

Topics:

- $L^2$  Betti numbers, Novikov-Shubin invariant,  $L^2$  torsion.
- Determinant and approximation conjecture.

## 1 Notations and Reviews

$\mathbb{C}[G]$ : group algebra of  $G$ .  $l^2(G)$ :  $L^2$  summable functions on  $G$ , can be seen as a  $L^2$  completion of  $\mathbb{C}[G]$ .  $\mathcal{N}(G)$ :  $G$ -equivariant (with left  $G$  action) bounded linear maps on  $l^2(G)$ . A finite dimensional Hilbert  $\mathcal{N}(G)$ -module is a  $G$ -Hilbert space that  $G$ -equivariantly isometrically embedded into  $\mathbb{C}^n \otimes l^2(G)$ . Unless specified otherwise, all spaces are assumed to be finitely dimensional Hilbert  $G$  modules.

### 1.1 Spectral theory of bounded self adjoint operators

Let  $H$  be a Hilbert space. A bounded operator  $A$  on  $H$  is called self adjoint if  $A^* = A$ , positive if  $(Ax, x) > 0$  for all  $x \in H$ .

$E$  is called a spectral measure if it sends Borel sets in  $\mathbb{R}$  to bounded positive self adjoint maps on  $H$ , satisfying the following:

- $E(\emptyset) = 0$ ,  $E(\mathbb{R}) = I$
- $E(A)^2 = E(A)$
- $E(A \cap B) = E(A)E(B)$
- If  $A \cap B = \emptyset$ ,  $E(A \cup B) = E(A) + E(B)$
- $\forall x, y \in H$ ,  $(E(\cdot)x, y)$  is a  $\mathbb{C}$ -measure.

#### Theorem 1

*$A$  is bounded self adjoint on  $H$  then there is some spectral measure  $E$  such that  $A = \int \lambda E(\lambda)$ . If  $f$  is an analytic function,  $f(A) = \int f(\lambda) E(\lambda)$*

*Remark 1.* When  $H$  is finite dimensional,  $E = \sum \delta_{\lambda_i} v_i^* v_i$ .

## 2 $L^2$ -Betti numbers

### 2.1 Definitions

- $G$  is a group,  $f$  is a  $G$ -equivariant self adjoint bounded operator from  $\mathbb{C}^n \otimes l^2(G)$ . The  $L^2$  **trace** is defined as  $tr_G(f) = \sum_i (f(e_i \otimes 1), e_i \otimes 1)$ . The  $L^2$ -trace of a self map on Hilbert  $G$  modules are defined as the composition of projection and this self map.
- Let  $M \subset \mathbb{C}^n \otimes l^2(G)$  be a Hilbert  $G$  module,  $pr_M$  the orthogonal projection on  $M$ . Then the  $L^2$ -**dimension** is defined as  $\dim_G M = tr_G(pr_M)$ . (Exercise: prove that the  $L^2$ -dimension does not depend on the choice of the embedding.)

- **$L^2$ -chain complex** of finitely dimensional Hilbert  $G$  modules is a sequence  $\cdots \rightarrow C_{k+1} \rightarrow C_k \rightarrow C_{k-1} \rightarrow \cdots$  such that the composition of two successive boundary maps is 0. The **homology** are  $H_k^{(2)} = \ker(C_k \rightarrow C_{k-1}) / \overline{\text{im}(C_{k+1} \rightarrow C_k)}$ .
- Let  $X$  be a CW-complex with a free, cellular  $G$  left action such that  $G \backslash X$  is finite. Then  $L^2$  dimension of the homology of the  $L^2$  completion of the cellular chain complex  $(C_*^{(2)})$  are called the  $L^2$  **Betti numbers**  $b_*^{(2)}$ .

## 2.2 Examples

*Example 2.*  $X = \mathbb{R}^2$  tiled by unit cubes,  $G = \mathbb{Z}^2$  (with generators  $a, b$ ),  $G \backslash X = T^2$ . The  $L^2$  chain complex is

$$0 \rightarrow l^2 \rightarrow (l^2)^2 \rightarrow l^2 \rightarrow 0$$

Such that  $\partial_2(x) = ((xa-x), (x-xb))$ ,  $\partial_1(x, y) = xb - x + ya - y$ . By computation we see  $H_*^{(2)} = 0$ , hence  $b_*^{(2)} = 0$ .

*Example 3.*  $X$  a double cover of the  $\theta$  graph unwrapping over one of the two loops,  $G = \mathbb{Z}/2$ ,  $G \backslash X$  is the  $\theta$ -shaped graph.  $H_1^{(2)}$  is of dimension 3,  $(pr_{H_1}(e), e)$  can be computed explicitly, and  $b_1^{(2)} = 3/2$ ,  $b_0^{(2)} = 1/2$ .

*Remark 4.*

- When  $X$  is a finite simplicial graphs,  $pr_{H_1}(e)$  can be calculated via spanning trees: Let  $\mathcal{T}$  be the set of all spanning trees on  $X$ . For any  $T \in \mathcal{T}$ , let  $path(T, e^-, e^+)$  be the path on  $T$  from  $e^-$  to  $e^+$ .

$$pr_{H_1}(e) = e - \frac{1}{|\mathcal{T}|} \sum_{T \in \mathcal{T}} path(T, e^-, e^+)$$

- There is also a physical interpretation of  $pr_{H_1}(e)$  via electrical currents.
- In general, if  $G$  is a finite group,  $b_k^{(2)}(X) = b_k(X)/|G|$ .

*Example 5.*  $X$  is the universal cover of  $\theta$ -shaped graph,  $G = F_2$  the deck transformation.  $pr_{H_1}e$  can be explicitly calculated (hint: first show that the element in  $C_1^{(2)}$  of a complete binary tree whose  $\partial$  is at the root that minimizes the norm has norm 1)  $(pr_{H_1}e, e) = 1/3$ ,  $b_1^{(2)} = 1$ ,  $b_0^{(2)} = 0$ .

*Remark 6.* There are alternative interpretations of the computation above through electrical currents and random walks.

### 2.3 Elementary properties of $L^2$ dimension, $L^2$ homology and $L^2$ Betti numbers

Some elementary properties of  $L^2$  trace:

- $f \leq g \implies \text{tr}_G(f) \leq \text{tr}_G(g)$
- If  $f_i$  is increasing and weakly converging to  $f$ ,  $\text{tr}_G f = \sup\{\text{tr}_G(f_i)\}$ .
- $f \geq 0$ ,  $\text{tr}_G(f) = 0 \iff f = 0$ .
- $\text{tr}_G(f + \lambda g) = \text{tr}_G(f) + \lambda \text{tr}_G(g)$
- $f$ ,  $g$  and  $h$  are self adjoint maps compatible with an exact sequence of Hilbert  $G$  modules, then  $\text{tr}_G(g) = \text{tr}_G(f) + \text{tr}_G(h)$ .
- $f : U \rightarrow V$ , then  $\text{tr}_G(f^* f) = \text{tr}_G(f f^*)$
- $f$  and  $g$  are maps on Hilbert  $G$  and  $H$  modules, then  $\text{tr}_{G \times H} f \otimes g = \text{tr}_G f \otimes \text{tr}_H g$ .
- $H$  is a finite index subgroup of  $G$ , then  $\text{tr}_H f = [G : H] \text{tr}_G f$

Proof: use definition and functional analysis.

Some elementary properties of  $L^2$ -dimensions:

- $\dim_G(V) = 0 \iff V = 0$ .
- $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$  weakly exact ( $L^2$  homology vanishes), then  $\dim_G(V) = \dim_G(U) + \dim_G(W)$ .
- $V_i$  increasing,  $\dim_G \overline{\cup_i V_i} = \sup_i \dim_G V_i$ .
- $V_i$  decreasing,  $\dim_G \cap_i V_i = \inf_i \dim_G V_i$ .
- $U, V$  are  $G$  and  $H$  modules respectively, then  $\dim_{G \times H} U \otimes V = \dim_G U \dim_H V$ .
- $[G : H] < \infty$ ,  $\dim_H(V) = [G : H] \dim_G V$ .

#### Theorem 2

Let  $0 \rightarrow C_* \rightarrow D_* \rightarrow E_* \rightarrow 0$  be an exact sequence of chains of  $G$ -modules, then there is a long exact sequence which is weakly exact.

Some elementary properties of  $L^2$  Betti numbers

- $f : X \rightarrow Y$  cellular  $G$ -equiv maps between free  $G$  cell complexes, with induced map on homology isomorphism for  $p < d$  and surjective for  $p = d$ , then so are the induced maps on  $L^2$  homology. (proof: chain homotopy, then use long exact sequence)
- $X$  free  $G$  cell complex with  $G \backslash X$  finite. Then  $\chi(G \backslash X) = \sum_k (-1)^k b_k^{(2)}(X)$ .

- $X$  is a cocompact  $d$ -dimensional manifold, then  $b_p^{(2)} = b_{d-p}^{(2)}$ .
- Künneth formula for products, formula for wedges, connected sums for manifolds of dimension at least 3, Morse inequalities all same as the usual Betti numbers.
- If  $X$  is connected,  $b_0^{(2)} = 1/|G|$ .
- $[G : H] < \infty$ , then  $X$  seen as  $H$  complex has  $L^2$ -Betti numbers  $[G : H]$  of it seen as  $G$  complex.

**Theorem 3**

*$f$  a cellular map of a finite connected complex,  $T_f$  its mapping tori,  $\pi_1(T_f) \rightarrow G \rightarrow \mathbb{Z}$  for some  $G$ , then the  $G$ -cover of  $T_f$ , denoted as  $\overline{T_f}$  and seen as  $G$ -complex has zero  $L^2$  Betti numbers.*

*Proof.* Let  $G_n$  be the preimage of  $n\mathbb{Z}$  in  $G \rightarrow \mathbb{Z}$ . Then  $\overline{T_f}$  has  $n$ -times as much  $L^2$ -Betti numbers, however  $G_n \backslash \overline{T_f} = T_{f^n}$  has bounded number of cells, hence all Betti number has to be 0.  $\square$

### 3 Approximation for subgroups of finite index

**Theorem 4**

*$X$  is a cell complex with free cellular  $G$  action as before,  $G \backslash X$  finite.  $G \supset G_1 \dots$  normal subgroups such that  $\cap_i G_i = 1$ ,  $[G : G_1] < \infty$ , then  $b_k^{(2)}(X) = \lim_{i \rightarrow \infty} b_k^{(2)}(G_i \backslash X)$ , the latter as  $G/G_i$  complexes.*

This can be easily reduced to the following “algebraic” statement:

**Proposition 7**

*Suppose  $f$  is a positive self adjoint map on  $\mathbb{C}^n \otimes l^2(G)$  induced by a (left)  $\mathbb{Z}[G]$  module homomorphism,  $f_i$  be the induced maps on  $\mathbb{Z}[G/G_i]$ , then  $\dim_G \ker(f) = \lim_{i \rightarrow \infty} \dim_{G/G_i} \ker(f_i)$ .*

*Proof.* Step 1: Let  $K$  be  $n^2$  of the largest sum of all coeff of an entry in the matrix representing  $f$ , then it is larger than the operator norm of both  $f$  and  $f_m$ .

Step 2: The map can be represented as a right multiplication of a  $\mathbb{Z}[G]$ -matrix, hence  $\text{tr}_G(f) = \text{tr}_{G/G_i}(f_i)$  for large enough  $i$ . Furthermore, for any polynomial  $p$ ,  $\text{tr}_G(p(f)) = \text{tr}_{G/G_i}(p(f_i))$  for large enough  $i$ .

Step 3: Let  $F, F_i$  be the spectral density function for  $f$  and  $f_i$  ( $F(\lambda) = \dim_G(E([0, \lambda])$ ,  $F(\lambda) = \dim_{G/G_i}(E_i([0, \lambda])$ ). Let  $\overline{F}, \underline{F}$  be the lim sup and lim inf of  $F_i$ . We shall prove that  $\overline{F} \leq F \leq \underline{F}^+$ . Let  $p_n$  be polynomials above  $\chi([0, \lambda])$  and below  $\chi([0, \lambda + 1/n] + 1/n\chi([0, K])$  slightly above that. Then

$$\overline{F}(\lambda) \leq \text{tr}_G(p_n(f)) \leq \underline{F}(\lambda + 1/n) + 1/n$$

And as  $n \rightarrow \infty$  the middle term converges to  $F(\lambda)$  due to spectral decomposition.

Step 4: We now prove that  $F_i$  are uniformly right-continuous at 0. This is due to a fact in linear algebra:

*Lemma 8.*  $f$ : self adjoint positive linear map on  $\mathbb{C}^n$ .  $K$  a bound on operator norm of  $f$ ,  $C$  a lower bound on the first non-zero term of characteristic polynomial of  $f$ . Then for  $\lambda < 1$ ,

$$\frac{\text{num. of roots in } (0, \lambda)}{n} \leq \frac{-\log(C)}{n(-\log(\lambda))} + \frac{\log(K)}{-\log(\lambda)}$$

*Proof.* Count non-zero roots.

Because the matrix is integral  $C$  can be chosen uniformly as 1, which finishes the proof.

*Example 9.*  $X$  is the universal cover of closed surfaces,  $G$  the deck group.

*Example 10.* Let  $\Gamma$  be a finite graph,  $\Gamma \leftarrow \Gamma_1 \leftarrow \dots$  regular covers, for every edge  $e \in \Gamma$ , let  $d_i(e)$  be the ratio of spanning trees of  $\Gamma_i$  that doesn't contain a specific lift of  $e$ . Then  $d_i$  converges.

## 4 Other $L^2$ invariants

### 4.1 Definition

$F$  is the spectral density function.

- **Novikov-Shubin invariants**  $\alpha(F) = \liminf_{\lambda \rightarrow 0^+} \frac{\log(F(\lambda) - F(0))}{\log \lambda}$
- **Fuglede-Kadison determinant**  $\det = \exp(\int \log(\lambda) dF)$ .

*Remark 11.*

- Determinant conjecture: For any group  $G$ , any  $\mathbb{Z}[G]$  matrix  $f$ , the F-K determinant of  $f^*f$  is at least 1.
- Determinant conjecture implies approximation for any sequence of subgroups.