Extremely Low Coverage Genomic Rearrangement Analysis with GenCHORD

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ABSTRACT

We present *GenCHORD*, a specialised step-detection algorithm suitable for detecting large-scale copy number variations from the coverage data of a sequenced, aligned genome. This algorithm is specifically tuned for detecting Structural Variations which arise during chromothripsis-induced cancer, and so permits a high degree of data reduction, whilst preserving pertinent features for cancer classification. We describe the underlying statistical and algorithmic model, demonstrate the ability of the to preserve, compress and encode information such that a simple Machine Learning model can classify and identify subtypes of Devil Facial Tumour Disease, a cancer affecting *Sarcophilus harrisii*, the Tasmanian Devil, and discuss how this tool may be used in future.

1 INTRODUCTION

This intro (& abstract) is currently identical to the original paper. Will rewrite at a later date to avoid self-plagiarism!

The term Genomic Rearrangements refer to large-scale Structural Variations (SVs) amongst individual genomes; including large-scale deletion, insertion, duplication and translocation of megabase-length sequences of DNA, and often lead to genetic disorders (when present in the germ cells), or cancer (when arising from somatic mutations). When a Genomically Rearranged sample is sequenced and aligned against a reference the true sequence's novel adjacencies can be obscured, especially when the size of the rearranged regions are significantly larger than the read length. This is because the majority of reads will still align to the reference despite the fact that their spatial location within the genome has been altered: only those reads which bridge the unusual adjacencies contain the information that a rearrangement has occurred.

Reconstructing these rearrangements requires complex analysis, such as a haplotype phased-assembly, which is not only computationally costly, but requires the DNA be sequenced to a very high coverage, as well techniques such as Hi-C (Ijaz et al. 2024). We should like to be able to identify, analyse and classify the presence of genomic rearrangements in a simpler fashion.

To do this, we leverage the knowledge that chromothripsis-induced rearrangements are often associated with highly variable copynumber variations (Ijaz et al. 2024; Stephens et al. 2011). Where portions of the genome have been duplicated or deleted (generically termed 'gains' and 'losses'), the erroneous alignment leads to variations in the base-coverage reported by the alignment tool, as demonstrated in Figure 1. The locations of regions which have undergone gains and losses corresponds strongly to the edges of the regions which have been rearranged.

Many tools to analyse the copy-number variations exist, however in this work we modify our existing tool, **Gen**ome Coverage Harmonic Optimiser, Reducer and Denoiser (*GenCHORD*), a novel tool tailored for this problem which leverages the power of a Bayesian hypothesis testing to infer a statistically robust denoised copy-number analysis, which is suitable for encoding into a Neural Network, and

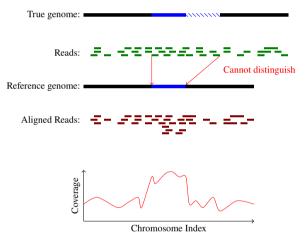


Figure 1. A depiction of duplication leading to a higher coverage. The blue hatched region is duplicated with respect to the reference, but since the reads are much shorter than the duplicated region, they manifest as a higher coverage rate.

hence permit accurate detection, analysis, and classification of the genomic rearrangement.

2 GENCHORD ALGORITHM

GenCHORD is a specialised step-detection algorithm designed to leverage strong biological priors to gain a statistically meaningful dissection of the coverage variation in a genome. We presented this algorithm and demonstrated its use on high quality sequencing data, allowing us to investigate and accurately classify cancer subtypes in Tasmanian Devils (Fraser-Govil et al. 2024).

In this work, we modify the algorithm to enable it to function even on extremely low coverage datasets, as well as both long and short read platforms.

We shall present here an extremely brief analysis of the algorithm.

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The full mathematical and statistical discussion can be found in appendices A, and the algorithmic concerns are discussed in appendix B.

2.1 The Data

Throughout this work we shall assume that our data is the coverage (per-base sequencing depth) extracted from an aligned genome, *GenCHORD* itself can handle data in a number of different forms, including BAM or CRAM files (via an internal call to *samtools*), as well as basic text files.

The raw data takes the form of an ordered sequence of integers, $D = \{k_1, k_2, k_3, ...\}$, where k_i is a nonnegative integer corresponding to the coverage reported by the ith base in the sequence.

We shall have C such sequences, corresponding to the C distinct chromosomes present in the sample. Each sequence is of length N_C (the length of the c^{th} chromosome). Aside from the global parameter inference (appendix B1), these sequences are analysed independently since even in a healthy sample, per-chromosome coverage can vary.

2.2 Data Aggregation

Whilst the analysis we shall present here theoretically functions on the raw, unprocessed coverage sequences, at low coverages and with noisy sequencing technologies (such as Hi-C) it can become statistically challenging to disentangle the effects of genuine genomic variation from the noise inherent in the pipeline.

For this reason, it is in fact more beneficial for us to work with *S-sums of k*. That is, we transform our data such that:

$$s_i = \sum_{j=i \times S}^{(i+1)S} k_j \tag{1}$$

This is - very - subtly distinct from taking the mean of the observed coverage in a window of width S; since it is clearly true that $\bar{k}_i = \frac{S_i}{S}$. The distinction is meaningful because the mean is (often) treated as a random *continuous* variable. In fact, it is no such thing; it is discretised into increments of $\frac{1}{S}$ (if S=2, it would be clear that \bar{k} is a multiple of 0.5). In short, sums of random discrete variables still retain many desirable qualities which we leverage to our advantage (appendix A1.1).

Of concern for our statistical assumption is the statistical correlation between subsequent bases: if base i has coverage k, then since the read length is $\mathcal{R} \gg 1$, even with short read technologies, then we can be fairly certain that base i+1 will also have coverage k. Statistical variations will only likely be detected on scales $G \approx \mathcal{R}/C$, where C is the mean coverage of the genome.

We therefore recommend only incorporating every G^{th} datum into the sum, to avoid biasing the results due to this statistical dependence. If S were sufficiently large, then we would not need to take this precaution as the summation ends up 'averaging out' the bias; however we have a computational preference towards lower values of S. We therefore amend the previous equation to define our data as:

$$s_i = \sum_{j=1}^{S} k_{iSG+jG} \tag{2}$$

Fig. 2 shows the distribution of $\lfloor \frac{S_i}{S} \rfloor$ for a variety of different values of S and G. As expected, we see that when S > 100, the structure of the distribution is independent of the product SG (the S = 10,000, G = 1 curve is visually identical to the S = 100, G = 100 one, for example) – this demonstrates our earlier point, that

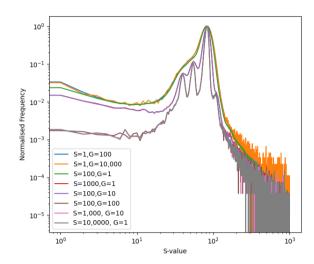


Figure 2. The difference between the raw data and aggregated data for a cancer-bearing Tasmanian Devil genome (Devil-1545T2), demonstrating the prominence of the peaks at higher aggregate values (S) and higher correlation-skipping (G). The S-value is equal to $\lfloor \frac{\sum_{i=1}^{S} k_{i} \times G}{S} \rfloor$, and hence equivalent (up to a binning) of the mean k observed. The y axis normalised such that the peak of every curve is at 1, for better comparison. Note that so long as S > 100, distributions with the same product $S \times G$ have the same pattern; indicating that we have achieved statistical independence.

increased summation is equivalent to omitting 'correlated points' at high enough scales. However, the computational cost of computing our probability distributions is linear in the maximum observed value of s_i , which is itself a linear function of S. We would therefore prefer a lower value of S, which means a higher value of G to achieve the same data-aggregation / amplification.

2.3 Harmonic Fitting

The most obvious solution to denoise the Coverage Data is to simply pass a smoothing kernel over the data, potentially in combination with a binning algorithm, and use that to infer the underlying mean function that the data is oscillating around. Smoothing in this fashion serves as a generic way to extract a smooth curve from the extremely noisy data present, however it fails us on a number of grounds. Most importantly, this method can act to bias and manipulate the data in unforeseen and undesirable ways. Anecdotally, we found many occasions where the severity of an inferred deletion or duplication could be manipulated by altering the analysis lengthscale.

As a toy example, consider the (integer) sequence (1,5,1,1). If we we to pass a mean-kernel over this data, we would compute the mean to be $\bar{k}=2$, which is entirely unrepresentative of the composite parts. A more involved statistical method is therefore required.

The algorithm we shall present here is, in essence is a form of *step detection*, a well known problem in signal processing. However, whilst there exist several out-of-the-box algorithms which might provide us with robust detections, we note that knowledge about the form of the data can be leveraged to provide a significantly more powerful and biologically meaningful inference.

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APPENDIX A: MATHEMATICAL THEORY

A1 Negative Binomial

The statistical model \mathcal{P} for the coverage k, is derived from the common assumption that the genome is sampled via a Poisson distribution with mean λ , but where there are biological and experimental errors which change λ , such that the model is the convolution of a Poisson distribution, and some 'error distribution', which encodes the degree to which λ varies. Maximal-entropy arguments imply that, barring any other knowledge, the Gamma distribution with mean $\mu > 0$ and variance $\sigma^2 > 0$ is the most general choice.

$$\mathcal{P} = \int_0^\infty \gamma(\lambda|\mu, \sigma) \text{Poisson}(k|\lambda) d\lambda$$
 (A1)

This integral is analytically computable, and results in the Negative Binomial, which has a well-known form:

$$NB(k;r,p) = \frac{\Gamma(k+r)}{k!\Gamma(r)} (1-p)^k p^r$$
(A2)

Here $\Gamma(x)$ is the usual Gamma function with the property $\Gamma(x+1)=x!$ when x is a nonnegative integer. The resulting distribution is similar to the Poisson distribution, but 'overdispersed', with a variance which is always greater than the equivalent Poisson's: $\sigma_{\rm NB}^2=\mu+\sigma^2$. The parameters r and p can be shown to be:

$$r(\mu, \sigma) = \frac{\mu^2}{\sigma^2}$$

$$p(\mu, \sigma) = \frac{\mu}{\mu + \sigma^2}$$
(A3)

Where we emphasise again that σ^2 is the variance of the perturbation population, not of the Negative Binomial itself. As such, σ^2 is constrained only to be positive.

A1.1 Sums of NB-Distributions

As noted in the main text, however, we are not working with the raw coverage values, but instead with sums of coverage values; $s_i = \sum_j^S k_j$. Conveniently, the Negative Binomial also has the desirable property that it is additive (Riley et al. 2006), which means that if $X \sim \mathrm{NB}(r,p)$ and $Y \sim \mathrm{NB}(s,p)$, then $X+Y \sim \mathrm{NB}(r+s,p)$. That is, the sum of independent Negative Binomial variables is itself distributed according to a Negative Binomial.

If k is distributed according to some NB $(r(\mu, \sigma), p(\mu, \sigma))$, then:

$$p(s|\mu,\sigma) = NB(s; S \times r(\mu,\sigma), p(\mu,\sigma))$$
(A4)

The full distribution of s can therefore be written as:

$$p(s|\mu,\sigma) = \frac{\Gamma(s+Sr)}{\Gamma(s+1)\Gamma(Sr)} (1-p)^s p^{Sr}$$
(A5)

The key value of note for our purposes is that although this scales the mean of the distribution (predictably) by S, the standard deviation only scales as \sqrt{S} ; the distribution therefore becomes more tightly peaked around the maximum. In the case where we are attempting to disentangle a distribution composed of multiple such overlapping distributions, this is a highly desirable property, as it will make the peaks more prominent. We see exactly this behaviour in Fig. 2.

A2 Local Model

The fundamental assumption of our theoretical model is that there is always an integer number of copies of a sequence, with that integer varying as the copy number varies. Each segment of the genome therefore has a coverage distribution with a mean qv, where q is the copy-number of that segment, and v is some global mean, per-homolog coverage (in analogy to music, we term v the 'fundamental frequency', and q values 'harmonics').

Our assertion is that, where large-scale insertions or deletions have occurred, q changes discontinuously. The statistical problem therefore reduces to inferring what q value the data has.

If we assume that the coverage is indeed distributed according to a Negative Binomial, then the probability of observing a coverage-sum s, given a known mean $\mu_q = q \nu$ and variance σ^2 , error rate ϵ and error function E(s) is simply:

$$p(s|q, \vec{\theta}) = \epsilon E(s) + (1 - \epsilon) \text{NB}(s|Sr(\mu_q, \sigma^2), p(\mu_q, \sigma^2))$$
 (A6)

Here $\vec{\theta}$ is compact notation for the parameters of the model: in this instance, $\vec{\theta} = (S, \nu, \sigma^2)$.

A2.1 Contamination

A crucial inclusion in the model is a means to infer *contamination*. Contamination in this sense is any confounding factor which means that q is not a perfect integer. In the simplest possible case, the sample is contaminated by a fraction η of normal somatic cells with copy number equal to the ploidy (\mathcal{D}) ; this would modify $q \to q + (\mathcal{D} - q)\eta$. The contamination value can then be provided or inferred directly.

In practice we found that more complex cancers (especially those where the cancer modified the Ploidy) were fit poorly by this model. We therefore opted for a more flexible approach, providing 'contamination parameters' for each harmonic:

$$q' = q + \delta_q$$

$$\mu_q = q' \nu$$
(A7)

The contaminated model is therefore:

$$p(s|q, \vec{\theta}) = \epsilon E(s) + (1 - \epsilon) \text{NB}(s|Sr_q, p_q)$$

$$r_q = \frac{\left(q + \delta - q^2\right)^2 v^2}{\sigma^2}$$

$$p_q = \frac{\left(q + \delta_q\right) v}{\left(q + \delta_q\right) v + \sigma^2}$$
(A8)

Although this model is more flexible, it loses the beneficial property that η - the contamination fraction - is a biologically meaningful parameter. If possible (i.e. in the case of simple cancers) we would like to recover this property. We achieve this by imposing the following 'contamination prior':

$$C(\{\delta_q\}, \eta) = -\mathfrak{p}_{\text{contamination}} \sum_{q} (\delta_q - (\mathcal{D} - q)\eta)^2$$

$$\frac{\partial C}{\partial \delta_q} = -2\mathfrak{p}_{\text{contamination}} (\delta_q - (\mathcal{D} - q)\eta)$$

$$\frac{\partial C}{\partial \eta} = 2\mathfrak{p}_{\text{contamination}} \sum_{q} (\mathcal{D} - q) (\delta_q - (\mathcal{D} - q)\eta)$$
(A9)

We retain an (approximate) estimate of the Contamination fraction; although it is now only a parameter which appears within the Prior.

A2.2 Bayes' Theorem

For each base i, the probability of observing coverage-sum s_i , given that the current harmonic is q is therefore $p(s_i|q,\vec{\theta})$. From Bayes' theorem, we can then invert this to find the probability $p(q|s_i,\vec{\theta})$; the probability that the harmonic is q given that we have observed s_i .

$$p(q|s_i, \vec{\theta}) = \mathcal{N}p(s_i|q, \vec{\theta}) \times \text{Prior}(q|\vec{\theta})$$
 (A10)

Here \mathcal{N} is a multiplicative constant that depends only on the quality of the data (it is the 'evidence'). The Prior is discussed in the next section; it is sufficient for now to note that it is in general non-separable and we must therefore consider the entire data in aggregate, rather than datapoint-by-datapoint. For a set of n data points:

$$p(\lbrace q \rbrace | \lbrace s_i \rbrace, \vec{\theta}) = \mathcal{N}^n \left(\prod_{i}^n p(s_i | q, \vec{\theta}) \right) \text{Prior}(\lbrace q \rbrace | \vec{\theta})$$
(A11)

In logarithms:

$$\mathcal{L}(\{q\}) = \operatorname{constant} + \operatorname{LogPrior}(\{q\}) + \sum_{i} \log \left(p(s_i | q, \vec{\theta}) \right)$$
 (A12)

The problem then reduces to optimising this function in order to maximise \mathcal{L} , and therefore find the most likely set of $\{q\}$ which describes our data.

A2.3 The Prior

The *Prior* is the function enumerating our beliefs about the structure of the output before we looked at the data. For the biological problem at hand, we know that our priors should be:

- (i) Consecutive values of q_i should be similar
- (ii) q_i cannot alternate values rapidly. Small-scale insertions and deletions would have been resolved by the aligner.
 - (iii) Most of the chromosome c should be at $q_i = \mathcal{D}_c$ (equal to the ploidy) Therefore, we propose:

 $LogPrior({q}) = ln(Prior({q}))$

$$= \underbrace{\sum_{i} \varphi(q_{i}, \mathcal{D})}_{\text{ploidy}} \mathfrak{p}_{\text{ploidy}} + \underbrace{\sum_{i} \varphi(q_{i}, q_{i-1})}_{\text{similarity}} \mathfrak{p}_{\text{change}}$$

$$+ \underbrace{\sum_{i=L} \sum_{j=i-L}^{i-1} \chi(q_{j}, q_{i-1})}_{\text{gap size}} \mathfrak{p}_{\infty}$$
(A13)

$$\varphi(a,b) = (1 - \delta_{ab}) = \begin{cases} 0 & a = b \\ 1 & \text{else} \end{cases}$$

The terms in the prior therefore act (in order), to penalise every base which is not at the expected diploid level by an amount $\mathfrak{p}_{\text{ploidy}}$, penalise every jump between dissimilar q by an amount $\mathfrak{p}_{\text{change}}$ and to penalise jumps which occur within a distance L of another jump by an amount \mathfrak{p}_{∞} , which is a number $\approx -\infty$, but which has the property $0 \times \mathfrak{p}_{\infty} = 0$ – rather than a penalty, this therefore acts as a *forbiddance*, discarding models with such jumps.

This final term of the prior – the forbiddance – is encoded in the structure of the algorithm (such models are never considered, rather than being considered and then removed).

The total prior also includes any other priors we have imposed; for example Eq. (A9):

$$LogPrior(\vec{\theta}) = LogPrior(\{q\}) + C(\{\delta_q\})$$
(A14)

A3 Global Model

The 'local' model discussed above is sufficient to infer the values of q each base is to be assigned. However, it relies on a large number of model parameters: the fundamental frequency v, the variance σ^2 , the contamination $s\{\delta_q\}$, the error rate ϵ and the components of the residual function E(s). Before we can assign $\{q\}$ we must infer these values. We could place them

into the same inference routine as $\{q\}$, except that this requires genome-scale computations, and so rapid iteration and optimisation is not feasible.

Instead, we aggregate the data into a histogram; rather than worrying about where each q is, we instead attempt to compute how many there are.

Taking our data $D = \{s_1, s_2, \ldots\}$, we transform it into a vector \vec{N} such that N_r is the number of times that s = r occurs in the data. For convenience, we also truncate the data at some maximum value, M. This prevents wasting computation time on extreme outliers. M can usually be selected by ensuring that 99% of the data lies below the value.

The Global Model differs from the Local Model insofar as, rather than trying to compute where two populations are separated, we model the genome as a mixture model of all the harmonics given in Eq. (A8):

$$p_{\text{global}}(s|\vec{\theta}) = \sum_{q=0}^{Q} w_q p(s|q, \vec{\theta})$$

$$= \epsilon E(s) + (1 - \epsilon) \sum_{q=0}^{Q} w_q \text{NB}(s|Sr_q, p_q)$$
(A15)

Here w_q are the weights of each harmonic in the mixture model; these are (approximately) equal to the fraction of the genome assigned to each q. However, unlike in the local model, we have no idea where those q values are. The w_q are interpreted as probabilities (i.e. the probability of belonging to members of the mixture), and thus are constrained such that $w_q \geq 0$ and $\sum_q w_q = 1$.

The truncation up to M necessitates a re-normalisation of the model to ensure that $\sum_{s}^{M} p_{s} = 1$

$$\bar{p}_s(\vec{\theta}) = \frac{p_{\text{global}}(s|\vec{\theta})}{\sum_{r=0}^{M} p_{\text{global}}(r|\vec{\theta})}$$
(A16)

It might seem obvious that we could omit the normalisation and simply sum to 'large enough' M, but the action of log is to amplify tiny differences in the tail of the distribution, and so omitting this can lead to non-trivial errors. With this normalisation, we can use Bayes' theorem to set up a likelihood:

$$\mathcal{L}_{\text{global}}(\vec{\theta}) = \sum_{s=0}^{M} N_s \log \left(\bar{p}_s(\vec{\theta}) \right) + \text{LogPrior}(\vec{\theta})$$
(A17)

This then has the property that:

$$\frac{\partial \mathcal{L}_{\text{global}}}{\partial \vec{\theta}} = \sum_{s}^{M} \left(\frac{N_{s}}{\bar{p}_{s}} - \frac{N_{\text{total}}}{\sum_{r} p_{\text{global}}(r)} \right) \frac{\partial p_{\text{global}}(s, \vec{\theta})}{\partial \vec{\theta}}$$
(A18)

A3.1 The Derivatives

With Eq. (A18), it is clear that when optimising $\mathcal{L}_{\text{global}}$ (and hence determining the parameters for the local model), we need to be able to compute the derivatives of p_{global} (Eq. (A15)) with respect to the model parameters. This is not a particularly conceptually challenging task, but due to the number of components of the model, it can get rather convoluted.

We begin with introducing a number of auxiliary variables. These enable us to perform an unconstrained optimisation, whilst ensuring the constraints of our parameters are met:

$$v = \exp(x)$$
 Frequency always positive (A19)

$$\sigma^2 = \exp(y)$$
 Variance always positive (A20)

$$w_q = \frac{\exp(z_q)}{\sum_r \exp(z_r)}$$
 w_q always positive and sum to 1 (A21)

$$\epsilon = \frac{e}{1 + \exp(-\phi)}$$
 Error rate always between 0 and e (A22)

$$\delta_{q} = d_{-} + \frac{d_{+} - d_{-}}{1 + \exp(-\psi_{q})}$$
 Contamination always between d_{-} and d_{+}
(A23)

The derivatives are (with $B_s^q = NB(s|Sr_q, p_q)$ for convenience)

Intermediary Values

$$\begin{split} \frac{\partial B_{s}^{q}}{\partial p_{q}} &= B_{s}^{q} \left(\frac{\mu_{q} S - s}{(1 - p_{q})} \right) \\ \frac{\partial B_{s}^{q}}{\partial r_{q}} &= B_{s}^{q} S \left(F(s + S r_{q}) - F(S r_{q}) + \log(p_{q}) \right) = B_{s}^{q} S \gamma_{s}^{q} \end{split} \tag{A24}$$

(F(x)) is the (first-order) digamma function.)

qth Mean

$$\begin{split} \frac{\partial p_{\text{global}}(s)}{\partial \mu_{q}} &= (1 - \epsilon) w_{q} \left(\frac{2r_{q}}{\mu_{q}} \frac{\partial B_{s}^{q}}{\partial r_{q}} + \frac{p_{q} (1 - p_{q})}{m u_{q}} \frac{\partial B_{s}^{q}}{\partial p_{q}} \right) \\ &= \frac{(1 - \epsilon)}{\mu_{q}} w_{q} B_{s}^{q} \left(2S r_{q} \gamma_{s}^{q} + p_{q} \left(\mu_{q} S - s \right) \right) \end{split} \tag{A25}$$

Fundamental Frequency

$$\begin{split} \frac{\partial p_{\text{global}}(s)}{\partial x} &= \sum_{q} \frac{\partial \mu_{q}}{\partial x} \frac{\partial p_{\text{global}}(s)}{\partial \mu_{q}} \\ &= (1 - \epsilon) \sum_{q} w_{q} B_{s}^{q} \left(2S r_{q} \gamma_{s}^{q} + p_{q} \left(\mu_{q} S - s \right) \right) \end{split} \tag{A26}$$

Variance

$$\begin{split} \frac{\partial p_{\text{global}}(s)}{\partial y} &= \sigma^2 (1 - \epsilon) \sum_{q} w_q \left(-\frac{r_q}{\sigma^2} \frac{\partial B_s^q}{\partial r_q} - \frac{p^2}{\mu} \frac{\partial B_s^q}{\partial p_q} \right) \\ &= -(1 - \epsilon) \sum_{q} w_q B_s^q \left(S r_q \gamma_s^q + p_q \left(\mu_q S - s \right) \right) \end{split} \tag{A27}$$

Contamination

$$\frac{\partial p_{\text{global}}(s)}{\partial \psi_q} = \frac{\mathrm{d}\,\delta_q}{\mathrm{d}\,\psi_q} \frac{\partial \mu_q}{\partial \delta_q} \frac{\partial p_{\text{global}}(s)}{\partial \mu_q}
= (1 - \epsilon) w_q B_s^q \left(2 S r_q \gamma_s^q + p_q \left(\mu_q S - s\right)\right)
\times \frac{(\delta_q - d_-)(d_+ - \delta_q)}{(d_+ - d_-)(q + \delta_q)}$$
(A28)

Weights

$$\frac{\partial p_{\text{global}}(s)}{\partial z_q} = w_q \left(B_s^q - \sum_r w_r B_r^q \right) \tag{A29}$$

Error Rate

$$\frac{\partial p_{\text{global}}(s)}{\partial \phi} = \epsilon \left(1 - \frac{\epsilon}{e} \right) \left(E(s) - \sum_{q}^{Q} w_q B_s^q \right) \tag{A30}$$

APPENDIX B: GENCHORD ALGORITHM

B1 Parameter Estimation