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Point-set topological spatial relations

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Abstract. Practical needs in geographic information systems (GIS) have led to the investigation of **formal and sound methods** of describing spatial relations. After an introduction to the basic ideas and notions of topology, **a novel theory** of topological spatial relations between sets is developed in which the relations are defined in terms of the **intersections** of the boundaries and interiors of two sets. By considering *empty* and *non-empty* as the values of the intersections, a total of sixteen topological spatial relations is described, each of which can be realized in R^2 . This set is reduced to nine relations if the sets are restricted to spatial regions, a fairly broad class of subsets of a connected topological space with an application to GIS. It is shown that these relations correspond to some of the standard set theoretical and topological spatial relations between sets such as **equality, disjointness and containment in the interior**.

1. Introduction

The work reported here has been motivated by the **practical need** for a formal understanding of spatial relations within the realm of geographic information systems (GIS). To **display, process or analyze** spatial information, users select data from a GIS by **asking queries**. Almost any GIS query is based on spatial concepts. Many queries **explicitly incorporate spatial relations** to describe **constraints** about spatial objects to be analyzed or displayed. For example, a GIS user may ask the following query to obtain information about the potential risks of toxic waste dumps to school children in a specific area: 'Retrieve all toxic waste dumps which are within 10 miles of an elementary school and located in Penobscot County and its **adjacent** counties'. The number of **elementary schools** known to the information system is restricted by using the formulation of constraints. Of particular interest are the spatial constraints expressed by *spatial relations* such as *within 10 miles*, *in*, and *adjacent*.

The lack of a comprehensive theory of spatial relations has been a major impediment to any GIS implementation. The problem is not only one of selecting the appropriate terminology for these spatial relations, but also one of determining their semantics. The development of a theory of spatial relations is expected to provide answers to the following questions (Abler 1987):

- What are the fundamental geometric properties of geographic objects needed to describe their relations?
- How can these relations be defined formally in terms of fundamental geometric properties?
- What is a minimal set of spatial relations?

In addition to the purely mathematical aspects, cognitive, linguistic and psychological considerations (Talmy 1983, Herskovits 1986) must also be included if a theory about spatial relations, applicable to real-world problems, is to be developed (NCGIA 1989). Within the scope of this paper, only the formal, mathematical concepts which have been partially provided from point-set topology will be considered.

The application of such a theory of spatial relations exceeds the domain of GIS. Any branch of science and engineering that deals with spatial data will benefit from a formal understanding of spatial relations. In particular, its contribution to spatial logic and spatial reasoning will also be helpful in areas such as surveying engineering, computer-aided design/computer-aided manufacturing (CAD/CAM), robotics and very large-scale integrated (VLSI) design.

The variety of spatial relations can be grouped into three different categories: (1) topological relations which are invariant under topological transformations of the reference objects (Egenhofer 1989, Egenhofer and Herring 1990); (2) metric relations in terms of distances and directions (Peuquet and Ci-Xiang 1987); and (3) relations concerning the partial and total order of spatial objects (Kainz 1990) as described by prepositions such as *in front of*, *behind*, *above* and *below* (Freeman 1975, Chang *et al.* 1989, Hernández 1991). Within the scope of this paper, only topological spatial relations are discussed.

Formalisms for relations have so far been limited to simple data types in a one-dimensional space such as integers, reals, or their combinations, e.g. as intervals (Allen 1983). Spatial data, such as geographic objects or CAD/CAM models, extend in higher dimensions. It has been assumed that a set of primitive relations in such a space is richer, but so far no attempt has been made to explore this assumption systematically.

The goal of this paper is two-fold. First, to show that the description of topological spatial relations in terms of topologically invariant properties of point-sets is fairly simple. As a consequence, the topological spatial relation between two point-sets may be determined with little computational effort. Second, to show that there exists a framework within which any topological spatial relation falls. This does not state that the set of relations determined by this formalism is complete, i.e. humans may distinguish additional relations, but that the formalism provides a complete coverage, i.e. any such additional relation will be only a specialization of one of the relations described.

As the underlying data model, subsets of a topological space were selected. The point-set approach is the most general model for the representation of topological spatial regions. Other approaches to the definition of topological spatial relations using different models, such as intervals (Pullar and Egenhofer 1988), or simplicial complexes (Egenhofer 1989), are generalized by this point-set approach.

This paper is organized as follows. The next section reviews previous approaches to defining topological spatial relations. Section 3 summarizes the relevant concepts of point-set topology and introduces the notions used in the remainder of the paper. Section 4 introduces the definition of topological spatial relations and shows their realization in R^2 . Section 5 investigates the existence of the relations between two spatial regions, subsets of a topological space with particular application to geographic data handling. In Section 6, the relations within $R^n (n \geq 2)$ and R^1 are compared.

2. Previous work

Various collections of terms for spatial relations can be found in the computer science and geography literature (Freeman 1975, Claire and Gupta 1982, Chang *et al.*

1989, Molenaar 1989). In particular, designs of spatial query languages (Frank 1982, Ingram and Phillips 1987, Smith *et al.* 1987, Herring *et al.* 1988, Roussopoulos *et al.* 1988) are a reservoir for informal notations of spatial relations with verbal explanations in natural language. A major drawback of these terms is the lack of a formal underpinning, because their definitions are frequently based on other expressions which are not exactly defined, but are assumed to be generally understood.

Most formal definitions of spatial relations describe them as the results of binary point-set operations. The subsequent review of these approaches will show their advantages and deficiencies. It will be obvious that none of the previous studies has been performed systematically enough to be used as a means to prove that the relations defined provide a complete coverage for the topological spatial relation between two spatial objects. Some definitions consider only a limited subset of representations of 'spatial objects', whereas others apply insufficient concepts to define the whole range of topological spatial relations.

A formalism using the primitives *distance* and *direction* in combination with the logical connectors *AND*, *OR* and *NOT* (Peuquet 1986) will not be considered here. The assumption that every space has a metric is obviously too restrictive so that this formalism cannot be applied in a purely topological setting.

The definitions of relations in terms of set operations use pure set theory to describe topological relations. For example, the following definitions based on point-sets have been given for *equal*, *not equal*, *inside*, *outside* and *intersects* in terms of the set operations $=$, \neq , \subseteq and \cap (Güting 1988):

$$x = y := \text{points}(x) = \text{points}(y)$$

$$x \neq y := \text{points}(x) \neq \text{points}(y)$$

$$x \text{ inside } y := \text{points}(x) \subseteq \text{points}(y)$$

$$x \text{ outside } y := \text{points}(x) \cap \text{points}(y) = \emptyset$$

$$x \text{ intersects } y := \text{points}(x) \cap \text{points}(y) \neq \emptyset$$

The drawback of these definitions is that this set of relations is neither orthogonal nor complete. For instance, *equal* and *inside* are both covered by the definition of *intersects*. In contrast, the model of point-sets *per se* does not allow the definition of those relations that are based on the distinction of particular parts of the point-sets such as the boundary and the interior. For example, the relation *intersects* is topologically different from that where common boundary points exist, but no common interior points are encountered.

The point-set approach has been augmented with the consideration of *boundary* and *interior* so that *overlap* and *neighbor* can be distinguished (Pullar 1988):

$$x \text{ overlay } y := \text{boundary}(x) \cap \text{boundary}(y) \neq \emptyset \text{ and}$$

$$\text{interior}(x) \cap \text{interior}(y) \neq \emptyset$$

$$x \text{ neighbor } y := \text{boundary}(x) \cap \text{boundary}(y) \neq \emptyset \text{ and}$$

$$\text{interior}(x) \cap \text{interior}(y) = \emptyset$$

In a more systematic approach, boundaries and interiors have been identified as the crucial descriptions of polygonal intersections (Wagner 1988). By comparing whether or not boundaries and interiors intersect, four relations have been identified: (1)

neighborhood where boundaries intersect, but interiors do not; (2) *separation* where neither boundaries nor interiors intersect; (3) *strict inclusion* where the boundaries do not intersect, but the interiors do; and (4) *intersection* with both boundaries and interiors intersecting. This approach uses a single, coherent method for the description of topological spatial relations, but it is not carried out in all its consequences. For example, no distinction can be made between *intersection* and *equality*, because for both relations boundaries and interiors intersect.

3. Point-set topology

This model of topological spatial relations is based on the point-set topological notions of *interior* and *boundary*. In this section the appropriate definitions and results from point-set topology are presented. Some of the results are stated without proofs. Those proofs are all straightforward consequences of the definitions and can be found in most basic topology text books, e.g. Munkres (1966) and Spanier (1966).

Let X be a set. A *topology* on X is a collection \mathcal{A} of subsets of X that satisfies the three conditions: (1) the empty set and X are in \mathcal{A} ; (2) \mathcal{A} is closed under arbitrary unions; and (3) \mathcal{A} is closed under finite intersections. A *topological space* is a set X with a topology \mathcal{A} on X . The sets in a topology on X are called *open sets*, and their complements in X are called *closed sets*. The collection of closed sets: (1) contains the empty set and X ; (2) is closed under arbitrary intersections; and (3) is closed under finite unions.

Via the open sets in a topology on a set X , a set-theoretic notion of closeness is established. If U is an open set and $x \in U$, then U is said to be a *neighborhood* of x . This set-theoretic notion of closeness generalizes the metric notion of closeness. A metric d on a set X induces a topology on X , called the *metric topology defined by d* . This topology is such that $U \subset X$ is an open set if, for each $x \in U$, there is an $\epsilon > 0$ such that the d -ball of radius ϵ around x is contained in U . A d -ball is the set of points whose distance from x in the metric d is less than ϵ , i.e. $\{y \in X \mid d(x, y) < \epsilon\}$.

For the remainder of this paper let X be a set with a topology \mathcal{A} . If S is a subset of X then S inherits a topology from \mathcal{A} . This topology is called the *subspace topology* and is defined such that $U \subset S$ is open in the subspace topology if, and only if $U = S \cap V$ for some set $V \in \mathcal{A}$. Under such circumstances, S is called a *subspace* of X .

3.1. Interior

Given $Y \subset X$, the *interior* of Y , denoted by Y° , is defined to be the union of all open sets that are contained in Y , i.e. the interior of Y is the largest open set contained in Y . y is in the interior of Y if and only if there is a neighborhood of y contained in Y , i.e. $y \in Y^\circ$ if, and only if, there is an open set U such that $y \in U \subset Y$. The interior of a set could be empty, e.g. the interior of the empty set is empty. The interior of X is X itself. If U is open then $U^\circ = U$. If $Z \subset Y$ then $Z^\circ \subset Y^\circ$.

3.2. Closure

The *closure* of Y , denoted by \bar{Y} , is defined to be the intersection of all closed sets that contain Y , i.e. the closure of Y is the smallest closed set containing Y . It follows that y is in the closure of Y if and only if every neighborhood of y intersects Y , i.e. $y \in \bar{Y}$ if and only if $U \cap Y \neq \emptyset$ for every open set U containing y . The empty set is the only set with empty closure. The closure of X is X itself. If C is closed then $\bar{C} = C$. If $Z \subset Y$ then $\bar{Z} \subset \bar{Y}$.

3.3. Boundary

The *boundary* of Y , denoted by ∂Y , is the intersection of the closure of Y and the closure of the complement of Y , i.e. $\partial Y = \overline{Y} \cap \overline{X - Y}$. The boundary is a closed set. It follows that y is in the boundary of Y if and only if every neighborhood of y intersects both Y and its complement, i.e. $y \in \partial Y$ if and only if $U \cap Y \neq \emptyset$ and $U \cap (X - Y) \neq \emptyset$ for every open set U containing y . The boundary can be empty, e.g. the boundaries of both X and the empty set are empty.

3.4. Relationship between interior, closure and boundary

The concepts of interior, closure and boundary are fundamental to the forthcoming discussions of topological spatial relations between sets. The relationships between interior, closure and boundary are described by the following propositions:

Proposition 3.1. $Y^\circ \cap \partial Y = \emptyset$.

Proof: If $x \in \partial Y$, then every neighborhood U of x intersects $X - Y$ so that U cannot be contained in Y . As no neighborhood U of x is contained in Y it follows that $x \notin Y^\circ$ and, therefore, $\partial Y \cap Y^\circ = \emptyset$. \square

Proposition 3.2. $Y^\circ \cup \partial Y = \overline{Y}$.

Proof: $Y^\circ \subset Y \subset \overline{Y}$ and, by definition, $\partial Y \subset \overline{Y}$. As Y° and ∂Y are both subsets of \overline{Y} it follows that $(Y^\circ \cup \partial Y) \subset \overline{Y}$. To show that $\overline{Y} \subset (Y^\circ \cup \partial Y)$, let $x \in \overline{Y}$ and assume that $x \notin Y^\circ$. It is shown that $x \in \partial Y$ which, since $x \in \overline{Y}$, only requires showing that $x \in \overline{X - Y}$. $x \notin Y^\circ$ implies that every neighborhood of x is not contained in Y ; therefore, every neighborhood of x intersects $X - Y$, implying that $x \in \overline{X - Y}$. So $x \in \partial Y$. Thus if $x \in \overline{Y}$ and $x \notin Y^\circ$, then $x \in \partial Y$, and it follows that $\overline{Y} \subset (Y^\circ \cup \partial Y)$. Thus $\overline{Y} = (Y^\circ \cup \partial Y)$. \square

3.5. Separation

The concepts of separation and connectedness are crucial for establishing the forthcoming topological spatial relations between sets. Let $Y \subset X$. A *separation* of Y is a pair A, B of subsets of X satisfying the following three conditions: (1) $A \neq \emptyset$ and $B \neq \emptyset$; (2) $A \cup B = Y$; and (3) $\overline{A} \cap B = \emptyset$ and $A \cap \overline{B} = \emptyset$. If there exists a separation of Y , then Y is said to be *disconnected*, otherwise Y is said to be *connected*. If Y is the union of two non-empty disjoint open subsets of X , then it follows that Y is disconnected. If C is connected and $C \subset D \subset \overline{C}$, then D is connected. In particular, if C is connected, then \overline{C} is connected; however, ∂C and C° need not be connected.

Proposition 3.3. If A, B form a separation of Y and if Z is a connected subset of Y , then either $Z \subset A$ or $Z \subset B$.

Proof: By assumption, Z is a subset of the union of A and B , i.e. $Z \subset A \cup B$. It is shown that the intersection between Z and one of A or B is empty, i.e. either $Z \cap B = \emptyset$ or $Z \cap A = \emptyset$. Suppose not, i.e. assume that both intersections are non-empty. Let $C = Z \cap A$ and $D = Z \cap B$. Then C and D are both non-empty and $C \cup D = Z$. As $\overline{C} \subset \overline{A}$, $D \subset B$, and $\overline{A} \cap B = \emptyset$ (because A, B is a separation of Y), it follows that $\overline{C} \cap D = \emptyset$. Similarly, $C \cap \overline{D} = \emptyset$; therefore, C and D form a separation of Z , contradicting the assumption that Z is connected. So either $Z \cap B = \emptyset$ or $Z \cap A = \emptyset$, implying that either $Z \subset A$ or $Z \subset B$. \square

A subset Z of X is said to *separate* X if $X - Z$ is disconnected. The following separation result gives simple conditions under which the boundary of a subset of X separates X .

Proposition 3.4. Assume $Y \subset X$. If $Y^\circ \neq \emptyset$ and $\bar{Y} \neq X$, then Y° and $X - \bar{Y}$ form a separation of $X - \partial Y$, and thus ∂Y separates X .

Proof: By assumption, Y° and $X - \bar{Y}$ are non-empty. Clearly, they are disjoint open sets. Proposition 3.2 implies that $X - \partial Y = Y^\circ \cup (X - \bar{Y})$. It follows that Y° and $X - \bar{Y}$ form a separation of $X - \partial Y$. \square

3.6. Topological equivalence

The study of topological equivalence is central to the theory of topology. Two topological spaces are *topologically equivalent* (*homeomorphic* or *of the same topological type*) if there is a bijective function between them that yields a bijective correspondence between the open sets in the respective topologies. Such a function, which is continuous with a continuous inverse, is called a *homeomorphism*. Examples of homeomorphisms are the Euclidean notions of translation, rotation, scale and skew. Properties of topological spaces that are preserved under homeomorphism are called *topological invariants* of the spaces. For example, the property of connectedness is a topological invariant.

4. A framework for the description of topological spatial relations

This model describing the topological spatial relations between two subsets, A and B , of a topological space X is based on a consideration of the four intersections of the boundaries and interiors of the two sets A and B , i.e. $\partial A \cap \partial B$, $A^\circ \cap B^\circ$, $\partial A \cap B^\circ$ and $A^\circ \cap \partial B$.

Definition 4.1. Let A, B be a pair of subsets of a topological space X . A topological spatial relation between A and B is described by a four-tuple of values of topological invariants associated to each of the four sets $\partial A \cap \partial B$, $A^\circ \cap B^\circ$, $\partial A \cap B^\circ$, and $A^\circ \cap \partial B$, respectively.

A topological spatial relation between two sets is preserved under homeomorphism of the underlying space X . Specifically, if $f: X \rightarrow Y$ is a homeomorphism and $A, B \subset X$, then $\partial A \cap \partial B$, $A^\circ \cap B^\circ$, $\partial A \cap B^\circ$, and $A^\circ \cap \partial B$ are mapped homeomorphically onto $\partial f(A) \cap \partial f(B)$, $f(A)^\circ \cap f(B)^\circ$, $\partial f(A) \cap f(B)^\circ$, and $f(A)^\circ \cap \partial f(B)$, respectively. Since the topological spatial relation is defined in terms of topological invariants of these intersections, it follows that the topological spatial relation between A and B in X is identical to the topological spatial relation between $f(A)$ and $f(B)$ in Y .

A topological spatial relation is denoted here by a four-tuple $(_, _, _, _)$. The entries correspond in order to the values of topological invariants associated to the four set-intersections. The first intersection is called the *boundary-boundary* intersection, the second intersection the *interior-interior* intersection, the third intersection the *boundary-interior* intersection, and the fourth intersection the *interior-boundary* intersection.

4.1. Topological spatial relations from empty/non-empty set-intersections

As the entries in the four-tuple, properties of sets that are invariant under homeomorphisms are considered. For example, the properties *empty* and *non-empty*

are set-theoretic, and therefore topologically invariant. Other invariants, not considered in this paper, are the dimension of a set and the number of connected components (Munkres 1966). Empty/non-empty is the simplest and most general invariant so that any other invariant may be considered a more restrictive classifier.

For the remainder of this paper, attention is restricted to the binary topological spatial relations defined by assigning the appropriate value of *empty* (\emptyset) and *non-empty* ($\neg\emptyset$) to the entries in the four-tuple. The 16 possibilities from these combinations are summarized in table 1.

A set is either empty or non-empty; therefore, it is clear that these 16 topological spatial relations provide complete coverage, that is, given any pair of sets A and B in X , there is always a topological spatial relation associated with A and B . Furthermore, a set cannot simultaneously be empty and non-empty, from which follows that the 16 topological spatial relations are mutually exclusive, i.e. for any pair of sets A and B in X , exactly one of the 16 topological spatial relations holds true.

In general, each of the 16 spatial relations can occur between two sets. Depending on various restrictions on the sets and the underlying topological space, the actual set of existing topological spatial relations may be a subset of the 16 in table 1. For general point-sets in the plane R^2 , all 16 topological spatial relations can be realized (figure 1).

4.2. Influence of the topological space on the relations

The setting, i.e. the topological space X in which A and B lie, plays an important role in the spatial relation between A and B . For example, in figure 2 (left panel) the two sets A and B have the relation $(\emptyset, \neg\emptyset, \neg\emptyset, \neg\emptyset)$ as subsets of the line. The same configuration shows a different relation between the two sets when they are embedded in the plane (figure 2, right panel). As subsets of the plane, the boundaries of A and B are equal to A and B , respectively, and the interiors are empty, i.e. $\partial A = A$, $A^\circ = \emptyset$, $\partial B = B$, and $B^\circ = \emptyset$. It follows that in the plane the spatial relation between the two sets A and B is $(\neg\emptyset, \emptyset, \emptyset, \emptyset)$.

Table 1. The 16 specifications of binary topological relations based on the criteria of empty and non-empty intersections of boundaries and interiors.

	$\partial \cap \partial$	$^\circ \cap ^\circ$	$\partial \cap ^\circ$	$^\circ \cap \partial$
r_0	\emptyset	\emptyset	\emptyset	\emptyset
r_1	$\neg\emptyset$	\emptyset	\emptyset	\emptyset
r_2	\emptyset	$\neg\emptyset$	\emptyset	\emptyset
r_3	$\neg\emptyset$	$\neg\emptyset$	\emptyset	\emptyset
r_4	\emptyset	\emptyset	$\neg\emptyset$	\emptyset
r_5	$\neg\emptyset$	\emptyset	$\neg\emptyset$	\emptyset
r_6	\emptyset	$\neg\emptyset$	$\neg\emptyset$	\emptyset
r_7	$\neg\emptyset$	$\neg\emptyset$	$\neg\emptyset$	\emptyset
r_8	\emptyset	\emptyset	\emptyset	$\neg\emptyset$
r_9	$\neg\emptyset$	\emptyset	\emptyset	$\neg\emptyset$
r_{10}	\emptyset	$\neg\emptyset$	\emptyset	$\neg\emptyset$
r_{11}	$\neg\emptyset$	$\neg\emptyset$	\emptyset	$\neg\emptyset$
r_{12}	\emptyset	\emptyset	$\neg\emptyset$	$\neg\emptyset$
r_{13}	$\neg\emptyset$	\emptyset	$\neg\emptyset$	$\neg\emptyset$
r_{14}	\emptyset	$\neg\emptyset$	$\neg\emptyset$	$\neg\emptyset$
r_{15}	$\neg\emptyset$	$\neg\emptyset$	$\neg\emptyset$	$\neg\emptyset$

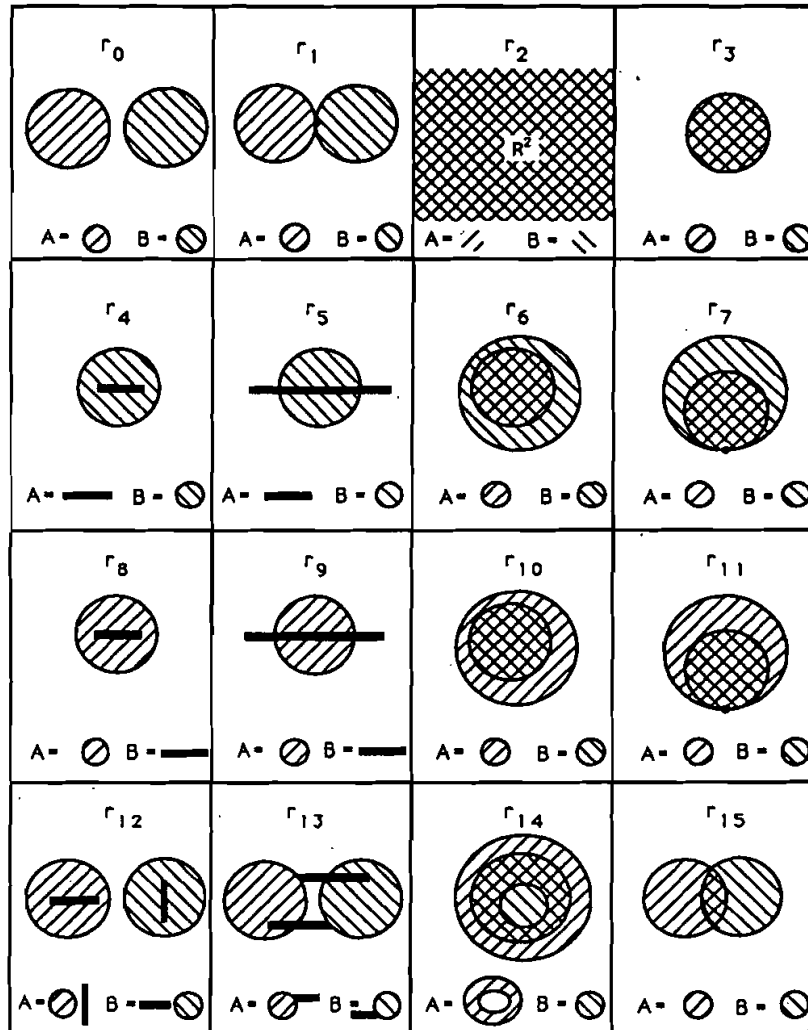


Figure 1. Examples of the 16 binary topological spatial relations based on the comparison of empty and non-empty set-intersections between boundaries and interiors.

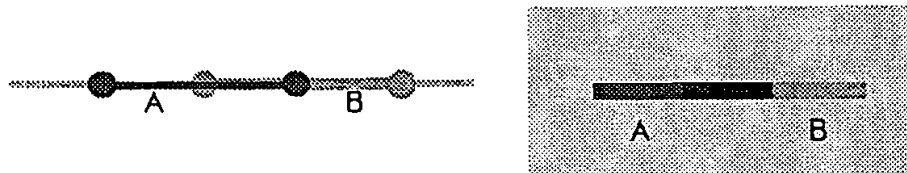


Figure 2. The same configuration of the two sets A and B with (left panel) the topological spatial relation $(\emptyset, \cap \emptyset, \cap \emptyset, \cap \emptyset)$ when embedded in a line and (right panel) $(\cap \emptyset, \emptyset, \emptyset, \emptyset)$ in a plane.

5. Topological relations between spatial regions

It is the aim of this paper to model topological spatial relations that occur between polygonal areas in the plane; therefore, the topological space X and the sets under consideration in X are restricted. These restrictions are not too specific and the only assumption that is made about the topological space X is that it is connected. This guarantees that the boundary of each set of interest is not empty.

The sets of interest are the *spatial regions*, defined as follows:

Definition 5.1. Let X be a connected topological space. A spatial region in X is a non-empty proper subset A of X satisfying (1) A° is connected and (2) $A = \overline{A^\circ}$.

It follows from the definition that the interior of each spatial region is non-empty. Furthermore, a spatial region is closed and connected as it is the closure of a connected set. Figure 3 depicts sets in the plane which, by failing to satisfy either condition (1) or condition (2) in Definition 5.1, are not spatial regions. A and B are not spatial regions, because A° and B° are not connected, respectively. C and D are not spatial regions, because they fail to satisfy condition (2), i.e. $C \neq \overline{C^\circ}$ and $D \neq \overline{D^\circ}$. The latter sets are needed to realize the topological spatial relations $r_2, r_5, r_8, r_9, r_{12}$ and r_{13} in the plane.

The following proposition implies that the boundary of each spatial region is non-empty.

Proposition 5.2. If A is a spatial region in X then $\partial A \neq \emptyset$.

Proof: $A^\circ \neq \emptyset$. $A = \overline{A}$ since A is closed, and $A \neq X$ by definition of a spatial region. From proposition 3.4 it follows that A° and $X - A$ form a separation of $X - \partial A$. If $\partial A = \emptyset$ then the two sets form a separation of X , which is impossible since X is connected; therefore, $\partial A \neq \emptyset$. \square

5.1. Existence of region relations

The framework for the spatial relations between point-sets carries over to spatial regions, however, not all of the 16 relations between arbitrary point-sets exist between two spatial regions. From the examples in figure 1 it is concluded that at least the relations $r_0, r_1, r_3, r_6, r_7, r_{10}, r_{11}, r_{14}$ and r_{15} exist between two spatial regions. The following proposition shows that these nine topological spatial relations are the only relations that can occur between spatial regions.

Proposition 5.3. For two spatial regions the spatial relations $r_2, r_4, r_5, r_8, r_9, r_{12}$ and r_{13} cannot occur.

Proof: This begins by proving that if the boundary-interior or interior-boundary intersection is non-empty then the interior-interior intersection between the same two regions is also non-empty. This implies that the six topological spatial relations $r_4, r_5, r_8, r_9, r_{12}$ and r_{13} , all with empty interior-interior and non-empty boundary-interior or interior-boundary intersections, cannot occur.

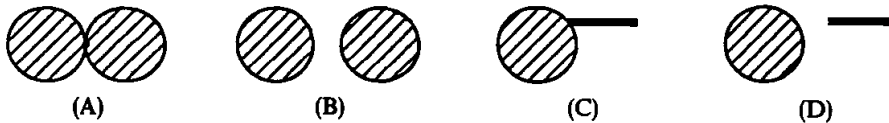


Figure 3. Sets in the plane that are not spatial regions.

Let A and B be spatial regions for which $\partial A \cap B^\circ \neq \emptyset$. It is shown that $A^\circ \cap B^\circ \neq \emptyset$. Using proposition 3.2, $A^\circ \cup \partial A = \bar{A}$ and $A^\circ \cup \partial(A^\circ) = \bar{A}^\circ$. $\bar{A} = A = \bar{A}^\circ$, so $A^\circ \cup \partial A = A^\circ \cup \partial(A^\circ)$. Furthermore, by proposition 3.1, $A^\circ \cap \partial(A^\circ) = \emptyset$ and $A^\circ \cap \partial A = \emptyset$. It follows that $\partial(A^\circ) = \partial A$. Now let $x \in \partial A \cap B^\circ$, then $x \in \partial(A^\circ)$, and since B° is open and contains x , it follows that $A^\circ \cap B^\circ \neq \emptyset$. Thus if the boundary-interior intersection is non-empty, then the interior-interior intersection is also non-empty. It also follows that if the interior-boundary intersection is non-empty, then the interior-interior intersection is also non-empty.

Next it is proved that if the boundary-boundary intersection is empty and the interior-interior intersection is non-empty, then either the boundary-interior or the interior-boundary intersection is non-empty. This implies that the spatial relation r_2 , with a non-empty interior-interior intersection and empty intersections for boundary-boundary, boundary-interior and interior-boundary, cannot occur. This will complete the proof of the proposition.

Let A and B be spatial regions such that $\partial A \cap \partial B = \emptyset$ and $A^\circ \cap B^\circ \neq \emptyset$. It is shown that if $\partial A \cap B^\circ = \emptyset$, then $A^\circ \cap \partial B \neq \emptyset$. Assume that $\partial A \cap B^\circ = \emptyset$. Since $B = B^\circ \cup \partial B$, it follows that $\partial A \cap B = \emptyset$ and, therefore, $B \subset X - \partial A$. Proposition 3.4 implies that A° and $X - A$ form a separation of $X - \partial A$, and since B is connected, proposition 3.3 implies that either $B \subset A^\circ$ or $B \subset X - A$. Since, by assumption, $A^\circ \cap B^\circ \neq \emptyset$, it follows that $B \subset A^\circ$ and, therefore, $\partial B \subset A^\circ$. Clearly, $\partial B \cap A^\circ \neq \emptyset$ and the result follows. \square

5.2. Semantics of region relations

In figure 1, examples were depicted for the topological spatial relations $r_0, r_1, r_3, r_6, r_7, r_{10}, r_{11}, r_{14}$ and r_{15} between spatial regions. Each of these nine relations is considered in the definitions below and their semantics are investigated using the same notation as in Egenhofer (1989) and Egenhofer and Herring (1990).

Definition 5.4. The descriptive terms for the nine topological spatial relations between two regions are given in table 2.

If the topological spatial relation between A and B is r_0 then, in the set-theoretic sense, A and B are disjoint and, therefore, the topological spatial relation *disjoint* coincides with the set-theoretic notion of disjoint. The following proposition and corollaries justify the other descriptive terms for the topological spatial relations defined in table 2.

Table 2. Terminology used for the nine relations between two spatial regions.

	$\partial \cap \partial$	$^\circ \cap ^\circ$	$\partial \cap ^\circ$	$^\circ \cap \partial$	
r_0	(\emptyset, \emptyset)	\emptyset	\emptyset	\emptyset	A and B are disjoint
r_1	$(\cap \emptyset, \emptyset)$	\emptyset	\emptyset	\emptyset	A and B touch
r_3	$(\cap \emptyset, \cap \emptyset)$	\emptyset	\emptyset	\emptyset	A equals B
r_6	$(\emptyset, \cap \emptyset)$	$\cap \emptyset$	$\cap \emptyset$	\emptyset	A is inside of B or B contains A
r_7	$(\cap \emptyset, \cap \emptyset)$	$\cap \emptyset$	$\cap \emptyset$	\emptyset	A is covered by B or B covers A
r_{10}	$(\emptyset, \cap \emptyset)$	$\cap \emptyset$	\emptyset	$\cap \emptyset$	A contains B or B is inside of A
r_{11}	$(\cap \emptyset, \cap \emptyset)$	$\cap \emptyset$	\emptyset	$\cap \emptyset$	A covers B or B is covered by A
r_{14}	$(\emptyset, \cap \emptyset)$	$\cap \emptyset$	$\cap \emptyset$	$\cap \emptyset$	A and B overlap with disjoint boundaries
r_{15}	$(\cap \emptyset, \cap \emptyset)$	$\cap \emptyset$	$\cap \emptyset$	$\cap \emptyset$	A and B overlap with intersecting boundaries

Proposition 5.5. Let A and B be spatial regions in X . If $A^\circ \cap B^\circ \neq \emptyset$ and $A^\circ \cap \partial B = \emptyset$, then $A^\circ \subset B^\circ$ and $A \subset B$.

Proof: A° is connected. Proposition 3.4 implies that B° and $X - B$ form a separation of X . Since $A^\circ \cap \partial B = \emptyset$, it follows by proposition 3.1 that $A^\circ \subset B^\circ \cup (X - B)$. Proposition 3.3 implies that either $A^\circ \subset B^\circ$ or $A^\circ \subset (X - B)$. But $A^\circ \cap B^\circ \neq \emptyset$; therefore, $A^\circ \subset B^\circ$. Since $A^\circ \subset B^\circ$, it follows that $\overline{A^\circ} \subset \overline{B^\circ}$ which, by definition 5.1, implies that $A \subset B$. \square

From proposition 5.5 it follows that if A is covered by B , then $A \subset B$; therefore, the spatial relation *is covered by* coincides with the set-theoretic notion of being a subset of.

The following corollary to proposition 5.5 shows that the spatial relation *equal* corresponds to the set-theoretic notion of equality.

Corollary 5.6. Let A and B be spatial regions. If the spatial relation between A and B is r_3 , then $A = B$.

Proof: $A^\circ \cap B^\circ \neq \emptyset$ and $A^\circ \cap \partial B = \emptyset$; therefore, proposition 5.5 implies that $A \subset B$. Furthermore, $\partial A \cap B^\circ = \emptyset$. Again by proposition 5.5, $B \subset A$. Thus $A = B$. \square

The following corollary to proposition 5.5 shows that if A is inside B , then $A \subset B^\circ$; therefore, the spatial relation *inside* coincides with the topological notion of being contained in the interior. Conversely, *contains* corresponds to contains in the interior.

Corollary 5.7. Let A and B be spatial regions. If the spatial relation between A and B is r_6 , then $A \subset B^\circ$.

Proof: Proposition 5.5 implies that $A^\circ \subset B^\circ$ and $A \subset B$. By proposition 3.2, $A = A^\circ \cup \partial A$ and $B = B^\circ \cup \partial B$. So $\partial A \subset B$. Since $\partial A \cap \partial B = \emptyset$, it follows that $\partial A \subset B^\circ$. Together with $A^\circ \subset B^\circ$ this implies that $A \subset B^\circ$. \square

6. Relations in n -dimensional spaces

It is natural to ask 'What further restrictions on the topological space X and the sets under consideration in X further reduce the topological spatial relations that can occur?' This section will explore this question by considering the case where X is a Euclidean space.

R^n denotes n -dimensional Euclidean space with the usual Euclidean metric. A subset of R^n is *bounded* if there is an upper bound to the distances between pairs of points in the set; otherwise, it is said to be *unbounded*.

The *unit disk* in R^n is the set of points in R^n whose distance from the origin is less than, or equal to, 1. The *unit sphere* in R^n is the set of points in R^n whose distance from the origin is equal to 1. For $n \geq 1$ the unit disk in R^n is connected. For $n \geq 2$ the unit sphere in R^n is connected. Let X be a topological space. An n -disk in X is a subspace of X that is homeomorphic to the unit disk in R^n . An n -sphere in X is a subspace of X that is homeomorphic to the unit sphere in R^{n+1} . n -disks in R^n are bounded and are spatial regions; the latter is a relatively straightforward consequence of the Brouwer theorem on the invariance of domain (Spanier 1966). Since n -disks in R^n are spatial regions, proposition 5.3 restricts the number of spatial relations that can occur between them.

In proposition 6.1 it is shown that if A and B are n -disks in R^n with $n \geq 2$, then the spatial relation *overlap with disjoint boundary* cannot occur. The proof of this proposition is based on the following two facts:

Fact 1. Let A be an n -disk in R^n with $n \geq 2$. Then ∂A is an $(n-1)$ -sphere in R^n and, therefore, connected.

This fact, also, is a consequence of the Brouwer theorem on the invariance of domain (Spanier 1966).

Fact 2. Let A be an n -disk in R^n with $n \geq 2$. Then $R^n - A^\circ$ is connected and unbounded.

This second fact is a (non-)separation theorem related to the Jordan-Brouwer separation theorem (Spanier 1966).

Proposition 6.1. The topological spatial relation r_{14} , *overlap with disjoint boundaries*, does not occur between n -disks in R^n with $n \geq 2$.

Proof: Let A and B be n -disks in R^n with $n \geq 2$. It is shown that if $\partial A \cap \partial B = \emptyset$, then A and B do not overlap and, therefore, the spatial relation *overlap with disjoint boundaries* cannot occur.

Assume $\partial A \cap \partial B = \emptyset$ and A and B overlap. A contradiction will be derived. B is a spatial region; therefore, proposition 3.4 implies that B° and $R^n - B$ form a separation of $R^n - \partial B$. As $\partial A \cap \partial B = \emptyset$ it follows that $\partial A \subset R^n - \partial B$. By fact 1, ∂A is connected, therefore, proposition 3.3 implies that either $\partial A \subset B^\circ$ or $\partial A \subset (R^n - B)$. Since A and B overlap, it follows that $\partial A \cap B^\circ \neq \emptyset$ and, therefore, $\partial A \subset B^\circ$.

$\partial A \subset B^\circ$ implies that $\partial A \cap (R^n - B^\circ) = \emptyset$. By fact 2, $R^n - B^\circ$ is connected. Using propositions 3.3 and 3.4 and arguing as above, it follows that either $(R^n - B^\circ) \subset A^\circ$ or $(R^n - B^\circ) \subset (R^n - A)$. The first case yields a contradiction because, by fact 2, $R^n - B^\circ$ is unbounded, but A° is not. The second case implies that $A \subset B^\circ$ and, therefore, $A^\circ \cap \partial B = \emptyset$, which contradicts the assumption that A and B overlap. Therefore, in either case a contradiction is obtained and it follows that the spatial relation r_{14} cannot occur between n -disks in R^n with $n \geq 2$. \square

Note that for $n \geq 2$ the topological spatial relation r_{15} , *overlap with intersecting boundaries*, does occur between two n -disks (figure 1).

The opposite situation occurs in R^1 where r_{14} can occur between 1-disks, while r_{15} , *overlap with intersecting boundaries*, cannot. It is clear that r_{14} can occur between two 1-disks in R^1 (figure 2). Proposition 6.2 shows that r_{15} cannot occur. Its proof requires the easily derived fact that a spatial region in R^1 is either a closed interval $[a, b]$ for some $a, b \in R^1$, or a closed ray $[a, \infty)$ or $(-\infty, a]$ for some $a \in R^1$.

Proposition 6.2. The topological spatial relation r_{15} does not occur between spatial regions in R^1 .

Proof: Let A and B be spatial regions in R^1 and assume that A and B overlap. It is shown that $\partial A \cap \partial B = \emptyset$. Each of A and B is a closed interval or a closed ray; therefore, there are nine different cases to examine. One is selected; the others can be proven accordingly.

Assume $A = [a, \infty)$ and $B = (-\infty, b]$. Then $\partial A = \{a\}$ and $\partial B = \{b\}$. Since A and B overlap, it follows that $a < b$, which implies that $\partial A \cap \partial B = \emptyset$. \square

7. Conclusion

A framework for the definition of topological spatial relations has been presented. It is based on purely topological properties and is thus independent of the existence of a distance function. The topological relations are described by the four intersections of the boundaries and interiors of two point-sets. Considering the binary values empty and non-empty for these intersections, a set of 16 mutually exclusive specifications has been identified. Fewer relations exist if particular restrictions on the point-sets and the topological space are made. It was proved that there are only nine topological spatial relations between point-sets which are homeomorphic to polygonal areas in the plane.

Although the nature of this work is rather theoretical, the framework has an immediate effect on the design and implementation of geographic information systems. Previously, for every topological spatial relation a separate procedure had to be programmed and no mechanism existed to assure completeness. Now, topological spatial relations can be derived from a single, consistent model and no programming for individual relations will be necessary. Prototype implementations of this framework have been designed and partially implemented (Egenhofer 1989), and various extensions to the framework have been investigated to provide more details about topological spatial relations, such as the consideration of the dimensions of the intersections and of the number of disconnected subsets in the intersections (Egenhofer and Herring 1990). Ongoing investigations focus on the application of this framework for formal reasoning about combinations of topological spatial relationships.

The framework presented is considered a start and further investigations are necessary to verify its suitability. Here, only topological spatial relations with co-dimension zero were considered, i.e. the difference between the dimension of the space and the dimension of the embedded spatial objects is zero, e.g. between regions in the plane and intervals on the one-dimensional line. Also of interest for GIS applications are the topological spatial relationships with co-dimension greater than zero, e.g. between two lines in the plane (Herring 1991). Likewise, the applicability of this framework to topological spatial relations between objects of different dimensions, such as a region and a line, must be tested.

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