



# Topological dynamics for groups definable in real closed field



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## ABSTRACT

We study the definable topological dynamics of groups definable in an  $\mathcal{o}$ -minimal expansion of an arbitrary real closed field  $M$ . For a definable group  $G$  which admits a compact-torsion-free decomposition  $G = HK$ , we give a description of the minimal subflow and Ellis group of the universal definable  $G(M)$ -flow  $S_{G,ext}(M)$ . This Ellis group is isomorphic to  $N_G(H) \cap K(\mathbb{R})$ , which extends the result of G. Jagiella from [7]. We also consider  $SL(2, M)$  as an example, explaining the difference between the universal definable  $SL(2, \mathbb{R})$ -flow,  $S_G(\mathbb{R})$  and the universal definable  $G(M)$ -flow,  $S_{G,ext}(M)$  for an arbitrary model  $M \succ \mathbb{R}$ .

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## 1. Introduction and preliminaries

The model theoretic approach to topological dynamics was introduced by Newelski in [10], where he studied the action of a definable group  $G(M)$  on its type space  $S_G(M)$  and proved that  $S_{G,ext}(M)$  is the universal definable  $G(M)$ -flow and hence an Ellis semigroup. Also in [10], Newelski conjectured that in the case of a theory with NIP, the Ellis groups of  $S_{G,ext}(M)$  are isomorphic to  $G/G^{00}$ . The first counterexample of this conjecture is found in [5], where the authors showed that Newelski's conjecture fails in the case of  $G = SL(2)$  and  $M = \mathbb{R}$ . Moreover, in [7] G. Jagiella provided a range of counterexamples by extending results in [5]. But in both papers, one works over the field of reals  $\mathbb{R}$ , where every externally definable subset of  $\mathbb{R}$  is also a definable subset of  $\mathbb{R}$ . So  $S_{G,ext}(\mathbb{R})$  equals to  $S_G(\mathbb{R})$ . This paper is inspired by the ideas of [5] as well as [7], and provides a broader range of such counterexamples by extending results in [7] to the context of an arbitrary real closed field  $M$ . Now we highlight our main result as follows:

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**Theorem.** Let  $G$  be a group definable over  $\mathbb{R}$  admitting a compact-torsion-free decomposition  $G = HK$  with  $H$  torsion free and  $K$  definably compact, and  $M$  be an arbitrary elementary extension of  $\mathbb{R}$ . Then the Ellis group of  $S_G(M^{ext})$  is algebraically isomorphic to  $N_G(H) \cap K(\mathbb{R})$ .

In the rest of this introduction we give a description of the key aspects of the model-theoretic and topological dynamics context, as well as their interaction. References are [7,10,11].

In Section 2, we will turn to a class of definable group which admits so-called “compact-torsion-free decomposition”, where we give precise definitions and prove some basic but nontrivial results.

In Section 3, we describe the minimal subflow and calculate the Ellis group of  $S_G(M^{ext})$  (the notation will be defined later) for  $G$  admitting the compact-torsion-free decomposition and  $M$  an arbitrary elementary extension of  $\mathbb{R}$ . We find that the structure of minimal subflow is not preserved by different models. But the Ellis group is independent of the models.

In Section 4, we present the example of  $G = SL(2)$ , which illustrates the difference between  $S_G(\mathbb{R})$  and  $S_G(M^{ext})$  of an arbitrary model  $M \succ \mathbb{R}$ .

### 1.1. $G$ -flows and its enveloping semigroup

Assume  $G$  is a group. By a (point-transitive)  $G$ -flow we mean a compact Hausdorff space  $X$  together with a left action of  $G$  on  $X$  by homeomorphism that contains a dense orbit. By a *subflow* of  $X$  we mean a closed subspace of  $X$  which is closed under the action of  $G$ . Since  $X$  is compact, there exist minimal subflows of  $X$ . The minimal subflows of  $X$  are very important objects, the one which are “dynamically indecomposable” and considered to be the most fundamental  $G$ -flows.

Let  $X^X$  denote the collection of all maps from  $X$  to itself, provided with the product topology. Then  $X^X$  is also a compact Hausdorff space by Tychonoff’s theorem. Now each  $g \in G$  defines a homeomorphism  $\pi_g : X \mapsto X$ , which is an element in  $X^X$ . Let  $E(X)$  denote the closure of the set  $\{\pi_g : g \in G\}$  in  $X^X$ . Then  $E(X)$  together with the operation  $*$  of function composition defines a semigroup structure  $(E(X), *)$ . We call  $E(X)$  the *Ellis semigroup* of the  $G$ -flow  $X$ . For every  $x \in X$ , the closure of its  $G$ -orbit is exactly  $E(X)(x) = \{f(x) : f \in E(X)\}$ .  $E(X)$  itself is a  $G$ -flow with function composition as the  $G$ -action. Every minimal subflow of the  $G$ -flow  $E(X)$  is a minimal left ideal of the semigroup  $E(X)$ , and homeomorphic to each other as  $G$ -flows, i.e., for any minimal subflows  $I_1$  and  $I_2$  of  $E(X)$ , there exists a homeomorphism  $f : I_1 \rightarrow I_2$  such that  $f(gx) = gf(x)$  for all  $x \in I_1$ . We sometimes use the phrase “*minimal subflow of  $E(X)$* ” to denote the homeomorphism class of minimal subflows of  $E(X)$ . Every minimal subflow  $I$  is the closure of the  $G$ -orbit of every  $p \in I$ , hence is  $E(X) * p$ . By an *idempotent* of  $I$  we mean some  $u \in I$  such that  $u * u = u$ . We denote the collection of all idempotents of  $I$  by  $J(I)$ . For any  $u \in J(I)$ , we have that  $(u * I, *)$  is a group with  $u$  as its identity. All those groups are isomorphic to each other, even for different minimal left ideals. We call these groups the *ideal groups* and call their isomorphism class the *Ellis group* of  $E(X)$ . For more details, readers need to see Refs. [1,3].

### 1.2. The universal definable $G(M)$ -flow $S_{G,ext}(M)$

Now we consider the topological dynamics in the model-theoretic context. We will assume a basic knowledge of model theory. Good references are [13] and [15]. We sometimes work in a sufficiently saturated model  $\bar{M}$  of a theory  $T$ , in which every type over a small model  $M$  is realized. Definability usually means with parameters, and by a formula (or a partial type) we mean one definable with parameters from  $A$ , for  $A$  a subset of  $\bar{M}$ . By  $x, y, z$  we mean arbitrary  $n$ -variables and  $a, b, c \in \bar{M}$  denote  $n$ -tuples in  $\bar{M}^n$  with  $n \in \mathbb{N}$ .

**Definition 1.1.** Let  $M$  be a model of a theory  $T$  and  $\bar{M} \succ M$  be a saturated model.

1. By an *externally definable subset*  $X$  of  $M$  we mean a subset of  $M^n$  of the form  $\{a \in M; \bar{M} \models \phi(a, b)\}$  with  $\phi(x, y)$  an  $L$ -formula and parameters  $b \in \bar{M}$ . We also denote  $X$  by  $\phi(M, b)$ . By  $X \subseteq_{ext} M$  we mean  $X$  is an externally definable subset of  $M$ .
2. By  $S_{n,ext}(M)$  we mean the space of ultrafilters on the Boolean algebra of externally definable subsets of  $M^n$ .
3. By  $M^{ext}$  we mean the expansion of  $M$  obtained by adding a predicate for every externally definable subset of  $M$ . We let  $L^{ext}$  denote the expanded language by adding predicates  $P_X$  for every  $X \subseteq_{ext} M$ .
4. By  $S_n(M^{ext})$  we mean the space of complete  $n$ -types over  $M^{ext}$ .

In the NIP case, Shelah has proved that:

**Fact 1.2.** (See [16].) Let  $M$  be a model of an NIP theory  $T$ . Then  $Th(M^{ext})$  eliminates quantifiers, and is NIP.

So with the assumption of NIP,  $p \in S_{n,ext}(M)$  iff  $p \in S_n(M^{ext})$ . Now we assume NIP throughout this paper and we use the notation  $S_n(M^{ext})$  instead of  $S_{n,ext}(M)$ .

**Remark 1.3.** For any  $N \succ M$ , if  $N$  is  $|M|^+$ -saturated then there is a homeomorphism  $\alpha$  between  $S_n(M^{ext})$  and the closed subspace of  $S_n(N)$  consisting of types finitely satisfiable in  $M$ . The homeomorphism  $\alpha$  maps  $p \in S_n(M^{ext})$  to  $q = \{\phi(x, b) : \phi(M, b) \in p\}$ . We denote this  $\alpha(p)$  by  $p^N$ , and  $\alpha^{-1}(q)$  by  $q_M$ . Note that if  $N' \succ M$  is any other  $|M|^+$ -saturated model, we have  $p^N_M = p^{N'}_M = p$  for any  $p \in S_n(M^{ext})$ .

In this paper, we do not make a distinction between  $p$  and  $p^N$  (i.e. we consider  $p^N$  as an element of  $S_n(M^{ext})$ ).

An important property of  $M^{ext}$  is that every externally definable subset of  $M^{ext}$  is definable: for any formula  $\phi(x, y) \in L^{ext}$  and  $b \notin M^{ext}$ , there exists a formula  $\theta(x) \in L^{ext}(M)$  such that  $\theta(M) = \phi(M, b)$ . So every type  $p$  over  $M^{ext}$  has a unique global coheir. Moreover, for any  $\phi(x, y) \in L^{ext}$ , if  $p'$  is the unique global coheir of  $p$ , then  $\phi(x, b) \in p'$  if and only if  $\theta(x) \in p$  for some  $\theta(x)$  with parameters in  $M$  and  $\theta(M) = \phi(M, b)$ .

In the model-theoretic setting we are concerned with the  $G(M)$ -flow  $S_{G,ext}(M)$ , where  $G$  is an  $M$ -definable group and  $S_{G,ext}(M)$  is the set (space) of elements  $p(x) \in S_{n,ext}(M)$  which contains the set  $G(M)$ . With the assumption of NIP,  $S_{G,ext}(M)$  is also the space of complete types over  $M^{ext}$  concentrating on  $G$ , which we denote by  $S_G(M^{ext})$ . As Newelski showed in [10],  $E(S_G(M))$  coincides with  $S_{G,ext}(M)$ , which is the so-called *universal definable  $G(M)$ -flow* (from [4] for example). So  $S_G(M^{ext})$  is also the universal definable  $G(M)$ -flow, and thus an Ellis semigroup. Now we use the notation  $S_G(M^{ext})$  instead of  $S_{G,ext}(M)$ . The product  $*$  of  $S_G(M^{ext})$  (or  $S_{G,ext}(M)$ ) is defined as follows:

**Definition 1.4.** For any  $p, q \in S_G(M^{ext})$ ,

$$p * q = \{U \subseteq_{ext} G(M) : \{g \in M : g^{-1}U \in q\} \in p\}.$$

If  $p \in S(M)$  is definable over  $M$ , then for any  $B \supseteq M$ ,  $p$  has a unique heir over  $B$ . We denote the unique heir of  $p$  over  $B$  by  $p|_B$ . Note that for any  $a \in \bar{M}$ , we have  $a \models p|_B$  if and only if  $\text{tp}(B/M, a)$  is finitely satisfiable in  $M$ . Namely  $\text{tp}(B/M, a)$  is a coheir of  $\text{tp}(B/M)$ .

**Lemma 1.5.** (See [11].) For any  $p, q \in S_G(M^{ext})$ ,  $p * q = \text{tp}(ab/M^{ext})$ , where  $a \models p$  and  $b \models q|(M^{ext}, a)$ .

For  $p \in S_G(M^{ext})$  and  $U \subseteq_{ext} G(M)$  let

$$d_p U = \{g \in M : U \in gp\} = \{g \in M : g^{-1}U \in p\}.$$

**Lemma 1.6.** (See [11].) For  $U \subseteq_{ext} G(M)$  we have  $d_p U \subseteq_{ext} G(M)$ .

It is easy to see that for  $U \subseteq_{ext} G(M)$ ,  $U \in p * q$  if and only if  $d_q U \in p$ .

### 1.3. Definable topological dynamics of definably compact groups

We assume again  $G$  is an  $\emptyset$ -definable group and  $T$  is a theory with NIP. The following results are contained in [6]. As above, by  $S_G(M)$  we mean the space of complete types over  $M$  concentrating on  $G$ .

**Definition 1.7.**  $G$  has *fsg* (finitely satisfiable generics) if there is some global type  $p \in S_G(\bar{M})$  such that for every small model  $M_0$ , every left  $G$ -translate  $gp = \{\phi(g^{-1}x) : \phi(x) \in p\}$  of  $p$ , with  $g \in G(\bar{M})$ , is finitely satisfiable in  $M_0$ .

Let  $X$  be a  $G$ -flow. Recall that a subset  $Y$  of  $X$  is *generic* if finitely many  $G$ -translate of  $Y$  cover  $X$ . A point  $x \in X$  is generic if every open neighborhood of  $x$  is generic. Newelski showed in [10] that

**Fact 1.8.** If a  $G$ -flow  $X$  has a generic point. Then  $X$  has a unique minimal subflow, which is exactly the collection of all generic points.

A definable subset  $Y$  of  $G$  is left (or right) *generic* if finitely many left (or right)  $G$ -translate of  $Y$  cover  $G$ . A (partial) type  $p$  is called left (or right) generic if every  $X \in p$  is left (or right) generic. From Fact 1.8, we conclude easily:

**Fact 1.9.** If the  $G$ -flow  $S_G(M)$  (or  $S_G(M^{ext})$ ) has a left (right) generic type. Then  $S_G(M)$  (or  $S_G(M^{ext})$ ) has a unique left (right) minimal subflow, which is exactly the collection of all left (right) generic types.

The following is true (cf. [6] Corollary 4.3).

**Fact 1.10.** Assuming  $G$  has fsg and  $M_0$  is an arbitrary small model, then

1. there is global generic type  $p \in S_G(\bar{M})$ ,
2. every left  $G$ -translate of  $p$  is generic and finitely satisfiable in  $M_0$ ,
3. every right  $G$ -translate of  $p$  is generic and finitely satisfiable in  $M_0$ ,
4.  $G^{00}$  exists, and is exactly the  $\text{stab}(p) = \{g \in G : gp = p = pg\}$ .

It is easy to see from Fact 1.10 that the left generics coincide with right generics, so if  $G$  has fsg we simply say generic definable subset (or type).

**Fact 1.11.** If  $T$  is an o-minimal theory,  $G$  is a definably compact group definable in  $\bar{M}$ . Then  $G$  has fsg.

**Proof.** By Corollary 8.4 of [6].  $\square$

The following is due to Pillay [14].

**Theorem 1.12.** *If  $G$  has fsg then we have:*

1. *The universal  $G(M)$ -flow  $S_G(M^{ext})$  has a unique minimal subflow (i.e. minimal left ideal)  $I$ , which is exactly the collection of all generic types in  $S_G(M^{ext})$ .*
2. *If  $u \in J(I)$ , then  $u \vdash G^{00}$  (i.e.  $G^{00} \subseteq u$ ) and the group  $u * I$  is isomorphic to the compact group  $G/G^{00}$ .*

We denote the collection of all generic type in  $S_G(M^{ext})$  by  $Gen(G(M))$ . Note that  $p \in S_G(M^{ext})$  is generic if and only if  $p^{\bar{M}} \in S_G(\bar{M})$  is generic.

**Remark 1.13.** Assume  $G$  has fsg and  $p \in S_G(\bar{M})$  is a global type. Then the following are equivalent:

1.  $p$  is generic.
2. For any small submodel  $M_0$ ,  $p_{M_0} \in S_G(M_0^{ext})$  is also a generic type in  $S_G(M_0^{ext})$ .
3. For some submodel  $M_0$ ,  $p_{M_0} \in S_G(M_0^{ext})$  is also a generic type in  $S_G(M_0^{ext})$ .

By the above remark, we see that  $Gen(G(M))$  is not determined by  $M$ , namely  $Gen(G(M))$  is homeomorphic to  $Gen(G(M_0))$  via  $p \mapsto (p^{\bar{M}})_{M_0}$ , for any other model  $M_0$ . So we simply denote it by  $Gen(G)$ .

Recall that if  $G$  is a group definable in  $\mathbb{R}$  and has fsg, then  $G/G^{00}$  is naturally isomorphic to  $G(\mathbb{R})$  since  $G^{00}(\mathbb{R}) = 1_G$ . So from [Facts 1.11 and 1.12](#) we conclude easily:

**Corollary 1.14.** *If  $G$  is a definably compact group definable in  $\mathbb{R}$ ,  $T$  is the theory of the  $o$ -minimal expansion of  $\mathbb{R}$ ,  $M \succ \mathbb{R}$  as a model of  $T$ . Then:*

1. *The universal  $G(M)$ -flow  $S_G(M^{ext})$  has a unique minimal subflow (i.e. minimal left ideal)  $I$ , which is  $Gen(G)$ .*
2. *If  $u \in J(I)$ , then  $u \vdash G^{00}$  and  $G(\mathbb{R})$  is isomorphic to  $u * I$  via  $k \mapsto u(k)$ , where  $k \in T(\mathbb{R})$  and  $u(k) = uk = ku$ .*

## 2. Groups admitting a compact-torsion-free decomposition

We assume in this section that the reader is familiar with the basics of  $o$ -minimality. Reference is [\[8\]](#). From now on  $T$  will denote the theory of an  $o$ -minimal expansion of  $\mathbb{R}$ . We fix  $M \succ \mathbb{R}$  as an arbitrary model of  $T$ ,  $G$  a group definable over  $\mathbb{R}$ . We first recall the definition of *compact-torsion-free decomposition* from [\[7\]](#):

**Definition 2.1.** We say that the definable group  $G$  has a definable compact-torsion-free decomposition if there exist definable subgroups  $H$  and  $K$  such that  $G = HK$  with  $K$  definably compact,  $H$  torsion-free, and  $H \cap K = \{1_G\}$ .

Now we assume that the  $\mathbb{R}$ -definable group  $G$  has a compact-torsion-free decomposition  $G = HK$  with subgroups  $H$  and  $K$  definable over  $\mathbb{R}$ .

A fundamental theorem of A. Pillay in [\[12\]](#) shows that if  $G$  is definable in the  $o$ -minimal structure  $M$ , then it has a topology  $\tau$  making itself a topological group. The topology  $\tau$  on  $G$  has the following properties:  $G$  can be covered by finitely many  $\tau$ -open subsets, each definably homeomorphic to an open subset of  $M^k$  for some fixed  $k$ , which is called the ( $o$ -minimal) dimension of  $G$ . In particular, if  $G$  is definable in  $\mathbb{R}$ , then  $G$  is a real Lie group with this topology. Now  $G$  has a definable compact-torsion-free decomposition  $G = HK$ , we see that  $K$  is homeomorphic to the quotient space  $G/H$  via  $k \mapsto k/H$ , since  $H \cap K = \{1_G\}$ . The action of  $G$  on its quotient space  $G/H$  induces an action of  $G$  on  $K$  defined by  $g \star k = k_1$  with  $k_1/H = gk/H$ .

Since for any  $g \in G$ , there is a unique  $h_1 \in H$  and  $k_1 \in K$  such that  $gk = k_1h_1$ , we see that  $g \star k$  is this  $k_1$ . Note that if  $g \in K$  then  $g \star k = gk$ .

This action of  $G(M)$  on  $K(M)$  can be extended to the action of  $G(M)$  on the type space  $S_K(M^{ext})$  via  $g \star \text{tp}(k/M^{ext}) = \text{tp}(g \star k/M^{ext})$  with  $g \in G(M)$  and  $\text{tp}(k/M^{ext}) \in S_K(M^{ext})$ . This action makes  $S_K(M^{ext})$  a  $G(M)$ -flow. For any  $g \in G(M)$ , let  $\pi_g$  denote the mapping in  $S_K(M^{ext})^{S_K(M^{ext})}$  induced by the action of  $g$ . For  $p \in S_G(M^{ext})$ , we define a mapping  $\pi_p : S_K(M^{ext}) \rightarrow S_K(M^{ext})$  by

$$\pi_p(q) = \{U \subseteq_{ext} K(M) : \{g \in G(M) : g^{-1} \star U \in q\} \in p\}$$

for any  $q \in S_K(M^{ext})$ .

$\pi_p(q)$  is a complete type over  $M^{ext}$  since  $g^{-1} \star U \in q$  if and only if  $g^{-1} \star \neg U \notin q$ . As with Lemma 1.6, we have  $\{g \in G(M) : g^{-1} \star U \in q\} \subseteq_{ext} M$ . Therefore, the mapping  $\pi_p$  is well-defined. We now describe the Ellis semigroup of the  $G(M)$ -flow  $S_K(M^{ext})$ .

**Lemma 2.2.**  $E(S_K(M^{ext})) = \{\pi_p : p \in S_G(M^{ext})\}$ .

**Proof.** For any  $p \in S_G(M^{ext})$ , there is a directed set  $D$  and a net  $\{g_i\}_{i \in D}$  such that the net  $\{g_i\}_{i \in D}$  converges to  $p$ . This means for any  $X \in p$ , there exists  $j \in D$  such that  $g_i \in X$  for any  $i > j$ . As  $S_K(M^{ext})^{S_K(M^{ext})}$  is a compact Hausdorff space, the net  $\{\pi_{g_i}\}_{i \in D}$  converges to some mapping  $f \in S_K(M^{ext})^{S_K(M^{ext})}$ . For any  $q \in S_K(M^{ext})$ , we see that  $Y \in f(q)$  if and only if there exists some  $j$  such that  $Y \in \pi_{g_i}(q)$  for all  $i > j$ . Now we claim that

$$Y \in f(q) \implies \{g \in G(M) : g^{-1} \star Y \in q\} \in p.$$

Since  $Y \subseteq_{ext} M$ , we see that  $\{g \in G(M) : g^{-1} \star Y \in q\} \subseteq_{ext} M$ . Suppose  $\{g \in G(M) : g^{-1} \star Y \in q\} \notin p$ . Then for any  $j \in D$ , there is some  $i > j$  such that  $g_i \notin \{g \in G(M) : g^{-1} \star Y \in q\}$ . This implies  $Y \notin \pi_{g_i}(q)$ . A contradiction. So we have  $f(q) \subseteq \pi_p(q)$  by the claim. But as both  $f(p)$  and  $\pi_p(q)$  are complete types over  $M^{ext}$ , we have  $f(q) = \pi_p(q)$  and hence  $\{\pi_p : p \in S_G(M^{ext})\} \subseteq E(S_K(M^{ext}))$ .

Conversely, if  $f \in E(S_K(M^{ext}))$ , then there is a directed set  $D$  and a net  $\{g_i\}_{i \in D}$  such that the net  $\{\pi_{g_i}\}_{i \in D}$  converges to  $f$ . Since  $S_G(M^{ext})$  is a compact Hausdorff space, there is some  $p \in S_G(M^{ext})$  which is an accumulation point of  $\{g_i\}_{i \in D}$ . A similar argument as above shows that  $f = \pi_p$ . This completes the proof.  $\square$

For  $p \in S_G(M^{ext})$  and  $q \in S_K(M^{ext})$ , we denote  $\pi_p(q)$  by  $p \star q$ . By Lemma 2.2, we could easily conclude that:

**Theorem 2.3.** For  $q \in S_K(M^{ext})$ , the closure of its  $G(M)$ -orbit  $G(M) \star q$  is  $S_G(M^{ext}) \star q$ .

**Proof.** The closure of  $G(M) \star q$  is  $\{f(q) : f \in E(S_K(M^{ext}))\}$ , which is  $\{\pi_p(q) : p \in S_G(M^{ext})\}$  by Lemma 2.2.  $\square$

**Lemma 2.4.** For any  $p \in S_G(M^{ext})$  and  $q \in S_K(M^{ext})$ ,  $p \star q = \text{tp}(a \star b/M^{ext})$ , where  $a \models p$  and  $b \models q|(M^{ext}, a)$ .

**Proof.** Let  $\phi(x) \in L^{ext}(M)$ , we denote  $g^{-1} \star \phi(x)$  by  $\theta(x, g)$ . Then

$$\phi(x) \in p \star q \iff \{g \in G(M) : g^{-1} \star \phi(x) \in q\} \in p \iff \theta(b, M) \in p.$$

Since  $p' = \text{tp}(a/M^{ext}, b)$  is the unique coheir of  $p$  over  $b$ , we see that  $\theta(b, x) \in p'$  and hence  $\models \theta(b, a)$ . So we have  $\phi(a \star b)$  as required.  $\square$

**Remark 2.5.** Note that  $(S_K(M^{ext}), *)$  is a subsemigroup of  $(S_G(M^{ext}), *)$ . Moreover, for any  $p, q \in S_K(M^{ext})$ , we have that  $p \star q = p * q$ .

The natural projection  $G(M) \rightarrow G(M)/H(M)$  induces a surjective continuous map  $\pi$  from  $G(M)$  to  $K(M)$  defined by  $\pi(kh) = k$  with  $k \in K(M)$  and  $h \in H(M)$ . It is also a homomorphism between the  $G(M)$ -flows. This  $\pi$  can be extended to a  $G(M)$ -flow homomorphism from  $S_G(M^{ext})$  to  $S_K(M^{ext})$ , by mapping  $\text{tp}(kh/M^{ext}) \mapsto \text{tp}(k/M^{ext})$ , which we also call  $\pi$ .

**Corollary 2.6.** Let  $\pi$  be the map from  $S_G(M^{ext})$  to  $S_K(M^{ext})$  given by  $\pi(\text{tp}(kh/M^{ext})) = \text{tp}(k/M^{ext})$ . Then  $\pi(p' * p) = p' \star \pi(p)$  for any  $p' \in S_G(M^{ext})$ .

**Proof.** Let  $g'$  realize  $p'$  and  $g = kh$  realize  $p|(M^{ext}, g')$ . Then  $p' * p = \text{tp}(g'kh/M^{ext})$  by Lemma 1.5. But  $g'kh = (g' \star k)h'$  for some  $h' \in H$ , and hence  $\pi(p' * p) = \text{tp}(g' \star k/M^{ext})$ . Now  $\text{tp}(g'/M^{ext}, k)$  is finitely satisfiable in  $M$  and  $k$  realizes  $\pi(p)$ . So by Lemma 2.4,  $p' \star \pi(p) = \text{tp}(g' \star k/M^{ext})$  as required.  $\square$

### 3. Minimal subflow and the Ellis group

In this section, we assume again we are working with the theory  $T$  of an  $\mathcal{o}$ -minimal expansion of  $\mathbb{R}$ .  $M \models T$  is an elementary extension of  $\mathbb{R}$  and  $\bar{M}$  is the saturated model of  $T$ .  $G$  is an  $\mathbb{R}$ -definable group which admits a compact-torsion-free decomposition  $G = HK$ , where  $K$  is an  $\mathbb{R}$ -definable definably compact group and  $H$  is an  $\mathbb{R}$ -definable torsion free subgroup. “Generic” always means left generic in the  $G(M)$ -flow  $S_G(M^{ext})$  unless we use the phrase “right generic”. We will describe the minimal subflow as well as the Ellis group of the definable universal  $G(M)$ -flow  $S_G(M^{ext})$ .

**Lemma 3.1.** (See [7].) There is a left  $H(\mathbb{R})$ -invariant type  $p_0 \in S_H(\mathbb{R})$ .

**Lemma 3.2.** (See [2].) Assume that  $T$  has NIP and  $M \models T$ . If  $p(x) \in S(M)$  is definable. Then  $p(x)$  induces a unique type  $p^*(x) \in S(M^{ext})$ .

It is well-known that all types over  $\mathbb{R}$  are definable [9]. So we have:

**Corollary 3.3.** Let  $p_1 \in S_H(M)$  be the unique heir of  $p_0$  over  $M$ . Then  $p_1 \in S_H(M^{ext})$  and is  $H(M)$ -invariant.

**Proof.** Clearly, the type  $p_1$  is definable over  $\mathbb{R}$ . So  $p_1$  induces a unique type  $p_1^*(x) \in S(M^{ext})$  by Lemma 3.2. We can identify  $p_1$  with  $p_1^*$ .  $\square$

We fix this type  $p_1$  in our following argument. For any  $n$ -tuple  $a = (a_1, \dots, a_n) \in \bar{M}$ , we say that  $a$  is finite if there is some  $0 < c \in \mathbb{R}$  such that  $-c < a_i < c$  for each  $1 \leq i \leq n$ . For a finite  $n$ -tuple  $a$ , by  $st(a)$  we mean the standard part of  $a$  in  $\mathbb{R}$ .

As  $K$  is a definably compact group definable over  $\mathbb{R}$ , we see that every element of  $K(\bar{M})$  is finite.

**Remark 3.4.** For any  $k_1, k_2 \in K(\bar{M})$  we have  $st(k_1 k_2) = st(k_1) st(k_2)$ .

**Proof.** Since  $K^{00}(\mathbb{R}) = 1_K$ , we see that  $(kK^{00})(\mathbb{R}) = st(k)$ .  $\square$

**Lemma 3.5.** If  $f$  is an  $\mathbb{R}$ -definable function and  $f(a)$  and  $a$  in  $\bar{M}$  are finite. Then  $f(st(a)) = st(f(a))$ .



**Proof.** If  $f(st(a)) \neq st(f(a))$  then there is an  $R$ -definable open set  $U$  such that  $f(a) \in U$  and  $f(st(a)) \notin U$ . Now  $V = f^{-1}U$  is also  $\mathbb{R}$ -definable with  $a \in V$  and  $st(a) \notin V$ . Note that  $st(a) \notin V$  if and only if  $a \notin V$ . A contradiction.  $\square$

**Lemma 3.6.**

$$\{st(k); k \in K(\bar{M}) \text{ is a realization of some } q \in p_1 \star S_K(M^{ext})\} = N_G(H) \cap K(\mathbb{R}).$$

**Proof.** If  $k \in N_G(H) \cap K(\mathbb{R})$  we see that  $\mathbb{R} \models \forall h \in H (h \star k = k)$ . Hence  $p_1 \star k = k$  and thus  $k \models p_1 \star \text{tp}(k/M^{ext})$  for any  $k \in N_G(H) \cap K(\mathbb{R})$ . So we have

$$N_G(H) \cap K(\mathbb{R}) \subseteq \{st(k); k \in K(\bar{M}) \text{ is a realization of some } q \in p_1 \star S_K(M^{ext})\}.$$

Conversely, we claim that

**Claim.** for any  $h' \in H(\mathbb{R})$  and  $k' \in K$  realizing some  $q \in p_1 \star S_K(M^{ext})$ , we have  $h' \star st(k') = st(k')$ .

**Proof.** Since  $k' = h \star k$  for some  $h \in H(\bar{M})$  and  $K \in K(\bar{M})$  such that  $h \models p_1$  and  $\text{tp}(h/M^{ext}, k)$  is finitely satisfiable in  $M$ . Now the action of  $h'$  on  $K$  is an  $\mathbb{R}$ -definable homeomorphism. So  $h' \star st(h \star k) = st((h'h) \star k)$  by Lemma 3.5. Since  $\text{tp}(h/M^{ext})$  is  $H(M)$ -invariant, we see that  $\text{tp}(h'h/M^{ext}) = \text{tp}(h/M^{ext}) = p_1$ . Moreover,  $\text{tp}(h'h/M^{ext}, k)$  is finitely satisfiable in  $M$ . So, by Lemma 2.4, we have

$$\text{tp}((h'h) \star k/M^{ext}) = \text{tp}(h'h/M^{ext}) \star \text{tp}(k/M^{ext}) = p_1 \star \text{tp}(k/M^{ext}).$$

But  $p_1 \star \text{tp}(k/M^{ext})$  is  $\text{tp}(h \star k/M^{ext})$  and thus  $\text{tp}((h'h) \star k/\mathbb{R}) = \text{tp}(h \star k/\mathbb{R})$ . So we have  $h' \star st(k') = h' \star st(h \star k) = st((h'h) \star k) = st(h \star k) = st(k')$ . This proves the claim.  $\square$

Since  $h' \star st(k') = st(k')$  for any  $h' \in H(\mathbb{R})$ , we see that  $st(k') \in N_G(H)(\mathbb{R})$  as required.  $\square$

Recall that  $\text{Gen}(K)$  is the collection of all generic type in  $S_K(M^{ext})$ . By Fact 1.11,  $K$  has  $fsg$ . So, by Remark 1.13,  $\text{Gen}(K)$  is independent of the model  $M$ .

**Lemma 3.7.**  $\text{Gen}(K)$  is a two-sided ideal of the semigroup  $(S_K(M^{ext}), *)$ .

**Proof.** As we have showed above, the definably compact group  $K$  has  $fsg$ . So the left generics coincide with right generics in  $S_K(M^{ext})$  (or in  $S_K(\bar{M})$ ).  $\square$

**Corollary 3.8.** Let  $u \in \text{Gen}(K)$  be an idempotent. Then the ideal group of  $S_K(M^{ext})$  generated by  $u$  is  $u \star S_K(M^{ext})$ .

**Proof.** For any  $q \in S_K(M^{ext})$ ,  $u \star q = u \star (u \star q) \in u \star \text{Gen}(K)$ .  $\square$

**Proposition 3.9.** If  $p \in S_H(M^{ext})$  is a left  $H(M)$ -invariant type, and  $I$  is a minimal subflow of the  $G(M)$ -flow  $S_K(M^{ext})$ , then  $I \star p$  is a minimal subflow of the  $G(M)$ -flow  $S_G(M^{ext})$ .

**Proof.** We show that for any  $q \in I$ , the ideal  $S_G(M) \star q \star p$  is  $I \star p$ .

Let  $r \in S_G(M^{ext})$ . The type  $r \star q \star p$  is realized by some  $k'h'kh$  for  $k', k \in K, h', h \in H$  with

$$k'h' \models r, \quad k \models q|(M^{ext}, k', h') \quad \text{and} \quad h \models p|(M^{ext}, k', h', k).$$



Let  $h'k = k_1h_1$  (namely  $h' \star k = k_1$ ). Then  $h \models p|(M^{ext}, k', h', k.k_1, h_1)$ , since  $(h', k)$  and  $(k_1, h_1)$  are interdefinable. Now  $p$  is  $H(M)$ -invariant, so for any  $N \succ M$ ,  $p|N$  is also  $H(N)$ -invariant. Hence  $h_1h \models p|(M^{ext}, k', h', k.k_1, h_1)$ . We see that

$$\text{tp}(k'h'kh/M^{ext}) = \text{tp}(k'k_1h_1h/M^{ext}) = \text{tp}(k'k_1/M^{ext}) * p.$$

It is easy to see that  $r \star q = \text{tp}(k'k_1/M^{ext})$ . So we have  $(r \star q) * p = r * q * p$ . Note that  $I = S_G(M^{ext}) \star q$ , hence  $S_G(M) * q * p = I * p$ .  $\square$

**Proposition 3.10.** *The  $G(M)$ -flow  $S_K(M^{ext})$  has a unique minimal subflow  $\mathcal{I}$ .*

**Proof.** Let  $v_0 \in \text{Gen}(K)$ . Then  $v_0$  is generic in the  $K(M)$ -flow  $(K(M), S_K(M^{ext}))$ , so which is also generic in the  $G(M)$ -flow  $(G(M), S_K(M^{ext}))$ . So  $\mathcal{I} = S_G(M^{ext}) \star v_0$  is the unique minimal subflow of  $(G(M), S_K(M^{ext}))$ .  $\square$

**Remark 3.11.** Jagiella indicated in [7] that in the case of  $M = \mathbb{R}$ ,  $\text{Gen}(K)$ , the minimal subflow of  $(K(\mathbb{R}), S_K(\mathbb{R}))$ , is left  $H$ -invariant. This implies  $\text{Gen}(K)$  is also minimal as a  $G(\mathbb{R})$ -subflow of  $(G(\mathbb{R}), S_K(\mathbb{R}))$ . So  $\mathcal{I}$  is precisely the  $\text{Gen}(K)$ . But this is not true for arbitrary model  $M$ . In the next section we will give a counterexample where  $\text{Gen}(K)$  is a proper subset of  $\mathcal{I}$ .

We fix a generic type  $v_0 \in \text{Gen}(K)$  ( $v_0 \in S_K(M^{ext})$ ) with  $v_0 \vdash K^{00}$  in our following argument. From Propositions 3.9 and 3.10 we conclude easily:

**Corollary 3.12.**  $\mathcal{J} = \mathcal{I} * p_1$  is a minimal subflow of  $S_G(M^{ext})$ .

**Remark 3.13.** Let  $v_0 \in S_K(M^{ext})$  be a generic type such that  $v_0 \vdash G^{00}$ . Then  $v_0(k) = v_0k = kv_0$  with  $k \in K(\mathbb{R})$  and  $\{v_0(k), k \in K(\mathbb{R})\}$  is an ideal group (Ellis group) of  $S_K(M^{ext})$  isomorphic to  $K(\mathbb{R})$  via  $v_0(k) \mapsto k$ .

Note that  $p_1 \star v_0 \vdash k_0K^{00}$  for some  $k_0 \in N_G(H) \cap K(\mathbb{R})$  by Lemma 3.6. We fix this  $k_0$  in our following argument.

**Lemma 3.14.**  $p_1 \star v_0(k_0^{-1}) \vdash K^{00}$ .

**Proof.**  $p_1 \star v_0(k_0^{-1}) = p_1 \star (v_0k_0^{-1})$ . This type is realized by  $h \star (kk_0^{-1})$ , with  $h \models p_1$  and  $k \models v_0|(M^{ext}, h)$ . Now since  $h \star k \in k_0K^{00}$ ,  $h(kk_0^{-1}) = k_0k_1h'k_0^{-1}$  with some  $k_1 \in K^{00}$  and  $h' \in H$ , and as  $k_0^{-1} \in N_G(H) \cap K(\mathbb{R})$ , we have  $h'(k_0^{-1}) = k_0^{-1}h''$  for some  $h'' \in H$ . This concludes  $h \star (kk_0^{-1}) = k_0k_1k_0^{-1}$ , which is in  $K^{00}$  since  $K^{00}$  is a normal subgroup of  $K$ .  $\square$

**Lemma 3.15.** For any  $p \in S_H(M^{ext})$  and  $q \in S_K(M^{ext})$ , we have  $p * q * p_1 = (p \star q) * p_1$ .

**Proof.** The type  $p * q * p_1$  is realized by  $hk'h'$ , for some  $k' \in K, h, h' \in H$  with

$$h \models p|(M^{ext}), \quad k' \models q|(M^{ext}, h) \quad \text{and} \quad h' \models p_1|(M^{ext}, h, k').$$

Let  $hk' = k_1h_1$ . Then  $k_1 = h \star k'$ . Since  $(h, k')$  is interdefinable with  $(k_1, h_1)$ , we see that  $h' \models p_1|(M^{ext}, k_1, h_1)$ . Now  $p_1$  is a left  $H(M)$ -invariant type, so for any model  $N \succ M$ ,  $p_1|N$  is also a left  $H(N)$ -invariant type. Hence  $h_1h' \models p_1|(M^{ext}, k_1, h_1)$ .

Now we see that  $p \star q = \text{tp}(k_1/M^{ext})$ . So  $(p \star q) * p_1 = \text{tp}(k_1h_1h'/M^{ext}) = p * q * p_1$ .  $\square$

**Proposition 3.16.** *Let  $r_0 = v_0(k_0^{-1}) * p_1$ . Then  $r_0$  is an idempotent.*

**Proof.** Let  $v' = (p_1 \star v_0(k_0^{-1}))$ . Then by Lemma 3.15,  $r_0 * r_0 = v_0(k_0^{-1}) * v' * p_1$ . We also see that  $v' \vdash K^{00}$  by Lemma 3.14.

Now it suffices to show that  $v_0(k_0^{-1}) * v' = v_0(k_0^{-1})$ . Let  $k \models v_0(k_0^{-1})$  and  $k_1 \models v'|(M^{ext}, k)$ . Then  $kk_1$  realizes  $v_0(k_0^{-1}) * v'$ . By Corollary 3.8 and Remark 3.13, we see that  $v_0 * v' = v_0(k')$  with some  $k' \in K(\mathbb{R})$ . Now we have  $v_0(k_0^{-1}) * v' \vdash k_0^{-1}K^{00}$  since  $v_0(k_0^{-1}) \vdash k_0^{-1}K^{00}$  and  $v' \vdash K^{00}$ . So we conclude  $k' = k_0^{-1}$  and  $v_0(k_0^{-1}) * v' = v_0(k_0^{-1})$ .  $\square$

**Theorem 3.17.** *The Ellis group of  $S_G(M^{ext})$  is algebraically isomorphic to  $N_G(H) \cap K(\mathbb{R})$ .*

**Proof.** Let  $E = r_0 * \mathcal{J}$  be the ideal group of  $S_G(M^{ext})$  generated by  $r_0$  where  $\mathcal{J}$  is given by Corollary 3.12. We see that

$$E = \{v_0(k_0^{-1}) * p_1 * q * p_1 : q \in \mathcal{I}\}.$$

**Claim.**  $E = \{v_0(k) * p_1 : k \in N_G(H) \cap K(\mathbb{R})\}$ .

**Proof.** Let  $p_1 \star q = \text{tp}(k_1/M^{ext})$  with some  $q \in \mathcal{I}$ . Then  $st(k_1) \in N_G(H) \cap T(\mathbb{R})$  by Lemma 3.6. Now by Lemma 3.7,  $v_0(k_0^{-1}) * \text{tp}(k_1/M^{ext})$  is a generic type. Let  $a \models v_0(k_0^{-1})$  such that  $\text{tp}(a/M^{ext}, k_1)$  is finitely satisfiable in  $M$ . Then the type  $v_0(k_0^{-1}) * \text{tp}(k_1/M^{ext})$  is realized by  $ak_1$ . By Remark 3.4,  $st(ak_1) = k_0^{-1} st(k_1)$  since  $st(a) = k_0^{-1}$ . By Corollary 3.8,  $\text{tp}(ak_1/M^{ext}) \in v_0 * \text{Gen}(K)$ . So we see that  $v_0(k_0^{-1}) * \text{tp}(k_1/M^{ext})$  is  $v_0(k_0^{-1} st(k_1))$ . Now by Lemma 3.15, we conclude that  $v_0 * p_1 * q * p_1$  is  $v_0(k_0^{-1} st(k_1)) * p_1$ . So we have showed  $E \subseteq \{v_0(k) * p_1 : k \in N_G(H) \cap K(\mathbb{R})\}$ .

Conversely, for  $k \in N_G(H) \cap K(\mathbb{R})$ , let  $q = v_0k$ . Let  $h \models p_1$  and  $a \models v_0|(M^{ext}, h)$ . Then  $ak$  realizes  $q$ . Let  $h \star a = a_1h_1$ , we see that  $a_1 \in K^{00}$ . Since  $k \in N_G(H) \cap K(\mathbb{R})$ , we have  $h_1k = kh_2$  with some  $h_2 \in H$ . So  $h \star (ak) = a_1k$ , hence  $p_1 \star q = \text{tp}(a_1k/M^{ext})$  with  $a_1 \in K^{00}$ . By Lemma 3.15, we see that  $v_0(k_0^{-1}) * p_1 * v_0(k) * p_1$  is  $v_0(k_0^{-1}) * v'k * p_1$ , where  $v' = \text{tp}(a_1/M^{ext})$ . Now the type  $v_0(k_0^{-1}) * v'k$  is  $v_0(k_0^{-1}k)$  since  $v' \vdash K^{00}$ . So we conclude  $v_0(k_0^{-1}) * p_1 * kv_0 * p_1 = v_0(k_0^{-1}k) * p_1$ . This completes the proof of the claim as  $k$  is arbitrary.  $\square$

Now we show that the map  $\beta : v_0(k_0^{-1}a) * p_1 \mapsto a$  is a group isomorphism between  $E$  and  $N_G(H) \cap K(\mathbb{R})$ . It suffices to show that this  $\beta$  is a group morphism. For any  $b \in N_G(H) \cap K(\mathbb{R})$ , we see that  $p_1 \star v_0(k_0^{-1}b)$  is  $p_1 \star (v_0(k_0^{-1}))b$  since  $v_0(k_0^{-1}b) = v_0(k_0^{-1})b$  and  $b$  is invariant under the action of  $H(\bar{M})$ . As  $(p_1 \star v_0(k_0^{-1})) \vdash K^{00}$ , we have  $v_0(k_0^{-1}a) * (p_1 \star v_0(k_0^{-1}b)) * p_1 = v_0(k_0^{-1}ab) * p_1$ , and hence  $\beta(v_0(k_0^{-1}a) * p_1 * v_0(k_0^{-1}b) * p_1) = \beta(v_0(k_0^{-1}ab) * p_1) = ab$ . So  $\beta$  is a group isomorphism.  $\square$

#### 4. $SL(2)$

We assume again that  $M \succ \mathbb{R}$  is a model of an  $o$ -minimal expansion of  $\mathbb{R}$  and  $\bar{M} \succ M$  is the saturated model.

We say that  $a \in \bar{M}$  is *positive infinite* if  $a > M$ , and *negative infinite* if  $a < M$ . We say that a 1-type  $p \in S_1(M)$  is positive (or negative) infinite type if its realizations are positive (or negative) infinite over  $M$ . We say that  $a \in \bar{M}$  is *positive infinitesimally close* to  $b \in M$  if  $b < a < c$  for any  $c \in M$  with  $c > b$ . Likewise for a *negative infinitesimally close* to  $b$  over  $M$ . A 1-type  $p \in S(M)$  is said to be positive (negative) infinitesimally close to  $b \in M$  if a realization of  $p$  is positive (negative) infinitesimally close to  $b$ .

It is well-known that the special linear group  $G = SL(2)$  has a compact-torsion-free decomposition  $G = HT$  with

$$H(b, c) = \left\{ \begin{pmatrix} b & c \\ 0 & b^{-1} \end{pmatrix} : b > 0 \right\} \quad \text{and} \quad T(x, y) = \left\{ \begin{pmatrix} x & -y \\ y & x \end{pmatrix} : x^2 + y^2 = 1 \right\}.$$

We denote the identity  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  by  $I$  and  $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$  by  $-I$ . For any  $p \in S_G(M^{ext})$ , the type  $-p$  means  $-Ip$  (or  $p(-I)$ ).

There is a standard action of  $G(M)$  on the projection space  $\mathbb{P}^1(M)$ :

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} ax + by \\ cx + dy \end{pmatrix},$$

where  $\begin{pmatrix} x \\ y \end{pmatrix}$  is a representative of an element of  $\mathbb{P}^1(M)$ . This action is well-defined since  $\det \begin{vmatrix} a & b \\ c & d \end{vmatrix} = 1 \neq 0$ . We identify  $\begin{pmatrix} x \\ 1 \end{pmatrix}$  with  $x \in M$  and consider  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  as the “point at infinity” over  $M$ .

**Lemma 4.1.** *If  $h \in H$ ,  $t \in T$ , and  $\begin{pmatrix} 1 \\ 0 \end{pmatrix} \in \mathbb{P}^1$  then  $(h \star t) \begin{pmatrix} 1 \\ 0 \end{pmatrix} = ht \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ .*

**Proof.** Assume  $ht = t_1 h_1$ . Then  $(h \star t) \begin{pmatrix} 1 \\ 0 \end{pmatrix} = t_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ . But  $\begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} b \\ 0 \end{pmatrix} = h_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ . So we have  $t_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix} = t_1 h_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix} = ht \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  as required.  $\square$

Let  $p_0 = \text{tp}(b, c/\mathbb{R})$  with  $b > \mathbb{R}$  and  $c > \text{dcl}(\mathbb{R}, b)$ . It is easy to check that  $p_0$  is a left  $H(\mathbb{R})$ -invariant. By Lemma 3.2, we see that the heir  $p_1$  of  $p_0$  over  $M$  is also in  $S_H(M^{ext})$ , and  $H(M)$ -invariant.

In fact, we could define  $p_1$  as follows:

Let  $\bar{M} \succ M$  be the saturated model. Then every cell in  $\bar{M}^2$  is of form either  $\{(x, y) \mid y = f_0(x), x \in I\}$  or  $\{(x, y) \mid f_1(x) < y < f_2(x), x \in I\}$ , where  $I$  is an interval and  $f_i$ ’s are continuous  $\bar{M}$ -definable functions.

Let  $W = p(\bar{M})$ , where  $p(v) = \{v > d \mid d \in M\}$  is the positive infinite type over  $M$ . Let  $\mathcal{F}$  be the collection of  $\bar{M}$ -definable functions  $f$  which satisfy:

- (i) There exist  $d \in M$  and  $r \in W$  such that  $(d, r) \subseteq \text{dom}(f)$ .
- (ii) For any  $\alpha \in \text{dom}(f) \cap M$ , there are  $\beta, \gamma \in \text{dom}(f) \cap M$  such that  $\beta > \alpha$  and  $f(\beta) < \gamma$ .

Let  $p_1 = \{U_f \subseteq_{ext} M^2 \mid U_f = M^2 \cap \{(x, y) \mid y > f(x)\}, f \in \mathcal{F}\}$ . It is easy to check that  $p_1$  is an  $H(M)$ -invariant complete type over  $M^{ext}$ . Moreover, any  $(b, c)$  realizes  $p_1$  satisfying  $b > M$  and  $c > \text{dcl}(M, b)$ .

As  $\dim(T) = 1$ , we see that  $\text{Gen}(T)$  is the collection of all (unique) coheirs of non-algebraic types in  $S_T(\mathbb{R})$ . Now let  $v = \text{tp}(x, y/\mathbb{R})$  be the type in  $S_T(\mathbb{R})$  with  $y$  negative infinitesimally close to 0 and  $x$  the positive square root of  $1 - y^2$ . This is the type infinitesimally close to the identity on the “negative” side and hence  $v \vdash T^{00}$ . This is a non-algebraic type over  $\mathbb{R}$ , so is a generic type. The unique global coheir  $v^{\bar{M}}$  of  $v$  is also a generic type. Let  $v_0$  be the type  $v^{\bar{M}}_M$ . We see that  $v_0 \in S_T(M^{ext})$  and is generic.

Assume  $t = (x, y) \in T$  with  $x > 0$  and  $\begin{pmatrix} 1 \\ 0 \end{pmatrix} \in \mathbb{P}^1$ , we see that  $y$  is positive (negative) infinitesimally close to 0 over  $M$  if and only if  $t \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} x/y \\ 1 \end{pmatrix}$  (or  $x/y$ ) is positive (negative) infinite over  $M$ . Conversely, if  $x < 0$  then  $y$  is positive (negative) infinitesimally close to 0 if and only if  $t \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  is negative (positive) infinite over  $M$ .

Now, for  $h = (b, c)$  realizes  $p_1$  and  $t = (x, y)$  realizes  $v_0 \mid (M^{ext}, h)$ , we see that

$$(h \star t) \begin{pmatrix} 1 \\ 0 \end{pmatrix} = ht \begin{pmatrix} 1 \\ 0 \end{pmatrix} = b^2(x/y) + bc,$$

by Lemma 4.1.

If  $M = \mathbb{R}$ , we have:

**Theorem 4.2.** *The ideal group of  $S_{SL(2)}(\mathbb{R})$  generated by  $(v_0) * p_1$  is  $\{v_0 * p_1, -v_0 * p_1\}$  with  $v_0 * p_1$  as its identity.*

**Proof.**

**Claim.**  $p_1 \star v_0 = v_0$ . (Note that  $v_0 = v$  in the case of  $M = \mathbb{R}$ .)

**Proof.** Let  $h \star t = (x', y')$ . Now  $h$  acts on  $T(N)$  as a homeomorphism for any model  $N \succ \mathbb{R}$  which contains  $h$ . Since  $t$  is infinitesimally close to  $I$  over  $\mathbb{R}$ ,  $h$ , so we have  $(x', y')$  is also infinitesimally close to  $I$  over  $\mathbb{R}$ ,  $h$ . This means  $x' > 0$ . Since  $x/y$  is negative infinite over  $R, b, c$ , so is  $b^2(x/y) + bc$  as  $b^2 > 0$ . This implies  $y'$  is negative infinitesimally close to 0 over  $\mathbb{R}$ . So  $(x', y')$  realizes  $v_0$  as required.  $\square$

Clearly,  $p_1 \star v_0 \vdash T^{00}$ . By Lemma 3.16, we see that  $v_0 * p_1$  is the idempotent of a minimal left ideal of the universal  $G$ -flow  $S_G(M^{ext})$ . As  $N_G(H) \cap T(\mathbb{R})$  is  $\{I, -I\}$ , the ideal group generated by  $(v_0) * p_1$  turns out to be  $\{v_0 * p_1, -v_0 * p_1\}$  with  $v_0 * p_1$  as its identity.  $\square$

But if  $M$  is a proper elementary extension of  $\mathbb{R}$ , we have:

**Theorem 4.3.** *The ideal group of  $S_{SL(2)}(M^{ext})$  generated by  $(v_0) * p_1$  is  $\{v_0 * p_1, -v_0 * p_1\}$  with  $-v_0 * p_1$  as its identity.*

**Proof.**

**Claim.**  $p_1 \star v_0 \vdash -IT^{00}$ .

**Proof.** Let  $t' = (x', y') = h \star t$  and  $h' = (b', c') \in H$  with  $ht = t'h'$ . It is easy to see that  $b^{-1}y = y'b'^{-1}$  by the product of matrices. As  $b, b' > 0$  and  $y < 0$ , we see that  $y' < 0$ . Since  $v_0$  contains the coheir of  $v$  over  $M$  (note that the coheir of  $v$  over  $M$  is a partial type over  $M^{ext}$ ), we have  $x/y < x_1/y_1$  for any  $(x_1, y_1) \in T(M)$  realizing  $v$ . So  $b^2(x/y) + bc$  is positive infinite over  $M$  as  $(x/y)$  is “finite” over  $M$ . Namely  $t' \left( \frac{1}{0} \right) = x'/y'$  is positive infinite over  $M$ . As we have seen that  $y' < 0$ , so  $x' < 0$  and hence infinitesimally close to  $-I$ . So  $t' \in -IT^{00}$  as required.  $\square$

By Lemma 3.16, we see that  $(-v_0) * p_1$  is an idempotent of a minimal left ideal of the universal  $G$ -flow  $S_G(M^{ext})$ . The ideal group generated by  $(-v_0) * p_1$  turns out to be  $\{v_0 * p_1, -v_0 * p_1\}$  with  $-v_0 * p_1$  as its identity.  $\square$

Note that for any  $p \in S_G(M^{ext})$ , the types  $p \star q$  and  $q$  have same dimension if  $\dim(q) = \dim(T)$  since  $G$  acts on  $T$  as a homeomorphism. In the case of  $M = \mathbb{R}$ , any type  $q \in S_T(\mathbb{R})$  with  $\dim(q) = \dim(T)$  is a generic type. So  $Gen(T)$  is invariant under the action  $\star$  of  $S_G(\mathbb{R})$ . But if  $M \neq \mathbb{R}$ , we have:

**Corollary 4.4.**  *$Gen(T)$  is not  $H(M)$ -invariant, and thus a proper subset of  $\mathcal{I} = S_G(M^{ext}) \star v_0$ .*

**Proof.** The above claim says that  $p_1 \star v_0$  is infinitesimally close to  $-I$  over  $M$  on the “negative” side. So it is the heir of the type infinitesimally close to  $-I$  over  $\mathbb{R}$  on the “negative” side, which is not generic since it is not finitely satisfiable in  $\mathbb{R}$ . So  $Gen(T)$  is not invariant under the action of  $S_H(M^{ext})$  and hence not invariant under the action of  $S_G(M^{ext})$ .  $\square$

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