

# COMP 3311

# DATABASE MANAGEMENT

# SYSTEMS

## LECTURE 23 EXERCISES

## RECOVERY SYSTEM

# EXERCISE 1

Consider the log corresponding to a schedule of three serially executing transactions  $T_1$ ,  $T_2$  and  $T_3$  where a system failure occurs at the point indicated. Assume that we use the immediate update protocol.

(a) Which transactions are undone?

Undo:  $T_3$

(b) Which transactions are redone?

Redo:  $T_1$   $T_2$

Log File
$\langle T_1 \text{ start} \rangle$
$\langle T_1, B, 15, 12 \rangle$
$\langle T_1 \text{ commit} \rangle$
$\langle T_2 \text{ start} \rangle$
$\langle T_2, B, 12, 18 \rangle$
$\langle T_2, D, 25, 26 \rangle$
$\langle T_2 \text{ commit} \rangle$
$\langle T_3 \text{ start} \rangle$
$\langle T_3, D, 20, 25 \rangle$

← system failure

**Scan the log and**  
undo if  $\langle T_i \text{ start} \rangle$  but no  $\langle T_i \text{ commit} \rangle$   
redo if  $\langle T_i \text{ start} \rangle$  and  $\langle T_i \text{ commit} \rangle$

## EXERCISE 2

Consider the log corresponding to a schedule of three concurrently executing transactions  $T_1$ ,  $T_2$  and  $T_3$  where a system crash occurs at the point indicated. Assume that we use the immediate update protocol with checkpointing.

(a) Which transactions are undone?

Undo:  $T_2$

(b) Which transactions are redone?

Redo:  $T_3$   $T_1$

**Scan the log backward and**  
 if find  $\langle T_i \text{ commit} \rangle$  add to redo-list  
 if find  $\langle T_i \text{ start} \rangle$  add to undo-list if not in redo-list  
 for every  $T_i$  in  $\langle \text{checkpoint}, \{T_i, \dots\} \rangle$   
 if  $T_i$  not in redo-list add to undo-list

Log File	
$\langle T_1 \text{ start} \rangle$	
$\langle T_1, A, 2, 5 \rangle$	
$\langle T_1, B, 1, 2 \rangle$	
$\langle T_2 \text{ start} \rangle$	
$\langle T_1, C, 2, 8 \rangle$	
$\langle T_2, B, 2, 5 \rangle$	
$\langle \text{checkpoint}, \{T_1, T_2\} \rangle$	← add $T_2$ to undo-list
$\langle T_1 \text{ commit} \rangle$	← add to redo-list
$\langle T_2, B, 5, 6 \rangle$	
$\langle T_3 \text{ start} \rangle$	✓ on redo-list
$\langle T_3, A, 5, 3 \rangle$	
$\langle T_3 \text{ commit} \rangle$	← add to redo-list
$\langle T_2, C, 8, 2 \rangle$	
$\langle T_2, A, 3, 5 \rangle$	
	← system failure



## EXERCISE 3

Consider the log corresponding to a schedule of four serially executing transactions  $T_1$ ,  $T_2$ ,  $T_3$  and  $T_4$  where a system crash occurs at the point indicated. Assume that we use the immediate update protocol with checkpointing.

(a) Which transactions are undone?

Undo:  $T_4$

(b) Which transactions are redone?

Redo:  $T_1$   $T_2$   $T_3$

Log File
< $T_1$ start>
< $T_1$ , A, 1, 2>
< $T_1$ , B, 5, 12>
<checkpoint>
< $T_1$ commit>
< $T_2$ start>
< $T_2$ , B, 12, 18>
< $T_2$ commit>
< $T_3$ start>
< $T_3$ , D, 25, 26>
< $T_3$ commit>
< $T_4$ start>
< $T_4$ , A, 20, 25>

← system failure

Scan log backward to first  $\langle T_i \text{ start} \rangle$  before the checkpoint.  
Scan log forward and  
undo if  $\langle T_i \text{ start} \rangle$  but no  $\langle T_i \text{ commit} \rangle$   
redo if  $\langle T_i \text{ start} \rangle$  and  $\langle T_i \text{ commit} \rangle$

## EXERCISE 4

Consider the log corresponding to a schedule of three concurrently executing transactions  $T_1$ ,  $T_2$  and  $T_3$  where a system crash occurs at the point indicated. Assume that we use the immediate update protocol with checkpointing.

(a) Which transactions are undone?

Undo:  $T_1$   $T_3$

(b) Which transactions are redone?

Redo:  $T_2$

Log File	
< $T_3$ start>	
< $T_3$ , B, 15, 12>	
< $T_2$ start>	
< $T_2$ , B, 12, 18>	
<checkpoint { $T_2$ , $T_3$ }>	← add $T_3$ to undo-list
< $T_2$ commit>	← add to redo-list
< $T_1$ start>	← add to redo-list
< $T_1$ , D, 20, 25>	
< $T_1$ , D, 25, 26>	
← system failure	

**Scan the log backward and**  
if find < $T_i$  commit> add to redo-list  
if find < $T_i$  start> add to undo-list if not in redo-list  
for every  $T_i$  in <checkpoint, { $T_i$ , ...}>  
if  $T_i$  not in redo-list add to undo-list