

# Groups I

## Introduction to Model Theory (Third hour)

October 9, 2021

# Section 1

## Groups

# Groups

## Definition

A *group* is a pair  $(G, *)$ , where  $G$  is a set and  $*$  is a binary operation  $G \times G \rightarrow G$  satisfying the following axioms:

- 1 The associative law:  $x * (y * z) = (x * y) * z$  for  $x, y, z \in G$ .
- 2 The identity law: there is an element  $e \in G$  such that  $x * e = e * x = x$  for all  $x \in G$ .
- 3 The inverse law: for any  $x \in G$  there is  $x' \in G$  such that  $x * x' = x' * x = e$ , where  $e$  is from the identity law.

We say that  $G$  is *abelian* if it also satisfies

- 4 The commutative law:  $x * y = y * x$  for any  $x, y \in G$ .

## Example

$(\mathbb{Z}, +)$  is an abelian group, with  $e = 0$  and  $x' = -x$ .

# Uniqueness of the identity element

## Definition

An *identity element* is an element  $e$  such that

$$\forall x \in G : x * e = e * x = x.$$

The identity law says that there is at least one identity element.

## Theorem

*The identity element is unique (there is only one identity element).*

## Proof.

If  $e_1, e_2$  are identity elements, then  $e_1 = e_1 * e_2 = e_2$ . □

So we can talk about “the” identity element.

# Uniqueness of inverses

## Definition

If  $x \in G$ , an *inverse* to  $x$  is an element  $y$  such that  $x * y = e = y * x$ .

The inverse law says that every element has an inverse.

## Theorem

*Inverses are unique:  $x$  has at most one inverse.*

## Proof.

Suppose  $y_1, y_2$  are inverses of  $x$ . Then

$$y_1 = y_1 * e = y_1 * (x * y_2) = (y_1 * x) * y_2 = e * y_2 = y_2.$$



So we can talk about “the” inverse of  $x$ .

# The usual notation

- If  $G$  is a group, we usually write the group operation as  $x \cdot y$ , the identity element as  $1$ , and the inverse of  $x$  as  $x^{-1}$ .
- If  $G$  is an abelian group, we often write the group operation as  $x + y$ , the identity element as  $0$ , and the inverse of  $x$  as  $-x$ . We let  $x - y$  denote  $x + (-y)$  or  $(-y) + x$ .

# Basic facts

Work in a group  $(G, \cdot)$ .

- If  $xa = ya$ , then  $x = y$ .
- If  $ax = ay$ , then  $x = y$ .
- If  $ab = 1$ , then  $a = b^{-1}$  and  $b = a^{-1}$ .
- $(a^{-1})^{-1} = a$ .
- $1^{-1} = 1$ .
- $(xy)^{-1} = y^{-1}x^{-1}$ .

All of these are easy consequences of the group axioms.

## Section 2

### Examples of groups



# Additive and multiplicative groups

- $(\mathbb{R}, +)$  is a group.
- $(\mathbb{R} \setminus \{0\}, \cdot)$  is a group.
- Similarly, these are groups:

$$(\mathbb{Z}, +), (\mathbb{Q}, +), (\mathbb{C}, +), (\mathbb{Q} \setminus \{0\}, \cdot), (\mathbb{C} \setminus \{0\}, \cdot).$$

All these examples are abelian (commutative).

# The general linear group

Let  $GL_n(\mathbb{R})$  be the set of  $n \times n$  real matrices  $M$  with  $\det(M) \neq 0$ . Then  $(GL_n(\mathbb{R}), \cdot)$  is a group, where  $M \cdot M'$  is matrix multiplication.

$$\begin{pmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{pmatrix} \begin{pmatrix} M'_{11} & M'_{12} \\ M'_{21} & M'_{22} \end{pmatrix} = \begin{pmatrix} M_{11}M'_{11} + M_{12}M'_{21} & M_{11}M'_{12} + M_{12}M'_{22} \\ M_{21}M'_{11} + M_{22}M'_{21} & M_{21}M'_{12} + M_{22}M'_{22} \end{pmatrix}$$

$GL_n(\mathbb{R})$  is called the *general linear group*. It is non-abelian for  $n > 1$ .

# Permutation groups

Let  $A$  be a set. Let  $G$  be a set of bijections  $A \rightarrow A$ . Suppose  $G$  satisfies the following:

- If  $f, g \in G$ , then  $f \circ g \in G$ .
- $\text{id}_A \in G$ .
- If  $f \in G$ , then  $f^{-1} \in G$ .

Then  $(G, \circ)$  is a group, usually non-abelian.

# The symmetric group

- Let  $A = \{1, 2, \dots, n\}$ .
- Let  $G$  be the set of *all* bijections  $A \rightarrow A$ .
- Then  $(G, \circ)$  is called the  *$n$ th symmetric group*.
- It has size  $n! = n(n-1)(n-2) \cdots 3 \cdot 2 \cdot 1$ .
- The symmetric group is non-abelian for  $n > 2$ .

# The group of translations

For  $a, b \in \mathbb{R}$ , define a function

$$\begin{aligned} T_{a,b} : \mathbb{R}^2 &\rightarrow \mathbb{R}^2 \\ (x, y) &\mapsto (x + a, y + b). \end{aligned}$$

Maps of the form  $T_{a,b}$  are called *translations*.

## Fact

*The set of all translations is a permutation group on  $\mathbb{R}^2$ .*

# Isometry and homeomorphism groups

Let  $(M, d)$  be a metric space.

- Let  $\text{Isom}(M)$  be the set of *isometries* of  $M$ , bijections  $f : M \rightarrow M$  such that

$$d(x, y) = d(f(x), f(y)).$$

- Then  $(\text{Isom}(M), \circ)$  is a group.

Let  $X$  be a topological space.

- Let  $G$  be the set of homeomorphisms  $X \rightarrow X$ .
- Then  $(G, \circ)$  is a group.

In general, the “symmetries” of a mathematical structure are usually a group.

## Section 3

# Subgroups

# Subgroups

Let  $(H, \cdot)$  and  $(G, \cdot)$  be groups.

## Definition

$(H, \cdot)$  is a *subgroup* of  $(G, \cdot)$  if  $H \subseteq G$  and  $\cdot$  is the restriction of  $\cdot$  to  $H$ .

Examples:

- $(\mathbb{Z}, +)$  is a subgroup of  $(\mathbb{R}, +)$ .
- $(\mathbb{R} \setminus \{0\}, \cdot)$  is *not* a subgroup of  $(\mathbb{R}, +)$ .



# Subgroups as subsets

Let  $(G, \cdot)$  be a group.

## Fact

Let  $H \subseteq G$  be a subset with the following properties:

- $H$  is closed under  $\cdot$ : if  $x, y \in H$ , then  $x \cdot y \in H$ .
- $H$  contains  $1_G$ .
- $H$  is closed under inverses: if  $x \in H$ , then  $x^{-1} \in H$ .

Then  $(H, \cdot)$  is a subgroup of  $(G, \cdot)$ .

All subgroups arise this way.

- $\mathbb{Z}$  is a subgroup of  $(\mathbb{R}, +)$ .
- $\mathbb{N} = \{0, 1, 2, \dots\}$  is not a subgroup of  $(\mathbb{R}, +)$ , because  $\mathbb{N}$  is not closed under negation.

# Permutation groups as subgroups

- $G$  is a permutation group on  $\{1, 2, \dots, n\} \iff G$  is a subgroup of the  $n$ th symmetric group.
- More generally, a permutation group on  $A$  is the same thing as a subgroup of the group of bijections  $A \rightarrow A$ .

# Generation, abstractly

Let  $G$  be a group, and  $S \subseteq G$  be a subset.

## Definition

The subgroup of  $G$  *generated by*  $S$ , written  $\langle S \rangle$ , is the smallest subgroup of  $G$  containing  $S$ .

Equivalently,  $\langle S \rangle$  is the intersection of all subgroups of  $G$  containing  $S$ .

## Example

The subgroup of  $(\mathbb{R}, +)$  generated by 1 is  $(\mathbb{Z}, +)$ .

# Generation, concretely

Let  $G$  be a group and  $S$  be a subset.

## Fact

*Let  $S^{-1} = \{g^{-1} : g \in S\}$ . Then  $\langle S \rangle$  is precisely the set of things of the form  $a_1 \cdot a_2 \cdot a_3 \cdots a_n$ , where  $n \geq 0$  and  $a_1, a_2, \dots, a_n \in S \cup S^{-1}$ .*

If  $n = 0$ , then  $a_1 \cdot a_2 \cdots a_n$  means  $1_G$ .

# Generators

$S$  is a set of *generators* for  $G$ , or  $S$  *generates*  $G$ , if  $\langle S \rangle = G$ .

## Example

1 is a generator of  $(\mathbb{Z}, +)$ .

## Definition

$G$  is *finitely generated* if  $G$  is generated by a finite set.

Finite groups are finitely generated, and finitely generated groups are countable.

# Generators of the symmetric group

The symmetric group  $S_n$  is the set of bijections (= permutations) on  $\{1, \dots, n\}$ .

If  $a, b \leq n$  and  $a \neq b$ , then  $(a \ b)$  denotes the permutation swapping  $a$  and  $b$ :

$$x \mapsto \begin{cases} b & \text{if } x = a \\ a & \text{if } x = b \\ x & \text{otherwise.} \end{cases}$$

Such permutations are called *transpositions*.

## Fact

$S_n$  is generated by the set of transpositions.

## Section 4

# Homomorphisms

# Homomorphisms

Let  $(G, \cdot)$  and  $(H, \cdot)$  be groups.

## Definition

A *homomorphism* from  $G$  to  $H$  is a map  $f : G \rightarrow H$  preserving the group operation:

$$f(x \cdot y) = f(x) \cdot f(y).$$

## Example

$f(x) = -3x$  is a homomorphism from  $(\mathbb{R}, +)$  to  $(\mathbb{R}, +)$ , because

$$-3(x + y) = (-3x) + (-3y).$$

## Example

$\exp(-)$  is a homomorphism from  $(\mathbb{R}, +)$  to  $(\mathbb{R} \setminus \{0\}, \cdot)$ , because

$$\exp(x + y) = \exp(x) \cdot \exp(y).$$



# Homomorphisms: basic facts

- If  $f : G \rightarrow H$  is a homomorphism, then  $f$  preserves identity and inverses:

$$\begin{aligned}f(1_G) &= 1_H \\f(x^{-1}) &= f(x)^{-1}.\end{aligned}$$

- If  $f : G \rightarrow H$  and  $g : H \rightarrow K$  are homomorphisms, then the composition  $g \circ f : G \rightarrow K$  is a homomorphism.
- $\text{id}_G$  is a homomorphism from  $G$  to  $G$ .
- If  $G, H$  are groups, the constant function  $f(x) = 1_H$  is a homomorphism from  $G$  to  $H$ .

# Isomorphisms

Let  $G, H$  be groups.

## Definition

An *isomorphism* from  $G$  to  $H$  is a homomorphism  $f : G \rightarrow H$  that is a bijection.  $G$  and  $H$  are *isomorphic* if there is at least one isomorphism between them.

## Example

Let  $\mathbb{R}_{>0} = \{x \in \mathbb{R} : x > 0\} = (0, +\infty)$ . Then  $(\mathbb{R}, +)$  is isomorphic to  $(\mathbb{R}_{>0}, \cdot)$  via the isomorphism  $\exp : \mathbb{R} \rightarrow \mathbb{R}_{>0}$ .

# Isomorphisms

- ①  $\text{id}_G : G \rightarrow G$  is an isomorphism.
- ② If  $f : G \rightarrow H$  is an isomorphism, then  $f^{-1} : H \rightarrow G$  is an isomorphism.
- ③ If  $f : G \rightarrow H$  and  $g : H \rightarrow K$  are isomorphisms, then  $(g \circ f) : G \rightarrow K$  is an isomorphism.
- ④ The relation  $G \cong H$  is an equivalence relation on the class of groups.
- ⑤ If  $G, H$  are isomorphic, then we regard  $G$  and  $H$  as being fundamentally “the same” group, with different labelings.

# Groups and permutation groups

## Fact (Part of the Cayley representation theorem)

*Every group is isomorphic to a permutation group.*

## Corollary

*A structure  $(G, \cdot)$  is a group if and only if  $(G, \cdot)$  is isomorphic to a permutation group.*

# Endomorphisms

## Definition

An *endomorphism* of  $G$  is a homomorphism from  $G$  to  $G$ .

- The map  $f(x) = -3x$  is an endomorphism of  $(\mathbb{R}, +)$ .
- In an abelian group  $G$ , the maps  $x^2$  and  $x^{-1}$  are endomorphisms:

$$(xy)^2 = xyxy = xxyy = x^2y^2$$

$$(xy)^{-1} = y^{-1}x^{-1} = x^{-1}y^{-1}.$$

# Automorphisms

## Definition

An *automorphism* of  $G$  is an isomorphism from  $G$  to  $G$ , i.e., a bijective endomorphism.

- In any group  $G$ ,  $\text{id}_G$  is an automorphism.
- In an abelian group,  $x \mapsto x^{-1}$  is an automorphism.
- In  $GL_n(\mathbb{R})$ , the map  $M \mapsto (M^{-1})^T$  is an automorphism, because

$$((M \cdot N)^{-1})^T = (N^{-1} \cdot M^{-1})^T = (M^{-1})^T \cdot (N^{-1})^T.$$

The set of automorphisms of  $G$  is denoted  $\text{Aut}(G)$ .

# The automorphism group

- If  $G$  is a group, then  $(\text{Aut}(G), \circ)$  is a group, the *automorphism group* of  $G$ .
- This is analogous to the isometry group of a metric space, or the homeomorphism group of a topological space.

# Section 5

## Group actions



# Group actions

Let  $G$  be a group and  $A$  be a set. A (*left*) *action* of  $G$  on  $A$  is a map

$$(\cdot) : G \times A \rightarrow A$$

satisfying the following axioms for  $g, h \in G$  and  $x \in A$ :

$$(g \cdot h) \cdot x = g \cdot (h \cdot x).$$

$$1 \cdot x = x.$$

We say that “ $G$  acts on  $A$ ” if there is a natural action of  $G$  on  $A$ .

A *G-set* is a set  $A$  with an action of  $G$  on  $A$ .

# Matrix action on vector spaces

$GL_n(\mathbb{R})$  acts on  $\mathbb{R}^n$  via matrix multiplication: if  $M_1, M_2 \in GL_n(\mathbb{R})$  and  $v \in \mathbb{R}^n$ , then

$$\begin{aligned}M_1(M_2 v) &= (M_1 M_2) v \\ I_n v &= v.\end{aligned}$$

# Permutation groups and actions

Let  $G$  be a permutation group on  $A$ . Define

$$\begin{aligned}(\cdot) : G \times A &\rightarrow A \\ f \cdot x &= f(x).\end{aligned}$$

This gives an action of  $G$  on  $A$ , since

$$\begin{aligned}(f \circ g)(x) &= f(g(x)) \\ \text{id}_A(x) &= x.\end{aligned}$$

# Permutation groups and actions

- Let  $G$  be a group acting on a set  $A$ .
- Let  $\text{Bij}(A)$  be the group of bijections  $A \rightarrow A$ .
- For  $g \in G$ , define  $\phi_g : A \rightarrow A$  to be  $\phi_g(x) = g \cdot x$ .
- Then  $g \mapsto \phi_g$  is a homomorphism from  $G$  to  $\text{Bij}(A)$ .

## Fact

*There is a one-to-one correspondence between*

- *Actions of  $G$  on  $A$ .*
- *Homomorphisms  $G \rightarrow \text{Bij}(A)$ .*

# Orbits

Suppose  $G$  acts on  $A$ .

## Definition

The *orbit* of an element  $x \in A$  is the set

$$G \cdot x = \{g \cdot x : g \in G\}.$$

## Fact

*The collection of orbits is a partition of  $A$ . The orbits are the equivalence classes of the relation  $x \sim y$  defined by*

$$x \sim y \iff (\exists g \in G : g \cdot x = y).$$

# Orbits

Let  $G$  be the group of rotations in  $\mathbb{R}^2$  around the origin

$$(x, y) \mapsto (\cos(\theta)x - \sin(\theta)y, \sin(\theta)x + \cos(\theta)y).$$

- $G$  acts on  $\mathbb{R}^2$ .
- Two points  $(x, y)$  and  $(x', y')$  are in the same orbit if and only if  $x^2 + y^2 = (x')^2 + (y')^2$ .
- The orbits of  $G$  are the circles

$$\{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = r^2\}$$

for  $r \geq 0$ .

# Orbits

- Consider  $\mathbb{R}^2$  as a metric space with respect to the usual metric.
- $\text{Isom}(\mathbb{R}^2)$  is the group of plane isometries (translations, reflections, rotations, and glide reflections).
- Let  $\mathcal{T}$  be the set of “triangles.”
- $\text{Isom}(\mathbb{R}^2)$  acts naturally on  $\mathcal{T}$ .
- Two triangles  $\triangle ABC$  and  $\triangle DEF$  are in the same orbit iff they are congruent (in the sense of high school geometry)

$$\triangle ABC \cong \triangle DEF.$$

# Stabilizers and orbits

Suppose  $G$  acts on  $A$ .

## Definition

The *stabilizer* of  $x \in A$  is the subset

$$\text{Stab}(x) = \{g \in G : g \cdot x = x\}.$$

The stabilizer is always a subgroup of  $G$ .

## Fact (Orbit-stabilizer theorem)

If  $x \in A$  has orbit  $Gx$ , then

$$|G| = |\text{Stab}(x)| \cdot |Gx|,$$

where  $|S|$  denotes the size of a set  $S$ .



# Types of group actions

An action of  $G$  on  $A$  is...

- ... *transitive* if there is only one orbit.
  - ▶ Equivalently, for any  $x, y \in A$  there is  $g \in G$  with  $gx = y$ .
- ... *faithful* if the homomorphism  $G \rightarrow \text{Bij}(A)$  is injective.
  - ▶ Equivalently, if  $g, h \in G$  and  $g \neq h$ , then there is at least one  $x \in A$  with  $gx \neq hx$ .

# Cayley representation theorem

## Definition

The *left regular* action of  $G$  on  $G$  is the action given by the group operation:  $g \cdot h = gh$ .

## Fact

- *The left regular action is faithful and transitive.*
- *The associated homomorphism  $G \rightarrow \text{Bij}(G)$  gives an isomorphism from  $G$  to a permutation group on  $G$ .*
- *$G$  is isomorphic to a permutation group.*

## Section 6

# Conjugation

# Conjugation

Define  $\phi_g(h)$  to be  $ghg^{-1}$ .

- $\phi_g(h)$  is also denoted  ${}^g h$ ; there is no consensus on the notation.
- $\phi_1(x) = x$ , and  $\phi_{gh}(x) = \phi_g(\phi_h(x))$ . Therefore, conjugation defines an action of  $G$  on  $G$ .
- Orbits are called *conjugacy classes*.

## Remark

In an abelian group,  $\phi_g(x) = x$ , and so the conjugacy class of  $x$  is  $\{x\}$ .

# Intuition for conjugation

Suppose  $\sigma, \tau \in \text{Isom}(\mathbb{R}^2)$ , the group of plane isometries.

- If  $\tau$  is a rotation by angle  $\theta$  around a point  $p \in \mathbb{R}^2$ , then the conjugate  $\phi_\sigma(\tau)$  is a rotation by  $\pm\theta$  around  $\sigma(p)$ .
- If  $\tau$  is a reflection over a line  $\ell$ , then  $\phi_\sigma(\tau)$  is the reflection over the line  $\sigma(\ell)$ .
  - ▶ The class of reflections is a single conjugacy class.
- If  $\tau$  is a translation  $x \mapsto x + v$ , then  $\phi_\sigma(\tau)$  is also a translation  $x \mapsto x + v'$  for some  $v'$  related to  $v$  in a certain way.

# Intuition for conjugation

Work in the symmetric group  $S_n = \text{Bij}(\{1, 2, \dots, n\})$ . Recall that  $(a \ b)$  is the transposition swapping  $a$  and  $b$ .

## Fact

*If  $\sigma \in S_n$  and  $\tau = (a \ b)$ , then the conjugate  $\phi_\sigma(\tau)$  is  $(a' \ b')$ , where  $a' = \sigma(a)$  and  $b' = \sigma(b)$ .*

*The class of transpositions is one conjugacy class in  $S_n$ .*

# Inner automorphisms

Work in a group  $G$ .

- $\phi_g(hk) = \phi_g(h)\phi_g(k)$ .
- Therefore  $\phi_g \in \text{Aut}(G)$  for  $g \in G$ .
- An *inner automorphism* is an automorphism of the form  $\phi_g$ .
- The inner automorphisms of  $G$  form a subgroup of  $\text{Aut}(G)$ .