

# Category Theory In Context

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## 1 Categories, Functors, Natural Transformations

### 1.1 Abstract and concrete categories

**Definition 1.1.** A **category** consists of

- a collection of **objects**  $X, Y, Z, \dots$
- a collection of **morphisms**  $f, g, h, \dots$

so that

- Each morphism has specified **domain** and **codomain** objects; the notation  $f : X \rightarrow Y$  signifies that  $f$  is a morphism with domain  $X$  and codomain  $Y$
- Each object has a designated **identity morphism**  $1_X : X \rightarrow X$

- For any pair of morphisms  $f, g$  with the codomain of  $f$  equal to the domain of  $g$ , there exists a specified **composite morphism**  $gf$  whose domain is equal to the domain of  $f$  and whose codomain is equal to the codomain of  $g$ , i.e., :

$$f : X \rightarrow Y, \quad g : Y \rightarrow Z \quad \leadsto \quad gf : X \rightarrow Z$$

This data is subject to the following two axioms

- For any  $f : X \rightarrow Y$ , the composites  $1_Y f$  and  $f 1_X$  are both equal to  $f$
- For any composable triple of morphisms  $f, g, h$ , the composites  $h(gf)$  and  $(hg)f$  are equal and hence denoted by  $hgf$ .

$$f : X \rightarrow Y, \quad g : Y \rightarrow Z, \quad h : Z \rightarrow W \quad \leadsto \quad hgf : X \rightarrow W$$

- Example 1.1.**
1. For any language  $\mathcal{L}$  and any theory  $T$  of  $\mathcal{L}$ , there is a category  $\mathbf{MODEL}_T$  whose objects are models of  $T$ . Morphisms is just homomorphisms
  2. For a fixed unital but not necessarily commutative ring  $R$ ,  $\mathbf{Mod}_R$  is the category of left  $R$ -modules and  $R$ -modules homomorphisms. This category is denoted by  $\mathbf{Vect}_{\mathbb{k}}$  when the ring happens to be a field  $\mathbb{k}$  and abbreviated as  $\mathbf{Ab}$  in the case of  $\mathbf{Mod}_{\mathbb{Z}}$ , as a  $\mathbb{Z}$ -module is precisely an abelian group

**Concrete categories** are those whose objects have underlying sets and whose morphisms are functions between underlying sets  
Abstract categories

- Example 1.2.**
1. A group defines a category  $\mathbf{BG}$  with a single object
  2. A category is **discrete** if every morphism is an identity

**Definition 1.2.** A category is **small** if it has only a set's worth of arrows  
Both  $\mathbf{ob}(\mathcal{C})$  and  $\mathbf{hom}(\mathcal{C})$  are sets

Thus it has only a set's worth of objects

**Definition 1.3.** A category is **locally small** if between any pair of objects there is only a set's worth of morphisms

The set of arrows between a pair of fixed objects in a locally small category is typically called a **hom-set**

**Definition 1.4.** An **isomorphism** in a category is a morphism  $f : X \rightarrow Y$  for which there exists a morphism  $g : Y \rightarrow X$  so that  $gf = 1_X$  and  $fg = 1_Y$ , denoted by  $X \cong Y$

An **endomorphism** is a morphism whose domain equals its codomain

**Definition 1.5.** A **groupoid** is a category in which every morphism is an isomorphism

**Lemma 1.6.** Any category  $\mathcal{C}$  contains a **maximal groupoid**, the subcategory containing all of the objects and only those morphisms that are isomorphisms

- Exercise 1.1.1.* 1. Consider a morphism  $f : x \rightarrow y$ . Show that if there exists a pair of morphisms  $g, h : y \rightrightarrows x$  s.t.  $gf = 1_x$  and  $fh = 1_y$ , then  $g = h$  and  $f$  is an isomorphism
2. Show that a morphism can have at most one inverse isomorphism

*Proof.* 1.  $g = 1_x g = (hf)g = h(fg) = h1_y = h$

2. From 1

□

*Exercise 1.1.2.* For any category  $\mathcal{C}$  and any object  $c \in \mathcal{C}$ , show that

1. There is a category  $c/\mathcal{C}$  whose objects are morphisms  $f : c \rightarrow x$  with domain  $c$  in which a morphism from  $f : c \rightarrow x$  to  $g : c \rightarrow y$  is a map  $h : x \rightarrow y$  between the codomains so that the triangle

$$\begin{array}{ccc} & c & \\ f \swarrow & & \searrow g \\ x & \xrightarrow{h} & y \end{array}$$

commutes.

2. There is a category  $\mathcal{C}/c$  whose objects are morphisms  $f : x \rightarrow c$  with codomain  $c$  in which a morphism from  $f : x \rightarrow c$  to  $g : y \rightarrow c$  is a map  $h : x \rightarrow y$  between the codomains so that the triangle

$$\begin{array}{ccc} x & \xrightarrow{h} & y \\ f \searrow & & \swarrow g \\ & c & \end{array}$$

commutes

The category  $c/\mathcal{C}$  and  $\mathcal{C}/c$  are called **slice categories** of  $\mathcal{C}$  **under** and **over**  $c$ , respectively

## 1.2 Duality

**Definition 1.7.** Let  $\mathcal{C}$  be any category. The **opposite category**  $\mathcal{C}^{\text{op}}$  has

- the same objects as in  $\mathcal{C}$
- a morphism  $f^{\text{op}}$  in  $\mathcal{C}^{\text{op}}$  for each a morphism  $f$  in  $\mathcal{C}$  so that the domain of  $f^{\text{op}}$  is defined to be the codomain of  $f$  and the codomain of  $f^{\text{op}}$  is defined to be the domain of  $f$
- For each object  $X$ , the arrow  $1_X^{\text{op}}$  serves as its identity in  $\mathcal{C}^{\text{op}}$
- A pair of morphisms  $f^{\text{op}}, g^{\text{op}}$  in  $\mathcal{C}^{\text{op}}$  is composable precisely when the pair  $g, f$  is composable in  $\mathcal{C}$ . We then define  $g^{\text{op}} \circ f^{\text{op}}$  to be  $(f \circ g)^{\text{op}}$ : i.e.

$$\text{dom}(f^{\text{op}}) = \text{cod}(f) = \text{dom}(g) = \text{cod}(g^{\text{op}})$$

**Lemma 1.8.** *T.F.A.E.*

1.  $f : x \rightarrow y$  is an isomorphism
2. For all objects  $c \in \mathcal{C}$ , post-composition with  $f$  defines a bijection

$$f_* : \text{Hom}(c, x) \rightarrow \text{Hom}(c, y)$$

3. For all objects  $c \in \mathcal{C}$ , pre-composition with  $f$  defines a bijection

$$f^* : \text{Hom}(y, c) \rightarrow \text{Hom}(x, c)$$

Lemma 1.8 asserts that isomorphisms in a locally small category are defined *representably* in terms of isomorphisms in the category of sets.

*Proof.*  $2 \rightarrow 1$ . Let  $c = y$ , since  $f_*$  is an bijection, there must be an element  $g \in \text{Hom}(y, x)$  s.t.  $f_*(g) = 1_y$ . Hence  $fg = 1_y$ . Thus  $gf, 1_x$  have common image under  $f_*$ , thus  $gf = 1_x$ . Whence  $f$  and  $g$  are inverse isomorphisms  $\square$

**Definition 1.9.** A morphism  $f : x \rightarrow y$  in a category is

1. a **monomorphism** if for any parallel morphisms  $h, k : w \rightrightarrows x$ ,  $fg = fk$  implies that  $h = k$

2. an **epimorphism** if for any parallel morphisms  $h, k : w \rightrightarrows x$ ,  $hf = kf$  implies that  $h = k$

Also, we can re-express it

1.  $f : x \rightarrow y$  is a monomorphism in  $\mathcal{C}$  iff for all objects  $c \in \mathcal{C}$ ,  $f_* : \text{Hom}(c, x) \rightarrow \text{Hom}(c, y)$  is injective
2.  $f : x \rightarrow y$  is an epimorphism in  $\mathcal{C}$  iff for all  $c \in \mathcal{C}$ ,  $f^* : \text{Hom}(y, c) \rightarrow \text{Hom}(x, c)$  is injective

**Example 1.3.** Suppose that  $x \xrightarrow{s} y \xrightarrow{r} x$  are morphisms s.t.  $rs = 1_x$ . The map  $s$  is a **section** or **right inverse** to  $r$ , while the map  $r$  defines a **retraction** or **left inverse** to  $s$ . The maps  $s$  and  $r$  express the object  $x$  as a **retract** of the object  $y$

In this case,  $s$  is always a monomorphism and, dually,  $r$  is always an epimorphism. To acknowledge the presence of these one-sided inverses,  $s$  is said to be a **split monomorphism** and  $r$  is said to be a **split epimorphism**

**Example 1.4.** By the previous example, an isomorphism is necessarily both monic and epic, but the converse need not hold in general. For example, the inclusion  $\mathbb{Z} \hookrightarrow \mathbb{Q}$  is both monic and epic in the category **Rng**, but this map is not an isomorphism: there are no ring homomorphisms from  $\mathbb{Q}$  to  $\mathbb{Z}$

**Lemma 1.10.** 1. If  $f : x \rightarrowtail y$  and  $g : y \rightarrowtail z$  are monomorphisms, then so is  $gf : x \rightarrowtail z$

2. If  $f : x \rightarrow y$  and  $g : y \rightarrow z$  are morphisms so that  $gf$  is monic, then  $f$  is monic

Dually

1. If  $f : x \twoheadrightarrow y$  and  $g : y \twoheadrightarrow z$  are epimorphisms, then so is  $gf : x \twoheadrightarrow z$
2. If  $f : x \rightarrow y$  and  $g : y \rightarrow z$  are morphisms so that  $gf$  is epic, then  $g$  is epic

**Exercise 1.2.1.** 1. Show that a morphism  $f : x \rightarrow y$  is a split epimorphism in a category  $\mathcal{C}$  iff for all  $c \in \mathcal{C}$ , the post-composition function  $f_* : \text{Hom}(c, x) \rightarrow \text{Hom}(c, y)$  is surjective

2. Show that a morphism  $f : x \rightarrow y$  is a split monomorphism in a category  $\mathcal{C}$  iff for all  $c \in \mathcal{C}$ , the post-composition function  $f^* : \text{Hom}(y, c) \rightarrow \text{Hom}(x, c)$  is surjective

*Exercise 1.2.2.* Prove that a morphism that is both a monomorphism and a split epimorphism is necessarily an isomorphism. Argue by duality that a split monomorphism that is an epimorphism is also an isomorphism

*Proof.* Suppose  $y \xrightarrow{g} x \xrightarrow{f} y$  and  $fg = 1_y$ , then  $fgf = f = f \circ 1_x$ . Since  $f$  is mono,  $gf = 1_x$   $\square$

### 1.3 Functoriality

**Definition 1.11.** A **functor**  $F : \mathcal{C} \rightarrow \mathcal{D}$ , between categories  $\mathcal{C}$  and  $\mathcal{D}$ , consists of the following data:

- An object  $Fc \in \mathcal{D}$ , for each objects  $c \in \mathcal{C}$
- A morphism  $Ff : Fc \rightarrow Fc' \in \mathcal{D}$ , for each morphism  $f : c \rightarrow c' \in \mathcal{C}$

#### Functoriality axioms

- For any composable pair  $f, g \in \mathcal{C}$ ,  $Fg \circ Ff = F(g \circ f)$
- For each object  $c \in \mathcal{C}$ ,  $F(1_c) = 1_{Fc}$

**Definition 1.12.** A **contravariant functor**  $F$  from  $\mathcal{C}$  to  $\mathcal{D}$  is a functor  $F : \mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$

- A morphism  $Ff : Fc' \rightarrow Fc \in \mathcal{D}$  for each morphism  $f : c \rightarrow c' \in \mathcal{C}$
- For any composable pair  $f, g \in \mathcal{C}$ ,  $Ff \circ Fg = F(g \circ f)$

$$\mathcal{C}^{\text{op}} \xrightarrow{F} \mathcal{D}$$

$$\begin{array}{ccc} c & \xrightarrow{\quad} & Fc \\ f \downarrow & \xrightarrow{\quad} & \uparrow Ff \\ c' & \xrightarrow{\quad} & Fc' \end{array}$$

**Lemma 1.13.** *Functors preserve isomorphisms*

*Proof.* Consider a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  and an isomorphism  $f : x \rightarrow y$  in  $\mathcal{C}$  with inverse  $g : y \rightarrow x$ . Then

$$F(g)F(f) = F(gf) = F(1_x) = 1_{Fx}$$

Thus  $Fg : Fy \rightarrow Fx$  is a left inverse to  $Ff : Fx \rightarrow Fy$   $\square$

**Definition 1.14.** If  $\mathcal{C}$  is locally small, then for any object  $c \in \mathcal{C}$  we may define a pair of covariant and contravariant **functors represented by  $c$** :

$$\begin{array}{ccc}
 \mathcal{C} & \xrightarrow{\text{Hom}(c, -)} & \mathbf{Sets} \\
 \\
 \begin{array}{ccc}
 x & \mapsto & \text{Hom}(c, x) \\
 \downarrow f & \mapsto & \downarrow f_* \\
 y & \mapsto & \text{Hom}(c, y)
 \end{array} & & 
 \begin{array}{ccc}
 \mathcal{C}^{\text{op}} & \xrightarrow{\text{Hom}(-, c)} & \mathbf{Sets} \\
 \\
 \begin{array}{ccc}
 x & \mapsto & \text{Hom}(x, c) \\
 \downarrow f & \mapsto & \uparrow f^* \\
 y & \mapsto & \text{Hom}(y, c)
 \end{array}
 \end{array}
 \end{array}$$

Post-composition defines a **covariant** action on hom-sets

**Definition 1.15.** For any categories  $\mathcal{C}$  and  $\mathcal{D}$ , there is a category  $\mathcal{C} \times \mathcal{D}$ , their **product**, whose

- objects are ordered pairs  $(c, d)$ , where  $c$  is an object of  $\mathcal{C}$  and  $d$  is an object of  $\mathcal{D}$
- morphisms are ordered pairs  $(f, g) : (c, d) \rightarrow (c', d')$ , where  $f : c \rightarrow c' \in \mathcal{C}$  and  $g : d \rightarrow d' \in \mathcal{D}$  and
- in which composition and identities are defined componentwise

**Definition 1.16.** If  $\mathcal{C}$  is locally small, then there is a **two-sided represented functor**

$$\mathcal{C}(-, -) : \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathbf{Sets}$$

A pair of objects  $(x, y)$  is mapped to the hom-set  $\text{Hom}(x, y)$ . A pair of morphisms  $f : w \rightarrow x$  and  $h : y \rightarrow z$  is sent to the function

$$\text{Hom}(x, y) \xrightarrow{(f^*, h_*)} \text{Hom}(w, z)$$

$$g \longmapsto hgf$$

An **isomorphism of categories** is given by a pair of inverse functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  s.t. the composites  $Gf$  and  $FG$ , respectively, equal the identity functors on  $\mathcal{C}$  and  $\mathcal{D}$

## 1.4 Naturality

**Definition 1.17.** Given categories  $\mathcal{C}$  and  $\mathcal{D}$  and functors  $F, G : \mathcal{C} \Rightarrow \mathcal{D}$ , a **natural transformation**  $\alpha : F \Rightarrow G$  consists of

- an arrow  $\alpha_c : Fc \rightarrow Gc$  in  $\mathcal{D}$  for each object  $c \in \mathcal{C}$ , the collection of which define the **components** of the natural transformation s.t. for any morphism  $f : c \rightarrow c'$  in  $\mathcal{C}$ , the following square of morphisms in  $\mathcal{D}$

$$\begin{array}{ccc} Fc & \xrightarrow{\alpha_c} & Gc \\ Ff \downarrow & & \downarrow Gf \\ Fc' & \xrightarrow{\alpha_{c'}} & Gc' \end{array}$$

**commutes**

A **natural isomorphism** is a natural transformation  $\alpha : F \Rightarrow G$  in which every component  $\alpha_c$  is an isomorphism. In this case, the natural isomorphism may be depicted as  $\alpha : F \cong G$

$$\begin{array}{ccc} & \curvearrowright & \\ A & \Downarrow \alpha & B \\ & \curvearrowleft & \end{array}$$

**Example 1.5.** Consider morphism  $f : w \rightarrow x$  and  $h : y \rightarrow z$  in a locally small category  $\mathcal{C}$ . Post-composition by  $h$  and pre-composition by  $f$  define functions between hom-sets

$$\begin{array}{ccc} C(x, y) & \xrightarrow{h \circ -} & C(x, z) \\ \downarrow - \circ f & & \downarrow - \circ f \\ C(w, y) & \xrightarrow{h \circ -} & C(w, z) \end{array}$$

$h \circ -$  is denoted by  $h_*$  and  $- \circ f$  is denoted by  $f^*$ . By interpreting the horizontal arrows as the image of  $h$  under the actions of the functors  $C(x, -)$  and  $C(w, -)$ , the square demonstrates that there is a natural transformation

$$f^* : C(x, -) \Rightarrow C(w, -)$$

*Exercise 1.4.1.* Given a pair of functors  $F : \mathbf{A} \times \mathbf{B} \times \mathbf{B}^{\text{op}} \rightarrow \mathbf{D}$  and  $G : \mathbf{A} \times \mathbf{C} \times \mathbf{C}^{\text{op}} \rightarrow \mathbf{D}$ , a family of morphisms

$$\alpha_{a,b,c} : F(a, b, b) \rightarrow G(a, c, c)$$



in  $\mathbf{D}$  defines the components of an **extranatural transformation**  $\alpha : F \Rightarrow G$  if for any  $f : a \rightarrow a'$ ,  $g : b \rightarrow b'$  and  $h : c \rightarrow c'$  the following diagram commutes

$$\begin{array}{ccccccc}
F(a, b, b) & \xrightarrow{\alpha_{a,b,c}} & G(a, c, c) & F(a, b, b') & \xrightarrow{F(1_a, 1_b, g)} & F(a, b, b) & F(a, b, b) & \xrightarrow{\alpha_{a,b,c}} & G(a, c, c) \\
\downarrow F(f, 1_b, 1_b) & & \downarrow G(f, 1_c, 1_c) & \downarrow F(1_a, g, 1_{b'}) & & \downarrow \alpha_{a,b,c} & \downarrow F(f, 1_b, 1_b) & & \downarrow G(f, 1_c, 1_c) \\
F(a', b, b) & \xrightarrow{\alpha_{a',b,c}} & G(a', c, c) & F(a, b', b') & \xrightarrow{\alpha_{a,b',c}} & G(a, c, c) & F(a', b, b) & \xrightarrow{\alpha_{a',b,c}} & G(a', c, c)
\end{array}$$

## 1.5 Equivalence of categories

Let  $\mathbb{1}$  denote the discrete category with a single object and let  $\mathbb{2}$  denote the category with two objects  $0, 1 \in \mathbb{2}$  and a single non-identity arrow  $0 \rightarrow 1$ . There are two evident functors  $i_0, i_1 : \mathbb{1} \Rightarrow \mathbb{2}$  whose subscripts designate the objects in their image

**Lemma 1.18.** *Fixing a parallel pair of functors  $F, G : \mathbf{C} \Rightarrow \mathbf{D}$ , natural transformations  $\alpha : F \Rightarrow G$  correspond bijectively to functors  $H : \mathbf{C} \times \mathbb{2} \rightarrow \mathbf{D}$  s.t.  $H$  restricts along  $i_0$  and  $i_1$  to the functors  $F, G$ , i.e., so that*

$$\begin{array}{ccccc}
\mathbf{C} & \xrightarrow{i_0} & \mathbf{C} \times \mathbb{2} & \xleftarrow{i_1} & \mathbf{C} \\
& \searrow F & \downarrow H & \swarrow G & \\
& & \mathbf{D} & & 
\end{array}$$

commutes

Hence  $i_0$  denotes the functor defined on objects by  $c \mapsto (c, 0)$

**Definition 1.19.** An **equivalence of categories** consists of functors  $F : \mathbf{C} \rightleftarrows \mathbf{D} : G$  together with natural isomorphisms  $\eta : 1_{\mathbf{C}} \cong GF$ ,  $\epsilon : FG \cong 1_{\mathbf{D}}$ . Categories  $\mathbf{C}$  and  $\mathbf{D}$  are **equivalent**, written  $\mathbf{C} \simeq \mathbf{D}$ , if there exists an equivalence between them

**Lemma 1.20.** *If  $\mathbf{C} \simeq \mathbf{D}$  and  $\mathbf{D} \simeq \mathbf{E}$ , then  $\mathbf{C} \simeq \mathbf{E}$*

**Definition 1.21.** A functor  $F : \mathbf{C} \rightarrow \mathbf{D}$  is

- **full** if for each  $x, y \in \mathbf{C}$ , the map  $\mathbf{C}(x, y) \rightarrow \mathbf{D}(Fx, Fy)$  is surjective
- **faithful** if for each  $x, y \in \mathbf{C}$ , the map  $\mathbf{C}(x, y) \rightarrow \mathbf{D}(Fx, Fy)$  is injective

- **essentially surjective on objects** if for every object  $d \in \mathbf{D}$  there is  $c \in \mathbf{C}$  s.t.  $d$  is isomorphic to  $Fc$

**Lemma 1.22.** Any morphism  $f : a \rightarrow b$  and fixed isomorphism  $a \cong a'$  and  $b \cong b'$  determine a unique morphism  $f' : a' \rightarrow b'$  so that any of - or, equivalently, all of - the following four diagrams commutes

$$\begin{array}{cccc}
 a \xleftarrow{\cong} a' & a \xrightarrow{\cong} a' & a \xleftarrow{\cong} a' & a \xrightarrow{\cong} a' \\
 f \downarrow & \downarrow f' & f \downarrow & \downarrow f' \\
 b \xrightarrow{\cong} b' & b \xrightarrow{\cong} b' & b \xleftarrow{\cong} b' & b \xleftarrow{\cong} b'
 \end{array}$$

**Theorem 1.23** (characterizing equivalences of categories). A functor defining an equivalence of categories is full, faithful and essentially surjective on objects. Assuming the axiom of choice, any functor with these properties defines an equivalence of categories

*Proof.* First suppose that  $F : \mathbf{C} \rightleftarrows \mathbf{D} : G$ ,  $\eta : 1_{\mathbf{C}} \cong GF$  and  $\epsilon : FG \cong 1_{\mathbf{D}}$  define an equivalence of categories. For any  $d \in \mathbf{D}$ , the component of the natural isomorphism  $\epsilon_d : FGd \cong d$  demonstrates that  $F$  is essentially surjective. Consider a parallel pair  $f, g : c \rightrightarrows c'$  in  $\mathbf{C}$ . If  $Ff = Fg$ , then both  $f$  and  $g$  define an arrow  $c \rightarrow c'$  making the diagram

$$\begin{array}{ccc}
 c & \xrightarrow{\eta_c} & GFc \\
 \downarrow f \text{ or } g & & \downarrow GFf = GFg \\
 c' & \xrightarrow[\eta_{c'}]{cong} & GFc'
 \end{array}$$

that expresses the naturality of  $\eta$  commute. Lemma implies that there is a unique arrow  $c \rightarrow c'$  with this property, whence  $f = g$ . Thus  $F$  is faithful and by symmetry, so is  $G$ . Given  $k : Fc \rightarrow Fc'$ , by Lemma 1.22,  $Gk$  and the isomorphism  $\eta_c$  and  $\eta_{c'}$  define a unique  $h : c \rightarrow c'$  for which both  $Gk$  and  $GFh$  make the diagram

$$\begin{array}{ccc}
 c & \xrightarrow{\eta_c} & GFc \\
 \downarrow h & & \downarrow Gk \text{ or } GFh \\
 c' & \xrightarrow[\eta_{c'}]{\cong} & GFc'
 \end{array}$$

commute. By Lemma 1.22,  $GFh = Gk$

For the converse, suppose now that  $F : \mathbf{C} \rightarrow \mathbf{D}$  is full, faithful and essentially surjective on objects. Using essential surjectivity and the axiom of choice, choose, for each  $d \in \mathbf{D}$ , an object  $Gd \in \mathbf{C}$  and an isomorphism

$\epsilon_d : FGd \cong d$ . For each  $l : d \rightarrow d'$ , Lemma 1.22 defines a unique morphism making the square

$$\begin{array}{ccc} FGd & \xrightarrow[\cong]{\epsilon_d} & d \\ \downarrow & & \downarrow l \\ FGd' & \xrightarrow[\cong]{\epsilon_{d'}} & d' \end{array}$$

commute. Since  $F$  is fully faithful, there is a unique morphism  $Gd \rightarrow G'$  with this image under  $F$ , which we define to be  $Gl$ .  $\square$

A category is **connected** if any pair of objects can be connected by a finite zig-zag of morphisms

**Proposition 1.24.** *Any connected groupoid is equivalent, as a category, to the automorphism group of any of its objects.*

*Proof.* Choose any object  $g$  of a connected groupoid  $\mathbf{G}$  and let  $G = \mathbf{G}(g, g)$  denote its automorphism group. The inclusion  $\mathbf{B}G \hookrightarrow \mathbf{G}$  mapping the unique object of  $\mathbf{B}G$  to  $g \in \mathbf{G}$  is full and faithful, by definition, and essentially surjective, since  $\mathbf{G}$  was assumed to be connected. Apply Theorem 1.23  $\square$

**Definition 1.25.** A category  $\mathbf{C}$  is **skeletal** if it contains just one object in each isomorphism class. The **skeleton**  $\text{sk } \mathbf{C}$  of a category  $\mathbf{C}$  is the unique skeletal category that is equivalent to  $\mathbf{C}$

## 1.6 The art of the diagram chase

**Definition 1.26.** A **monoid** is an object  $M \in \mathbf{Sets}$  together with a pair of morphisms  $\mu : M \times M \rightarrow M$  and  $\eta : 1 \rightarrow M$  so that the following diagrams commute:

$$\begin{array}{ccc} M \times M \times M & \xrightarrow{1_M \times \mu} & M \times M \\ \downarrow \mu \times 1_M & & \downarrow \mu \\ M \times M & \xrightarrow{\mu} & M \end{array} \quad \begin{array}{ccccc} M & \xrightarrow{\eta \times 1_M} & M \times M & \xleftarrow{1_M \times \eta} & M \\ & \searrow 1_M & \downarrow \mu & \swarrow 1_M & \\ & & M & & \end{array}$$

$\mu$  defines a binary “multiplication” operation on  $M$ .  $\eta$  identifies an element  $\eta \in M$

**Definition 1.27.** A **diagram** in a category  $\mathbf{C}$  is a functor  $F : \mathbf{J} \rightarrow \mathbf{C}$  whose domain, the **indexing category**, is a small category

**Lemma 1.28.** *Functors preserve commutative diagrams*

**Lemma 1.29.** *Suppose  $f_1, \dots, f_n$  is a composable sequence - a “path” - of morphisms in a category. If the composite  $f_k f_{k-1} \dots f_{i+1} f_i$  equals  $g_m \dots g_1$ , for another composable sequence of morphisms  $g_1, \dots, g_m$ , then  $f_n \dots f_1 = f_n \dots f_{k+1} g_m \dots g_1 f_{i-1} \dots f_1$*

**Lemma 1.30.** *For any commutative square  $\beta\alpha = \delta\gamma$  in which each of the morphisms is an isomorphism, then the inverses define a commutative square  $\alpha^{-1}\beta^{-1} = \gamma^{-1}\delta^{-1}$*

**Definition 1.31.** An object  $i \in \mathbf{C}$  is **initial** if for every  $c \in \mathbf{C}$  there is a unique morphism  $i \rightarrow c$ . Dually, an object  $t \in \mathbf{C}$  is **terminal** if for every  $c \in \mathbf{C}$  there is a unique morphism  $c \rightarrow t$

**Lemma 1.32.** *Let  $f_1, \dots, f_n$  and  $g_1, \dots, g_m$  be composable sequences of morphisms so that the domain of  $f_1$  equals the domain of  $g_1$  and the codomain of  $f_n$  equals the codomain of  $g_m$ . If this common codomain is a terminal object, or if this common domain is an initial object, then  $f_n \dots f_1 = g_m \dots g_1$*

**Definition 1.33.** A **concrete category** is a category  $\mathbf{C}$  equipped with a faithful functor  $U : \mathbf{C} \rightarrow \mathbf{Sets}$

**Lemma 1.34.** *If  $U : \mathbf{C} \rightarrow \mathbf{D}$  is faithful, then any diagram in  $\mathbf{C}$  whose image commutes in  $\mathbf{D}$  also commutes in  $\mathbf{C}$*

**Lemma 1.35.** *Consider morphisms with the induced sources and targets*

$$\begin{array}{ccccc} a & \xrightarrow{f} & b & \xrightarrow{j} & c \\ \downarrow g & & \downarrow h & & \downarrow l \\ a' & \xrightarrow{k} & b' & \xrightarrow{m} & c' \end{array}$$

and suppose that the outer rectangle commutes. This data defines a commutative rectangle if either

1. the right-hand square commutes and  $m$  is a monomorphism
2. the left-hand square commutes and  $f$  is an epimorphism

## 1.7 The 2-category of categories

For any fixed pair of categories  $\mathbf{C}$  and  $\mathbf{D}$ , there is a **functor category**  $\mathbf{D}^{\mathbf{C}}$  whose objects are functors  $\mathbf{C} \rightarrow \mathbf{D}$  and whose morphisms are natural transformations.

**Lemma 1.36** (vertical composition). Suppose  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$  are natural transformations between parallel functors  $F, G, H : \mathbf{C} \rightarrow \mathbf{D}$ . Then there is a natural transformation  $\beta \cdot \alpha : F \Rightarrow H$  whose composites

$$(\beta \cdot \alpha)_c := \beta_c \cdot \alpha_c$$

are defined to be the composites of the components of  $\alpha$  and  $\beta$

$$\text{Proof.} \quad \begin{array}{ccccc} Fc & \xrightarrow{\alpha_c} & Gc & \xrightarrow{\beta_c} & Hc \\ \downarrow Ff & & \downarrow Gf & & \downarrow Hf \\ Fc' & \xrightarrow{\alpha_{c'}} & Gc' & \xrightarrow{\beta_{c'}} & Hc' \end{array}$$

□

**Corollary 1.37.** For any pair of categories  $\mathbf{C}$  and  $\mathbf{D}$ , the functors from  $\mathbf{C}$  to  $\mathbf{D}$  and natural transformations between them define a category  $\mathbf{D}^{\mathbf{C}}$

The composition operation defined in Lemma 1.36 is called **vertical composition**. Drawing the parallel functors horizontally, a composable pair of natural transformations in the category  $\mathbf{D}^{\mathbf{C}}$  fits into a **pasting diagram**

$$\begin{array}{ccc} & F & \\ \curvearrowright & & \curvearrowright \\ \mathbf{C} & \xrightarrow{G} & \mathbf{D} \\ \curvearrowleft & & \curvearrowleft \\ & H & \end{array} = \begin{array}{ccc} & F & \\ \curvearrowright & & \curvearrowright \\ \mathbf{C} & \xrightarrow{\beta \cdot \alpha} & \mathbf{D} \\ \curvearrowleft & & \curvearrowleft \\ & H & \end{array}$$

There is also a **horizontal composition** operation defined by the follow-

$$\begin{array}{ccc} & F & \\ \curvearrowright & & \curvearrowright \\ \mathbf{C} & \xrightarrow{G} & \mathbf{D} \\ \curvearrowleft & & \curvearrowleft \\ & H & \end{array} \quad \begin{array}{ccc} & H & \\ \curvearrowright & & \curvearrowright \\ \mathbf{D} & \xrightarrow{K} & \mathbf{E} \\ \curvearrowleft & & \curvearrowleft \\ & K & \end{array} = \begin{array}{ccc} & HF & \\ \curvearrowright & & \curvearrowright \\ \mathbf{C} & \xrightarrow{KG} & \mathbf{E} \\ \curvearrowleft & & \curvearrowleft \\ & K & \end{array}$$

ing lemma

**Lemma 1.38** (horizontal composition). Given a pair of natural transformations there is a natural transformation  $\beta * \alpha : HF \Rightarrow KG$  whose component at  $c \in \mathbf{C}$  is defined as the composite of the following commutative square

$$\begin{array}{ccccc}
& & F & & H \\
& \curvearrowright & & \curvearrowright & \\
C & & D & & E \\
& \Downarrow \alpha & & \Downarrow \beta & \\
& \curvearrowleft & & \curvearrowleft & \\
& & G & & K
\end{array}$$

$$\begin{array}{ccc}
HFc & \xrightarrow{\beta_{Fc}} & KFc \\
H\alpha_c \downarrow & \searrow (\beta * \alpha)_c & \downarrow K\alpha_c \\
HGc & \xrightarrow{\beta_{Gc}} & KGc
\end{array}$$

$$\begin{array}{ccccc}
HFc & \xrightarrow{H\alpha_c} & HGc & \xrightarrow{\beta_{Gc}} & KGc \\
HFf \downarrow & & HGf \downarrow & & KGf \downarrow \\
HFc' & \xrightarrow{H\alpha_{c'}} & HGc' & \xrightarrow{\beta_{Gc'}} & KGc'
\end{array}$$

*Proof.*

□

**Lemma 1.39** (middle four interchange). *Given functors and natural transformations*

$$\begin{array}{ccccc}
& & F & & J \\
& \curvearrowright & & \curvearrowright & \\
C & \xrightarrow{G} & D & \xrightarrow{K} & E \\
& \Downarrow \alpha & & \Downarrow \gamma & \\
& \curvearrowleft & & \curvearrowleft & \\
& & H & & L
\end{array}$$

*the natural transformation  $JF \Rightarrow LH$  defined by first composing vertically and then composing horizontally equals the natural transformation defined by first composing horizontally and then composing vertically*

**Definition 1.40.** A **2-category** is comprised of

- objects, e.g., the categories  $\mathbf{C}$
- 1-morphisms between pairs of objects, e.g., the functors  $\mathbf{C} \xrightarrow{F} \mathbf{D}$

$$\begin{array}{ccc}
 \begin{array}{ccc}
 & F & \\
 \curvearrowright & & \curvearrowright \\
 \mathbf{C} & \Downarrow \beta \cdot \alpha & \mathbf{D} \\
 \curvearrowleft & & \curvearrowleft \\
 & H &
 \end{array}
 &
 \begin{array}{ccc}
 & J & \\
 \curvearrowright & & \curvearrowright \\
 \mathbf{D} & \Downarrow \delta \cdot \gamma & \mathbf{E} \\
 \curvearrowleft & & \curvearrowleft \\
 & L &
 \end{array}
 & = &
 \begin{array}{ccc}
 & JF & \\
 \curvearrowright & \Downarrow \gamma * \alpha & \curvearrowright \\
 \mathbf{C} & \xrightarrow{KG} & \mathbf{E} \\
 \curvearrowleft & \Downarrow \delta * \beta & \curvearrowleft \\
 & LH &
 \end{array}
 \end{array}$$

- 2-morphisms between parallel pairs of 1-morphisms, e.g., the natural transformations

so that

- the objects and 1-morphisms form a category, with identities  $1_{\mathbf{C}} : \mathbf{C} \rightarrow \mathbf{C}$
- For each fixed pair of objects  $\mathbf{C}$  and  $\mathbf{D}$ , the 1-morphisms  $F : \mathbf{C} \rightarrow \mathbf{D}$  and 2-morphisms between such form a category under an operation called vertical composition
- There is also a category whose objects are the objects in which a morphism from  $\mathbf{C}$  to  $\mathbf{D}$  is a 2-cell

$$\begin{array}{ccc}
 & F & \\
 \curvearrowright & & \curvearrowright \\
 \mathbf{C} & \Downarrow G & \mathbf{D} \\
 \curvearrowleft & & \curvearrowleft \\
 & \alpha &
 \end{array}$$

## 2 TODO CHECK

1.38