

# Computability and Randomness

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## 1 The complexity of sets

### 1.1 The basic concepts

#### 1.1.1 Partial computable functions

Given expression  $\alpha, \beta$ ,

$$\alpha \simeq \beta$$

means that either both expressions are undefined, or they are defined with the same value

The function  $\Xi(e, x) \simeq \Phi_e(x)$ . A Turing program computing  $\Xi$  is called a **universal Turing program**

**Theorem 1.1** (Parameter Theorem). *For each partial computable function  $\Theta$  in two variables there is a computable strictly increasing function  $q$  s.t.*

$$\forall e \forall x \Phi_{q(e)}(x) \simeq \Theta(e, x)$$

*An index for  $q$  can be obtained effectively from an index for  $\Theta$*

**Lemma 1.2** (Padding Lemma). *For each  $e$  and each  $m$ , one may effectively obtain  $e' > m$  s.t. the Turing program  $P_{e'}$  behaves exactly like  $P_e$*

**Theorem 1.3** (Recursion Theorem). *Let  $g : \mathbb{N} \rightarrow \mathbb{N}$  be computable. Then there is an  $e$  s.t.  $\Phi_{g(e)} = \Phi_e$ . We say that  $e$  is a **fixed point** for  $g$*

*Proof.* There is  $q$  s.t.  $\Phi_{q(e)}(x) \simeq \Phi_{g(\Phi_e(e))}(x)$  for all  $e, x$ . Choose an  $i$  s.t.  $q = \Phi_i$ , then

$$\Phi_{q(i)} = \Phi_{\Phi_i(i)} = \Phi_{g(\Phi_i(i))}$$

□

**Theorem 1.4** (Recursion Theorem with Parameters). *Let  $g : \mathbb{N}^2 \rightarrow \mathbb{N}$  be computable. Then there is a computable function  $f$ , which can be obtained effectively from  $g$ , s.t.  $\Phi_{g(f(n), n)} = \Phi_{f(n)}$  for each  $n$*

*Proof.* There is  $g_n$  s.t.  $g_n(f(n)) = g(f(n), n)$ . Then let  $f(n)$  be the fixed point of  $\Phi_{g'(x)}$  □

*Exercise 1.1.1.* Extend the Recursion Theorem by showing that computable function  $g$  has infinitely many fixed points. Conclude that the function  $f$  in Theorem 1.4 can be chosen one-one

*Proof.* There is infinite many  $i$  s.t.  $q = \Phi_i$  □

### 1.1.2 Computably enumerable sets

**Definition 1.5.**  $A \subseteq \mathbb{N}$  is **computably enumerable (c.e.)** if  $A$  is the domain of some partial computable function

Let

$$W_e = \text{dom}(\Phi_e)$$

Then  $(W_e)_{e \in \mathbb{N}}$  is an effective listing of all c.e. sets. A sequence of sets  $(S_e)_{e \in \mathbb{N}}$  s.t.  $\{\langle e, x \rangle : x \in S_e\}$  is c.e. is called **uniformly computably enumerable**

$A$  is called **computable** if its characteristic function is computable; otherwise  $A$  is called **incomputable**

**Proposition 1.6.**  $A$  is computable  $\Leftrightarrow A$  and  $\mathbb{N} - A$  are c.e.

We may obtain a c.e. incomputable set denoted  $\emptyset'$  by a direct diagonalization. We define  $\emptyset'$  in such a way that  $\mathbb{N} - \emptyset'$  differs from  $W_e$  at  $e$ : let

$$\emptyset' = \{e : e \in W_e\}$$

The set  $\emptyset'$  is called the **halting problem**, since  $e \in \emptyset'$  iff program  $P_e^1$  halts on input  $e$

**Proposition 1.7.** *The set  $\emptyset'$  is c.e. but not computable*

*Proof.*  $\emptyset'$  is c.e. since  $\emptyset' = \text{dom}(J)$ , where  $J$  is the partial computable function given by  $J(e) \simeq \Phi_e(e)$ . If  $\emptyset'$  is computable then there is  $e$  s.t.  $\mathbb{N} - \emptyset' = W_e$ . Then  $e \in \emptyset' \leftrightarrow e \in W_e \leftrightarrow e \in \emptyset'$ , a contradiction  $\square$

The sequence  $(W_e)_{e \in \mathbb{N}}$  is universal for uniformly c.e. sequences

**Corollary 1.8.** *For each uniformly c.e. sequence  $(A_e)_{e \in \mathbb{N}}$  there is a computable function  $q$  s.t.  $A_e = W_{q(e)}$  for each  $e$*

*Proof.* Define the partial computable function  $\Theta$  by  $\Theta(e, x) \simeq 0$  iff  $x \in A_e$ , and  $\Theta(e, x)$  is undefined otherwise. Then the function  $q$  obtained by the Parameter Theorem is as required.  $\square$

*Exercise 1.1.2.* Suppose  $(\hat{W}_e)_{e \in \mathbb{N}}$  is a further universal uniformly c.e. sequence. Assume that  $(\hat{W}_e)_{e \in \mathbb{N}}$  also has the padding property, one may effectively obtain  $e' > m$  s.t.  $\hat{W}_{e'} = \hat{W}_e$ . Show that there is a computable permutation  $\pi$  of  $\mathbb{N}$  s.t.  $\hat{W}_e = W_{\pi(e)}$  for each  $e$

*Proof.* there is  $q$  s.t.  $W_{q(e)} = \hat{W}_e$ , there is  $p$  s.t.  $\hat{W}_{p(e)} = W_e$  Find

1.  $q'(e) > e$
2.  $q'$  is 1-1
3.  $W_{q'(e)} = \hat{W}_e$

padding  $\pi(m, e) > m$ .  $W_{\pi(e, q(e))} = W_{q(e)}$   
 similar to cantor-bernstein  
 or back-and-forth  $\square$

### 1.1.3 Indices and approximations

**Definition 1.9.** We write

$$\Phi_{e,s}(x) = y$$

if  $e, x, y < s$  and the computation of program  $P_e$  on input  $x$  yields  $y$  in at most  $s$  computation steps. Let  $W_{e,s} = \text{dom}(\Phi_{e,s})$

At stage  $s$  we have complete information about  $\Phi_{e,s}$  and  $W_{e,s}$ . To state this more formally, we need to specify an effective listing  $D_0, D_1, \dots$  of the finite subsets of  $\mathbb{N}$

**Definition 1.10.** Let  $D_0 = \emptyset$ . If  $n > 0$  has the form  $2^{x_1} + \dots + 2^{x_r}$ , where  $x_1 < \dots < x_r$ , then let  $D_n = \{x_1, \dots, x_r\}$ . We say that  $n$  is a **strong index** for  $D_n$ . For instance,  $D_5 = \{0, 2\}$

There is a computable function  $f$  s.t.  $f(e, s)$  is a strong index for  $W_{e,s}$ . We think of a computable enumeration of a set  $A$  as an effective listing  $a_0, a_1, \dots$  of the elements of  $A$  in some order. To include the case that  $A$  is finite, we formalize this via an effective union of finite sets  $(A_s)$ . We view  $A_s$  as the set of elements enumerated by the end of stage  $s$ . At certain stages we may decide not to enumerate any element

**Definition 1.11.** A **computable enumeration** of a set  $A$  is an effective sequence  $(A_s)_{s \in \mathbb{N}}$  of (strong indices for) finite sets s.t.  $A_s \subseteq A_{s+1}$  for each  $s$  and  $A = \bigcup_s A_s$

Each c.e. set  $W_e$  has the computable enumeration  $(W_{e,s})_{s \in \mathbb{N}}$ . Conversely, if  $A$  has a computable enumeration then  $A$  is c.e., for  $A = \text{dom}(\Phi)$  where  $\Phi$  is the partial computable function given by the following procedure: at stage  $s$  we let  $\Phi(x) = 0$  if  $x \in A_s$ . An **index for a c.e. set**  $A$  is a number  $e$  s.t.  $A = W_e$

**Proposition 1.12.** For each computable function  $\Phi$ ,  $\text{ran}(\Phi)$  is c.e.

*Proof.* Suppose  $\Phi = \Phi_e$  and we enumerate  $A = \text{ran}(\Phi)$ . Since we have complete information about  $\Phi_s$  at stage  $s$ , we can compute from  $s$  a strong index for  $A_s = \text{ran}(\Phi_s)$ . Then  $(A_s)_{s \in \mathbb{N}}$  is the required computable enumeration of  $A$   $\square$

Exercise 1.1.3.

Exercise 1.1.4.

*Proof.* find a subsequence with increasing required steps  $\square$

## 1.2 Relative computational complexity of sets

**Definition 1.13.**  $X$  is **many-one reducible** to  $Y$ , denoted  $X \leq_m Y$ , if there is a computable function  $f$  s.t.  $n \in X \leftrightarrow f(n) \in Y$  for all  $n$

If  $X$  is computable,  $Y \neq \emptyset$ , and  $Y \neq \mathbb{N}$ , then  $X \leq_m Y$ : choose  $y_0 \in Y$  and  $y_1 \notin Y$ . Let  $f(n) = y_0$  if  $n \in X$  and  $f(n) = y_1$  otherwise. Then  $X \leq_m Y$  via  $f$ .

For each set  $Y$  the class  $\{X : X \leq_m Y\}$  is countable. In particular, there is no greatest many-one degree

**Proposition 1.14.**  $A$  is c.e.  $\Leftrightarrow A \leq_m \emptyset'$

*An index for the many-one reduction as a computable function can be obtained effectively from a c.e. index for  $A$ , and conversely*

*Proof.*  $\Rightarrow$ : We claim that there is a computable function  $g$  s.t.

$$W_{g(e,n)} = \begin{cases} \{e\} & n \in A \\ \emptyset & n \notin A \end{cases}$$

For let  $\Theta(e, n, x)$  converge if  $x = e$  and  $n \in A$ . Then there is a computable function  $g$  s.t.  $\forall e, n, x [\Theta(e, n, x) \simeq \Phi_{g(e,n)}(x)]$ . By Theorem 1.4, there is a computable function  $h$  s.t.  $W_{g(h(n),n)} = W_{h(n)}$  for each  $n$ . Then

$$\begin{aligned} n \in A &\Rightarrow W_{h(n)} = \{h(n)\} \Rightarrow h(n) \in \emptyset' \\ n \notin A &\Rightarrow W_{h(n)} = \emptyset \Rightarrow h(n) \notin \emptyset' \end{aligned}$$

$\Leftarrow$ : If  $A \leq_m \emptyset'$  via  $h$ , then  $A = \text{dom}(\Psi)$  where  $\Psi(x) \simeq J(h(x))$  (recall that  $J(e) \simeq \Phi_e(e)$ )  $\square$

**Definition 1.15.** A c.e. set  $C$  is called  **$r$ -complete** if  $A \leq_r C$  for each c.e. set  $A$

we say that  $X \leq_1 Y$  if  $X \leq_m Y$  via a one-one function  $f$

*Exercise 1.2.1.* The set  $\emptyset'$  is 1-complete

*Exercise 1.2.2.*  $X \equiv_1 Y \Leftrightarrow$  there is a computable permutation  $p$  of  $\mathbb{N}$  s.t.  $Y = p(X)$

Our intuitive understanding of “ $Y$  is at least as complex as  $X$ ” is:  $X$  can be computed with the help of  $Y$ . To formalize more general ways of relative computation, we extend the machine model by a one-way infinite “oracle” tape which holds all the answers to oracle questions of the form “is  $k$  in  $Y$ ”.

We write  $\Phi_e^Y(n) \downarrow$  if the program  $P_e$  halts when the oracle is  $Y$  and the input is  $n$ ; we write  $\Phi_e(Y; n)$  or  $\Phi_e^Y(n)$  for this output. The  $\Phi_e$  are called **Turing functionals**. And we let  $W_e^Y = \text{dom}(\Phi_e^Y)$ .  $W_e$  is a **c.e. operator**

**Definition 1.16.** A total function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is called **Turing reducible** to  $Y$ , or **computable relative to  $Y$** , or **computable in  $Y$** , if there is an  $e$  s.t.  $f = \Phi_e^Y$ . We denote this by  $f \leq_T Y$ . We also say that  $Y$  **computes**  $f$ . For a set  $A$ , we write  $A \leq_T Y$  if the characteristic function of  $A$  is Turing reducible to  $Y$

For a total functions  $g$ ,  $f \leq_T g$  means that  $f$  is Turing reducible to the **graph** of  $g$ , that is, to  $\{\langle n, g(n) \rangle : n \in \mathbb{N}\}$

*Exercise 1.2.3.*  $\leq_m$  and  $\leq_T$  are preorderings of the subsets of  $\mathbb{N}$

A set  $A$  is **c.e. relative to  $Y$**  if  $A = W_e^Y$  for some  $e$ . We view  $\Phi_e$  as  $\Phi_e^\emptyset$

**Proposition 1.17.**  $A$  is computable in  $Y \Leftrightarrow A$  and  $\mathbb{N} - A$  are c.e. in  $Y$

**Definition 1.18.** We write  $J^Y(e) \simeq \Phi_e^Y(e)$ . The set  $Y' = \text{dom}(J^Y)$  is the **Turing jump** of  $Y$ . The map  $Y \rightarrow Y'$  is called the **jump operator**

**Theorem 1.19.** For each computable binary function  $g$  there is a computable function  $f$  s.t.  $\Phi_{g(f(n),n)}^Y = \Phi_{f(n)}^Y$

**Proposition 1.20.**  $A$  is c.e. in  $Y$  iff  $A \leq_m Y'$

**Proposition 1.21.** For each  $Y$ , the set  $Y'$  is c.e. relative to  $Y$ . Also,  $Y \leq_m Y'$  and  $Y' \not\leq_T Y$ , and therefore  $Y <_T Y'$

*Proof.*  $Y'$  is c.e. in  $Y$  since  $Y' = \text{dom}(J^Y)$ . As  $Y$  is c.e. relative to itself, by Proposition 1.20  $Y \leq_m Y'$ . If  $Y' \leq_T Y$  then there is  $e$  s.t.  $\mathbb{N} - Y' = W_e^Y$ . Then  $e \in Y' \leftrightarrow e \in W_e^Y \leftrightarrow e \notin Y'$   $\square$

**Definition 1.22.** We define  $Y^{(n)}$  inductively by  $Y^{(0)} = Y$  and  $Y^{(n+1)} = (Y^{(n)})'$ .

**Proposition 1.23.** For each  $Y, Z$  we have  $Y \leq_T Z \Leftrightarrow Y' \leq_m Z'$

*Proof.*  $\Rightarrow$ :  $Y'$  is c.e. in  $Y$  and hence c.e. in  $Z$ . Therefore  $Y' \leq_m Z'$  by Proposition 1.20

$\Leftarrow$ : By Proposition 1.17,  $Y$  and  $\mathbb{N} - Y$  are c.e. in  $Y$ . So  $Y, \mathbb{N} - Y \leq_m Y' \leq_m Z'$ , whence both  $Y$  and  $\mathbb{N} - Y$  are c.e. in  $Z$ . Hence  $Y \leq_T Z$   $\square$

**Fact 1.24.** From a Turing functional  $\Phi = \Phi_e$  one can effectively obtain a computable strictly increasing function  $p$ , called a **reduction function** for  $\Phi$ , s.t.  $\forall Y \forall x \Phi^Y(x) \simeq J^Y(p(x))$

*Proof.* Let  $\Theta^Y(x, y) \simeq \Phi^Y(x)$ , by the oracle version of the Parameter Theorem there is a computable strictly increasing function  $p$  s.t.  $\forall Y \forall y \Phi_{p(x)}^Y(y) \simeq \Theta^Y(x, y) \simeq \Phi^Y(x)$ . Letting  $y = p(x)$  we obtain  $J^Y(p(x)) = \Phi_{p(x)}^Y(p(x)) = \Phi^Y(x)$   $\square$

We identify  $\sigma \in \{0, 1\}^*$  with  $n \in \mathbb{N}$  s.t. the binary representation of  $n + 1$  is  $1\sigma$ . For instance,  $000$  is  $7$

**Definition 1.25.** We write  $\Phi_{e,s}^Y(x) = y$  if  $e, x, y < s$  and the computation of program  $P_e$  on input  $x$  yields  $y$  in at most  $s$  computation steps, with all oracle queries less than  $s$ .

The **use principle** is the fact that a terminating oracle computation only asks finitely many oracle questions. Hence  $(\Phi_{e,s}^Y)_{s \in \mathbb{N}}$  approximates  $\Phi_e^Y$

**Definition 1.26.** The **use** of  $\Phi_e^Y(x)$ , denoted  $\text{use } \Phi_e^Y(x)$ , is defined if  $\Phi_e^Y(x) \downarrow$ , where its value is 1 + the largest oracle query asked during this computation.

We write

$$\Phi_e^\sigma(x) = y$$

if  $\Phi_e^F(x)$  yields the output  $y$ , where  $F = \{i < |\sigma| : \sigma(i) = 1\}$ , and the use is at most  $|\sigma|$ . Then for each set  $Y$

$$\Phi_e^Y(x) = y \leftrightarrow \Phi_e^{Y \upharpoonright u}(x) = y$$

where  $u = \text{use } \Phi_e^Y(x)$

If a Turing functional  $\Phi_e$  is given then  $\lambda Y x. \text{use } \Phi_e^Y$  is also a Turing functional (namely there is  $i$  s.t.  $\Phi_i^Y(x) \simeq \text{use } \Phi_e^Y(x)$  for each  $Y$  and  $x$ ). Thus if  $Y$  is an oracle s.t.  $f = \Phi_e^Y$  is total, the function  $\text{use } \Phi_e^Y$  is computable in  $Y$ .

**Definition 1.27.** A function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is **weak truth-table** reducible to  $Y$ , denoted  $f \leq_{wtt} Y$ , if there is a Turing functional  $\Phi_e$  and a computable bound  $r$  s.t.  $f = \Phi_e^Y$  and  $\forall n \text{ use } \Phi_e^Y(n) \leq r(n)$ .

**Definition 1.28.** A function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is **truth-table** reducible to  $Y$ , denoted  $f \leq_{tt} Y$ , if there is a Turing functional  $\Phi_e$  and a computable bound  $r$  s.t.  $f = \Phi_e^Y$  and  $\Phi_e^Z$  is total for each oracle  $Z$  (we call such a  $\Phi_e$  a truth table reduction).

**Proposition 1.29.** 1.  $X \leq_{tt} Y \Leftrightarrow$  there is a computable function  $g$  s.t. for each  $n$ ,

$$n \in X \Leftrightarrow \bigvee_{\sigma \in D_{g(n)}} [\sigma \leq Y]$$

2.  $X \leq_{tt} Y$  implies  $X \leq_{wtt} Y$

*Proof.* 1.  $\Rightarrow$ : Suppose  $X \leq_{tt} Y$  via a truth-table reduction  $\Phi = \Phi_e$ . The tree  $T_n = \{\sigma : \Phi_{|\sigma|}^\sigma(n) \uparrow\}$  is finite for each  $n$ , for otherwise it has an infinite path  $Z$  by Kőnig's Lemma and  $\Phi^Z(n) \uparrow$ . Given  $n$  one can compute a strong index  $\tilde{g}(n)$  for the finite set of minimal string  $\sigma$  s.t.  $\Phi_{|\sigma|}^\sigma(n) \downarrow$ . Hence one can compute a strong index  $g(n)$  for the set of all minimal strings  $\sigma$  s.t.  $\Phi_{|\sigma|}^\sigma(n) \downarrow = 1$ . Then  $D_{g(n)}$  is as required

$\Leftarrow$ : Consider the following procedure relative to an oracle  $Z$ : on input  $n$ , first compute  $D_{g(n)}$ . If  $\sigma \leq Z$  for some  $\sigma \in D_{g(n)}$ , output 1, otherwise output 0

2. For each  $Z$  use  $\Phi_e^Z(n)$  is bounded by  $\max\{|\sigma| : \sigma \in D_{g(n)}\}$

□

**Proposition 1.30.**  $f \leq_{tt} A \Leftrightarrow$  there is a Turing functional  $\Phi$  and a computable function  $t$  s.t.  $f = \Phi^A$  and the number of steps needed to compute  $\Phi^A(n)$  is bounded by  $t(n)$

*Proof.*  $\Leftarrow$ : Let  $\tilde{\Phi}$  be the Turing functional s.t.  $\tilde{\Phi}^Z(n) = \Phi_{t(n)}^Z(n)$  if the latter is defined and  $\tilde{\Phi}^Z(n) = 0$  otherwise

□

**Definition 1.31.** The **effective disjoint union** of sets  $A$  and  $B$  is

$$A \oplus B = \{2n : n \in A\} \cup \{2n + 1 : n \in B\}$$

*Exercise 1.2.4.* 1.  $A, B \leq_m A \oplus B$

2. Let  $\leq_r$  be one of the reducibilities above. Then for any set  $X$

$$A, B \leq_r X \Leftrightarrow A \oplus B \leq_r X$$

*Exercise 1.2.5.* Let  $C = A_0 \cup A_1$  where  $A_0, A_1$  are c.e. and  $A_0 \cap A_1 = \emptyset$ . Then  $C \equiv_{wt} A_0 \oplus A_1$

*Proof.* Since  $A_0, A_1$  are c.e., for each  $n \in C$ , we can determine if  $n \in A_0 \cup A_1$  in finite steps.

□

*Exercise 1.2.6.* Show that  $\exists Z f \leq_{tt} Z \Leftrightarrow$  there is a computable  $h$  s.t.  $\forall n f(n) \leq h(n)$

*Proof.* Trivial

□

### 1.3 Descriptive complexity of sets

In a computable enumeration  $(Z_s)_{s \in \mathbb{N}}$  of a set  $Z$ , for each  $x$ ,  $Z_s(x)$  can change at most once, namely from 0 to 1. Which sets  $Z$  are described if we allow an arbitrary finite number of changes

**Definition 1.32.** We say that a set  $Z$  is  $\Delta_2^0$  if there is a computable sequence of strong indices  $(Z_s)_{s \in \mathbb{N}}$  s.t.  $Z_s \subseteq [0, s)$  and  $Z(x) = \lim_s Z_s(x)$ . We say that  $(Z_s)_{s \in \mathbb{N}}$  is a **computable approximation** of  $Z$



Given an expression  $E$  that is approximated during stages  $s$ ,

$$E[s]$$

denotes its value at the **end of** stage  $s$ . For instance, given a  $\Delta_2^0$  set  $Z$  with a computable approximation, instead of  $\Phi_{e,s}^{Z_s}(x)$  we simply write  $\Phi_e^Z(x)[s]$ . We say that the expression  $E$  is **stable at**  $s$  if  $E[t] = E[s]$  for all  $t \geq s$ .

**Lemma 1.33** (Shoenfield Limit Lemma).  $Z$  is  $\Delta_2^0 \Leftrightarrow Z \leq_T \emptyset'$ . The equivalence is uniform

*Proof.*  $\Leftarrow$ : Fix a Turing functional  $\Phi_e$  s.t.  $Z = \Phi_e^{\emptyset'}$ . Since  $\emptyset'$  is c.e., let  $\langle \emptyset'_s \rangle_{s \in \mathbb{N}}$  be a computable enumeration of  $\emptyset'$ . Define

$$Z_s(x) = \begin{cases} 1 & \Phi_{e,s}^{\emptyset'_s \downarrow} = 1 \\ 0 & \text{otherwise} \end{cases}$$

Let  $u = \text{use } \Phi_e^{\emptyset'}(x)$ , there is  $s$  s.t.  $\emptyset'_s \upharpoonright u = \emptyset' \upharpoonright u$ , thus there is  $s \geq t$  s.t.  $Z_s(x) = Z(x)$

Then the required approximation is given by  $Z_s = \{x < s : \Phi_e^{\emptyset'}(x)[s] = 1\}$

$\Rightarrow$ : We define a c.e. set  $C$  s.t.  $Z \leq_T C$ . This is sufficient because  $C \leq_m \emptyset'$  by Proposition 1.14. The set  $C$  is called the **change set** because it records the changes of the computable approximation. If  $Z_s(x) \neq Z_{s+1}(x)$  we put  $\langle x, i \rangle$  into  $C_{s+1}$ , where  $i$  is the least s.t.  $\langle x, i \rangle \notin C_s$ . To show that  $Z \leq_T C$ , on input  $x$ , using the oracle  $C$  compute the least  $i$  s.t.  $\langle x, i \rangle \notin C$ . If  $i$  is even then  $Z(y) = Z_0(y)$ , otherwise  $Z(y) = 1 - Z_0(y)$

We have obtained  $C$  and the Turing reduction of  $Z$  to  $C$  effectively from the computable approximation of  $Z$ . Proposition 1.14 is also effective  $\square$

If  $Z = \Phi_e^{\emptyset'}$  we say that  $e$  is a  $\Delta_2^0$ -**index** for  $Z$ . A number  $e$  is a  $\Delta_2^0$  index only if  $\Phi_e^{\emptyset'}$  is total

**Definition 1.34.** 1. We say that a set  $Z$  is  $\omega$ -**c.e.** if there is a computable approximation  $(Z_s)_{s \in \mathbb{N}}$  of  $Z$  and a computable function  $b$  s.t.

$$b(x) \geq \#\{s > x : Z_s(x) \neq Z_{s-1}(x)\} \text{ for each } x$$

2. If  $Z_s(s-1) = 0$  for each  $s > 0$  and  $b(x)$  can be chosen constant of value  $n$ , then we say  $Z$  is  $n$ -c.e.

Thus  $Z$  is 1-c.e. iff  $Z$  is c.e., and  $Z$  is 2-c.e. iff  $Z = A - B$  for c.e. sets  $A, B$

**Proposition 1.35.**  $Z$  is  $\omega$ -c.e.  $\Leftrightarrow Z \leq_{wtt} \emptyset' \Leftrightarrow Z \leq_{tt} \emptyset'$   
*The equivalence are effective*

**Corollary 1.36.**  $A$  is  $\Delta_2^0 \Leftrightarrow A$  is both  $\Sigma_2^0$  and  $\Pi_2^0$

*Proof.*

$$\begin{aligned} A \in \Delta_2^0 &\Leftrightarrow A \leq_T \emptyset' \\ &\Leftrightarrow A \text{ and } \mathbb{N} - A \text{ are c.e. in } \emptyset' \\ &\Leftrightarrow A \in \Sigma_2^0 \cap \Pi_2^0 \end{aligned}$$

last iff from Theorem 1.39 □

**Definition 1.37.** Let  $A \subseteq \mathbb{N}$  and  $n \geq 1$

1.  $A$  is  $\Sigma_n^0$  if  $x \in A \leftrightarrow \exists y_1 \forall y_2 \dots Q y_n R(x, y_1, \dots, y_n)$ , where  $R$  is a symbol for a computable relation
2.  $A$  is  $\Pi_n^0$  if  $\mathbb{N} - A$  is  $\Sigma_n^0$
3.  $A$  is **arithmetical** if  $A$  is  $\Sigma_n^0$  for some  $n$

**Fact 1.38.**  $A$  is  $\Sigma_1^0 \Leftrightarrow A$  is c.e.. *The equivalence is uniform*

*Proof.*  $\Rightarrow$ : Suppose  $x \in A \leftrightarrow \exists y R(x, y)$  for computable  $R$ . Let  $\Phi$  be the partial computable function given by the Turing program that on input  $x$  looks for a witness  $y$  s.t.  $R(x, y)$ , and halts when such a witness is found. Then  $A = \text{dom}(\Phi)$

$\Leftarrow$ : Suppose  $A = \text{dom}(\Phi)$  for a partial computable function  $\Phi$ . Let  $R$  be the computable relation given by  $R(x, s) \leftrightarrow \Phi(x)[s] \downarrow$ . Then  $x \in A \leftrightarrow \exists s R(x, s)$ , so  $A$  is  $\Sigma_1^0$  □

A  $\Sigma_n^0$  set  $C$  is  $\Sigma_n^0$ -**complete** if  $A \leq_m C$  for each  $\Sigma_n^0$  set  $A$

**Theorem 1.39.** Let  $n \geq 1$

1.  $A$  is  $\Sigma_n^0 \Leftrightarrow A$  is c.e. relative to  $\emptyset^{(n-1)}$
2.  $\emptyset^{(n)}$  is  $\Sigma_n^0$ -complete

*Proof.* Induction on  $n$ . 1.38 and 1.14. Now let  $n > 1$

1. First suppose  $A$  is  $\Sigma_n^0$  for some computable relation  $R$ . Then the set

$$B = \{\langle x, y_1 \rangle : \forall y_2 \dots Qy_n R(x, y_1, \dots, y_n)\}$$

is  $\Pi_{n-1}^0$  and  $A$  is c.e. relative to  $B$ . By (2) for  $n - 1$  we have  $B \leq_m \mathbb{N} - \emptyset^{(n-1)}$ . So  $A$  is c.e. relative to  $\emptyset^{(n-1)}$

Now suppose  $A$  is c.e. relative to  $\emptyset^{(n-1)}$ . Then there is a Turing functional  $\Phi$  s.t.  $A = \text{dom}(\Phi^{\emptyset^{(n-1)}})$ . By the use principle

$$x \in A \Leftrightarrow \exists \eta, s^\top \Phi_s^\eta(x) \downarrow \wedge \forall i < |\eta| \eta(i) = 1 \leftrightarrow i \in \emptyset^{(n-1)^\top}$$

The innermost part can be put into  $\Sigma_n^0$ -form, so  $A$  is  $\Sigma_n^0$ .

2. Follows by Proposition 1.20 where  $Y = \emptyset^{(n-1)}$

□

**Proposition 1.40.** *Let  $n \geq 1$ . Then  $A$  is  $\Delta_n^0 \Leftrightarrow A \leq_T \emptyset^{(n-1)}$*

*Proof.* By Theorem 1.39,  $A$  is  $\Delta_n^0 \Leftrightarrow A$  and  $\mathbb{N} - A$  are c.e. in  $\emptyset^{(n-1)}$ . By Proposition 1.17, this condition is equivalent to  $A \leq_T \emptyset^{(n-1)}$  □

**Proposition 1.41.**  *$Z$  is  $\Sigma_2^0 \Leftrightarrow$  there is a computable sequence of strong indices  $(Z_s)_{s \in \mathbb{N}}$  s.t.  $Z_s \subseteq [0, s)$  and  $x \in Z \leftrightarrow \exists s \forall t \geq s Z_t(x) = 1$ . The equivalence is uniform*

*Proof.*  $\Rightarrow$ : By Theorem 1.39, there is a Turing functional  $\Phi$  s.t.  $Z = \text{dom}(\Phi^{\emptyset'})$ . Now let  $Z_s = \{x < s : \Phi^{\emptyset'}(x)[s] \downarrow\}$

$\Leftarrow$ :

□

**Definition 1.42.** The **index set** of a class  $S$  of c.e. sets is the set  $\{i : W_i \in S\}$

*Exercise 1.3.1.*  $\emptyset'$  is not an index set

*Proof.* We can find  $g$  s.t.  $W_{g(n)} = \{n\}$ . Thus there is  $e$  s.t.  $W_{g(e)} = W_e = \{e\}$ . By padding lemma, we have  $W_i = W_e$  but  $i \notin \emptyset'$  □

*Exercise 1.3.2.* 1.  $\{e : W_e \neq \emptyset\}$  is  $\Sigma_1^0$ -complete

2. The set  $\{e : W_e \text{ finite}\}$  is  $\Sigma_2^0$ -complete.

3. The set  $\text{Tot} = \{e : \text{dom}(\Phi_e) = \mathbb{N}\} = \{e : W_e = \mathbb{N}\}$  is  $\Pi_2^0$ -complete

4. Both  $\{e : W_e \text{ cofinite}\}$  and  $\text{Cop} = \{e : W_e \text{ computable}\}$  are  $\Sigma_3^0$ -complete

*Proof.* 1. Given  $e$ ,  $\Phi_{f(n)}$  doesn't converge in  $\mathbb{N} - \{e\}$ . And converges on  $e$  is  $\Phi_e(e) \downarrow$ . Thus  $\emptyset' \leq_m \{e : W_e \neq \emptyset\}$

2. Let  $\text{Fin} = \{e : W_e \text{ finite}\}$ . Then  $x \in \text{Fin} \Leftrightarrow \exists s \forall t \geq s (W_{e,s} = W_{e,t})$

3.  $e \in \text{Tot} \Leftrightarrow \forall n \exists s \Phi_{e,s}(n) \downarrow$

For any  $A$  in  $\Pi_2^0$ ,  $x \in A \Leftrightarrow \forall y \exists z R(x, y, z)$

We could define

$$\Phi_{q(x)}(u) = \begin{cases} 0 & \forall y \leq u \exists z R(x, y, z) \\ \uparrow & \end{cases}$$

Then  $x \in A \Leftrightarrow W_{q(x)} = \omega \Leftrightarrow q(x) \in \text{Tot}$

$x \in \bar{A} \Leftrightarrow W_{q(x)}$  is finite

4.  $e \in \text{Cof} \Leftrightarrow \exists z \forall n \geq z \exists s \Phi_{e,s}(n) \downarrow$ , thus  $\text{Cof} \in \Sigma_3^0$

□

*Exercise 1.3.3.* Let  $X \subseteq \mathbb{N}$

1. Each relation  $R \leq_T X$  is first-order definable in the structure  $(\mathbb{N}, +, \cdot, X)$

2. The index set  $\{e : W_e \leq_T X\}$  is  $\Sigma_3^0(X)$

*Proof.*

□