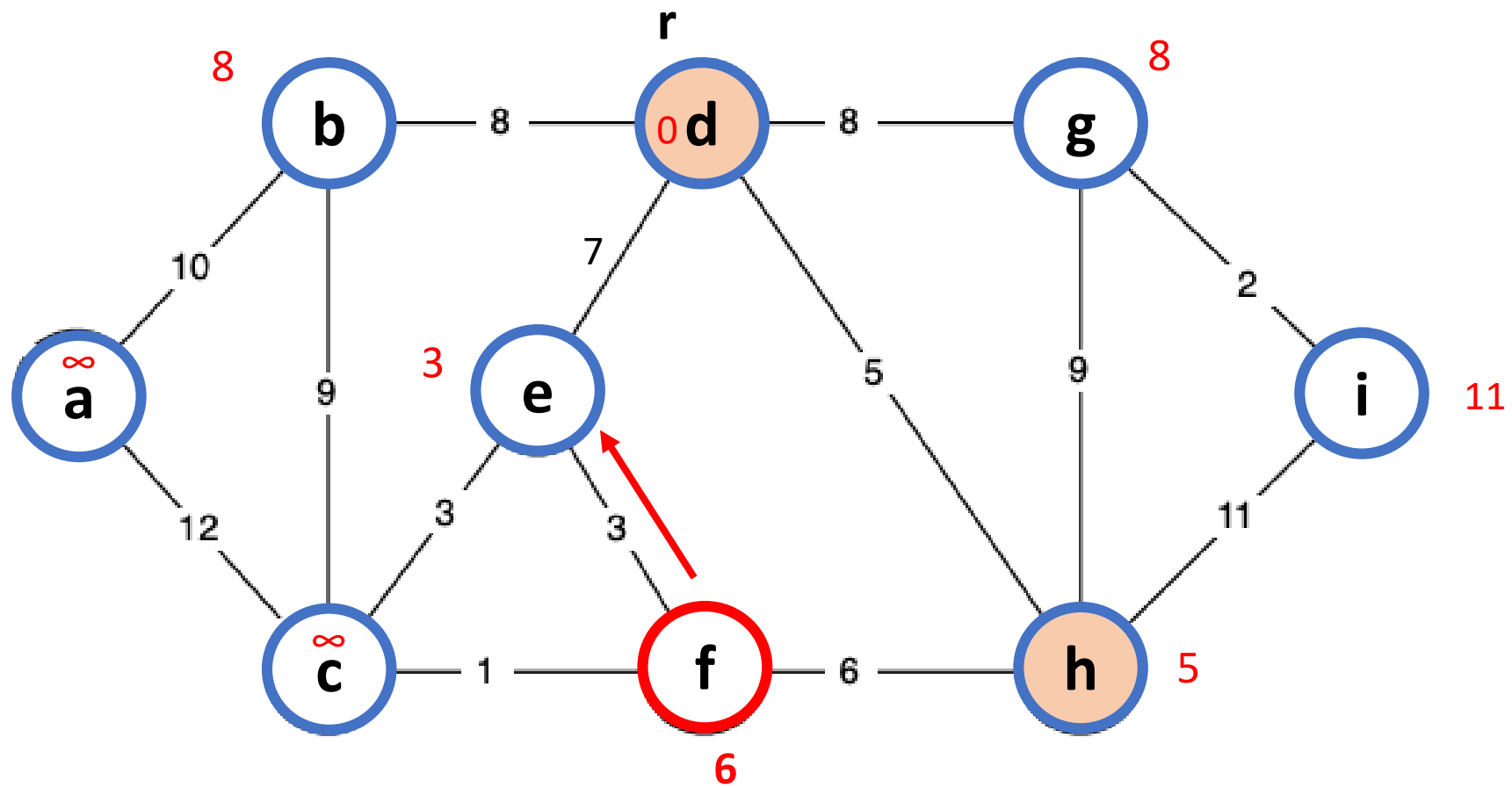
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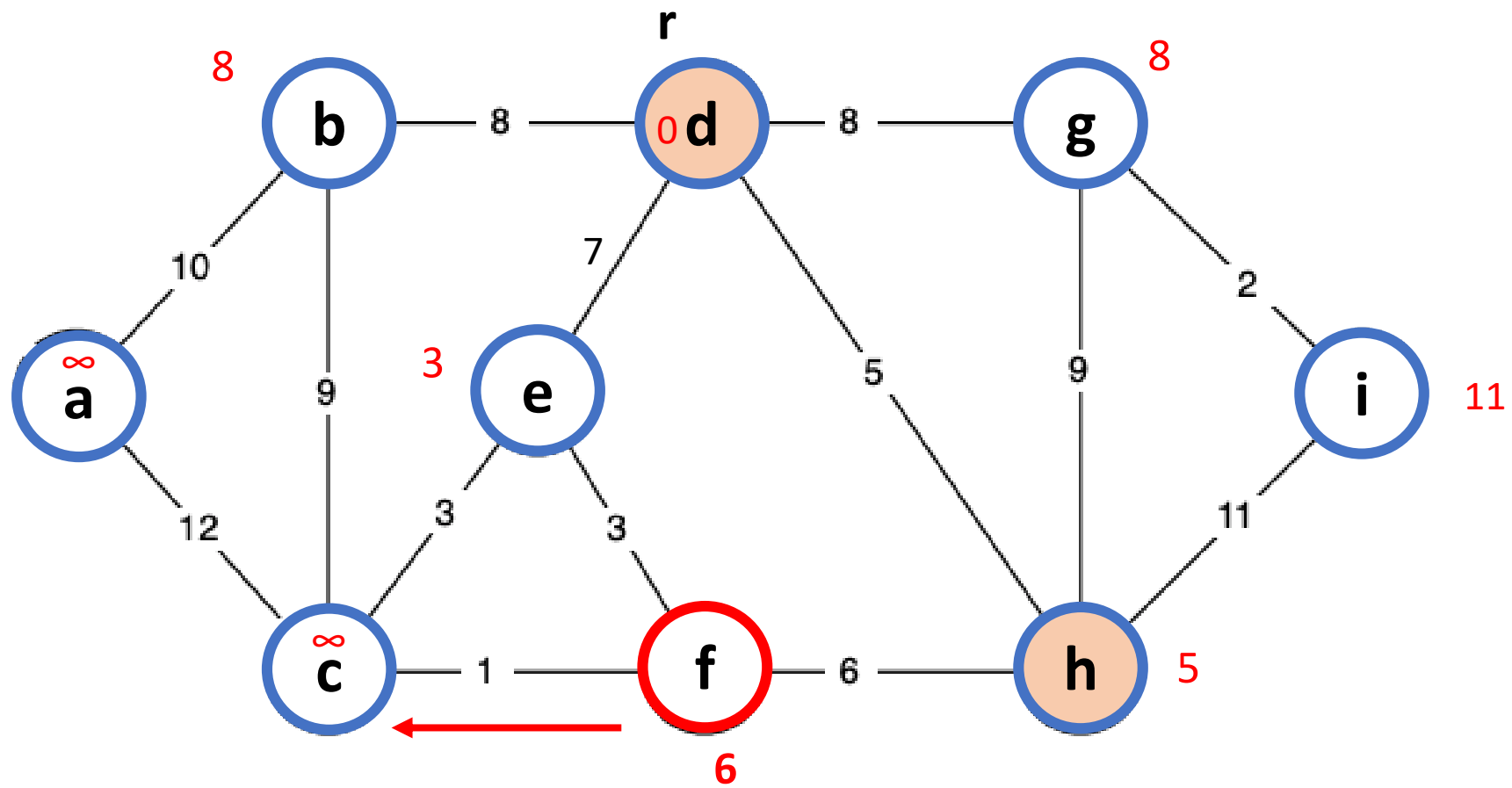
 do if $v \in Q$ and $w(u, v) < k_v$:

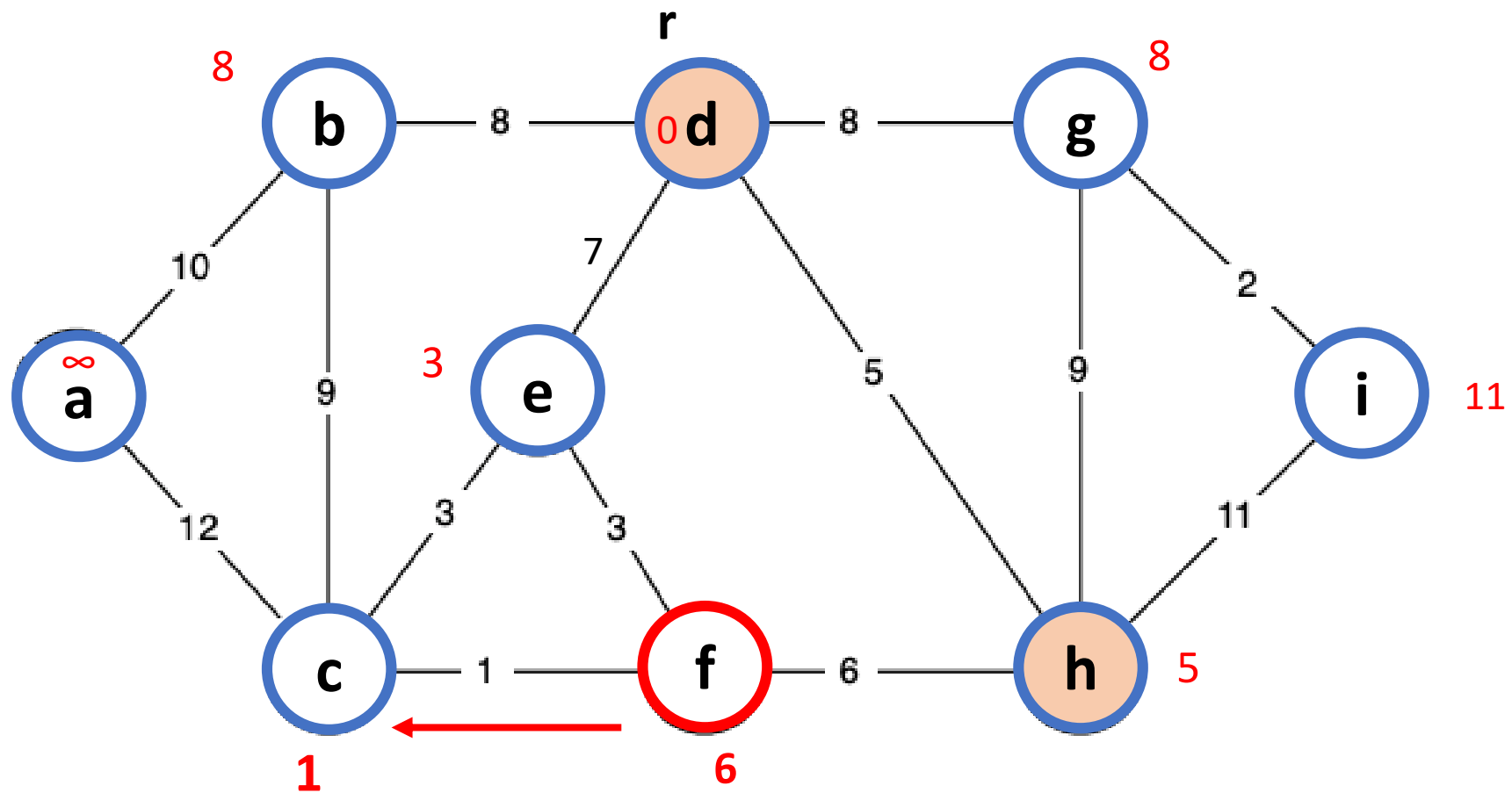
 then $\Pi_v \leftarrow u$

 DECREASE-KEY($Q, v, w(u, v)$) //setting $k_v \leftarrow w(u, v)$

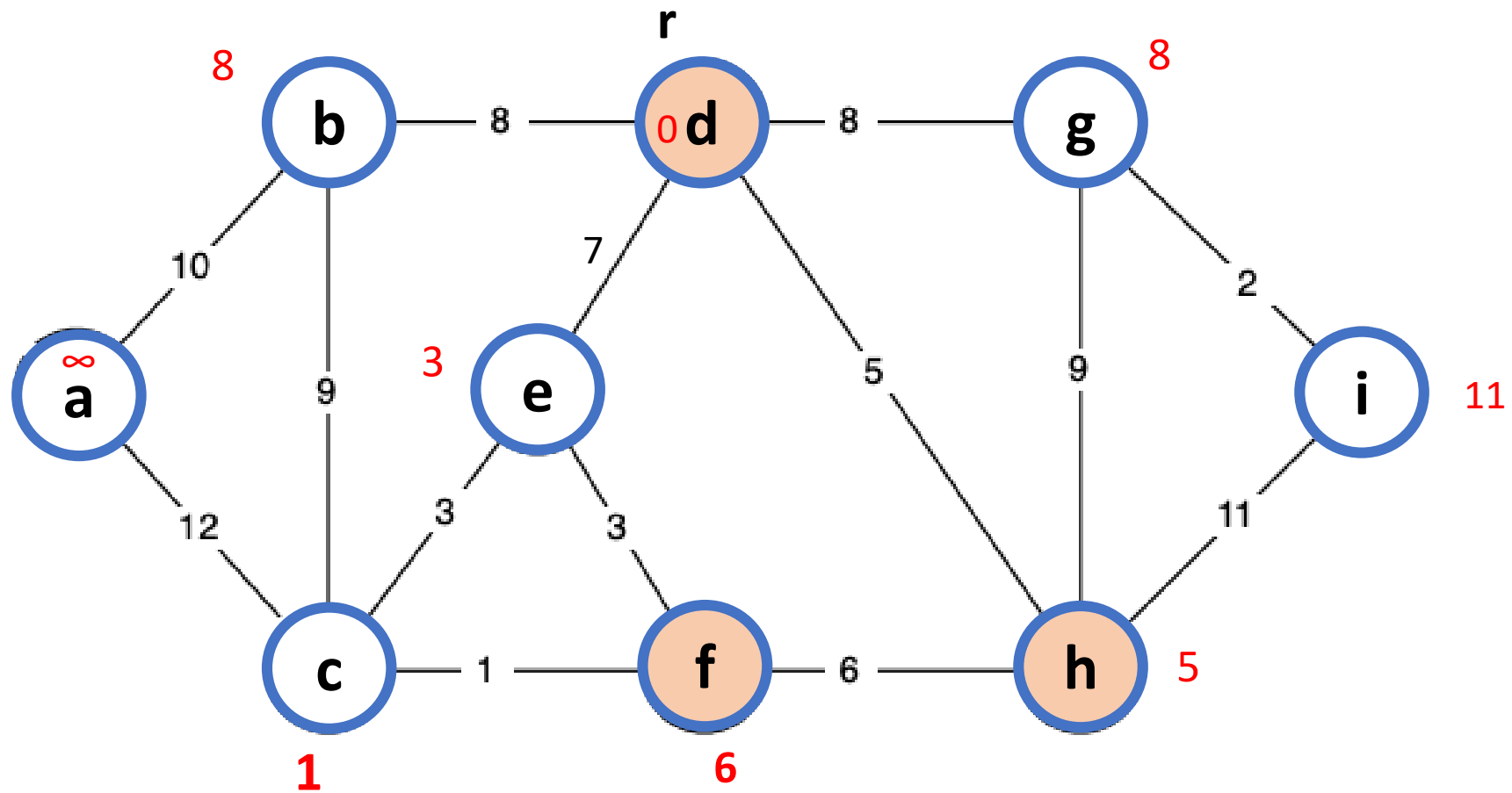








Number of call of : DECREASE-KEY ?



Implementation

Prim ($G = (V, E)$)

$Q = \emptyset$ // Q is the Priority Queue

Initialize each $v \in V$ with key $K_v \leftarrow \infty$, $\Pi_v \leftarrow \text{NIL}$

Pick a starting node r and set $K_r \leftarrow 0$

Insert all nodes into Q with key K_v

While $Q \neq \emptyset$:

 Do $u \leftarrow \text{Extract-MIN}(Q)$

 for each $v \in \text{Adj}(u)$:

 do if $v \in Q$ and $w(u, v) < k_v$:

 then $\Pi_v \leftarrow u$

 DECREASE-KEY($Q, v, w(u, v)$) //setting $k_v \leftarrow w(u, v)$

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$O(v \log v)$

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Implementation

- **Makequeue:**
 - $O(n)$, one insert $O(\log n)$
- **Exchange Operation:**
 - $O(\log n)$
- **Decrease Key operation:**
 - $O(\log n)$

Implementation

Prim ($G = (V, E)$)

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E

Implementation

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DECREASE-KEY($Q, v, w(u, v)$) //setting $k_v \leftarrow w(u, v)$

$O(E \log v)$

E

Greedy

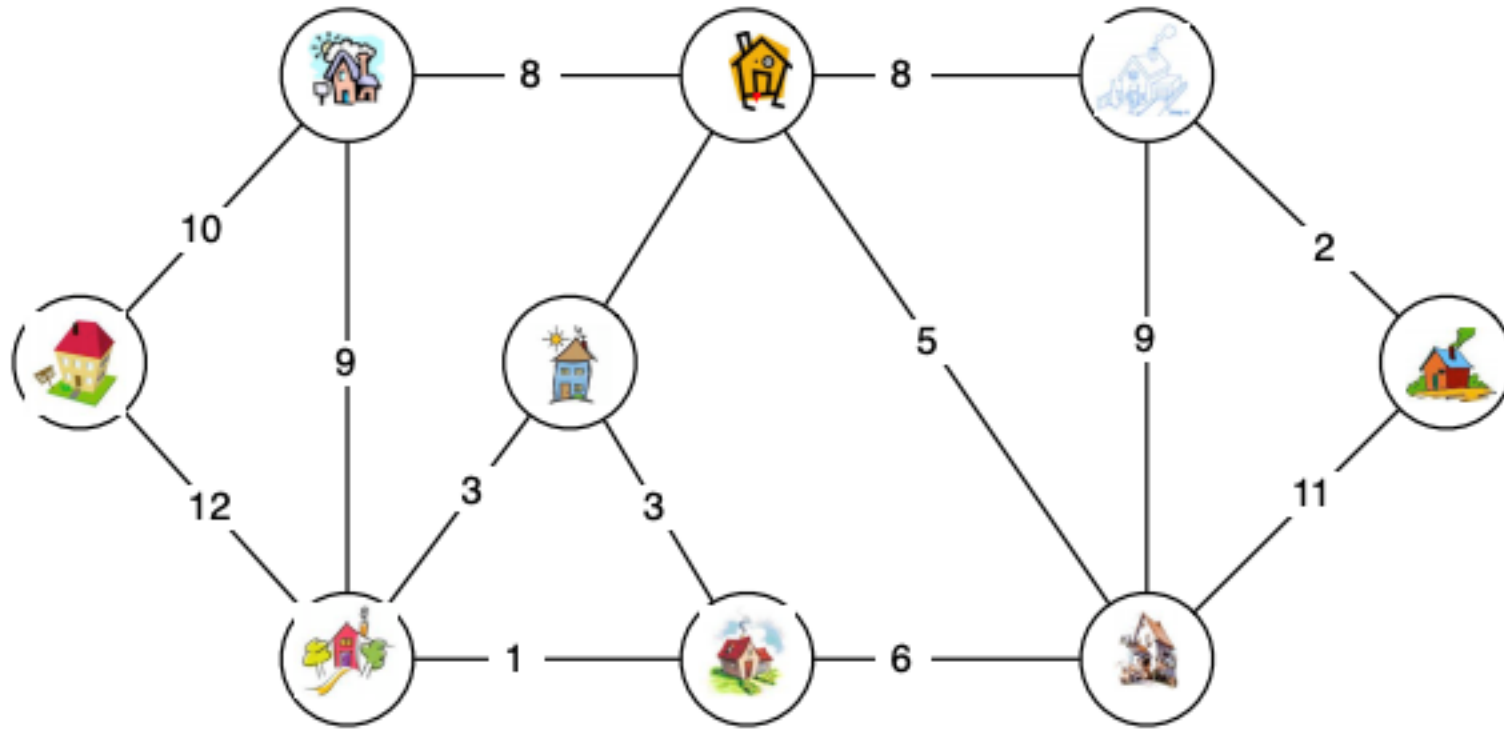
Lecture 5

Shortest Path Property

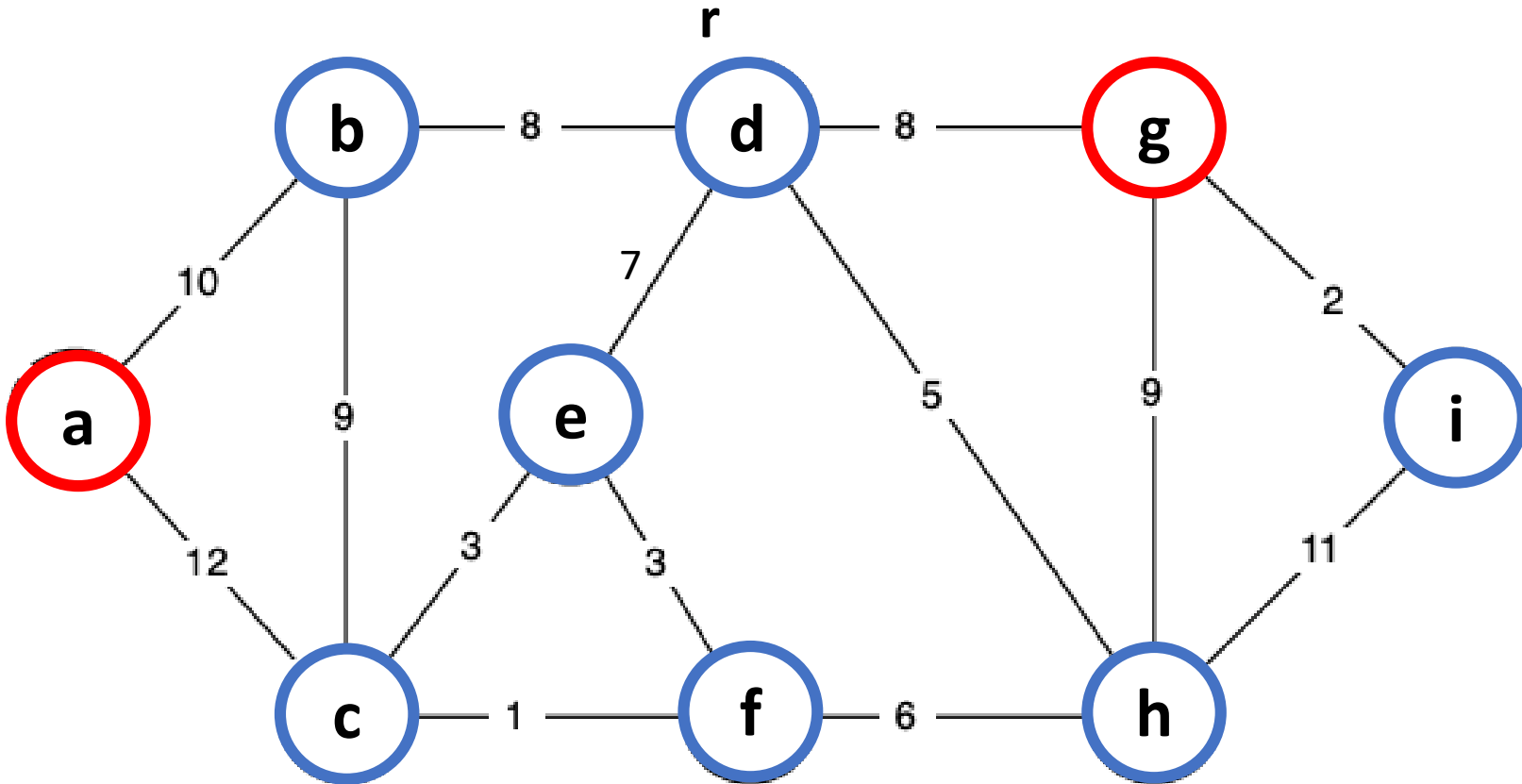
- Definition:
 - $\delta(s,v)$ = length of the shortest path from s to v in G

Shortest Path Property

- Definition:
 - $\delta(s, v)$ = length of the shortest path from s to v in G



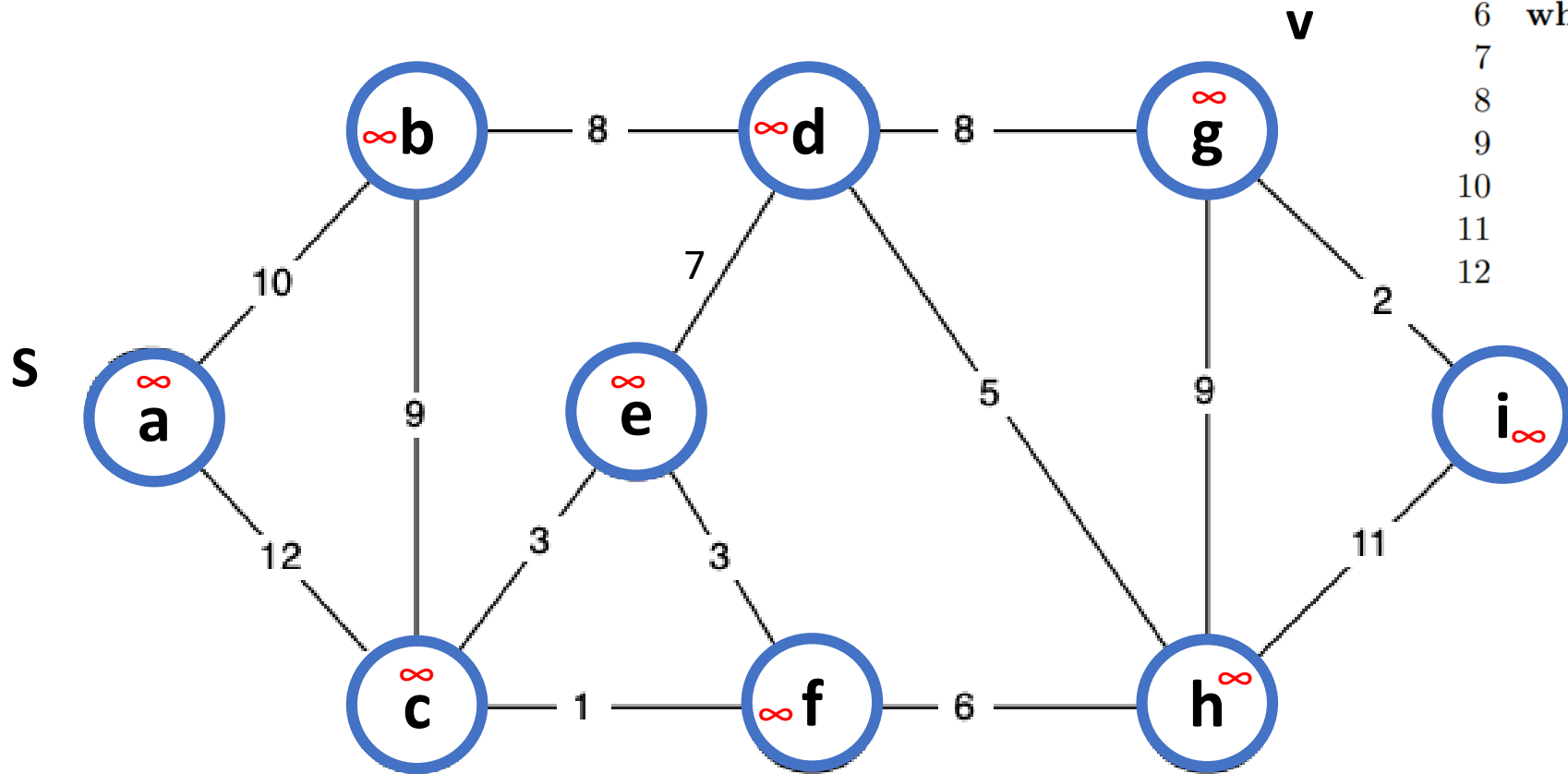
Shortest Path (a,g)



DIJKSTRA($G = (V, E), s$)

```
1  for all  $v \in V$ 
2      do  $d_u \leftarrow \infty$ 
3       $\pi_u \leftarrow \text{NIL}$ 
4   $d_s \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEQUEUE}(V)$      $\triangleright$  use  $d_u$  as key
6  while  $Q \neq \emptyset$ 
7      do  $u \leftarrow \text{EXTRACTMIN}(Q)$ 
8      for each  $v \in \text{Adj}(u)$ 
9          do if  $d_v > d_u + w(u, v)$ 
10             then  $d_v \leftarrow d_u + w(u, v)$ 
11                  $\pi_v \leftarrow u$ 
12                  $\text{DECREASEKEY}(Q, v)$ 
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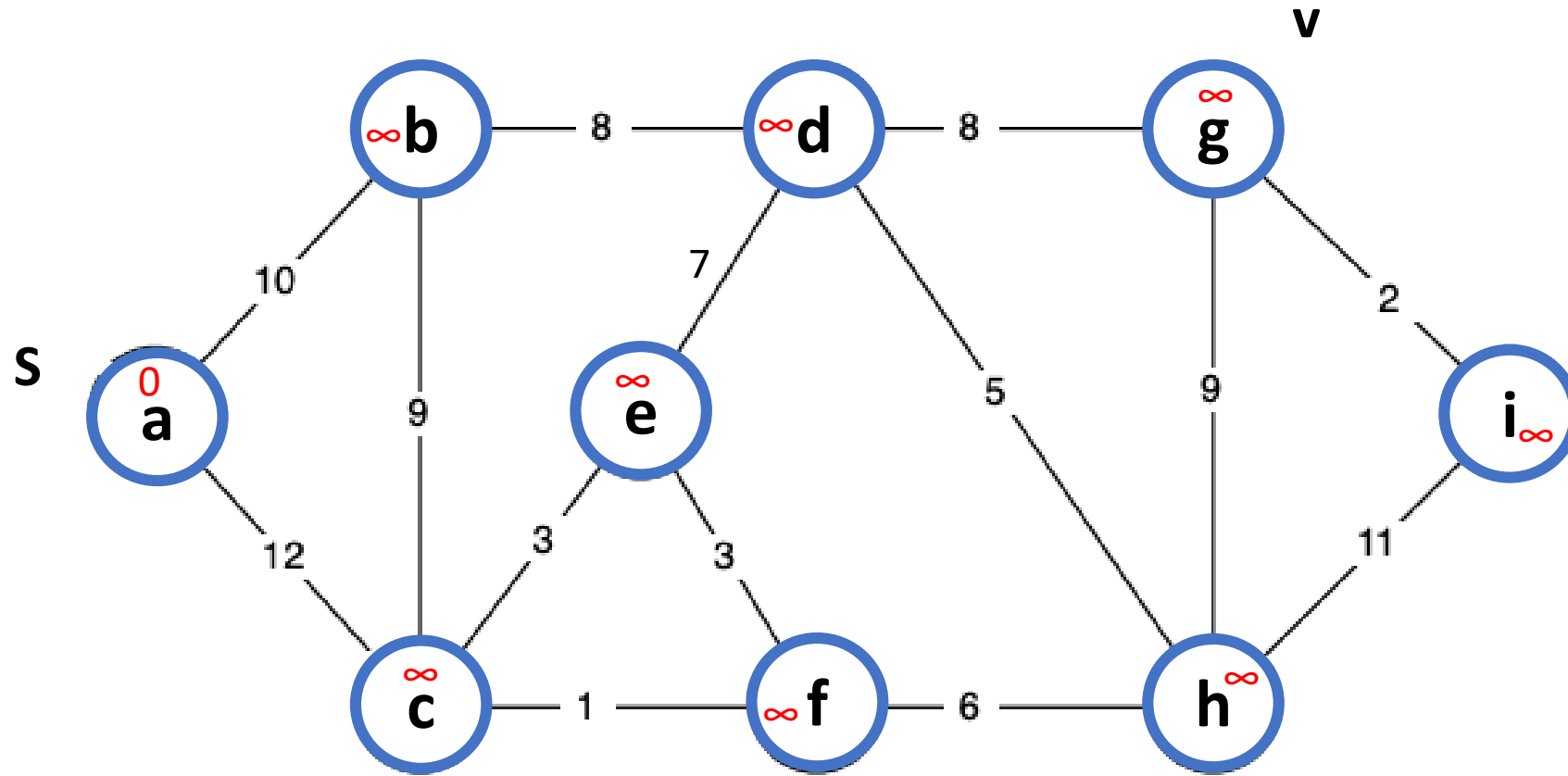
DIJKSTRA($G = (V, E), s$)

```

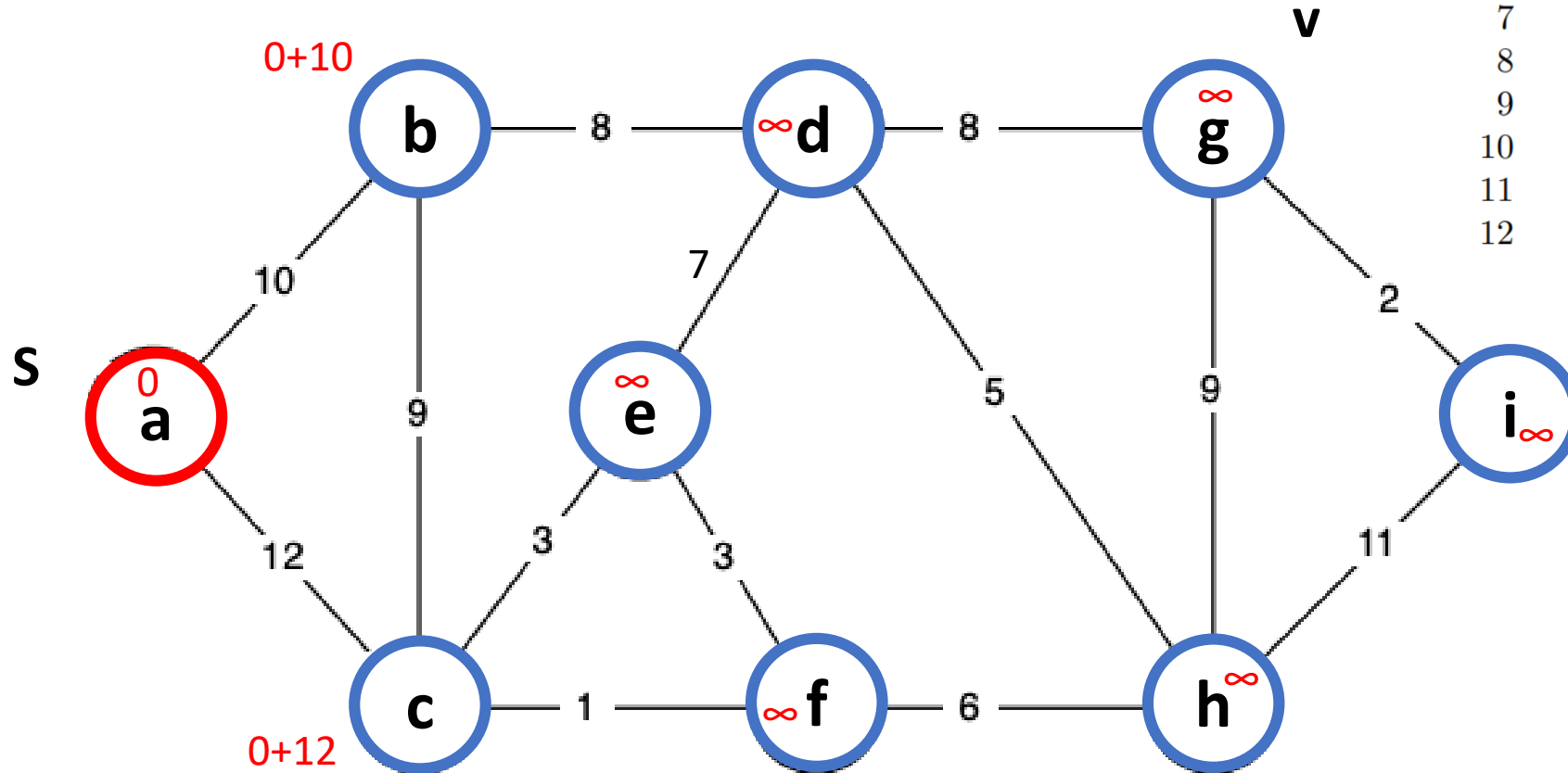
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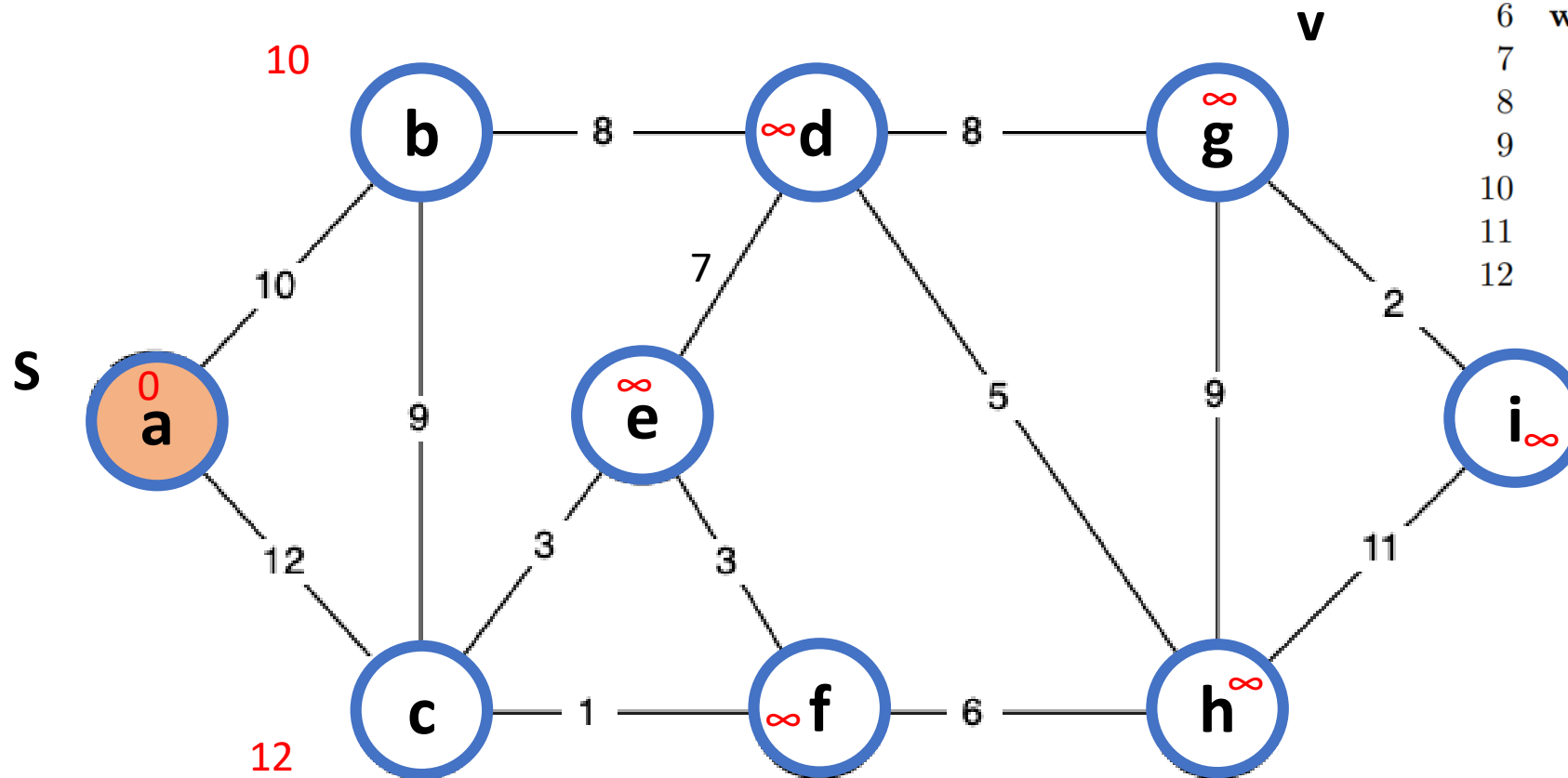
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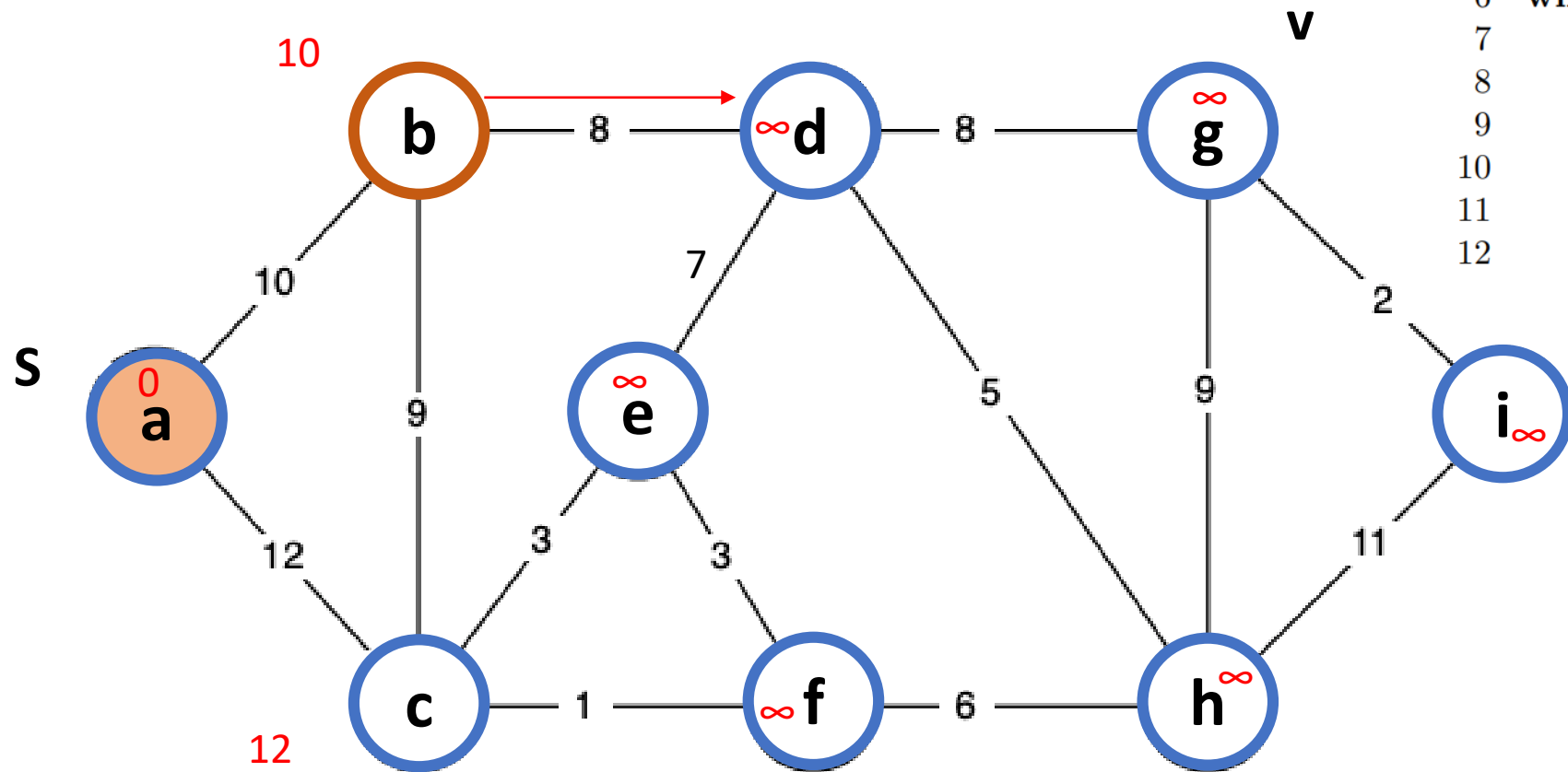
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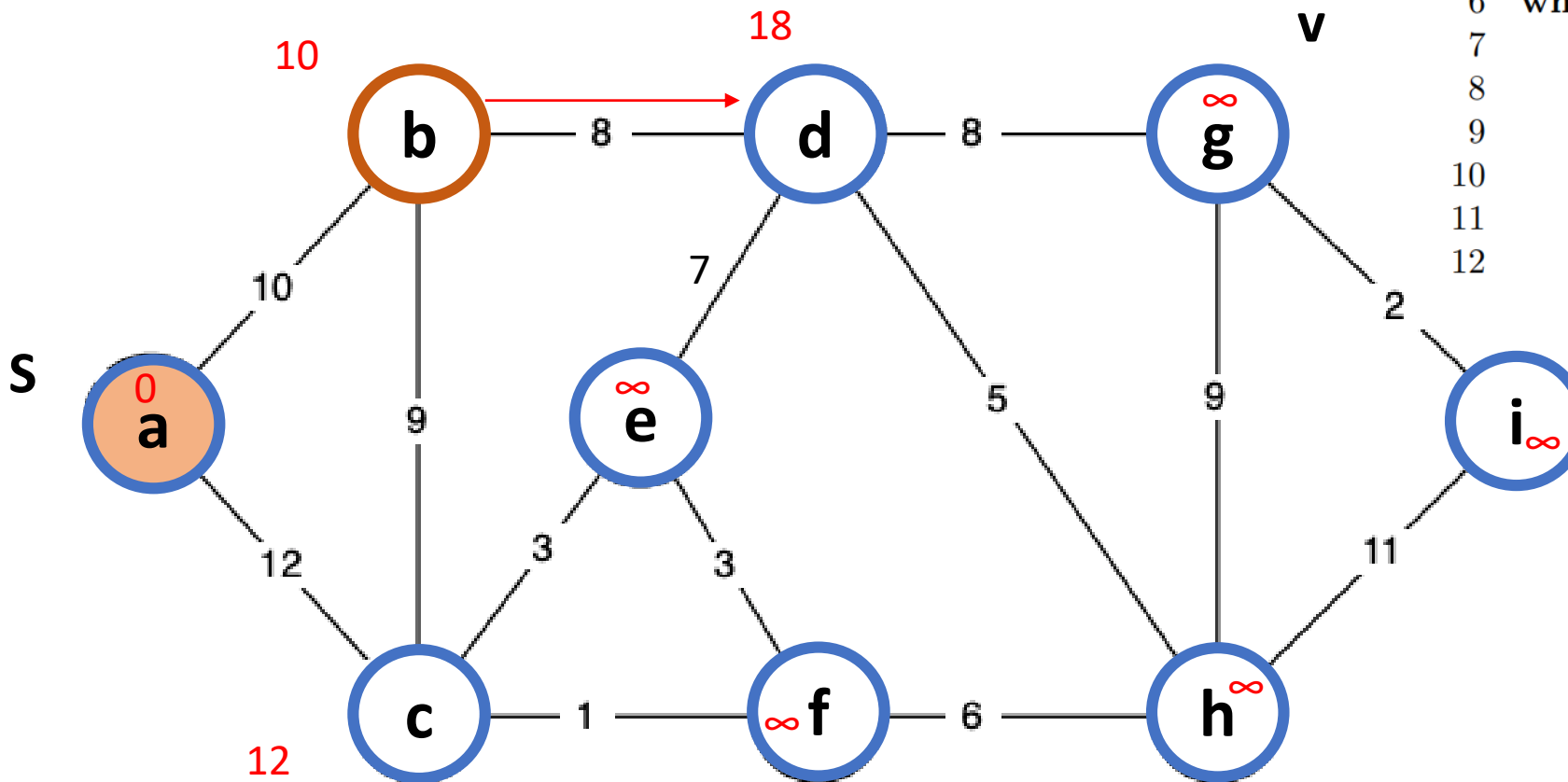
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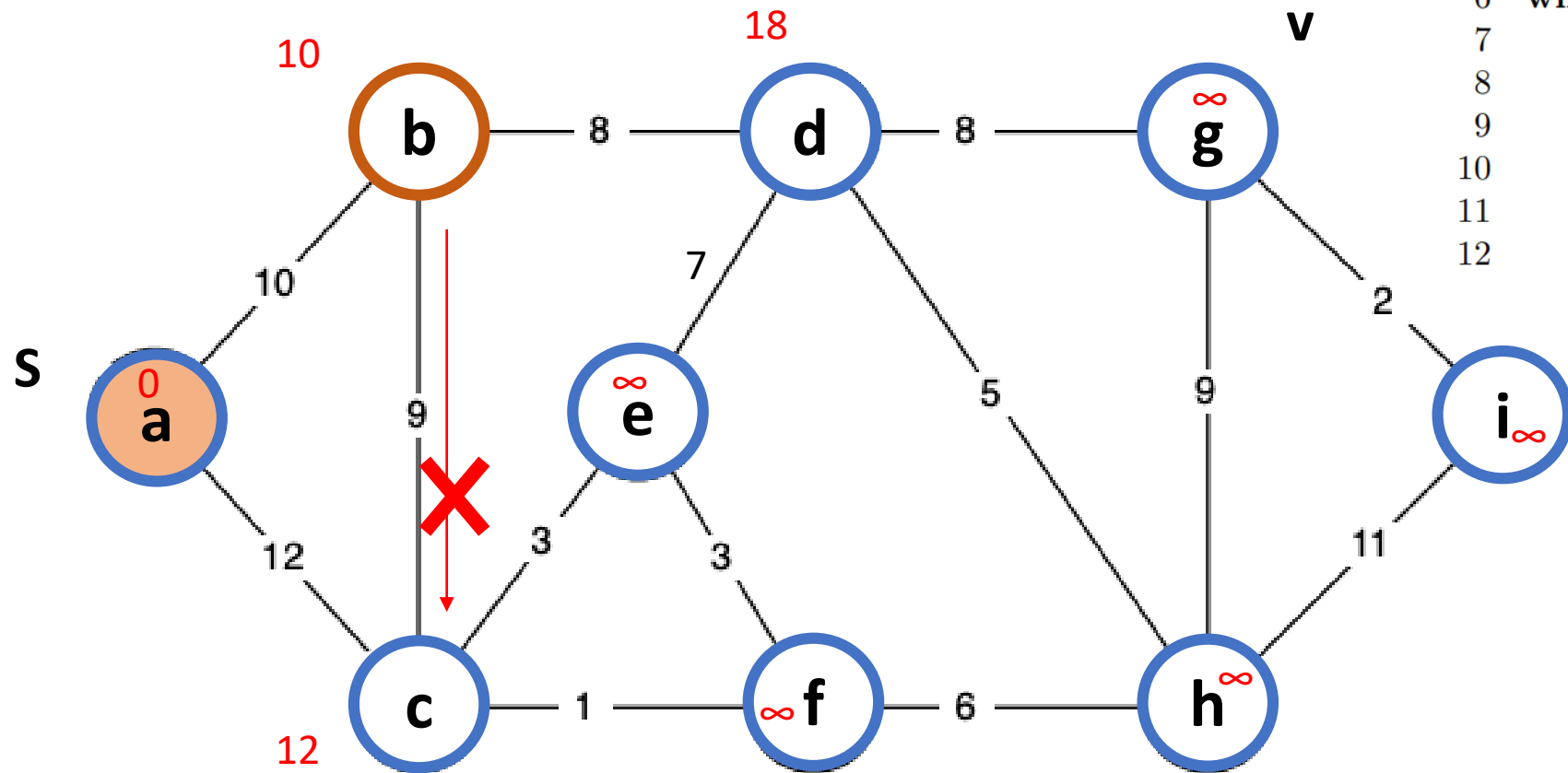
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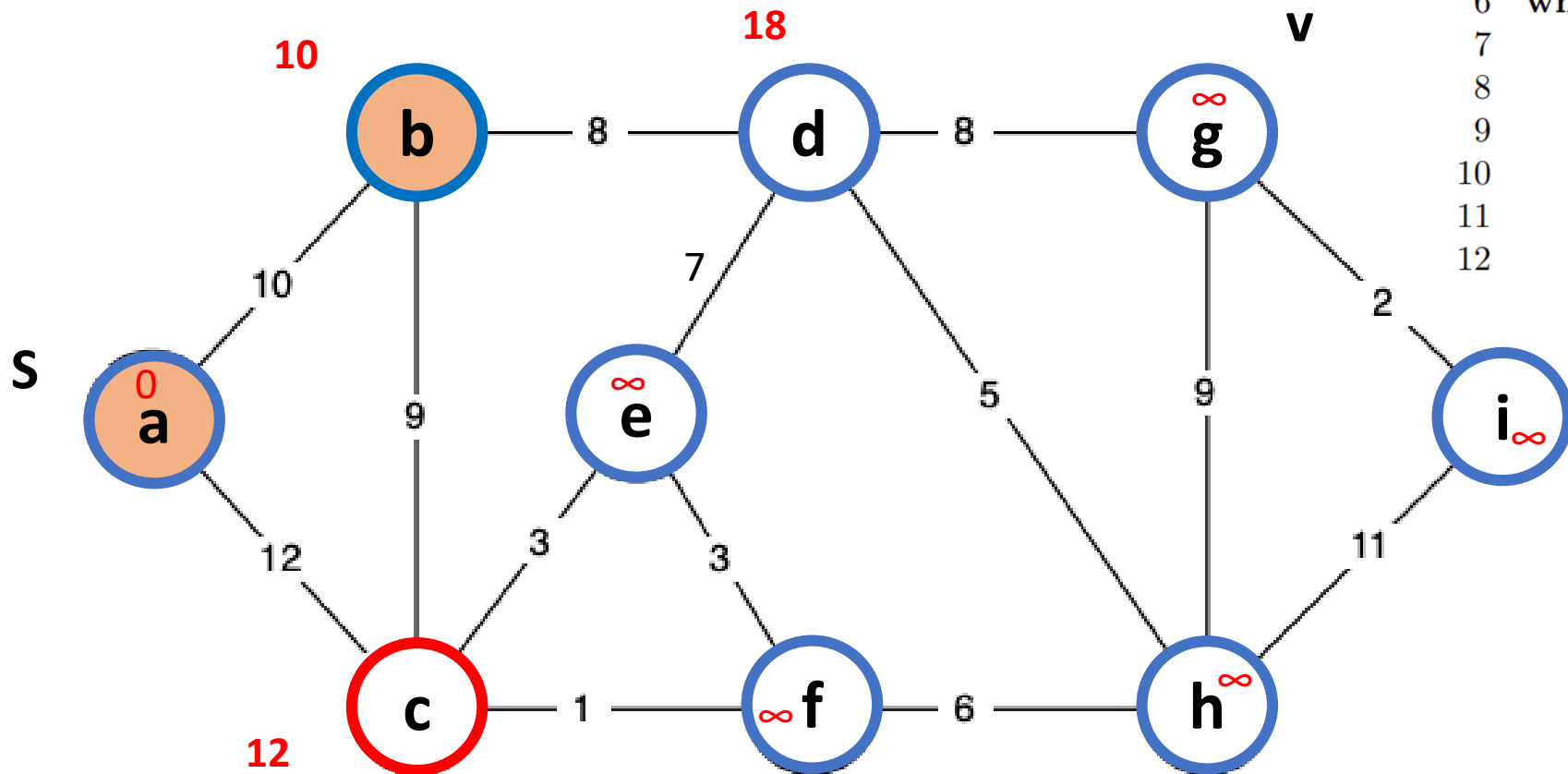
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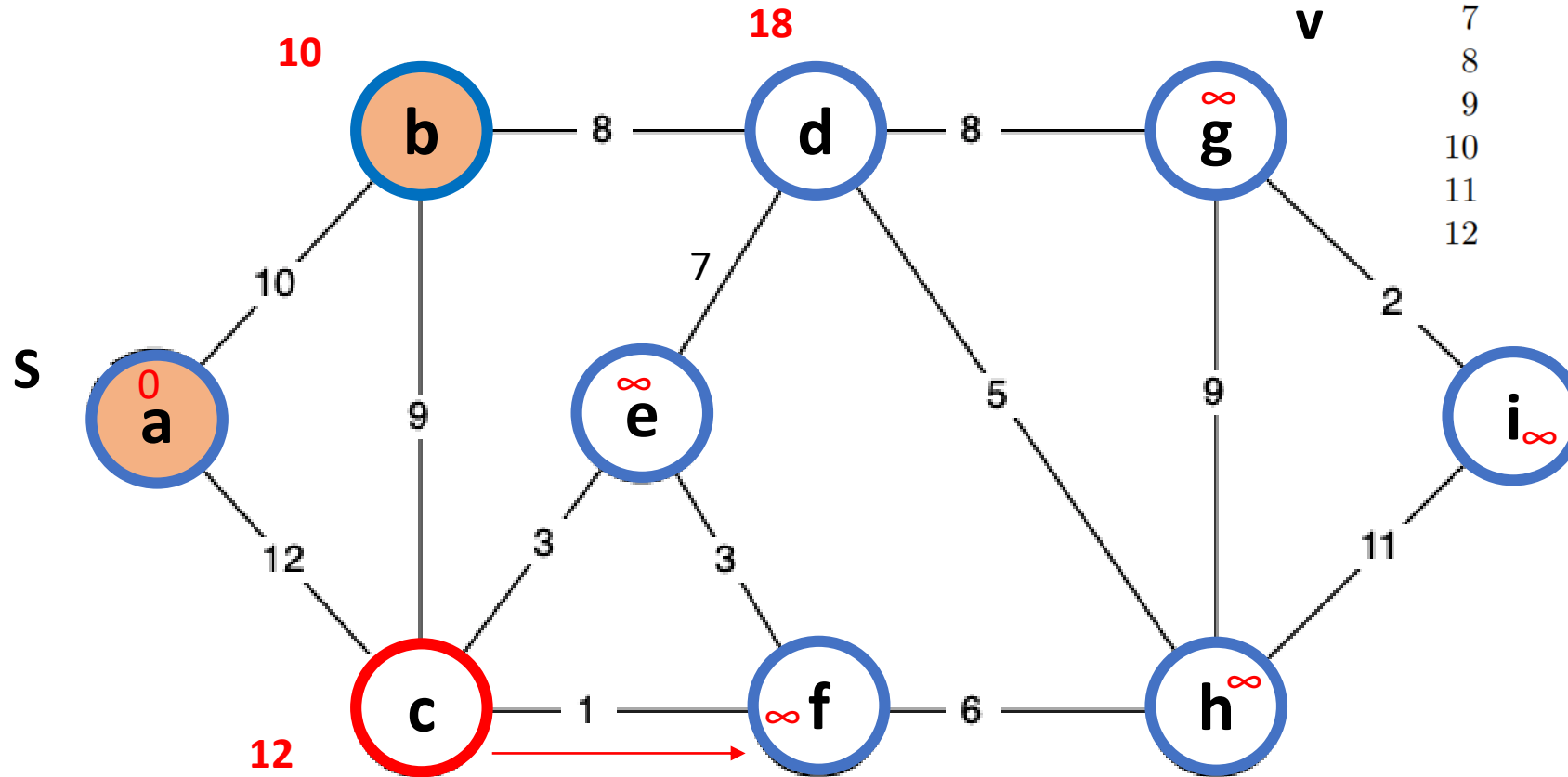
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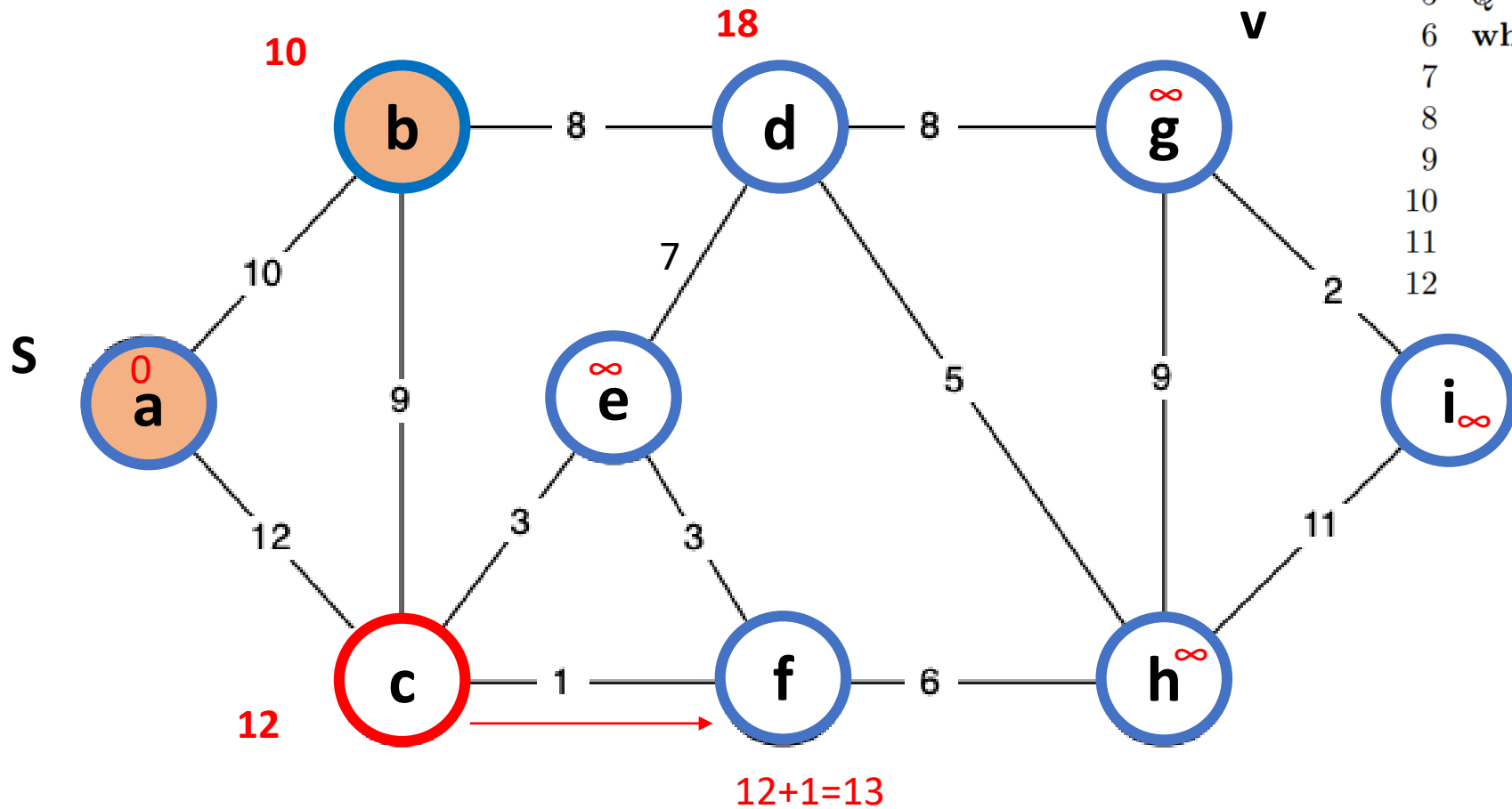
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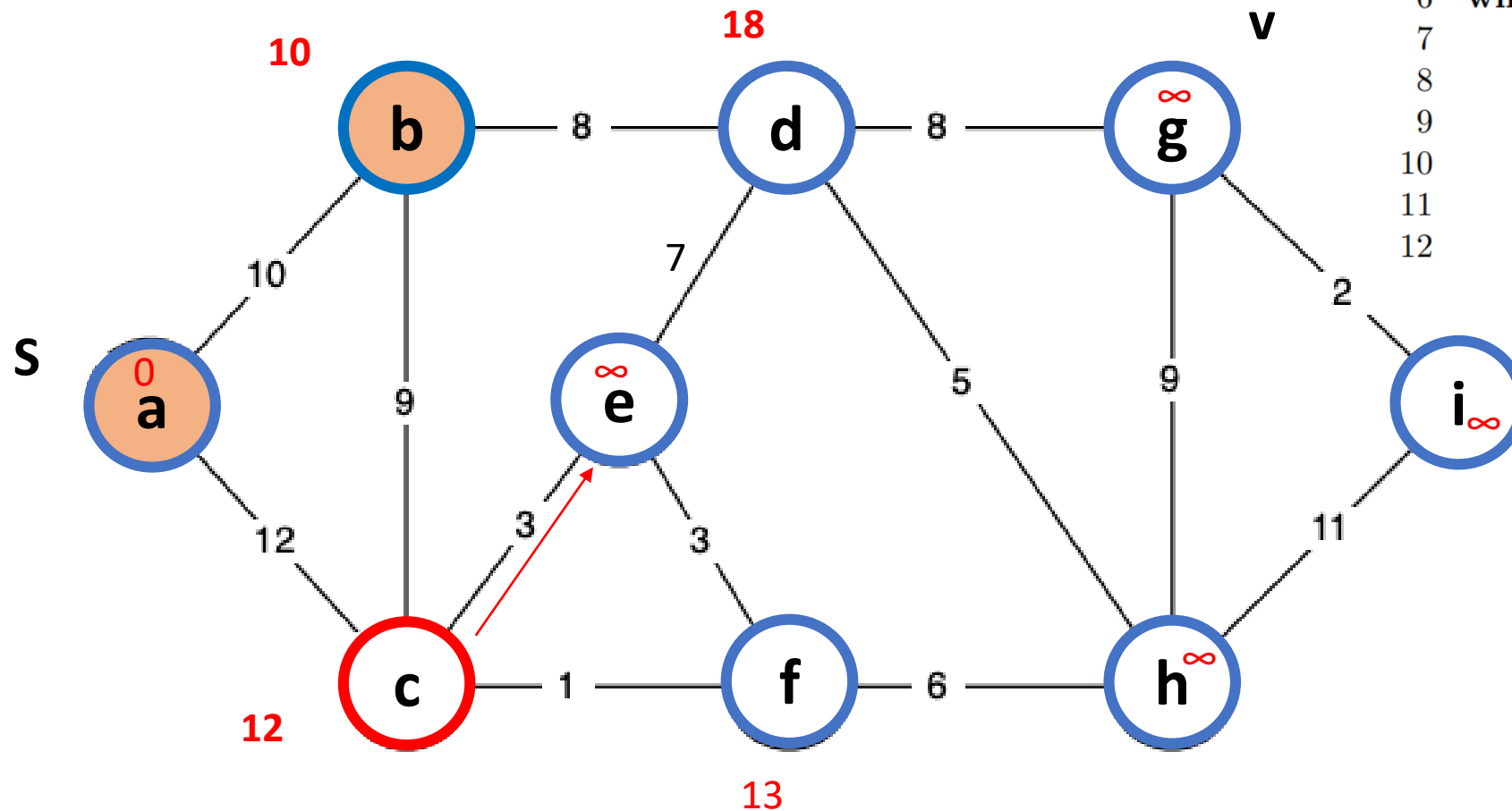
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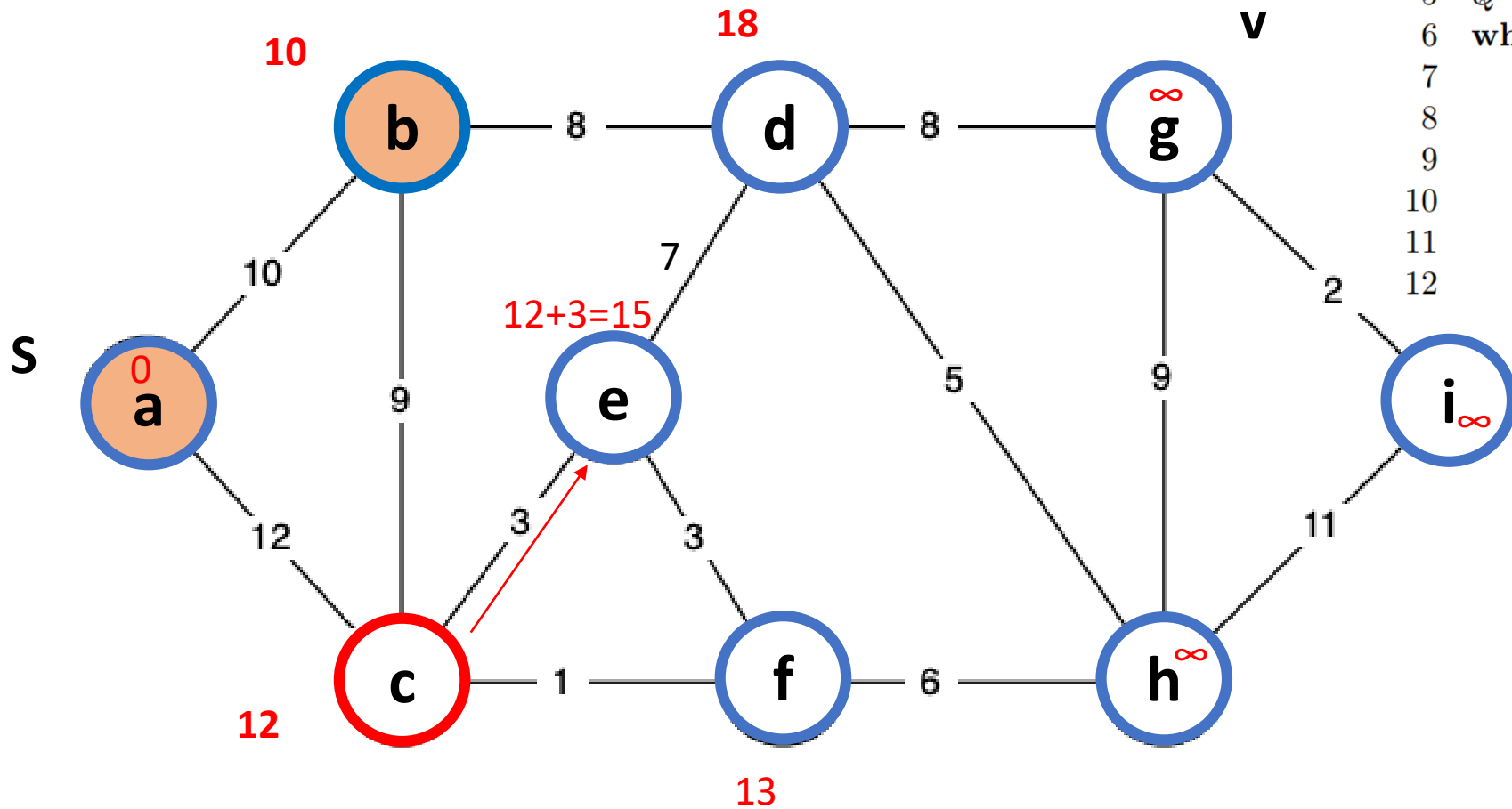
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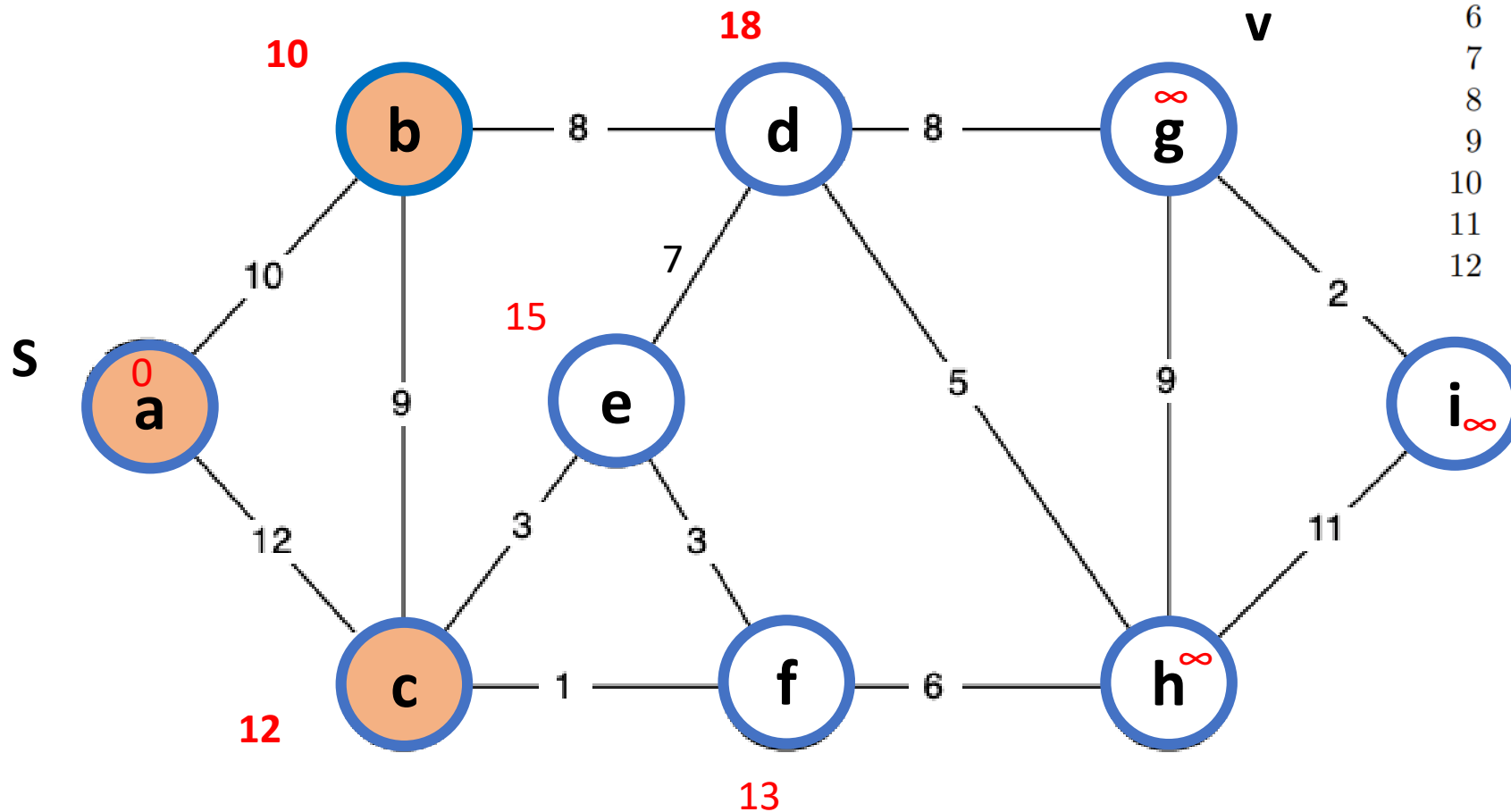
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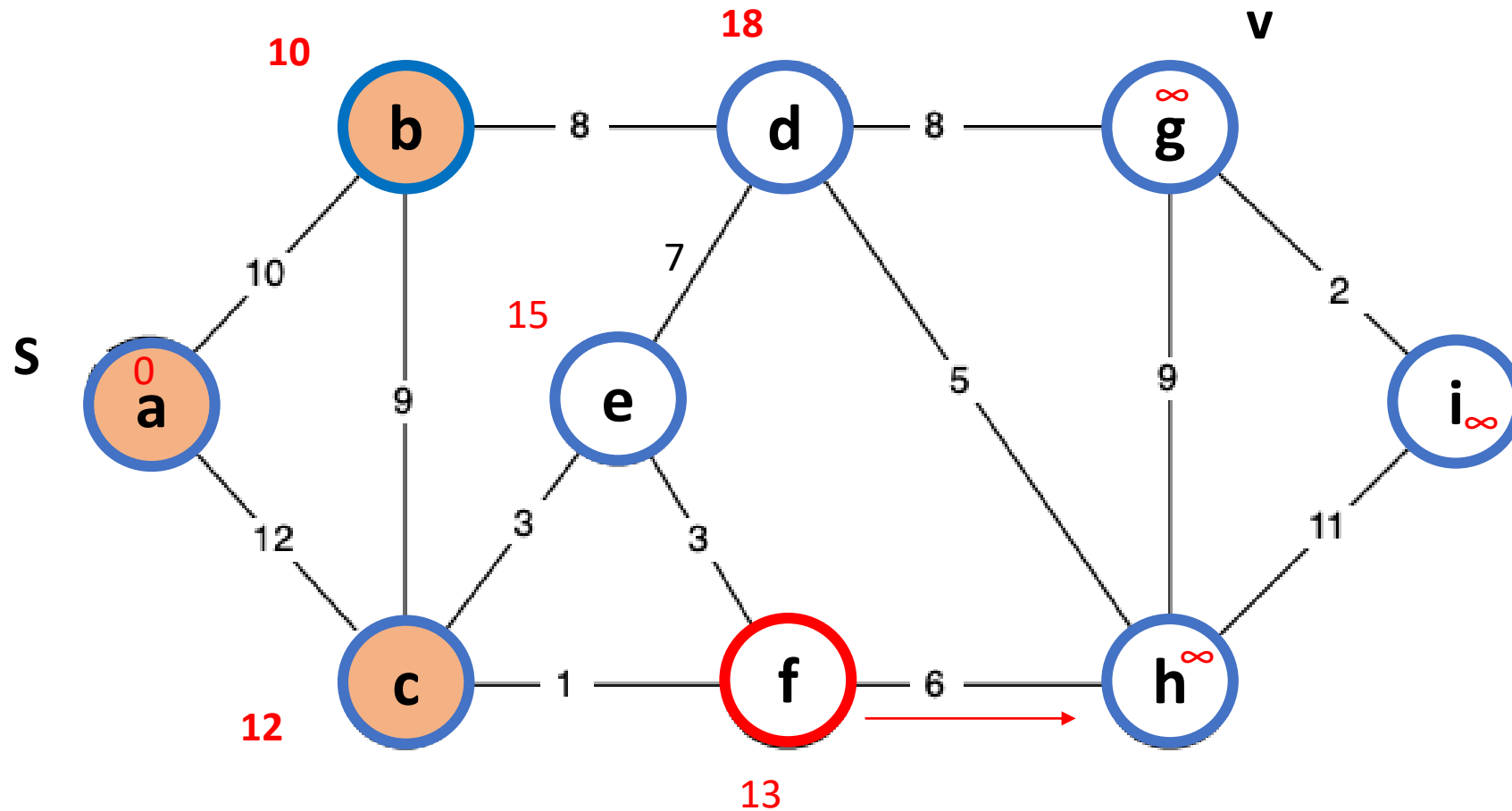
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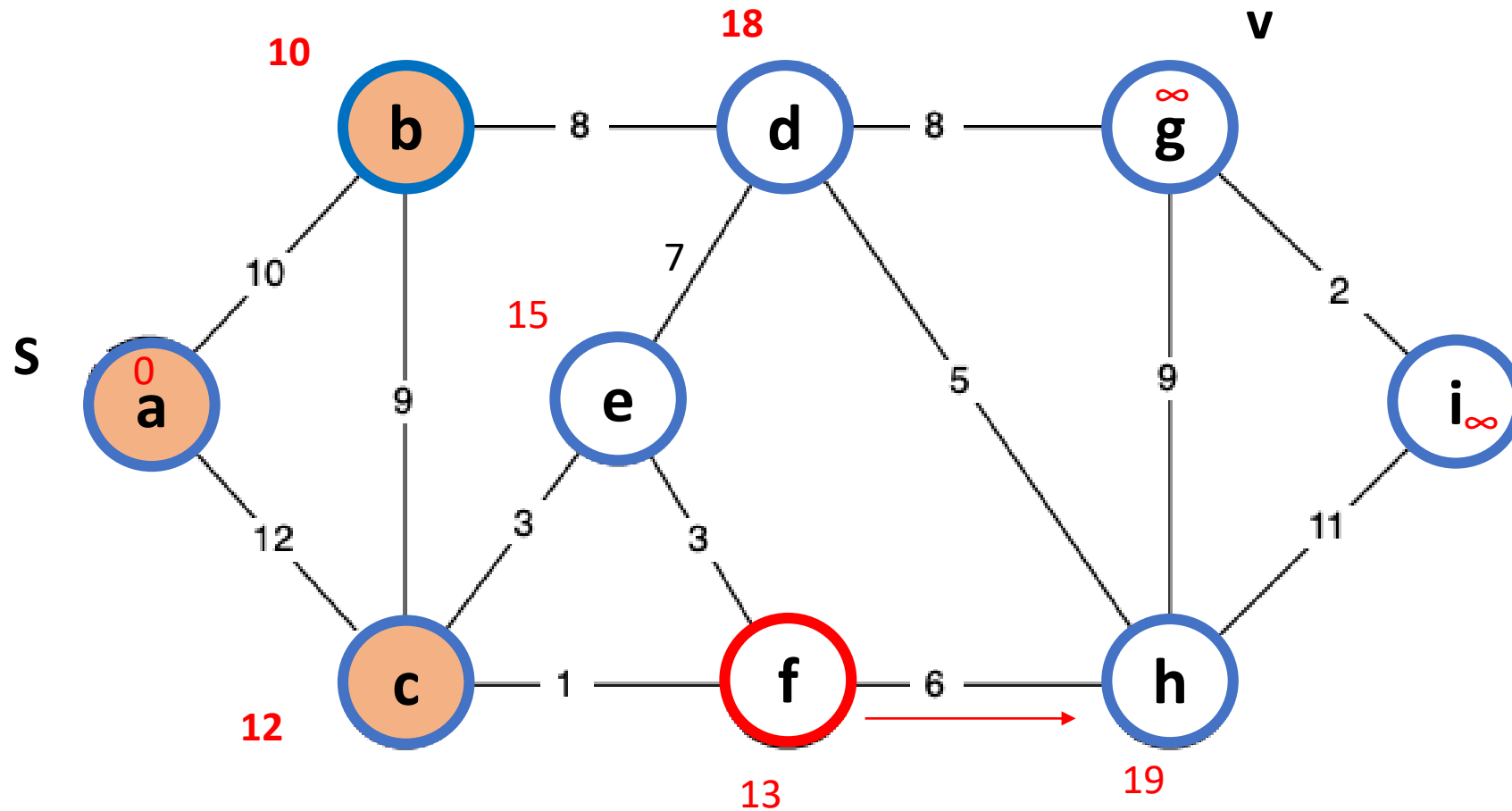
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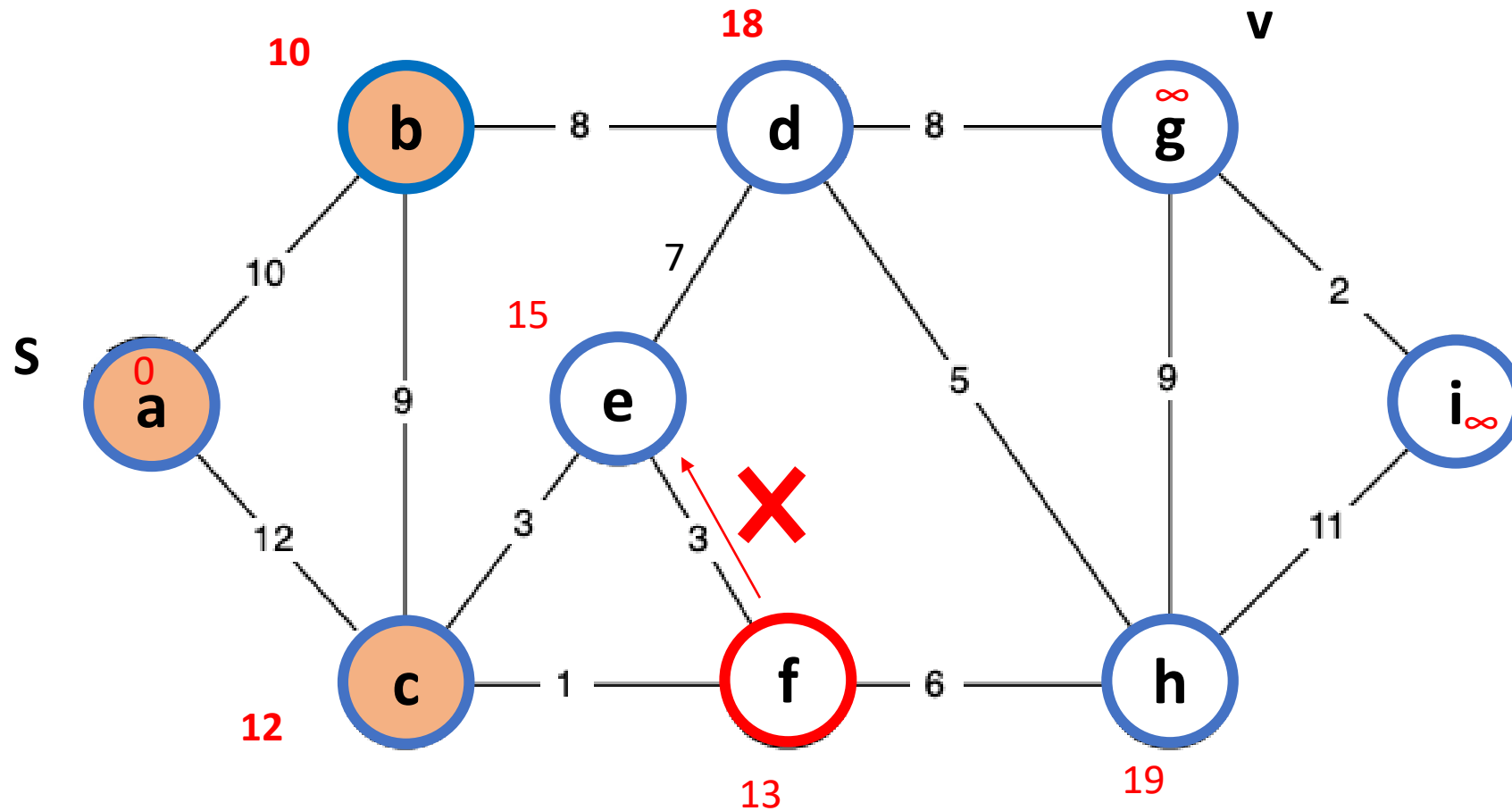
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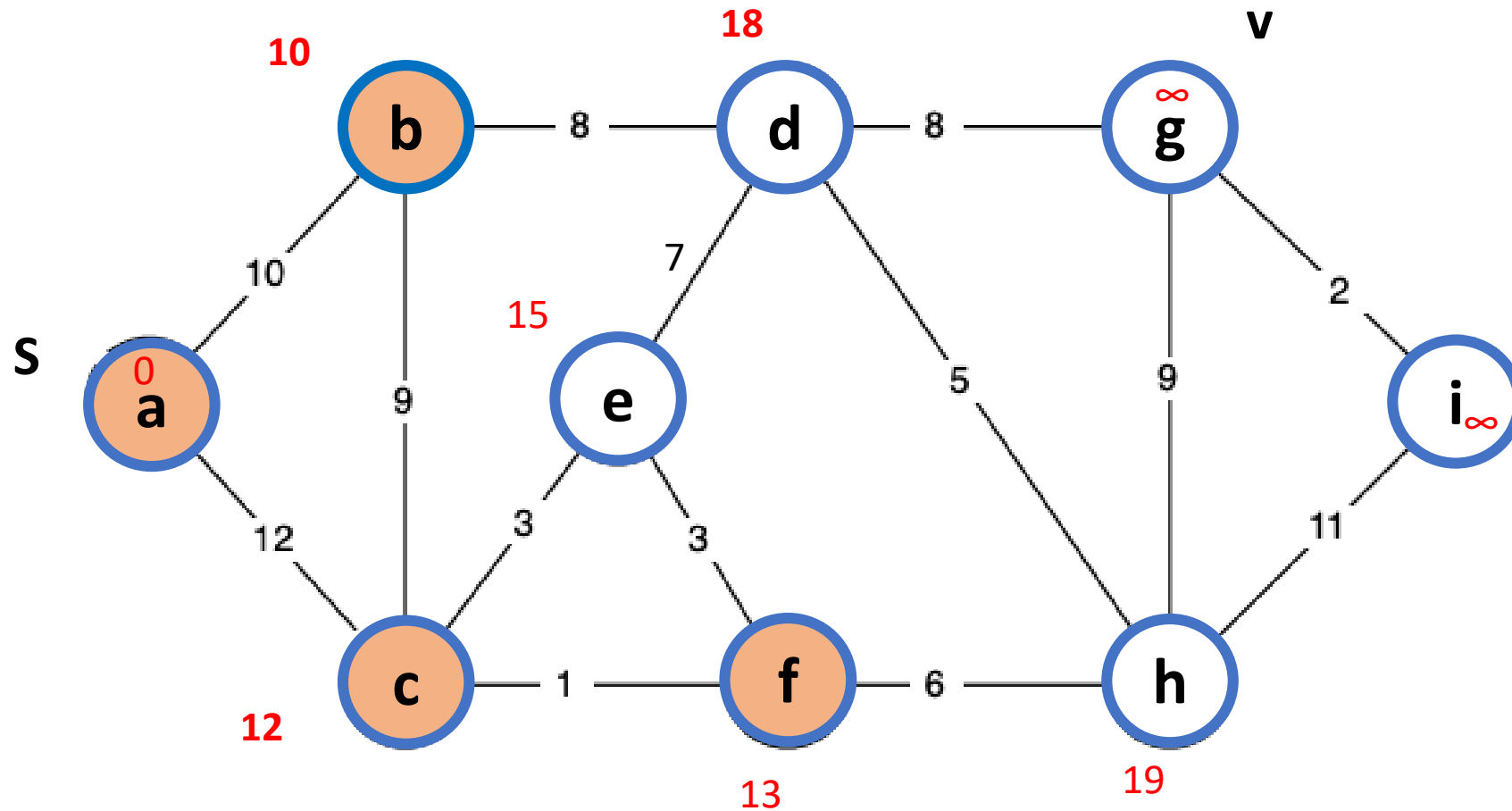
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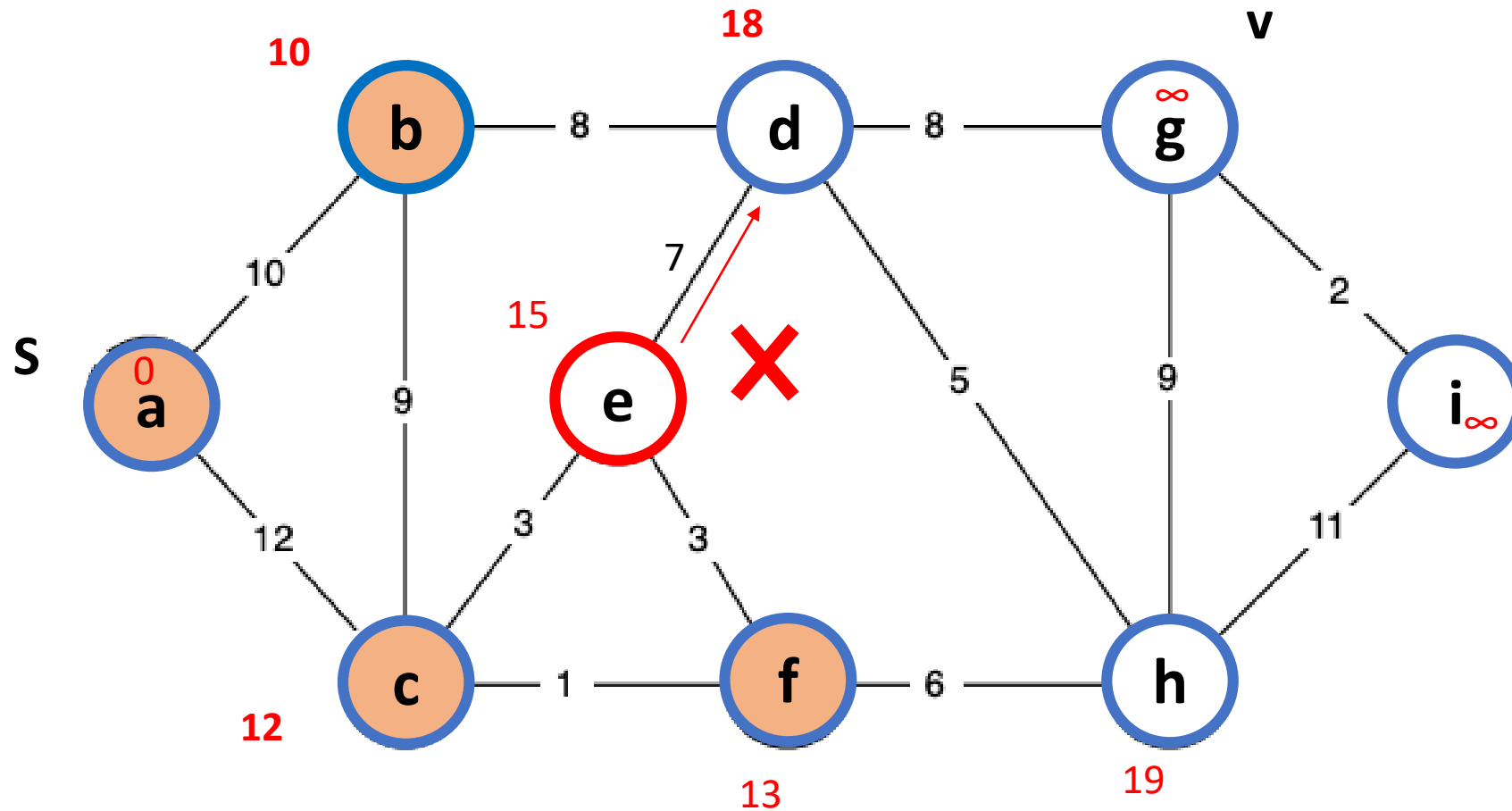
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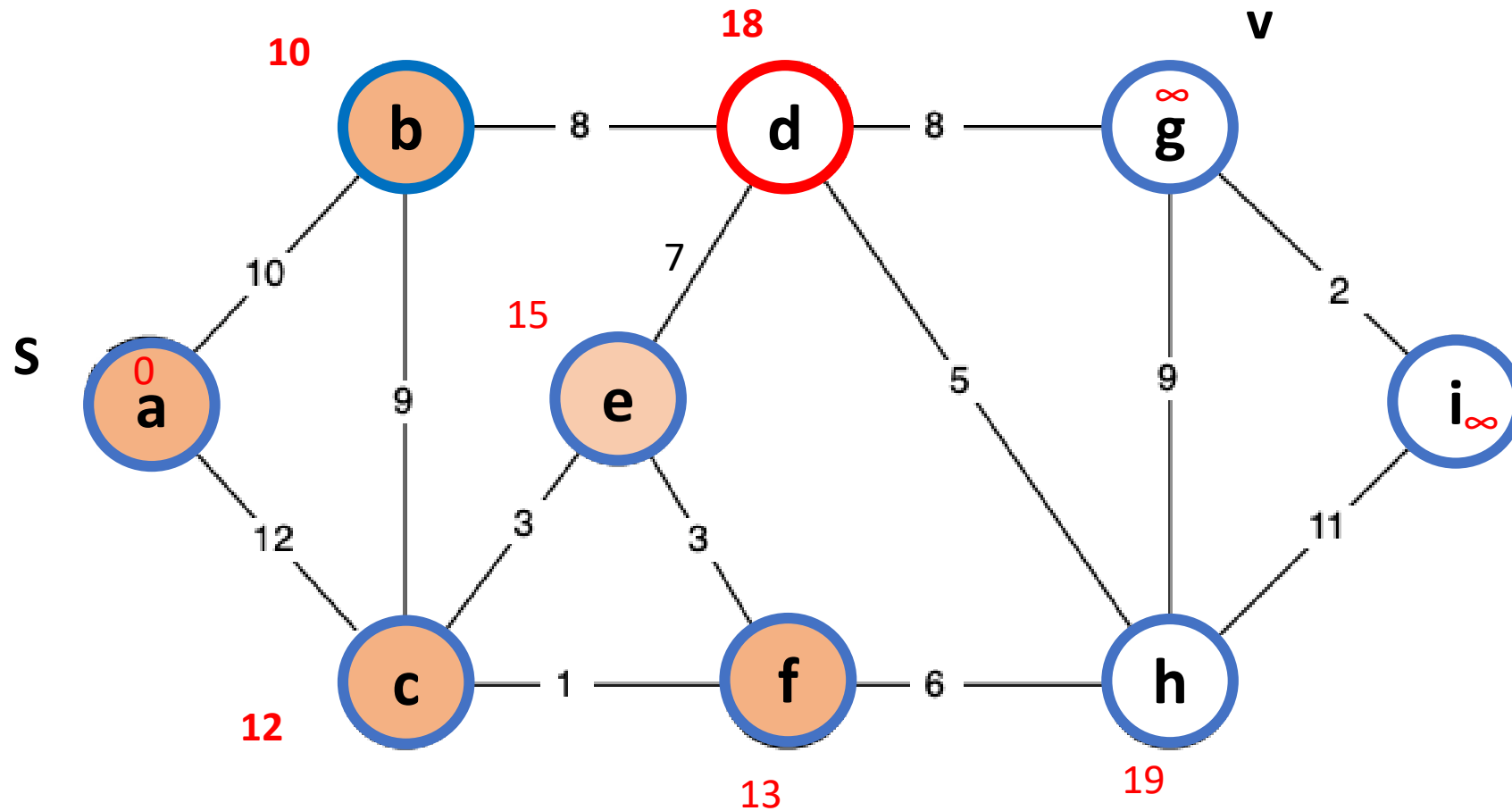
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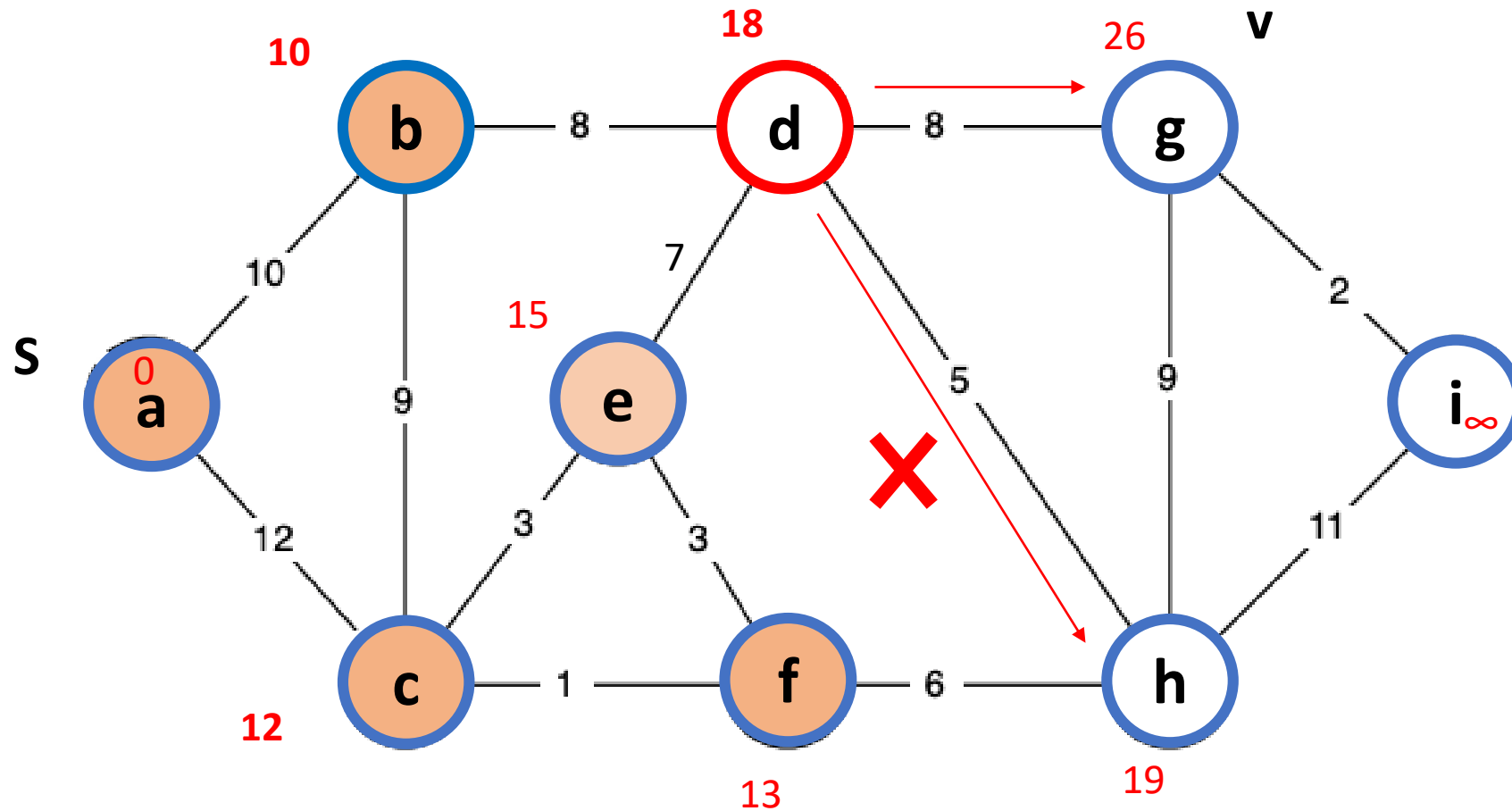
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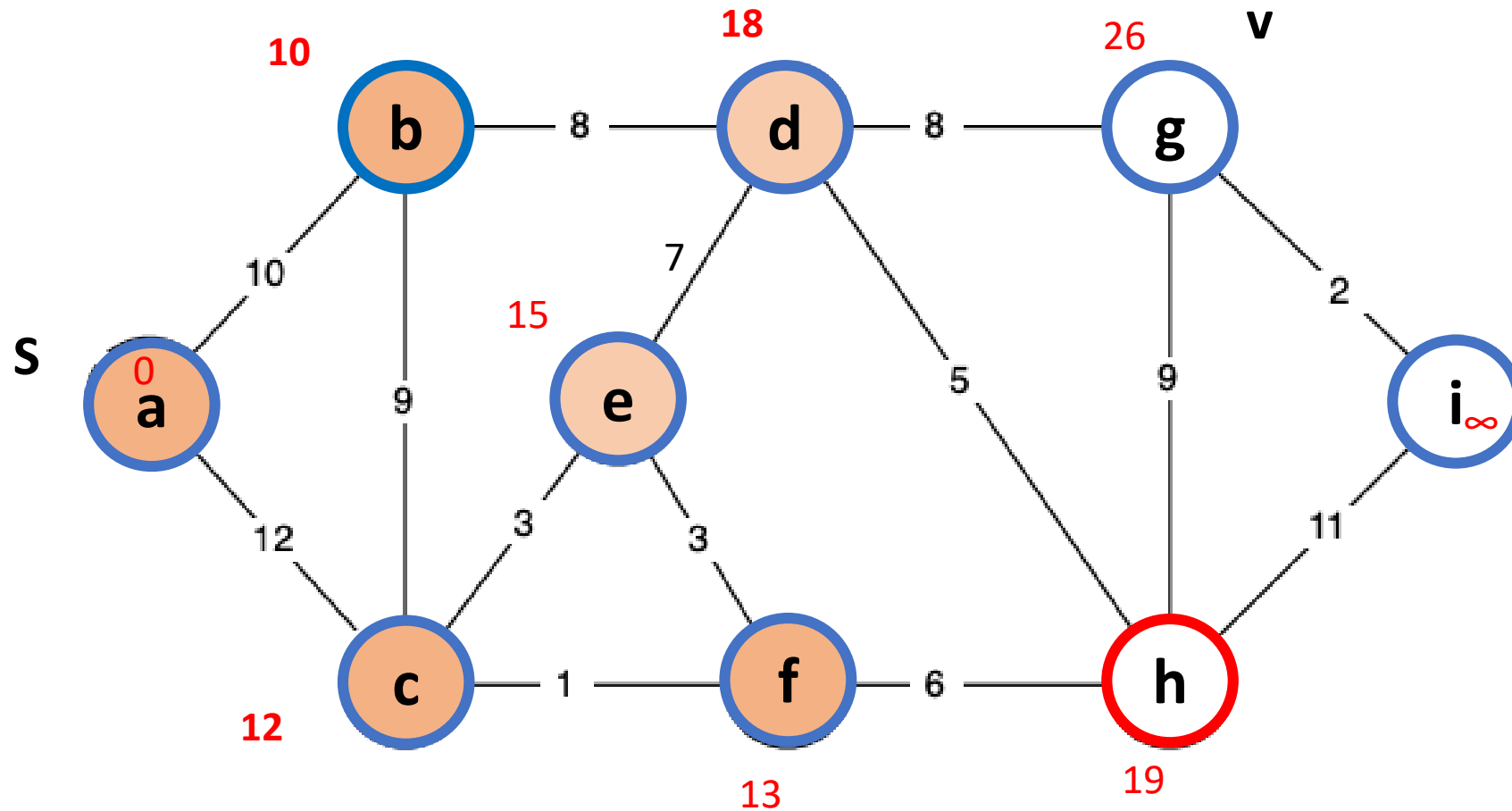
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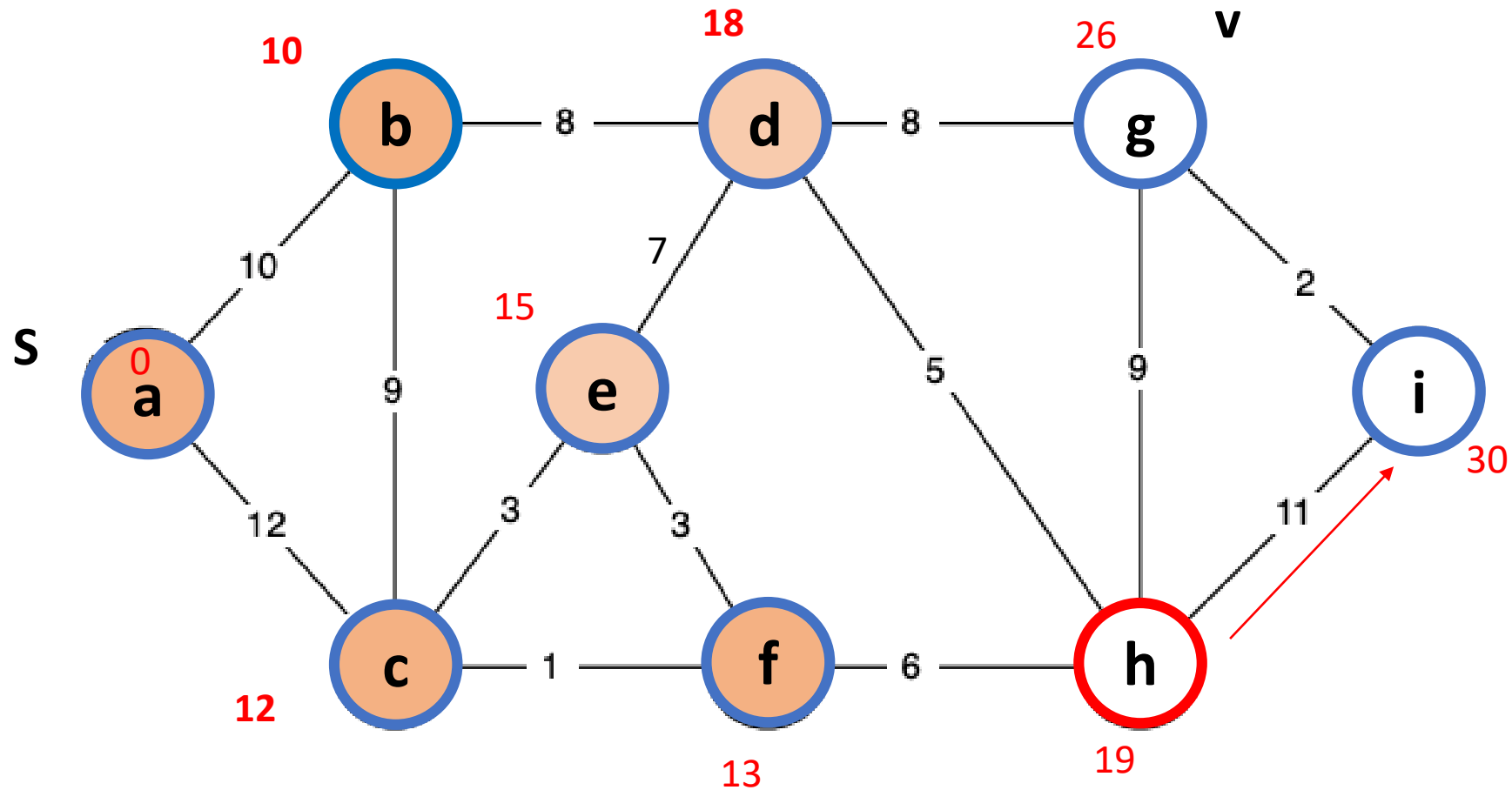
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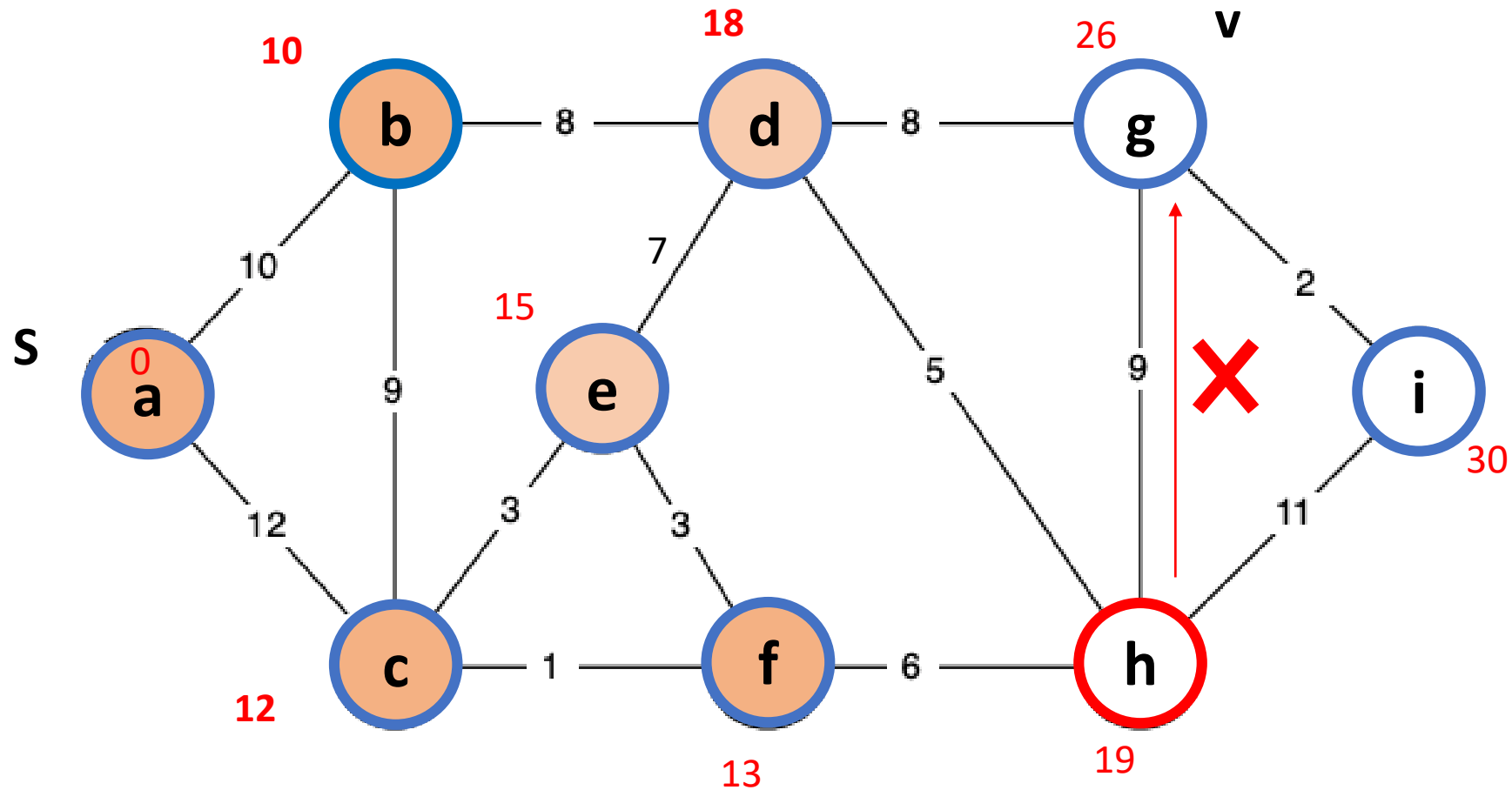
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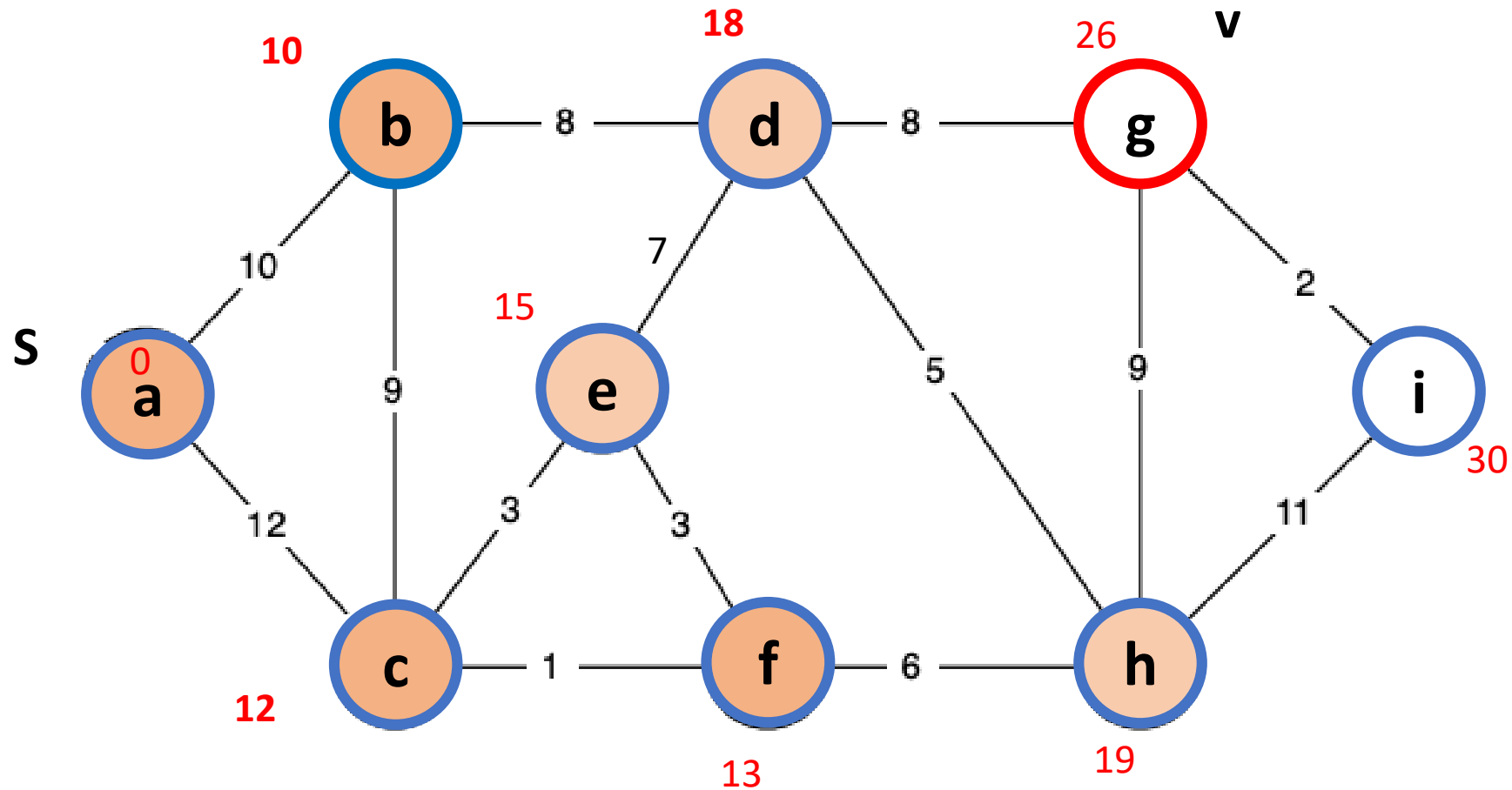
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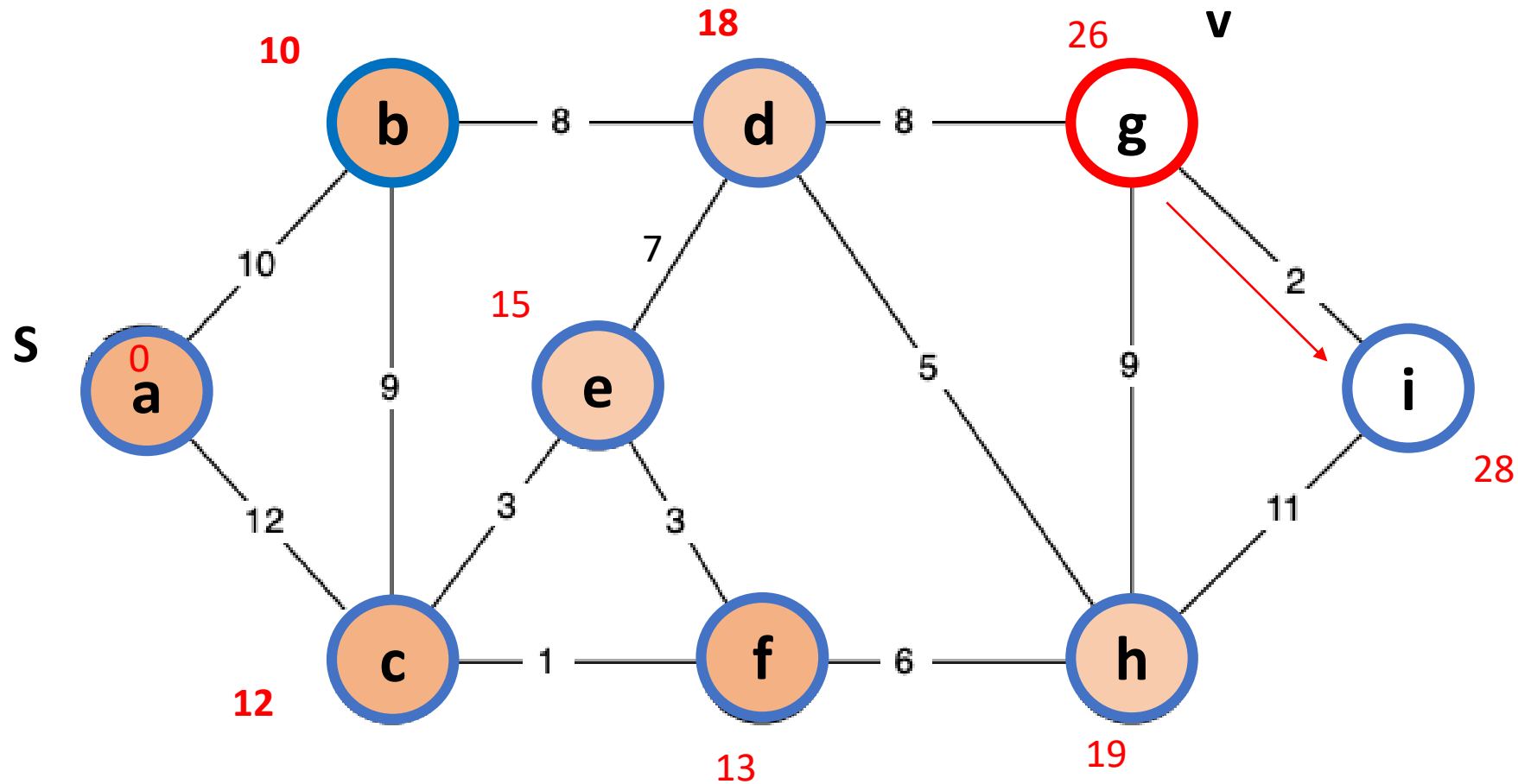
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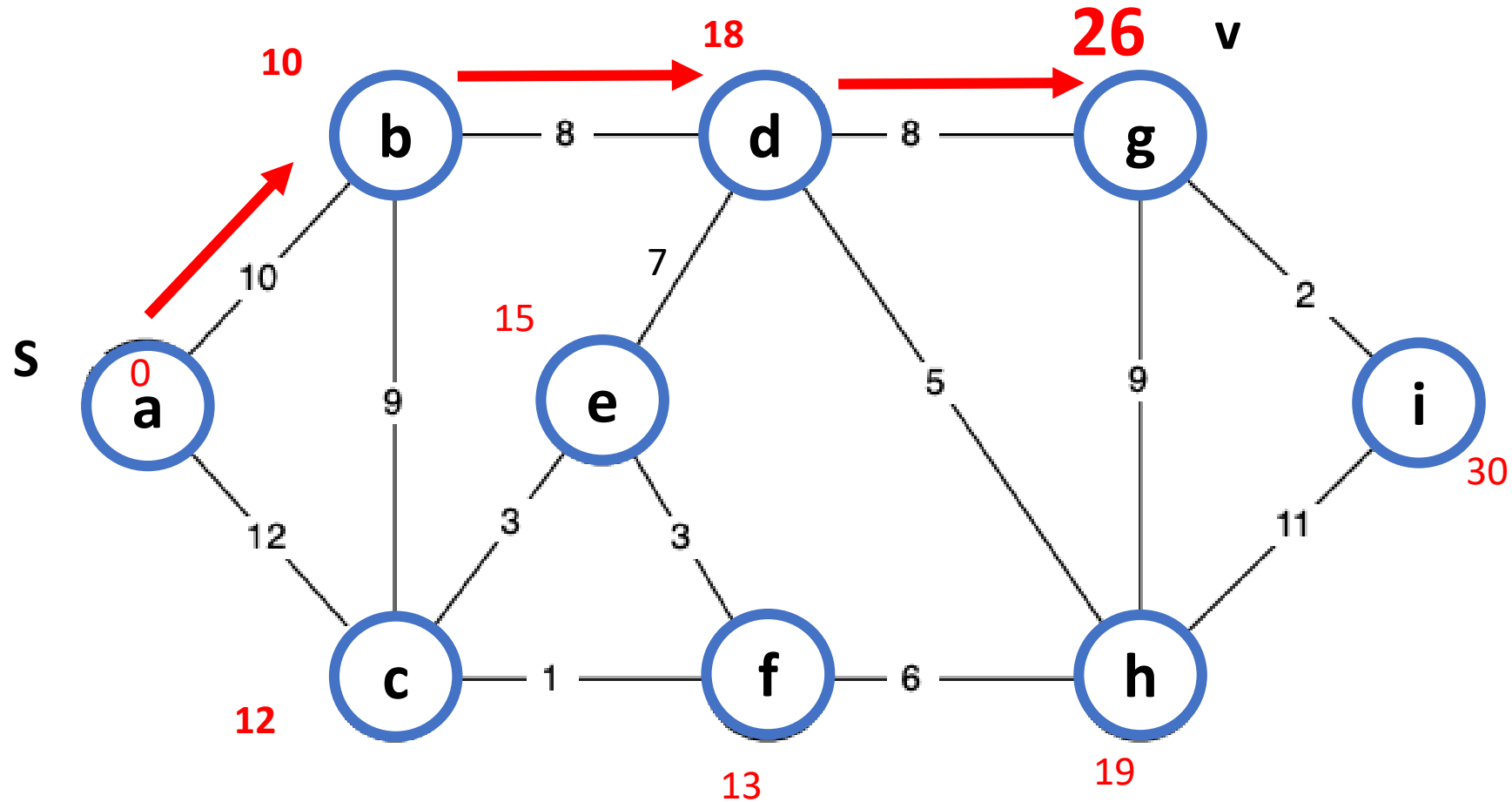
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```

PRIM($G = (V, E)$)

```
 $Q \leftarrow \emptyset$   $\triangleright$   $Q$  is a Priority Queue
Initialize each  $v \in V$  with key  $k_v \leftarrow \infty$ ,  $\pi_v \leftarrow \text{NIL}$ 
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Insert all nodes into  $Q$  with key  $k_v$ .
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            then  $\pi_v \leftarrow u$ 
                 $\text{DECREASE-KEY}(Q, v, w(u, v))$   $\triangleright$  Sets  $k_v \leftarrow w(u, v)$ 
```

DIJKSTRA($G = (V, E), s$)

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1  for all  $v \in V$ 
2      do  $d_u \leftarrow \infty$ 
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6  while  $Q \neq \emptyset$ 
7      do  $u \leftarrow \text{EXTRACTMIN}(Q)$   $\longrightarrow$  (V-1) times
8          for each  $v \in \text{Adj}(u)$ 
9              do if  $d_v > d_u + w(u, v)$ 
10                 then  $d_v \leftarrow d_u + w(u, v)$ 
11                      $\pi_v \leftarrow u$ 
12                      $\text{DECREASEKEY}(Q, v)$   $\left. \vphantom{\begin{array}{l} \text{then } d_v \leftarrow d_u + w(u, v) \\ \pi_v \leftarrow u \\ \text{DECREASEKEY}(Q, v) \end{array}} \right\} \text{E times}$ 
```

$O(E \log V + V \log V)$

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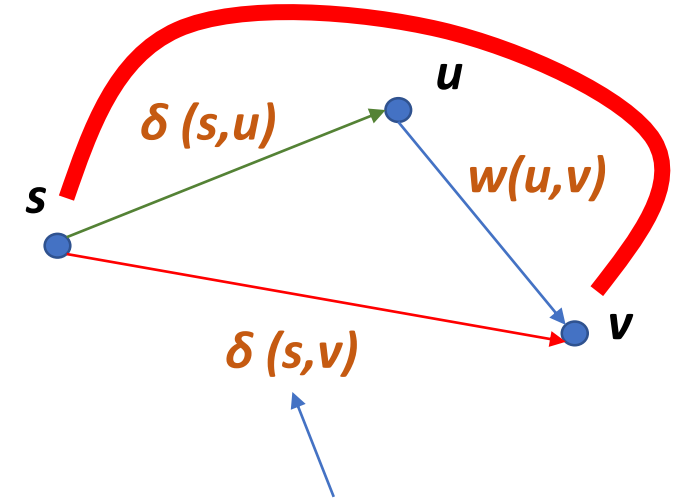
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Why does Dijkstra work?

Triangle inequality: $\forall (u,v) \in E, \delta(s,v) \leq \delta(s,u) + w(u,v)$

What if this is the shortest path from $s \rightarrow v$?



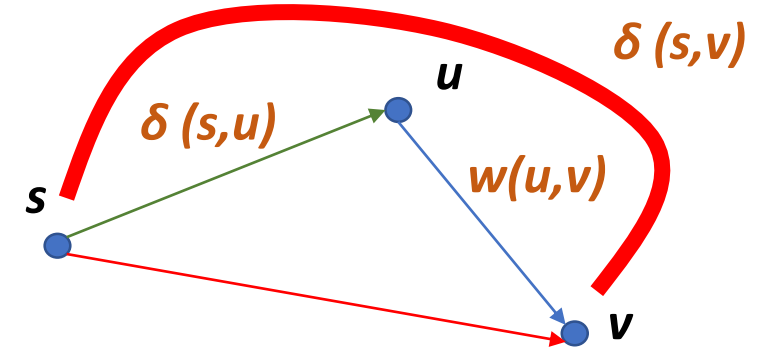
What if this is not the shortest path?

Then! $\delta(s,v) = \delta(s,u) + w(u,v)$

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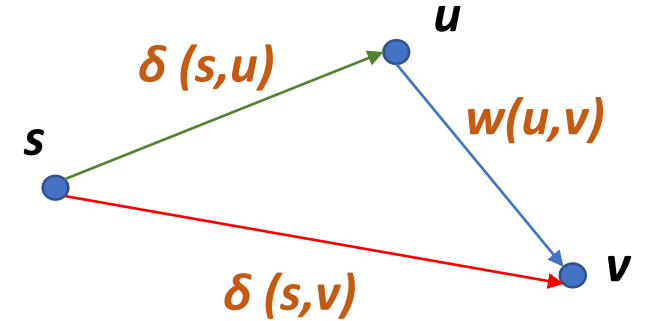


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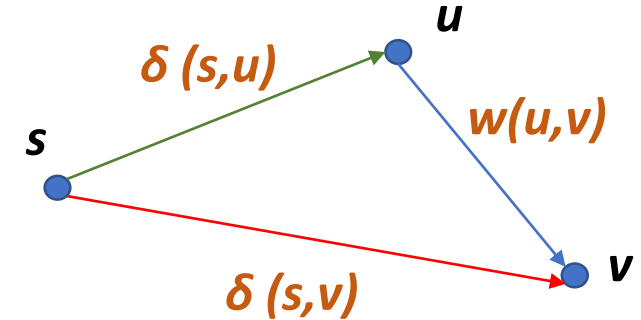
Triangle inequality: $\forall (u,v) \in E, \delta(s,v) \leq \delta(s,u) + w(u,v)$

Upper bound: $d_v \geq \delta(s,v)$



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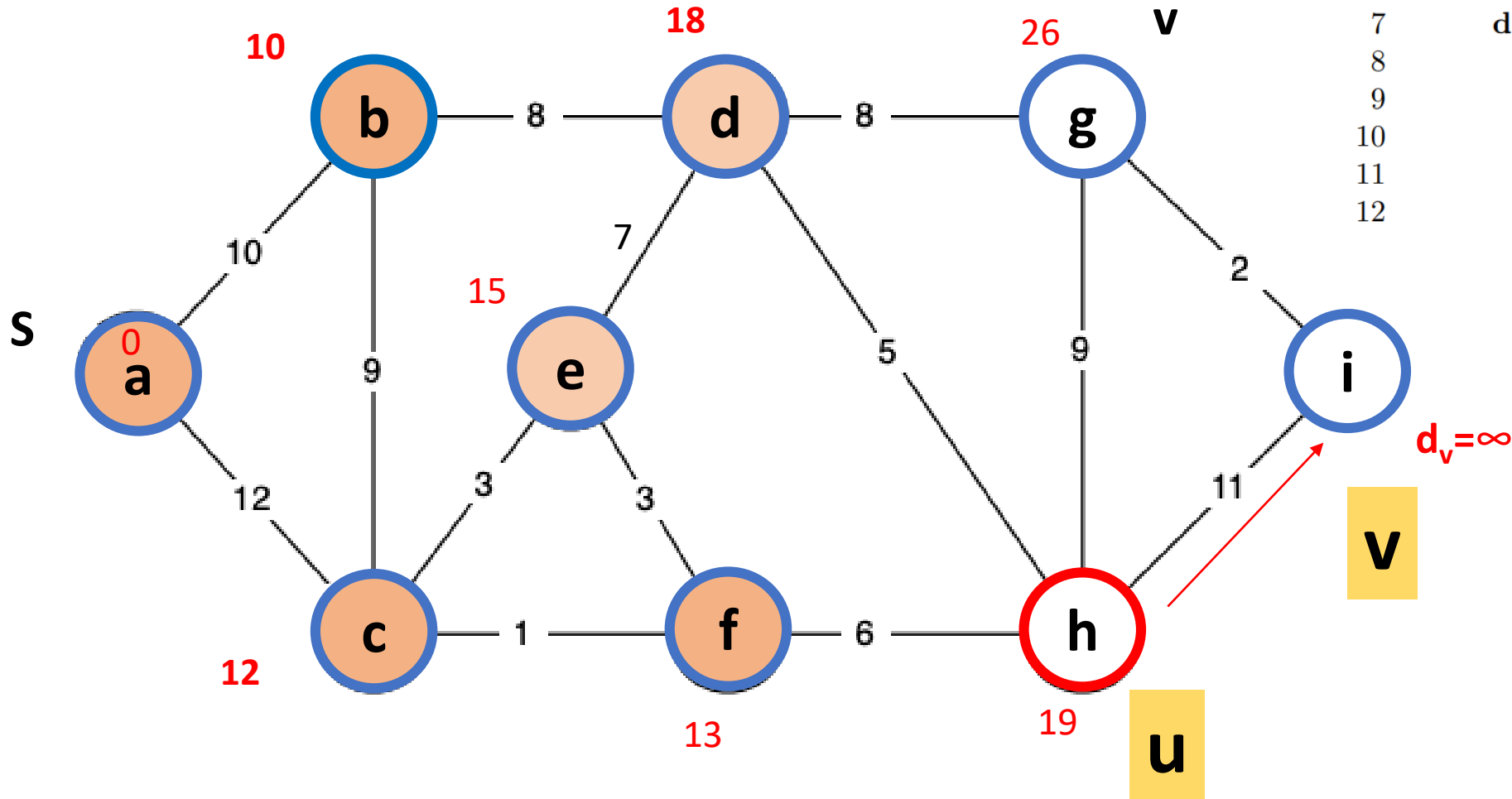
Upper bound: $d_v \geq \delta(s,v)$

Follows because, we initiate all d_v with ∞

And we only update d_v by using

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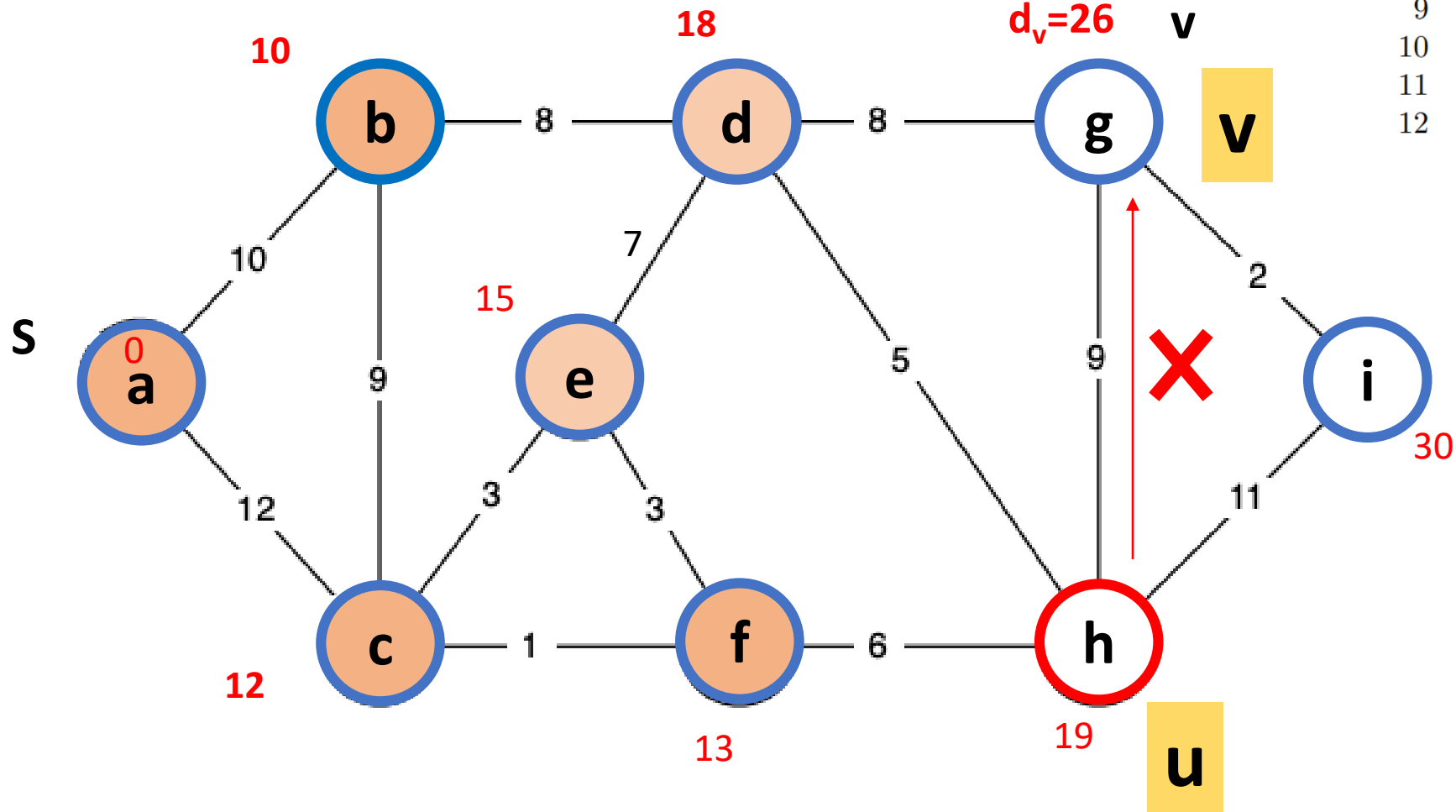
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```

$d_v > \delta(s, v)$

Upper bound: $d_v \geq \delta(s, v)$

$$d_v = \delta(s, v)$$

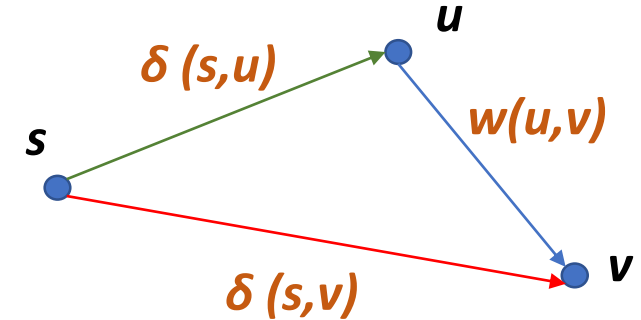


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Why does Dijkstra work? *Proof*

Let S be all the nodes, not in Q . At line 1, S is empty.

Property 1: for all $v \in S$, $d_v = \delta(s, v)$

We will prove it

Proof by induction: **Property 1** holds at the start of the loop.

Suppose it holds at iteration i of the loop. Consider iteration **$i+1$** .

In line 7, we extract a node u . Only **u** is added to S .

Now let's argue that, $d_u = \delta(s, u)$

**prove by
contradiction**

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For the sake of reaching a contradiction, let's consider: $d_u \neq \delta(s, u)$

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Now let's argue that, $d_u = \delta(s, u)$

For the sake of reaching a contradiction, let's consider: $d_u \neq \delta(s, u)$ (*)

Claim 1: $d_u \geq \delta(s, u)$ (from previously shown upper bound lemma)

There is some path from s to u . If there are no path, $\delta(s, u) = \infty$

By definition **(*)**, we know that $d_u \neq \delta(s, u)$ but also, $d_u \geq \delta(s, u)$

So, $\delta(s, u) \neq \infty$, $\delta(s, u)$ can not be ∞

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The point is algorithm will never reach to node u if there were no path from $s \rightarrow u$

Why does Dijkstra work? *Proof*

Let **S** be all the nodes, not in **Q**.

Consider any path from **s** to **u**. (any include the shortest path too)

Let **x** be the very last node is **S** along the path from s to u: **path P**

(x,y) is the first edge that cross the cut (S, V-S) where **S** is the Set right before **u** is extracted. (until iteration i)

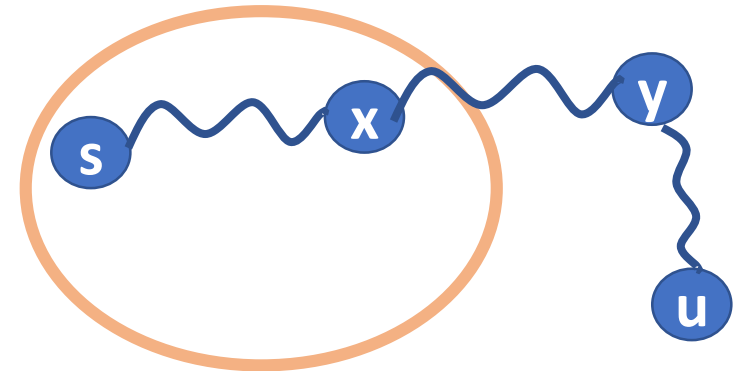
$$L(p) = \delta(s, x) + w(x, y) + l(y \rightarrow u)$$

Because this happened
in iteration i or less?
(induction step)

Any path from y to u

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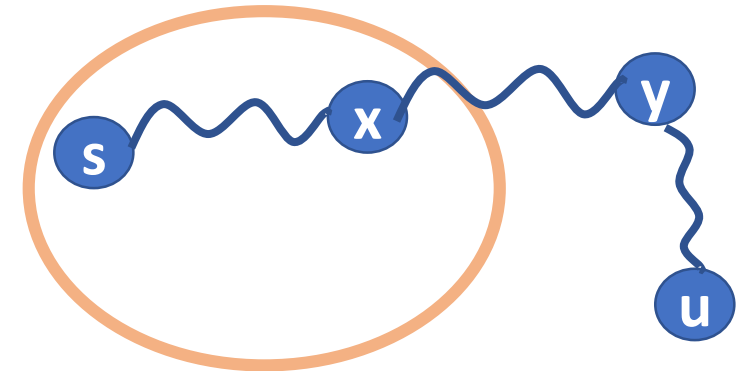
(**x,y**) is the first edge that cross the cut (S, V-S) where **S** is the Set right before **u** is extracted. (until iteration i)

$$L(p) = \delta(s, x) + w(x, y) + l(y \rightarrow u) \\ \geq dy + l(y \rightarrow u)$$

Why? X is already been added to S
When line 10 of the algo was run for x,
Y was neighbor of x (d_v was Y)
So, **dy** $\leftarrow \delta(s, x) + w(x, y)$

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```

$$L(p) = \delta(s, x) + w(x, y) + l(y \rightarrow u)$$

$$\rightarrow dy + l(y \rightarrow u)$$

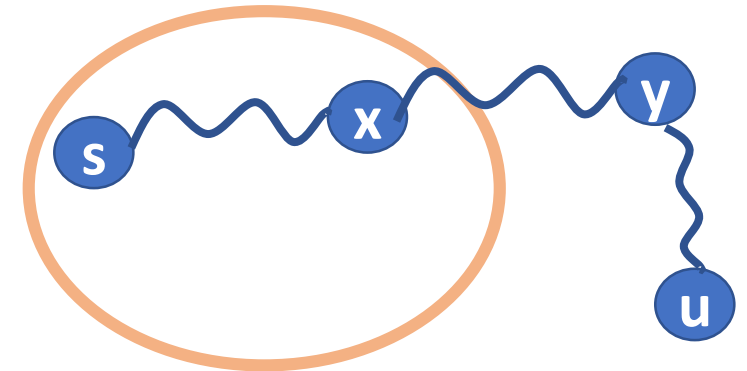
$$\triangleright = du + l(y - \triangleright u)$$

Why?

Because in this iteration, u was extracted, not y

Meaning!!! (line 7!)

$$du \leq dy$$



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$$\geq d_x + l(y \rightarrow u)$$

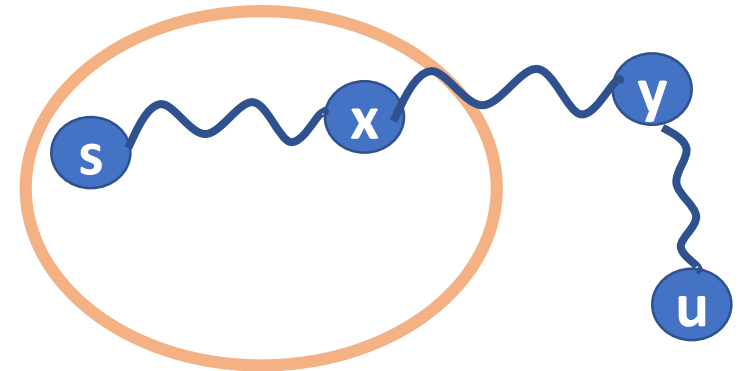
$$\geq d_u + l(y \rightarrow u)$$

$$\geq d_u$$

It is some positive value!!

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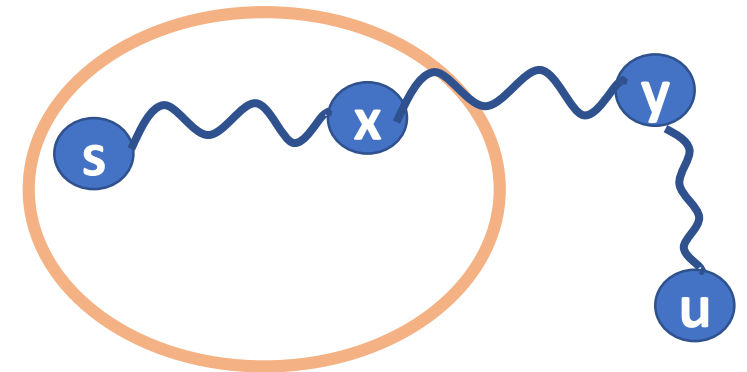
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It is some positive value!!

So, the length of any path from s \rightarrow u, will always be $\geq d_u$



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So, the length of any path from s \rightarrow u, will always be $\geq d_u$

We also know that: $d_u \geq \delta(s, u)$

