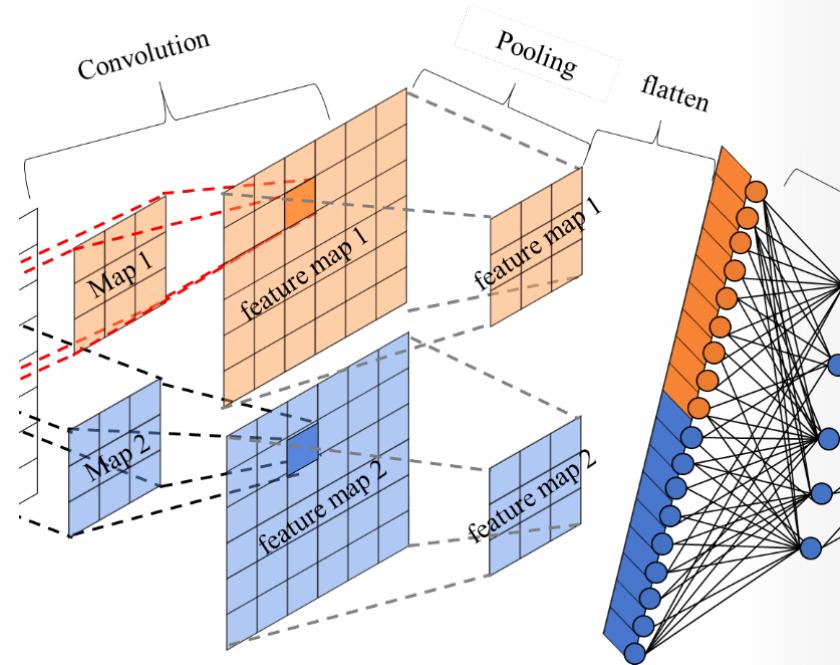


# CONVOLUTIONAL NEURAL NETWORKS

Chih-Chung Hsu (許志仲)  
Institute of Data Science  
National Cheng Kung University  
<https://cchsu.info>



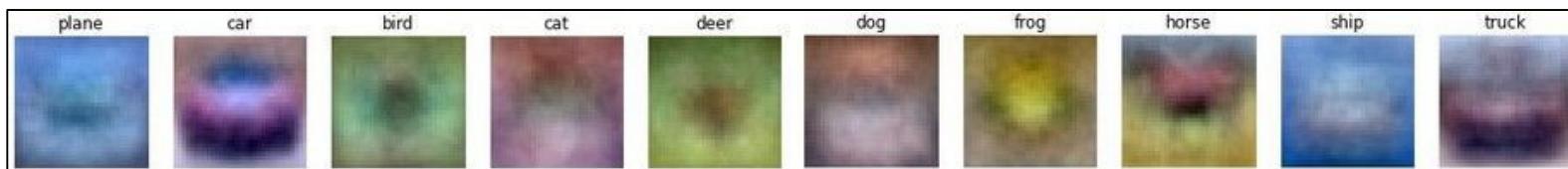
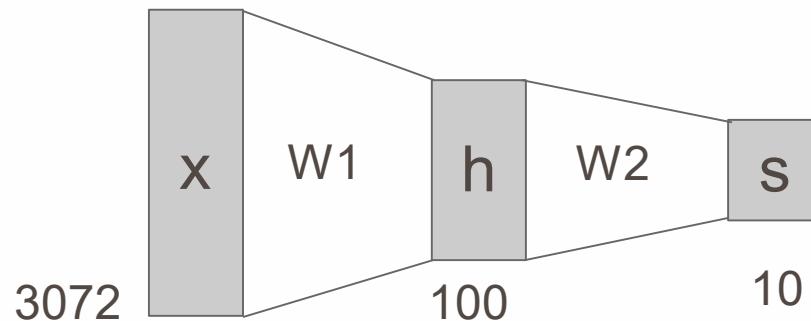
# Last time: Neural Networks

Linear score function: 2-layer Neural Network

---

$$f = Wx$$

$$f = W_2 \max(0, W_1 x)$$



# Next: Convolutional Neural Networks

---

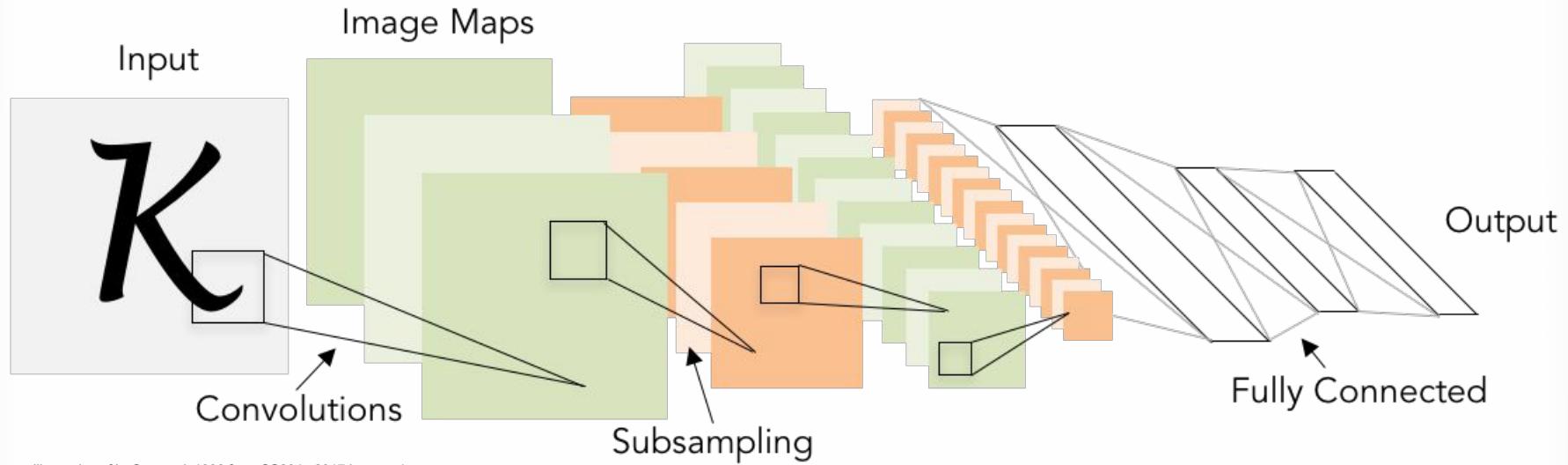


Illustration of LeCun et al. 1998 from CS231n 2017 Lecture 1

# A bit of history...

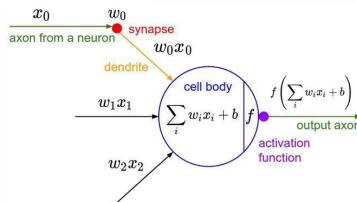
The **Mark I Perceptron** machine was the first implementation of the perceptron algorithm.

The machine was connected to a camera that used  $20 \times 20$  cadmium sulfide photocells to produce a 400-pixel image.

$$f(x) = \begin{cases} 1 & \text{if } w \cdot x + b > 0 \\ 0 & \text{otherwise} \end{cases}$$

recognized letters of the alphabet

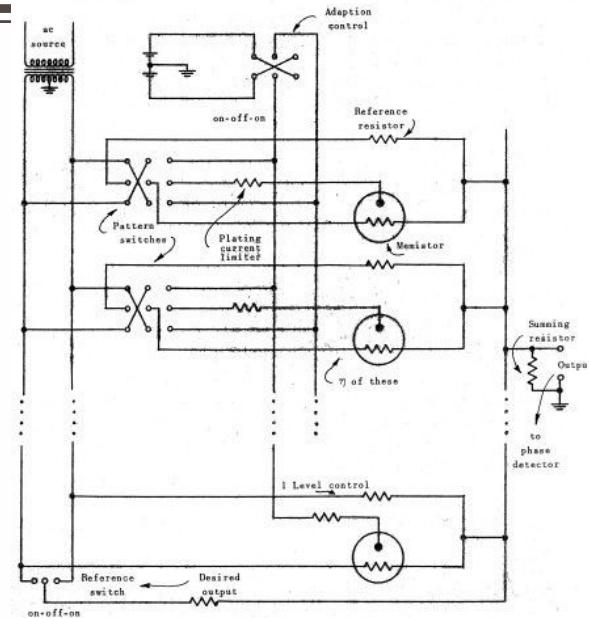
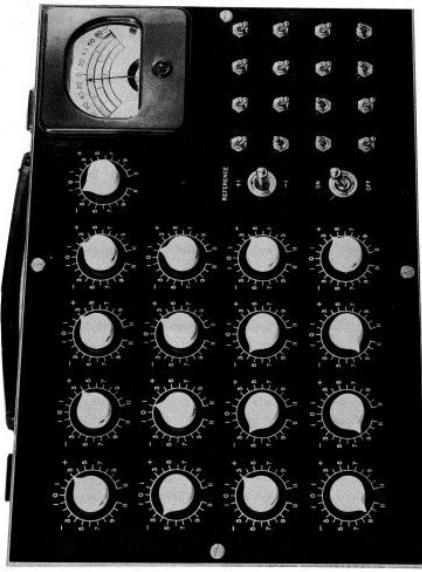
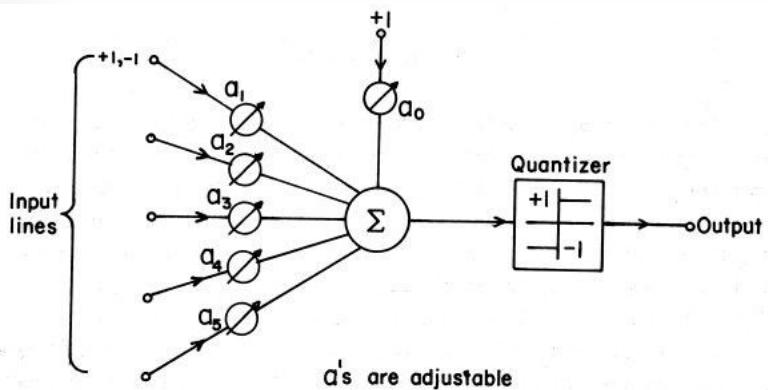
$$w_i(t+1) = w_i(t) + \alpha(d_j - y_j(t))x_{j,i}$$



Frank Rosenblatt, ~1957: Perceptron

[This image](#) by Rocky Acosta is licensed under [CC-BY 3.0](#)

# A bit of history...

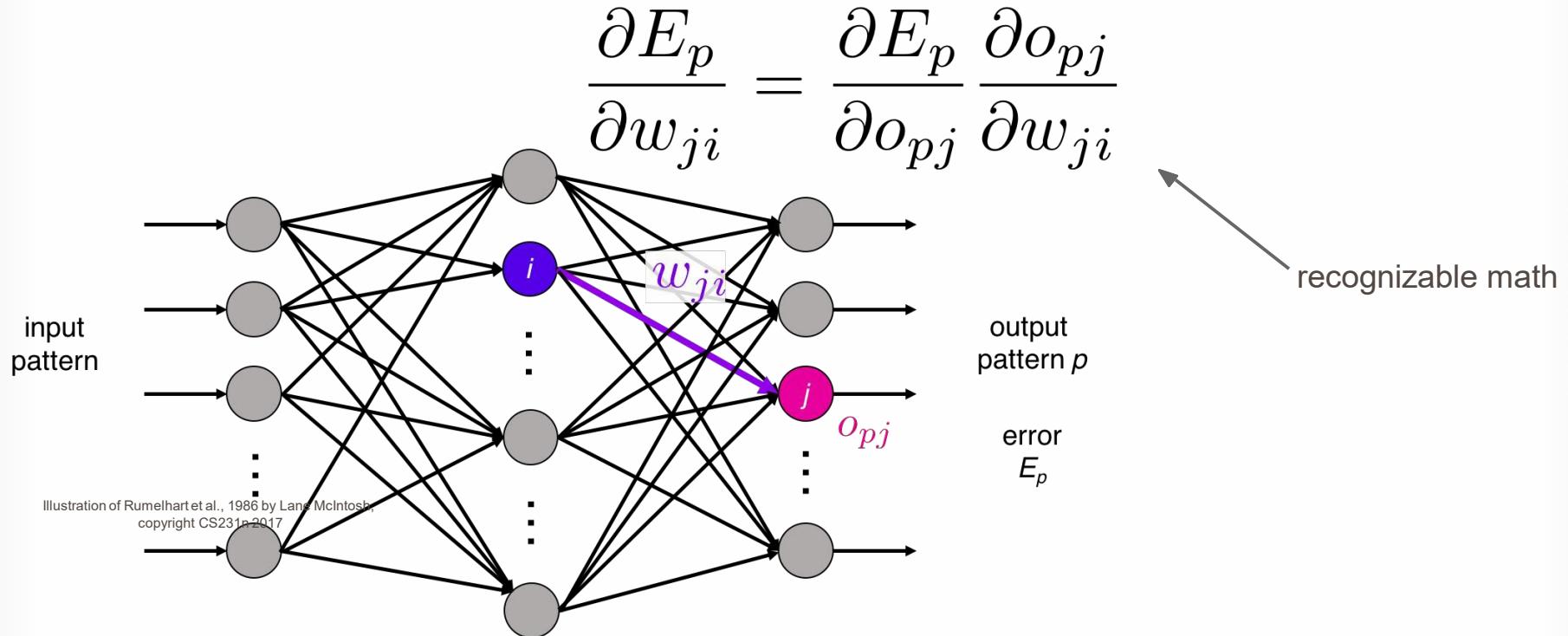


Widrow and Hoff, ~1960: Adaline/Madaline

These figures are reproduced from Widrow 1960, Stanford Electronics Laboratories Technical Report with permission from [Stanford University Special Collections](#).

# A bit of history...

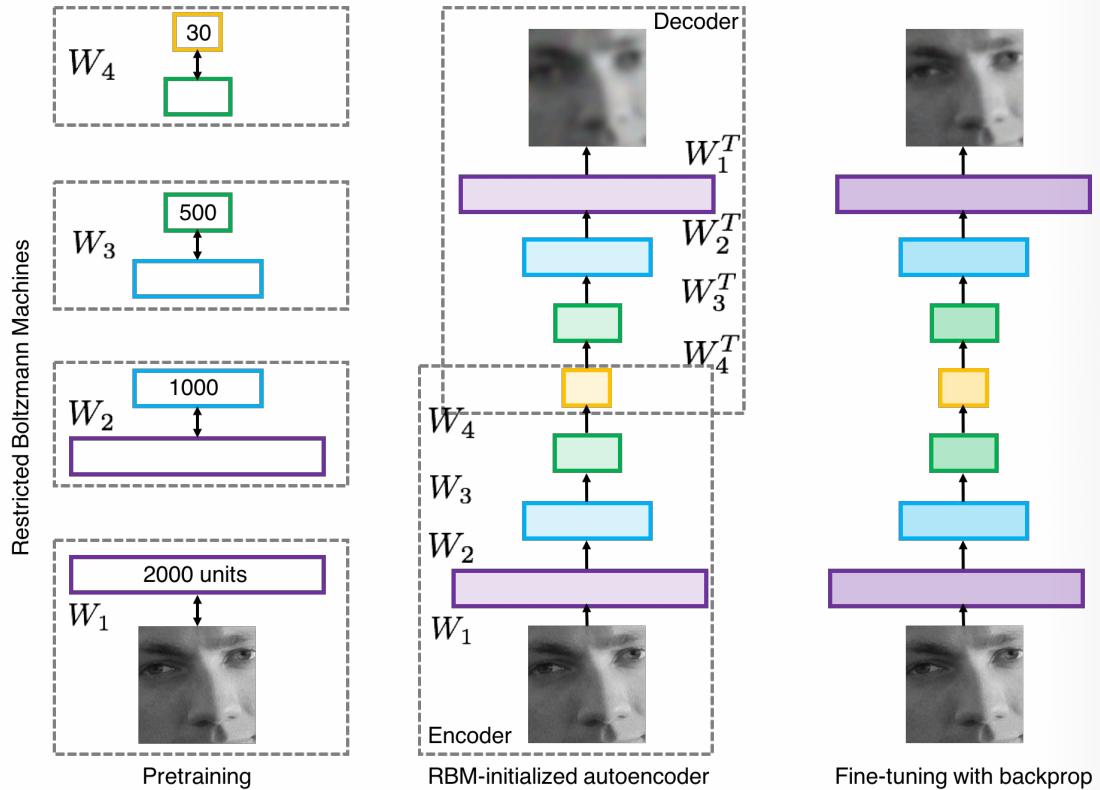
---



# A bit of history...

[Hinton and Salakhutdinov 2006]

Reinvigorated research in  
Deep Learning



# First strong results

## **Acoustic Modeling using Deep Belief Networks**

Abdel-rahman Mohamed, George Dahl, Geoffrey Hinton, 2010

## **Context-Dependent Pre-trained Deep Neural Networks for Large Vocabulary Speech Recognition**

George Dahl, Dong Yu, Li Deng, Alex Acero, 2012

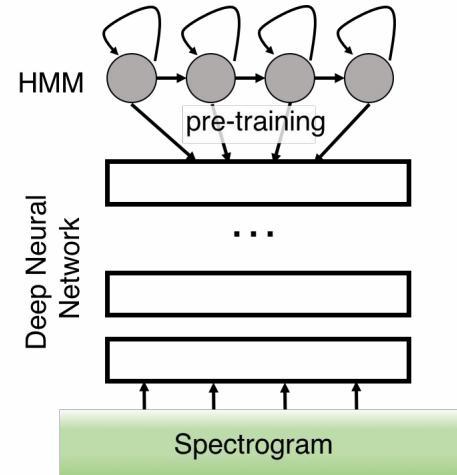
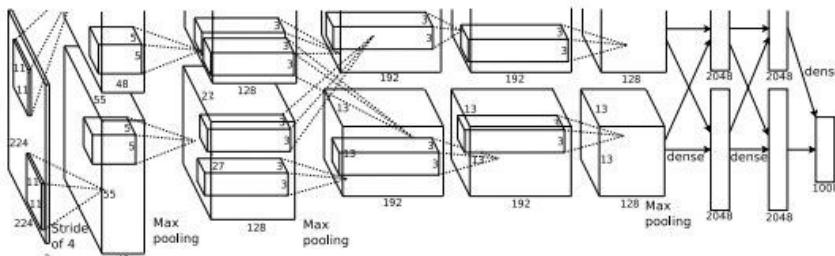


Illustration of Dahl et al. 2012 by Lane McIntosh, copyright CS231n 2017

## **Imagenet classification with deep convolutional neural networks**

Alex Krizhevsky, Ilya Sutskever, Geoffrey E Hinton, 2012



A bit of history:

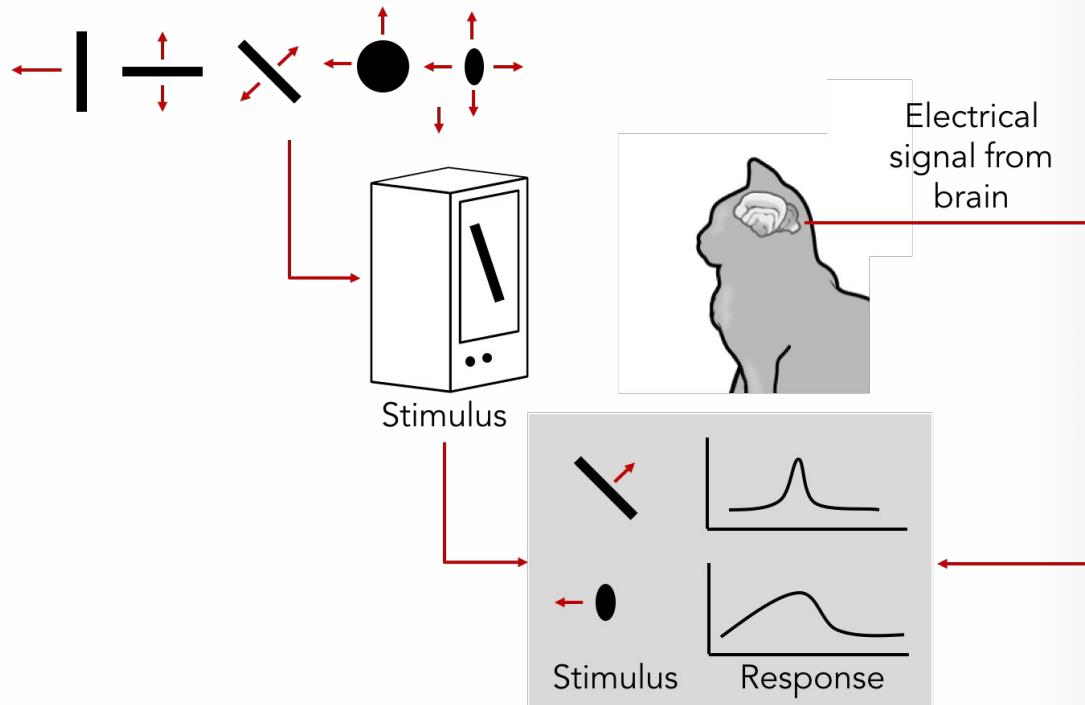
## Hubel & Wiesel, 1959

RECEPTIVE FIELDS OF SINGLE  
NEURONES IN  
THE CAT'S STRIATE CORTEX

1962

RECEPTIVE FIELDS, BINOCULAR  
INTERACTION  
AND FUNCTIONAL ARCHITECTURE IN THE  
CAT'S VISUAL CORTEX

1968...



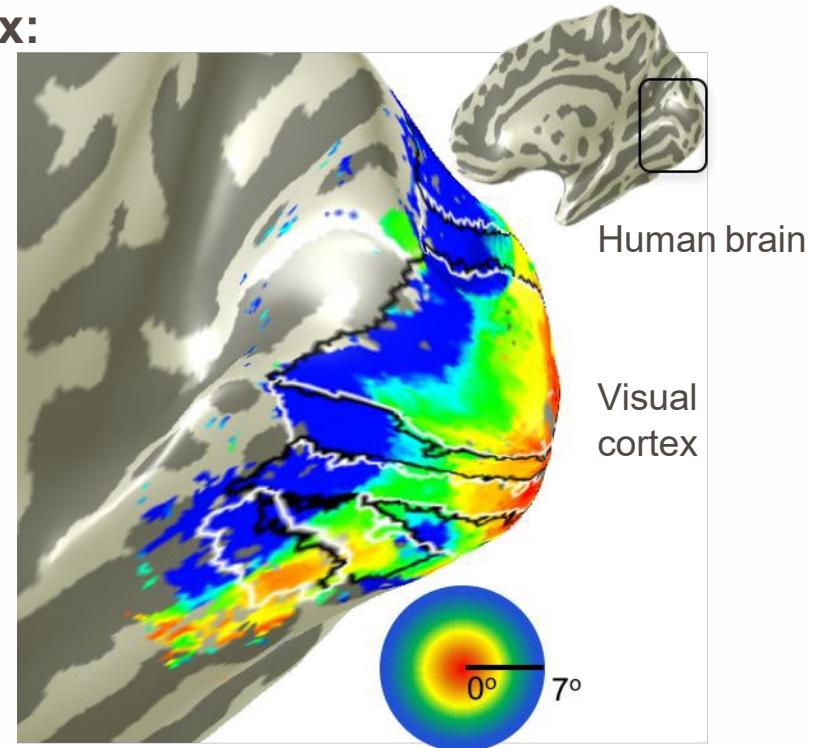
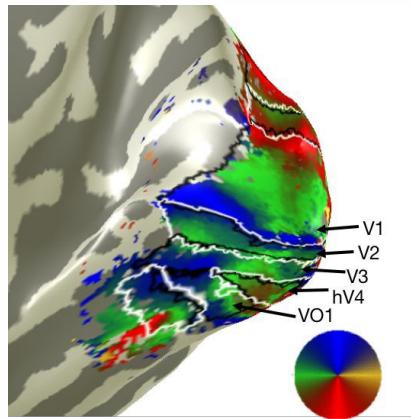
[Cat image](#) by CNX OpenStax is licensed  
under CC BY 4.0; changes made

# A bit of history

---

## Topographical mapping in the cortex:

nearby cells in cortex represent  
nearby regions in the visual field



# Hierarchical organization

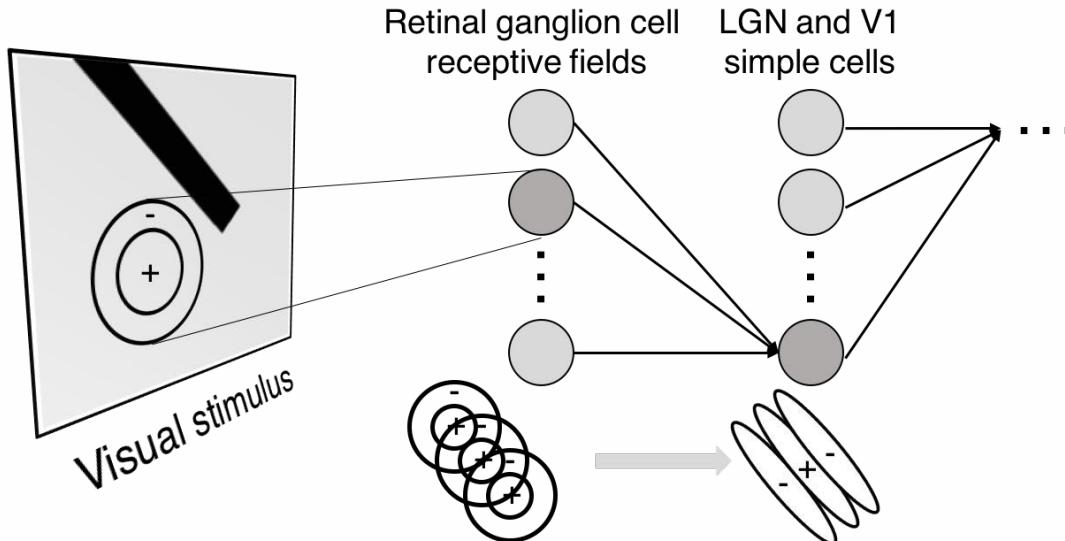
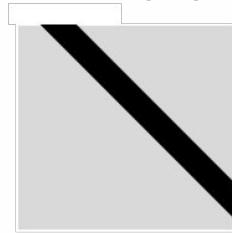


Illustration of hierarchical organization in early visual pathways by Lane McIntosh, copyright CS231n 2017

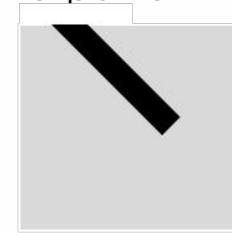
**Simple cells:**  
Response to light orientation

**Complex cells:**  
Response to light orientation and movement

**Hypercomplex cells:**  
response to movement with an end point



No response



Response (end point)

A bit of history:

---

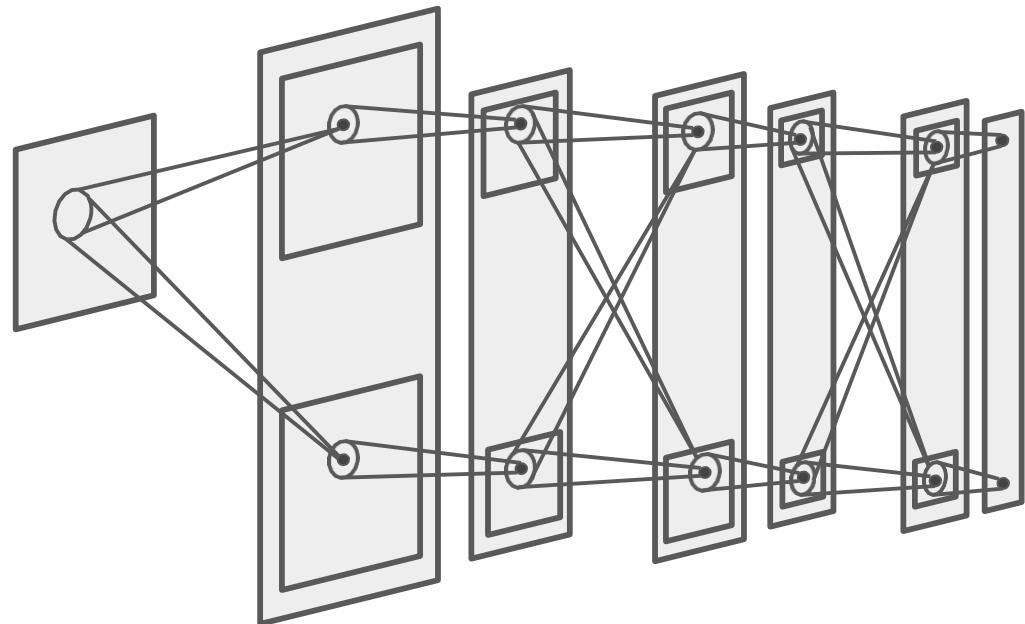
# Neocognitron

[Fukushima 1980]

“sandwich” architecture (SCSCSC...)

simple cells: modifiable parameters

complex cells: perform pooling

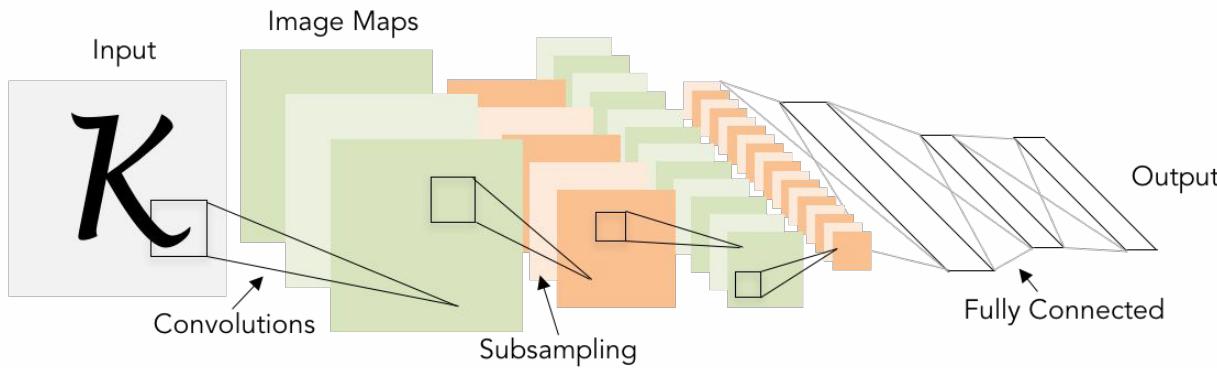


A bit of history:

---

## Gradient-based learning applied to document recognition

[LeCun, Bottou, Bengio, Haffner 1998]



LeNet-5

Chih-Chung Hsu@ACVLab

# A bit of history:

ImageNet Classification  
with Deep Convolutional  
Neural Networks  
[Krizhevsky, Sutskever,  
Hinton, 2012]

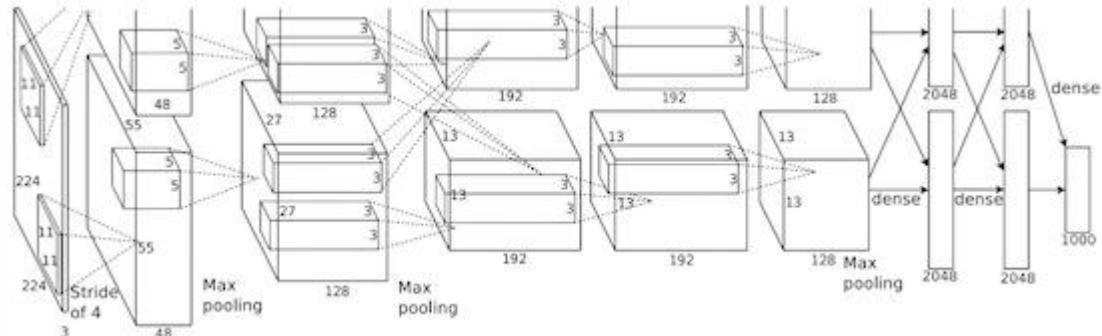


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

“AlexNet”

# Fast-forward to today: ConvNets are everywhere

Classification



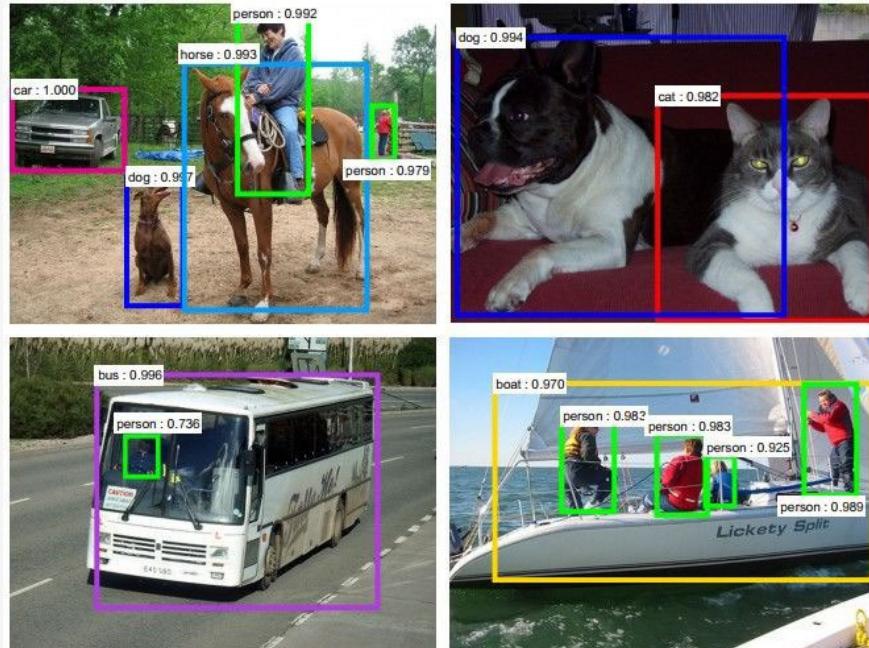
Retrieval



Figures copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Fast-forward to today: ConvNets are everywhere

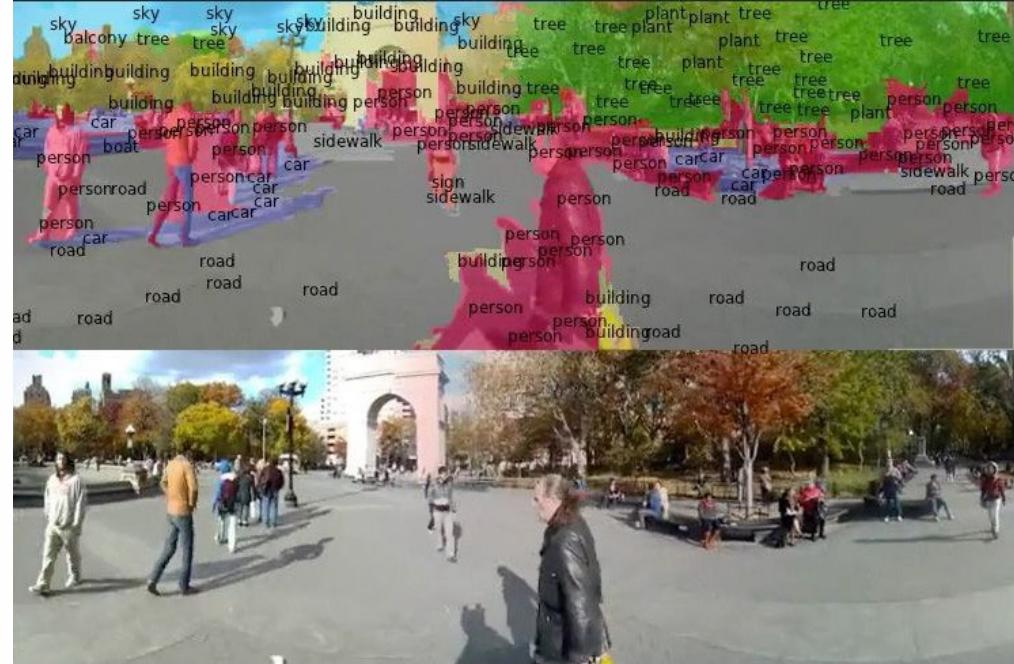
## Detection



Figures copyright Shaoqing Ren, Kaiming He, Ross Girshick, Jian Sun, 2015. Reproduced with permission.

[Faster R-CNN: Ren, He, Girshick, Sun 2015]

## Segmentation

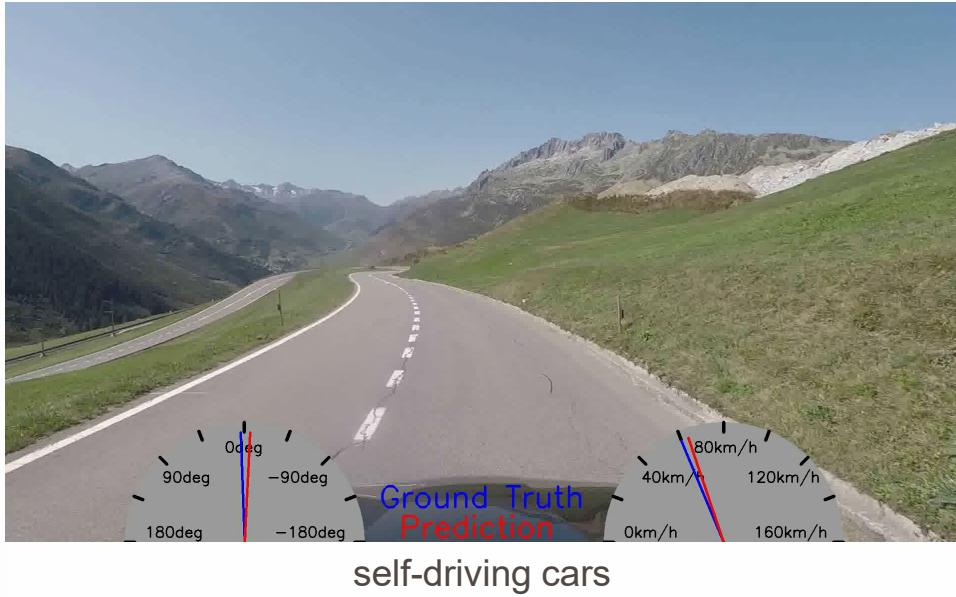


Figures copyright Clement Farabet, 2012.  
Reproduced with permission.

[Farabet et al., 2012]

# Fast-forward to today: ConvNets are everywhere

---



self-driving cars

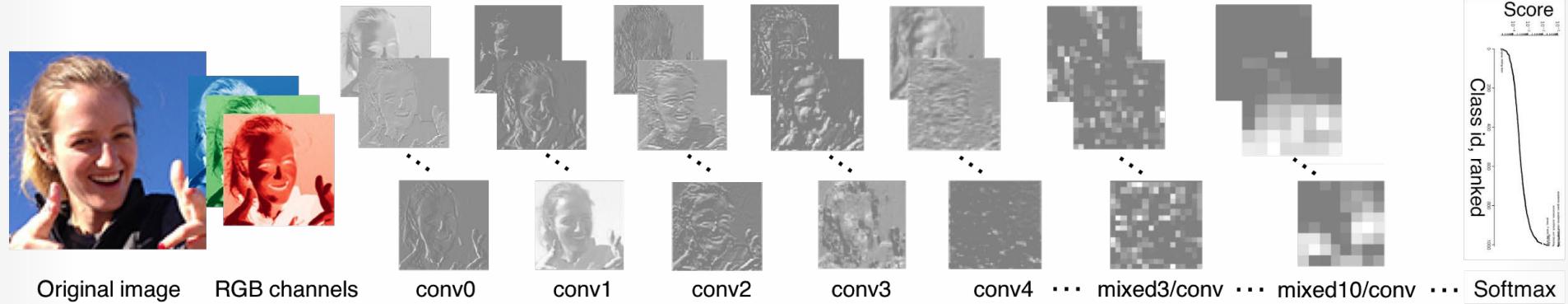


[This image by GBPublic\\_PR is licensed under CC-BY 2.0](#)

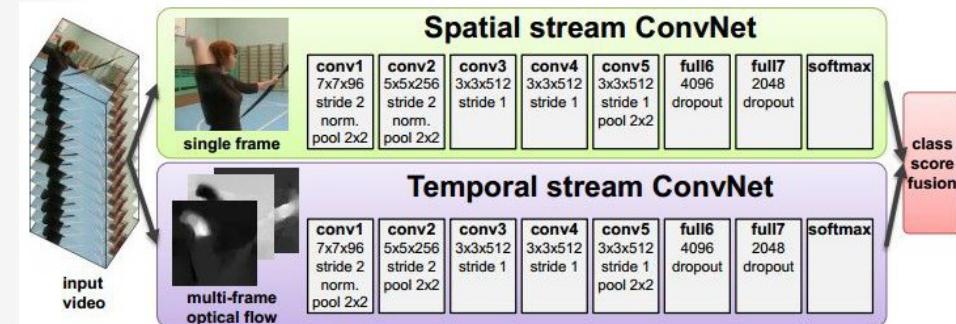
NVIDIA Tesla line  
(these are the GPUs on rye01.stanford.edu)

Note that for embedded systems a typical setup would involve NVIDIA Tegras, with integrated GPU and ARM-based CPU cores.

# Fast-forward to today: ConvNets are everywhere



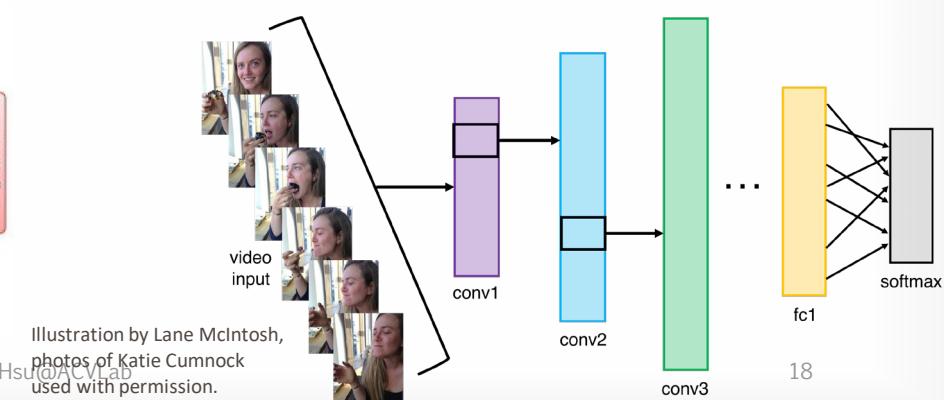
Activations of [inception-v3 architecture](#) [Szegedy et al. 2015] to image of Emma McIntosh, used with permission. Figure and architecture not from Taigman et al. 2014.



[Simonyan et al. 2014]

Figures copyright Simonyan et al., 2014.  
Reproduced with permission.

Chih-Chung Hsu@ACVLab  
used with permission.

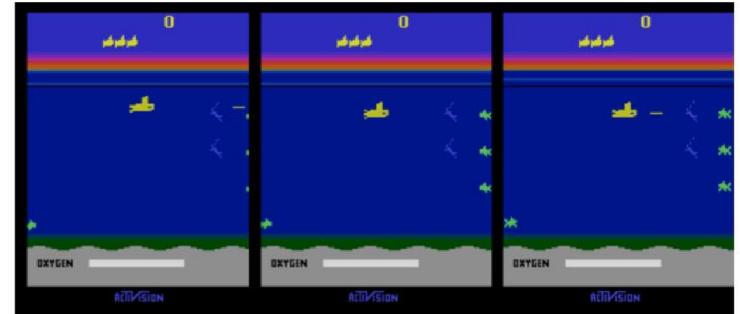
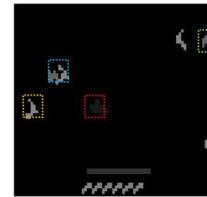
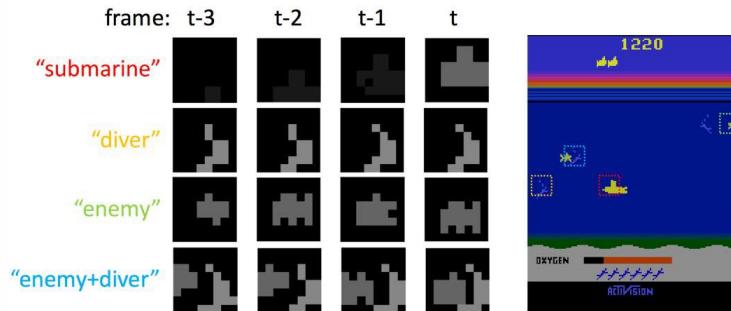


# Fast-forward to today: ConvNets are everywhere



Images are examples of pose estimation, not actually from Toshev & Szegedy 2014. Copyright Lane McIntosh.

[Toshev, Szegedy 2014]



[Guo et al. 2014]

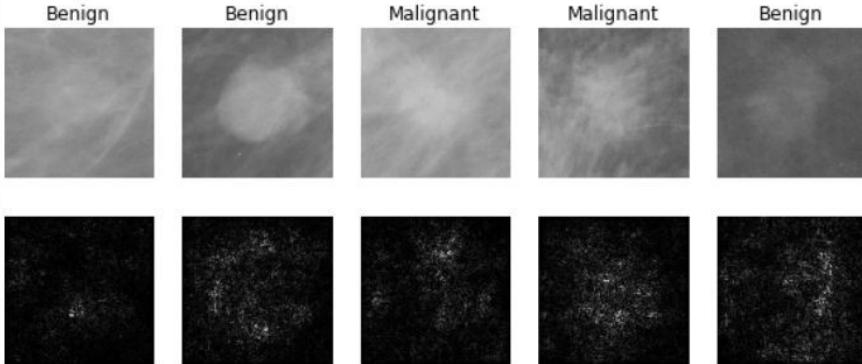
2024/3/12

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Figures copyright Xiaoxiao Guo, Satinder Singh, Honglak Lee, Richard Lewis, and Xiaoshi Wang, 2014. Reproduced with permission.

19

# Fast-forward to today: ConvNets are everywhere



[Levy et al. 2016]

Figure copyright Levy et al. 2016.  
Reproduced with permission.



[Dieleman et al. 2014]  
2024/3/12

From left to right: [public domain by NASA](#), usage [permitted](#) by  
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Photos by Lane McIntosh.  
Copyright CS231n 2017.

[Sermanet et al. 2011]  
[Ciresan et al.]

# Fast-forward to today: ConvNets are everywhere

---

[This image](#) by Christin Khan is in the public domain and originally came from the U.S. NOAA.



Whale recognition, Kaggle Challenge  
2004, B&G

Photo and figure by Lane McIntosh; not actual example from Mnih and Hinton, 2010 paper.



Mnih and Hinton, 2010

Correct



*A white teddy bear sitting in the grass*



*A man riding a wave on top of a surfboard*

Minor errors



*A man in a baseball uniform throwing a ball*



*A cat sitting on a suitcase on the floor*

Somewhat related



*A woman is holding a cat in her hand*



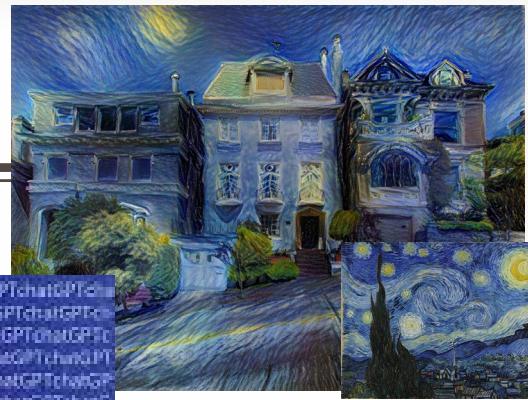
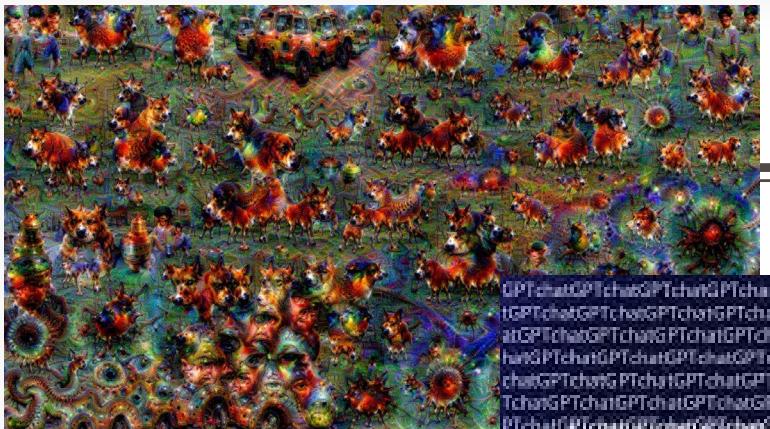
*A woman standing on a beach holding a surfboard*

# Image Captioning

[Vinyals et al., 2015]  
[Karpathy and Fei-Fei, 2015]

All images are CC0 Public domain:  
<https://pixabay.com/en/luggage-antique-cat-1643010/>  
<https://pixabay.com/en/teddy-plush-bears-cute-teddy-bear-1623436/>  
<https://pixabay.com/en/surf-wave-summer-sport-litoral-1668716/>  
<https://pixabay.com/en/woman-female-model-portrait-adult-983967/>  
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<https://pixabay.com/en/baseball-player-shortstop-infield-1045263/>

Captions generated by Justin Johnson using [Neuraltalk2](#)



Figures copyright Justin Johnson, 2015. Reproduced with permission. Generated using the Inceptionism approach from a blog post by Google Research.

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[Starry Night](#) and [Tree Roots](#) by Van Gogh are in the public domain

Bokeh image is in the public domain

Stylized images copyright Justin Johnson, 2011  
reproduced with permission

Gatys et al, "Image Style Transfer using Convolutional Neural Networks", CVPR 2016  
Gatys et al, "Controlling Perceptual Factors in Neural Style Transfer", CVPR 2017



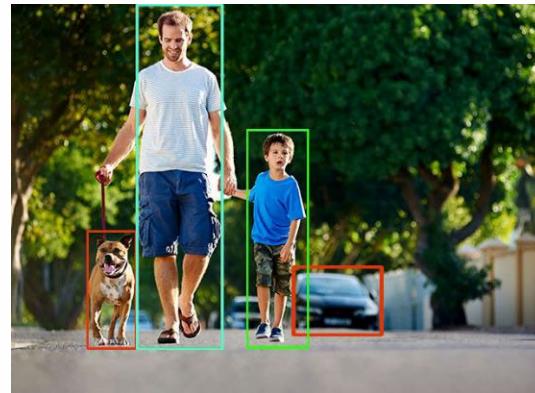
# CONVOLUTIONAL NEURAL NETWORKS

(First without the brain stuff)

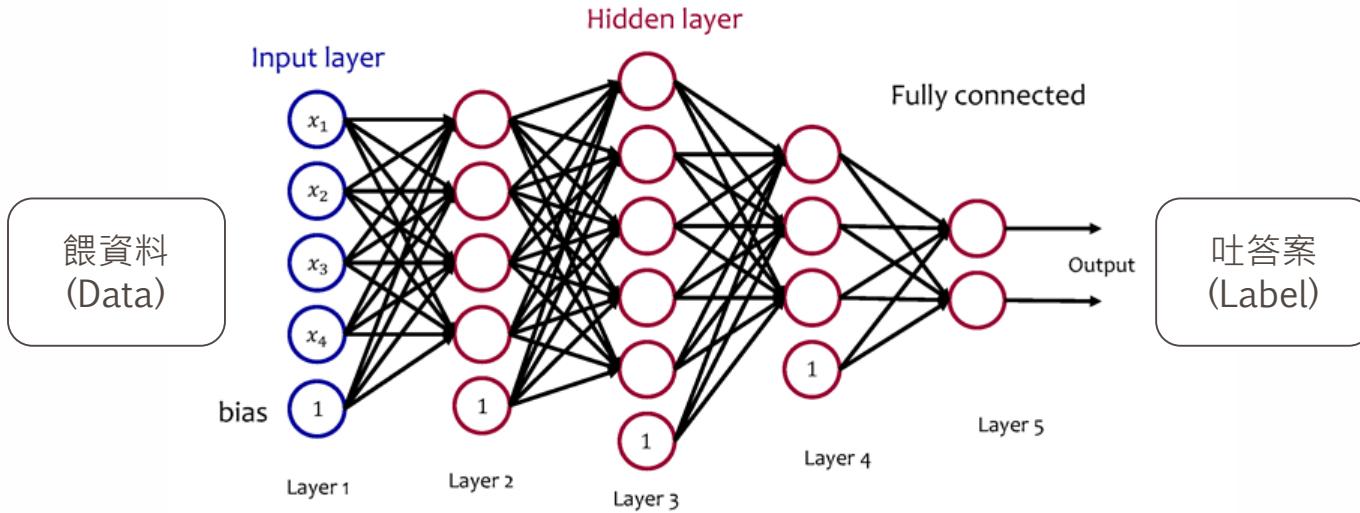
# Convolutional Neural Networks 捲積神經網路

---

- Also known as CNN, ConvNet, DCN
- CNN = a multi-layer neural network with
  - Local connectivity
  - Weight sharing
  - Convolution operation
- Parameters reduction
- 保留 Spatial information
  - Pixel 之間的關係



# Definition of Terms in Deep Learning



Neurons  
Activation function  
非線性函數

Connection  
Weights (權重)

Parameters (參數)

# Number of parameters in DNN

---

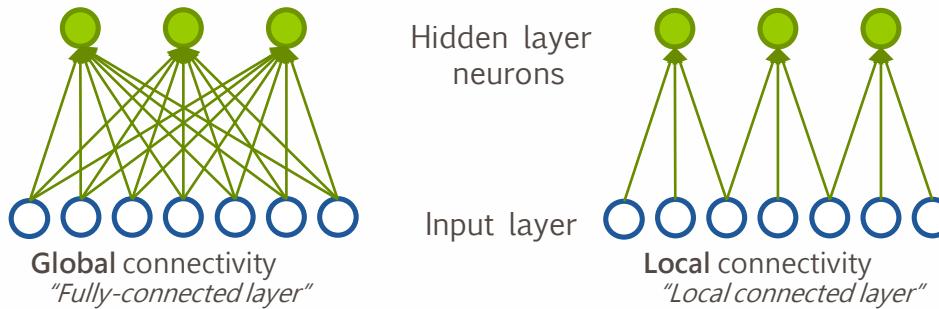
- A lot of number of weights need to be optimized
  - Data: image ( $100 \times 100 = 10000$ ), 7-layer NN
    - Assumed you have 60,000 training samples
    - Layer 1-6: 5000 neuros, last layer: 50 neuros
    - $10000 \times 5000 + 7 \times (5000 \times 5000) + 5000 \times 50$  parameters ...
    - #parameters = 227550000 for one sample
      - Total parameters to be learned from all samples
      - $227550000 \times 60000$
    - Weight precision: 32 bit (4 byte)
  - So you may aware that we have enough computational resource
    - As the case, we need **5XX GB memory per image!!**
    - 5XX GB GPU memory, do you have one?
  - CNN today
    - Reduce the number of parameters as well as improve the performance on image type data



# Step 1: Local Connectivity

---

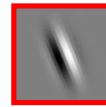
- Assumed that the input neurons: 7
- #nodes in 2<sup>nd</sup> layer: 3
- #Parameters
  - Global connectivity:  $3 \times 7 = 21$
  - Local connectivity:  $3 \times 3 = 9$



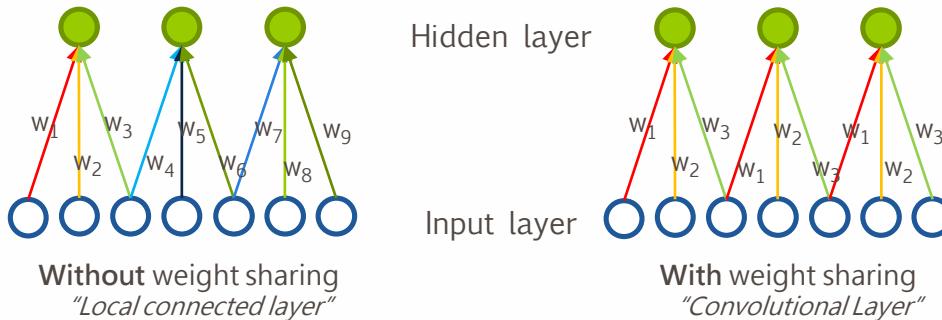
## Step 2: Weight Sharing

---

- Assumed that the input neurons: 7
- #nodes in 2<sup>nd</sup> layer: 3
- #Parameters
  - Without weight sharing:  $3 \times 3 = 9$
  - With weight sharing :  $3 \times 1 = 3$



convolution kernel:  
Use a small kernel to  
convolve whole image to  
extract their “local feature”



# Weight sharing?

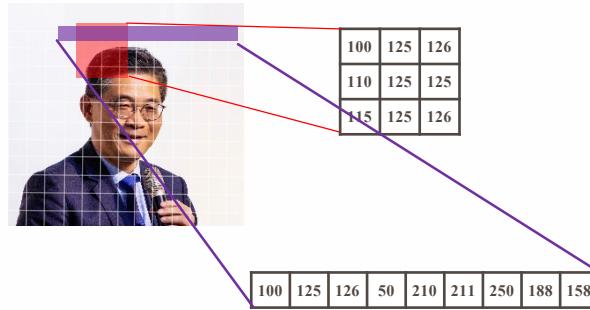
- Just apply “convolution operation”
  - Improve the performance for images
  - Reduce #parameters

1 <small>x1</small>	1 <small>x0</small>	1 <small>x1</small>	0	0
0 <small>x0</small>	1 <small>x1</small>	1 <small>x0</small>	1	0
0 <small>x1</small>	0 <small>x0</small>	1 <small>x1</small>	1	1
0 <small>x0</small>	0	1	1	0
0	1	1	0	0

Image

4		

Convolved Feature



1. Conv: Keep the relation in 2D
2. Vectorization: keep horizontal relation only

# Convolution Operation

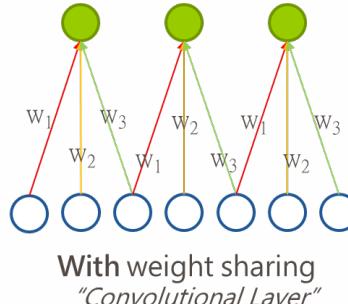
- Conv, is based on so-called Filter/Kernel to extract the local features from an image
  - Extract the local “variation”
    - Sample: get the line pattern from an image

Reduce the #para

How many para do we need for an image?

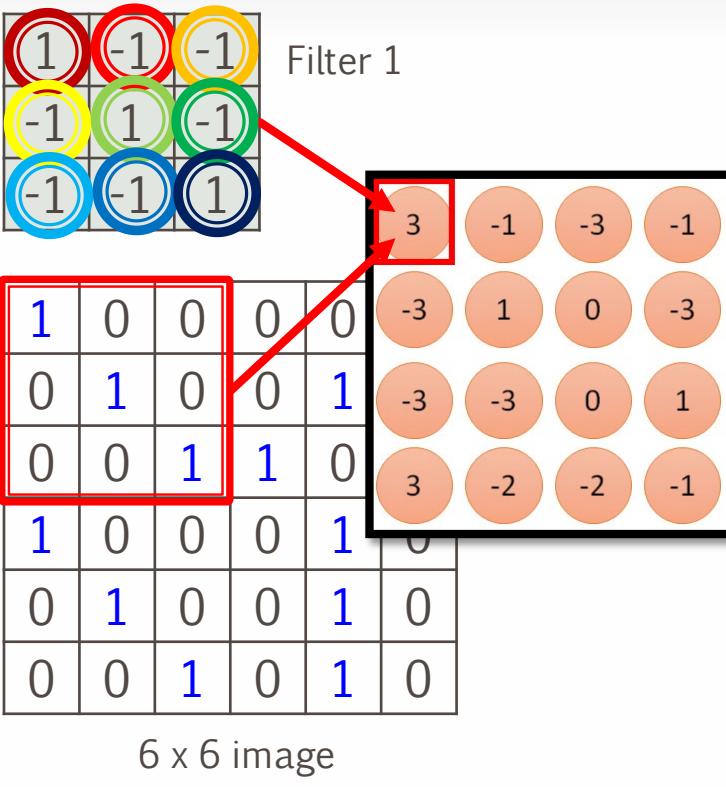
ANS: 9

A kernel can scan whole image to extract their local features

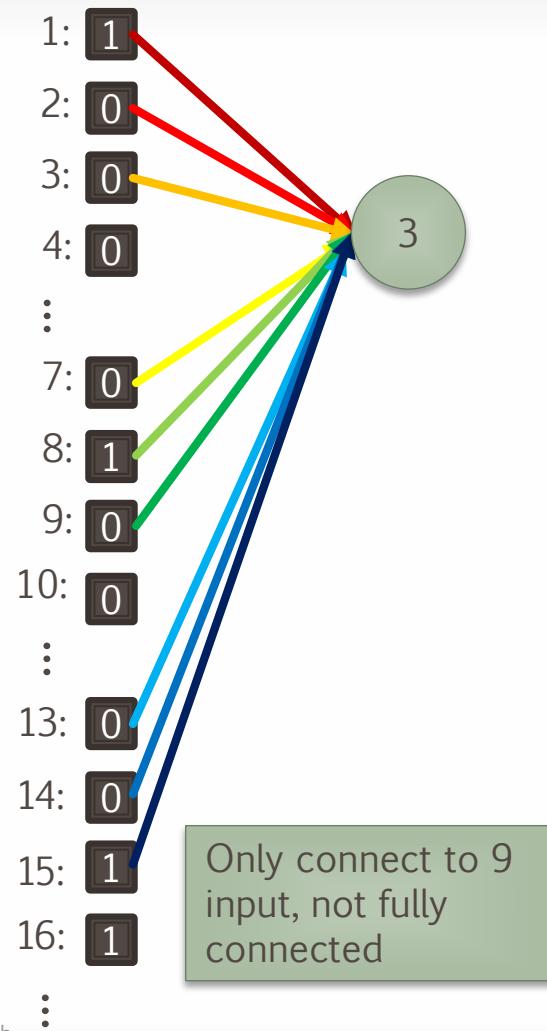


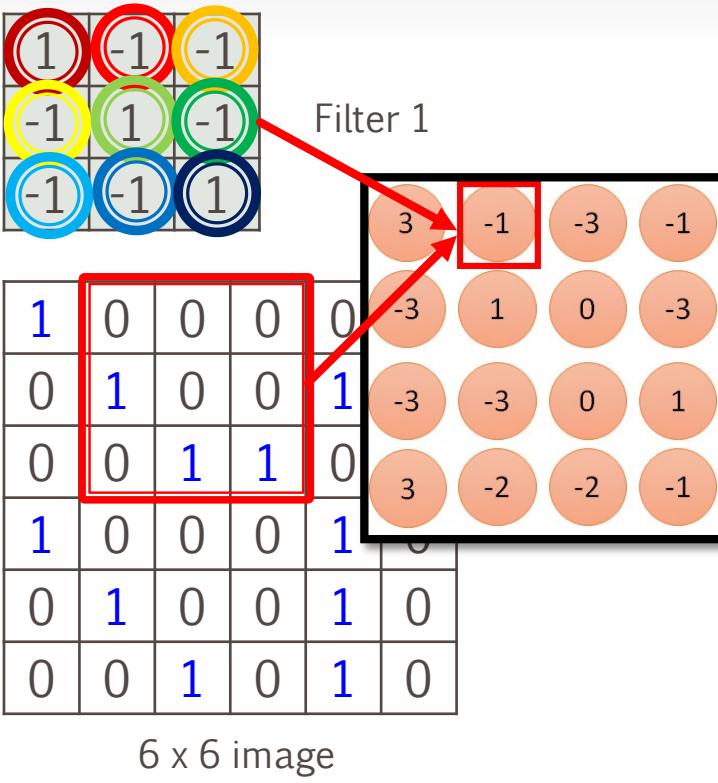
Para!

$$\begin{matrix} 10 & 10 & 10 & 0 & 0 & 0 \\ 10 & 10 & 10 & 0 & 0 & 0 \\ 10 & 10 & 10 & 0 & 0 & 0 \\ 10 & 10 & 10 & 0 & 0 & 0 \\ 10 & 10 & 10 & 0 & 0 & 0 \\ 10 & 10 & 10 & 0 & 0 & 0 \\ 10 & 10 & 10 & 0 & 0 & 0 \end{matrix} * \begin{matrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{matrix} = \begin{matrix} 0 & 30 & 30 & 0 \\ 0 & 30 & 30 & 0 \\ 0 & 30 & 30 & 0 \\ 0 & 30 & 30 & 0 \end{matrix}$$
  
$$\begin{array}{c|c} \text{ } & \text{ } \\ \text{ } & \text{ } \\ \text{ } & \text{ } \end{array} * \begin{array}{c|c} \text{ } & \text{ } \\ \text{ } & \text{ } \\ \text{ } & \text{ } \end{array} = \begin{array}{c|c} \text{ } & \text{ } \\ \text{ } & \text{ } \\ \text{ } & \text{ } \end{array}$$



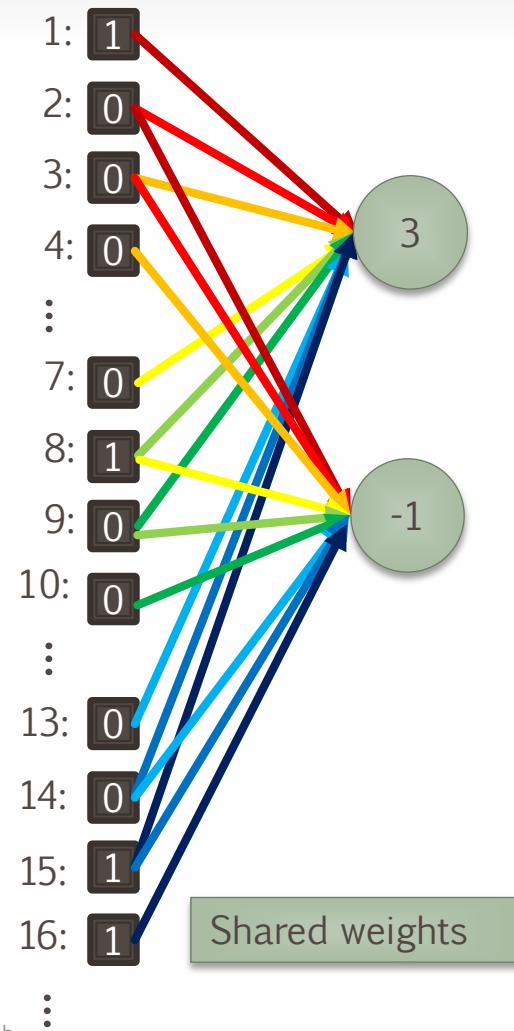
Less parameters!





Less parameters!

Even less parameters!



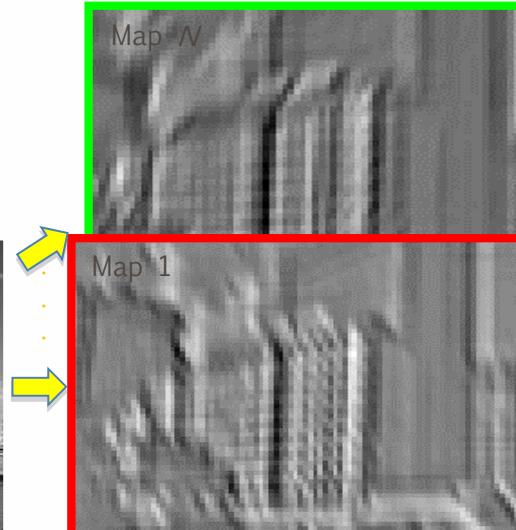
# Visualization on convolution

---

- 2D-Convolution
  - Filtering
  - Kernel
  - Weighted moving sum



Input



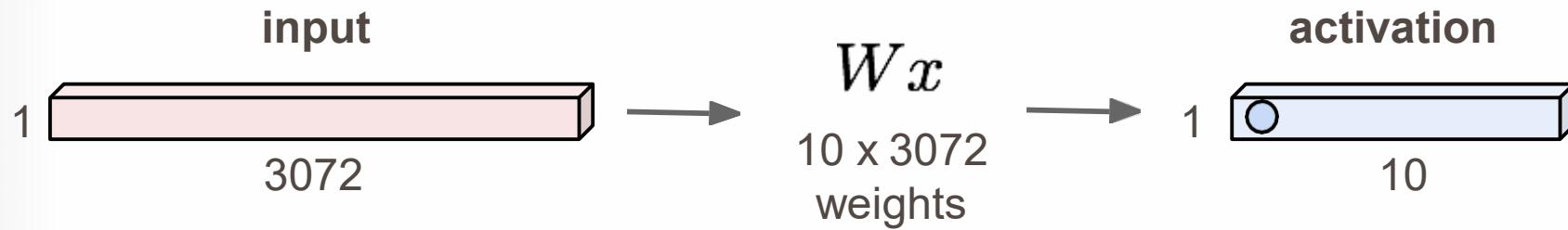
Feature Map



# CNN IN STRUCTURE VIEW

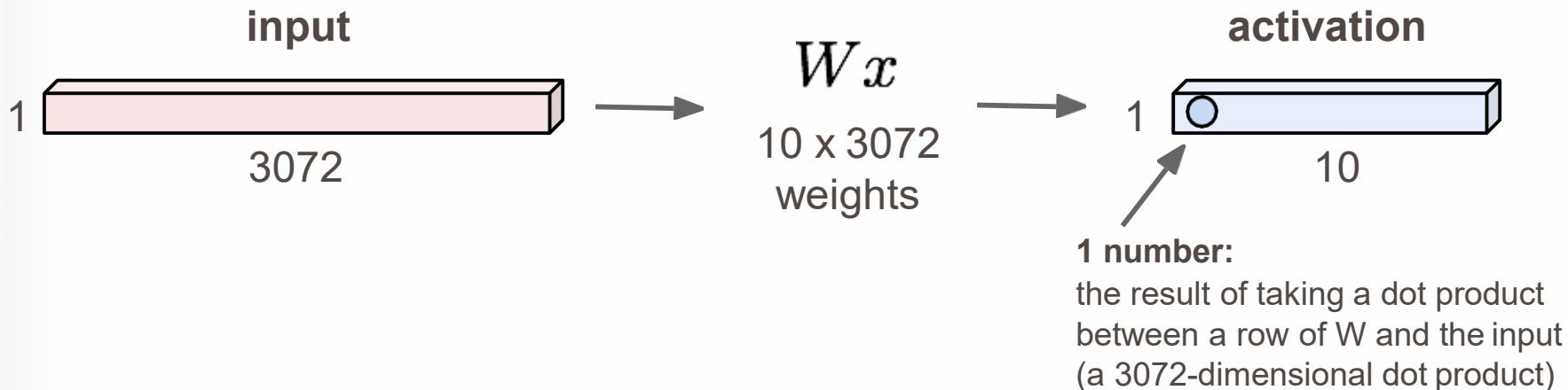
# Fully Connected Layer

32x32x3 image -> stretch to 3072 x 1



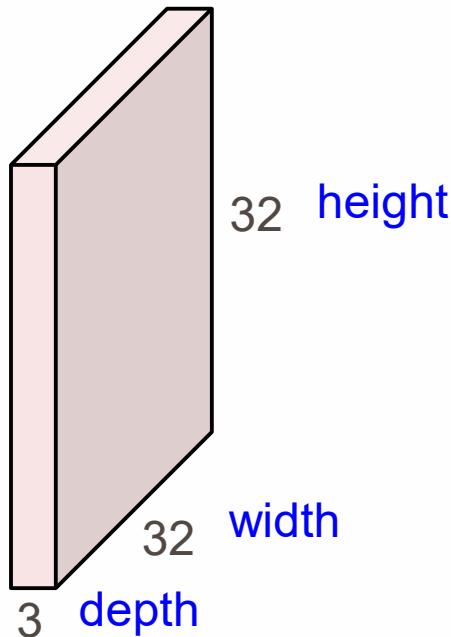
# Fully Connected Layer

32x32x3 image -> stretch to 3072 x 1

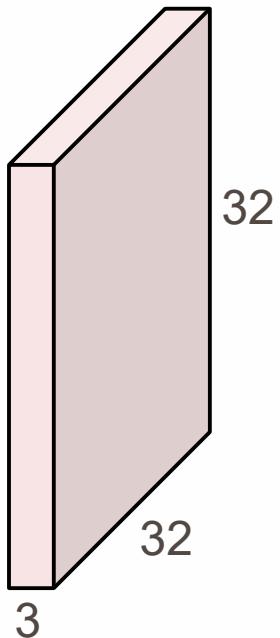


# Convolution Layer

32x32x3 image -> preserve spatial structure



# Convolution Layer



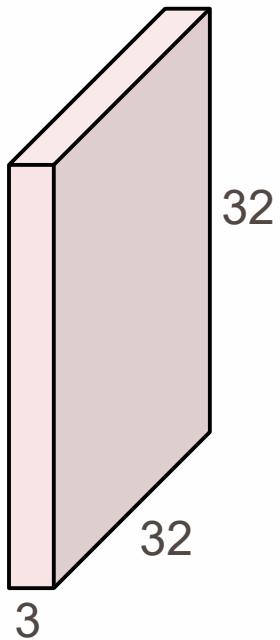
32x32x3 image

5x5x3 filter

Convolve the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

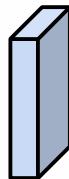
## Convolution Layer

32x32x3 image



Filters always extend the full depth of the input volume

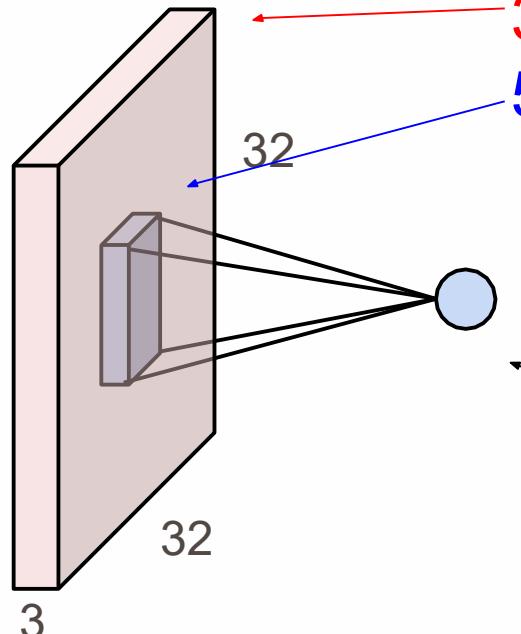
5x5x3 filter



Convolve the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

# Convolution Layer

---



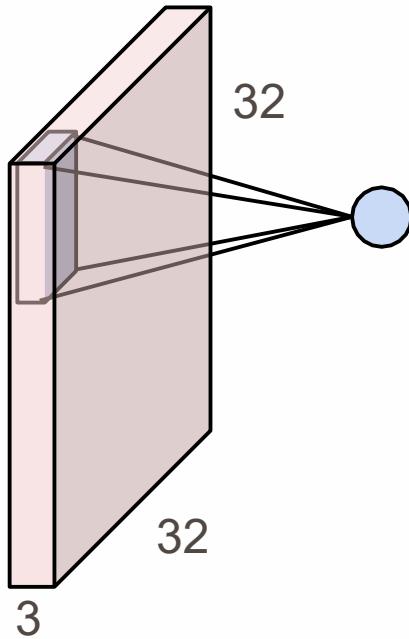
32x32x3 image  
5x5x3 filter  $w$

**1 number:**  
the result of taking a dot product between the  
filter and a small 5x5x3 chunk of the image  
(i.e.  $5 \times 5 \times 3 = 75$ -dimensional dot product + bias)

$$w^T x + b$$

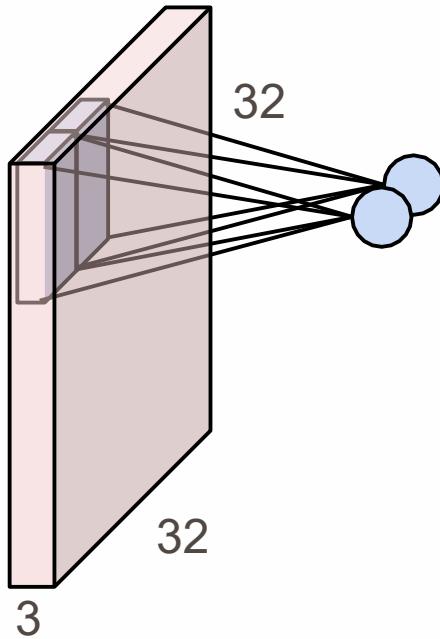
# Convolution Layer

---



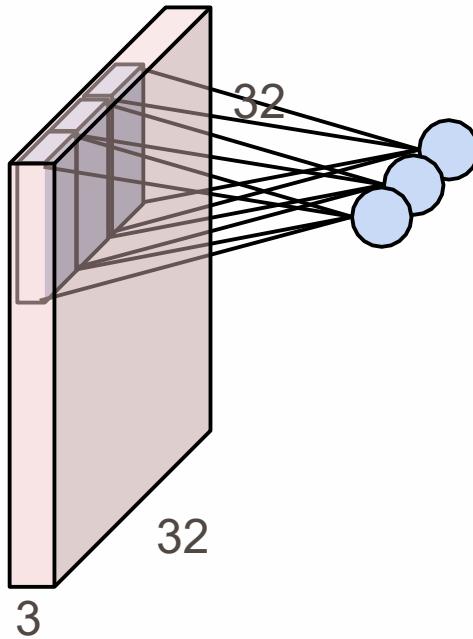
# Convolution Layer

---



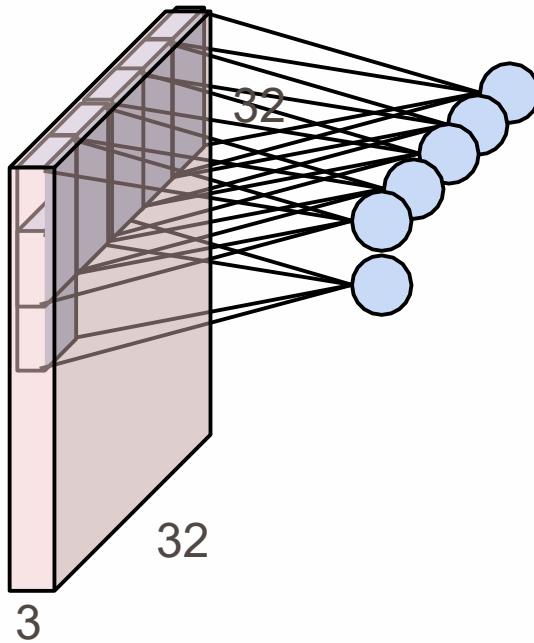
# Convolution Layer

---



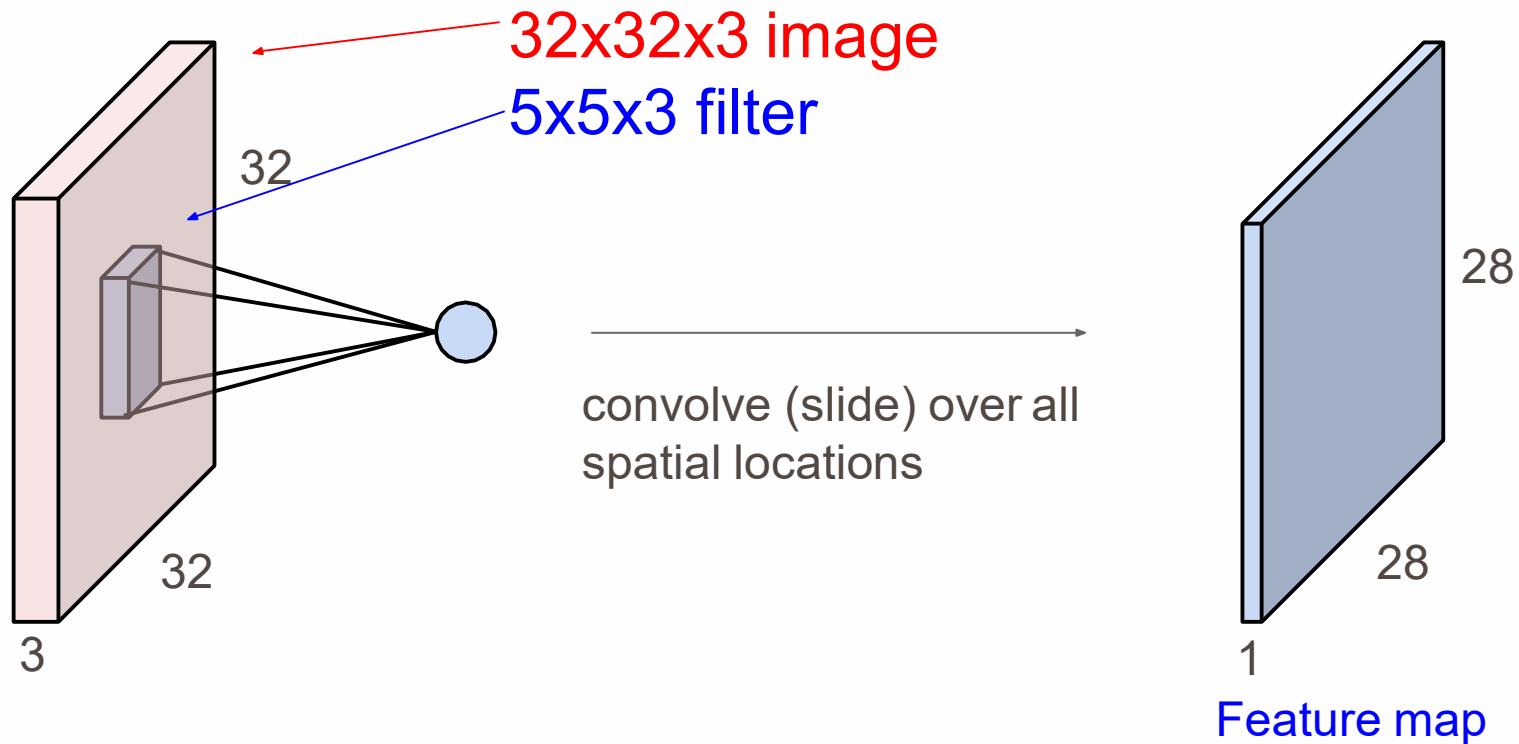
# Convolution Layer

---



# Convolution Layer

---

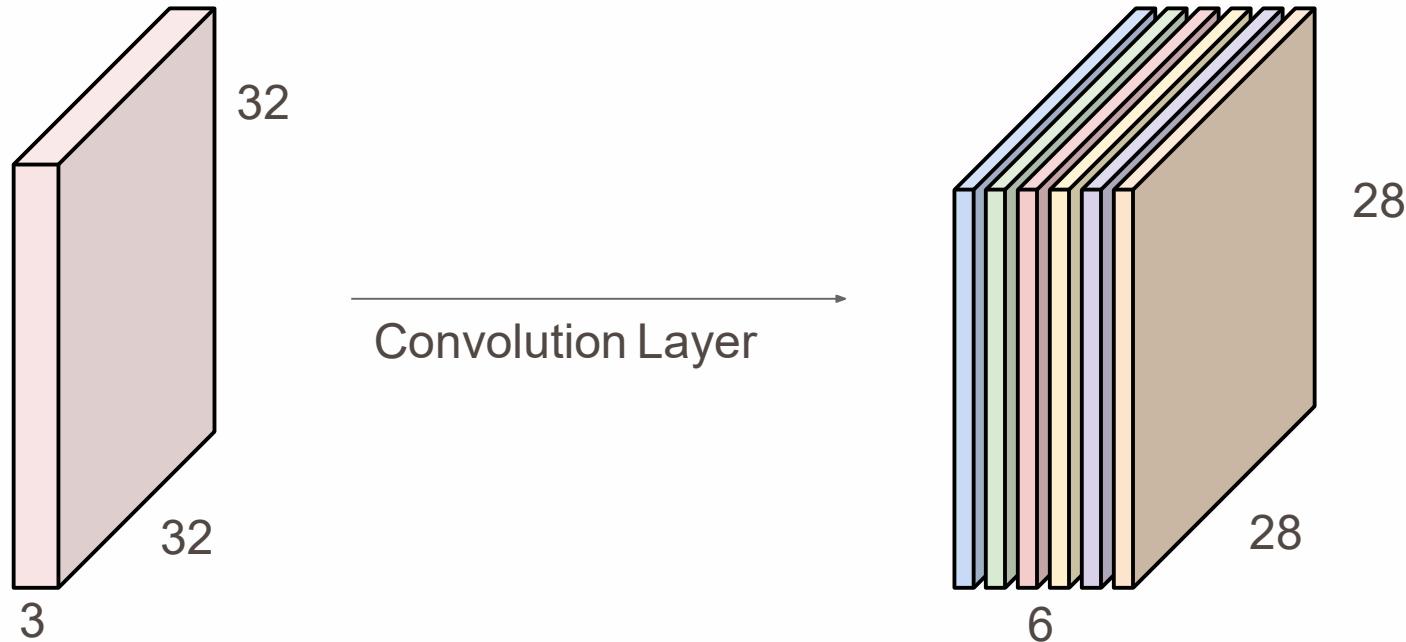


consider a second, green filter

---

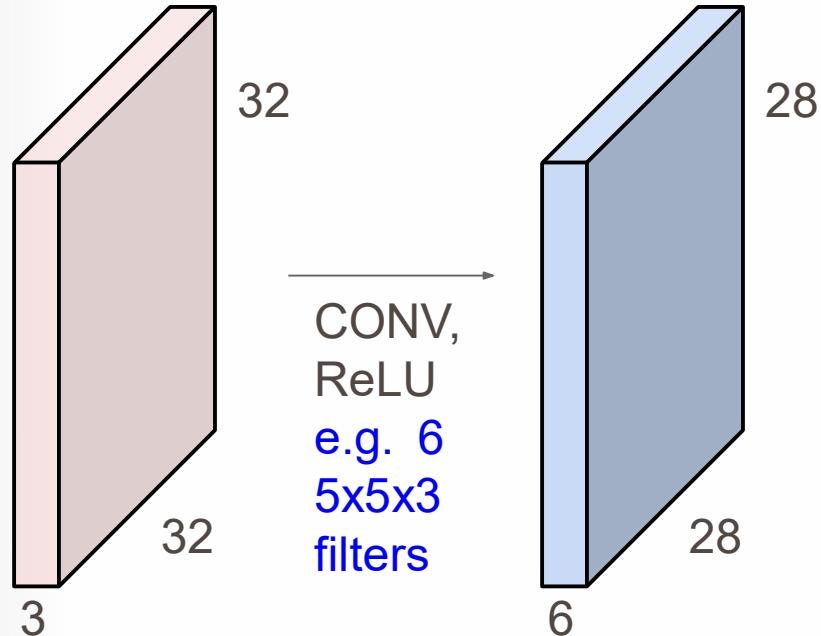


For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:

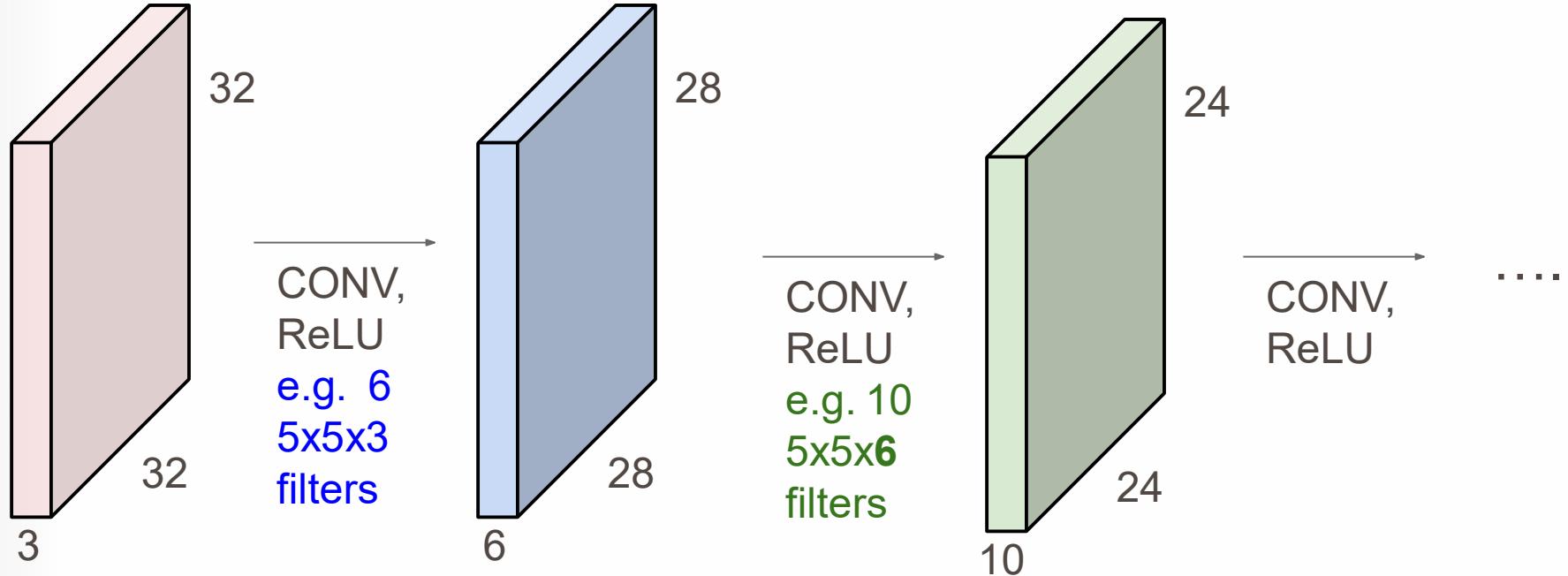


We stack these up to get a “new image” of size 28x28x6!

# Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions



# Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions



# CNN – Convolution

Those are the network parameters to be learned.

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1  
Matrix

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2  
Matrix

⋮ ⋮

Property 1

Chih-Chung Hsu@ACVLab

Each filter detects a small pattern (3 x 3).

# CNN – Convolution

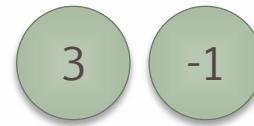
stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1



# CNN – Convolution

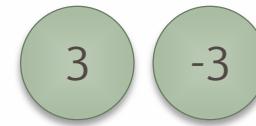
If stride=2

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

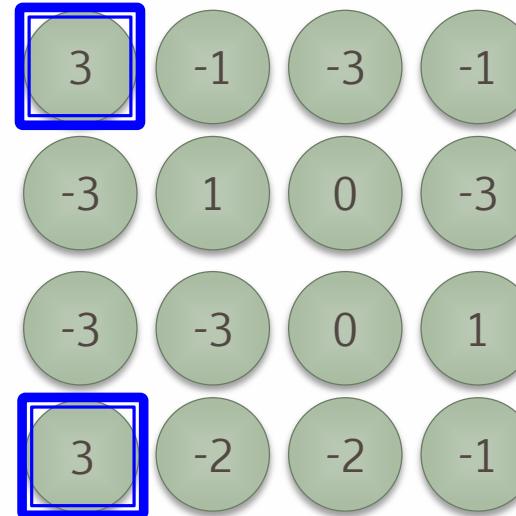
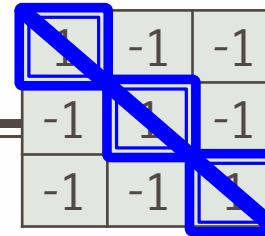
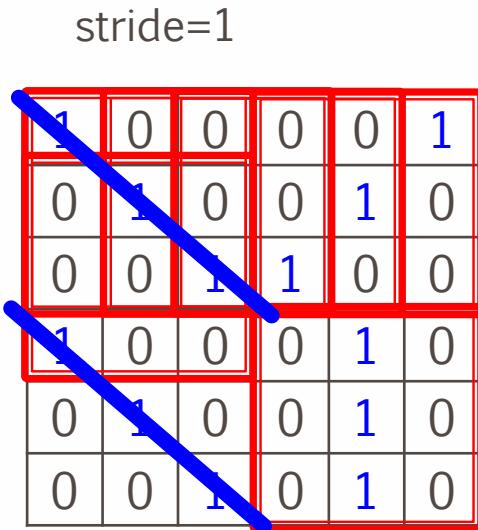
1	-1	-1
-1	1	-1
-1	-1	1

Filter 1



We set stride=1 below

# CNN – Convolution



Property 2

# CNN – Convolution

Filter 1

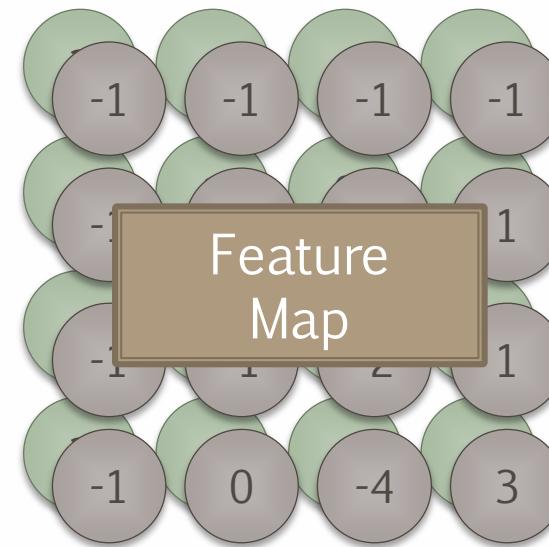
-1	1	-1
-1	1	-1
-1	1	-1

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

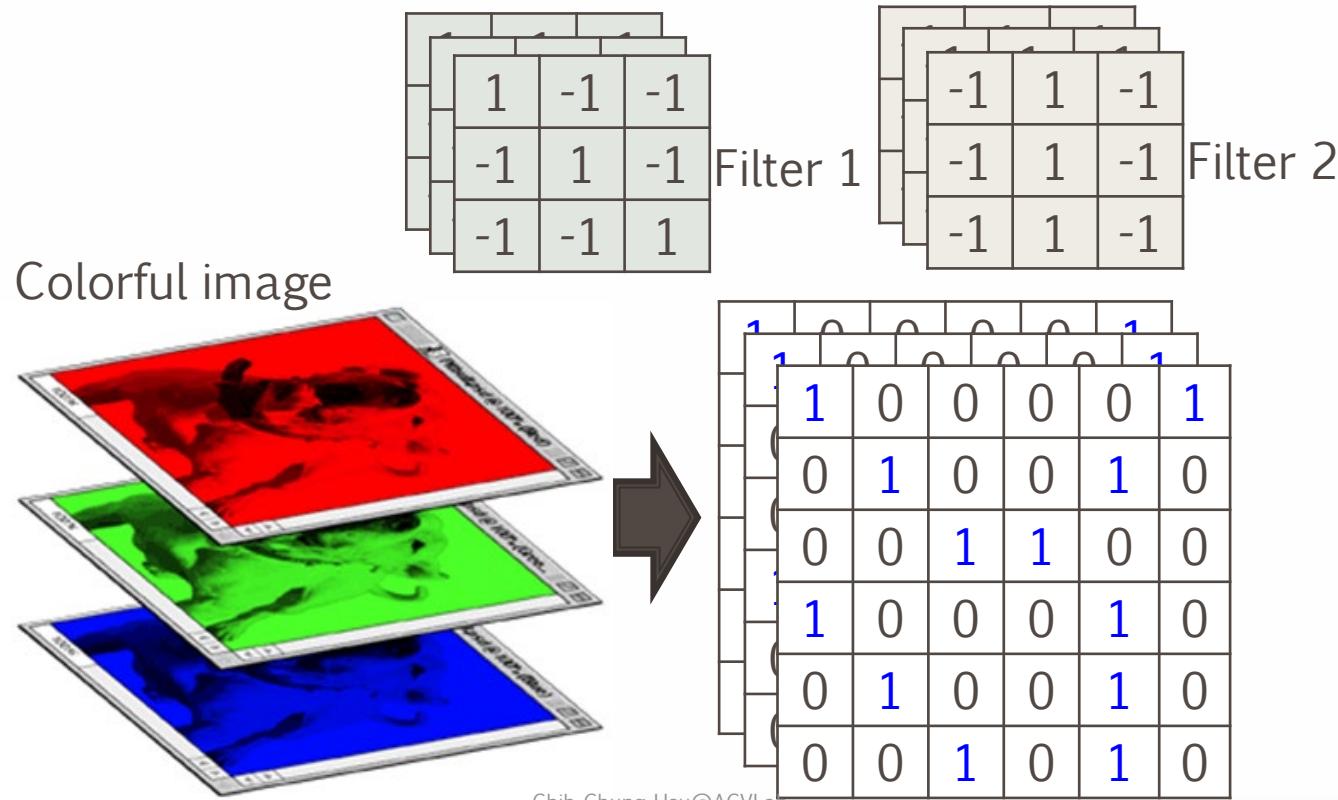
Do the same process  
for every filter



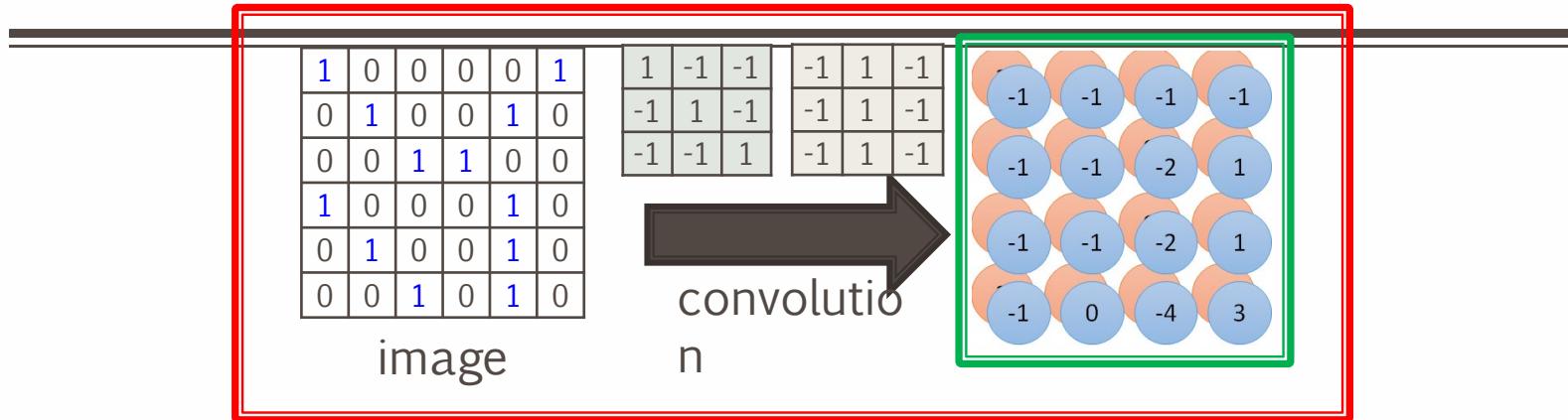
4 x 4 image

# CNN – Colorful image

---

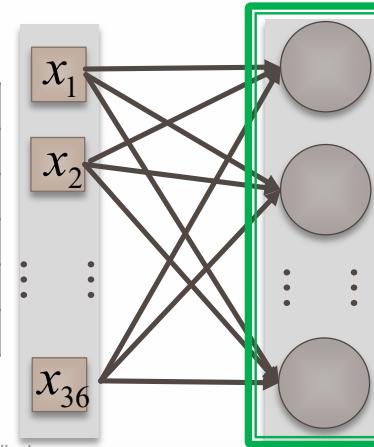


# Convolution v.s. Fully Connected

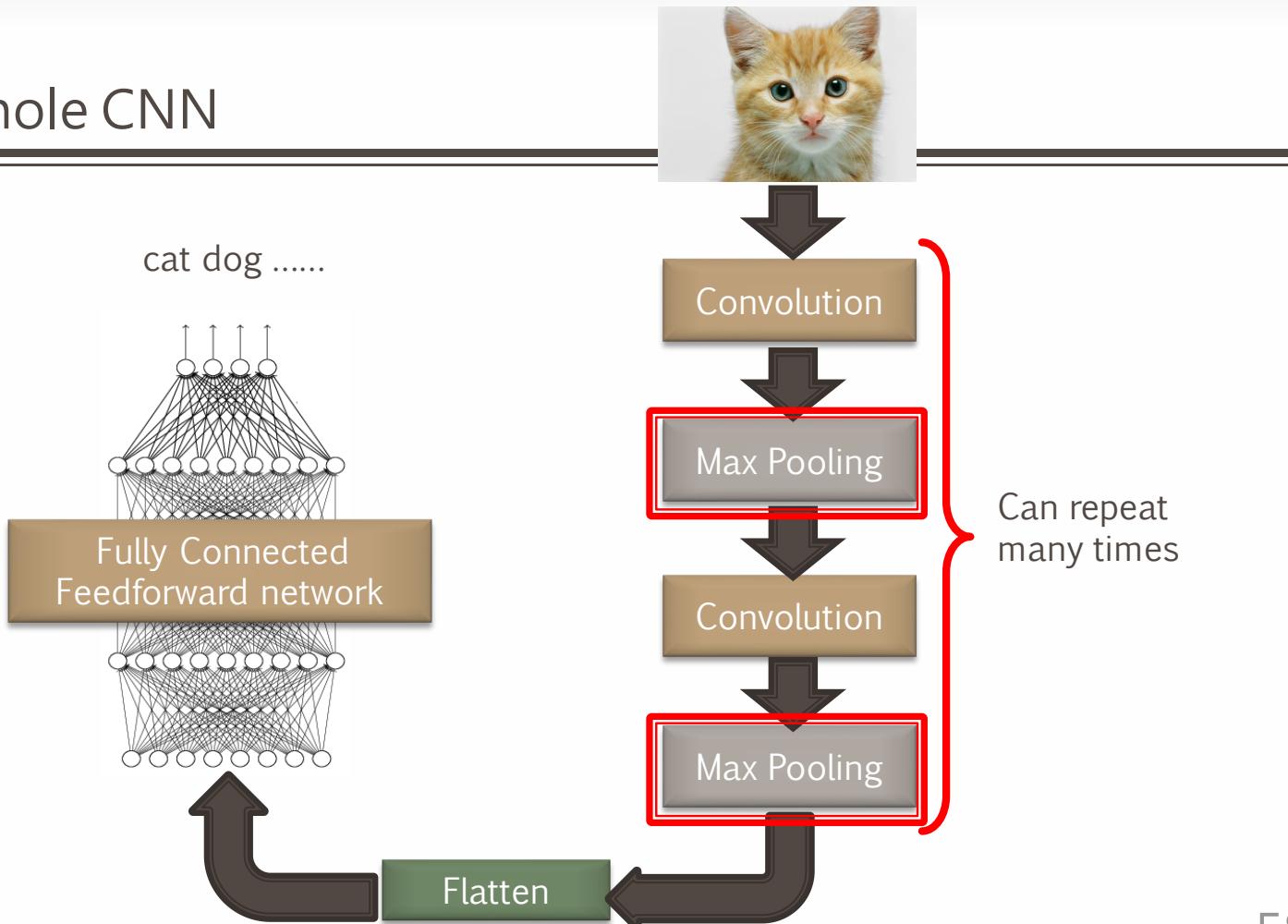


Fully-  
connected

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0



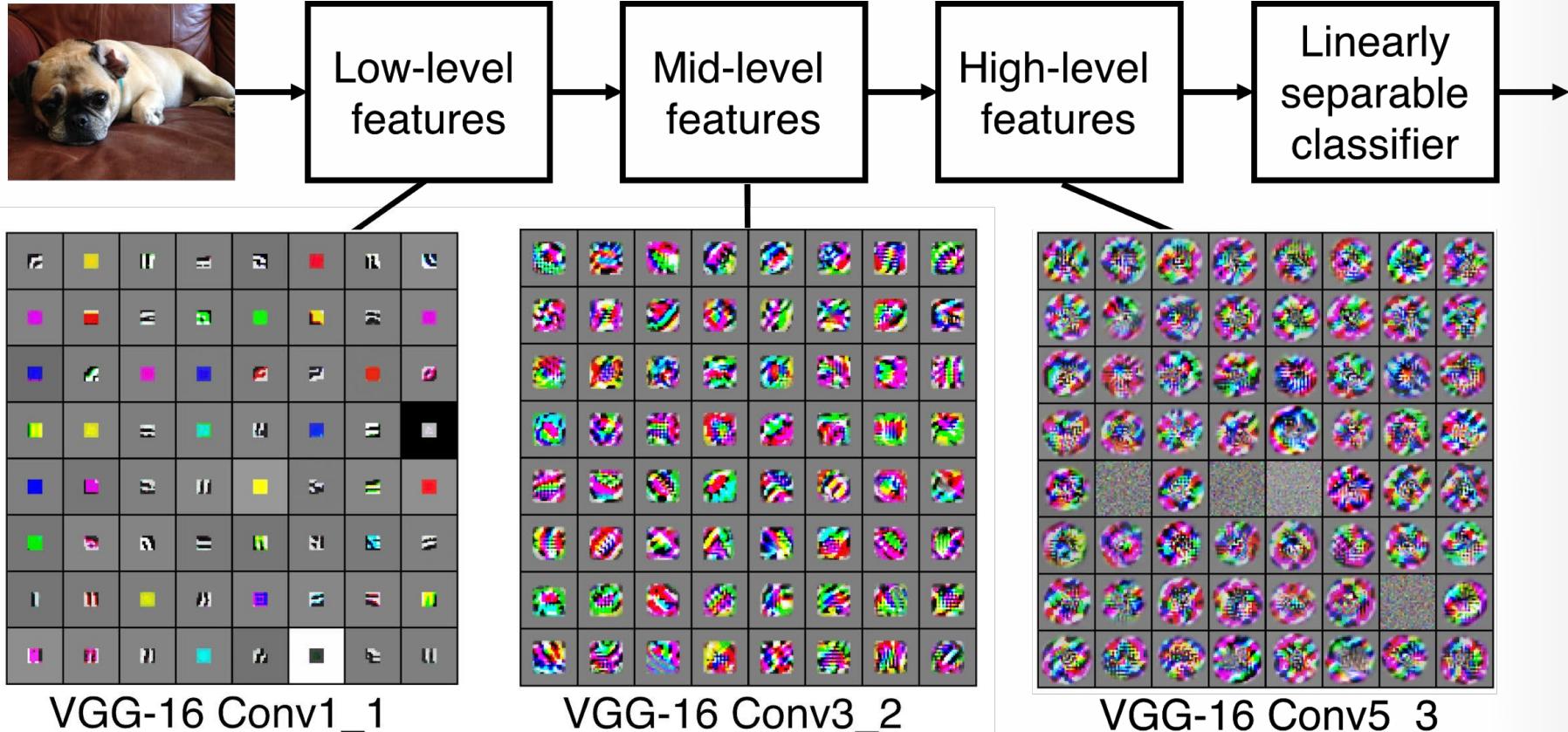
# The whole CNN



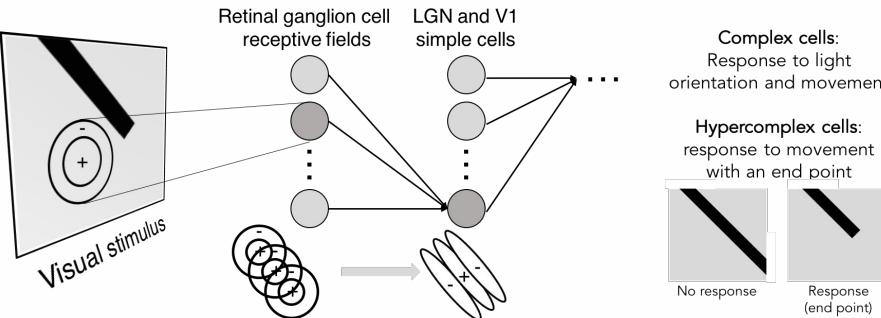
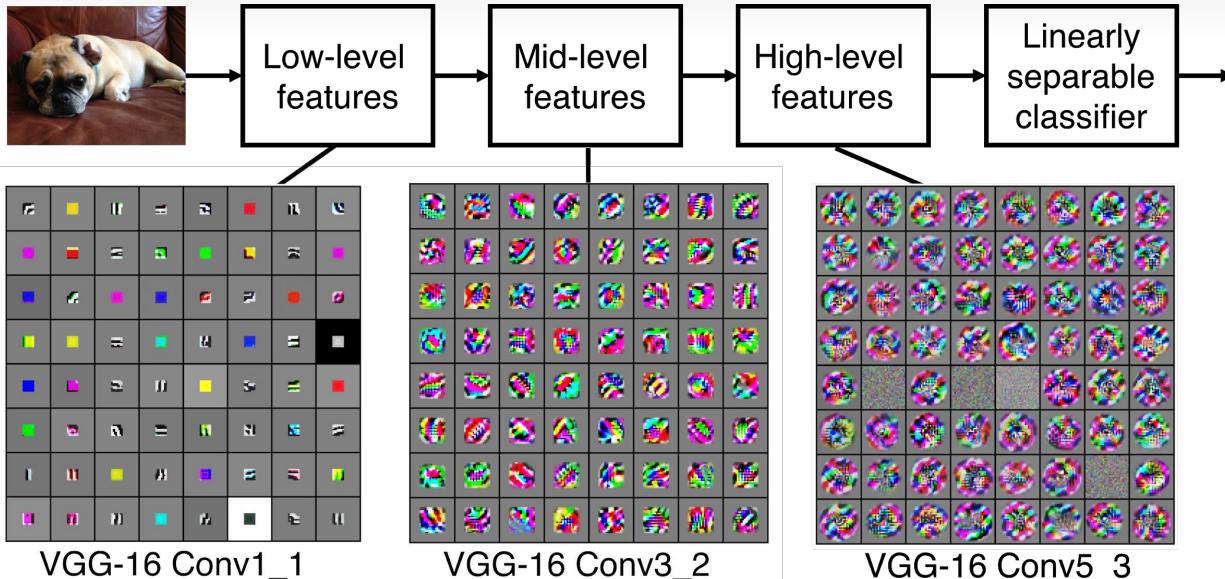
# Preview

[Zeiler and Fergus 2013]

Visualization of VGG-16 by Lane McIntosh. VGG-16 architecture from [Simonyan and Zisserman 2014].

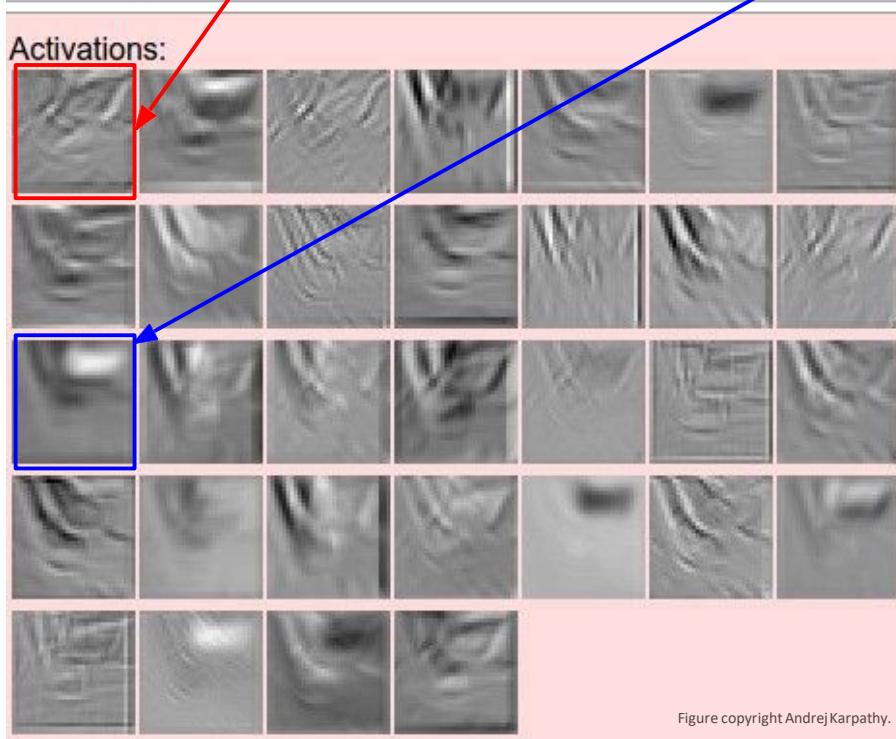


# Preview





one filter =>  
one activation map



example 5x5 filters  
(32 total)

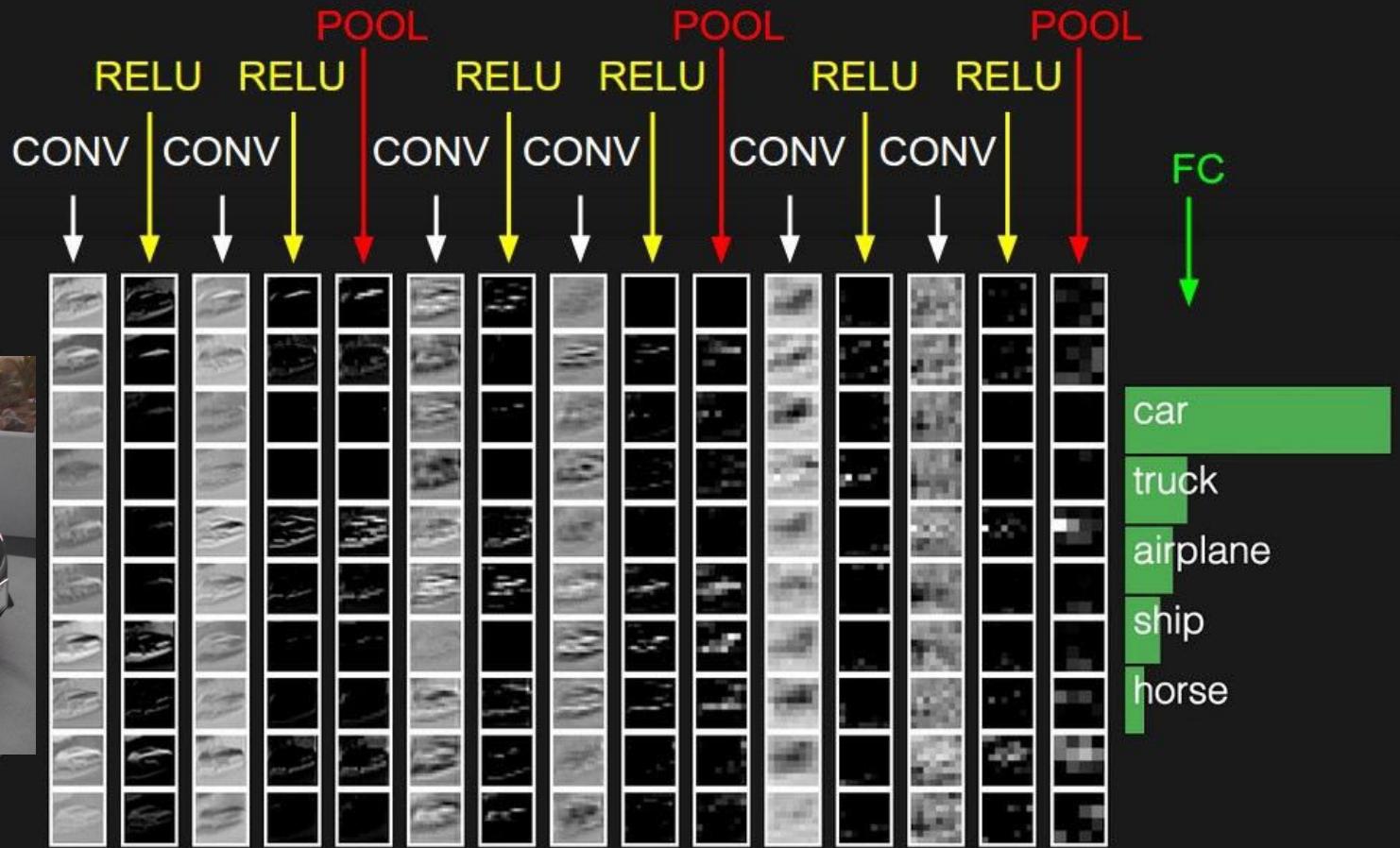
We call the layer convolutional  
because it is related to convolution  
of two signals:

$$f[x,y] * g[x,y] = \sum_{n_1=-\infty}^{\infty} \sum_{n_2=-\infty}^{\infty} f[n_1, n_2] \cdot g[x - n_1, y - n_2]$$

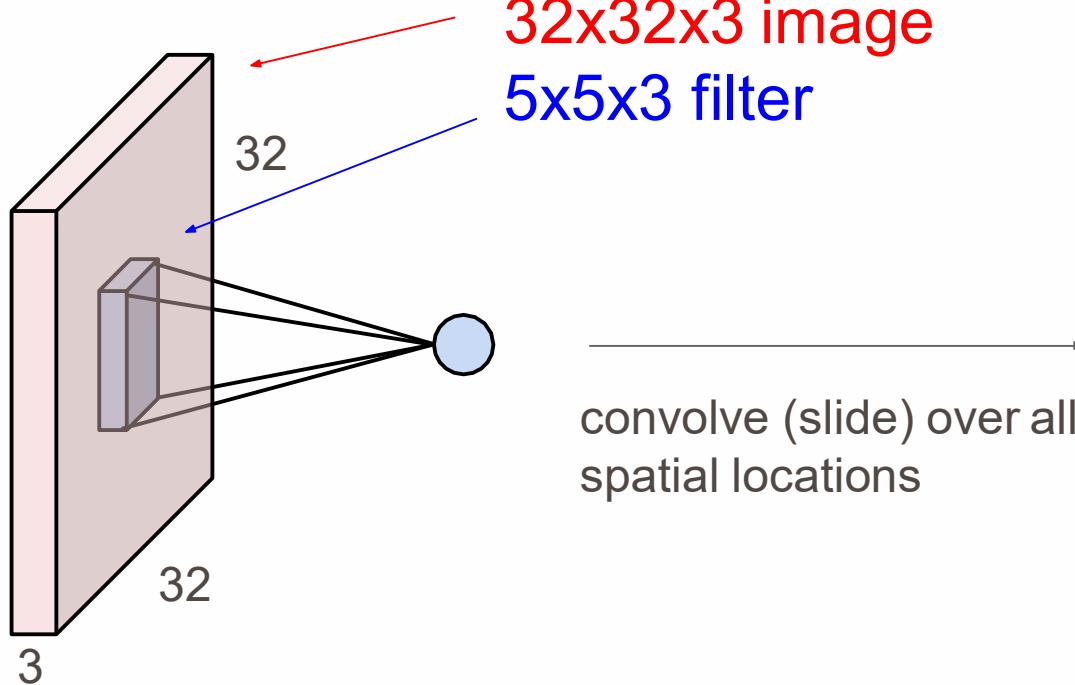


elementwise multiplication and sum of  
a filter and the signal (image)

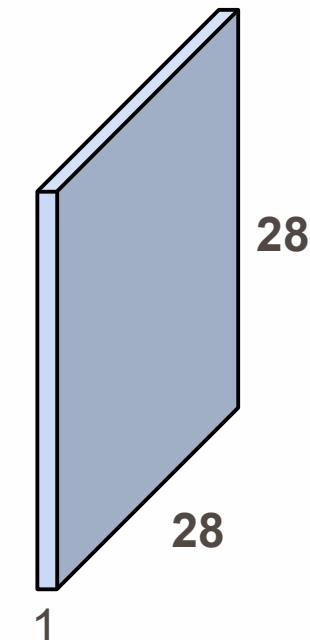
preview:



A closer look at spatial dimensions:

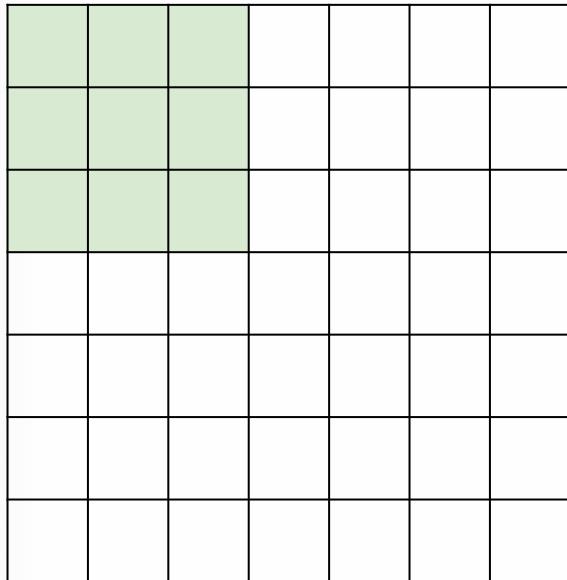


activation map



A closer look at spatial dimensions:

7

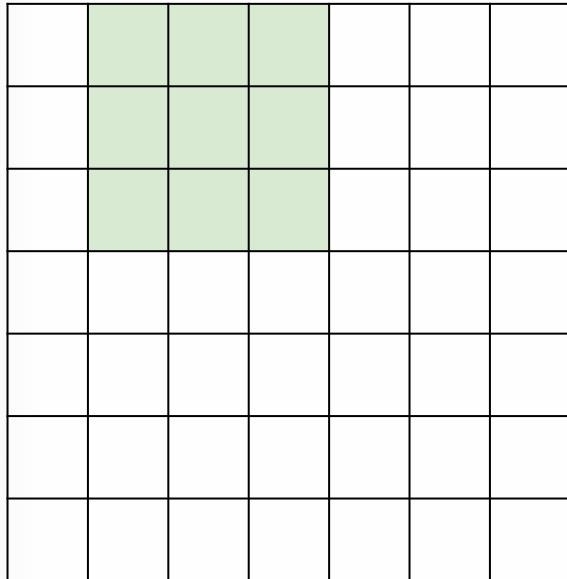


7

7x7 input (spatially)  
assume 3x3 filter

A closer look at spatial dimensions:

7

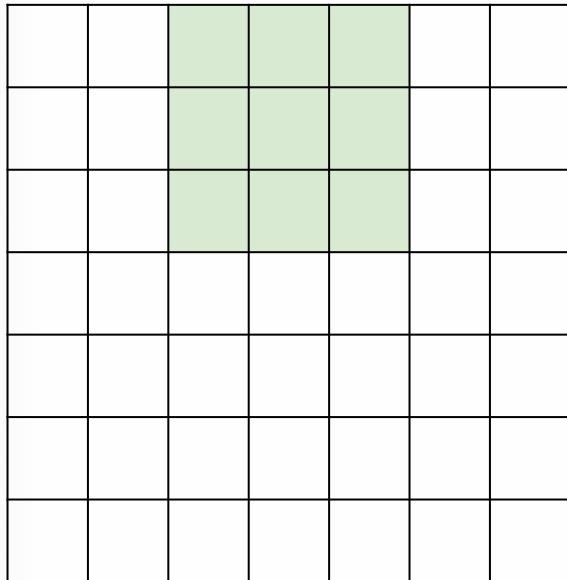


7x7 input (spatially)  
assume 3x3 filter

7

A closer look at spatial dimensions:

7

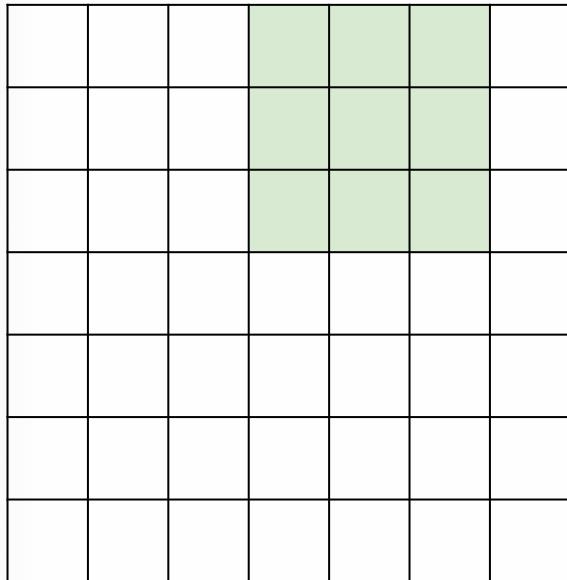


7x7 input (spatially)  
assume 3x3 filter

7

A closer look at spatial dimensions:

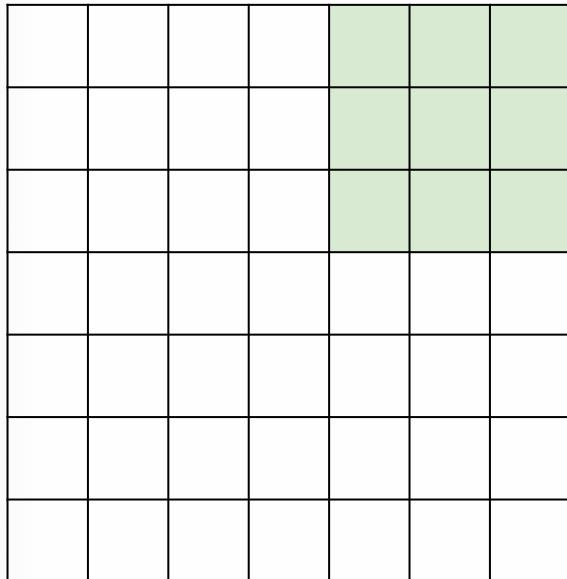
7



7x7 input (spatially)  
assume 3x3 filter

A closer look at spatial dimensions:

7

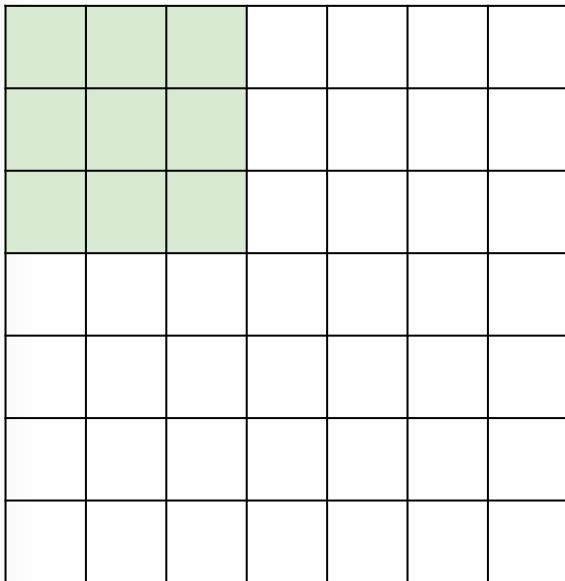


7x7 input (spatially)  
assume 3x3 filter

**=> 5x5 output**

A closer look at spatial dimensions:

7

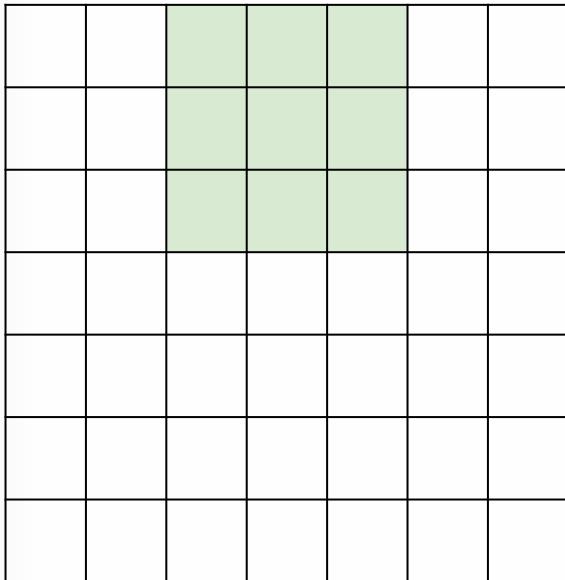


7

7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**

A closer look at spatial dimensions:

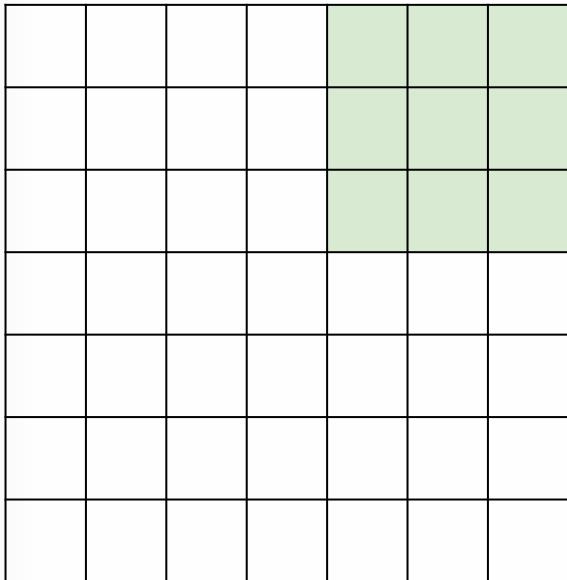
7



7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**

A closer look at spatial dimensions:

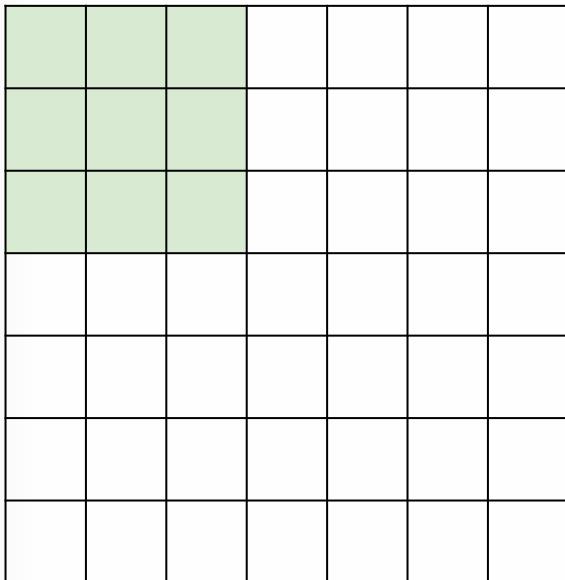
7



7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**  
**=> 3x3 output!**

A closer look at spatial dimensions:

7

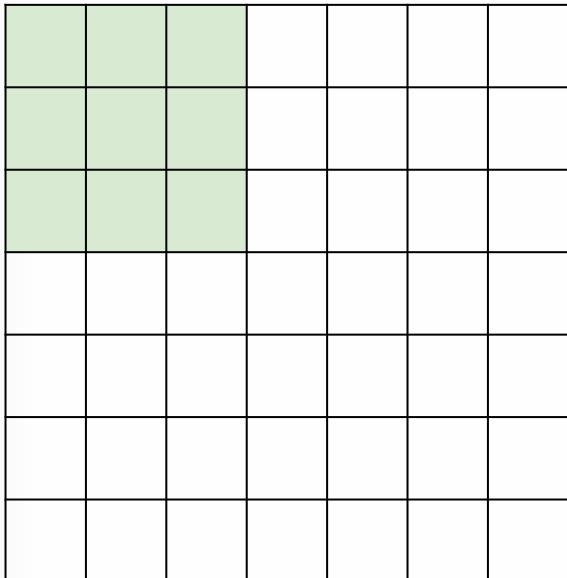


7

7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 3?**

A closer look at spatial dimensions:

7

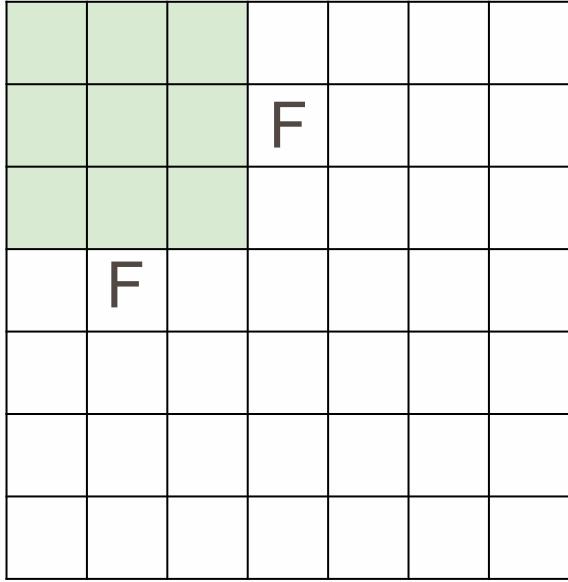


7

7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 3?**

**doesn't fit!**  
cannot apply 3x3 filter on  
7x7 input with stride 3.

N



N

Output size:  
**(N - F) / stride + 1**

e.g.  $N = 7, F = 3$ :

$$\text{stride } 1 \Rightarrow (7 - 3)/1 + 1 = 5$$

$$\text{stride } 2 \Rightarrow (7 - 3)/2 + 1 = 3$$

$$\text{stride } 3 \Rightarrow (7 - 3)/3 + 1 = 2.33 :\backslash$$

In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

3x3 filter, applied with **stride 1**

pad with 1 pixel border => what is the output?

(recall:)  
$$(N - F) / \text{stride} + 1$$

In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

3x3 filter, applied with **stride 1**

**pad with 1 pixel border => what is the output?**

**7x7 output!**

In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

**3x3 filter, applied with stride 1**

**pad with 1 pixel border => what is the output?**

**7x7 output!**

in general, common to see CONV layers with stride 1, filters of size FxF, and zero-padding with  $(F-1)/2$ . (will preserve size spatially)

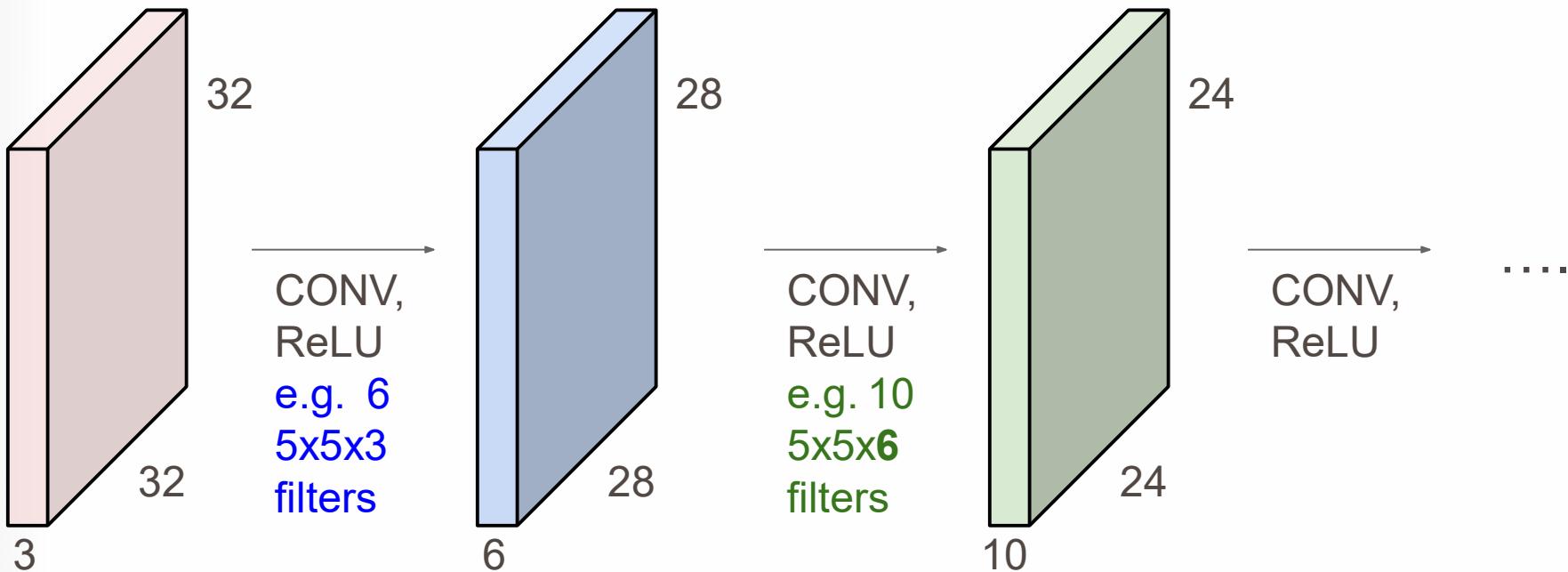
e.g.  $F = 3 \Rightarrow$  zero pad with 1

$F = 5 \Rightarrow$  zero pad with 2

$F = 7 \Rightarrow$  zero pad with 3

Remember back to...

E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially!  
(32 -> 28 -> 24 ...). Shrinking too fast is not good, doesn't work well.



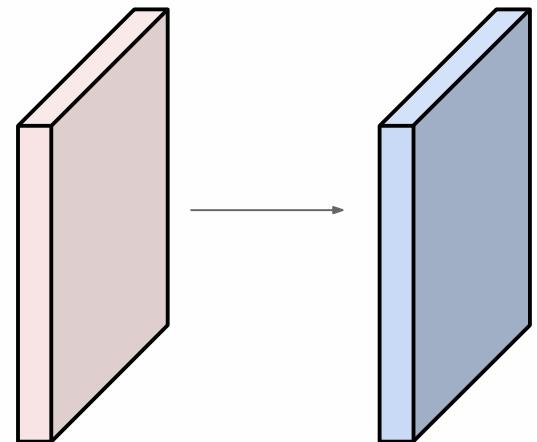
# Examples time

---

Input volume: **32x32x3**

10 5x5 filters with stride 1, pad 2

Output volume size: ?



## Examples time

---

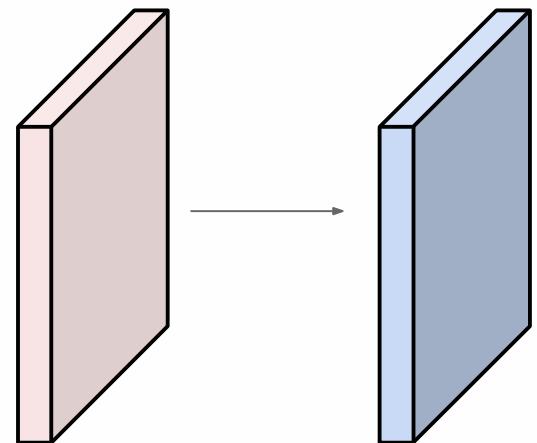
Input volume: **32x32x3**

10 **5x5** filters with stride **1**, pad **2**

Output volume size:

$(32+2*2-5)/1+1 = 32$  spatially, so

**32x32x10**



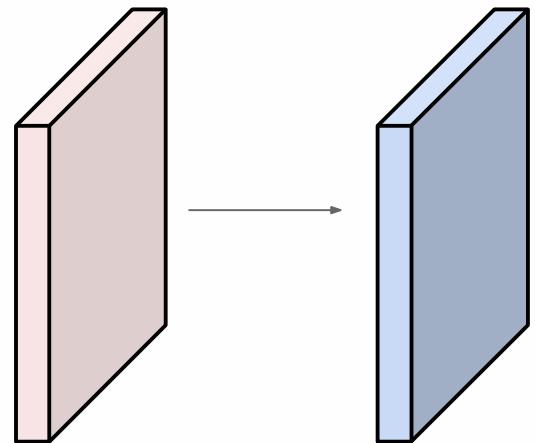
# Examples time

---

Input volume: **32x32x3**

10 5x5 filters with stride 1, pad 2

Number of parameters in this layer?



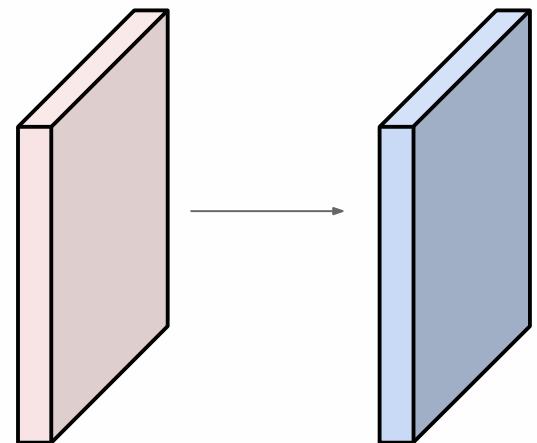
## Examples time:

---

Input volume: **32x32x3**

10 **5x5** filters with stride 1, pad 2

Number of parameters in this layer? each  
filter has  $5 \times 5 \times 3 + 1 = 76$  params  
 $\Rightarrow 76 \times 10 = 760$  (+1 for bias)



# Convolution layer: summary

---

Let's assume input is  $W_1 \times H_1 \times C$

Conv layer needs 4 hyperparameters:

- Number of filters **K**
- The filter size **F**
- The stride **S**
- The zero padding **P**

This will produce an output of  $W_2 \times H_2 \times K$

where:

- $W_2 = (W_1 - F + 2P)/S + 1$
- $H_2 = (H_1 - F + 2P)/S + 1$

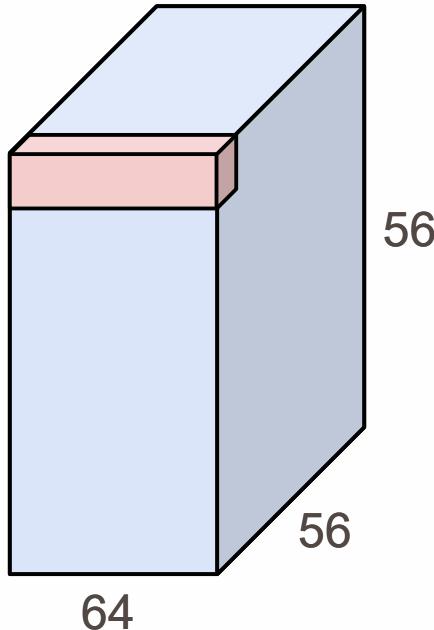
Number of parameters:  $F^2CK$  and  $K$  biases

Common settings:

$K = (\text{powers of 2, e.g. } 32, 64, 128, 512)$

- $F = 3, S = 1, P = 1$
- $F = 5, S = 1, P = 2$
- $F = 5, S = 2, P = ?$  (whatever fits)
- $F = 1, S = 1, P = 0$

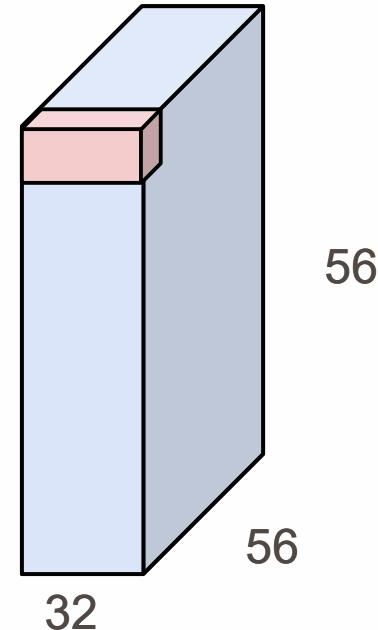
(btw, 1x1 convolution layers make perfect sense)



1x1 CONV  
with 32 filters

→

(each filter has size  
 $1 \times 1 \times 64$ , and performs a  
64-dimensional dot  
product)



# Example: CONV layer in PyTorch

## Conv2d

CLASS `torch.nn.Conv2d(in_channels, out_channels, kernel_size, stride=1, padding=0, dilation=1, groups=1, bias=True)`

[SOURCE]

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{\text{in}}, H, W)$  and output  $(N, C_{\text{out}}, H_{\text{out}}, W_{\text{out}})$  can be precisely described as:

$$\text{out}(N_i, C_{\text{out}_j}) = \text{bias}(C_{\text{out}_j}) + \sum_{k=0}^{C_{\text{in}}-1} \text{weight}(C_{\text{out}_j}, k) * \text{input}(N_i, k)$$

where  $*$  is the valid 2D cross-correlation operator,  $N$  is a batch size,  $C$  denotes a number of channels,  $H$  is a height of input planes in pixels, and  $W$  is width in pixels.

- `stride` controls the stride for the cross-correlation, a single number or a tuple.
- `padding` controls the amount of implicit zero-paddings on both sides for `padding` number of points for each dimension.
- `dilation` controls the spacing between the kernel points; also known as the à trous algorithm. It is harder to describe, but this [link](#) has a nice visualization of what `dilation` does.
- `groups` controls the connections between inputs and outputs. `in_channels` and `out_channels` must both be divisible by `groups`. For example,
  - At `groups=1`, all inputs are convolved to all outputs.
  - At `groups=2`, the operation becomes equivalent to having two conv layers side by side, each seeing half the input channels, and producing half the output channels, and both subsequently concatenated.
  - At `groups= in_channels`, each input channel is convolved with its own set of filters, of size:  $\left\lfloor \frac{C_{\text{out}}}{C_{\text{in}}} \right\rfloor$ .

The parameters `kernel_size`, `stride`, `padding`, `dilation` can either be:

- a single `int` – in which case the same value is used for the height and width dimension
- a `tuple` of two `ints` – in which case, the first `int` is used for the height dimension, and the second `int` for the width dimension

[PyTorch](#) is licensed under [BSD 3-clause](#).

# Example: CONV layer in Keras

**Summary.** To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .

## Conv2D

[source]

```
keras.layers.Conv2D(filters, kernel_size, strides=(1, 1), padding='valid', data_format=None, d:
```

2D convolution layer (e.g. spatial convolution over images).

This layer creates a convolution kernel that is convolved with the layer input to produce a tensor of outputs. If `use_bias` is True, a bias vector is created and added to the outputs. Finally, if `activation` is not `None`, it is applied to the outputs as well.

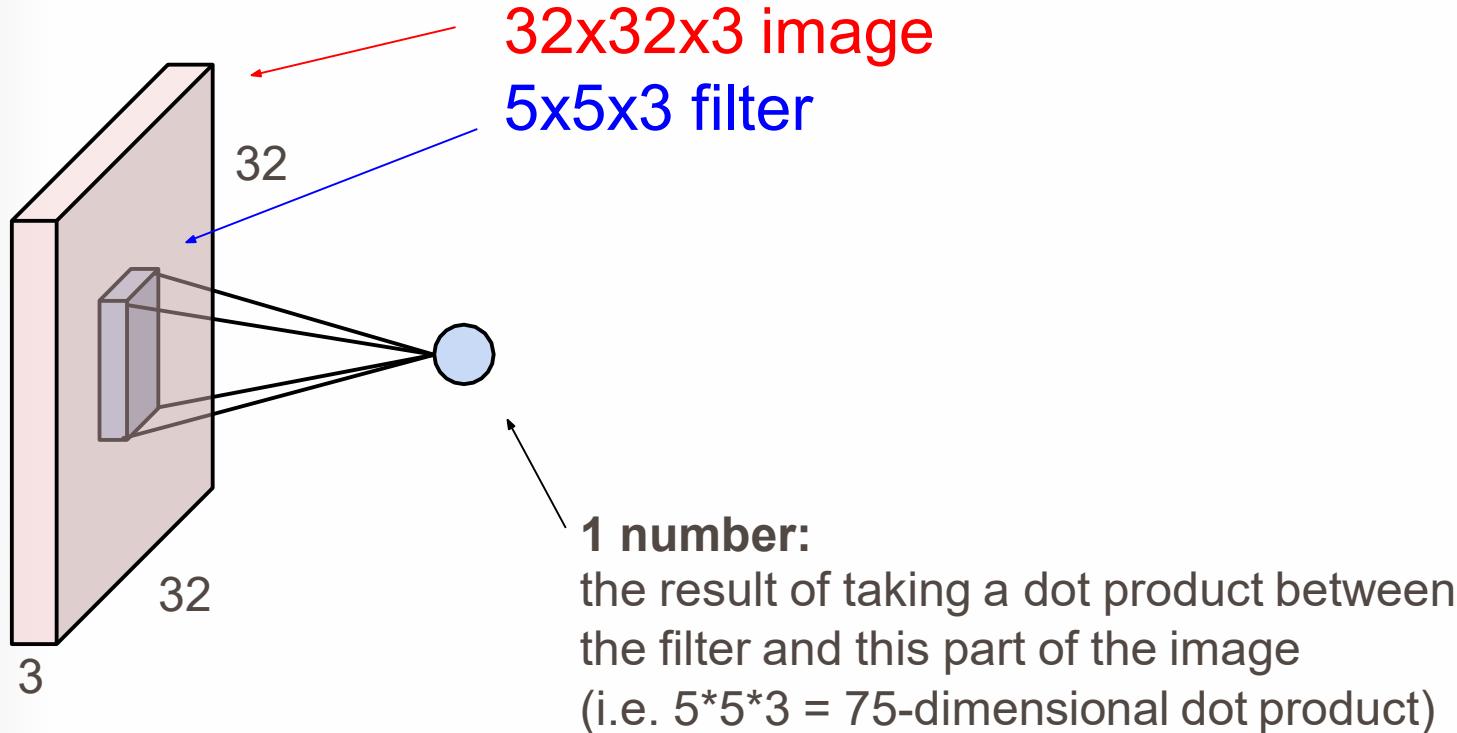
When using this layer as the first layer in a model, provide the keyword argument `input_shape` (tuple of integers, does not include the batch axis), e.g. `input_shape=(128, 128, 3)` for 128x128 RGB pictures in `data_format="channels_last"`.

### Arguments

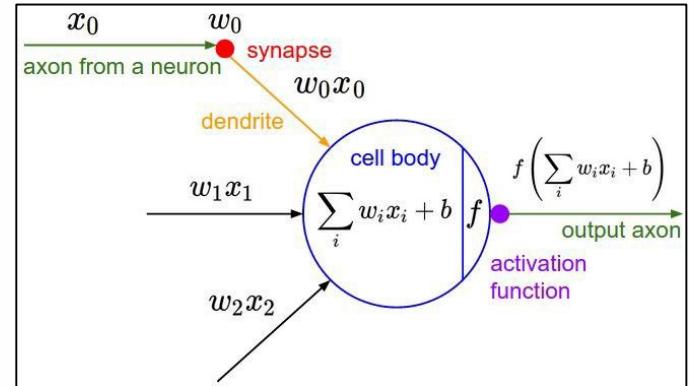
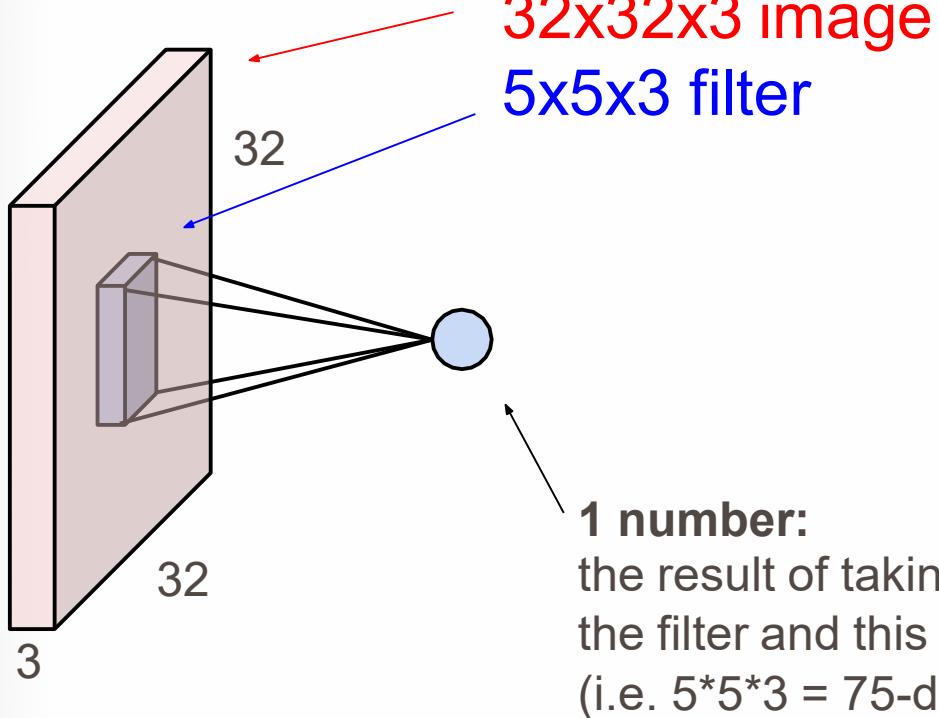
- **filters:** Integer, the dimensionality of the output space (i.e. the number of output filters in the convolution).
- **kernel\_size:** An integer or tuple/list of 2 integers, specifying the height and width of the 2D convolution window. Can be a single integer to specify the same value for all spatial dimensions.
- **strides:** An integer or tuple/list of 2 integers, specifying the strides of the convolution along the height and width. Can be a single integer to specify the same value for all spatial dimensions. Specifying any stride value != 1 is incompatible with specifying any `dilation_rate` value != 1.
- **padding:** one of `"valid"` or `"same"` (case-insensitive). Note that `"same"` is slightly inconsistent across backends with `strides` != 1, as described [here](#)
- **data\_format:** A string, one of `"channels_last"` or `"channels_first"`. The ordering of the dimensions in the inputs. `"channels_last"` corresponds to inputs with shape `(batch, height, width, channels)` while `"channels_first"` corresponds to inputs with shape `(batch, channels, height, width)`. It defaults to the `image_data_format` value found in your Keras config file at `~/.keras/keras.json`. If you never set it, then it will be `"channels_last"`.

Keras is licensed under the [MIT license](#).

# The brain/neuron view of CONV Layer

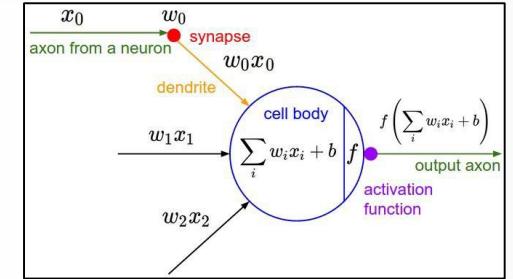
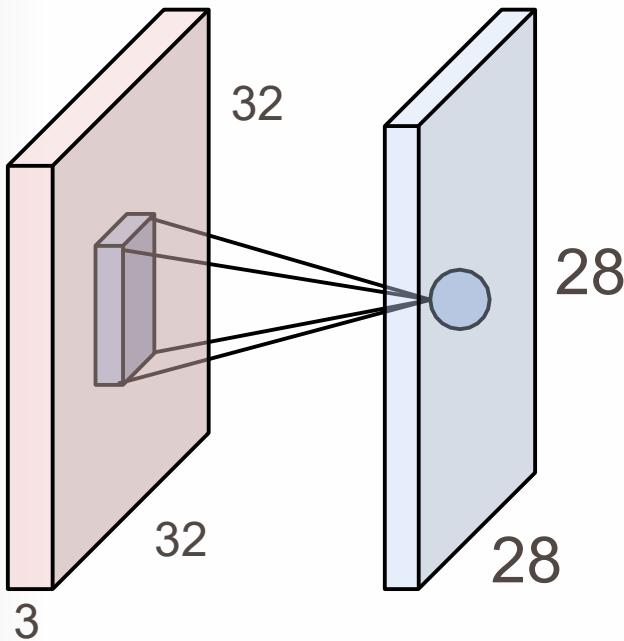


# The brain/neuron view of CONV Layer



It's just a neuron with local connectivity...

# The brain/neuron view of CONV Layer

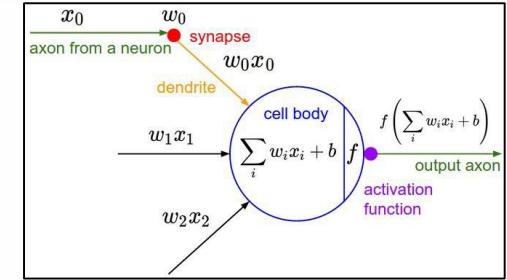
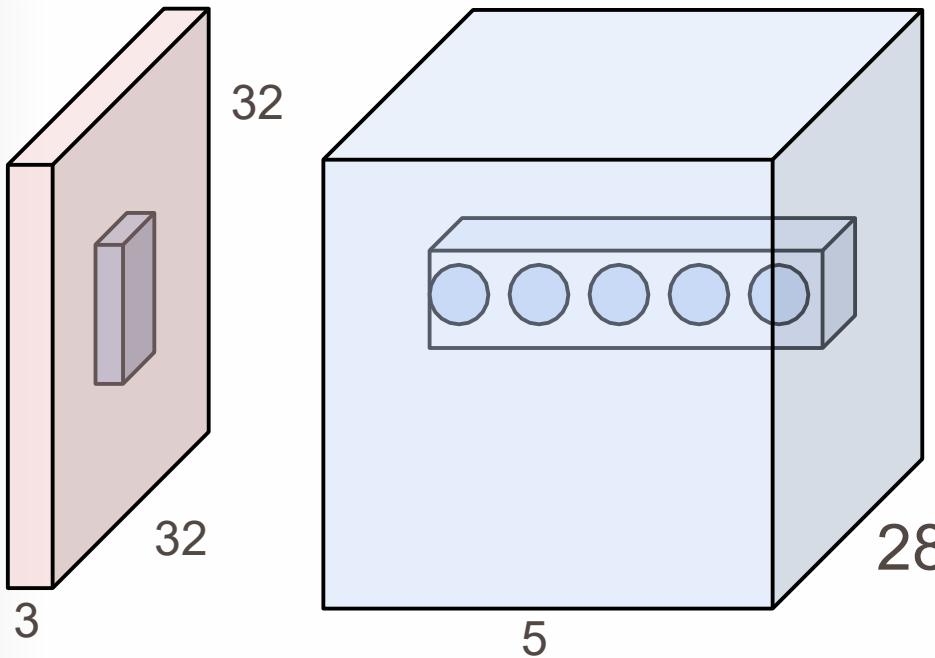


An activation map is a 28x28 sheet of neuron outputs:

1. Each is connected to a small region in the input
2. All of them share parameters

“5x5 filter” -> “5x5 receptive field for each neuron”

# The brain/neuron view of CONV Layer



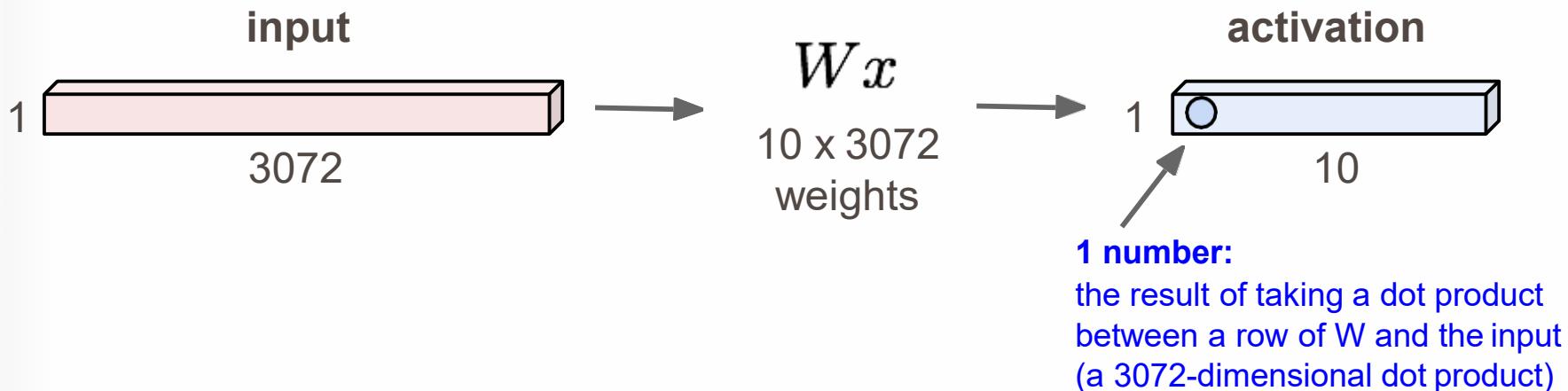
E.g. with 5 filters,  
CONV layer consists of  
neurons arranged in a 3D grid  
( $28 \times 28 \times 5$ )

There will be 5 different  
neurons all looking at the same  
region in the input volume

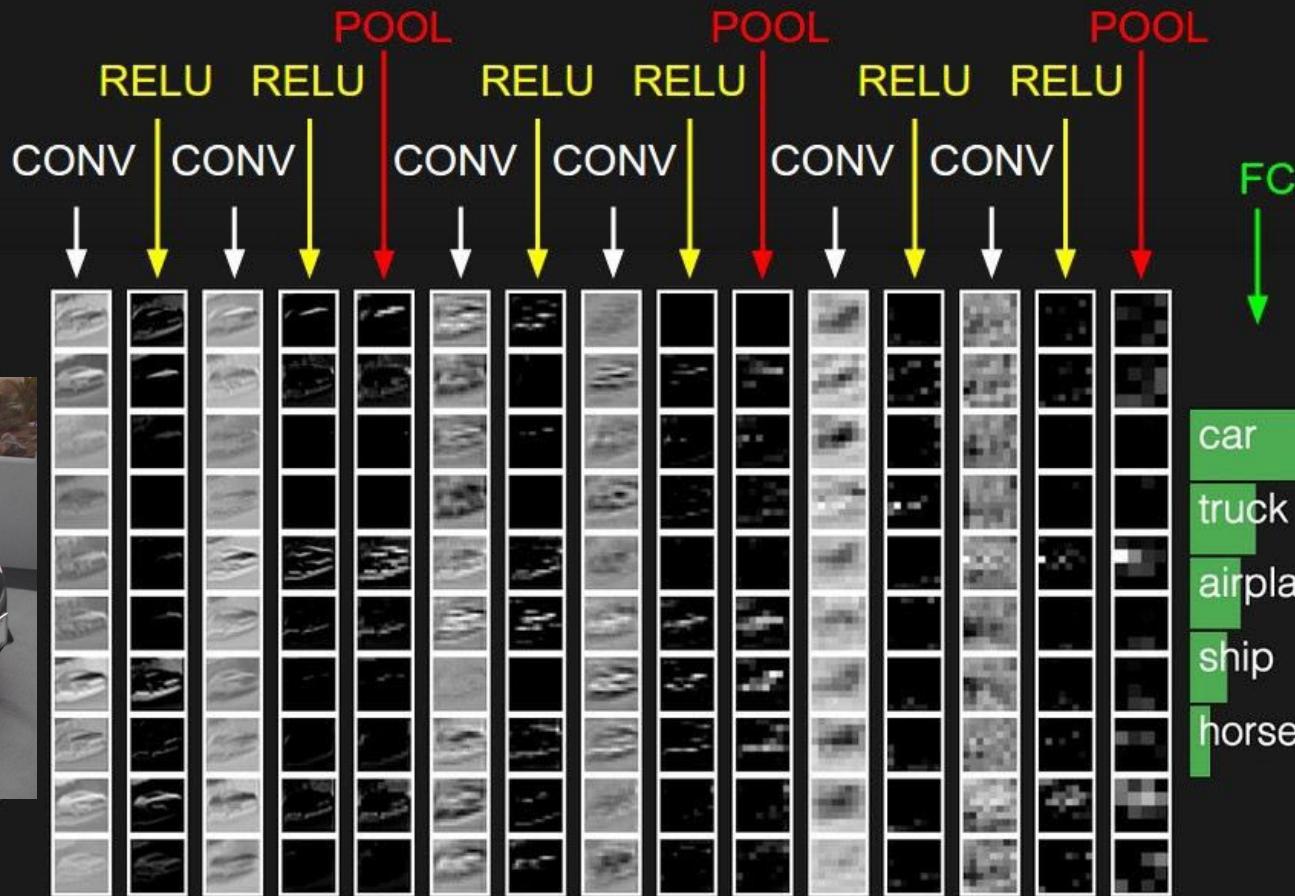
Reminder: Fully Connected Layer

32x32x3 image -> stretch to 3072 x 1

Each neuron looks at the full input volume

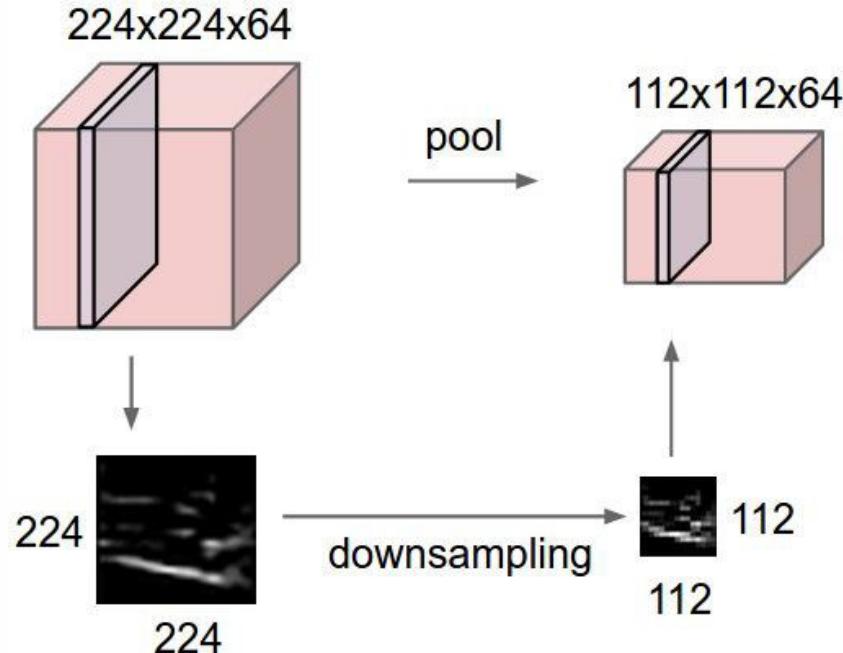


two more layers to go: POOL/FC



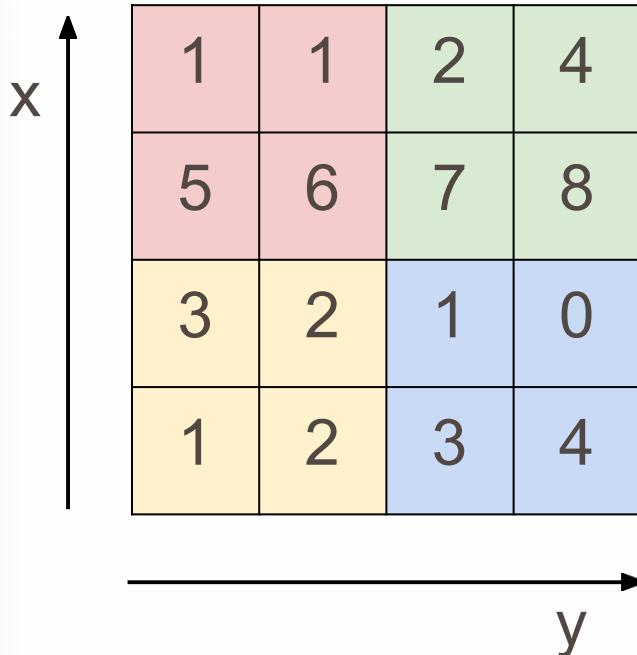
# Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently:



# MAX POOLING

Single depth slice



max pool with 2x2 filters  
and stride 2

An output tensor resulting from max pooling the input with 2x2 filters and stride 2. It has two columns and two rows. The top-left cell contains the maximum value from the top-left 2x2 receptive field (5, 6, 3, 2), which is 6. The top-right cell contains the maximum value from the top-right 2x2 receptive field (6, 7, 2, 1), which is 8. The bottom-left cell contains the maximum value from the bottom-left 2x2 receptive field (3, 2, 1, 0), which is 3. The bottom-right cell contains the maximum value from the bottom-right 2x2 receptive field (2, 1, 0, 4), which is 4.

6	8
3	4

# Parameters and convolutions

---

## Convolution layer: summary

Let's assume input is  $W_1 \times H_1 \times C$

Conv layer needs 2 hyperparameters:

- The spatial extent **F**
- The stride **S**

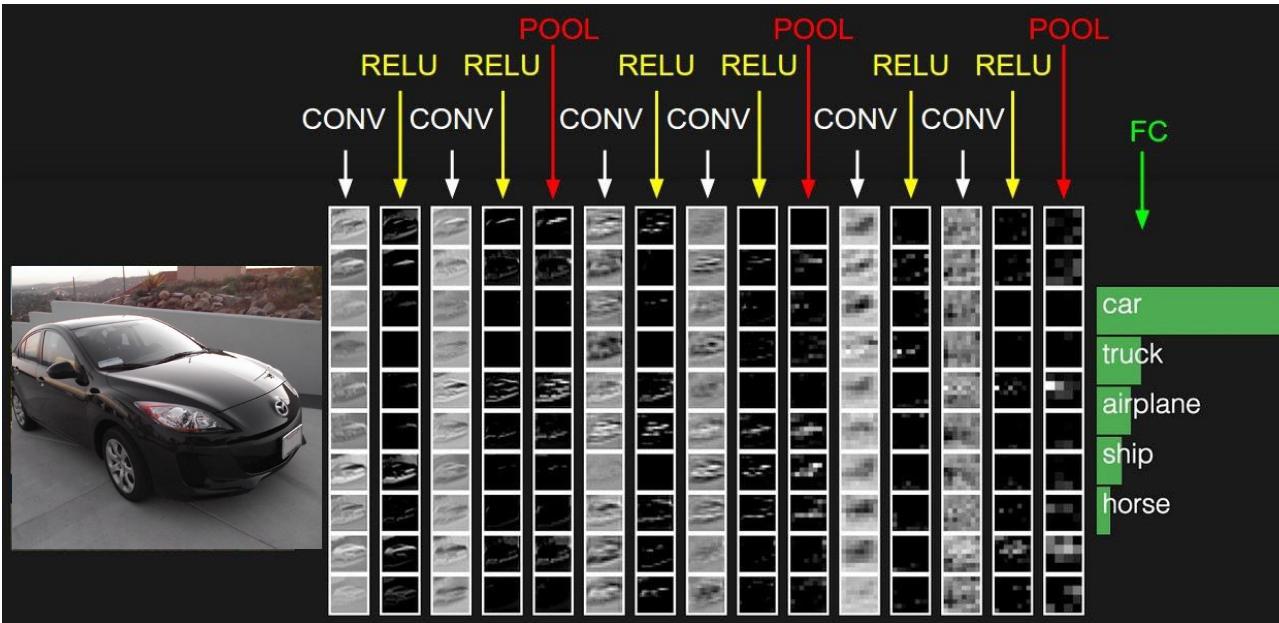
This will produce an output of  $W_2 \times H_2 \times C$  where:

- $W_2 = (W_1 - F)/S + 1$
- $H_2 = (H_1 - F)/S + 1$

Number of parameters: 0

# Fully Connected Layer (FC layer)

- Contains neurons that connect to the entire input volume, as in ordinary Neural Networks



# [ConvNetJS demo: training on CIFAR-10]

## [ConvNetJS CIFAR-10 demo](#)

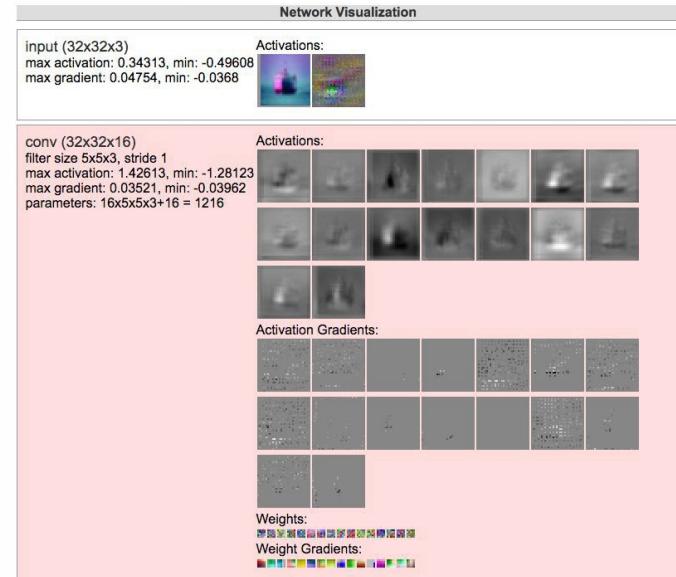
### Description

This demo trains a Convolutional Neural Network on the [CIFAR-10 dataset](#) in your browser, with nothing but Javascript. The state of the art on this dataset is about 90% accuracy and human performance is at about 94% (not perfect as the dataset can be a bit ambiguous). I used [this python script](#) to parse the [original files](#) (python version) into batches of images that can be easily loaded into page DOM with img tags.

This dataset is more difficult and it takes longer to train a network. Data augmentation includes random flipping and random image shifts by up to 2px horizontally and vertically.

By default, in this demo we're using Adadelta which is one of per-parameter adaptive step size methods, so we don't have to worry about changing learning rates or momentum over time. However, I still included the text fields for changing these if you'd like to play around with SGD+Momentum trainer.

Report questions/bugs/suggestions to [@karpathy](#).

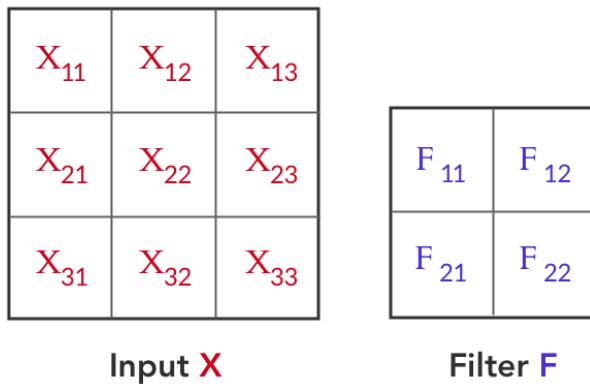


<http://cs.stanford.edu/people/karpathy/convnetjs/demo/cifar10.html>

# Backpropagation

---

- Now, let's assume the function *f is a convolution between Input X and a Filter F*. Input X is a 3x3 matrix and Filter F is a 2x2 matrix, as shown below:

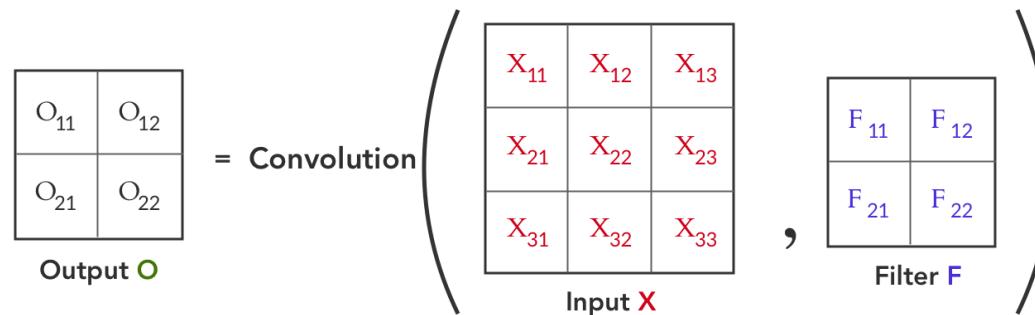


Ref: <https://medium.com/@pavisj/convolutions-and-backpropagations-46026a8f5d2c>

# Backpropagation

---

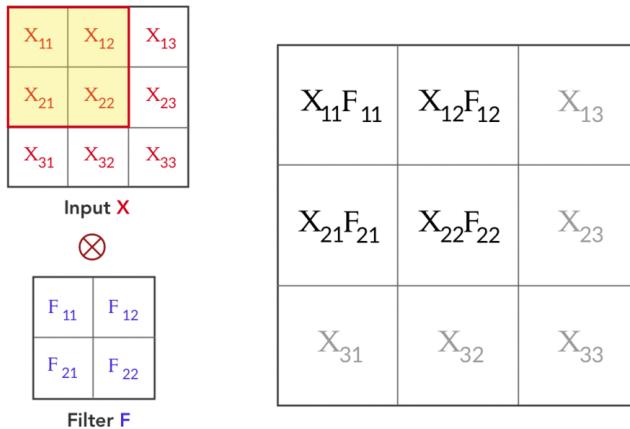
- Convolution between Input X and Filter F, gives us an output O. This can be represented as



Ref: <https://medium.com/@pavisj/convolutions-and-backpropagations-46026a8f5d2c>

# Backpropagation (Forward part)

---



Ref: <https://medium.com/@pavisj/convolutions-and-backpropagations-46026a8f5d2c>

# Backpropagation (Forward part)

Local Gradients → A

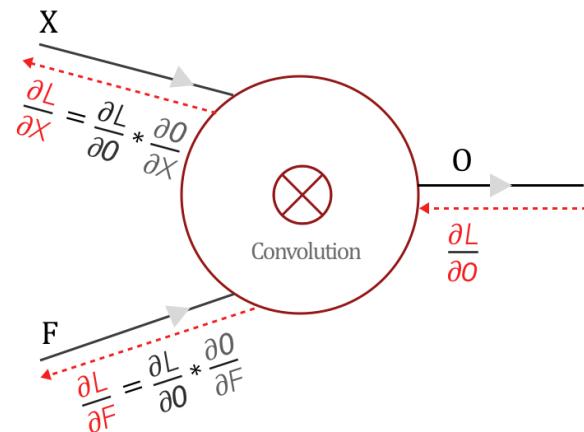
$$O_{11} = X_{11}F_{11} + X_{12}F_{12} + X_{21}F_{21} + X_{22}F_{22}$$

Finding derivatives with respect to  $F_{11}$ ,  $F_{12}$ ,  $F_{21}$  and  $F_{22}$

$$\frac{\partial O_{11}}{\partial F_{11}} = X_{11} \quad \frac{\partial O_{11}}{\partial F_{12}} = X_{12} \quad \frac{\partial O_{11}}{\partial F_{21}} = X_{21} \quad \frac{\partial O_{11}}{\partial F_{22}} = X_{22}$$

Similarly, we can find the local gradients for  $O_{12}$ ,  $O_{21}$  and  $O_{22}$

- So similar processes can be applied in anywhere



$\frac{\partial O}{\partial X}$  &  $\frac{\partial O}{\partial F}$  are local gradients

$\frac{\partial L}{\partial Z}$  is the loss from the previous layer which has to be backpropagated to other layers

Ref: <https://medium.com/@pavisj/convolutions-and-backpropagations-46026a8f5d2c>

# Summary

---

- ConvNets stack CONV,POOL,FC layers
- Trend towards smaller filters and deeper architectures
- Trend towards getting rid of POOL/FC layers (just CONV)
- Historically architectures looked like
  - $[(CONV-RELU)^*N-POOL]^*M-(FC-RELU)^*K, SOFTMAX$
  - where N is usually up to  $\sim 5$ , M is large,  $0 \leq K \leq 2$ .
  - - but recent advances such as ResNet/GoogLeNet have challenged this paradigm

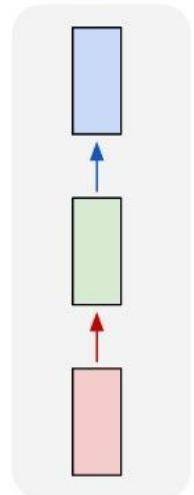


# RECURRENT NETWORKS

# "Vanilla" Neural Network

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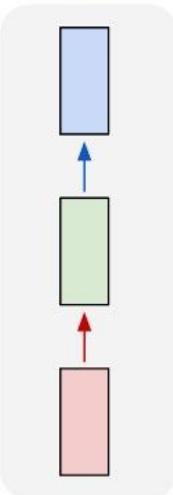
one to one



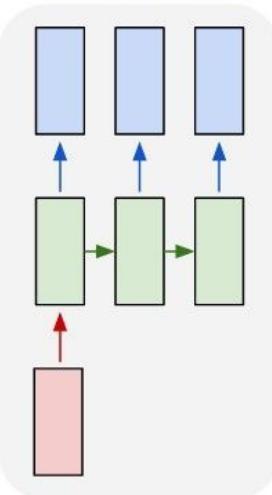
**Vanilla Neural Networks**

# Recurrent Neural Networks: Process Sequences

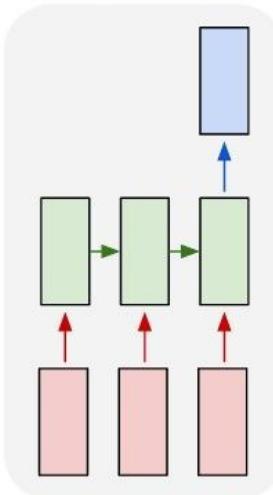
one to one



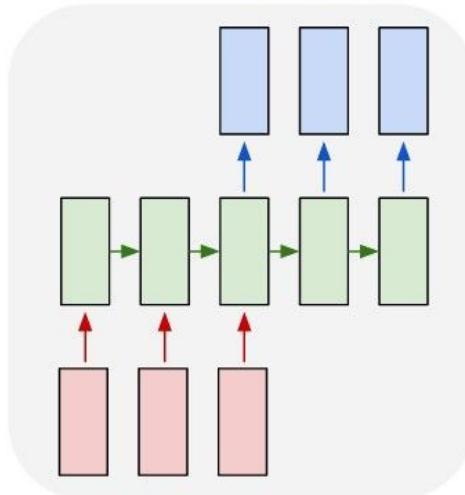
one to many



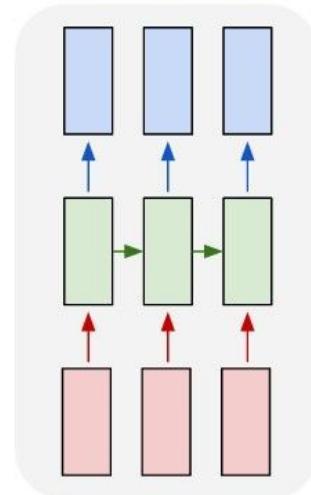
many to one



many to many



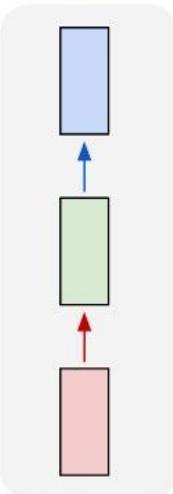
many to many



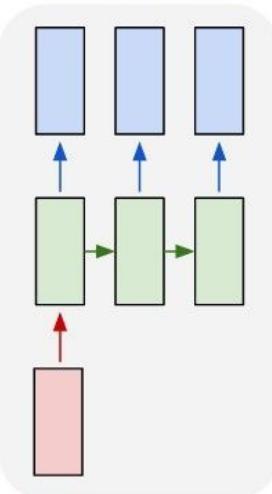
e.g. **Image Captioning**  
image -> sequence of words

# Recurrent Neural Networks: Process Sequences

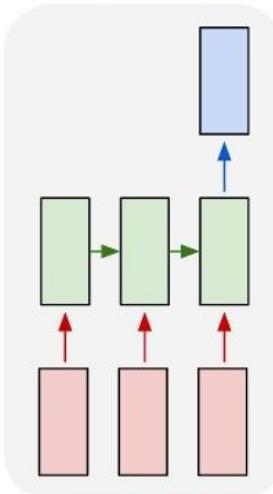
one to one



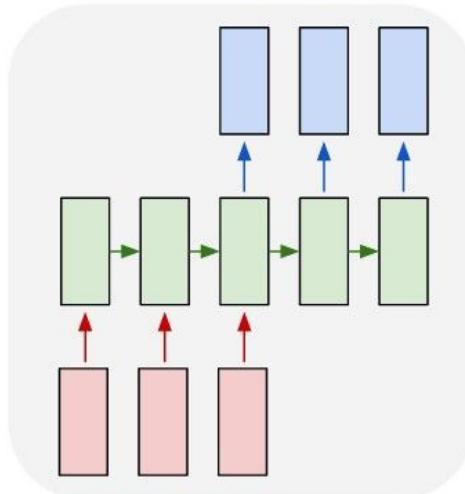
one to many



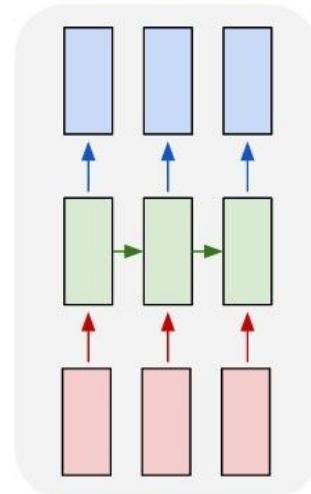
many to one



many to many



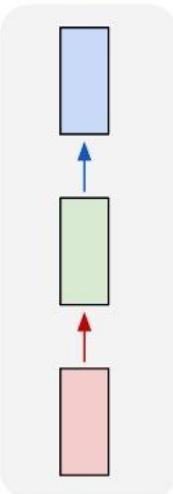
many to many



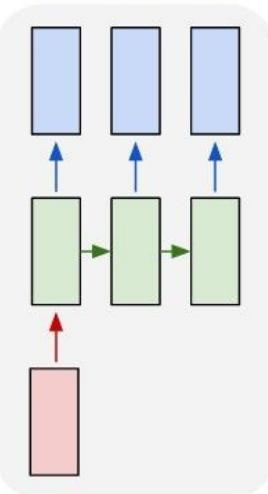
e.g. **action prediction**  
sequence of video frames -> action class

# Recurrent Neural Networks: Process Sequences

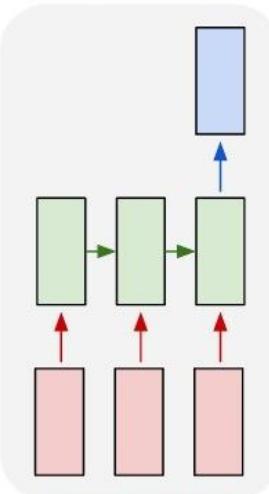
one to one



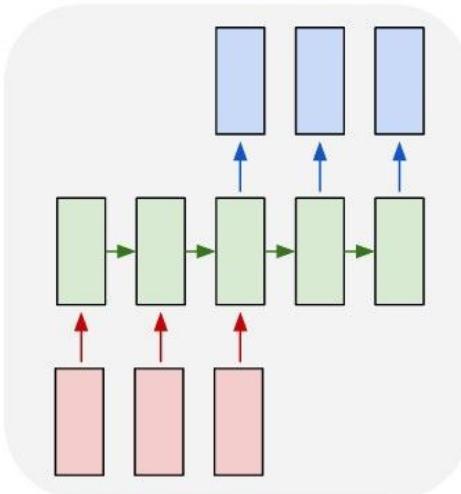
one to many



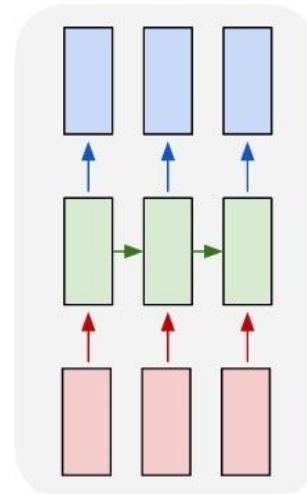
many to one



many to many



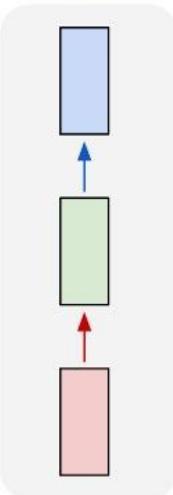
many to many



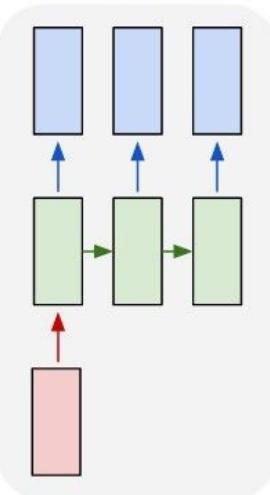
E.g. **Video Captioning**  
Sequence of video frames ->  
caption

# Recurrent Neural Networks: Process Sequences

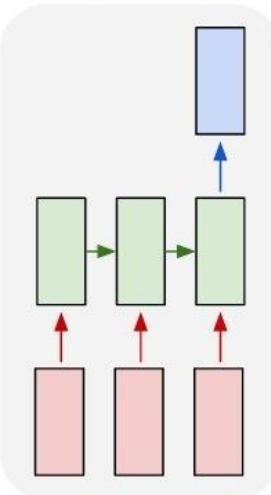
one to one



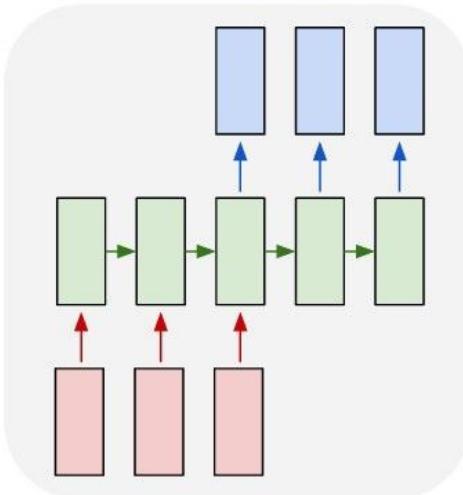
one to many



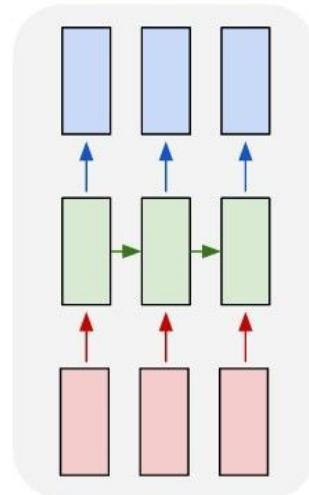
many to one



many to many



many to many

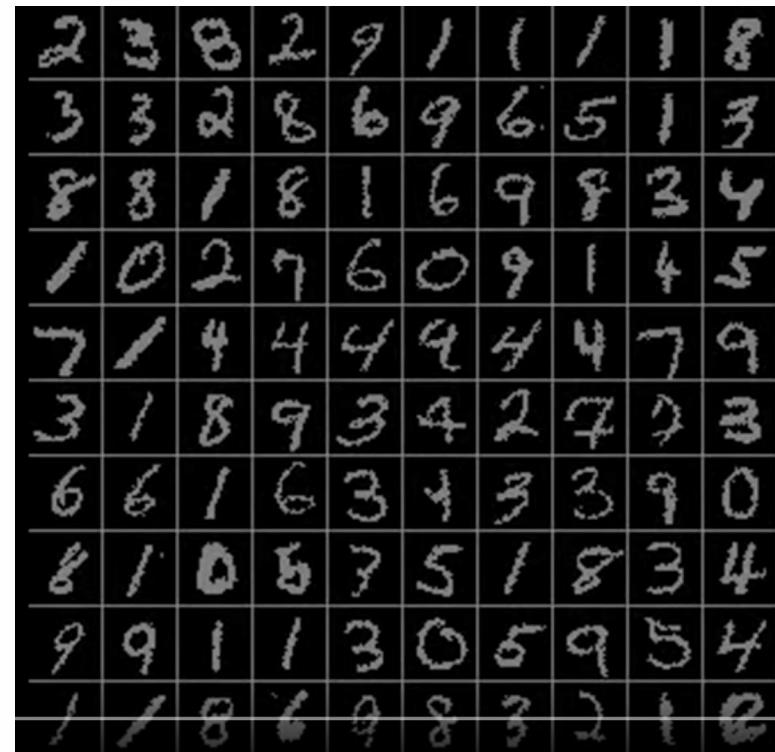


e.g. Video classification on frame level

# Sequential Processing of Non-Sequence Data

---

Classify images by taking a series of “glimpses”



Ba, Mnih, and Kavukcuoglu, “Multiple Object Recognition with Visual Attention”, ICLR 2015.

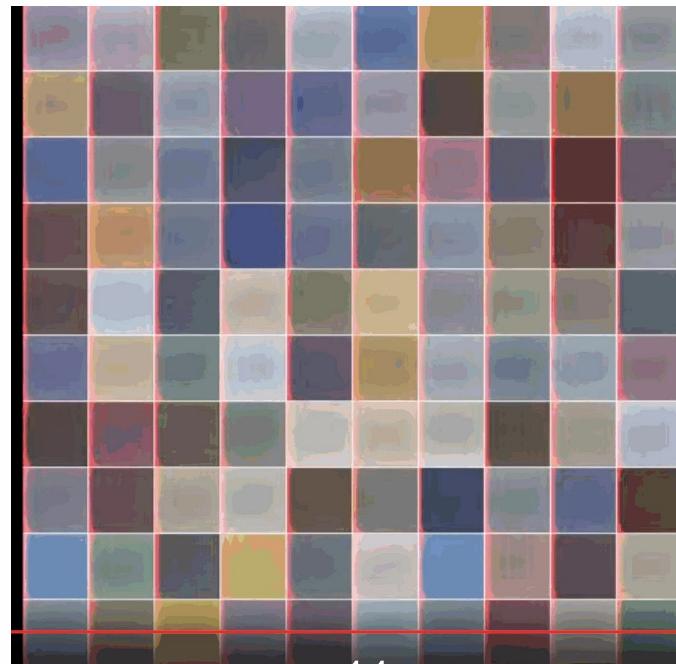
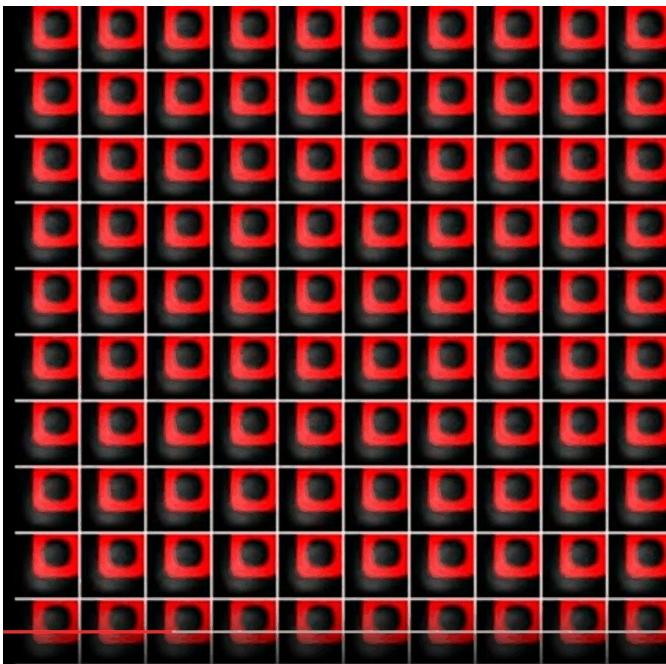
Gregor et al, “DRAW: A Recurrent Neural Network For Image Generation”, ICML 2015

Figure copyright Karol Gregor, Ivo Danihelka, Alex Graves, Danilo Jimenez Rezende, and Daan Wierstra, 2015. Reproduced with permission.

# Sequential Processing of Non-Sequence Data

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Generate images one piece at a time!

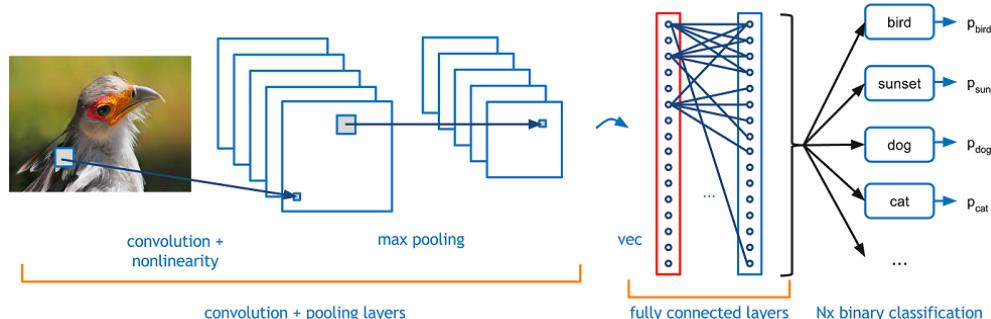




# LENET

# Build a Deep Convolution Neural Network

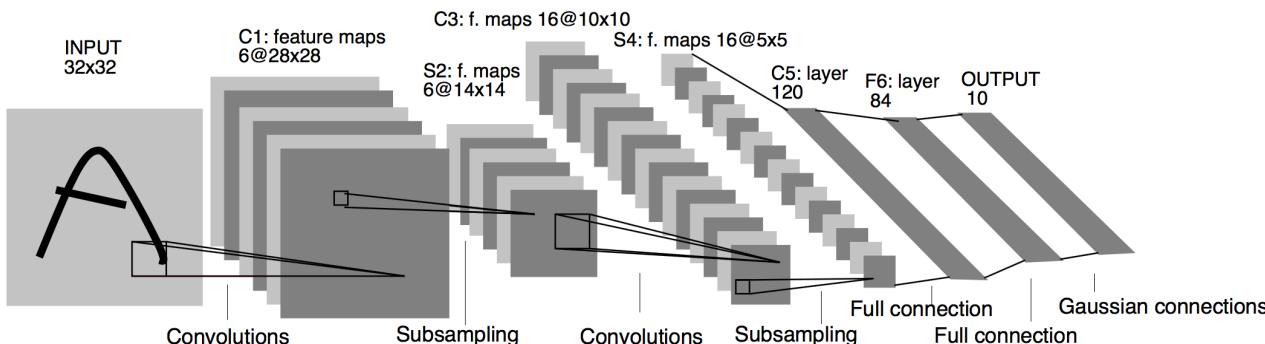
- It's a multilayer CNN:
  - 每一層 (Layer) 代表  $N$  個不同的 Kernel 計算出來的 Feature maps，因此每一層會有  $N$  Channels
  - 層與層的連結：上一層的 Feature maps，經過  $K$  個不同 Kernel 計算得出下一層的  $K$  個 Feature maps ( $K$  channels)
- 最終，由於 CNN 每個 Layer 是一個 4-D 結構
  - [Batch size × Width × Height × #Channels]
  - 將每個 Channel 都拉成 Vector，在把所有的 Vector Aggregate 起來



# LeNet5

---

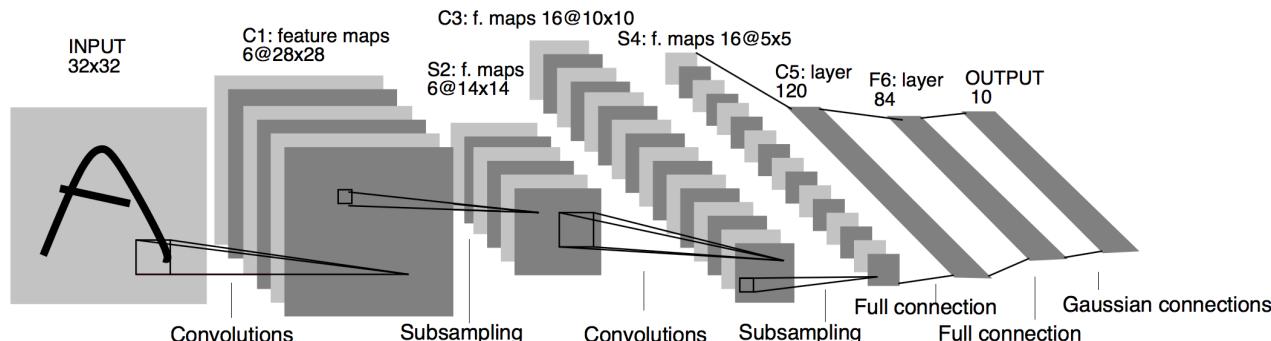
- Introduced by Yann LeCun.
- Raw image of  $32 \times 32$  pixels as input
- The size of kernels :  $5 \times 5$



# LeNet5

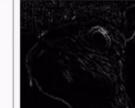
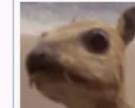
---

- C1, C3, C5 : Convolutional layer.
- $5 \times 5$  Convolution matrix.
- S2 , S4 : Subsampling layer.
- Subsampling by factor 2.
- F6 : Fully connected layer.

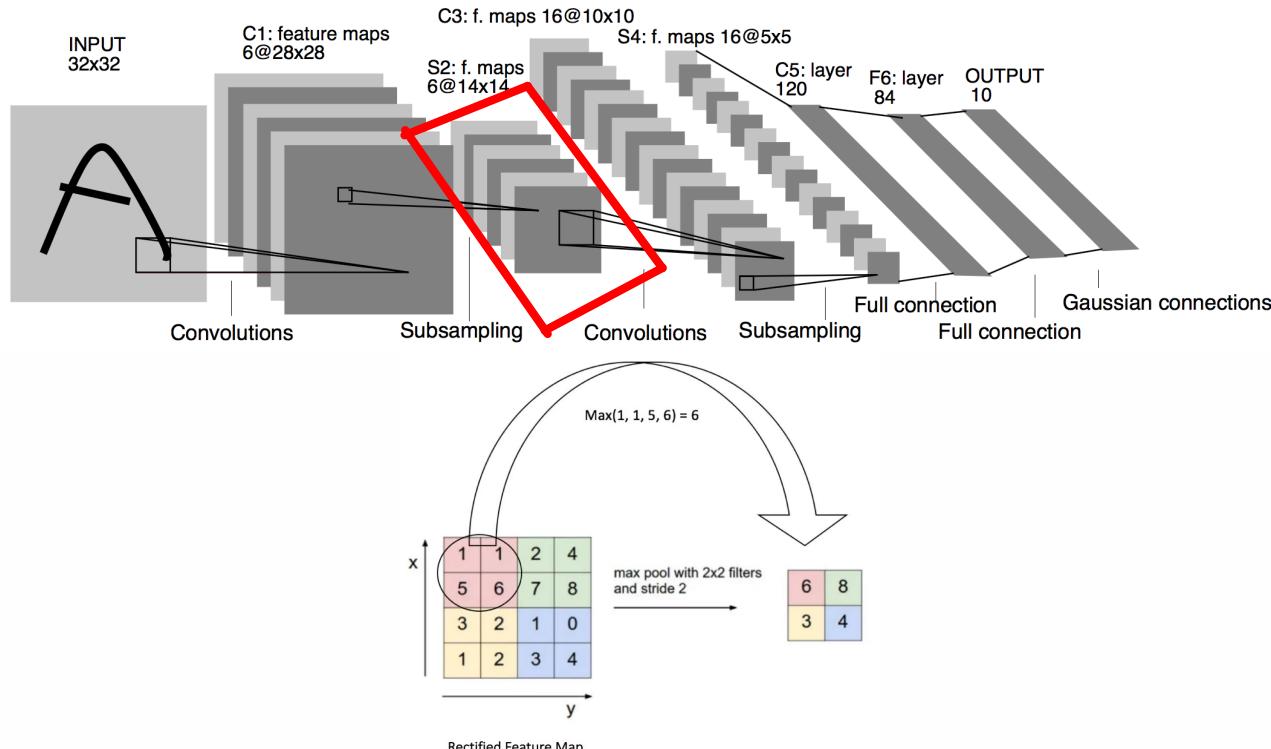


# LeNet5

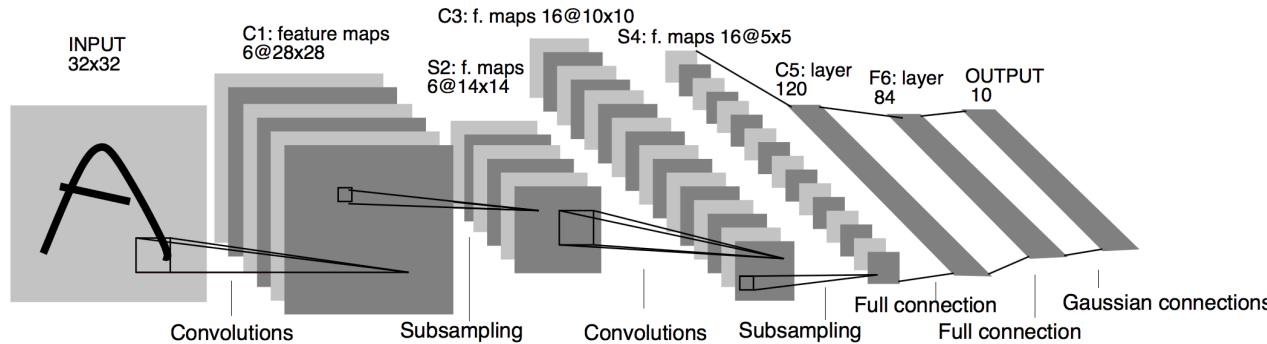
- 輸入圖，先用6個 Kernels 對圖做 Convolution，獲得6張特徵圖
- 每個 Convolution layer 都是拿來學“特徵”
  - C1, C3, and C5 layers
- 回想捲積 Conv
  - 不同的 Kernel (Filter)，會得到不同的影像結果：**特徵**
- 所有的 C、FC，都會經過一次的 Activation function
  - Sigmoid by default

Operation	Filter	Convolved Image
Identity	$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$	
Edge detection	$\begin{bmatrix} 1 & 0 & -1 \\ 0 & 0 & 0 \\ -1 & 0 & 1 \end{bmatrix}$	
	$\begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix}$	
	$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$	
Sharpen	$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$	
Box blur (normalized)	$\frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$	
Gaussian blur (approximation)	$\frac{1}{16} \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}$	

# LeNet5: Subsampling (Pooling)

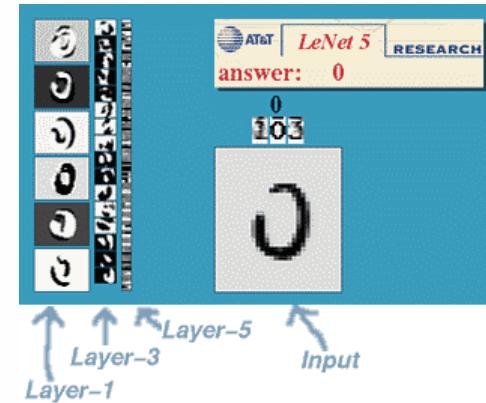


# LeNet5



- **C5** 是怎麼回事?

- 注意 · S4已經是  $5 \times 5$  大小
- $5 \times 5$  影像經過  $5 \times 5$  的 Kernel
  - $1 \times 1$  的結果 · 就是一個點



# LeNet5參數量計算

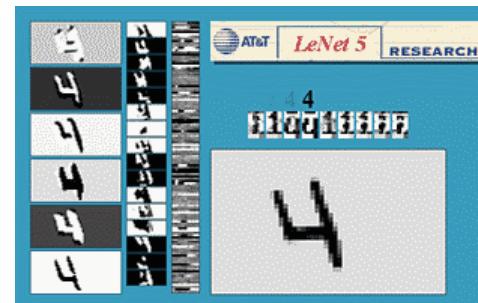
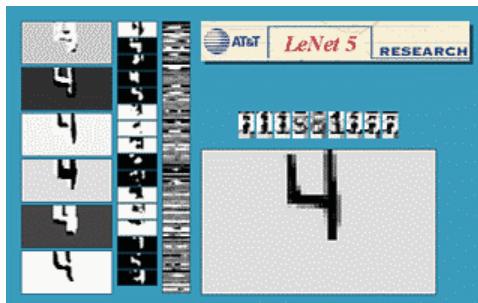
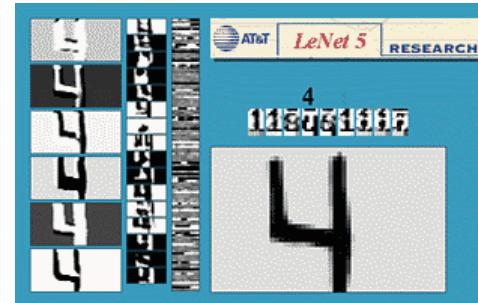
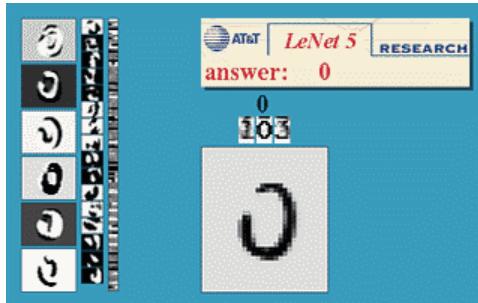
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- C1
  - Input size : 32\*32
  - Kernel size : 5\*5
  - #Kernels : 6
  - Output size : 28\*28\*6
  - #Trainable variables :  $5*5+1)*6=156$
  - #Connections :  $(5*5+1)*6*(32-2-2)*(32-2-2)=122304$
- S2
  - Input size : 28\*28\*6
  - Kernel size : 2\*2
  - #Kernels : 1
  - Output size : 14\*14\*6
  - #Trainable variables :  $2*6=12 \cdot 2=(w,b)$
  - #Connections :  $(2*2+1)*1*14*14*6 = 5880$

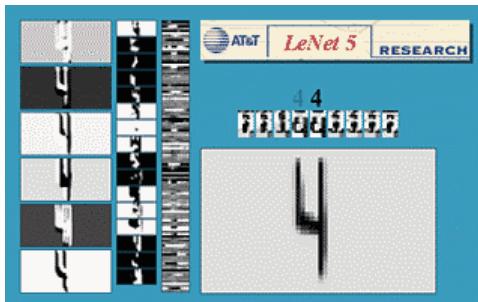
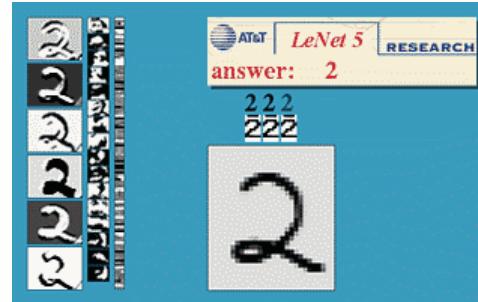
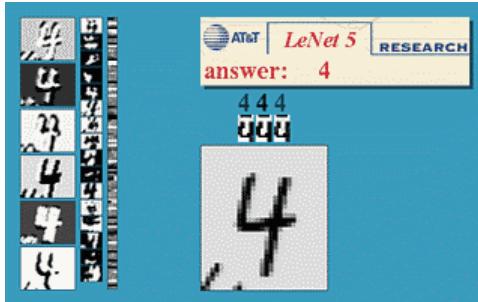
# LeNet5

---



# LeNet5

---



# LeNet 5

---

- 如何從1張圖變成6張特徵圖?
  - 1 kernel (K) 產生一張特徵圖 ( $I * K$ )

The diagram illustrates the convolution operation  $I * K$ . It shows an input image  $I$  (7x7 pixels) and a kernel  $K$  (3x3 pixels). The result is a feature map  $I * K$  (5x5 pixels).

The input image  $I$  has the following values:

0	1	1	1	0	0	0	0
0	0	1	1	1	0	0	0
0	0	0	1	1	1	0	0
0	0	0	1	1	0	0	0
0	0	1	1	0	0	0	0
0	1	1	0	0	0	0	0
1	1	0	0	0	0	0	0

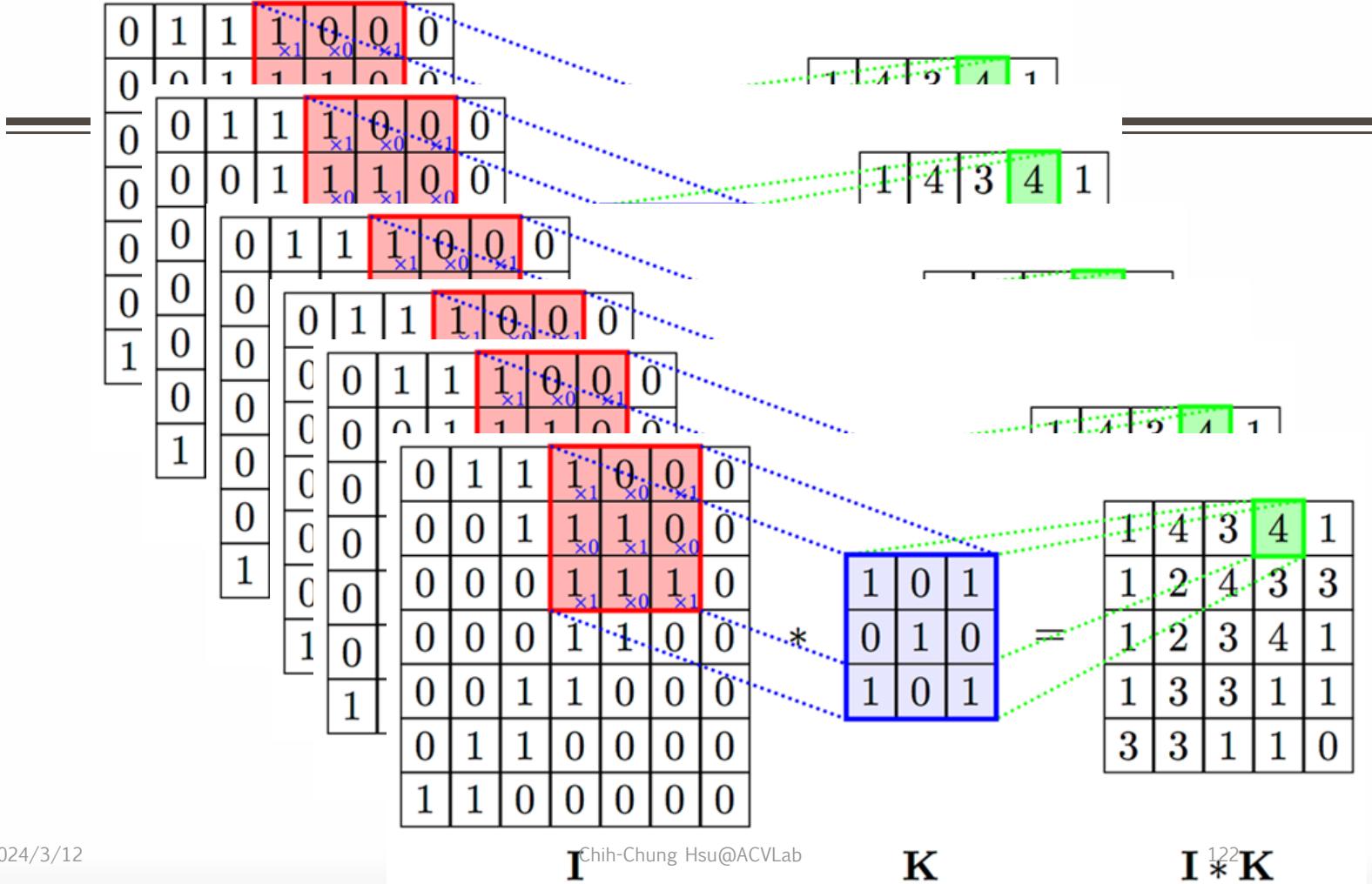
The kernel  $K$  has the following values:

1	0	1
0	1	0
1	0	1

The resulting feature map  $I * K$  has the following values:

1	4	3	4	1
1	2	4	3	3
1	2	3	4	1
1	3	3	1	1
3	3	1	1	0

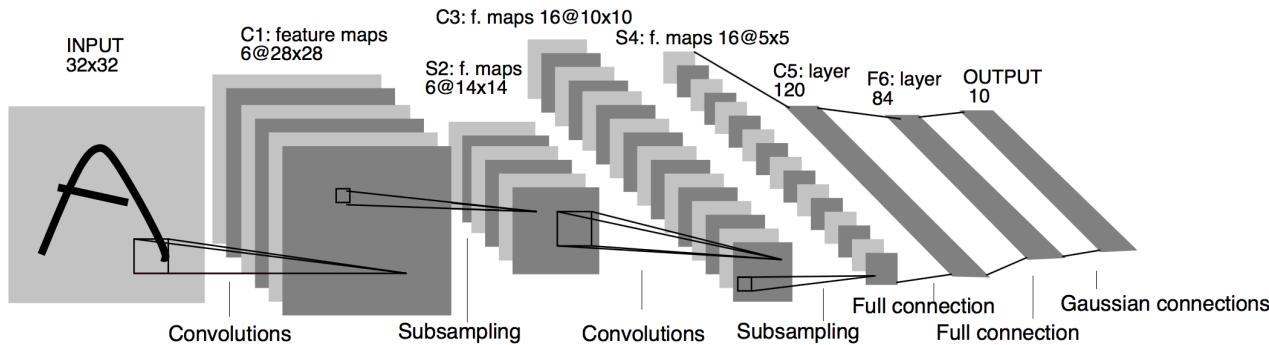
Dotted lines indicate the receptive fields of each output unit in the feature map. The red box highlights the receptive field of the top-left unit in the feature map, which corresponds to the center of the kernel  $K$ .



# LeNet 5

---

- 6個Feature maps 轉到 16個 Feature maps?



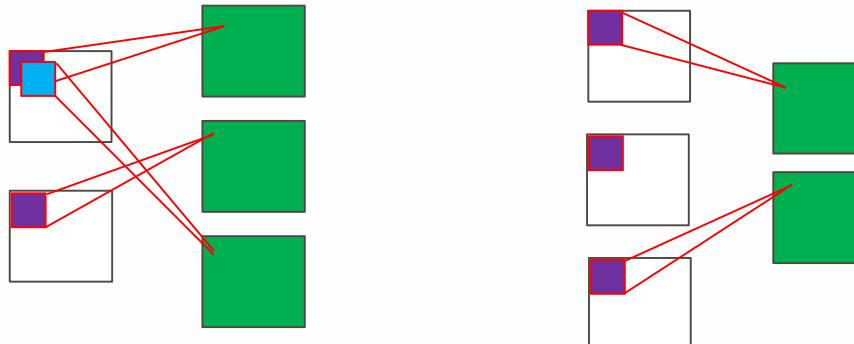
# LeNet 5

---

## ▪ 6個Feature maps 轉到 16個 Feature maps?

### ▪ 觀念：

- 一個feature map 當成是輸入，那麼對接的有16個kernels，會產生16個下一層feature maps
  - 那不就會有 $16 \times 6$ 個feature map？
- 為了保持一致性，Conv. Layers有幾種策略
- 1. 直接對應：少變多，複製，多變少，間隔取樣



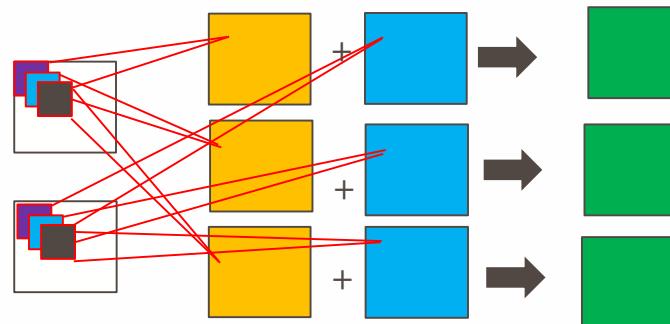
# LeNet 5

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- 6個Feature maps 轉到 16個 Feature maps?

- 觀念：

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      - 那不就會有 $16 \times 6$ 個feature map？
    - 為了保持一致性，Conv. Layers有幾種策略
    - 2. Aggregated：一律產生對接個kernels的特徵圖，再疊加
      - i.e.,  $W \times H \times C \rightarrow 3 \times 3 \times 2$  to  $3 \times 3 \times 3$ , 27-dimensional dot product



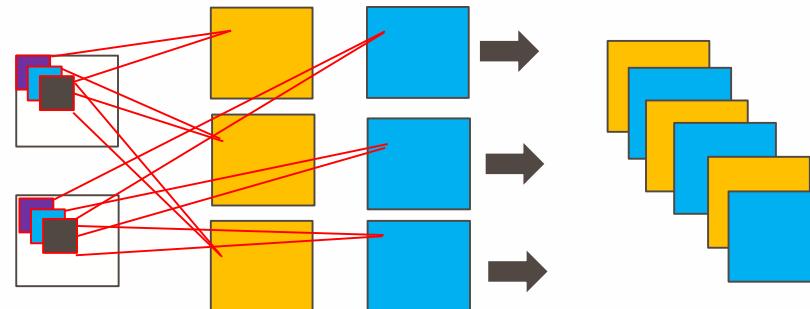
# LeNet 5

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    - 為了保持一致性，Conv. Layers有幾種策略
    - 3. Full conv: 產生對接個kernels的特徵圖\*輸入數量

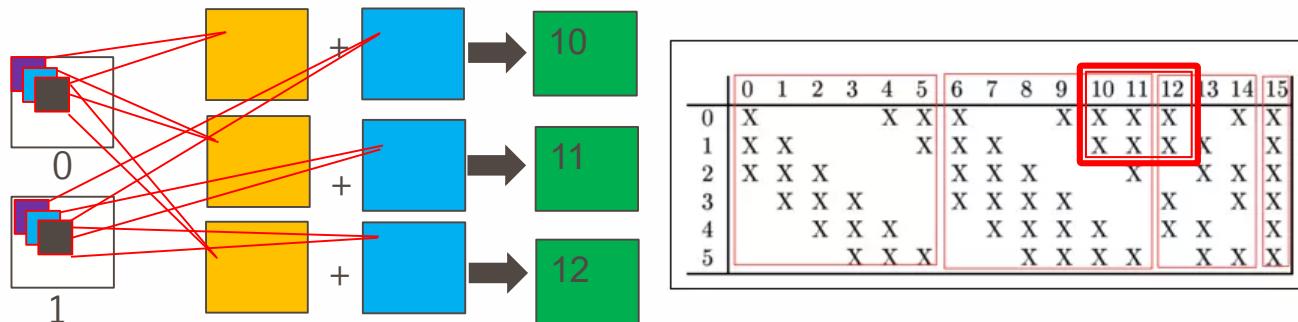


# LeNet 5

## ▪ 6個Feature maps 轉到 16個 Feature maps?

### ▪ 觀念：

- 一個feature map 當成是輸入，那麼對接的有16個kernels，會產生16個下一層feature maps
  - 那不就會有 $16 \times 6$ 個feature map？
- 為了保持一致性，Conv. Layers有幾種策略
- 4. Manually determine: 自由設計



# LeNet 5

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- Feature map 對應策略
  - 直接對應
    - 太過簡化，無法有效用到所有的特徵
  - Aggregated
    - 產生多個feature maps再疊加，有較多的特徵，且保留對接的層數
  - Full conv
    - 最多特徵，然而參數過多無法控制
- 目前大宗的都是Aggregated策略
  - i.e., shown in previous slides