### Striving for Simplicity: The All Convolutional Net

Group 16: Wei-Tse Yang(5033292), Sunwei Wang(4345967), and Qingyuan Cao(5065232) 20/4/2020

In this notebook, we try to reproduce the TABLE 3 in the <u>original paper</u>. The source code of one of the procedure on Google Colab can be found in <u>Github</u>. **We adopt the original training procedure and characteristics** the models from scratch on Pytorch.

#### **Brief Introduction**

The paper shows that replacing the max-pooling with the convolutional with increased strides can in it by training models with max-pooling, models removing max-pooling, and models replacing max-poshow the models replacing max-pooling with convolutional layers with strides generally have better preparation as follows. The authors tested 12 networks by designing 3 model bases and 3 branches

#### Base: Model A, Model B, and Model C

Since the design of convolutional layers would influence the performance, the authors test three more **Model B** uses the 5x5 strides but also adds one convolutional layer with 1x1 strides after that. **Mode** strides.

Table 1: The three base networks used for classification on CIFAR-10 and CIFAR-100.

Model				
A	В	С		
	Input $32 \times 32$ RGB image	;		
$5 \times 5$ conv. 96 ReLU	$5 \times 5$ conv. 96 ReLU	$3 \times 3$ conv. 96 ReLU		
	$1 \times 1$ conv. 96 ReLU	$3 \times 3$ conv. 96 ReLU		
	$3 \times 3$ max-pooling stride 2	2		
$5 \times 5$ conv. 192 ReLU	$5 \times 5$ conv. 192 ReLU	$3 \times 3$ conv. 192 ReLU		
	$1 \times 1$ conv. 192 ReLU	$3 \times 3$ conv. 192 ReLU		
	$3 \times 3$ max-pooling stride 2	2		
	$3 \times 3$ conv. 192 ReLU			
$1 \times 1$ conv. $192$ ReLU				
$1 \times 1$ conv. $10$ ReLU				
global averaging over $6 \times 6$ spatial dimensions				
10 or 100-way softmax				

### Branch: Model, Strided-CNN, ConvPool-CNN, and ALL-CNN

Each model base has one original model and thee branches. "Model" is the model with max-pooling. max-pooling. "All-CNN" is the model replacing max-pooling with convolutional strides. The better pe more parameters than "Model" and "Strided-CNN". To solve it, "ConvPool-CNN" is proposed. "ConvPo and one more convolutional layer before the pooling. "ConvPool-CNN" should have the same number "All-CNN" has a better performance than "ConvPool-CNN", we can prove the better performance on "parameters. We show architecture with the base of model C in the following image.

#### Model

Strided-CNN-C	ConvPool-CNN-C Input 32 × 32 RGB image	All-CNN-C
$3 \times 3$ conv. 96 ReLU	$3 \times 3$ conv. 96 ReLU	$3 \times 3$ conv. 96 ReLU
$3 \times 3$ conv. 96 ReLU	$3 \times 3$ conv. 96 ReLU	$3 \times 3$ conv. 96 ReLU
with stride $r=2$	$3 \times 3$ conv. 96 ReLU	
	$3 \times 3$ max-pooling stride 2	$3 \times 3$ conv. 96 ReLU
		with stride $r=2$
$3 \times 3$ conv. 192 ReLU	$3 \times 3$ conv. 192 ReLU	$3 \times 3$ conv. 192 ReLU
$3 \times 3$ conv. 192 ReLU	$3 \times 3$ conv. 192 ReLU	$3 \times 3$ conv. 192 ReLU
with stride $r=2$	$3 \times 3$ conv. 192 ReLU	
	$3 \times 3$ max-pooling stride 2	$3 \times 3$ conv. 192 ReLU
		with stride $r=2$

# **Experiment Setup**

All 12 networks are trained on the CIFAR-10 with the stochastic gradient descent with a fixed momer rate  $\gamma$  is chosen from the set  $\in$  [0.25, 0.1, 0.05, 0.01]. It is also scheduled by multiplying with a fixed f The paper only presents the best performance among all learning rates. Based on the source code, t CIFAR-10 test set. In other words, the source code did not use the validation for hyper-parameter tu

## Reproduction Results

Our reproduction results are different from the paper as shown in the following table. First, we perceil our reproduction, which is around 5~7%. Secondly, we obtain the same ranking in **Model A**, but we fall and **Model C**. This is because Model B and Model C are difficult to converge than Model A. Also, **ALL** the right place. During the training, the first three learning rates seem too large for the models. There rate=0.001 and a longer epoch=400 in **ALL-CNN-B**, where we add the \* mark. Unfortunately, the models are reproduction results are different from the paper as shown in the following table. First, we perceive our reproduction reproduction are different from the paper as shown in the following table. First, we perceive our reproduction reproduction reproduction are different from the paper as shown in the following table. First, we perceive our reproduction reproduction

Model	Error Rate of Paper	Error Rate of Ours
Model A	12.47%	19.27%
Strided-CNN-A	13.46%	20.27%
ConvPool-CNN-A	10.21%	15.46%
ALL-CNN-A	10.30%	15.60%
Model B	10.20%	17.01%
Strided-CNN-B	10.98%	23.20%
ConvPool-CNN-B	9.33%	18.22%
ALL-CNN-B	9.10%	*29.48%
Model C	9.74%	13.07%
Strided-CNN-C	10.19%	15.49%
ConvPool-CNN-C	9.31%	14.39%
ALL-CNN-C	9.08%	17.89%

<sup>#</sup> The example of training procedure

<sup>#</sup> Choose the model A

```
# Create the dataset
training.createDataset()
# Choose the branch: All-CNN
training.modifiedModel=[False,False,False,True]
# Start Training
training.Procedure()
```

#### Valudation

Since the source code did not use the validation set for hyper-parameters tuning, we conduct the val training data to create the validation set. We show the results in the following table. The performance from the counterpart on the validation set. However, if we compare the test error with the original test generally higher. The result reveals that the models might be overestimated.

Model	Validation Set Error	Test Error	Original Test Error
Model A	21.20%	20.45%	19.27%
Strided-CNN-A	21.72%	21.38%	20.27%
ConvPool-CNN-A	15.93%	17.04%	15.46%
ALL-CNN-A	17.57%	18.57%	15.60%
Model B	16.65%	17.81%	17.01%
Strided-CNN-B	17.53%	18.68%	23.20%
ConvPool-CNN-B	17.53%	17.51%	18.22%
ALL-CNN-B	*24.53%	*25.78%	*29.48%
Model C	14.13%	14.87%	13.07%
Strided-CNN-C	20.89%	21.67%	15.49%
ConvPool-CNN-C	17.81%	17.60%	14.39%
ALL-CNN-C	20.41%	19.13%	17.89%

<sup>#</sup> Validation can be conducted by settting validation equal to True
training=Training(validation=True, bestModel allLR=True, baseModel=[True, False, False])

### DropOut and Batch Normalization

Dropout is a simple method to prevent neural networks from overfitting. In our all convolutional net p used to regularize all networks. Dropout was almost essential in all the state-of-the-art networks before normalization(BN). With the introduction of BN, it has shown its effectiveness and practicability in the evidence that when these two techniques are used combinedly in a network, the performance of the Szegedy, 2015). In our study, we will investigate the results using BN and Dropout independently and Dropout simultaneously.

#### BatchNorm only

Layer (type)	Output Shape	Param #
Conv2d-1	[-1, 96, 30, 30]	7,296
BatchNorm2d-2	[-1, 96, 30, 30]	192
ReLU-3	[-1, 96, 30, 30]	0
MaxPool2d-4	[-1, 96, 14, 14]	0
Conv2d-5	[-1, 192, 12, 12]	460,992
BatchNorm2d-6	[-1, 192, 12, 12]	384
ReLU-7	[-1, 192, 12, 12]	0
MaxPool2d-8	[-1, 192, 5, 5]	0
Conv2d-9	[-1, 192, 5, 5]	331,968
BatchNorm2d-10	[-1, 192, 5, 5]	384
ReLU-11	[-1, 192, 5, 5]	0
Conv2d-12	[-1, 192, 5, 5]	37,056
BatchNorm2d-13	[-1, 192, 5, 5]	384
ReLU-14	[-1, 192, 5, 5]	0
Conv2d-15	[-1, 10, 5, 5]	1,930
BatchNorm2d-16	[-1, 10, 5, 5]	20
ReLU-17	[-1, 10, 5, 5]	0
AdaptiveAvgPool2d-18	[-1, 10, 1, 1]	0
Flatten-19	[-1, 10]	0
=======================================		

Total params: 840,606

## BatchNorm with Dropout

model = Model(dropOut=True, BN=True)

Layer (type)	Output Shape	Param #
Dropout-1	[-1, 3, 32, 32]	0
Conv2d-2	[-1, 96, 30, 30]	7,296
BatchNorm2d-3	[-1, 96, 30, 30]	192
ReLU-4	[-1, 96, 30, 30]	0
MaxPool2d-5	[-1, 96, 14, 14]	0
Dropout-6	[-1, 96, 14, 14]	0
Conv2d-7	[-1, 192, 12, 12]	460,992
BatchNorm2d-8	[-1, 192, 12, 12]	384
ReLU-9	[-1, 192, 12, 12]	0
MaxPool2d-10	[-1, 192, 5, 5]	0
Dropout-11	[-1, 192, 5, 5]	0
Conv2d-12	[-1, 192, 5, 5]	331,968
BatchNorm2d-13	[-1, 192, 5, 5]	384
ReLU-14	[-1, 192, 5, 5]	0
Conv2d-15	[-1, 192, 5, 5]	37,056
BatchNorm2d-16	[-1, 192, 5, 5]	384
ReLU-17	[-1, 192, 5, 5]	0
Conv2d-18	[-1, 10, 5, 5]	1,930
BatchNorm2d-19	[-1, 10, 5, 5]	20
ReLU-20	[-1, 10, 5, 5]	0
AdaptiveAvgPool2d-21	[-1, 10, 1, 1]	0
Flatten-22	[-1, 10]	0
=======================================	=======================================	==========

Total params: 840,606

Layer (type)	Output Shape	Param #
Dropout-1	[-1, 3, 32, 32]	0
Conv2d-2	[-1, 96, 30, 30]	7,296
ReLU-3	[-1, 96, 30, 30]	0
MaxPool2d-4	[-1, 96, 14, 14]	0
Dropout-5	[-1, 96, 14, 14]	0
Conv2d-6	[-1, 192, 12, 12]	460,992
ReLU-7	[-1, 192, 12, 12]	0
MaxPool2d-8	[-1, 192, 5, 5]	0
Dropout-9	[-1, 192, 5, 5]	0
Conv2d-10	[-1, 192, 5, 5]	331,968
ReLU-11	[-1, 192, 5, 5]	0
Conv2d-12	[-1, 192, 5, 5]	37 <b>,</b> 056
ReLU-13	[-1, 192, 5, 5]	0
Conv2d-14	[-1, 10, 5, 5]	1,930
ReLU-15	[-1, 10, 5, 5]	0
AdaptiveAvgPool2d-16	[-1, 10, 1, 1]	0
Flatten-17	[-1, 10]	0

Total params: 839,242

### Without using BatchNorm or Dropout

model = Model(dropOut=False, BN=False)

Layer (type)	Output Shape	Param #
Conv2d-1	[-1, 96, 30, 30]	7,296
ReLU-2	[-1, 96, 30, 30]	0
MaxPool2d-3	[-1, 96, 14, 14]	0
Conv2d-4	[-1, 192, 12, 12]	460,992
ReLU-5	[-1, 192, 12, 12]	0
MaxPool2d-6	[-1, 192, 5, 5]	0
Conv2d-7	[-1, 192, 5, 5]	331,968
ReLU-8	[-1, 192, 5, 5]	0
Conv2d-9	[-1, 192, 5, 5]	37,056
ReLU-10	[-1, 192, 5, 5]	0
Conv2d-11	[-1, 10, 5, 5]	1,930
ReLU-12	[-1, 10, 5, 5]	0
AdaptiveAvgPool2d-13	[-1, 10, 1, 1]	0
Flatten-14	[-1, 10]	0
=======================================		

Total params: 839,242

We compare the results of different combination of these two techniques and generated the table be

Model	BN only	BN + Dropout	Dropout only	No BN no Dropout
Model A	no converge	no converge	19.27%	13.82%

As shown in the table above, we used general Model A to study the two techniques Batch Normaliza increases or decreases our model performance in this case. We implemented BN layer between two

ReLu activations. Dropout is also applied in between convolution layers, and it is used after the pooli used Dropout only, and we found out that using BN without Dropout or combining both BN with Drop Xiang, et al. (2019) stated in their papers that the worse performance may be the result of variance s lead the model to converge, giving the result of 19.27%. But the performance is still not as good as 1 might be due to that we did not have the time to tune hyperparameter, dropout rate, we only used the dropping out inputs and 50% otherwise.

### Optimizer

The default optimizer used in the paper and in our reproduction is Stochastic Gradient Descent (SGD different optimizers since Adaptive Moment Estimation (Adam) is one of the most popular optimizat instead of SGD optimizer in our model. However, the model did not converge with Adam under the species through in theory Adam combines the advantage of two SGD variants, RMSProp and AdaGrad, it setting to converge to an optimal solution.

SGD with momentum optimizer:

```
self.optimizer = optim.SGD(model.parameters(), lr=lr, momentum=0.9)
```

Adam optimizer:

```
self.optimizer = optim.Adam(self.model.parameters(), lr=self.lr)
```

The optimizer is the main approach nowadays for training neural networks with minimizing its error repopular optimizer in a lot of the project, the original paper still chose to use SGD with momentum. Due that Adam fails to converge to an optimal solution in our specific setting, SGD with momentum does due reasons that the original paper has already tuned the hyperparameters extensively for SGD optime set  $\in$  [0.25, 0.1, 0.05, 0.01], and used the momentum 0.9. We, on the other hand, used the same lewithout extensively tuning them.

### Summary

This project is a reproduction work of one table in the paper "Striving for Simplicity: The All Convoluti four different kinds of branches. Results show that model A has a good consistency compared with C differ. The influence of dropout and batch normalization to the results are also analyzed with sever normalization only or combining batch normalization with dropout will not let the model converge.

#### Discussion

From our results we obtained, All-CNN models performed much worse than the ConvPool and base r we tried to use the validation, All-CNN models cannot converge to an optimal solution for model A ar learning rate in the original paper will not let most models converge, so we decided to drop it and late 0.001, we found out that smaller learning rates might not guarantee the fast converge, but it will generate

## **Further Reading**

If you want to see more reproduction projects and even another researches on the same paper, pleas

### Reference

Springenberg, Jost Tobias, et al. "Striving for simplicity: The all convolutional net." arXiv preprint arXiv

Li, Xiang, et al. "Understanding the disharmony between dropout and batch normalization by variance on Computer Vision and Pattern Recognition. (2019).

loffe, Sergey, and Christian Szegedy. "Batch normalization: Accelerating deep network training by red preprint arXiv:1502.03167 (2015).

### **Appendix**

- Github Repository
- A Python Class to build all Models
- A Python Class for Training Procedure
- The Notebooks for Model A
- The Notebooks for Model B
- The Notebooks for Model C
- The Notebooks for Validation
- The Notebooks for DropOut and Batch Normalization
- The Notebooks for Optimizers