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Ease of learning explains semantic universals

Shane Steinert-Threlkeld Jakub Szymanik



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Overview

1 Main Question

2 (Machine) Learning

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Universals in Linguistic Theory

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Universals in Linguistic Theory

Question

What is the range of variation in human languages? That is: which out of all of the logically possible languages that humans could speak, do they in fact speak?

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Explaining Universals

Natural Question

Why do universals hold?

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Explaining Universals

Natural Question

Why do universals hold?

- Answer 1: *learnability*.
(Barwise and Cooper 1981; Keenan and Stavi 1986; Szabolcsi 2010)

Explaining Universals

Natural Question

Why do universals hold?

- Answer 1: *learnability.*
(Barwise and Cooper 1981; Keenan and Stavi 1986; Szabolcsi 2010)
- The universals greatly restrict the search space that a language learner must explore when learning the meanings of expressions. This makes it easier (possible?) for them to learn such meanings from relatively small input.
[Compare: Poverty of the Stimulus argument for UG. (Chomsky 1980; Pullum and Scholz 2002)]

Explaining Universals

Natural Question

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- The universals greatly restrict the search space that a language learner must explore when learning the meanings of expressions. This makes it easier (possible?) for them to learn such meanings from relatively small input.
[Compare: Poverty of the Stimulus argument for UG. (Chomsky 1980; Pullum and Scholz 2002)]
- In a sense must be true, but:
 - May not help much (Piantadosi 2013)
 - Does not explain *which* universals are attested.

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Explaining Universals

Natural Question

Why do universals hold?

- Answer 2: *learnability*. (hints in Peters and Westerståhl 2006)

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Explaining Universals

Natural Question

Why do universals hold?

- Answer 2: *learnability*. (hints in Peters and Westerståhl 2006)
- Universals aid learnability because expressions satisfying the universals are *easier* to learn than those that do not.

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Explaining Universals

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- Answer 2: *learnability*. (hints in Peters and Westerståhl 2006)
- Universals aid learnability because expressions satisfying the universals are *easier* to learn than those that do not.
- **Our goal:** make good on this claim by providing a single model of learning and using it to explain **semantic** universals from several different domains.

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Explaining Universals

Natural Question

Why do universals hold?

- Answer 2: *learnability*. (hints in Peters and Westerståhl 2006)
- Universals aid learnability because expressions satisfying the universals are *easier* to learn than those that do not.
- **Our goal:** make good on this claim by providing a single model of learning and using it to explain **semantic** universals from several different domains.
- In particular, we train *artificial neural networks* to learn the meanings of different kinds of expressions. Within each kind, we will compare expressions satisfying proposed universals to those that do not.

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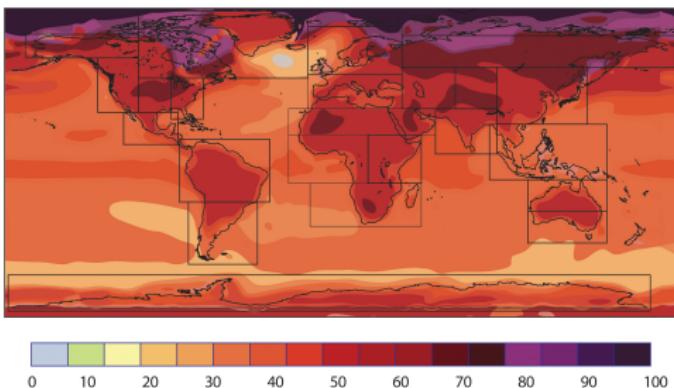
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Heat-map



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Learnability and Semantic Universals

- Our goal: argue that universals arise because expressions satisfying them are *easier to learn*.

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Learnability and Semantic Universals

- **Our goal:** argue that universals arise because expressions satisfying them are *easier to learn*.
- An innovation: using *artificial neural networks* as a model of learning.

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Learnability and Semantic Universals

- Our goal: argue that universals arise because expressions satisfying them are *easier to learn*.
- An innovation: using *artificial neural networks* as a model of learning.
- Allows us to test many domains quickly, in a roughly biologically plausible fashion.

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Existing Study with Children



(a)



(b)

From: Hunter and Lidz 2013

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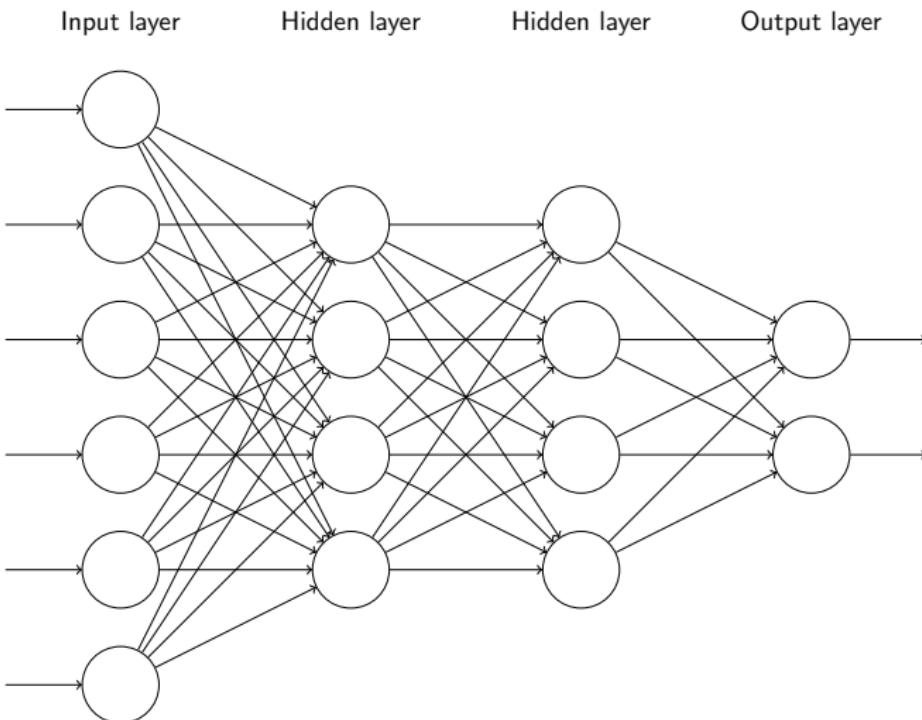
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Artificial Neural Network



Nielsen 2015; Goodfellow, Bengio, and Courville 2016

<http://www.3blue1brown.com/neural-networks>

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How to Train a Neural Network

- The paradigm method is called *supervised learning*.

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How to Train a Neural Network

- The paradigm method is called *supervised learning*.
- You give the network a whole bunch of *labelled examples*, i.e. a bunch of true/correct input-output pairs.

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How to Train a Neural Network

- The paradigm method is called *supervised learning*.
- You give the network a whole bunch of *labelled examples*, i.e. a bunch of true/correct input-output pairs.
- After it processes these examples, it lightly adjusts the weights and biases in the network so as to *make its future guesses* better.
It tries to *minimize a loss function* between the true output and the network's output.

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How to Train a Neural Network

- The paradigm method is called *supervised learning*.
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- After it processes these examples, it lightly adjusts the weights and biases in the network so as to *make its future guesses* better.
It tries to *minimize a loss function* between the true output and the network's output.
- This is called (stochastic) gradient descent; there are fancier variations now.

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The Order of Color Terms



Berlin and Kay 1969; Regier, Kay, and Khetarpal 2007; Gibson et al. 2017

<https://www.vox.com/videos/2017/5/16/15646500/color-pattern-language>

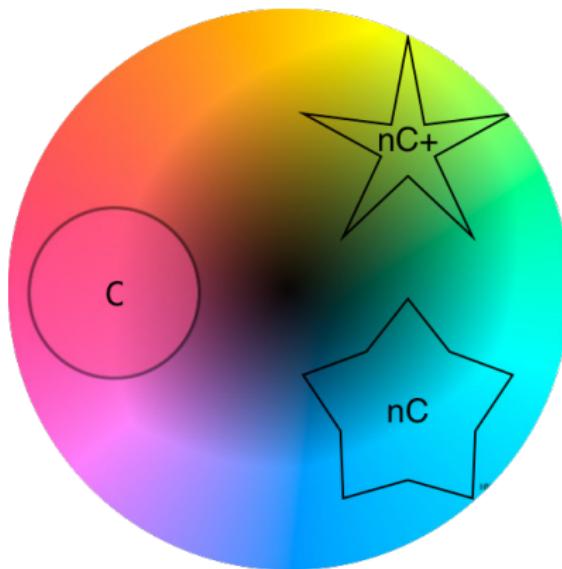
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Convexity

While natural languages vary in how many color terms they have and which specific colors are denoted, it seems that all color terms denote very ‘well-behaved’ regions of color space.

- X is *convex* just in case if $x, y \in X$, then for every $t \in (0, 1)$,

$$tx + (1 - t)y \in X$$



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Convexity universal

Convexity Universal (Gärdenfors 2014; Jäger 2010)

All color terms denote convex regions of color space.

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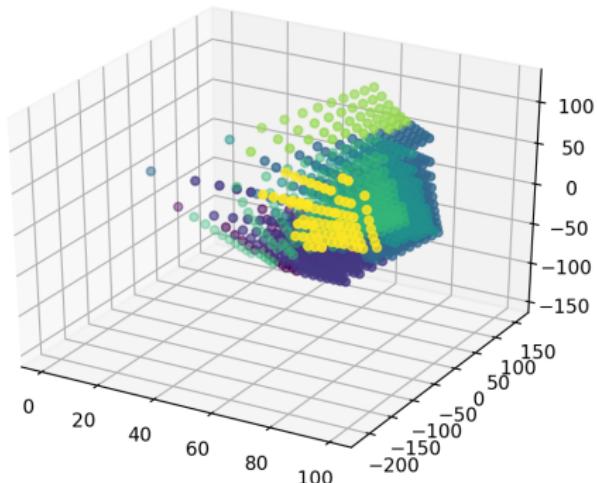
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Partitioning CIE-L*a*b* Space

We generated 300 artificial color-naming systems by partitioning the CIELab color space into distinct categories. CIELab approximates human color vision. It is perceptually uniform, meaning that the distance in the space corresponds well with the visually perceived color change.



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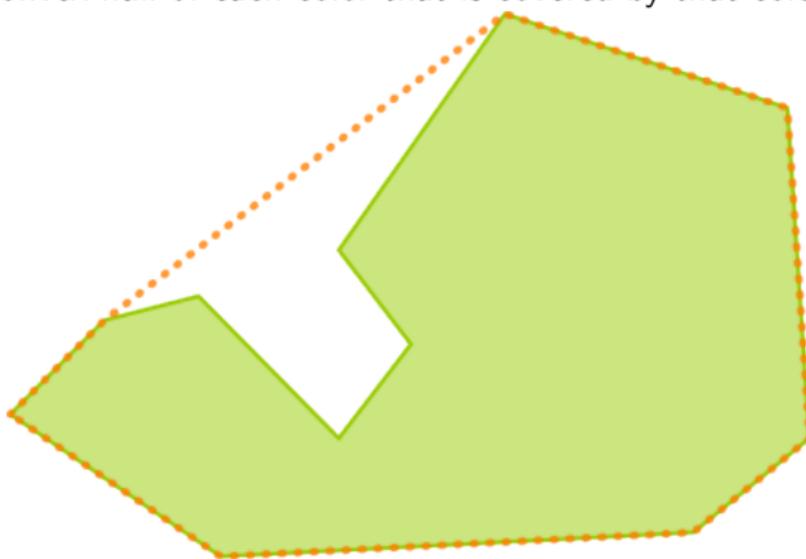
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Degree of convexity

We varied the degree of convexity, measured as the average area of the convex hull of each color that is covered by that color.



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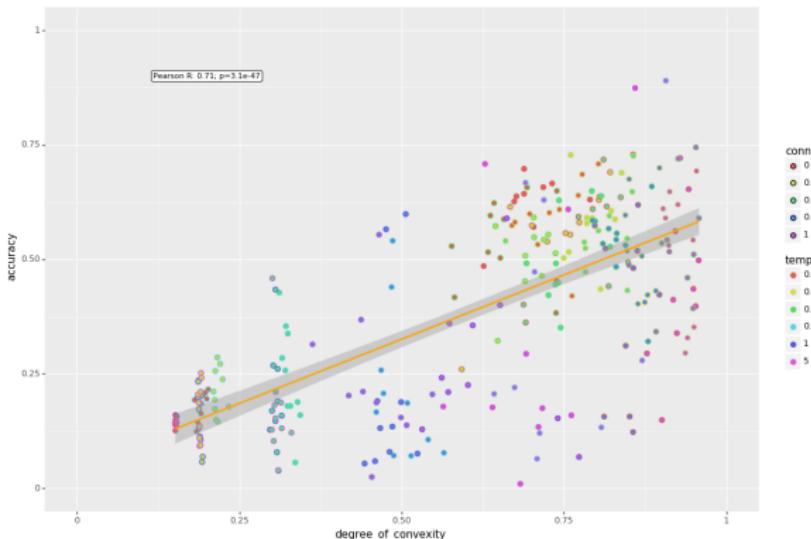
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Convexity: Results



Steinert-Threlkeld and Szymanik 2018a

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Determiners

- Meaning (semantics):
- If languages have syntactic constituents (NPs), then their semantic function is to express generalized quantifiers. (Barwise and Cooper 1981)
- Determiners:
 - Simple: *every, some, few, most, five, ...*
 - Complex: *all but five, fewer than three, at least eight or fewer than five, ...*

Determiners

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- Denote type $\langle 1, 1 \rangle$ generalized quantifiers: sets of models of the form $\langle M, A, B \rangle$ with $A, B \subseteq M$

Determiners

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 - Simple: *every, some, few, most, five, ...*
 - Complex: *all but five, fewer than three, at least eight or fewer than five, ...*
- Denote type $\langle 1, 1 \rangle$ generalized quantifiers: sets of models of the form $\langle M, A, B \rangle$ with $A, B \subseteq M$
- For example:

$$\llbracket \text{every} \rrbracket = \{ \langle M, A, B \rangle : A \subseteq B \}$$

$$\llbracket \text{three} \rrbracket = \{ \langle M, A, B \rangle : |A \cap B| \geq 3 \}$$

$$\llbracket \text{most} \rrbracket = \{ \langle M, A, B \rangle : |A \cap B| > |A \setminus B| \}$$

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Monotonicity

- Many French people *smoke cigarettes*
⇒ Many French people *smoke*

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Monotonicity

- Many French people *smoke cigarettes*
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So: 'many' is *upward monotone*.

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Monotonicity

- Many French people *smoke cigarettes*
⇒ Many French people *smoke*

So: 'many' is *upward monotone*.

- Few French people *smoke*
⇒ Few French people *smoke cigarettes*

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Monotonicity

- Many French people *smoke cigarettes*
⇒ Many French people *smoke*

So: 'many' is *upward monotone*.

- Few French people *smoke*
⇒ Few French people *smoke cigarettes*

So: 'few' is *downward monotone*.

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Monotonicity

- Many French people *smoke cigarettes*
⇒ Many French people *smoke*

So: 'many' is *upward monotone*.

- Few French people *smoke*
⇒ Few French people *smoke cigarettes*

So: 'few' is *downward monotone*.

- At least 6 or at most 2 French people *smoke cigarettes*.
≠ (and ≠) At least 6 or at most 2 French people *smoke*.

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So: 'many' is *upward monotone*.

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So: 'few' is *downward monotone*.

- At least 6 or at most 2 French people *smoke cigarettes*.
≠ (and ≠) At least 6 or at most 2 French people *smoke*.

So: 'at least 6 or at most 2' is not monotone.

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Monotonicity Universal

- Q is upward monotone:
if $\langle M, A, B \rangle \in Q$ and $B \subseteq B'$, then $\langle M, A, B' \rangle \in Q$

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Monotonicity Universal

- Q is upward monotone:
if $\langle M, A, B \rangle \in Q$ and $B \subseteq B'$, then $\langle M, A, B' \rangle \in Q$
- Q is downward monotone:
if $\langle M, A, B \rangle \in Q$ and $B' \subseteq B$, then $\langle M, A, B' \rangle \in Q$

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Monotonicity Universal

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- Q is downward monotone:
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Monotonicity Universal (Barwise and Cooper 1981)

All simple determiners are monotone.

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Quantity

- At least three houses on Cambridge Ave are blue.
There are exactly as many blue and non-blue houses on El Camino Real as on Cambridge Ave.
⇒ At least three houses on El Camino Real are blue.

Question
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Quantifiers
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Verbs
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Conclusion
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Quantity

- At least three houses on Cambridge Ave are blue.
There are exactly as many blue and non-blue houses on El Camino Real as on Cambridge Ave.
⇒ At least three houses on El Camino Real are blue.

So: 'at least three' is *quantitative*.

Question
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Model
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Colors
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Quantifiers
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Verbs
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Conclusion
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Quantity

- At least three houses on Cambridge Ave are blue.
There are exactly as many blue and non-blue houses on El Camino Real as on Cambridge Ave.
⇒ At least three houses on El Camino Real are blue.

So: 'at least three' is *quantitative*.

- The first three houses on Cambridge Ave are blue.
There are exactly as many blue and non-blue houses on El Camino Real as on Cambridge Ave.
⇒ The first three houses on El Camino Real are blue.

Question
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Verbs
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Quantity

- At least three houses on Cambridge Ave are blue.
There are exactly as many blue and non-blue houses on El Camino Real as on Cambridge Ave.
⇒ At least three houses on El Camino Real are blue.

So: 'at least three' is *quantitative*.

- The first three houses on Cambridge Ave are blue.
There are exactly as many blue and non-blue houses on El Camino Real as on Cambridge Ave.
↗ The first three houses on El Camino Real are blue.

So: 'the first three' is not quantitative.

Question
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Verbs
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Conclusion
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Quantity Universal

- Q is *quantitative*:
if $\langle M, A, B, \dots \rangle \in Q$ and $A \cap B, A \setminus B, B \setminus A, M \setminus (A \cup B)$ have the same cardinality (size) as their primed-counterparts, then
 $\langle M', A', B', \dots \rangle \in Q$

Question
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Verbs
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Conclusion
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Quantity Universal

- Q is *quantitative*:
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 $\langle M', A', B', \dots \rangle \in Q$

Quantity Universal (Keenan and Stavi 1986; Peters and Westerståhl 2006)

All simple determiners are quantitative.

Question
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Model
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Quantifiers
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Conservativity

- Many French people smoke cigarettes
 - ≡ Many French people are French people who smoke cigarettes

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Conservativity

- Many French people smoke cigarettes
≡ Many French people are French people who smoke cigarettes

So: 'many' is *conservative*.

- Only French people smoke cigarettes
≠ Only French people are French people who smoke cigarettes

Question
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Conclusion
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Conservativity

- Many French people smoke cigarettes
 \equiv Many French people are French people who smoke cigarettes

So: 'many' is *conservative*.

- Only French people smoke cigarettes
 $\not\equiv$ Only French people are French people who smoke cigarettes

So: 'only' is not conservative.

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Verbs
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Conservativity Universal

Conservativity Universal (Barwise and Cooper 1981; Keenan and Stavi 1986)

All simple determiners are conservative.

Question
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Model
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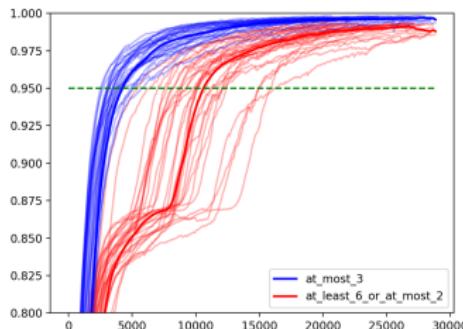
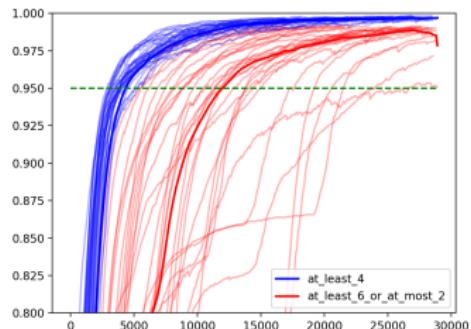
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Monotonicity: Results



Steinert-Threlkeld and Szymanik 2018b

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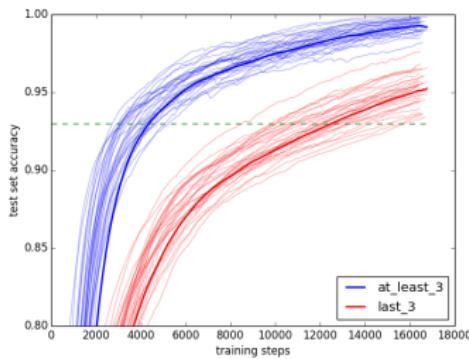
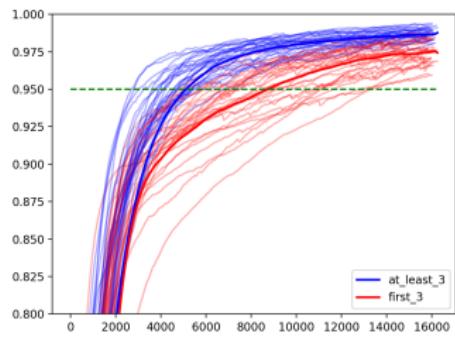
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Quantifiers
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Verbs
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Conclusion
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Quantity: Results



Steinert-Threlkeld and Szymanik 2018b

Question
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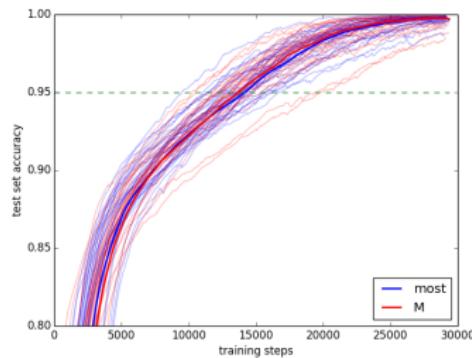
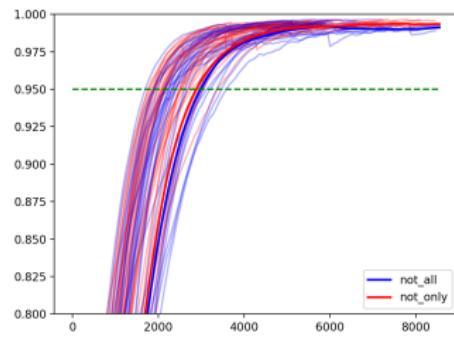
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Conservativity: Results



Steinert-Threlkeld and Szymanik 2018b

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Conservativity: Discussion

- No way of ‘breaking the symmetry’ between $A \setminus B$ and $B \setminus A$

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Conservativity: Discussion

- No way of ‘breaking the symmetry’ between $A \setminus B$ and $B \setminus A$
- Cons as a syntactic/structural constraint, not a semantic universal
[See Fox 2002; Sportiche 2005; Romoli 2015]

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Model
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Overview

1 Main Question

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Types of Verbs

- Jacopo believes that Amsterdam is the capital of the Netherlands.
- # Jacopo believes where Amsterdam is.

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Types of Verbs

- ● Jacopo believes that Amsterdam is the capital of the Netherlands.
- # Jacopo believes where Amsterdam is.
- # Jacopo wonders that Amsterdam is the capital of the Netherlands.
- Jacopo wonders where Amsterdam is.

Question
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Verbs
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Conclusion
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Types of Verbs

- ● Jacopo believes that Amsterdam is the capital of the Netherlands.
- ○ # Jacopo believes where Amsterdam is.
- ○ # Jacopo wonders that Amsterdam is the capital of the Netherlands.
- ○ Jacopo wonders where Amsterdam is.
- ● Jacopo knows that Amsterdam is the capital of the Netherlands.
- ○ Jacopo knows where Amsterdam is.

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Conclusion
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Types of Verbs

type	declarative	interrogative	example
rogative	x	✓	'wonder'
anti-rogative	✓	x	'believe'
responsive	✓	✓	'know'

Lahiri 2002; Theiler, Roelofsen, and Aloni 2018; Uegaki 2018

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Verbs
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Veridicality

- Meica knows that Carlos won the race.
 \rightsquigarrow Carlos won the race.

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Verbs
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Conclusion
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Veridicality

- Meica knows that Carlos won the race.
 \rightsquigarrow Carlos won the race.

So: 'know' is *veridical with respect to declarative complements*.

Question
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Conclusion
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Veridicality

- Meica knows that Carlos won the race.
 \rightsquigarrow Carlos won the race.

So: 'know' is *veridical with respect to declarative complements*.

- Meica knows who won the race.
Carlos won the race.
 \rightsquigarrow Meica knows that Carlos won the race.

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Veridicality

- Meica knows that Carlos won the race.
~~ Carlos won the race.

So: 'know' is *veridical with respect to declarative complements*.

- Meica knows who won the race.
Carlos won the race.
~~ Meica knows that Carlos won the race.

So: 'know' is *veridical with respect to interrogative complements*.

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Verbs
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Veridicality

- Meica knows that Carlos won the race.
~~ Carlos won the race.

So: 'know' is *veridical with respect to declarative complements*.

- Meica knows who won the race.
Carlos won the race.
~~ Meica knows that Carlos won the race.

So: 'know' is *veridical with respect to interrogative complements*.

So: 'know' is *veridically uniform*.

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Veridicality

- Meica is certain that Carlos won the race.
 $\not\rightarrow$ Carlos won the race.

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Veridicality

- Meica is certain that Carlos won the race.
 $\not\rightarrow$ Carlos won the race.

So: 'be certain' is not veridical with respect to declarative complements.

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Veridicality

- Meica is certain that Carlos won the race.
 $\not\rightarrow$ Carlos won the race.

So: 'be certain' is not veridical with respect to declarative complements.

- Meica is certain about who won the race.
Carlos won the race.
 $\not\rightarrow$ Meica is certain that Carlos won the race.

Question
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Veridicality

- Meica is certain that Carlos won the race.
 $\not\rightarrow$ Carlos won the race.

So: 'be certain' is not veridical with respect to declarative complements.

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Carlos won the race.
 $\not\rightarrow$ Meica is certain that Carlos won the race.

So: 'be certain' is not veridical with respect to interrogative complements.

Question
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Verbs
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Conclusion
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Veridicality

- Meica is certain that Carlos won the race.
 $\not\rightarrow$ Carlos won the race.

So: 'be certain' is not veridical with respect to declarative complements.

- Meica is certain about who won the race.
Carlos won the race.
 $\not\rightarrow$ Meica is certain that Carlos won the race.

So: 'be certain' is not veridical with respect to interrogative complements.

So: 'be certain' is *veridically uniform*.

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Conclusion
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The Veridical Uniformity Thesis

Veridical Uniformity Universal (Spector and Egré 2015; Theiler, Roelofsen, and Aloni 2018)

All responsive verbs are veridically uniform.

Question
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Four Responsive Verbs

Verb	Lexical Entry: $\lambda P_T. \lambda p_{\langle s,t \rangle}. \lambda a_e. \forall w \in p : \dots$	Veridical	
		Declarative	Interrogative
know	$w \in \text{DOX}_w^a \in P$	✓	✓
wondows	$w \in \text{DOX}_w^a \subseteq \text{info}(P)$ and $\text{DOX}_w^a \cap q \neq \emptyset \forall q \in \text{alt}(P)$	✓	✗
knopinion	$w \in \text{DOX}_w^a$ and $(\text{DOX}_w^a \in P \text{ or } \text{DOX}_w^a \in \neg P)$	✗	✓
be-certain	$\text{DOX}_w^a \in P$	✗	✗

Table : Four verbs, exemplifying the possible profiles of veridicality.

The semantics are given in terms of *inquisitive semantics* Ciardelli, Groenendijk, and Roelofsen 2018

Question
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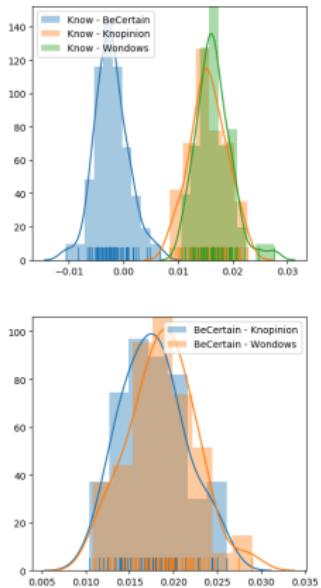
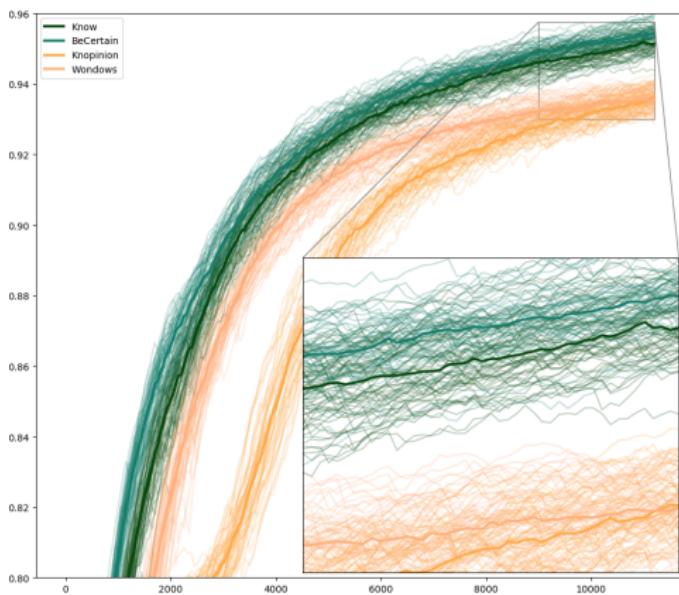
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Veridical Uniformity: Results



Steinert-Threlkeld 2018

Question
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Model
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Quantifiers
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Conclusion
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Question
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Explaining Universals

Why do semantic universals arise?

Because expressions satisfying them are easier to learn.

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Explaining Universals

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We looked at three very different domains:

- Function words: quantifiers
- Content words: attitude verbs, color terms

Explaining Universals

Why do semantic universals arise?

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We looked at three very different domains:

- Function words: quantifiers
- Content words: attitude verbs, color terms

In each, a general purpose and biologically-inspired model of learning made good on this answer. We take this as strong evidence that learnability does indeed explain semantic universals.

Future Directions

- Relation between learnability and (descriptive) complexity, e.g., Does Kolmogorov complexity of Qs predict learnability (with Iris van de Pol)?
What are the corresponding minimal programs over a LoT (with Steven Piantadosi)?

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- ‘Scaling up’ the computational experiments, e.g.,
Does CONS arise from the biased linguistic distribution?
Mhasawade et al. 2018: NO

Question
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Verbs
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- Studies with humans and animals, e.g.,
Chemla et al. have recently shown that humans and baboons are biased towards convexity. This should be extended to other universals.

Future Directions

- Relation between learnability and (descriptive) complexity, e.g.,
 Does Kolmogorov complexity of Qs predict learnability (with Iris van de Pol)?
 What are the corresponding minimal programs over a LoT (with Steven Piantadosi)?
- ‘Scaling up’ the computational experiments, e.g.,
 Does CONS arise from the biased linguistic distribution?
 Mhasawade et al. 2018: NO
- More universals from more domains, e.g., thematic roles
- Integration with models of the evolution of language
 Recent news: iterated learning with NNs yields monotonicity (with Fausto Carcassi).
- Studies with humans and animals, e.g.,
 Chemla et al. have recently shown that humans and baboons are biased towards convexity. This should be extended to other universals.
- ... and more!

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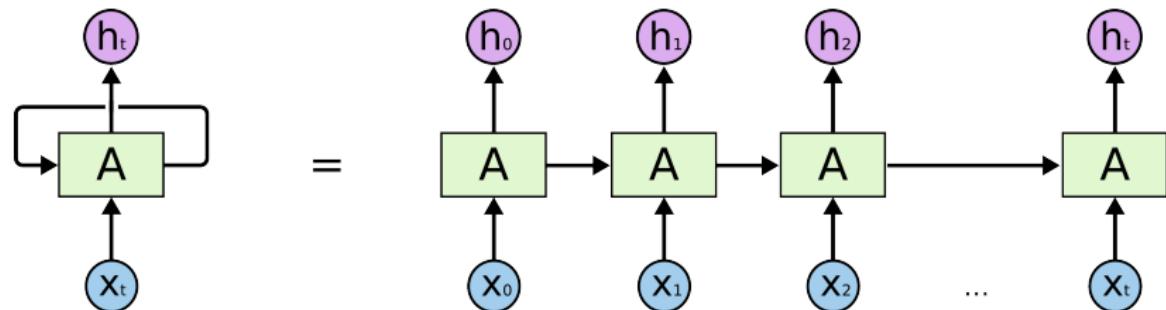
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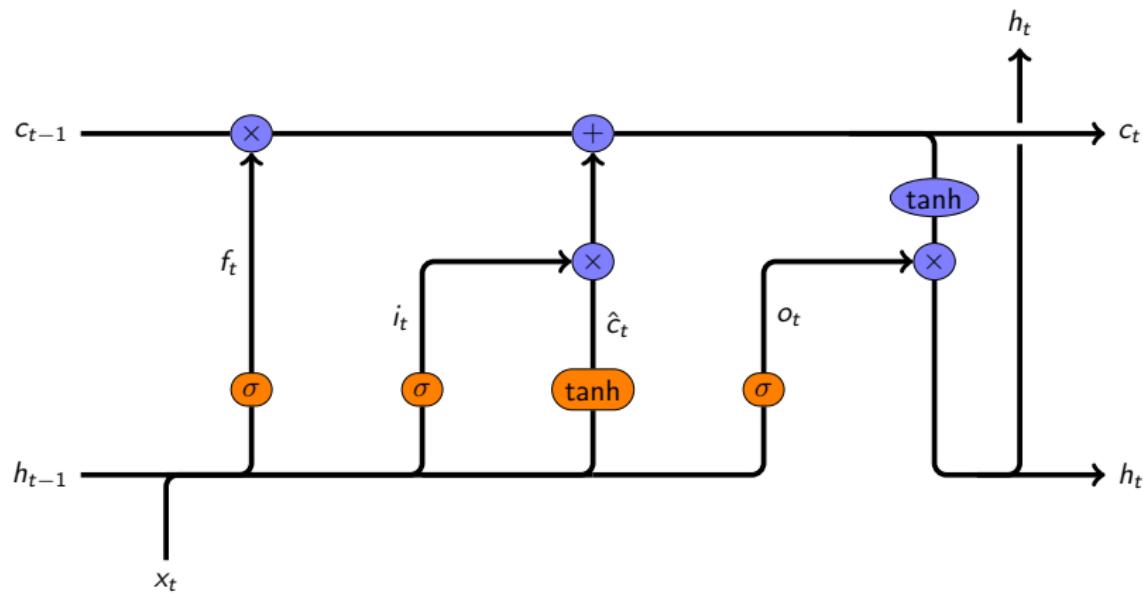
Overview

7 Nets

RNNs



Long Short-Term Memory Network



Hochreiter and Schmidhuber 1997