Computability and Randomness

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1.1 The basic concepts

1.1.1 Partial computable functions

Given expression α, β,

$$\alpha \simeq \beta$$

means that either both expressions are undefined, or they are defined with the same value

The function $\Xi(e, x) \simeq \Phi_e(x)$. A Turing program computing Ξ is called a **universal Turing program**

Theorem 1.1 (Parameter Theorem)**.** *For each partial computable function Θ in two variables there is a computable strictly increasing function q s.t.*

$$\forall e \forall x \Phi_{q(e)}(x) \simeq \Theta(e, x)$$

An index for q can be obtained effectively from an index for Θ

Lemma 1.2 (Padding Lemma)**.** *For each e and each m, one may effectively obtain $e' > m$ s.t. the Turing program $P_{e'}$ behaves exactly like P_e*

Theorem 1.3 (Recursion Theorem)**.** *Let $g : \mathbb{N} \to \mathbb{N}$ be computable. Then there is an e s.t. $\Phi_{g(e)} = \Phi_e$. We say that e is a **fixed point** for g*

Proof. There is q s.t. $\Phi_{q(e)}(x) \simeq \Phi_{g(\Phi_e(e))}(x)$ for all e, x. Choose an i s.t. $q = \Phi_i$, then

$$\Phi_{q(i)} = \Phi_{\Phi_i(i)} = \Phi_{g(\Phi_i(i))}$$

□

Theorem 1.4 (Recursion Theorem with Parameters)**.** *Let $g : \mathbb{N}^2 \to \mathbb{N}$ be computable. Then there is a computable function f, which can be obtained effectively from g, s.t. $\Phi_{g(f(n),n)} = \Phi_{f(n)}$ for each n*

Proof. There is g_n s.t. $g_n(f(n)) = g(f(n), n)$. Then let $f(n)$ be the fixed point of $\Phi_{g'(x)}$ □

Exercise 1.1.1. Extend the Recursion Theorem by showing that computable function g has infinitely many fixed points. Conclude that the function f in Theorem 1.4 can be chosen one-one

Proof. There is infinite many i s.t. $q = \Phi_i$ □

1.1.2 Computably enumerable sets

Definition 1.5. $A \subseteq \mathbb{N}$ is **computably enumerable** (**c.e.**) if A is the domain of some partial computable function

Let

$$W_e = \text{dom}(\Phi_e)$$

Then $(W_e)_{e \in \mathbb{N}}$ is an effective listing of all c.e. sets. A sequence of sets $(S_e)_{e \in \mathbb{N}}$ s.t. $\{\langle e, x \rangle : x \in S_e\}$ is c.e. is called **uniformly computably enumerable**

A is called **computable** if its characteristic function is computable; otherwise A is called **incomputable**

Proposition 1.6. *A is computable $\Leftrightarrow$ A and $\mathbb{N} - A$ are c.e.*

We may obtain a c.e. incomputable set denoted $\emptyset'$ by a direct diagonalization. We define $\emptyset'$ in such a way that $\mathbb{N} - \emptyset'$ differs from W_e at e: let

$$\emptyset' = \{e : e \in W_e\}$$

The set $\emptyset'$ is called the **halting problem**, since $e \in \emptyset'$ iff program P_e^1 halts on input e

Proposition 1.7. *The set $\emptyset'$ is c.e. but not computable*

Proof. $\emptyset'$ is c.e. since $\emptyset' = \text{dom}(J)$, where J is the partial computable function given by $J(e) \simeq \Phi_e(e)$. If $\emptyset'$ is computable then there is e s.t. $\mathbb{N} - \emptyset' = W_e$. Then $e \in \emptyset' \leftrightarrow e \in W_e \leftrightarrow e \in \emptyset'$, a contradiction □

The sequence $(W_e)_{e\in\mathbb{N}}$ is universal for uniformly c.e. sequences

Corollary 1.8. *For each uniformly c.e. sequence $(A_e)_{e\in\mathbb{N}}$ there is a computable function q s.t. $A_e = W_{q(e)}$ for each e*

Proof. Define the partial computable function Θ by $\Theta(e, x) \simeq 0$ iff $x \in A_e$, and $\Theta(e, x)$ is undefined otherwise. Then the function q obtained by the Parameter Theorem is as required. □

Exercise 1.1.2. Suppose $(\hat{W}_e)_{e\in\mathbb{N}}$ is a further universal uniformly c.e. sequence. Assume that $(\hat{W}_e)_{e\in\mathbb{N}}$ also has the padding property, one may effectively obtain $e' > m$ s.t. $\hat{W}'_e = \hat{W}_e$. Show that there is a computable permutation π of $\mathbb{N}$ s.t. $\hat{W}_e = W_{\pi(e)}$ for each e

Proof. there is q s.t. $W_{q(e)} = \hat{W}_e$, there is p s.t. $\hat{W}_{p(e)} = W_e$ Find

1. $q'(e) > e$
2. q' is 1-1
3. $W_{q'(e)} = \hat{W}_e$

padding $\pi(m, e) > m$. $W_{\pi(e,q(e))} = W_{q(e)}$
similar to cantor-bernstein
or back-and-forth □

1.1.3 Indices and approximations

Definition 1.9. We write

$$\Phi_{e,s}(x) = y$$

if $e, x, y < s$ and the computation of program P_e on input x yields y in at most s computation steps. Let $W_{e,s} = \text{dom}(\Phi_{e,s})$

At stage s we have complete information about $\Phi_{e,s}$ and $W_{e,s}$. To state this more formally, we need to specify an effective listing $D_0, D_1, ...$ of the finite subsets of $\mathbb{N}$

Definition 1.10. Let $D_0 = \emptyset$. If $n > 0$ has the form $2^{x_1} + \cdots + 2^{x_r}$, where $x_1 < \cdots < x_r$, then let $D_n = \{x_1, ..., x_r\}$. We say that n is a **strong index** for D_n. For instance, $D_5 = \{0, 2\}$

There is a computable function f s.t. $f(e, s)$ is a strong index for $W_{e,s}$. We think of a computable enumeration of a set A as an effective listing $a_0, a_1, ...$ of the elements of A in some order. To include the case that A is finite, we formalize this via an effective union of finite sets (A_s). We view A_s as the set of elements enumerated by the end of stage s. At certain stages we may decide not to enumerate any element

Definition 1.11. A **computable enumeration** of a set A is an effective sequence $(A_s)_{s\in\mathbb{N}}$ of (strong indices for) finite sets s.t. $A_s \subseteq A_{s+1}$ for each s and $A = \bigcup_s A_s$

Each c.e. set W_e has the computable enumeration $(W_{e,s})_{s\in\mathbb{N}}$. Conversely, if A has a computable enumeration then A is c.e., for $A = \text{dom}(\Phi)$ where Φ is the partial computable function given by the following procedure: at stage s we let $\Phi(x) = 0$ if $x \in A_s$. An **index for a c.e. set** A is a number e s.t. $A = W_e$

Proposition 1.12. *For each computable function* Φ, $\text{ran}(\Phi)$ *is c.e.*

Proof. Suppose $\Phi = \Phi_e$ and we enumerate $A = \text{ran}(\Phi)$. Since we have complete information about Φ_s at stage s, we can compute from s a strong index for $A_s = \text{ran}(\Phi_s)$. Then $(A_s)_{s\in\mathbb{N}}$ is the required computable enumeration of A □

Exercise 1.1.3.

Exercise 1.1.4.

Proof. find a subsequence with increasing required steps □

1.2 Relative computational complexity of sets

Definition 1.13. X is **many-one reducible** to Y, denoted $X \leq_m Y$, if there is a computable function f s.t. $n \in X \leftrightarrow f(n) \in Y$ for all n

If X is computable, $Y \neq \emptyset$, and $Y \neq \mathbb{N}$, then $X \leq_m Y$: choose $y_0 \in Y$ and $y_1 \notin Y$. Let $f(n) = y_0$ if $n \in X$ and $f(n) = y_1$ otherwise. Then $X \leq_m Y$ via f.

For each set Y the class $\{X : X \leq_m Y\}$ is countable. In particular, there is no greatest many-one degree

Proposition 1.14. *A is c.e. $\Leftrightarrow A \leq_m \emptyset'$*

An index for the many-one reduction as a computable function can be obtained effectively from a c.e. index for A, and conversely

Proof. $\Rightarrow$: We claim that there is a computable function g s.t.

$$W_{g(e,n)} = \begin{cases} \{e\} & n \in A \\ \emptyset \end{cases}$$

For let $\Theta(e, n, x)$ converge if $x = e$ and $n \in A$. Then there is a computable function g s.t. $\forall e, n, x[\Theta(e, n, x) \simeq \Phi_{g(e,n)}(x)]$. By Theorem 1.4, there is a computable function h s.t. $W_{g(h(n),n)} = W_{h(n)}$ for each n. Then

$$n \in A \Rightarrow W_{h(n)} = \{h(n)\} \Rightarrow h(n) \in \emptyset'$$
$$n \notin A \Rightarrow W_{h(n)} = \emptyset \Rightarrow h(n) \notin \emptyset$$

$\Leftarrow$: If $A \leq_m \emptyset'$ via h, then $A = \text{dom}(\Psi)$ where $\Psi(x) \simeq J(h(x))$ (recall that $J(e) \simeq \Phi_e(e)$) □

Definition 1.15. A c.e. set C is called **r-complete** if $A \leq_r C$ for each c.e. set A

we say that $X \leq_1 Y$ if $X \leq_m Y$ via a one-one function f

Exercise 1.2.1. The set $\emptyset'$ is 1-complete

Exercise 1.2.2. $X \equiv_1 Y \Leftrightarrow$ there is a computable permutation p of $\mathbb{N}$ s.t. $Y = p(X)$

Our intuitive understanding of "Y is at least as complex as X" is: X can be computed with the help of Y. To formalize more general ways of relative computation, we extend the machine model by a one-way infinite "oracle" tape which holds all the answers to oracle questions of the form "is k in Y".

We write $\Phi_e^Y(n) \downarrow$ if the program P_e halts when the oracle is Y and the input is n; we write $\Phi_e(Y; n)$ or $\Phi_e^Y(n)$ for this output. The Φ_e are called **Turing functionals**. And we let $W_e^Y = \text{dom}(\Phi_e^Y)$. W_e is a **c.e. operator**

Definition 1.16. A total function $f : \mathbb{N} \to \mathbb{N}$ is called **Turing reducible** to Y, or **computable relative to** Y, or **computable in** Y, if there is an e s.t. $f = \Phi_e^Y$. We denote this by $f \leq_T Y$. We also say that Y **computes** f. For a set A, we write $A \leq_T Y$ if the characteristic function of A is Turing reducible to Y

For a total functions g, $f \leq_T g$ means that f is Turing reducible to the **graph** of g, that is, to $\{\langle n, g(n)\rangle : n \in \mathbb{N}\}$

Exercise 1.2.3. $\leq_m$ and $\leq_T$ are preorderings of the subsets of $\mathbb{N}$

A set A is **c.e. relative to** Y if $A = W_e^Y$ for some e. We view $\Phi_e$as $\Phi_e^\emptyset$

Proposition 1.17. *A is computable in $Y \Leftrightarrow$ A and $\mathbb{N} - A$ are c.e. in Y*

Definition 1.18. We write $J^Y(e) \simeq \Phi_e^Y(e)$. The set $Y' = \mathrm{dom}(J^Y)$ is the **Turing jump** of Y. The map $Y \to Y'$ is called the **jump operator**

Theorem 1.19. *For each computable binary function g there is a computable function f s.t. $\Phi^Y_{g(f(n),n)} = \Phi^Y_{f(n)}$*

Proposition 1.20. *A is c.e. in Y iff $A \leq_m Y'$*

Proposition 1.21. *For each Y, the set Y' is c.e. relative to Y. Also, $Y \leq_m Y'$ and $Y' \not\leq_T Y$, and therefore $Y <_T Y'$*

Proof. Y' is c.e. in Y since $Y' = \mathrm{dom}(J^Y)$. As Y is c.e. relative to itself, by Proposition 1.20 $Y \leq_m Y'$. If $Y' \leq_T T$ then there is e s.t. $\mathbb{N} - Y' = W_e^Y$. Then $e \in Y' \leftrightarrow e \in W_e^Y \leftrightarrow e \notin Y'$ □

Definition 1.22. We define $Y^{(n)}$ inductively by $Y^{(0)} = Y$ and $Y^{(n+1)} = (Y^{(n)})'$.

Proposition 1.23. *For each Y, Z we have $Y \leq_T Z \Leftrightarrow Y' \leq_m Z'$*

Proof. $\Rightarrow$: Y' is c.e. in Y and hence c.e. in Z. Therefore $Y' \leq_m Z'$ by Proposition 1.20

$\Leftarrow$:By Proposition 1.17, Y and $\mathbb{N}-Y$ are c.e. in Y. So $Y, \mathbb{N}-Y \leq_m Y' \leq_m Z'$, whence both Y and $\mathbb{N} - Y$ are c.e. in Z. Hence $Y \leq_T Z$ □

Fact 1.24. *From a Turing functional $\Phi = \Phi_e$ one can effectively obtain a computable strictly increasing function p, called a **reduction function** for Φ, s.t. $\forall Y \forall x \Phi^Y(x) \simeq J^Y(p(x))$*

Proof. Let $\Theta^Y(x, y) \simeq \Phi^Y(x)$, by the oracle version of the Parameter Theorem there is a computable strictly increasing function p s.t. $\forall Y \forall y \Phi^Y_{p(x)}(y) \simeq \Theta^Y(x, y) \simeq \Phi^Y(x)$. Letting $y = p(x)$ we obtain $J^Y(p(x)) = \Phi^Y_{p(x)}(p(x)) = \Phi^Y(x)$ □

We identify $\sigma \in \{0,1\}^*$ with $n \in \mathbb{N}$ s.t. the binary representation of $n+1$ is 1σ. For instance, 000 is 7

Definition 1.25. We write $\Phi^Y_{e,s}(x) = y$ if $e, x, y < s$ and the computation of program P_e on input x yields y in at most s computation steps, with all oracle queries less than s.

The **use principle** is the fact that a terminating oracle computation only asks finitely many oracle questions. Hence $(\Phi^Y_{e,s})_{s\in\mathbb{N}}$ approximates Φ^Y_e

Definition 1.26. The **use** of $\Phi^Y_e(x)$, denoted use $\Phi^Y_e(x)$, is defined if $\Phi^Y_e(x)\downarrow$, where its value is 1+the largest oracle query asked during this computation.

We write

$$\Phi^\sigma_e(x) = y$$

if $\Phi^F_e(x)$ yields the output y, where $F = \{i < |\sigma| : \sigma(i) = 1\}$, and the use is at most $|\sigma|$. Then for each set Y

$$\Phi^Y_e(x) = y \leftrightarrow \Phi^{Y\restriction u}_e(x) = y$$

where $u = \text{use}\, \Phi^Y_e(x)$

If a Turing functional Φ_e is given then $\lambda Y x.\, \text{use}\, \Phi^Y_e$ is also a Turing functional (namely there is i s.t. $\Phi^Y_i(x) \simeq \text{use}\, \Phi^Y_e(x)$ for each Y and x). Thus if Y is an oracle s.t. $f = \Phi^Y_e$ is total, the function use Φ^Y_e is computable in Y.

Definition 1.27. A function $f : \mathbb{N} \to \mathbb{N}$ is **weak truth-table** reducible to Y, denoted $f \leq_{wtt} Y$, if there is a Turing functional Φ_e and a computable bound r s.t. $f = \Phi^Y_e$ and $\forall n\, \text{use}\, \Phi^Y_e(n) \leq r(n)$.

Definition 1.28. A function $f : \mathbb{N} \to \mathbb{N}$ is **truth-table** reducible to Y, denoted $f \leq_{tt} Y$, if there is a Turing functional Φ_e and a computable bound r s.t. $f = \Phi^Y_e$ $f = \Phi^Y_e$ and Φ^Z_e is total for each oracle Z (we call such a Φ_e a truth table reduction).

Proposition 1.29. 1. *$X \leq_{tt} Y \Leftrightarrow$ there is a computable function g s.t. for each n,*

$$n \in X \Leftrightarrow \bigvee_{\sigma\in D_{g(n)}} [\sigma \preceq Y]$$

2. *$X \leq_{tt} Y$ implies $X \leq_{wtt} Y$*

Proof. 1. $\Rightarrow$: Suppose $X \leq_{tt} Y$ via a truth-table reduction $\Phi = \Phi_e$. The tree $T_n = \{\sigma : \Phi^{\sigma}_{|\sigma|}(n) \uparrow\}$ is finite for each n, for otherwise it has an infinite path Z by Kőnig's Lemma and $\Phi^Z(n) \uparrow$. Given n one can compute a strong index $\tilde{g}(n)$ for the finite set of minimal string σ s.t. $\Phi^{\sigma}_{|\sigma|}(n) \downarrow$. Hence one can compute a strong index $g(n)$ for the set of all minimal strings σ s.t. $\Phi^{\sigma}_{|\sigma|}(n) \downarrow = 1$. Then $D_{g(n)}$ is as required

$\Leftarrow$:Consider the following procedure relative to an oracle Z: on input n, first compute $D_{g(n)}$. If $\sigma \preceq Z$ for some $\sigma \in D_{g(n)}$, output 1, otherwise output 0

2. For each Z use $\Phi^Z_e(n)$ is bounded by $\max\{|\sigma| : \sigma \in D_{g(n)}\}$

□

Proposition 1.30. *$f \leq_{tt} A \Leftrightarrow$ there is a Turing functional Φ and a computable function t s.t. $f = \Phi^A$ and the number of steps needed to compute $\Phi^A(n)$ is bounded by $t(n)$*

Proof. $\Leftarrow$: Let $\tilde{\Phi}$ be the Turing functional s.t. $\tilde{\Phi}^Z(n) = \Phi^Z_{t(n)}(n)$ if the latter is defined and $\tilde{\Phi}^Z(n) = 0$ otherwise □

$$\leq_m \Rightarrow \leq_{tt} \Rightarrow \leq_{wtt} \Rightarrow \leq_T$$

Definition 1.31. The **effective disjoint union** of sets A and B is

$$A \oplus B = \{2n : n \in A\} \cup \{2n+1 : n \in B\}$$

Exercise 1.2.4. 1. $A, B \leq_m A \oplus B$

2. Let $\leq_r$ be one of the reducibilities above. Then for any set X

$$A, B \leq_r X \Leftrightarrow A \oplus B \leq_r X$$

Exercise 1.2.5. Let $C = A_0 \cup A_1$ where A_0, A_1 are c.e. and $A_0 \cap A_1 = \emptyset$. Then $C \equiv_{wtt} A_0 \oplus A_1$

Proof. Since A_0, A_1 are c.e., for each $n \in C$, we can determine if $n \in A_0 \cup A_1$ in finite steps. □

Exercise 1.2.6. Show that $\exists Z f \leq_{tt} Z \Leftrightarrow$ there is a computable h s.t. $\forall n f(n) \leq h(n)$

Proof. Trivial □

1.3 Descriptive complexity of sets

In a computable enumeration $(Z_s)_{s\in\mathbb{N}}$ of a set Z, for each x, $Z_s(x)$ can change at most once, namely from 0 to 1. Which sets Z are described if we allow an arbitrary finite number of changes

Definition 1.32. We say that a set Z is Δ^0_2 if there is a computable sequence of strong indices $(Z_s)_{s\in\mathbb{N}}$ s.t. $Z_s \subseteq [0,s)$ and $Z(x) = \lim_s Z_s(x)$. We say that $(Z_s)_{s\in\mathbb{N}}$ is a **computable approximation** of Z

Given an expression E that is approximated during stages s,

$$E[s]$$

denotes its value at the **end of** stage s. For instance, given a Δ^0_2 set Z with a computable approximation, instead of $\Phi^{Z_s}_{e,s}(x)$ we simply write $\Phi^Z_e(x)[s]$. We say that the expression E is **stable at** s if $E[t] = E[s]$for all $t \geq s$

Lemma 1.33 (Shoenfield Limit Lemma)**.** *Z is $\Delta^0_2 \Leftrightarrow Z \leq_T \emptyset'$. The equivalence is uniform*

Proof. $\Leftarrow$: Fix a Turing functional Φ_e s.t. $Z = \Phi^{\emptyset'}_e$. Since $\emptyset'$ is c.e., let $\langle \emptyset'_s \rangle_{s\in\mathbb{N}}$ be a computable enumeration of $\emptyset'$. Define

$$Z_s(x) = \begin{cases} 1 & \Phi^{\emptyset'_s\downarrow}_{e,s} = 1 \\ 0 & \text{otherwise} \end{cases}$$

Let $u = \mathsf{use}\,\Phi^{\emptyset'}_e(x)$, there is s s.t. $\emptyset'_s \upharpoonright u = \emptyset' \upharpoonright u$, thus there is $s \geq t$ s.t. $Z_s(x) = Z(x)$

Then the required approximation is given by $Z_s = \{x < s : \Phi^{\emptyset'}_e(x)[s] = 1\}$

$\Rightarrow$: We define a c.e. set C s.t. $Z \leq_T C$. This is sufficient because $C \leq_m \emptyset'$ by Proposition 1.14. The set C is called the **change set** because it records the changes of the computable approximation. If $Z_s(x) \neq Z_{s+1}(x)$ we put $\langle x, i\rangle$ into C_{s+1}, where i is the least s.t. $\langle x, i\rangle \notin C_s$. To show that $Z \leq_T C$, on input x, using the oracle C compute the least i s.t. $\langle x, i\rangle \notin C$. If i is even then $Z(y) = Z_0(y)$, otherwise $Z(y) = 1 - Z_0(y)$

We have obtained C and the Turing reduction of Z to C effectively from the computable approximation of Z. Proposition 1.14 is also effective $\square$

If $Z = \Phi^{\emptyset'}_e$ we say that e is a Δ^0_2**-index** for Z. A number e is a Δ^0_2 index only if $\Phi^{\emptyset'}_e$ is total

Definition 1.34. 1. We say that a set Z is **ω-c.e.** if there is a computable approximation $(Z_s)_{s\in\mathbb{N}}$ of Z and a computable function b s.t.

$$b(x) \geq \#\{s > x : Z_x(x) \neq Z_{s-1}(x)\} \text{ for each } x$$

2. If $Z_s(s-1) = 0$ for each $s > 0$ and $b(x)$ can be chosen constant of value n, then we say Z is n-c.e.

Thus Z is 1-c.e. iff Z is c.e., and Z is 2-c.e. iff $Z = A - B$ for c.e. sets A, B

Proposition 1.35. *Z is ω-c.e. $\Leftrightarrow Z \leq_{wtt} \emptyset' \Leftrightarrow Z \leq_{tt} \emptyset'$*
The equivalence are effective

Proof. First suppose that $Z_{wtt}\emptyset'$ via a functional Φ_e with computable use bound f. To show that Z is ω-c.e., let $Z_s = \{x < s : \Phi_e^{\emptyset'}(x)[s] = 1\}$. Since $\Phi_e^{\emptyset'}(x)[s]$ only becomes undefined when a number less than $f(x)$ enters $\emptyset'$, the number of changes of $Z_s(x)$ is bounded by $2f(x)$

Now suppose that Z is ω-c.e. via the computable approximation $(Z_s)_{s\in\mathbb{N}}$ and the function b bounding the number of changes. We show that $Z \leq_{tt} \emptyset'$. Let C be the change set. Since $b(x) \geq \min\{i : \langle x, i\rangle \notin C\}$, the reduction of Z to C given there can be carried out by computing a truth-table from the input x and evaluating it on the answers to oracle questions to C □

Corollary 1.36. *A is $\Delta_2^0 \Leftrightarrow A$ is both Σ_2^0 and Π_2^0*

Proof.

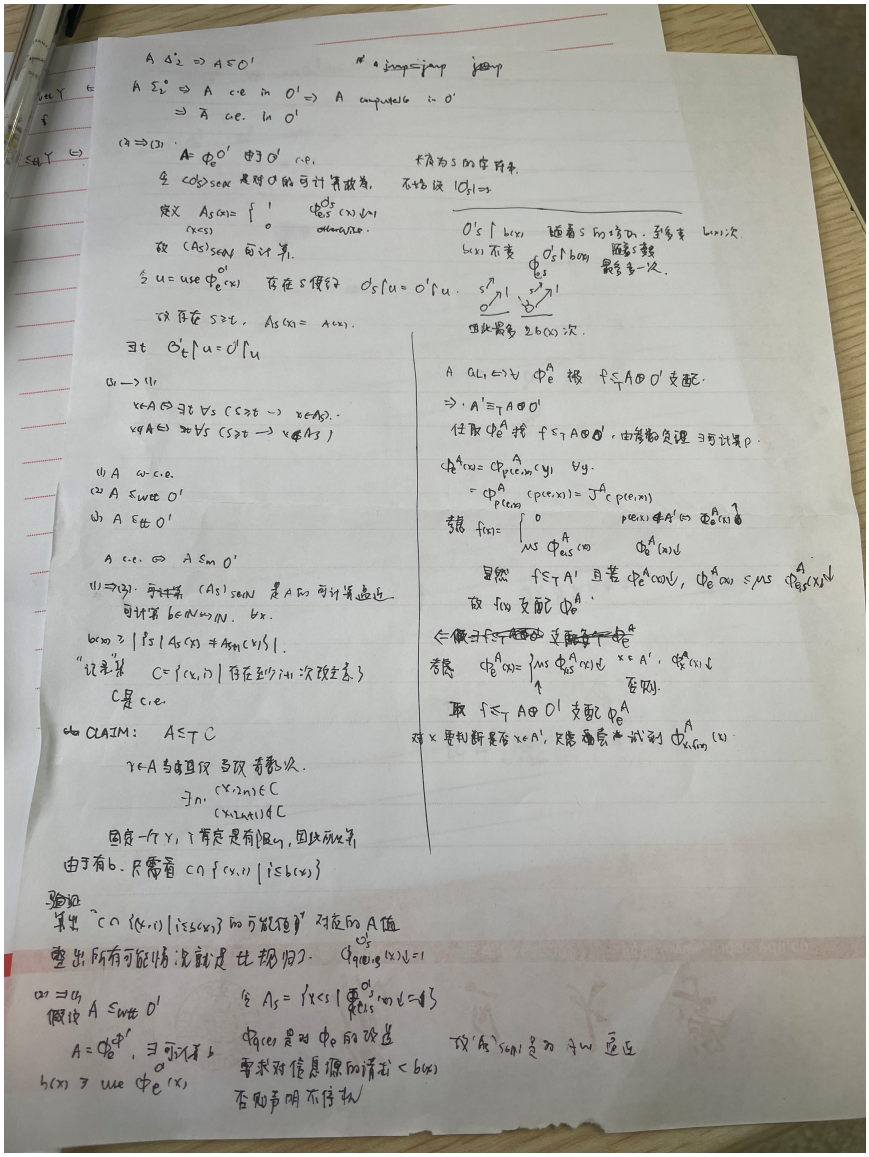
$$\begin{aligned} A \in \Delta_2^0 &\Leftrightarrow A \leq_T \emptyset' \\ &\Leftrightarrow A \text{ and } \mathbb{N} - A \text{ are c.e. in } \emptyset' \\ &\Leftrightarrow A \in \Sigma_2^0 \cap \Pi_2^0 \end{aligned}$$

last iff from Theorem 1.39 □

Definition 1.37. Let $A \subseteq \mathbb{N}$ and $n \geq 1$

1. A is Σ_n^0if $x \in A \leftrightarrow \exists y_1 \forall y_2 \ldots Q y_n R(x, y_1, \ldots, y_n)$, where R is a symbol for a computable relation
2. A is Π_n^0 if $\mathbb{N} - A$ is Σ_n^0
3. A is **arithmetical** if A is Σ_n^0 for some n

Fact 1.38. *A is $\Sigma_1^0 \Leftrightarrow A$ is c.e.. The equivalence is uniform*



Proof. $\Rightarrow$: Suppose $x \in A \leftrightarrow \exists y R(x,y)$ for computable R. Let Φ be the partial computable function given by the Turing program that on input x looks for a witness y s.t. $R(x,y)$, and halts when such a witness is found. Then $A = \text{dom}(\Phi)$

$\Leftarrow$: Suppose $A = \text{dom}(\Phi)$ for a partial computable function Φ. Let R be the computable relation given by $R(x,s) \leftrightarrow \Phi(x)[s]\downarrow$. Then $x \in A \leftrightarrow \exists s R(x,s)$, so A is Σ^0_1 □

A Σ^0_n set C is Σ^0_n**-complete** if $A \leq_m C$ for each Σ^0_n set A

Theorem 1.39. *Let $n \geq 1$*

1. *A is $\Sigma^0_n \Leftrightarrow$ A is c.e. relative to $\emptyset^{(n-1)}$*
2. *$\emptyset^{(n)}$ is Σ^0_n-complete*

Proof. Induction on n. 1.38 and 1.14. Now let $n > 1$

1. First suppose A is Σ^0_n for some computable relation R. Then the set

$$B = \{\langle x, y_1\rangle : \forall y_2 \ldots Q y_n R(x, y_1, \ldots, y_n)\}$$

   is Π^0_{n-1} and A is c.e. relative to B. By (2) for $n-1$ we have $B \leq_m \mathbb{N} - \emptyset^{(n-1)}$. So A is c.e. relative to $\emptyset^{(n-1)}$

   Now suppose A is c.e. relative to $\emptyset^{(n-1)}$. Then there is a Turing functional Φ s.t. $A = \text{dom}(\Phi^{\emptyset^{(n-1)}})$. By the use principle

$$x \in A \Leftrightarrow \exists \eta, s^{\ulcorner}\Phi^{\eta}_s(x)\downarrow \wedge \forall i < |\eta|\ \eta(i) = 1 \leftrightarrow i \in \emptyset^{(n-1)\urcorner}$$

   The innermost part can be put into Σ^0_n-form, so A is Σ^0_n.

2. Follows by Proposition 1.20 where $Y = \emptyset^{(n-1)}$

□

Proposition 1.40. *Let $n \geq 1$. Then A is $\Delta^0_n \Leftrightarrow A \leq_T \emptyset^{(n-1)}$*

Proof. By Theorem 1.39, A is $\Delta^0_n \Leftrightarrow A$ and $\mathbb{N} - A$ are c.e. in $\emptyset^{(n-1)}$. By Proposition 1.17, this condition is equivalent to $A \leq_T \emptyset^{(n-1)}$ □

Proposition 1.41. *Z is $\Sigma^0_2 \Leftrightarrow$ there is a computable sequence of strong indices $(Z_s)_{s\in\mathbb{N}}$ s.t. $Z_s \subseteq [0,s)$ and $x \in Z \leftrightarrow \exists s \forall t \geq s\ Z_t(x) = 1$. The equivalence is uniform*

Proof. $\Rightarrow$: By Theorem 1.39, there is a Turing functional Φ s.t. $Z = \text{dom}(\Phi^{\emptyset'})$. Now let $Z_s = \{x < s : \Phi^{\emptyset'}(x)[s] \downarrow\}$

$\Leftarrow$: □

Definition 1.42. The **index set** of a class S of c.e. sets is the set $\{i : W_i \in S\}$

Exercise 1.3.1. $\emptyset'$ is not an index set

Proof. We can find g s.t. $W_{g(n)} = \{n\}$. Thus there is e s.t. $W_{g(e)} = W_e = \{e\}$. By padding lemma, we have $W_i = W_e$ but $i \notin \emptyset'$ □

Exercise 1.3.2. 1. $\{e : W_e \neq \emptyset\}$ is Σ_1^0-complete

2. The set $\{e : W_e$ finite $\}$ is Σ_2^0-complete.
3. The set Tot $= \{e : \text{dom}(\Phi_e) = \mathbb{N}\} = \{e : W_e = \mathbb{N}\}$ is Π_2^0-complete
4. Both $\{e : W_e$ cofinite$\}$ and Cop $= \{e : W_e$ computable$\}$ are Σ_3^0-complete

Proof. 1. Given e, $\Phi_{f(n)}$ doesn't converge in $\mathbb{N} - \{e\}$. And converges on e if $\Phi_e(e) \downarrow$. Thus $\emptyset' \leq_m \{e : W_e \neq \emptyset\}$

2. Let Fin $= \{e : W_e$ finite$\}$. Then $e \in$ Fin $\Leftrightarrow \exists s \forall t \geq s (W_{e,s} = W_{e,t})$
3. $e \in$ Tot $\Leftrightarrow \forall n \exists s \Phi_{e,s}(n) \downarrow$

   For any A in Π_2^0, $x \in A \Leftrightarrow \forall y \exists z R(x, y, z)$

   We could define

$$\Phi_{q(x)}(u) = \begin{cases} 0 & \forall y \leq u \exists z R(x, y, z) \\ \uparrow & \end{cases}$$

   Then $x \in A \Leftrightarrow W_{q(x)} = \omega \Leftrightarrow q(x) \in$ Tot

   $x \in \overline{A} \Leftrightarrow W_{q(x)}$ is finite
4. $e \in$ Cof $\Leftrightarrow \exists z \forall n \geq z \exists s \Phi_{e,s}(n) \downarrow$, thus Cof $\in \Sigma_3^0$

   check fudan's textbook p120

□

Exercise 1.3.3. The set $\{e : \text{dom}\, \Phi_e^{\emptyset'} = \mathbb{N}\}$ is Π_0^3-complete

Exercise 1.3.4. Let $\mathcal{S}$ be a class of c.e. sets [ω-c.e. sets] containing all the finite sets. Suppose the index set of S is Σ_3^0. Then $\mathcal{S}$ is uniformly c.e. [uniformly c.e.]

Proof. Let $I = \{e \mid \exists x \forall y \exists z\ R(e, x, y, .z)\}$, $\mathcal{S} = \{W_e\}_{e \in I}$
$\mathcal{S}$ is Σ^0_3, $\mathcal{S} \leq_m$ Cof □

Exercise 1.3.5. Let $X \subseteq \mathbb{N}$

1. Each relation $R \leq_T X$ is first-order definable in the structure $(\mathbb{N}, +, \cdot, X)$
2. The index set $\{e : W_e \leq_T X\}$ is $\Sigma^0_3(X)$

Proof. □

Exercise 1.3.6. A is $\Delta^0_n \Leftrightarrow \forall x A(x) = \lim_{k_1} ... \lim_{k_{n-1}} g(x, k_1, ..., k_{n-1})$ for some computable $\{0, 1\}$-valued function g

1.4 Absolute computational complexity of sets

1.4.1 Sets that are low_n

Definition 1.43. Let $f, g : \mathbb{N} \to \mathbb{R}$. f **dominates** g if $f(n) \geq g(n)$ for almost every n

1. A is **low** if $A' \leq_T \emptyset'$
2. A is **computably dominated** if each function $g \leq_T A$ is dominated by a computable function
3. A is **high** if $\emptyset'' \leq_T A'$

Definition 1.44. Let $n \geq 0$. We say that C is low_n if $C^{(n)} \equiv_T \emptyset^{(n)}$

If $C \in low_1$ we simply say that C is **low**. Each low set is Δ^0_2.

Each class low_n is closed downward under Turing reducibility, and contained in Δ^0_{n+1}

$$\text{computable} \subset low_1 \subset low_2 \subset \cdots \subset \{Z : Z \not\geq_T \emptyset'\}$$

Definition 1.45. C is **superlow** if $C' \equiv_{tt} \emptyset'$

It suffices to require that $C' \leq_{tt} \emptyset'$, because $\emptyset' \leq_m C'$ for any C by **??**. By **??** it is equivalent to ask that C' be ω-c.e.

Definition 1.46. A is **generalized** low_1, or in GL_1 for short, if $A' \equiv_T A \oplus \emptyset'$

Exercise 1.4.1. If C is superlow, there is a computable function h s.t. $Y \leq_T C$ implies $Y \leq_{tt} \emptyset'$ with use function bounded by h for each Y

Proof. □

Exercise 1.4.2. If B is low_2 then the index set $\{e : W_e \leq_T B\}$ is Σ^0_3

Exercise 1.4.3. B is $low_2 \Leftrightarrow \mathrm{Tot}^B = \{e : \Phi^B_e \text{ total}\}$ is Σ^0_3

1.4.2 Computably dominated sets

Definition 1.47. A is called **computably dominated** if each function $g \leq_T A$ is dominated by a computable function

$E \subseteq \mathbb{N}$ is **hyperimmune** if E is infinite and p_E is not dominated by a computable function, where p_E is the listing of E in order of magnitude

Proposition 1.48. *A is not computably dominated $\Leftrightarrow$ there is a hyperimmune set $E \equiv_T A$*

Proof. $\Leftarrow$: immediate since $p_E \leq_T E$

$\Rightarrow$: Suppose $g \leq_T A$ is not dominated by a computable function. Let $E = \text{ran}(h)$, where the function h is defined as follows: $h(0) = 0$, and for each $n \in \mathbb{N}$, $h(2n+1) = h(2n)+g(n)+1$ and $h(2n+2) = h(2n+1)+p_A(n)+1$. □

Proposition 1.49. *A is computably dominated $\Leftrightarrow$ for each function f*

$$f \leq_T A \to f \leq_{tt} A$$

Proof. $\Rightarrow$: Suppose $f = \Phi^A$. Let $g(x) = \mu s \Phi^A_s(x) \downarrow$. Then $g \leq_T A$, so there is a computable function t s.t. $t(x) \geq g(x)$ for each x. Thus t bounds the running time of Φ^A, whence $f \leq_{tt} A$ by Proposition 1.30 □

Proposition 1.50. *If A is Δ^0_2 and incomputable, then A is not computably dominated*

Proof. Let $(A_s)_{s\in\mathbb{N}}$ be a computable approximation of A. Then the following function g is total

$$g(s) \simeq \mu t \geq s. A_t \upharpoonright s = A \upharpoonright s$$

Note that $g \leq_T A$. Assume that there is a computable function f s.t. $g(s) \leq f(s)$ for each s. Then A is computable: for each n and each $s > n$ we have $A_t(n) = A(n)$ for some $t \in [s, f(s))$, namely $t = g(s)$. On the other hand, if s is sufficiently large then $A_u(n) = A_s(n)$ for all $u \geq s$. Thus to compute A, on input n determine the least $s > n$ s.t. $A_u(n) = A_s(n)$ for all $u \in [s, f(s))$. Then $A_s(n) = A(n)$, so the output $A_s(n)$ is correct □

1.4.3 Sets that are $high_n$

Definition 1.51. Let $n \geq 0$. A set C is $high_n$ if $\emptyset^{(n+1)} \leq_T C^{(n)}$

All the classes $high_n$ are closed upward under Turing reducibility, so the complementary classes $\mathsf{non}-high_n = 2^{\mathbb{N}} - high_n$ are closed downward. Therefore

$$comp. \subset low_1 \subset low_2 \subset \cdots \subset \mathsf{non}-high_2 \subset \mathsf{non}-high_1 \subset \{Z : Z \not\geq_T \emptyset'\}$$

It is easy to define a function $f \leq_T \emptyset'$ that dominates all computable functions: the set $\{\langle e, x\rangle : \Phi_e(x)\downarrow\}$ is c.e., and hence many-one reducible to $\emptyset'$ via a computable function h. Let $f(x) = \max\{\Phi_e(x) : e \leq x \wedge h(\langle e, x\rangle) \in \emptyset'\}$. If Φ_e is total then $f(x) \geq \Phi_e(x)$ for all $x \geq e$. This property of $\emptyset'$ in fact characterizes the high sets.

Theorem 1.52. *C is high $\Leftrightarrow$ some function $f \leq_T C$ dominates all computable functions*

Proof. $\Rightarrow$: We define a function $f \leq_T C$ that dominates each total Φ_e, extending the argument for the case $C = \emptyset'$. Note that $\mathrm{Tot} = \{e : \Phi_e \text{ total}\}$ is Π^0_2, and hence $\overline{\mathrm{Tot}} \leq_m \emptyset'' \leq_T C'$. By the Limit Lemma 1.33, Tot is $\Delta^0_2(C)$, there is a binary function $p \leq_T C$ s.t. for each e, $\lim_s p(e, s)$ exists and $\lim_s p(e, s) = 1$ iff Φ_e is total $\square$