

1 Theoretical Background

1.1 Simplices

A collection of $k + 1$ points is affinely independent if the members lie on an affine hyperplane of dimension k . The convex hull of a set $X \subseteq \mathbb{R}^n$ is the smallest convex set containing X . For a finite set $\{x_0, \dots, x_k\}$ this is the set of combinations $\sum_{i=0}^k \lambda_i x_i$ with $\sum_{i=0}^k \lambda_i = 1$.

Definition 1.1. Given an affinely independent set $X = \{x_0, \dots, x_k\} \subseteq \mathbb{R}^n$, the k -dimensional simplex (sometimes called a geometric simplex) $\sigma = [x_0, \dots, x_k]$ spanned by X is the convex hull of X . The points of X are called the vertices of σ , and the simplices spanned by subsets of X (which are necessarily affinely independent) are called the faces of σ .

Definition 1.2. A simplicial complex K is a finite collection of geometric simplices such that

- (i) for any simplex $\sigma \in K$, every face of σ is in K ;
- (ii) for any two simplices $\sigma, \tau \in K$, $\sigma \cap \tau$ is either empty, or a face of both σ and τ .

The dimension of K is the largest dimension of any simplex in K . A subcomplex of K is a subset of K which is a simplicial complex.

1.2 Simplicial Homology

Definition 1.3. Given a simplicial complex K , we define a p -chain as a subset of the p -simplices in K .

We can write a p -chain c as the formal sum $c = \sum a_i \sigma_i$ where the sum is over all p -simplices and the coefficients are in \mathbb{Z}_2 . This gives rise to an abelian group $(C_p, +)$, where $c + c' = \sum (a_i + b_i) \sigma_i$ and the coefficients are reduced mod 2. It can be further extended to a vector space by defining scalar multiplication as $a \cdot c = \sum (a \cdot a_i) \sigma_i$.

The boundary of a p -simplex is the set of $(p-1)$ -faces. The boundary of a p -chain is the sum of the boundaries of its p -simplices: $\partial_p c = \sum a_i \partial_p \sigma_i$.

Definition 1.4. This can be formalised as an operation between vector spaces:

$$\partial_p : C_p \rightarrow C_{p-1}$$

called the boundary homomorphism.

These vector spaces and maps can be lined up into a sequence

$$\cdots \rightarrow \partial_{p+2} C_{p+1} \rightarrow \partial_{p+1} C_p \rightarrow \partial_p C_{p-1} \rightarrow \partial_{p-1} \cdots$$

called the chain complex of K .

Proposition 1.1. $\partial \partial c = 0$.

Proof. Let σ be a p -simplex. The vertices of a $(p-2)$ -face are a subset of size $p-1$ from the $p+1$ vertices of σ . There are two subsets of $V(p)$ with size p containing these $p-2$ vertices. It follows that every $(p-2)$ -face of σ is contained in exactly two $(p-1)$ -faces, and therefore that $\partial \partial$ vanishes on σ as coefficients are reduced mod 2. By homomorphism properties this now follows for p -chains. \square

Definition 1.5. A p -cycle is a p -chain without boundary, the set of all p -cycles is therefore $\ker \partial_p$. As the kernel of a homomorphism it is a subgroup of C_p , and we denote it by Z_p .

Similarly, we define a p -boundary to be the boundary of a $(p+1)$ -chain, the set of all p -boundaries is therefore $\text{im} \partial_{p+1}$. As the image of a homomorphism it is a subgroup of C_p , and we denote it by B_p .

Note also that $B_p \trianglelefteq Z_p$ because Z_p is abelian, we can therefore take the quotient Z_p/B_p which represents the distinct cycles up to boundary.

Definition 1.6. We say that $z, z' \in Z_p$ are homologous if they fall in the same conjugacy class in Z_p/B_p .

We denote the quotient Z_p/B_p by H_p , and call it the p^{th} homology group. Its members are referred to as homology classes.

The above definitions can be extended to incorporate the vector space structure. Then, the rank-nullity theorem can then be applied to give

$$\text{rank}H_p = \text{rank}Z_p - \text{rank}B_p,$$

which we refer to the p^{th} Betti number of K and notate by $\beta_p = \text{rank}H_p$.

Definition 1.7. Define the Euler characteristic of a simplicial complex K by

$$\chi(K) = \sum_{i=0}^k (-1)^i \text{rank}C_p,$$

where $k = \dim K$.

The map $\partial_p : C_p \rightarrow B_{p-1}$ is surjective, so we can apply the rank-nullity theorem to get $\text{rank}B_{p-1} = \text{rank}C_p - \text{rank}Z_p$. We can use this, along with that $B_i = \mathbf{0}$ for i outside $\{0, \dots, k-1\}$, to rewrite the Euler characteristic:

$$\begin{aligned} \chi(K) &= \sum_{i=0}^k (-1)^i (\text{rank}Z_p + \text{rank}B_{i-1}) \\ &= \sum_{i=0}^k (-1)^i \text{rank}Z_p - \sum_{i=0}^k (-1)^i B_i \\ &= \sum_{i=0}^k (-1)^i (\text{rank}Z_p - B_i) \\ &= \sum_{i=0}^k (-1)^i \beta_i. \end{aligned}$$

1.3 Homology

Definition 1.8. The underlying space of a simplicial complex K is defined as the union

$$|K| = \bigcup_{\sigma \in K} \sigma,$$

and equipt with the subspace topology.

Definition 1.9. A triangulation of a topological space X is a simplicial complex K , whose underlying space is homeomorphic to X .

The previous definition of the Euler characteristic can be extended to be a well-defined topological invariant by defining it on a triangulation of a space. It is independent of the specific choice of triangulation. We note that having the same homology groups is weaker than having the same homotopy type, which is again weaker than being homeomorphic:

$$X \approx Y \implies X \simeq Y \implies H_p(X) \cong H_p(Y) \text{ for all } p.$$

The implications of this are that to compute the Betti numbers of X , we may find a space Y with the same homotopy type and compute its Betti numbers.