

# Introduction To Algorithms

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## 1 Graph Algorithms

### 1.1 Elementary Graph Algorithms

#### 1.1.1 Topological sort

- 1: **procedure** TOPOLOGICAL-SORT( $G$ )
- 2:     call DFS( $G$ ) to compute finishing times  $v.f$  for each vertex  $v$
- 3:     as each vertex is finished, insert it onto the front of a linked list
- 4:     **return** the linked list of vertices
- 5: **end procedure**

We can perform a topological sort in time  $\Theta(V + E)$ , since depth-first search takes  $\Theta(V + E)$  time and it takes  $O(1)$  time to insert each of the  $|V|$  vertices onto the front of the linked list

*Exercise 1.1.1 (22.4-3).* Give an algorithm that determines whether or not a given undirected graph  $G = (V, E)$  contains a simple cycle. Your algorithm should run in  $O(V)$  time, independent of  $|E|$

*Proof.* If the graph is acyclic, then  $|E| \leq |V| - 1$  and we can run DFS in  $O(|V|)$ . If there is a path going back, then it should end in  $|V|$ th step  $\square$

*Exercise 1.1.2 (22.4-5).* Another way to perform topological sorting on a directed acyclic graph  $G = (V, E)$  is to repeatedly find a vertex of in-degree 0, output it, and remove it and all of its outgoing edges from the graph. Explain how to implement this idea so that it runs in time  $O(V + E)$ . What happens to this algorithm if  $G$  has cycles?

*Proof.*  $\square$

## 1.2 Single-Source Shortest Paths

```

1: procedure INITIALIZE-SINGLE-SOURCE( $G, s$ )
2:   for  $v \in G.V$  do
3:      $v.d = \infty$ 
4:      $v.\pi = nil$ 
5:   end for
6:    $s.d = 0$ 
7: end procedure

1: procedure RELAX( $u, v, w$ )
2:   if  $v.d \geq u.d + w(u, v)$  then
3:      $v.d = u.d + w(u, v)$ 
4:      $v.\pi = u$ 
5:   end if
6: end procedure

```

### 1.2.1 The Bellman-Ford algorithm

```

1: procedure INITIALIZE-SINGLE-SOURCE( $G, s$ )
2:   for  $i = 1$  to  $|G.V| - 1$  do
3:     for  $(u, v) \in G.E$  do
4:       RELAX( $u, v, w$ )
5:     end for
6:   end for
7:   for each edge  $(u, v) \in G.E$  do
8:     if  $v.d > u.d + w(u, v)$  then
9:       return False
10:    end if
11:   end for
12: end procedure

```

**Lemma 1.1.** Let  $G = (V, E)$  be a weighted, directed graph with source  $s$  and weight function  $w : E \rightarrow \mathbb{R}$ , and assume that  $G$  contains no negative-weight cycles that are reachable from  $s$ . Then after the  $|V| - 1$  iterations of the **for** loops, we have  $v.d = \delta(s, v)$  for all vertices  $v$  that are reachable from  $s$

*Proof.* Consider any vertex  $v$  that is reachable from  $s$ , and let  $p = \langle v_0, v_1, \dots, v_k \rangle$  where  $v_0 = s$  and  $v_k = v$  to be any shortest path from  $s$  to  $v$ . Because shortest paths are simple,  $p$  has at most  $|V| - 1$  edges, and so  $k \leq |V| - 1$ . Each of the  $|V| - 1$  iterations of the **for** loop relaxes all  $|E|$  edges. Among the edges relaxed in the  $i$ th iteration, for  $i = 1, \dots, k$ , is  $(v_{i-1}, v_i)$ . By the path-relaxation property, therefore  $v.d = v_k.d = \delta(s, v_k) = \delta(s, v)$   $\square$

**Corollary 1.2.** Let  $G = (V, E)$  be a weighted, directed graph with source vertex  $s$  and weight function  $w : E \rightarrow \mathbb{R}$ , and assume that  $G$  contains no negative-weight cycles that are reachable from  $s$ . Then for each vertex  $v \in V$  there is a path from  $s$  to  $v$  iff BELLMAN-FORD terminates with  $v.d < \infty$  when it is run on  $G$

**Theorem 1.3** (Correctness of the Bellman-Ford algorithm). Let BELLMAN-FORD be run on a weighted, directed graph  $G = (V, E)$  with source  $s$  and weight function  $w : E \rightarrow \mathbb{R}$ . If  $G$  contains no negative-weight cycles that are reachable from  $s$ , then the algorithm return TRUE, we have  $v.d = \delta(s, v)$  for all vertices  $v \in V$ , and the predecessor subgraph  $G_\pi$  is a shortest-path tree rooted at  $s$ . If  $G$  does contain a negative-weight cycle reachable from  $s$ , then the algorithm returns FALSE

*Proof.* Now suppose that graph  $G$  contains a negative-weight cycle that is reachable from the source  $s$ ; let this cycle be  $c = \langle v_0, \dots, v_k \rangle$ , where  $v_0 = v_k$ . Then

$$\sum_{i=1}^k w(v_{i-1}, v_i) < 0$$

Assume for the purpose of contradiction that the Bellman-Ford algorithm returns TRUE. Thus,  $v_i.d \leq v_{i-1}.d + w(v_{i-1}, v_i)$  for  $i = 1, \dots, k$ . Summing the inequalities around cycle  $c$  gives us

$$\begin{aligned} \sum_{i=1}^k v_i.d &\leq \sum_{i=1}^k (v_{i-1}.d + w(v_{i-1}, v_i)) \\ &= \sum_{i=1}^k v_{i-1}.d + \sum_{i=1}^k w(v_{i-1}, v_i) \end{aligned}$$

But since  $\sum_{i=1}^k v_i.d = \sum_{i=1}^k v_{i-1}.d$ , we have

$$0 \leq \sum_{i=1}^k w(v_{i-1}, v_i)$$

□

*Exercise 1.2.1.*

### 1.2.2 Single-source shortest paths in directed acyclic graphs

By relaxing the edges of a weighted dag  $G = (V, E)$  according to a topological sort of its vertices, we can compute shortest paths from a single source in  $\Theta(V + E)$  time. Shortest paths are always well defined in a dag

```

1: procedure DAG-SHORTEST-PATHS( $G, w, s$ )
2:   topological sort the vertices of  $G$ 
3:   INITIALIZE-SINGLE-SOURCE( $G, s$ )
4:   for each vertex  $u$ , taken in topological sorted order do
5:     for each vertex  $v \in G.Adj[u]$  do RELAX( $u, v, w$ )
6:   end for
7: end for
8: end procedure
```

*Exercise 1.2.2 (24.2-4).* Given an efficient algorithm to count the total number of paths in a directed acyclic graph. Analyze your algorithm

### 1.2.3 Dijkstra's algorithm

Dijkstra's algorithm solves the single-source shortest-paths problem on a weighted, directed graph  $G = (V, E)$  for the case in which all edge weights are nonnegative.

```

1: procedure DIJKSTRA( $G, w, s$ )
2:    $S = \emptyset$ 
3:    $Q = G.V$ 
4:   while  $Q \neq \emptyset$  do
5:      $u = \text{EXTRACT-MIN}(Q)$ 
6:      $S = S \cup \{u\}$ 
7:     for each vertex  $v \in G.Adj[u]$  do RELAX( $u, v, w$ )
8:   end for
9: end while
10: end procedure
```

### 1.2.4 Proofs of shortest-paths properties

**Lemma 1.4** (Triangle inequality). *Let  $G = (V, E)$  be a weighted, directed graph with weight function  $w : E \rightarrow \mathbb{R}$  and source vertex  $s$ . Then for all edges  $u, v \in E$  we have*

$$\delta(s, v) \leq \delta(s, u) + w(u, v)$$

**Lemma 1.5** (Upper-bound property). *Let  $G = (V, E)$  be a weighted, directed graph with weight function  $w : E \rightarrow \mathbb{R}$ . Let  $s \in V$  be the source vertex, and let the graph be initialized by INITIALIZE-SINGLE-SOURCE( $G, s$ ). Then  $v.d \geq \delta(s, v)$  for all  $v \in V$ , and this invariant is maintained over any sequence of relaxation steps on the edges of  $G$ . Moreover, once  $v.d$  achieves its lower bound  $\delta(s, v)$  it never changes*

*Proof.* By the inductive hypothesis,  $x.d \geq \delta(s, x)$  for all  $x \in V$  prior to the relaxation. The only  $d$  that may change is  $v.d$ . If it changes, we have

$$\begin{aligned} v.d &= u.d + w(u, v) \\ &\geq \delta(s, u) + w(u, v) \\ &\geq \delta(s, v) \end{aligned}$$

□

**Corollary 1.6** (No-path property). *Suppose that in a weighted, directed graph  $G = (V, E)$  with weight function  $w : E \rightarrow \mathbb{R}$ , no path connects a source vertex  $s \in V$  to a given vertex  $v \in V$ . Then, after the graph is initialized by INITIALIZE-SINGLE-SOURCE( $G, s$ ), we have  $v.d = \delta(s, v) = \infty$  and this equality is maintained as an invariant over any sequence of relaxation steps on the edges of  $G$*

*Proof.* By the upper-bound property, we always have  $\infty = \delta(s, v) \leq v.d$  □

**Lemma 1.7.** *Let  $G = (V, E)$  be a weighted, directed graph with weight function  $w : E \rightarrow \mathbb{R}$ , and let  $(u, v) \in E$ . Then immediately after relaxing edge  $(u, v)$  by executing RELAX( $u, v, w$ ), we have  $v.d \leq u.d + w(u, v)$*

*Proof.* If prior to relaxing edge  $(u, v)$ , we have  $v.d > u.d + w(u, v)$ , then  $v.d = u.d + w(u, v)$  afterward. Otherwise  $v.d$  doesn't change □

**Lemma 1.8** (Convergence property). *Let  $G = (V, E)$  be a weighted, directed graph with weight function  $w : E \rightarrow \mathbb{R}$ , let  $s \in V$  be a source vertex, and let  $s \rightsquigarrow u \rightarrow v$  be a shortest path in  $G$  for some vertices  $u, v \in V$ . Suppose  $G$  is initialized by INITIALIZE-SINGLE-SOURCE( $G, s$ ) and then a sequence of relaxation steps that includes the call RELAX( $u, v, w$ ) is executed on the edges of  $G$ . If  $u.d = \delta(s, u)$  at any time prior to the call, then  $v.d = \delta(s, v)$  at all times after the call*

*Proof.*

□

**Lemma 1.9** (Path-relaxation property). *Let  $G = (V, E)$  be a weighted, directed graph with weight function  $w : E \rightarrow \mathbb{R}$ , and let  $s \in V$  be a source vertex. Consider any shortest path  $p = \langle v_0, \dots, v_k \rangle$  from  $s = v_0$  to  $v_k$ . If  $G$  is initialized by INITIALIZE-SINGLE-SOURCE( $G, s$ ) and then a sequence of relaxation steps occurs that includes, in order, relaxing the edges  $(v_0, v_1), \dots, (v_{k-1}, v_k)$  then  $v_k.d = \delta(s, v_k)$  after these relaxations and at all times after wards.*