

OPC Unified Architecture

Specification

Part 7: Profiles

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CONTENTS

				Page
AG	REEN	MENT OF	F USE	vi
1	Scop	oe		1
2	Refe	rence do	ocuments	1
3	Term	ns, defini	itions, and conventions	2
	3.1	OPC U	A part 1 terms	2
	3.2	OPC U	A part 2 terms	3
	3.3	OPC U	A part 3 terms	3
	3.4	OPC U	A part 4 terms	4
	3.5	OPC U	A part 6 terms	4
	3.6	OPC U	A part 8 terms	4
	3.7	OPC U	A Profile terms	4
		3.7.1	ConformanceUnit	
	3.8		viations and symbols	
4	Ove	rview		4
	4.1	Genera	alla	4
	4.2	Confor	manceUnit	5
	4.3	Profiles	S	6
	4.4		Categories	
5	Conf	formance	eUnits	6
	5.1	Overvi	ew	6
	5.2	Service	es	7
	5.3		Features	
6	Profi	iles		20
	6.1		ew	
	6.2		List	
	6.3		ntions for Profile definitions	
	6.4		ations	
	6.5		Tables	
		6.5.1	Introduction	
		6.5.2	AddressSpace Lookup Client Facet	
		6.5.3	Advanced Type Programming Client Facet	
		6.5.4	Attribute Read Client Facet	
		6.5.5	Attribute Write Client Facet	
		6.5.6	Auditing Client Facet	
		6.5.7 6.5.8	Base Client Behaviour Facet Core Client Facet	
		6.5.8	DataAccess Client Facet	
			DataChange Subscriber Client Facet	
			Discovery Client Facet	
			Event Subscriber Client Facet	
			Method Client Facet	
			Node Management Client Facet	
			Redundancy Switch Client Facet	
		.		

6.5.16	Redundant Client Facet	. 29
6.5.17	SecurityPolicy - Basic128Rsa15	. 29
6.5.18	SecurityPolicy - Basic256	. 30
6.5.19	SecurityPolicy - None	. 30
6.5.20	Auditing Server Facet	. 30
6.5.21	Base Server Behaviour Facet	. 30
6.5.22	Basic DataChange Subscription Server Facet	. 31
6.5.23	Client Redundancy Facet	. 31
6.5.24	ComplexType Server Facet	. 31
6.5.25	Core Server Facet	. 31
6.5.26	DataAccess Server Facet	. 32
6.5.27	Embedded UA Server	. 32
6.5.28	Enhanced DataChange Subscription Server Facet	. 32
6.5.29	Event Subscription Server Facet	. 33
6.5.30	Low End Embedded Device Server	. 33
6.5.31	Method Server Facet	. 33
6.5.32	Node Management Server Facet	. 33
6.5.33	Redundancy Transparent Server Facet	. 34
6.5.34	Redundancy Visible Server Facet	. 34
6.5.35	Standard UA Server	. 34
6.5.36	SOAP-HTTP WS-SC UA Binary	. 34
6.5.37	SOAP-HTTP WS-SC UA XML	. 35
6.5.38	SOAP-HTTP WS-SC UA XML-UA Binary	. 35
6 5 39	UA-TCP UA-SC UA Binary	35

FIGURES

Figure 1 - Profile - ConformanceUnit - Test cases	5
Figure 2 - HMI Client Sample	24
Figure 3 - Embedded Server Sample	24
Figure 4 - Standard UA Server Sample	. 25

TABLES

	IABLES
<u> </u>	6
-	6
	8
Table 4 – Session Services	8
Table 5 - Node Management Services	10
Table 6 - View Services	10
Table 7 – Query Services	11
Table 8 – Attribute Services	11
Table 9 – Method Services	12
Table 10 - Monitored Item Services	12
Table 11 – Subscription Services	14
Table 12 - Base Information	15
Table 13 – Security	15
Table 14 – Protocol and Encoding	17
Table 15 – Address Space Model	18
Table 16 – Data Access	18
Table 17 – Alarm & Conditions	19
Table 18 – Historical Access	19
Table 19 – Programs	19
Table 20 – Auditing	19
Table 21 – Redundancy	19
Table 22 – Profile List	20
Table 23 – AddressSpace Lookup Client Face	t26
Table 24 – Advanced Type Programming Clien	nt Facet26
Table 25 – Attribute Read Client Facet	26
Table 26 – Attribute Write Client Facet	26
Table 27 – Auditing Client Facet	27
Table 28 – Base Client Behaviour Facet	27
Table 29 - Core Client Facet	27
Table 30 - DataAccess Client Facet	27
Table 31 – DataChange Subscriber Client Fac	et28
Table 32 - Discovery Client Facet	28
Table 33 - Event Subscriber Client Facet	28
Table 34 - Method Client Facet	29
Table 35 - Node Management Client Facet	29
Table 36 - Redundancy Switch Client Facet	29
Table 37 - Redundant Client Facet	29
Table 38 – SecurityPolicy - Basic128Rsa15	30
Table 39 - SecurityPolicy - Basic256	30
Table 40 - SecurityPolicy - None	30
Table 41 – Auditing Server Facet	30
Table 42 – Base Server Behaviour Facet	30

Table 43 – Basic DataChange Subscription Server Facet	
Table 44 – Client Redundancy Facet	. 31
Table 45 – ComplexType Server Facet	. 31
Table 46 – Core Server Facet	. 31
Table 47 – DataAccess Server Facet	. 32
Table 48 – Embedded UA Server	. 32
Table 49 – Enhanced DataChange Subscription Server Facet	. 32
Table 50 – Event Subscription Server Facet	. 33
Table 51 – Low End Embedded Device Server	
Table 52 – Method Server Facet	. 33
Table 53 – Node Management Server Facet	. 33
Table 54 – Redundancy Transparent Server Facet	. 34
Table 55 – Redundancy Visible Server Facet	. 34
Table 56 – Standard UA Server	. 34
Table 57 – SOAP-HTTP WS-SC UA Binary	. 35
Table 58 – SOAP-HTTP WS-SC UA XML	. 35
Table 59 – SOAP-HTTP WS-SC UA XML-UA Binary	. 35
Table 60 – UA-TCP UA-SC UA Binary	. 35

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FOREWORD

This specification is the specification for developers of OPC UA applications. The specification is a result of an analysis and design process to develop a standard interface to facilitate the development of applications by multiple vendors that shall inter-operate seamlessly together.

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OPC Unified Architecture Specification

Part 7: Profiles

1 Scope

This specification describes the OPC Unified Architecture (OPC UA) *Profiles*. The *Profiles* in this part are used to describe the functionality that an OPC UA *Server* exposes or that an OPC UA *Client* consumes. The details of the functionality are specified in other part of the OPC UA specification.

Profiles are used by vendors to advertise the OPC UA capabilities of their products. The Profiles a product supports will typically appear on product data sheets. Buyers will use this Profile information to specify and purchase products that work together and meet specific application requirements. Most OPC UA applications will conform to several, but not all of the Profiles.

A product's conformance to a *Profile* is measured using self-testing tools (Compliance Test Tools) and via Independent Certification Test Labs. Some *Profiles* describe functionality that is only testable in a test lab environment. These *Profiles* will clearly state this in their description.

2 Reference documents

- Part 1 : OPC UA Specification: Part 1 Concepts, Version 1.01 or later. http://www.opcfoundation.org/UA/Part1/
- Part 2 : OPC UA Specification: Part 2 Security Model, Version 1.01 or later http://www.opcfoundation.org/UA/Part2/
- Part 3 : OPC UA Specification: Part 3 Address Space Model, Version 1.01 or later http://www.opcfoundation.org/UA/Part3/
- Part 4: OPC UA Specification: Part 4 Services, Version 1.01 or later http://www.opcfoundation.org/UA/Part4/
- Part 5 : OPC UA Specification: Part 5 Information Model, Version 1.01 or later http://www.opcfoundation.org/UA/Part5/
- Part 6: OPC UA Specification: Part 6 Mappings, Version 1.0 or later http://www.opcfoundation.org/UA/Part6/
- Part 8 : OPC UA Specification: Part 8 Data Access, Version 1.01 or later http://www.opcfoundation.org/UA/Part8/
- Part 9: OPC UA Specification: Part 9 Alarms and Conditions, Version 1.0 or later http://www.opcfoundation.org/UA/Part9/
- Part 10 : OPC UA Specification: Part 10 Programs, Version 1.01 or later http://www.opcfoundation.org/UA/Part10/
- Part 11 : OPC UA Specification: Part 11 Historical Access, Version 1.01 or later http://www.opcfoundation.org/UA/Part11/

Part 12 : OPC UA Specification: Part 12 – Discovery, Version 1.0 or later http://www.opcfoundation.org/UA/Part12/

Part 13 : OPC UA Specification: Part 13 – Aggregates, Version 1.0 or later http://www.opcfoundation.org/UA/Part13/

Test Specifications

Compliance Part 8 : OPC Test Lab Specification: Part 8 – UA Server

http://www.opcfoundation.org/

Compliance Part 9 : OPC Test Lab Specification: Part 9 - UA Client

http://www.opcfoundation.org/

Compliance Part 10]: OPC Test Lab Specification: Part 10 - UA Abstract Test

http://www.opcfoundation.org/

Kerberos Token: wss-v1.1-spec-os-KerberosTokenProfile

 $\frac{http://www.oasis-open.org/committees/download.php/16788/wss-v1.1-spec-os-KerberosTokenProfile.pdf}{KerberosTokenProfile.pdf}$

3 Terms, definitions, and conventions

3.1 OPC UA part 1 terms

The following terms defined in Part 1 apply.

- 1) AddressSpace
- 2) Alarm
- 3) Attribute
- 4) Certificate
- 5) Client
- 6) Complex Data
- 7) Condition
- 8) Discovery
- 9) Event
- 10) EventNotifier
- 11) Message
- 12) Method
- 13) MonitoredItem
- 14) Node
- 15) NodeClass
- 16) Notification
- 17) Object

- 18) Object Type
- 19) Profile
- 20) Program
- 21) Reference
- 22) ReferenceType
- 23) Server
- 24) Service
- 25) Service Set
- 26) Session
- 27) Subscription
- 28) Variable
- 29) View

3.2 OPC UA part 2 terms

The following terms defined in Part 2 apply.

Asymmetric Encryption

Asymmetric Signature

Auditing

Authentication

Authorization

Message Signature

Private Key

Public Key

Public Key Infrastructure (PKI)

Rivest-Shamir-Adleman (RSA)

Secure Channel

Symmetric Cryptography

Symmetric Encryption

Symmetric Signature

30) X.509 Certificate

3.3 OPC UA part 3 terms

The following terms defined in Part 3 apply.

- 1) DataVariable
- 2) EventType
- 3) HierarchicalReference
- 4) ModellingRule
- 5) Property
- 6) VariableType

3.4 OPC UA part 4 terms

The following terms defined in Part 4 apply.

- 1) Deadband
- 2) EndPoint
- 3) SoftwareCertificate

3.5 OPC UA part 6 terms

The following terms defined in Part 6 apply.

- 1) Data Encoding
- 2) Stack Profile
- 3) Transport Protocol

3.6 OPC UA part 8 terms

The following terms defined in Part 8 apply.

- 1) AnalogItem
- 2) DataItem
- 3) DiscreteItem
- 4) EngineeringUnits

3.7 OPC UA Profile terms

3.7.1 ConformanceUnit

A specific set of features (e.g. a group of services, portions of services or information models) that can be tested as a single entity

3.8 Abbreviations and symbols

API Application Programming Interface

DA Data Access HA Historical Access

HMI Human Machine Interface UA Unified Architecture

4 Overview

4.1 General

The OPC UA multipart specification describes a number of Services and a variety of information models. These Services and information models can be referred to as features of a server or client. Servers and Clients need to be able to describe which of the features they support. This part provides a grouping of these features. The individual features are grouped into ConformanceUnits which are further grouped into Profiles. Figure 1 provides an overview of the interactions between Profiles, ConformanceUnits and test cases. The figure also illustrates a feature of the OPC UA

compliance test tool, in that it will test if a requested Profile passes all ConformanceUnits. It will also test all other ConformanceUnits and report any other Profiles that pass conformance testing.

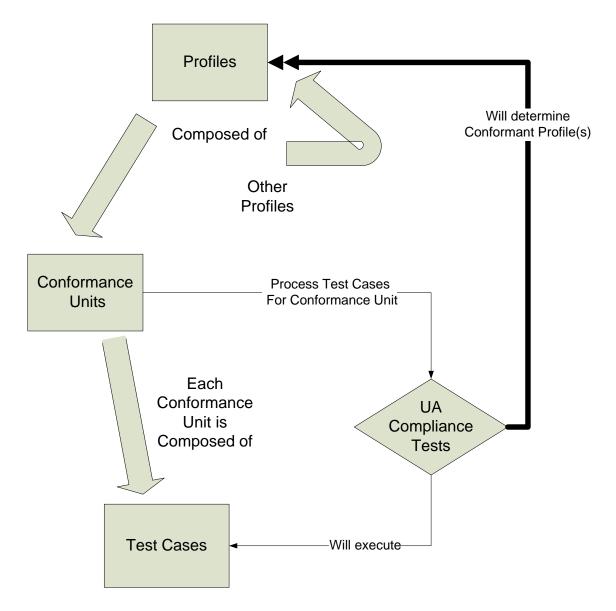


Figure 1 - Profile - ConformanceUnit - Test cases

4.2 ConformanceUnit

Each ConformanceUnit represents a specific set of features (e.g. a group of services, portions of services or information models) that can be tested as a single entity. ConformanceUnits are the building blocks of a Profile. For each ConformanceUnit there are a number of test cases that test the functionality described in the ConformanceUnit. The description of a ConformanceUnit is intended to provide enough information to illustrate the required functionality, but in many cases to obtain a complete understanding of the ConformanceUnit the reader may be required to also examine either the appropriate part of the OPC UA specification or the details provided in the OPC UA Client or OPC UA Server test specification.

The same features do not appear in more than one ConformanceUnit.

4.3 Profiles

Profiles are named groupings of ConformanceUnits. The Servers and Clients in an OPC UA application will provide the names of Profiles that they support. The definition of Profiles is a dynamic activity, in that it is expected that new Profiles will be added in the future. A Profile can be defined to inherit from an existing Profile. The new Profile may add additional ConformanceUnits. These additional ConformanceUnits may add additional features that are to be tested. The additional ConformanceUnits may also further restrict inherited ConformanceUnits.

An OPC UA application will typically support multiple Profiles.

Multiple Profiles may include the same ConformanceUnit.

Testing of a Profile consists of testing the individual ConformanceUnits that comprise the Profile.

Profiles are named following some conventions see clause 6.3 for details

4.4 Profile Categories

Profiles are grouped into categories to help vendors and end users understand the applicability of a Profile. Categories include: Server and Client, but they could also include Power Generation or Chemical Plant. A Profile can be assigned to more than one category.

Table 1 – Profiles Categories contains the list of defined Profile categories.

Category	Description
Client	Profiles of this category specify a complete functional set for an OPC
	UA Client. The URI of such profiles has to be part of a Software
	Certificate passed in the CreateSession request.
Security	Profiles of this category specify a security policy. The URI of such
	profiles has to be part of an Endpoint Description returned from the
	GetEndpoint service.
Server	Profiles of this category specify a complete functional set for an OPC
	UA Server. The URI of such profiles has to be part of a Software
	Certificate returned with the CreateSession service response.
Transport	Profiles of this category specify a specific protocol mapping. The URI of
	such profiles has to be part of an Endpoint Description.

Table 1 - Profiles Categories

5 ConformanceUnits

5.1 Overview

A ConformanceUnit represents an individually testable entity. The list of ConformanceUnits is large and as a result ConformanceUnits are combined for easier understanding into named conformance groups. These groups closely correspond to the Service Sets in Part 4 and to the OPC UA information models. Table 2 provides a list of the conformance groups. These groups and the ConformanceUnits that they describe are detailed in the following sections. Conformance Groups have no impact on compliance testing; they are used only for organizational reasons in this document.

Table 2 - Conformance Groups

	Group	Description	
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Group	Description
Address Space Model	Defines ConformanceUnits for various features of the OPC UA AddressSpace.
Alarms & Conditions	All ConformanceUnits that are associated with the enhanced OPC UA information model for Conditions, acknowledgeable Conditions, confirmations and Alarms.
Attribute Services	Includes ConformanceUnits to Read or Write current or historical Attribute values.
Auditing	User level security includes support for security audit trails, with traceability between Client and Server audit logs.
Base Information	All information elements as defined in Part 5 of the OPC UA specification.
Data Access	ConformanceUnits specific to Clients and Servers that deal with the representation and use of automation data as specified in Part 8 of the OPC UA specification.
Discovery Services	ConformanceUnits with focus on Server Endpoint Discovery
Historical Access	Access to archived data of node Attribute values or Events.
Method Services	Methods represent the function calls of Objects. Methods are invoked and return only after completion (successful or unsuccessful).
Monitored Item Services	Clients define MonitoredItems to subscribe to data and Events. Each MonitoredItem identifies the item to be monitored and the Subscription to use to send Notifications.
Node Management Services	Bundles ConformanceUnits for all Services to add and delete AddressSpace Nodes and References.
Programs	Programs are complex functions in a server or underlying system that can be invoked and managed by an OPC UA Client. Programs can represent any level of functionality within a system or process in which client control or intervention is required and progress monitoring is desired
Protocol and Encoding	Covers all transport and encoding combinations that are specified in Part 6 of the OPC UA specification.
Query Services	A Query may be used to return a subset of data from the View.
Redundancy	The design of OPC UA ensures that vendors can create redundant <i>Clients</i> and redundant <i>Servers</i> in a consistent manner. Redundancy may be used for high availability, fault tolerance and load balancing.
Security	Security related ConformanceUnits that can be profiled.
Session Services	An (OPC UA) Session is an application layer connection.
Subscription Services	Subscriptions are used to report Notifications to the Client.
View Services	Clients use the View Service Set to navigate through the AddressSpace or through a View as subset of the AddressSpace.

5.2 Services

The following tables describe *ConformanceUnits* for the *Services* specified in Part 4. The tables correlate with the *Service Sets*.

A single *ConformanceUnit* can reference several *Services* (e.g. CreateSession, ActivateSession and CloseSession) but can also refer to individual aspects of *Services* (e.g. "The use of ActivateSession to impersonate a new user).

Each table includes a listing of the category to which a *ConformanceUnit* belongs, the title and description of the *ConformanceUnit* and a column that indicates if the *ConformanceUnit* is derived from another *ConformanceUnit*. A *ConformanceUnit* that is derived from another *ConformanceUnit* includes all of the same tests as its parent plus one or more additional test cases. These test cases can only further restrict the existing test cases. An example would be one in which the number of connections is tested, where the test case of the parent required at least one connection and the derived *ConformanceUnit* would require a test case for at least five connections.

The *Discovery Service* Set is composed of multiple *ConformanceUnits* (see Table 3). All *Servers* provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 3 - Discovery Services

Category	Title	Description	Derived
Server	Discovery Get Endpoints	Support the GetEndpoints Service to obtain all Endpoints of one Server. This includes filtering based on Profiles.	
Server	Discovery Find Servers Self	Support the FindServers Service only for itself.	
Server	<i>Discovery</i> Register	Call the RegisterServers Service to register itself (OPC UA Server) with an external Discovery Service via a secure channel with a securityMode other than "None".	
Server	Discovery Configuration	Allow configuration of the <i>Discovery Server</i> URL where the <i>Server</i> will register itself. Allow complete disabling of registration with a <i>Discovery Server</i> .	
Client	Discovery Client Find Servers Basic	Uses the FindServers Service to obtain all Servers installed on a given node.	
Client	Discovery Client Find Servers with URI	Use FindServers Service to obtain URLs for specific Server URIs.	
Client	Discovery Client Find Servers Dynamic	Detect new Servers after an initial FindServers Service call.	
Client	Discovery Client Get Endpoints Basic	Uses the GetEndpoints Service to obtain all Endpoints for a given Server URI.	
Client	Discovery Client Get Endpoints Dynamic	Detect changes to the Endpoints after an initial GetEndpoints Service call.	
Client	Discovery Client Configure Endpoint	Allow specification of an Endpoint without going through the <i>Discovery Service</i> Set.	

The Session Service Set is composed of multiple ConformanceUnits (see Table 4). The CreateSession, ActivateSession, and CloseSession services are supported as a single unit. All servers and clients provide this functionality.

Table 4 - Session Services

Category	Title	Description	Derived
Server	Session General Service Behaviour	Implement basic Service behaviour. This includes in particular: - checking the authentication token - returning the requestHandle in responses - returning available diagnostic information as requested with the 'returnDiagnostics' parameter - respecting a timeoutHint	

Category	Title	Description	Derived
Server	Session Base	Support the Session Service Set (CreateSession, ActivateSession, CloseSession) except the use of ActivateSession to change the Session user. This includes correct handling of all parameters that are provided.	
		Note that for the CreateSession and ActivationSession services, if the SecurityMode = None then: 1) The Application Certificate and Nonce are optional.	
Server	Session Cancel	2) The signatures are null/empty. Support the Cancel Service to cancel outstanding requests.	
Server	Session Minimum 10 Parallel	Support minimum 10 parallel Sessions (total for all <i>Clients</i>).	
Server	Session Minimum 50 Parallel	Support minimum 50 parallel Sessions (total for all <i>Clients</i>).	Session Minimum 10 Parallel
Client	Session Client General Service Behaviour	Implement basic Service behaviour. This includes in particular: - including the proper authentication token of the Session - creating requestHandles if needed - requesting diagnostic information with the 'returnDiagnostics' parameter - evaluate the serviceResult and operational results	
Client	Session Client Base	Use the Session Service Set (CreateSession, ActivateSession, CloseSession) except the use of ActivateSession to change the Session user. This includes correct handling of all parameters that are provided Note that for the CreateSession and ActivationSession services, if the SecurityMode = None then: 1) The Application Certificate and Nonce are optional. 2) The signatures are null/empty.	
Client	Session Client Renew Nodelds	This ConformanceUnit applies to Clients that persist Nodelds. Verify that the Namespace Table has not changed for Nodelds that the Client has persisted and is going to re-use beyond a Session lifetime. If changes occurred the Client has to recalculate the Namespace Indices of the respective Nodelds.	
Client	Session Client Impersonate	Use of ActivateSession to change the Session user (impersonation).	
Client	Session Client KeepAlive	Make periodic requests to keep the Session alive.	
Client	Session Client Detect Shutdown	Read or monitor the ServerStatus/State Variable to recognize a potential shutdown of the Server and clean up resources.	
Client	Session Client Cancel	Use the Cancel Service to cancel outstanding requests.	

Category	Title	Description	Derived
Client	Session Client Auto Reconnect	Automatic <i>Client</i> reconnect including: - ActivateSession with new SecureChannel if SecureChannel is not longer valid but <i>Session</i> is still valid - Creation of a new <i>Session</i> only if <i>Session</i> is not longer valid	

The *Node* Management *Service* Set is composed of multiple *ConformanceUnits* (see Table 5). Servers may provide some aspects of this functionality, see *Profiles* categorized as server *Profiles* for details. *Clients* may support some aspects of this functionality, see *Profiles* categorized as client *Profiles* for details.

Table 5 – Node Management Services

Category	Title	Description	Derived
Server	Node Management Add Node	Support the AddNodes service to add one or more <i>Nodes</i> into the <i>AddressSpace</i> .	
Server	Node Management Delete Node	Support the DeleteNodes Service to delete one or more Nodes from the AddressSpace.	
Server	Node Management Add Ref	Support the AddReferences Service to add one or more References to one or more Nodes.	
Server	Node Management Delete Ref	Support the DeleteReferences Service to delete one or more References of a Node.	
Client	Node Management Client	Use Node Management Services to add or delete Nodes and to add or delete References in an OPC UA Server's AddressSpace.	

The View Service Set is composed of a multiple ConformanceUnits (see Table 6). All Servers support some aspects this conformance group.

Table 6 - View Services

Category	Title	Description	Derived
Server	View Basic	Support the View Service Set (Browse, BrowseNext).	
Server	View TranslateBrowsePath	Support TranslateBrowsePathsToNodelds Service.	
Server	View RegisterNodes	Support the RegisterNodes and UnregisterNodes Services as a way to optimize access to repeatedly used Nodes in the Server's AddressSpace.	
Server	View Minimum Continuation Point 01	Support minimum 1 continuation point per Session.	
Server	View Minimum Continuation Point 05	Support minimum 5 continuation points per Session. This number has to be supported for at least half of the minimum required sessions.	View Minimum Continuation Point 01
Client	View <i>Client</i> Basic Browse	Use Browse and BrowseNext Services to navigate through the Server's AddressSpace. Make use of the referenceTypeld and the nodeClassMask to specify the needed References.	

Category	Title	Description	Derived
Client	View Client Basic	Make use of the resultMask parameter to	
	ResultSet Filtering	optimize the result set to be returned by the	
		Server.	
Client	View Client	Use the TranslateBrowsePathsToNodelds	
	TranslateBrowsePath	Service to identify the Nodelds for Nodes where	
		a starting <i>Node</i> and a BrowsePath is known.	
		Make bulk operations rather than multiple calls	
		whenever possible.	
Client	View Client	Use the RegisterNodes Service to optimize	
	RegisterNodes	access for <i>Nodes</i> that are used repeatedly. Use	
		UnregisterNodes when <i>Nodes</i> are not used	
		anymore.	

The Query Service Set is composed of multiple ConformanceUnits (see Table 7). Servers may provide some aspects of this functionality, see Profiles categorized as server Profiles for details. Clients may support some aspects of this functionality, see Profiles categorized as client Profiles for details.

Table 7 - Query Services

Category	Title	Description	Derived
	Undefined	No Conformance Units defined	

The Attribute Service Set is composed of multiple ConformanceUnits (see Table 8). The majority of the Attribute service set is a core functionality of the OPC UA specification.

Table 8 - Attribute Services

Category	Title	Description	Derived
Server	Attribute Read	Support the Read Service to read one or more Attributes of one or more Nodes. This includes support of the IndexRange parameter to read a single element or a range of elements when the Attribute value is an array	
Server	Attribute Read Complex	Support reading and encoding ComplexData (structures).	
Server	Attribute Write Values	Support the Write Service to write values to one or more Attributes of one or more Nodes.	
Server	Attribute Write Complex	Support writing and decoding ComplexData.	
Server	Attribute Write StatusCode & TimeStamp	Support writing of StatusCode and Timestamps.	
Server	Attribute Write Index	Support the IndexRange to write a single element or a range of elements when the <i>Attribute</i> value is an array.	
Server	Attribute Alternate Encoding	Support alternate Data Encoding when reading value Attributes. By default, every Server has to support the Data Encoding of the currently used Stack Profile (i.e. binary with UA Binary Encoding and XML with XML Encoding). This ConformanceUnit - when supported - specifies that the other Data Encoding is supported in addition.	

Category	Title	Description	Derived
Client	Attribute Client Read Base	Use the Read Service to read one or more Attributes of one or more Nodes. This includes use of an IndexRange to select a single element or a range of elements when the Attribute value is an array. Clients shall use bulk operations whenever	
		possible to reduce the number of <i>Service</i> invocations.	
Client	Attribute Client Read with proper Encoding	This ConformanceUnit refers to the ability of a Server to support more than one Data Encoding for Attribute values. Clients can discover the available encodings and can explicitly choose one when calling the Read Service.	
Client	Attribute Client Read Complex	Read and decode ComplexData (structures).	
Client	Attribute Client Write Base	Use the Write Service to write values to one or more Attributes of one or more Nodes. This includes use of an IndexRange to select a single element or a range of elements when the Attribute value is an array. Clients shall use bulk operations whenever possible to reduce the number of Service invocations.	
Client	Attribute Client Write Complex	Write and Encode ComplexValues (structures).	
Client	Attribute Client Write Quality & TimeStamp	Use the Write Service to also write StatusCode and/or Timestamps.	

The *Method Service* Set is composed of *ConformanceUnits* (see Table 9). The primary *ConformanceUnits* provide support for the call functionality. *Servers* may provide some aspects of this functionality, see *Profiles* categorized as server *Profiles* for details. *Clients* may support some aspects of this functionality, see *Profiles* categorized as client *Profiles* for details.

Table 9 - Method Services

Category	Title	Description	Derived
Server	Method Call	Support the Call Service to call (invoke) a	
		Method.	
Client	Method Client Call	Use the Call Service to call one or several	
		Methods.	

The MonitoredItem Service Set is composed of multiple ConformanceUnits (see Table 10). Servers may provide some aspects of this functionality; see Profiles categorized as Server Profiles for details. Clients may support some aspects of this functionality, see Profiles categorized as client Profiles for details.

Table 10 - Monitored Item Services

Category	Title	Description	Derived
Server	Monitor Basic	Support the following <i>MonitoredItem Services</i> :	
		CreateMonitoredItems, ModifyMonitoredItems,	
		DeleteMonitoredItems, SetMonitoringMode.	
Server	Monitor Value	Support creation of MonitoredItems for Attribute	
	Change	value changes. This includes support of the	
		IndexRange to select a single element or a range	
		of elements when the Attribute value is an array.	

Category	Title	Description	Derived
Server	Monitor Alternate Encoding	Support alternate encoding when monitoring value <i>Attributes</i> . By default, every <i>Server</i> has to support the encoding of the currently used Stack <i>Profile</i> (i.e. binary with UA Binary Encoding and XML with XML Encoding). This <i>ConformanceUnit</i> - when supported - specifies that the other encoding is supported in addition.	
Server	Monitor Items 10	Support at least 10 MonitoredItems per Subscription.	
Server	Monitor Items 100	Support at least 100 MonitoredItems per Subscription. This number has to be supported for at least half of the required Subscriptions for half of the required Sessions.	Monitor Items 10
Server	Monitor Items 500	Support at least 500 MonitoredItems per Subscription. This number has to be supported for at least half of the required Subscriptions for half of the required Sessions.	Monitor Items 100
Server	Monitor QueueSize_1	This ConformanceUnit does not require queueing when multiple value changes occur during a publish period. I.e. the latest change will be sent in the Notification.	
Server	Monitor MinQueueSize_02	Support at least 2 queue entries for <i>MonitoredItems</i> . Servers often will adapt the queue size to the number of currently <i>MonitoredItems</i> . However, it is expected that Servers support this minimum queue size for at least one third of the supported <i>MonitoredItems</i> .	
Server	Monitor Triggering	Support the SetTriggering Service to create and/or delete triggering links for a triggering item.	
Server	Monitor Events	Support creation of <i>MonitoredItems</i> for a "Notifier <i>Attribute</i> " for the purpose of <i>Event Notification</i> .	
Server	Monitor Complex Event Filter	Support for complex <i>Event</i> filters, where complex is defined as supporting the complex filter operands	
Client	Monitor <i>Client</i> Value Change	Use the MonitoredItem Service Set to register items for changes in Attribute value. Use CreateMonitoredItems to register the Node/Attribute tuple. Set proper sampling interval, Deadband filter and queueing mode. Use disabling / enabling instead of deleting and re-creating a MonitoredItem. Use bulk operations rather than individual service requests to reduce communication overhead.	
Client	Monitor <i>Client</i> by Index	Use the IndexRange to select a single element or a range of elements when the <i>Attribute</i> value is an array.	
Client	Monitor <i>Client</i> Events	Use the MonitoredItem Service Set to create MonitoredItems for Event notifications.	
Client	Monitor Client Event Filter	Use the <i>Event</i> filter when calling CreateMonitoredItems to filter the desired Events and to select the columns to be provided for each <i>Event Notification</i> .	

Category	Title	Description	Derived
Client	Monitor Client	Uses complex <i>Event</i> filters	
	Complex Evt Filter		
Client	Monitor Client	Use ModifyMonitoredItems Service to change the	
	Modify	configuration setting.	
		Use SetMonitoringMode Service to disable /	
		enable sampling and / or publishing.	
Client	Monitor Client	Use the Triggering Model if certain items are to	
	Trigger	be reported only if some other item triggers.	
		Use proper monitoring mode for these items.	
		Use SetTriggering Service to link these items to	
		the trigger item.	

The Subscription Service Set is composed of multiple ConformanceUnits (see Table 11). Servers may provide some aspects of this functionality, see Profiles categorized as server Profiles for details. Clients may support some aspects of this functionality, see Profiles categorized as client Profiles for details.

Table 11 – Subscription Services

Category	Title	Description	Derived
Server	Subscription Basic	Support the following Subscription Services: CreateSubscription, ModifySubscription, DeleteSubscriptions, Publish, Republish, SetPublishingMode.	
Server	Subscription Minimum 02	Support at least 2 Subscriptions per Session.	
Server	Subscription Minimum 05	Support at least 5 Subscriptions per Session. This number has to be supported for at least half of the minimum required sessions.	Subscription Minimum 02
Server	Subscription Publish Min 05	Support at least 5 Publish Service requests per Session.	
Server	Subscription Publish Min 10	Support at least 10 Publish Service requests per Session. This number has to be supported for at least half of the minimum required sessions.	Subscription Publish Min 05
Server	Subscription Publish Discard Policy	Respect the specified policy for discarding Publish Service requests. If the maximum number of Publish Service requests has been queued and a new Publish Service request arrives, the "oldest" Publish request has to be discarded by returning the proper error.	
Server	Subscription Transfer	Support TransferSubscriptions Service to transfer a Subscription from one Session to another.	
Client	Subscription Client Basic	Use the Subscription and MonitoredItem Service Set as an efficient means to detect changes of Attribute values and / or to receive Event occurrences. Set appropriate intervals for publishing, keep alive notifications and total Subscription lifetime. Supply a sufficient number of Publish requests to the Server so that Notifications can be sent whenever a publish timer expires.	
		Acknowledge received <i>Notifications</i> with subsequent Publish requests.	
Client	Subscription Client Republish	Evaluate the sequence number in <i>Notifications</i> to detect lost <i>Notifications</i> . Use Republish to request missing <i>Notifications</i> .	

Category	Title	Description	Derived
Client	Subscription Client Modify	Allow modification of the Subscription configuration using the ModifySubscription Service.	
Client	Subscription Client Multiple	Use multiple Subscriptions to reduce the payload of individual <i>Notifications</i> .	
Client	Subscription Client Publish Configurable	Send multiple Publish Service requests to assure that the Server is always able to send Notifications. The number of parallel Publish Service requests per Session shall be configurable.	

5.3 Other Features

Table 12 describes Base features related items that can be profiled. For additional information about these items, please refer to Part 6.

Table 12 - Base Information

Category	Title	Description	Derived
Server	Base Info Core	Support Server Object, Server Capabilities;	
	Structure	support the OPC UA AddressSpace structure.	
Server	Base Info	Support Diagnostic Objects and Variables.	
	Diagnostics		
Server	Base Info Type	Present a Type System with Data Types,	
	System	Reference Types, Object Types and Variable	
		Types. This includes custom types for any non-	
		standard types	
Server	Base Info Model	Support ModelChange <i>Event</i> and NodeVersion	
	Change	Property for some Nodes.	
Client	Base Info Client	Use OPC UA defined AddressSpace structure.	
	Basic	Access or provide access to Server information	
		like the Server's state, BuildInfo, capabilities,	
		Namespace Table and Type Model.	
Client	Base Info Client	Programmatically process instances of <i>Objects</i> or	
	Type	Variables by using their type definitions. This	
	Programming	includes custom Data Types, Object Types and	
		Variable Types	
Client	Base Info Client	Process ModelChange Events to detect changes	
	Change Events	in the Server's AddressSpace and take	
		appropriate action.	
Client	Base Info Client	Use the RefreshData Method to receive all	
	RefreshData	current samples of monitored items.	
	Mathod		

Table 13 describes Security related units that can be profiled. All of these *ConformanceUnits* apply equally to both *Clients* and *Servers*, where a *Client* uses the related security unit and a server supports the use of it. These items are defined in detail in Part 6. It is recommended that a *Server* and *Client* support as many of these options as possible in order to achieve increased levels of interoperability.

Table 13 - Security

Category	Title	Description	Derived
Security	Security User	User name password combination. Specific	
	Name Password	encryption of the password is required if no	
		Message encryption is used.	

Category	Title	Description	Derived
Security	Security User	A public/private key pair. Must be able to be	
	X509	adminstrativly disabled	
Security	Security	Certificate will be validated as specified in OPC UA	
	Certificate	Part 4. This includes among others structure and	
	Validation	signature examination. Allowing for some validation	
		errors to be suppressed by administration directive.	
Security	Security None	A suite of algorithms that does NOT provide any	
		security settings	
		SymmetricSignatureAlgorithm-	
		SymmetricEncryptionAlgorithm -	
		AsymmetricSignatureAlgorithm -	
		SymmetricKeyWrapAlgorithm -	
		AsymmetricEncryptionAlgorithm -	
		KeyDerivationAlgorithm PSha1	
		(http://docs.oasis-open.org/ws-sx/ws-	
		secureconversation/200512/dk/p_sha1)	
		DerivedSignatureKeyLength 0	
Security	Security None	The CreateSession and ActivateSession service	
	CreateSession	allow for a NULL/empty signiture and do not require	
	ActivateSession	Application Certificates or a Nonce. This	
		Conformance Unit is only valid for select security	
		policies.	
Security	Security Basic	A suite of algorithms that uses RSA15 as Key-	
	128Rsa15	Wrap-algorithm and 128-Bit for encryption	
		algorithms.	
		SymmetricSignatureAlgorithmHmacSha1	
		(http://www.w3.org/2000/09/xmldsig#hmac-	
		sha1)	
		SymmetricEncryptionAlgorithm Aes128	
		(http://www.w3.org/2001/04/xmlenc#aes128	
		-cbc)	
		AsymmetricSignatureAlgorithm RsaSha1	
		(http://www.w3.org/2000/09/xmldsig#rsa-	
		sha1)	
		AsymmetricKeyWrapAlgorithm KwRsa15	
		(http://www.w3.org/2001/04/xmlenc#rsa-	
		1_5)	
		AsymmetricEncryptionAlgorithm Rsa15	
		(http://www.w3.org/2001/04/xmlenc#rsa-	
		1_5)	
		KeyDerivationAlgorithm PSha1	
		(http://docs.oasis-open.org/ws-sx/ws-	
		secureconversation/200512/dk/p_sha1)	
		DerivedSignatureKeyLength 128	

Category	Title	Description	Derived
Security	Security Basic 256	A suite of algorithms that 256-Bit for encryption algorithms SymmetricSignatureAlgorithmHmacSha1	Derived
		AsymmetricEncryptionAlgorithm RsaOaep (http://www.w3.org/2001/04/xmlenc#rsa-oaep) KeyDerivationAlgorithm PSha1 (http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1) DerivedSignatureKeyLength 192	
Security	Security Administration	Allow configuration of the following Security related items. * select the proper User identification policy (User Name/Password or X509 or Kerberos) * enable/disable the Security Policy "None" or other policies * set the permitted certification authorities * define how to react to unknown Certificates	
Security	Security Administration - XML Schema	Support the OPC defined xml schema for importing and export security configuration information.	
Security	Security Certificate Administration	Allow the plant administrator to assign a plant specific instance <i>Certificate</i> and allow configuration of a plant specific CA for acceptance of <i>Certificates</i>	

Table 14 describes Protocol and encoding related features that can be profiled. These features are defined in detail in Part 6. It is recommended that a *Server* and *Client* support as many of these options as possible for greatest interoperability.

Table 14 - Protocol and Encoding

Category	Title	Description	Derived
Server	Protocol	Allow administration of the Endpoints and the port	
	Configuration	number used by the Endpoints.	
Transport	Protocol TCP	Support the UA TCP transport protocol with UA	
-	Binary UA	Binary Encoding and with UA Secure	
	Security	Conversation.	
Transport	Protocol Soap Xml	Support "SOAP/HTTP" transport with XML	
	WS Security	Encoding and with WS Secure Conversation.	
Transport	Protocol Soap	Support "SOAP/HTTP" transport with UA Binary	
	Binary WS	Encoding and with WS Secure Conversation.	
	Security		

Table 15 describes Address Space Model information related items that can be profiled. The details of these model items is defined in Part 3 and Part 5

Table 15 – Address Space Model

Category	Title	Description	Derived
Server	Address Space Base	Support the NodeClasses with their Attributes and behaviour as defined in OPC UA Part 3. This includes for instance: Object, Object Type, Variable, Variable Type, References and Data Type	
Server	Address Space Complex Datatypes	Support ComplexData with Data Dictionary.	
Server	Address Space Method	Support Method Nodes.	
Client	Address Space Client Base	Use and understand the <i>NodeClasses</i> with their <i>Attributes</i> and behaviour as defined in OPC UA Part 3. This includes for instance: <i>Object, Object Type, Variable, Variable</i> Type, <i>References</i> and Data Type	

Table 16 describes Data Access information model related items that can be profiled. The details of this model are defined in Part 8.

Table 16 - Data Access

Category	Title	Description	Derived
Server	Data Access	Provide Variables of DataItemType or one of its	
	DataItems	subtypes. Support the StatusCodes specified in	
		the DataAccess part of the OPC UA specification.	
		Support of optional Properties (e.g.	
		"InstrumentRange") shall be verified during	
		certification testing and will be shown in the	
		Certificate.	
Server	Data Access	Support AnalogItemType Variables with	
	Analog	corresponding Properties.	
Server	Data Access	Support PercentDeadband filter when monitoring	
	PercentDeadBand	AnalogItemType Variables.	
Server	Data Access	Support semantic changes of AnalogItemType	
	Semantic	items (EURange <i>Property</i> and/or	
	Changes	EngineeringUnits <i>Property</i>). Support semantic	
		change StatusCode bits where appropriate.	
Server	Data Access	Support TwoStateDiscreteType Variables with	
	TwoState	corresponding Properties.	
Server	Data Access	Support MultiStateDiscreteType Variables with	
	MultiState	corresponding Properties.	
Client	Data Access	Understand the DataAccess Variable Types.	
	Client Basic	Make use of the standard Properties if applicable.	
Client	Data Access	Use PercentDeadband to filter value changes of	
	Client Deadband	AnalogItemType Variables.	
Client	Data Access	Recognize the semantic change bit in the	
	Client	StatusCode while monitoring items and take	
	SemanticChange	proper action. Typically, the <i>Client</i> has to re-read	
		Properties that define type-specific semantic like	
		the EURange and EngineeringUnit Properties.	

Table 17 describes Alarm and Conditions information model related items that can be profiled. The details of this model are defined in Part 9

Table 17 - Alarm & Conditions

Category	Title	Description	Derived
	Undefined	No Conformance Units defined	

Table 18 describes Historical Data Access information model related items that can be profiled. The details of this model are defined in Part 11.

Table 18 - Historical Access

	Category	Title	Description	Derived
I		Undefined	No Conformance Units defined	

Table 19 describes Command information model related items that can be profiled.

Table 19 - Programs

Category	Title	Description	Derived
	Undefined	No Conformance Units defined	

Table 20 describes Auditing related items that can be profiled.

Table 20 - Auditing

Category	Title	Description	Derived
Server	Auditing Base	Support AuditEvents. The list of supported	
		AuditEvents shall be verified during certification	
		testing and will be shown in the Certificate.	
Client	Auditing Client	Client support generating AuditEvents ids and	
	Audit ID	providing them to Servers	
Client	Auditing Client	The Client supports subscribing for audit Events	
	Subscribes	and storing /processing them in a secure manner.	

Table 21 describes Redundancy related items that are profiled.

Table 21 – Redundancy

Category	Title	Description	Derived
Server	Redundancy Server	Support Server-based redundancy.	
Server	Redundancy Server Transparent	Support transparent Server redundancy.	
Client	Redundancy Client	Client supports Client redundancy. Clients that support Client redundancy can fail over to another Client (requires some out of band communication)	
Client	Redundancy Client Switch	Clients supporting this ConformanceUnit monitor the redundancy status for non-transparent redundancy Servers and switch to the backup Server when they recognize a change.	

6 Profiles

6.1 Overview

This section includes a listing of the categories that a *Profile* can be grouped into, a list of named *Profiles* and the detailed listing of each *Profile* including directly defined *ConformanceUnits* and any sub *Profiles* that are included in the *Profile*.

6.2 Profile List

Table 22 lists *Profiles*. The *Profile* table is ordered by *Profile* category and then alphabetically by the name of the *Profile*. The table includes a list of categories the profile is associated with and a URI. The URI can be used to access the OPC Foundation WEB site and obtain additional information about the *Profile*. This URI is also included in the *SoftwareCertificate* associated with the *Profile*. The OPC Foundation WEB site may also contain additional categories, which are specific to OPC Foundation generated companion specifications, but not part of the OPC UA core specifications.

An application (*Client* or *Server*) shall implement all of the *ConformanceUnits* in a *Profile*, to be compliant with the *Profile*. Some *Profiles* contain optional *ConformanceUnits*. An optional *ConformanceUnit* means that an application has the option to not support the *ConformanceUnit*. However, if supported, the application shall pass all tests associated with the *ConformanceUnit*. For example, some *ConformanceUnits* require specific information model items to be available. They are, therefore, listed as optional in order to allow for the information model items to be omitted. For example, if a *Server* desires to be listed as supporting the optional *ConformanceUnit* then it shall include any required information model items in the configuration provided for certification testing. The support for optional *ConformanceUnits* is described in the certificate that is generated by the associated testing.

Table 22 - Profile List

Profile	Description	Related categor y	<u>URI</u>
AddressSpace Lookup Client Facet	includes all ConformanceUnits needed to navigate through the AddressSpace.	Client	http://opcfoundation.org/UA- Profile/Client/AddressSpaceLo okup
Advanced Type Programming Client Facet	specifies the behaviour of <i>Clients</i> when processing instances based on Type Definitions.	Client	http://opcfoundation.org/UA- <u>Profile/Client/TypeProgrammin</u> g
Attribute Read Client Facet	includes ConformanceUnits needed to read Attribute values of Nodes.	Client	http://opcfoundation.org/UA- Profile/Client/AttributeRead
Attribute Write Client Facet	includes ConformanceUnits needed to write Attribute values of Nodes.	Client	http://opcfoundation.org/UA- Profile/Client/AttributeWrite
Auditing Client Facet	includes ConformanceUnits for the purpose of Auditing.	Client	http://opcfoundation.org/UA- Profile/Client/Auditing
Base Client Behaviour Facet	specifies behavioural aspects that <i>Clients</i> shall follow for best use by operators and administrators. These aspects can only be tested in a test lab. They are best practice guidelines.	Client	http://opcfoundation.org/UA- Profile/Client/Behaviour

Profile	Description	Related	URI
	•	categor	
Core Client	defines core functionality for	y Client	http://opcfoundation.org/UA-
Facet	Clients. This facet includes the		Profile/Client/Core
	core functions for Security and Session handling.		
DataAccess	defines ConformanceUnits needed	Client	http://opcfoundation.org/UA-
Client Facet	for utilizing the DataAccess		Profile/Client/DataAccess
	Information Model.		
DataChange Subscriber	includes ConformanceUnits needed when monitoring Attribute	Client	http://opcfoundation.org/UA- Profile/Client/DataChangeSubs
Client Facet	values for data change.		criber
Discovery	includes ConformanceUnits for	Client	http://opcfoundation.org/UA-
Client Facet	discovery of Servers and their		Profile/Client/Discovery
E	Endpoints.	Ol' 1	
Event Subscriber	includes ConformanceUnits needed when subscribing for	Client	http://opcfoundation.org/UA- Profile/Client/EventSubscriber
Client Facet	Event Notifications.		r Tome/Cheria EventSubscriber
Method Client	includes ConformanceUnits	Client	http://opcfoundation.org/UA-
Facet	needed to call Methods.		Profile/Client/Method
Node	includes the ConformanceUnits	Client	http://opcfoundation.org/UA- Profile/Client/NodeManagemen
Management Client Facet	that <i>Clients</i> will have to use to configure the <i>AddressSpace</i> of an		t
	OPC UA Server through OPC UA		-
	Node Management Service Set.		
Redundancy	specifies the ability to monitor the	Client	http://opcfoundation.org/UA-
Switch <i>Client</i> Facet	redundancy status in non- transparent redundancy Servers		Profile/Client/RedundancySwit ch
lacet	and switch to the backup Server		
	when they recognize a change in		
	status.	0" 1	
Redundant Client Facet	defines ConformanceUnits that redundant Clients have to provide.	Client	http://opcfoundation.org/UA- Profile/Client/Redundancy
SecurityPolicy -	defines a Security Policy for	Security	http://opcfoundation.org/UA/Se
Basic128Rsa15	medium to highly secure		curityPolicy#Basic128Rsa15
0 11 5 11	configurations.	0 "	11.00
SecurityPolicy - Basic256	defines a Security Policy for configurations with high security	Security	http://opcfoundation.org/UA/SecurityPolicy/Basic256
Dasic250	needs.		Curry Folicy/Basic230
SecurityPolicy -	defines a policy used for	Security	http://opcfoundation.org/UA/Se
None	configurations with lowest security		curityPolicy#None
	needs. This Security Policy can affect the behaviour of the		
	CreateSession and Activate		
	Session services. It also results in		
	a SecureChannel which has no		
Auditing Server	Channel Security specifies Auditing functionality for	Server	http://opcfoundation.org/UA-
Facet	Servers.	SEIVEI	Profile/Server/Auditing
Base Server	specifies behavioural aspects that	Server	http://opcfoundation.org/UA-
Behaviour	Servers shall follow for best use by		Profile/Server/Behaviour
Facet	operators and administrators. These aspects can only be tested		
	in a test lab. They are best		
	practice guidelines.		
Basic	includes the ConformanceUnits	Server	http://opcfoundation.org/UA-
DataChange Subscription	when supporting basic DataChange Subscription		Profile/Server/BaseDataChang eSubscription
Server Facet	functionality.		<u>eounscription</u>

Profile	Description	Related	<u>URI</u>
		categor y	
Client Redundancy Facet	includes the ConformanceUnits to support redundant Clients.	Server	http://opcfoundation.org/UA- <u>Profile/Server/ClientRedundan</u> <u>cy</u>
ComplexType Server Facet	defines a set of ConformanceUnits that define Server specific handling of Complex Types.	Server	http://opcfoundation.org/UA- Profile/Server/ComplexTypes
Core Server Facet	defines core functionality for all Servers. This facet identifies Discovery, Session, AddressSpace and Viewing capabilities.	Server	http://opcfoundation.org/UA- Profile/Server/CoreFacet
DataAccess Server Facet	includes ConformanceUnits that define the handling of the DataAccess Information Model.	Server	http://opcfoundation.org/UA- Profile/Server/DataAccess
Embedded UA Server	focus is for devices with more than 50 Megabyte memory and with CPUs comparable to Intel 486 processor.	Server	http://opcfoundation.org/UA- Profile/Server/EmbeddedUA
Enhanced DataChange Subscription Server Facet	defines the typical DataChange Subscription functionality to be provided by OPC UA Servers.	Server	http://opcfoundation.org/UA- Profile/Server/EnhancedDataC hangeSubscription
Event Subscription Server Facet	defines the functionality when supporting Subscription functionality for Events.	Server	http://opcfoundation.org/UA- Profile/Server/EventSubscription
Low End Embedded Device Server	defines a <i>Profile</i> which is appropriate only for small devices with limited resources, including the inability to include a security infrastructure. It is expected that most applications will use gateways that enhance the functionality of these <i>Servers</i> to Embedded or Standard UA <i>Server Profiles</i> .	Server	http://opcfoundation.org/UA- Profile/Server/LowEndEmbedd edDevice
Method Server Facet	specifies the <i>Method</i> handling functionality for <i>Servers</i> .	Server	http://opcfoundation.org/UA- Profile/Server/Methods
Node Management Server Facet	includes the ConformanceUnits when supporting configuration of the AddressSpace through the OPC UA Node Management Service Set.	Server	http://opcfoundation.org/UA- Profile/Server/NodeManageme nt
Redundancy Transparent Server Facet	includes the <i>ConformanceUnits</i> for <i>Servers</i> with transparent redundancy.	Server	http://opcfoundation.org/UA- Profile/Server/TransparentRed undancy
Redundancy Visible Server Facet	includes the <i>ConformanceUnits</i> for visible redundancy.	Server	http://opcfoundation.org/UA- Profile/Server/VisibleRedundan cy
Standard UA Server	defines the most common functionality for OPC UA Servers.	Server	http://opcfoundation.org/UA- Profile/Server/StandardUA
SOAP-HTTP WS-SC UA Binary	defines a Stack <i>Profile</i> which represents the combination of HTTP/SOAP 1.2, WS-SecureConversation 1.3 and UA Binary 1.0 encoding.	Transpor t	http://opcfoundation.org/UA- <u>Profile/Transport/soaphttp-wssc-uabinary</u>

Profile	Description	Related categor y	<u>URI</u>
SOAP-HTTP WS-SC UA XML	defines a Stack <i>Profile</i> which represents the combination of HTTP/SOAP 1.2, WS-SecureConversation 1.3 and UA XML 1.0 encoding.	Transpor t	http://opcfoundation.org/UA- Profile/Transport/soaphttp- wssc-uaxml
SOAP-HTTP WS-SC UA XML-UA Binary	defines a Stack <i>Profile</i> represents the combination of HTTP/SOAP 1.2, WS-SecureConversation 1.3 and UA XML 1.0 and UA Binary 1.0 encodings.	Transpor t	http://opcfoundation.org/UA- Profile/Transport/soaphttp- wssc-uaxml-uabinary
UA-TCP UA- SC UA Binary	defines a Stack <i>Profile</i> which represents the combination of UA TCP 1.0, UA SecureConversation 1.0 and UA Binary 1.0 encoding.	Transpor t	http://opcfoundation.org/UA- Profile/Transport/uatcp-uasc- uabinary

The contents of each of the listed *Profiles* will be described in tabular form in a separate section. Each table may contain references to additional *Profiles* and or *ConformanceUnits*. If a *Profile* is referenced it means that it is completely included. The *ConformanceUnits* are referenced using their name and conformance group. For the details of the *ConformanceUnit* the reader should examine the *ConformanceUnit* details in the appropriate conformance group section.

6.3 Conventions for Profile definitions

Profiles are composed of ConformanceUnits. More than one Profile may contain the same ConformanceUnit. Profiles may recursively contain other Profiles

Profiles have the following naming conventions:

- Profiles intended for OPC UA Servers contain the term Server in their titles,
- Profiles intended for OPC UA Clients contain the term Client in their titles
- The term Facet in the title of a *Profile* indicates that this *Profile* is expected to be part of another larger *Profile* or concerns a specific aspect of OPC UA. *Profiles* with the term Facet in their title are expected to be combined with other *Profiles* to define the complete functionality of an OPC UA *Server* or *Client*.

6.4 Applications

A vendor that is developing a UA application, whether it is a *Server* application or a *Client* application, will review the list of available profiles. From this list the vendor will select the profiles that include the functionality required by the application. Typically this will be multiple *Profiles*. Conformance to a single *Profile* may not yield a complete application. In most cases multiple *Profiles* are needed to yield a useful application. All servers and clients shall support at least a core *Profile* (Core *Server* Facet or Core *Client* Facet) and at least one Transport *Profile*

For example an HMI *Client* application may choose to support the "Core *Client* Facet" *Profile*, the "UA-TCP UA-SC UA Binary" *Profile*, the "Data Access *Client* Facet" *Profile*, the "DataChange Subscriber *Client* Facet" *Profile* and the "Attribute Write Client Facet" *Profile*. If the *Client* is to be lab tested then it would also support "Base *Client* Behaviour" *Profile*. This list of *Profiles* would

allow the *Client* to communicate with an OPC UA *Server* using UA-TCP/UA Security/UA binary. It would be able to subscribe data, write data and would support the DA data model. It would also follow the best practice guideline for behaviour.

Figure 2 illustrates the *Profile* hierarchy that this application may contain: This figure is just an illustration and the represented *Profiles* may change.

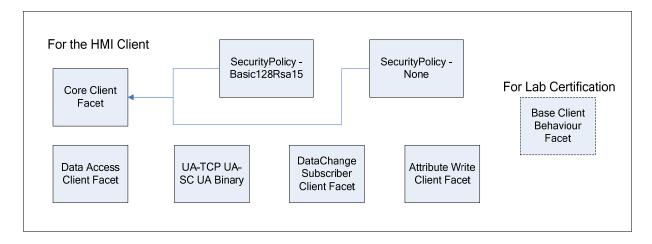


Figure 2 - HMI Client Sample

Another example is an embedded device OPC UA Server application that may choose to support "Embedded UA Server" Profile and the "DataAccess Server Facet" Profile. This device would be a low-end device that would support UA-TCP, UA-Security, UA Binary encoding, data subscriptions and the DA data model. It may not support the optional attribute write. Figure 3 illustrates the hierarchy that this application may contain: This figure is just an illustration and the represented Profiles may change.

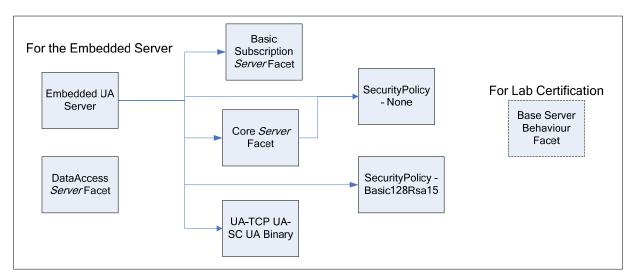


Figure 3 - Embedded Server Sample

Another simple system Server application may choose to support: "Standard UA Server" Profile and the "DataAccess Server Facet" Profile. If the Server is to be lab tested then it would also support "Base Server Behaviour" Profile. This device would be a mid-level OPC UA Server that would support all that the embedded server in the previous example supported and it would add support for an enhance level of the subscription service and support for writes. Figure 4 illustrates the

hierarchy that this application may contain: This figure is just an illustration and the represented *Profile* may change.

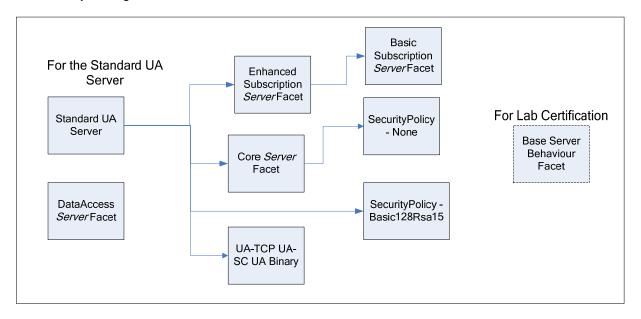


Figure 4 - Standard UA Server Sample

If the example HMI *Client* were to connect to either of the example *Servers*, it may have to adjust its behaviour based on the profile reported by the respective servers. If the HMI *Client* were communicating with the embedded device it would not be able to perform any write operations. It may also have to limit the number of subscription or sessions based on the performance limits of the server. If the HMI client connected to the Standard *Server* it would be able to open additional windows, have higher limits on performance related items and it would be able to allow writes.

If an End User were examining OPC UA Servers or Clients for purchase the user would examine the Profiles supported by each product. They would also check that the Profiles that describe the functionality they desire are available from both the OPC UA Server and OPC UA Client that they wish to purchase. The Profile and ConformanceUnit descriptions are available via the OPC Foundation WEB site. The Profiles supported by OPC UA Clients and Servers and the level of testing that the OPC UA Applications have undergone are also available on the OPC Foundation WEB site. End Users are encouraged to use the OPC Foundation WEB Site to review OPC UA products before purchasing them.

6.5 Profile Tables

6.5.1 Introduction

The following sections describe the *Profiles* in a tabular format.

Each table contains three columns. The first column is a description of the conformance group that the *ConformanceUnit* is part of. This allows the reader to easily find the *ConformanceUnit*. This column may also state "*Profile*" in which case the listed item is not a *ConformanceUnit*, but an included *Profile*. The second column is a brief description of the *ConformanceUnit* or included *Profile*. The last column indicates if the *ConformanceUnit* is optional or required.

6.5.2 AddressSpace Lookup Client Facet

Table 23 describes the details of the *AddressSpace* Lookup *Client* Facet *Profile*. This *Profile* includes all *ConformanceUnits* needed to navigate through the *AddressSpace*.

Table 23 - AddressSpace Lookup Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Attribute Services	Attribute Client Read Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Change Events	True
View Services	View Client Basic Browse	False
View Services	View Client Basic ResultSet Filtering	False
View Services	View Client RegisterNodes	True
View Services	View Client TranslateBrowsePath	True

6.5.3 Advanced Type Programming Client Facet

Table 24 describes the details of the Advanced Type Programming *Client* Facet *Profile*. This *Profile* specifies the behaviour of *Clients* when processing instances based on Type Definitions.

Table 24 - Advanced Type Programming Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Type Programming	False
View Services	View Client TranslateBrowsePath	False

6.5.4 Attribute Read Client Facet

Table 25 describes the details of the *Attribute* Read *Client* Facet *Profile*. This *Profile* includes *ConformanceUnits* needed to read *Attribute* values of *Nodes*.

Table 25 - Attribute Read Client Facet

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Client Read Base	False
Attribute Services	Attribute Client Read Complex	True
Attribute Services	Attribute Client Read with proper Encoding	True

6.5.5 Attribute Write Client Facet

Table 26 describes the details of the *Attribute Write Client Facet Profile*. This *Profile* includes *ConformanceUnits* needed to write *Attribute* values of *Nodes*.

Table 26 - Attribute Write Client Facet

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Client Write Base	False
Attribute Services	Attribute Client Write Complex	True
Attribute Services	Attribute Client Write Quality & TimeStamp	True

6.5.6 Auditing Client Facet

Table 27 describes the details of the Auditing *Client* Facet *Profile*. This *Profile* includes *ConformanceUnits* for the purpose of Auditing.

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Table 27 – Auditing Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Event Subscriber Client Facet	False
Auditing	Auditing Client Audit ID	False
Auditing	Auditing Client Subscribes	False

6.5.7 Base Client Behaviour Facet

Table 28 describes the details of the Base *Client* Behaviour Facet *Profile*. This *Profile* specifies behavioural aspects that *Clients* shall follow for best use by operators and administrators. These aspects can only be tested in a test lab. They are best practice guidelines.

Table 28 - Base Client Behaviour Facet

Group	Conformance Unit / Profile Title	Optional
Discovery Services	Discovery Client Configure Endpoint	False
Security	Security Administration	False
Security	Security Administration - XML Schema	False
Security	Security Certificate Administration	False
Session Services	Session Client Auto Reconnect	True
Subscription Services	Subscription Client Multiple	False
Subscription Services	Subscription Client Publish Configurable	False

6.5.8 Core Client Facet

Table 29 describes the details of the Core *Client* Facet *Profile*. This *Profile* defines core functionality for *Clients*. This facet includes the core functions for Security and *Session* handling.

Table 29 - Core Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	SecurityPolicy - Basic128Rsa15	False
Profile	SecurityPolicy - None	False
Security	Security Administration	False
Security	Security User Name Password	False
Security	Security User X509	False
Session Services	Session Client Base	False
Session Services	Session Client Cancel	True
Session Services	Session Client Detect Shutdown	False
Session Services	Session Client General Service Behaviour	False
Session Services	Session Client Impersonate	True
Session Services	Session Client KeepAlive	False
Session Services	Session Client Renew Nodelds	True

6.5.9 DataAccess Client Facet

Table 30 describes the details of the DataAccess *Client* Facet *Profile*. This *Profile* defines *ConformanceUnits* needed for utilizing the DataAccess Information Model.

Table 30 - DataAccess Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Attribute Services	Attribute Client Read Base	False
Attribute Services	Attribute Client Read Complex	False

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Client Read with proper Encoding	True
Data Access	Data Access Client Basic	False
Data Access	Data Access Client Deadband	True
Data Access	Data Access Client SemanticChange	True

6.5.10 DataChange Subscriber Client Facet

Table 31 describes the details of the DataChange Subscriber *Client* Facet *Profile*. This *Profile* includes *ConformanceUnits* needed when monitoring *Attribute* values for data change.

Table 31 - DataChange Subscriber Client Facet

Group	Conformance Unit / Profile Title	Optional
Monitored Item Services	Monitor Client by Index	False
Monitored Item Services	Monitor Client Modify	True
Monitored Item Services	Monitor Client Trigger	True
Monitored Item Services	Monitor Client Value Change	False
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Modify	True
Subscription Services	Subscription Client Multiple	True
Subscription Services	Subscription Client Republish	False

6.5.11 Discovery Client Facet

Table 32 describes the details of the *Discovery Client* Facet *Profile*. This *Profile* includes *ConformanceUnits* for discovery of *Servers* and their Endpoints.

Table 32 - Discovery Client Facet

Group	Conformance Unit / Profile Title	Optional
Discovery Services	Discovery Client Configure Endpoint	False
Discovery Services	Discovery Client Find Servers Basic	False
Discovery Services	Discovery Client Find Servers Dynamic	False
Discovery Services	Discovery Client Find Servers with URI	True
Discovery Services	Discovery Client Get Endpoints Basic	False
Discovery Services	Discovery Client Get Endpoints Dynamic	False

6.5.12 Event Subscriber Client Facet

Table 33 describes the details of the *Event Subscriber Client Facet Profile*. This *Profile* includes *ConformanceUnits* needed when subscribing for *Event Notifications*.

Table 33 - Event Subscriber Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Monitored Item Services	Monitor Client Complex Evt Filter	True
Monitored Item Services	Monitor Client Event Filter	False
Monitored Item Services	Monitor Client Events	False
Monitored Item Services	Monitor Client Modify	True
Monitored Item Services	Monitor Client Trigger	True
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Modify	True
Subscription Services	Subscription Client Multiple	True
Subscription Services	Subscription Client Republish	False

Group	Conformance Unit / Profile Title	Optional
View Services	View Client Basic Browse	False
View Services	View Client TranslateBrowsePath	False

6.5.13 Method Client Facet

Table 34 describes the details of the *Method Client* Facet *Profile*. This *Profile* includes *ConformanceUnits* needed to call Methods.

Table 34 - Method Client Facet

Group	Conformance Unit / Profile Title	Optional
Method Services	Method Client Call	False

6.5.14 Node Management Client Facet

Table 35 describes the details of the *Node* Management *Client* Facet *Profile*. This *Profile* includes the *ConformanceUnits* that *Clients* will have to use to configure the *AddressSpace* of an OPC UA *Server* through OPC UA *Node* Management *Service* Set.

Table 35 - Node Management Client Facet

Group		Conformance Unit / Profile Title	Optional
Address Spa	ace Model	Address Space Client Base	False
Node	Management	Node Management Client	False
Services			

6.5.15 Redundancy Switch Client Facet

Table 36 describes the details of the Redundancy Switch *Client* Facet *Profile*. This *Profile* specifies the ability to monitor the redundancy status in non-transparent redundancy *Servers* and switch to the backup *Server* when they recognize a change in status.

Table 36 – Redundancy Switch Client Facet

Group	Conformance Unit / Profile Title	Optional
Base Information	Base Info Client RefreshData Mathod	True
Redundancy	Redundancy Client Switch	False

6.5.16 Redundant Client Facet

Table 37 describes the details of the Redundant *Client* Facet *Profile*. This *Profile* defines *ConformanceUnits* that redundant *Clients* have to provide.

Table 37 - Redundant Client Facet

Group	Conformance Unit / Profile Title	Optional
Base Information	Base Info Client RefreshData Mathod	True
Redundancy	Redundancy Client	False

6.5.17 SecurityPolicy - Basic128Rsa15

Table 38 describes the details of the SecurityPolicy - Basic128Rsa15 *Profile*. This *Profile* defines a Security Policy for medium to highly secure configurations.

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Table 38 - SecurityPolicy - Basic128Rsa15

Group	Conformance Unit / Profile Title	Optional
Security	Security Basic 128Rsa15	False
Security	Security Certificate Validation	False

6.5.18 SecurityPolicy - Basic256

Table 39 describes the details of the SecurityPolicy - Basic256 *Profile*. This *Profile* defines a Security Policy for configurations with high security needs.

Table 39 - SecurityPolicy - Basic256

Group	Conformance Unit / Profile Title	Optional
Security	Security Basic 256	False
Security	Security Certificate Validation	False

6.5.19 SecurityPolicy - None

Table 40 describes the details of the SecurityPolicy - None *Profile*. This *Profile* defines a policy used for configurations with lowest security needs. This Security Policy can affect the behaviour of the CreateSession and Activate *Session* services. It also results in a SecureChannel which has no Channel Security

Table 40 - SecurityPolicy - None

Group	Conformance Unit / Profile Title	Optional
Security	Security None	False
Security	Security None CreateSession ActivateSession	False

6.5.20 Auditing Server Facet

Table 41 describes the details of the Auditing Server Facet Profile. This Profile specifies Auditing functionality for Servers.

Table 41 - Auditing Server Facet

Group	Conformance Unit / Profile Title	Optional
Auditing	Auditing Base	False

6.5.21 Base Server Behaviour Facet

Table 42 describes the details of the Base *Server* Behaviour Facet *Profile*. This *Profile* specifies behavioural aspects that *Servers* shall follow for best use by operators and administrators. These aspects can only be tested in a test lab. They are best practice guidelines.

Table 42 - Base Server Behaviour Facet

Group	Conformance Unit / Profile Title	Optional
Discovery Services	Discovery Configuration	False
Protocol and Encoding	Protocol Configuration	False
Security	Security Administration	False
Security	Security Administration - XML Schema	False
Security	Security Certificate Administration	False

6.5.22 Basic DataChange Subscription Server Facet

Table 43 describes the details of the Basic DataChange Subscription Server Facet Profile. This Profile includes the ConformanceUnits when supporting basic DataChange Subscription functionality.

Table 43 - Basic DataChange Subscription Server Facet

Group	Conformance Unit / Profile Title	Optional
Monitored Item Services	Monitor Basic	False
Monitored Item Services	Monitor Items 100	False
Monitored Item Services	Monitor QueueSize_1	False
Monitored Item Services	Monitor Triggering	False
Monitored Item Services	Monitor Value Change	False
Subscription Services	Subscription Basic	False
Subscription Services	Subscription Minimum 02	False
Subscription Services	Subscription Publish Discard Policy	False
Subscription Services	Subscription Publish Min 05	False

6.5.23 Client Redundancy Facet

Table 44 describes the details of the *Client* Redundancy Facet *Profile*. This *Profile* includes the *ConformanceUnits* to support redundant *Clients*.

Table 44 - Client Redundancy Facet

Group	Conformance Unit / Profile Title	Optional
Subscription Services	Subscription Transfer	False

6.5.24 ComplexType Server Facet

Table 45 describes the details of the ComplexType Server Facet Profile. This Profile defines a set of ConformanceUnits that define Server specific handling of Complex Types.

Table 45 - ComplexType Server Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Complex Datatypes	False
Attribute Services	Attribute Alternate Encoding	True
Attribute Services	Attribute Read Complex	False
Attribute Services	Attribute Write Complex	False
Monitored Item Services	Monitor Alternate Encoding	True

6.5.25 Core Server Facet

Table 46 describes the details of the Core Server Facet Profile. This Profile defines core functionality for all Servers.

This facet identifies Discovery, Session, AddressSpace and Viewing capabilities.

Table 46 – Core Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	SecurityPolicy - None	False
Address Space Model	Address Space Base	False
Attribute Services	Attribute Read	False

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Write Index	True
Attribute Services	Attribute Write Values	True
Base Information	Base Info Core Structure	False
Discovery Services	Discovery Find Servers Self	False
Discovery Services	Discovery Get Endpoints	False
Security	Security Administration	False
Security	Security User Name Password	False
Security	Security User X509	False
Session Services	Session Base	False
Session Services	Session General Service Behaviour	False
Session Services	Session Minimum 10 Parallel	False
View Services	View Basic	False
View Services	View Minimum Continuation Point 01	False
View Services	View RegisterNodes	False
View Services	View TranslateBrowsePath	False

6.5.26 DataAccess Server Facet

Table 47 describes the details of the DataAccess Server Facet Profile. This Profile includes ConformanceUnits that define the handling of the DataAccess Information Model.

Table 47 - DataAccess Server Facet

Group	Conformance Unit / Profile Title	Optional
Data Access	Data Access Analog	True
Data Access	Data Access DataItems	False
Data Access	Data Access MultiState	True
Data Access	Data Access PercentDeadBand	True
Data Access	Data Access Semantic Changes	True
Data Access	Data Access TwoState	True

6.5.27 Embedded UA Server

Table 48 describes the details of the Embedded UA Server Profile. This Profile focus is for devices with more than 50 Megabyte memory and with CPUs comparable to Intel 486 processor.

Table 48 - Embedded UA Server

Group	Conformance Unit / Profile Title	Optional
Profile	Basic DataChange Subscription Server Facet	False
Profile	Low End Embedded Device Server	False
Profile	SecurityPolicy - Basic128Rsa15	False
Base Information	Base Info Type System	False

6.5.28 Enhanced DataChange Subscription Server Facet

Table 49 describes the details of the Enhanced DataChange Subscription Server Facet Profile. This Profile defines the typical DataChange Subscription functionality to be provided by OPC UA Servers.

Table 49 - Enhanced DataChange Subscription Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Basic DataChange Subscription Server Facet	False
Monitored Item Services	Monitor Items 500	False

Group	Conformance Unit / Profile Title	Optional
Monitored Item Services	Monitor MinQueueSize_02	False
Subscription Services	Subscription Minimum 05	False
Subscription Services	Subscription Publish Min 10	False

6.5.29 Event Subscription Server Facet

Table 50 describes the details of the *Event Subscription Server* Facet *Profile*. This *Profile* defines the functionality when supporting *Subscription* functionality for Events.

Table 50 - Event Subscription Server Facet

Group	Conformance Unit / Profile Title	Optional
Monitored Item Services	Monitor Basic	False
Monitored Item Services	Monitor Complex Event Filter	True
Monitored Item Services	Monitor Events	False
Monitored Item Services	Monitor Items 10	False
Monitored Item Services	Monitor QueueSize_1	False
Subscription Services	Subscription Basic	False
Subscription Services	Subscription Minimum 02	False
Subscription Services	Subscription Publish Discard Policy	False
Subscription Services	Subscription Publish Min 05	False

6.5.30 Low End Embedded Device Server

Table 51 describes the details of the Low End Embedded Device Server Profile. This Profile defines a Profile which is appropriate only for small devices with limited resources, including the inability to include a security infrastructure. It is expected that most applications will use gateways that enhance the functionality of these Server.....

Table 51 – Low End Embedded Device Server

Group	Conformance Unit / Profile Title	Optional
Profile	Core Server Facet	False
Profile	UA-TCP UA-SC UA Binary	False

6.5.31 Method Server Facet

Table 52 describes the details of the *Method Server* Facet *Profile*. This *Profile* specifies the *Method* handling functionality for *Servers*.

Table 52 - Method Server Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Method	False
Method Services	Method Call	False

6.5.32 Node Management Server Facet

Table 53 describes the details of the *Node* Management *Server* Facet *Profile*. This *Profile* includes the *ConformanceUnits* when supporting configuration of the *AddressSpace* through the OPC UA *Node* Management *Service* Set.

Table 53 - Node Management Server Facet

Group Conformance Unit / Profile 1	itle Optional
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Group		Conformance Unit / Profile Title	Optional
Address Spa	ce Model	Address Space Base	False
Base Informa	ation	Base Info Model Change	False
Base Informa	ation	Base Info Type System	False
Node	Management	Node Management Add Node	False
Services			
Node	Management	Node Management Add Ref	False
Services			
Node	Management	Node Management Delete Node	False
Services			
Node	Management	Node Management Delete Ref	False
Services	-		

6.5.33 Redundancy Transparent Server Facet

Table 54 describes the details of the Redundancy Transparent Server Facet Profile. This Profile includes the ConformanceUnits for Servers with transparent redundancy.

Table 54 – Redundancy Transparent Server Facet

Group	Conformance Unit / Profile Title	Optional
Redundancy	Redundancy Server Transparent	False

6.5.34 Redundancy Visible Server Facet

Table 55 describes the details of the Redundancy Visible Server Facet Profile. This Profile includes the ConformanceUnits for visible redundancy.

Table 55 - Redundancy Visible Server Facet

Group	Conformance Unit / Profile Title	Optional
Redundancy	Redundancy Server	False

6.5.35 Standard UA Server

Table 56 describes the details of the Standard UA Server Profile. This Profile defines the most common functionality for OPC UA Servers.

Table 56 - Standard UA Server

Group	Conformance Unit / Profile Title	Optional
Profile	Embedded UA Server	False
Profile	Enhanced DataChange Subscription Server Facet	False
Attribute Services	Attribute Write StatusCode & TimeStamp	True
Base Information	Base Info Diagnostics	False
Discovery Services	Discovery Register	False
Session Services	Session Cancel	False
Session Services	Session Minimum 50 Parallel	False
View Services	View Minimum Continuation Point 05	False

6.5.36 SOAP-HTTP WS-SC UA Binary

Table 57 describes the details of the SOAP-HTTP WS-SC UA Binary *Profile*. This *Profile* defines a Stack *Profile* which represents the combination of HTTP/SOAP 1.2, WS-SecureConversation 1.3 and UA Binary 1.0 encoding.

Table 57 - SOAP-HTTP WS-SC UA Binary

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol Soap Binary WS Security	False

6.5.37 SOAP-HTTP WS-SC UA XML

Table 58 describes the details of the SOAP-HTTP WS-SC UA XML *Profile*. This *Profile* defines a Stack *Profile* which represents the combination of HTTP/SOAP 1.2, WS-SecureConversation 1.3 and UA XML 1.0 encoding.

Table 58 - SOAP-HTTP WS-SC UA XML

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol Soap Xml WS Security	False

6.5.38 SOAP-HTTP WS-SC UA XML-UA Binary

Table 59 describes the details of the SOAP-HTTP WS-SC UA XML-UA Binary *Profile*. This *Profile* defines a Stack *Profile* represents the combination of HTTP/SOAP 1.2, WS-SecureConversation 1.3 and UA XML 1.0 and UA Binary 1.0 encodings.

Table 59 - SOAP-HTTP WS-SC UA XML-UA Binary

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol Soap Binary WS Security	False
Protocol and Encoding	Protocol Soap Xml WS Security	False

6.5.39 UA-TCP UA-SC UA Binary

Table 60 describes the details of the UA-TCP UA-SC UA Binary *Profile*. This *Profile* defines a Stack *Profile* which represents the combination of UA TCP 1.0, UA SecureConversation 1.0 and UA Binary 1.0 encoding.

Table 60 - UA-TCP UA-SC UA Binary

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol TCP Binary UA Security	False