

Introduction to Commutative Algebra

M. F. Atiyah & I. G. MacDonald

November 13, 2021

Contents

1	Rings and Ideals	1
1.1	Exercise	10
2	TODO Problems	23

1 Rings and Ideals

A **ring homomorphism** is a mapping f of a ring A into a ring B s.t.

1. $f(x + y) = f(x) + f(y)$
2. $f(xy) = f(x)f(y)$
3. $f(1) = 1$

An **ideal** \mathfrak{a} of a ring A is a subset of A which is an additive subgroup and is s.t. $A\mathfrak{a} \subseteq \mathfrak{a}$. The quotient group A/\mathfrak{a} inherits a uniquely defined multiplication from A which makes it into a ring, called the **quotient ring** A/\mathfrak{a} . The elements of A/\mathfrak{a} are the cosets of \mathfrak{a} in A , and the mapping $\phi : A \rightarrow A/\mathfrak{a}$ which maps each $x \in A$ to its coset $x + \mathfrak{a}$ is a surjective ring homomorphism

Proposition 1.1. *There is a one-to-one order-preserving correspondence between the ideals \mathfrak{b} of A which contain \mathfrak{a} , and the ideals $\bar{\mathfrak{b}}$ of A/\mathfrak{a} , given by $\mathfrak{b} = \phi^{-1}(\bar{\mathfrak{b}})$.*

Proof. Let $S_1 = \{\mathfrak{b} : \mathfrak{b} \text{ an ideal of } A \text{ and } \mathfrak{a} \subseteq \mathfrak{b}\}$ and $S_2 = \{\bar{\mathfrak{b}} : \bar{\mathfrak{b}} \text{ an ideal of } A/\mathfrak{a}\}$, π is the natural map $\pi(S) = S/\mathfrak{a}$, we prove that

$$\varphi : S_1 \rightarrow S_2 \quad \mathfrak{b} \mapsto \pi(\mathfrak{b})$$

is an bijection.

First assume that $\mathfrak{a} \subseteq \mathfrak{b}$, we prove that $\pi^{-1}\pi(\mathfrak{b}) = \mathfrak{b}$. Apparently $\mathfrak{b} \subseteq \pi^{-1}\pi(\mathfrak{b})$. For any $b \in \pi^{-1}\pi(\mathfrak{b})$, there is a $s \in \mathfrak{b}$ s.t. $\pi(b) = \pi(s)$. Thus $b - s \in \ker \pi = \mathfrak{a}$. As $\mathfrak{a} \subseteq \mathfrak{b}$, we have $b \in \mathfrak{b}$. Hence $\pi^{-1}\pi(\mathfrak{b}) = \mathfrak{b}$.

Thus for any $\mathfrak{b}_1, \mathfrak{b}_2 \in S_1$ and $\varphi(\mathfrak{b}_1) = \pi(\mathfrak{b}_1) = \pi(\mathfrak{b}_2) = \varphi(\mathfrak{b}_2)$, we have $\pi^{-1}\pi(\mathfrak{b}_1) = \pi^{-1}\pi(\mathfrak{b}_2)$. Thus φ is injective.

For any $\bar{\mathfrak{b}} \in S_2$, $\pi^{-1}(\bar{\mathfrak{b}})$ contains $\mathfrak{a} = \pi^{-1}(\{0\})$. Hence φ is surjective

Order-preserving means $\mathfrak{a} \subseteq \mathfrak{b} \subseteq \mathfrak{c}$ iff $\bar{\mathfrak{b}} \subseteq \bar{\mathfrak{c}}$ □

If $f : A \rightarrow B$ is any ring homomorphism, the **kernel** of f is an ideal \mathfrak{a} of A , and the image of f is a subring C of B ; and f induces a ring isomorphism $A/\mathfrak{a} \cong C$

We shall sometimes use the notation $x \equiv y \pmod{\mathfrak{a}}$; this means that $x - y \in \mathfrak{a}$

A **zero-divisor** in a ring A is an element x which divides 0, i.e., for which there exists $y \neq 0$ in A s.t. $xy = 0$. A ring with no zero-divisor $\neq 0$ (and in which $1 \neq 0$) is called an **integral domain**.

An element $x \in A$ is **nilpotent** if $x^n = 0$ for some $n > 0$. A nilpotent element is a zero-divisor (unless $A = 0$)

A **unit** in A is an element x which “divides 1”, i.e., an element x s.t. $xy = 1$ for some $y \in A$. The element y is then uniquely determined by x , and is written x^{-1} . The units in A form a (multiplicative) abelian group

The multiples ax of an element $x \in A$ form a **principal** ideal, denoted by (x) or Ax . x is a unit iff $(x) = A = (1)$. The **zero** ideal (0) is denoted by 0

A **field** is a ring A in which $1 \neq 0$ and every non-zero element is a unit. Every field is an integral domain

Proposition 1.2. *Let A be a ring $\neq 0$. Then the following are equivalent:*

1. A is a field
2. the only ideals in A are 0 and (1)
3. every homomorphism of A into a non-zero ring B is injective

Proof. $2 \rightarrow 3$. Let $\phi : A \rightarrow B$ be a ring homomorphism. Then $\ker \phi$ is an ideal $\neq (1)$ in A , hence $\ker \phi = 0$, hence ϕ is injective

$3 \rightarrow 1$. Let x be an element of A which is not a unit. Then $(x) \neq (1)$, hence $B = A/(x)$ is not the zero ring. Let $\phi : A \rightarrow B$ be the natural homomorphism of A onto B with kernel (x) . By hypothesis, ϕ is injective, hence $(x) = 0$, hence $x = 0$ □

An ideal \mathfrak{p} in A is **prime** if $\mathfrak{p} \neq (1)$ and if $xy \in \mathfrak{p} \Rightarrow x \in \mathfrak{p}$ or $y \in \mathfrak{p}$

An ideal \mathfrak{m} in A is **maximal** if \mathfrak{m} in A is **maximal** if $\mathfrak{m} \neq (1)$ and if no ideal \mathfrak{a} s.t. $\mathfrak{m} \subset \mathfrak{a} \subset (1)$ (**strict inclusions**). Equivalently

\mathfrak{p} is prime $\Leftrightarrow A/\mathfrak{p}$ is an integral domain

\mathfrak{m} is maximal $\Leftrightarrow A/\mathfrak{m}$ is a field

Proof. If \mathfrak{m} is maximal and suppose $a \notin \mathfrak{m}$. Then $J = \{ra + i : i \in \mathfrak{m} \text{ and } r \in A\}$ is an ideal. Hence $J = A$. So there is $r \in A, m \in \mathfrak{m}$ s.t. $1 = ra + m$. So we have $1 \equiv ra \pmod{\mathfrak{m}}$. Hence we find the inverse of $a + \mathfrak{m}$

If A/\mathfrak{m} is a field and suppose $\mathfrak{m} \subset \mathfrak{n} \subset A$. Let $a \in \mathfrak{n} \setminus \mathfrak{m}$, then there exists $b \in A$ s.t. $ab - 1 \in \mathfrak{m}$. So $ab + \mathfrak{m} = 1$ for some $m \in \mathfrak{m}$. But $ab \in \mathfrak{n}$ and $m \in \mathfrak{m} \subset \mathfrak{n}$, then we have $1 \in \mathfrak{n}$ and $\mathfrak{n} = A$. \square

Hence a maximal ideal is prime. The zero ideal is prime iff A is an integral domain

If $f : A \rightarrow B$ is a ring homomorphism and \mathfrak{q} is a prime ideal in B , then $f^{-1}(\mathfrak{q})$ is a prime ideal in A , for $A/f^{-1}(\mathfrak{q})$ is isomorphic to a subring of B/\mathfrak{q} and hence has no zero-divisor $\neq 0$. (Explanation. Since \mathfrak{q} is prime, B/\mathfrak{q} is an integral domain and a subring of an integral domain is still an integral domain. Define the map $\varphi(a + f^{-1}(\mathfrak{q})) = f(a) + \mathfrak{q}$ and we need to show it's a homomorphism. Then we show it's injective.)

But if \mathfrak{n} is a maximal ideal of B it is not necessarily true that $f^{-1}(\mathfrak{n})$ is maximal in A ; all we can say for sure is that it is prime. (Example: $A = \mathbb{Z}$, $B = \mathbb{Q}$, $\mathfrak{n} = 0$).

Theorem 1.3. Every ring $A \neq 0$ has at least one maximal ideal

Proof. This is the standard application of Zorn's lemma. Let Σ be the set of all ideals $\neq (1)$ in A . Order Σ by inclusion. Σ is not empty, since $0 \in \Sigma$. To apply Zorn's lemma we must show that every chain in Σ has an upper bound in Σ ; let then (\mathfrak{a}_α) be a chain of ideals in Σ , so that for each pair of indices α, β we have either $\mathfrak{a}_\alpha \subseteq \mathfrak{a}_\beta$ or $\mathfrak{a}_\beta \subseteq \mathfrak{a}_\alpha$. Let $\mathfrak{a} = \bigcup_\alpha \mathfrak{a}_\alpha$. Then \mathfrak{a} is an ideal and $1 \notin \mathfrak{a}$. Hence $\mathfrak{a} \in \Sigma$ and is an upper bound of the chain. Hence Σ has a maximal element \square

Corollary 1.4. If $\mathfrak{a} \neq (1)$ is an ideal of A , there exists a maximal ideal of A containing \mathfrak{a}

Proof. Apply 1.3 to A/\mathfrak{a} and 1.3 \square

Corollary 1.5. Every non-unit of A is contained in a maximal ideal.

A ring A with exactly one maximal ideal \mathfrak{m} is called a **local ring**. The field $k = A/\mathfrak{m}$ is called the **residue field** of A .

Proposition 1.6. 1. Let A be a ring and $\mathfrak{m} \neq (1)$ an ideal of A s.t. every $x \in A - \mathfrak{m}$ is a unit in A . Then A is a local ring and \mathfrak{m} its maximal ideal.

2. Let A be a ring and \mathfrak{m} a maximal ideal of A s.t. every element of $1 + \mathfrak{m}$ is a unit in A . Then A is a local ring

Proof. 2. Let $x \in A - \mathfrak{m}$. Since \mathfrak{m} is maximal, the ideal generated by x and \mathfrak{m} is (1) , hence there exist $y \in A$ and $t \in \mathfrak{m}$ s.t. $xy + t = 1$; hence $xy = 1 - t$ belongs to $1 + \mathfrak{m}$ and therefore is a unit. Now use 1 □

A ring with only a finite number of maximal ideals is called **semi-local**

Example 1.1. n

1. $A = k[x_1, \dots, x_n]$, k a field. Let $f \in A$ be an irreducible polynomial. By unique factorization, the ideal (f) is prime
2. $A = \mathbb{Z}$. Every ideal in \mathbb{Z} is of the form (m) for some $m \geq 0$. The ideal (m) is prime iff $m = 0$ or a prime number. All the ideals (p) , where p is a prime number, are maximal: $\mathbb{Z}/(p)$ is the field of p elements
3. A **principal ideal domain** is an integral domain in which every ideal is principal. In such a ring every non-zero prime ideal is maximal. For if $(x) \neq 0$ is a prime ideal and $(y) \supset (x)$, we have $x \in (y)$, say $x = yz$, so that $yz \in (x)$ and $y \notin (x)$, hence $z \in (x)$; say $z = tx$. Then $x = yz = ytx$, so that $yt = 1$ and therefore $(y) = (1)$.

Proposition 1.7. The set \mathfrak{N} of all nilpotent elements in a ring A is an ideal, and A/\mathfrak{N} has no nilpotent $\neq 0$

Proof. If $x \in \mathfrak{N}$, clearly $ax \in \mathfrak{N}$ for all $a \in A$. Let $x, y \in \mathfrak{N}$: say $x^m = 0$, $y^n = 0$. By the binomial theorem, $(x+y)^{n+m-1}$ is a sum of integer multiples of products $x^r y^s$, where $r + s = m + n - 1$;

Let $\bar{x} \in A/\mathfrak{N}$ be represented by $x \in A$. Then \bar{x}^n is represented by x^n , so that $\bar{x}^n = 0 \Rightarrow x^n \in \mathfrak{N} \Rightarrow (x^n)^k = 0$ for some $k > 0 \Rightarrow x \in \mathfrak{N} \Rightarrow \bar{x} = 0$ □

The ideal \mathfrak{N} is called the **nilradical** of A

Check When is nilradical not a prime ideal, which is related to Exercise 1.1.18.

Proposition 1.8. *The nilradical of A is the intersection of all the prime ideals of A*

Proof. Let \mathfrak{N}' denote the intersection of all the prime ideals of A . If $f \in A$ is nilpotent and if \mathfrak{p} is a prime ideal, then $f^n = 0 \in \mathfrak{p}$ for some $n > 0$, hence $f \in \mathfrak{p}$. Hence $f \in \mathfrak{N}'$

Conversely, suppose that f is not nilpotent. Let Σ be the set of ideals \mathfrak{a} with the property

$$n > 0 \Rightarrow f^n \notin \mathfrak{a}$$

Then Σ is not empty because $0 \in \Sigma$. Zorn's lemma can be applied to the set Σ , ordered by inclusion, and therefore Σ has a maximal element. We shall show that \mathfrak{p} is a prime ideal. Let $x, y \notin \mathfrak{p}$. Then the ideals $\mathfrak{p} + (x)$, $\mathfrak{p} + (y)$ strictly contain \mathfrak{p} and therefore do not belong to Σ ; hence

$$f^m \in \mathfrak{p} + (x), \quad f^n \in \mathfrak{p} + (y)$$

for some m, n . It follows that $f^{m+n} \in \mathfrak{p} + (xy)$, hence the ideal $\mathfrak{p} + (xy)$ is not in Σ and therefore $xy \notin \mathfrak{p}$. Hence we have a prime ideal \mathfrak{p} s.t. $f \notin \mathfrak{p}$, so that $f \notin \mathfrak{N}'$ \square

The **Jacobson radical** \mathfrak{R} of A is defined to be the intersection of all the maximal ideals of A . It can be characterized as follows:

Proposition 1.9. *$x \in \mathfrak{R}$ iff $1 - xy$ is a unit in A for all $y \in A$*

Proof. \Rightarrow : Suppose $1 - xy$ is not a unit. By 1.1.4 it belongs to some maximal ideal \mathfrak{m} ; but $x \in \mathfrak{R} \subseteq \mathfrak{m}$, hence $xy \in \mathfrak{m}$ and therefore $1 \in \mathfrak{m}$, which is absurd

\Leftarrow : Suppose $x \notin \mathfrak{R}$ for some maximal ideal \mathfrak{m} . Then \mathfrak{m} and x generate the unit ideal (1) , so that we have $u + xy = 1$ for some $u \in \mathfrak{m}$ and some $y \in A$. Hence $1 - xy \in \mathfrak{m}$ and is therefore not a unit. \square

If $\mathfrak{a}, \mathfrak{b}$ are ideals in a ring A , their **sum** $\mathfrak{a} + \mathfrak{b}$ is the set of all $x + y$ where $x \in \mathfrak{a}$ and $y \in \mathfrak{b}$. It is the smallest ideal containing \mathfrak{a} and \mathfrak{b} . More generally, we may define the sum $\sum_{i \in I} \mathfrak{a}_i$ of any family (possibly infinite) of ideals \mathfrak{a}_i of A ; its elements are all sums $\sum x_i$, where $x_i \in \mathfrak{a}_i$ for all $i \in I$ and almost all of the x_i (i.e., all but a finite set) are zero. It is the smallest ideal of A which contains all the ideals \mathfrak{a}_i

The **product** of two ideals $\mathfrak{a}, \mathfrak{b}$ in A is the ideal $\mathfrak{a}\mathfrak{b}$ **generated** by all products xy , where $x \in \mathfrak{a}$ and $y \in \mathfrak{b}$. It is the set of all finite sums $\sum x_i y_i$ where each $x_i \in \mathfrak{a}$ and each $y_i \in \mathfrak{b}$

We have the **distributive law**

$$\mathfrak{a}(\mathfrak{b} + \mathfrak{c}) = \mathfrak{a}\mathfrak{b} + \mathfrak{a}\mathfrak{c}$$

In the ring \mathbb{Z} , \cap and $+$ are distributive over each other. This is not the case in general. **modular law**

$$\mathfrak{a} \cap (\mathfrak{b} + \mathfrak{c}) = \mathfrak{a} \cap \mathfrak{b} + \mathfrak{a} \cap \mathfrak{c} \text{ if } \mathfrak{a} \supseteq \mathfrak{b} \text{ or } \mathfrak{a} \supseteq \mathfrak{c}$$

$$\mathfrak{a} \cap \mathfrak{b} = \mathfrak{ab} \text{ provided } \mathfrak{a} + \mathfrak{b} = (1)$$

If $x \in \mathfrak{a} \cap \mathfrak{b}$, there is $a + b = 1$. Hence $xa + xb = x \in \mathfrak{ab}$

Two ideals $\mathfrak{a}, \mathfrak{b}$ are said to be **coprime** if $\mathfrak{a} + \mathfrak{b} = (1)$. Thus for coprime ideals we have $\mathfrak{a} \cap \mathfrak{b} = \mathfrak{ab}$.

Let A be a ring and $\mathfrak{a}_1, \dots, \mathfrak{a}_n$ ideals of A . Define a homomorphism

$$\phi : A \rightarrow \prod_{i=1}^n (A/\mathfrak{a}_i)$$

by the rule $\phi(x) = (x + \mathfrak{a}_1, \dots, x + \mathfrak{a}_n)$

Proposition 1.10. 1. If $\mathfrak{a}_i, \mathfrak{a}_j$ are coprime whenever $i \neq j$, then $\prod \mathfrak{a}_i = \bigcap \mathfrak{a}_i$

2. ϕ is surjective iff $\mathfrak{a}_i, \mathfrak{a}_j$ are coprime whenever $i \neq j$

3. ϕ is injective iff $\bigcap \mathfrak{a}_i = (0)$

Proof. 1. Induction on n . The case $n = 2$ is dealt with above. Suppose $n > 2$ and the result true for $\mathfrak{a}_1, \dots, \mathfrak{a}_{n-1}$, and let $\mathfrak{b} = \prod_{i=1}^{n-1} \mathfrak{a}_i = \bigcap_{i=1}^{n-1} \mathfrak{a}_i$. As we have $x_i + y_i = 1$ ($x_i \in \mathfrak{a}_i, y_i \in \mathfrak{a}_n$) and therefore

$$\prod_{i=1}^{n-1} x_i = \prod_{i=1}^{n-1} (1 - y_i) \equiv 1 \pmod{\mathfrak{a}_n}$$

Hence $\mathfrak{a}_n + \mathfrak{b} = (1)$ and so

$$\prod_{i=1}^n \mathfrak{a}_i = \mathfrak{ba}_n = \mathfrak{b} \cap \mathfrak{a}_n = \bigcap_{i=1}^n \mathfrak{a}_i$$

2. \Rightarrow : Let's show for example that $\mathfrak{a}_1, \mathfrak{a}_2$ are coprime. There exists $x \in A$ s.t. $\phi(x) = (1, 0, \dots, 0)$; hence $x \equiv 1 \pmod{\mathfrak{a}_1}$ and $x \equiv 0 \pmod{\mathfrak{a}_2}$, so that

$$1 = (1 - x) + x \in \mathfrak{a}_1 + \mathfrak{a}_2$$

\Leftarrow : It is enough to show, for example, that there is an element $x \in A$ s.t. $\phi(x) = (1, 0, \dots, 0)$. Since $\mathfrak{a}_1 + \mathfrak{a}_i = (1)$ ($i > 1$) we have $u_i + v_i = 1$ ($u_i \in \mathfrak{a}_1, v_i \in \mathfrak{a}_i$). Take $x = \prod_{i=2}^n v_i$, then $x = \prod_{i=2}^n (1 - u_i) \equiv 1 \pmod{\mathfrak{a}_1}$. Hence $\phi(x) = (1, 0, \dots, 0)$

3. $\bigcap \mathfrak{a}_i$ is the kernel of ϕ

□

Proposition 1.11. 1. Let $\mathfrak{p}_1, \dots, \mathfrak{p}_n$ be prime ideals and let \mathfrak{a} be an ideal contained in $\bigcup_{i=1}^n \mathfrak{p}_i$. Then $\mathfrak{a} \subseteq \mathfrak{p}_i$ for some i .

2. Let $\mathfrak{a}_1, \dots, \mathfrak{a}_n$ be ideals and let \mathfrak{p} be a prime ideal containing $\bigcap_{i=1}^n \mathfrak{a}_i$. Then $\mathfrak{p} \supseteq \mathfrak{a}_i$ for some i . If $\mathfrak{p} = \bigcap \mathfrak{a}_i$, then $\mathfrak{p} = \mathfrak{a}_i$ for some i

Proof. 1. induction on n in the form

$$\mathfrak{a} \not\subseteq \mathfrak{p}_i (1 \leq i \leq n) \Rightarrow \mathfrak{a} \not\subseteq \bigcup_{i=1}^n \mathfrak{p}_i$$

It is true for $n = 1$. If $n > 1$ and the result is true for $n - 1$, then for each i there exists $x_i \in \mathfrak{a}$ s.t. $x_i \notin \mathfrak{p}_j$ whenever $j \neq i$. If for some i we have $x_i \notin \mathfrak{p}_i$, we are through. If not, then $x_i \in \mathfrak{p}_i$ for all i . Consider the element

$$y = \sum_{i=1}^n x_1 x_2 \cdots x_{i-1} x_{i+1} \cdots x_n$$

we have $y \in \mathfrak{a}$ and $y \notin \mathfrak{p}_i (1 \leq i \leq n)$. Hence $\mathfrak{a} \not\subseteq \bigcup_{i=1}^n \mathfrak{p}_i$

2. Suppose $\mathfrak{p} \not\supseteq \mathfrak{a}_i$ for all i . Then there exist $x_i \in \mathfrak{a}_i, x_i \notin \mathfrak{p} (1 \leq i \leq n)$ and therefore $\prod x_i \in \prod \mathfrak{a}_i \subseteq \bigcap \mathfrak{a}_i$; but $\prod x_i \notin \mathfrak{p}$ since \mathfrak{p} is prime. Hence $\mathfrak{p} \not\supseteq \bigcap \mathfrak{a}_i$

If $\mathfrak{p} = \bigcap \mathfrak{a}_i$, then $\mathfrak{p} \subseteq \mathfrak{a}_i$ and hence $\mathfrak{p} = \mathfrak{a}_i$ for some i .

□

For prime ideals $\mathfrak{p}_1, \dots, \mathfrak{p}_n$, if $\bigcap_{i=1}^n \mathfrak{p}_i = \mathfrak{p}$ is a prime ideal, then $\mathfrak{p} = \mathfrak{p}_i$ for some i . If there are more than one minimal ideal, this could never happen

If $\mathfrak{a}, \mathfrak{b}$ are ideals in a ring A , their **ideal quotient** is

$$(\mathfrak{a} : \mathfrak{b}) = \{x \in A : x\mathfrak{b} \subseteq \mathfrak{a}\}$$

which is an ideal. In particular, $(0 : \mathfrak{b})$ is called the **annihilator** of \mathfrak{b} and is also denoted by $\text{Ann}(\mathfrak{b})$: it is the set of all $x \in A$ s.t. $x\mathfrak{b} = 0$. In this notation the set of all zero-divisors in A is

$$D = \bigcup_{x \neq 0} \text{Ann}(x)$$

If \mathfrak{b} is a principal ideal (x) , we shall write $(\mathfrak{a} : x)$ in place of $(\mathfrak{a} : (x))$

Example 1.2. If $A = \mathbb{Z}$, $\mathfrak{a} = (m)$, $\mathfrak{b} = (n)$, where say $m = \prod_p p^{\mu_p}$, $n = \prod_p p^{\nu_p}$, then $(\mathfrak{a} : \mathfrak{b}) = (q)$ where $q = \prod_p p^{\gamma_p}$ and

$$\gamma_p = \max(\mu_p - \nu_p, 0) = \mu_p - \min(\mu_p, \nu_p)$$

Hence $q = m/(m, n)$, where (m, n) is the h.c.f. of m and n

Exercise 1.0.1. 1. $\mathfrak{a} \subseteq (\mathfrak{a} : \mathfrak{b})$

$$2. (\mathfrak{a} : \mathfrak{b})\mathfrak{b} \subseteq \mathfrak{a}$$

$$3. (\mathfrak{a} : \mathfrak{b}) : \mathfrak{c} = (\mathfrak{a} : \mathfrak{b}\mathfrak{c}) = ((\mathfrak{a} : \mathfrak{c}) : \mathfrak{b})$$

$$4. (\bigcap_i \mathfrak{a}_i : \mathfrak{b}) = \bigcap_i (\mathfrak{a}_i : \mathfrak{b})$$

$$5. (\mathfrak{a} : \sum_i \mathfrak{b}_i) = \bigcap_i (\mathfrak{a} : \mathfrak{b}_i)$$

Proof. 3. $(\mathfrak{a} : \mathfrak{b}) : \mathfrak{c} = \{x \in A : x\mathfrak{c} \subseteq \mathfrak{a} : \mathfrak{b}\}$. for any $c \in \mathfrak{c}$, $xc\mathfrak{b} \subseteq \mathfrak{a}$. Hence $x\mathfrak{c}\mathfrak{b} \subseteq \mathfrak{a}$.

$$5. (\mathfrak{a} : \sum_i \mathfrak{b}_i) = \{x \in A : x \sum_i \mathfrak{b}_i \subseteq \mathfrak{a}\}$$

□

If \mathfrak{a} is any ideal of A , the **radical** of \mathfrak{a} is

$$r(\mathfrak{a}) = \{x \in A : x^n \in \mathfrak{a} \text{ for some } n > 0\}$$

if $\phi : A \rightarrow A/\mathfrak{a}$ is the standard homomorphism, then $r(\mathfrak{a}) = \phi^{-1}(\mathfrak{N}_{A/\mathfrak{a}})$ and hence $r(\mathfrak{a})$ is an ideal by 1.7

Exercise 1.0.2. 1. $r(\mathfrak{a}) \supseteq \mathfrak{a}$

$$2. r(r(\mathfrak{a})) = r(\mathfrak{a})$$

$$3. r(\mathfrak{a}\mathfrak{b}) = r(\mathfrak{a} \cap \mathfrak{b}) = r(\mathfrak{a}) \cap r(\mathfrak{b})$$

$$4. r(\mathfrak{a}) = (1) \text{ iff } \mathfrak{a} = (1).$$

$$5. r(\mathfrak{a} + \mathfrak{b}) = r(r(\mathfrak{a}) + r(\mathfrak{b}))$$

$$6. \text{ if } \mathfrak{p} \text{ is prime, } r(\mathfrak{p}^n) = \mathfrak{p} \text{ for all } n > 0$$

Proof. 5. $x \in r(\mathfrak{a} + \mathfrak{b})$ iff $x^n \in \mathfrak{a} + \mathfrak{b}$. $y \in r(r(\mathfrak{a}) + r(\mathfrak{b}))$ iff $y^m = a + b$, where $a^{n_a} \in \mathfrak{a}$ and $b^{n_b} \in \mathfrak{b}$. Then $(y^m)^{n_a + n_b} = (a + b)^{n_a + n_b} \in \mathfrak{a} + \mathfrak{b}$

$$6. x \in r(\mathfrak{p}^n) \text{ iff } x^m \in \mathfrak{p}^n, \text{ then } x^m = p_1 \cdots p_n \in \mathfrak{p}$$

□

Proposition 1.12. *The radical of an ideal \mathfrak{a} is the intersection of the prime ideals which contain \mathfrak{a}*

Proof. Apply 1.8 to A/\mathfrak{a} .

Nilradical of A/\mathfrak{a} is the radical of \mathfrak{a} . □

More generally, we may define the radical $r(E)$ of any **subset** E of A in the same way. It is **not** an ideal in general. We have $r(\bigcup_{\alpha} E_{\alpha}) = \bigcup r(E_{\alpha})$ for any family of subsets E_{α} of A

Proposition 1.13. $D = \text{set of zero-divisors of } A = \bigcup_{x \neq 0} r(\text{Ann}(x))$

Proof. $D = r(D) = r(\bigcup_{x \neq 0} \text{Ann}(x)) = \bigcup_{x \neq 0} r(\text{Ann}(x))$ □

Example 1.3. If $A = \mathbb{Z}$, $\mathfrak{a} = (m)$, let p_i ($1 \leq i \leq r$) be the distinct prime divisors of m . Then $r(\mathfrak{a}) = (p_1 \cdots p_r) = \bigcap_{i=1}^n (p_i)$

Proposition 1.14. *Let $\mathfrak{a}, \mathfrak{b}$ be ideals in a ring A s.t. $r(\mathfrak{a}), r(\mathfrak{b})$ are coprime. Then \mathfrak{a} and \mathfrak{b} are coprime.*

Proof. $r(\mathfrak{a} + \mathfrak{b}) = r(r(\mathfrak{a}) + r(\mathfrak{b})) = r(1) = (1)$, hence $\mathfrak{a} + \mathfrak{b} = (1)$ □

Let $f : A \rightarrow B$ be a ring homomorphism. If \mathfrak{a} is an ideal in A , the set $f(\mathfrak{a})$ is not necessarily an ideal in B (e.g. $\mathbb{Z} \rightarrow \mathbb{Q}$). We define the **extension** \mathfrak{a}^e of \mathfrak{a} to be the ideal $Bf(\mathfrak{a})$ generated by $f(\mathfrak{a})$ in B : explicitly, \mathfrak{a}^e is the set of all sums $\sum y_i f(x_i)$ where $x_i \in \mathfrak{a}$, $y_i \in B$

If \mathfrak{b} is an ideal of B , then $f^{-1}(\mathfrak{b})$ is always an ideal of A , called the **contraction** \mathfrak{b}^c of \mathfrak{b} . If \mathfrak{b} is prime, then \mathfrak{b}^c is prime. If \mathfrak{a} is prime, \mathfrak{a}^e need not be prime ($f : \mathbb{Z} \rightarrow \mathbb{Q}, \mathfrak{a} \neq 0$, then $\mathfrak{a}^e = \mathbb{Q}$, which is not a prime ideal)

We can factorize f as follows:

$$f \xrightarrow{p} f(A) \xrightarrow{j} B$$

where p is surjective and j is injective

Example 1.4. Consider $\mathbb{Z} \rightarrow \mathbb{Z}[i]$, where $i = \sqrt{-1}$. A prime ideal (p) of \mathbb{Z} may or may not stay prime when extended to $\mathbb{Z}[i]$. In fact $\mathbb{Z}[i]$ is a principal ideal domain (because it has a Euclidean algorithm, i.e., a Euclidean ring) and the situation is as follows:

1. $(2^e) = ((1+i)^2)$, the **square** of a prime ideal in $\mathbb{Z}[i]$
2. if $p \equiv 1 \pmod{4}$ then $(p)^e$ is the product of two distinct prime ideals (for example, $(5)^e = (2+i)(2-i)$)

3. if $p \equiv 3 \pmod{4}$ then $(p)^e$ is prime in $\mathbb{Z}[i]$

Let $f : A \rightarrow B$, \mathfrak{a} and \mathfrak{b} be as before. Then

Proposition 1.15. 1. $\mathfrak{a} \subseteq \mathfrak{a}^{ec}$, $\mathfrak{b} \supseteq \mathfrak{b}^{ce}$

2. $\mathfrak{b}^c = \mathfrak{b}^{cec}$, $\mathfrak{a}^e = \mathfrak{a}^{ece}$

3. If C is the set of contracted ideals in A and if E is the set of extended ideals in B , then $C = \{\mathfrak{a} \mid \mathfrak{a}^{ec} = \mathfrak{a}\}$, $E = \{\mathfrak{b} \mid \mathfrak{b}^{ce} = \mathfrak{b}\}$, and $\mathfrak{a} \mapsto \mathfrak{a}^e$ is a bijective map of C onto E , whose inverse is $\mathfrak{b} \mapsto \mathfrak{b}^c$.

Proof. 3. If $\mathfrak{a} \in C$, then $\mathfrak{a} = \mathfrak{b}^c = \mathfrak{b}^{cec} = \mathfrak{a}^{ec}$; conversely if $\mathfrak{a} = \mathfrak{a}^{ec}$ then \mathfrak{a} is the contraction of \mathfrak{a}^e . □

Proof. 1. □

Exercise 1.0.3. If $\mathfrak{a}_1, \mathfrak{a}_2$ are ideals of A and if $\mathfrak{b}_1, \mathfrak{b}_2$ are ideals of B , then

$$(\mathfrak{a}_1 + \mathfrak{a}_2)^e = \mathfrak{a}_1^e + \mathfrak{a}_2^e \quad (\mathfrak{b}_1 + \mathfrak{b}_2)^c \supseteq \mathfrak{b}_1^c + \mathfrak{b}_2^c$$

1.1 Exercise

Proposition 1.16. For $f : X \rightarrow Y$, given any $B \subseteq Y$, $f(f^{-1}(B)) \subseteq B$. If f is surjective, $f(f^{-1}(B)) = B$

Proof. For any $x \in f(f^{-1}(B))$, there is $y \in f^{-1}(B)$ s.t. $f(y) = x$. Thus $x \in B$.

For any $y \in B$, as f is surjective, there is $x \in X$ s.t. $f(x) = y$. So $x \in f^{-1}(B)$ and hence $y \in f(f^{-1}(B))$ □

Exercise 1.1.1. Let x be a nilpotent element of a ring A . Show that $1 + x$ is a unit of A . Deduce that the sum of a nilpotent element and a unit is a unit

Proof. x is a element of a nilradical, which is the intersection all prime ideals. Since every maximal ideal is a prime ideal, then nilradical is a subset of Jacobson radical. Then $1 - (-u^{-1})x$ is a unit for some unit u , hence $u + x$ is a unit □

Exercise 1.1.2. Let A be a ring and let $A[x]$ be the ring of polynomials in an indeterminate x , with coefficients in A . Let $f = a_0 + a_1x + \cdots + a_nx^n \in A[x]$. Prove that

1. f is a unit in $A[x]$ iff a_0 is a unit in A and a_1, \dots, a_n are nilpotent [if $b_0 + b_1x + \dots + b_mx^m$ is the inverse of f , prove by induction on r that $a_n^{r+1}b_{m-r} = 0$. Hence show that a_n is nilpotent and then use Exercise 1.1.1]
2. f is nilpotent iff a_0, \dots, a_n is nilpotent
3. f is a zero-divisor iff there exists $a \neq 0$ in A s.t. $af = 0$
4. f is said to be **primitive** if $(a_0, \dots, a_n) = (1)$. Prove that if $f, g \in A[x]$, then fg is primitive iff f and g are primitive

Proof. 1. Suppose $g = \sum_{i=0}^m b_i x^i$ s.t. $fg = 1$. For $r = 0$, $a_n b_m = 0$ obviously.

Now suppose this is true for all $p < r$. Now we prove $a_n^{r+1}b_{m-r} = 0$. The $m + n - r$ th term's coefficient is $\sum_{i=0}^r a_{n-i}b_{m-r+i} = 0$. Then

$$a_n^{r+1} \sum_{i=0}^r a_{n-i} b_{m-r+i} = a_n^{r+1} b_{m-r} = 0$$

Thus $a_n^{m+1}b_0 = 0$ and hence $a_n^{m+1} = 0$ as b_0 is a unit. So $f - a_n x^n$ is a unit and we can continue.

2. \Rightarrow . Goal: for any prime ideal \mathfrak{p} in A , f is 0 in $(A/\mathfrak{p})[x]$. This is because f^n is 0 in $(A/\mathfrak{p})[x]$ and A/\mathfrak{p} is an integral domain. Then for a_0, \dots, a_n is contained in every prime ideal and hence are nilpotent

If f is nilpotent and a_k is nilpotent, then $f - a_k x^k$ is still nilpotent since nilradical is an ideal

\Leftrightarrow . Nilradical \mathfrak{N} is an ideal. As a_0, \dots, a_n is nilpotent in $A[x]$, their $A[x]$ -combination is still nilpotent

3. Choose a polynomial $g = b_0 + b_1x + \dots + b_mx^m$ of least degree m s.t. $fg = 0$. Then $a_n b_m = 0$ and $a_n g f = 0$. As g is of least degree, we have $a_n g = 0$. Then $fg = a_0 g + \dots + a_{n-1} x^{n-1} g + a_n g = a_0 g + \dots + a_{n-1} x^{n-1} g = 0$. Hence for all $0 \leq i \leq n$, $a_i g = 0$. Arbitrary coefficient of g is what we want
4. If fg is primitive, then $(\sum_{\max\{0, k-m\}}^{\min\{n, k\}} a_i b_{k-i})_{k \in [0, n+m]} = (1)$. Change the coefficient one by one
By extract, we can get $(a_0^k b_k)_{k \in [0, n+m]} = (1)$. Then $(b_k) = (1)$.

□

Exercise 1.1.3. In the ring $A[x]$, the Jacobson radical is equal to the nilradical

Proof. Suppose \mathfrak{R} is the Jacobson radical and $f \in \mathfrak{R}$, then $1 - fx$ is a unit by Proposition 1.9. By Exercise 1.1.2 (1) all coefficients of f are nilpotent, then f is nilpotent by Exercise 1.1.2 (2) \square

Exercise 1.1.4. Let A be the ring and let $A[[x]]$ be the ring of formal power series $f = \sum_{n=0}^{\infty} a_n x^n$ with coefficients in A . Show that

1. f is a unit in $A[[x]]$ iff a_0 is a unit in A
2. If f is nilpotent, then a_n is nilpotent for all $n \geq 0$.
3. f belongs to the Jacobson radical of $A[[x]]$ iff a_0 belongs to the Jacobson radical of A
4. The contraction of a maximal ideal \mathfrak{m} of $A[[x]]$ is a maximal ideal of A , and \mathfrak{m} is generated by \mathfrak{m}^c and x .
5. Every prime ideal of A is the contraction of a prime ideal of $A[[x]]$.

Proof. 1. \Leftarrow . We compute b_n from $a_0, \dots, a_n, b_0, \dots, b_{n-1}$ and $\sum_{i=0}^n a_i b_{n-i} = 0$. Multiply it with a_0 , we get $b_n + a_0 \sum_{i=1}^n a_i b_{n-i} = 0$

2. Note that nilradical is an ideal. If a_k is nilpotent in A , then $a_k x$ is nilpotent in $A[[x]]$, and $f - a_k x^k$ is nilpotent. And we continue

3. For any $b \in A$, $1 - bf$ is a unit, and by (1), $1 - ba_0$ is a unit.

4. From (3), a maximal ideal \mathfrak{m} at least contains $x A[[x]]$. Let $\mathfrak{m} = \mathfrak{m}^c + x A[[x]]$. Now

$$A[[x]]/\mathfrak{m} \cong (A[[x]]/xA[[x]])/(\mathfrak{m}/xA[[x]]) \cong A/\mathfrak{m}^c$$

Thus \mathfrak{m} is maximal

5. Given a prime ideal \mathfrak{p} of A , consider

$$\phi : A[[x]] \rightarrow A \rightarrow A/\mathfrak{p}$$

Then $\ker \phi = \mathfrak{p} + x A[[x]]$ and $A[[x]]/\ker \phi \cong A/\mathfrak{p}$ and hence $\ker \phi$ is a prime ideal. \square

Exercise 1.1.5. A ring A is s.t. every ideal not contained in the nilradical contains a nonzero idempotent (that is, an element e s.t. $e^2 = e \neq 0$). Prove that the nilradical and Jacobson radical of A are equal

Proof. If there is a $x \in A$ s.t. $x \in \mathfrak{N}$ and $x \notin \mathfrak{J}$. Then $(x) \not\subseteq \mathfrak{N}$ and there is $y \in A$ s.t. $y^2 x^2 = x^2$ and hence $(y^2 - 1)x^2 = 0$. As $x^2 \neq 0$, $y^2 = 1$. Hence $\mathfrak{N} = (1)$, which is not possible \square

Exercise 1.1.6. Let A be a ring where every element x satisfies $x^n = x$ for some $n > 1$ (depending on x). Show that every prime ideal in A is maximal

Proof. \mathfrak{p} the prime ideal and $x \notin \mathfrak{p}$, as $x(x^{n-1} - 1) = 0 \in \mathfrak{p}$, $x^{n-1} - 1 \in \mathfrak{p}$. Then $x^{n-1} \equiv 1 \pmod{\mathfrak{p}}$ and $(x + \mathfrak{p})(x^{n-2} + \mathfrak{p}) = 1 + \mathfrak{p}$. \square

Exercise 1.1.7. Let A be a ring $\neq 0$. Show that the set of prime ideals of A has minimal elements w.r.t. inclusion

Proof. Equivalently to say that nilradical is prime. \square

Exercise 1.1.8. Let \mathfrak{a} be an ideal $\neq (1)$ in a ring A . Show that $\mathfrak{a} = r(\mathfrak{a})$ iff \mathfrak{a} is an intersection of prime ideals

Proof. \Rightarrow . From Proposition 1.12
 \Leftarrow . If $x^n \in \mathfrak{a}$, then $x \in \mathfrak{a}$. \square

Exercise 1.1.9. Let A be a ring, \mathfrak{N} its nilradical. Show that the following are equivalent

1. A has exactly one prime ideal
2. every element of A is either a unit or nilpotent
3. A/\mathfrak{N} is a field

Proof. $2 \rightarrow 3$. \mathfrak{N} is maximal
 $1 \rightarrow 2$. Obvious:D
 $3 \rightarrow 1$. Then \mathfrak{N} is maximal \square

Exercise 1.1.10. A ring is **Boolean** if $x^2 = x$ for all $x \in A$. In a Boolean ring A , show that

1. $2x = 0$ for all $x \in A$
2. every prime ideal \mathfrak{p} is maximal, and A/\mathfrak{p} is a field with two elements

3. every finitely generated ideal in A is principal

Proof. 1. $2x = x + x^2 = 0$

2. Maximality by Exercise 1.1.6. For any $x \notin \mathfrak{p}$, $(x + \mathfrak{p})(1 + \mathfrak{p}) = 1 + \mathfrak{p}$ and so $x \equiv 1 \pmod{\mathfrak{p}}$. For any $x \in \mathfrak{p}$, $x \equiv 0 \pmod{\mathfrak{p}}$.

3. Let x, y be elements of an ideal \mathfrak{a} . Define $z := x + y + xy$, note that $xz = x + y + y = x$. Hence $(x, y) = (z)$

□

Exercise 1.1.11. A local ring contains no idempotent $\neq 0, 1$

Proof. If \mathfrak{m} is the unique maximal ring. Then $x \in \mathfrak{m}$ iff for all $y \in A$, $1 - xy$ is a unit.

If $x^2 = x$, then $x(1 - x) = 0$. As $1 - x$ is not a unit, $x \notin \mathfrak{m}$.

□

Construction of an algebraic closure of a field

Exercise 1.1.12. Let K be a field and let Σ be the set of all irreducible monic polynomials f in one indeterminate with coefficients in K . Let A be the polynomial ring over K generated by indeterminate x_f , one for each $f \in \Sigma$. Let \mathfrak{a} be the ideal of A generated by the polynomials $f(x_f)$ for all $f \in \Sigma$. Show that $\mathfrak{a} \neq (1)$

Let \mathfrak{m} be a maximal ideal of A containing \mathfrak{a} , and let $K_1 = A/\mathfrak{m}$. Then K_1 is an extension field of K in which each $f \in \Sigma$ has a root. Repeat the construction with K_1 in place of K , obtaining a field K_2 , and so on. Let $L = \bigcup_{n=1}^{\infty} K_n$. Then L is a field in which each $f \in \Sigma$ splits completely into linear factors. Let \bar{K} be the set of all elements of L which are algebraic over K . Then \bar{K} is an algebraic closure of K .

Proof. Irreducible polynomials have degree greater than 1. There is no linear combination that the degree of the sum is 0

Let $K_0 = K$ be a field. Given a non-negative integer n for which the field, K_n , is defined, let Σ_n be the set of monic irreducible elements of $K_n[x]$ and let A_n be the polynomial ring over K_n generated by the set of indeterminates $\{x_f \mid f \in \Sigma\}$. Define \mathfrak{a}_n be the ideal of A_n generated by the set $\{f(x_f) \in A \mid f \in \Sigma_n\}$. Since K_n is a field, A_n is a domain. Thus every element of \mathfrak{a}_n has positive degree and \mathfrak{a}_n doesn't contain 1. Let \mathfrak{m}_n be a maximal ideal of A_n containing \mathfrak{a}_n and define $K_{n+1} = A_n/\mathfrak{m}_n$. The map

$$K_n \rightarrow A_n \rightarrow A_n/\mathfrak{m}_n = K_{n+1}$$

given by the inclusion and quotient maps, is a field homomorphism. Thus it is injective and we may identify K_n with a subfield of K_{n+1} . Note that for any $0 \neq k \in K_n$, $k \notin \mathfrak{m}$. Thus the kernel of the map is only $\{0\}$.

Let $\bar{K} = \bigcup_{n \geq 0} K_n$. If $x, y \in \bar{K}$, then they are contained in some subfields K_n, K_m . Letting $k = \max\{m, n\}$, $x, y \in K_k$. Therefore the sum, difference, and product of x, y are in K_k . Any field arithmetic of \bar{K} can be performed in a subfield, \bar{K} is a field.

Let f be an irreducible monic polynomial in $\bar{K}[x]$. Since f has only finitely many coefficients, there is some n s.t. f is an irreducible monic polynomial in $K_n[x]$. By construction, f has a root in K_{n+1} , hence in \bar{K} . By the Euclidean division, f must have degree 1. Therefore, \bar{K} is algebraic closed.

By construction, the field extension K_{n+1}/K_n is algebraic for every n . \square

Exercise 1.1.13. In a ring A , let Σ be the set of all ideals in which every element is a zero-divisor. Show that the set Σ has minimal elements and that every maximal element of Σ is a prime ideal. Hence the set of zero-divisors in A is a union of prime ideals

Proof. If x is a zero-divisor, then Ax is a set of zero-divisors. Thus Σ is not empty and has a minimal element w.r.t. inclusion.

For a maximal ideal \mathfrak{p} in Σ , suppose $x, y \notin \mathfrak{p}$, then $\mathfrak{p} + (x) + (y) \notin \Sigma$. Then there is an element $p + x'x + y'y$ that is not a zero-divisor. If xy is zero-divisor, then $(p'xy)(p + x'x + y'y) = 0$, a contradiction \square

The prime spectrum of a ring

Exercise 1.1.14. Let A be a ring and let X be the set of all prime ideals of A . For each subset E of A , let $V(E)$ denote the set of all prime ideals of A which contain E . Prove that

1. if \mathfrak{a} is the ideal generated by E , then $V(E) = V(\mathfrak{a}) = V(r(\mathfrak{a}))$
2. $V(0) = X, V(1) = \emptyset$
3. if $(E_i)_{i \in I}$ is any family of subsets of A , then

$$V\left(\bigcup_{i \in I} E_i\right) = \bigcap_{i \in I} V(E_i)$$

4. $V(\mathfrak{a} \cap \mathfrak{b}) = V(\mathfrak{a}\mathfrak{b}) = V(\mathfrak{a}) \cup V(\mathfrak{b})$ for any ideals $\mathfrak{a}, \mathfrak{b}$ of A

These results show that the sets $V(E)$ satisfy the axioms for closed sets in a topological space. The resulting topology is called the **Zariski topology**. The topological space X is called the **prime spectrum** of A , and is written as $\text{Spec}(A)$

Proof. 1. If $\mathfrak{a} = (E)$, then \mathfrak{a} is the minimal ideal containing E . Hence $V(E) = V(\mathfrak{a})$. For any prime ideal \mathfrak{p} containing \mathfrak{a} and any $a \in r(\mathfrak{a})$. Then $a^n \in \mathfrak{a}$ for some n . Then $a^n \in \mathfrak{p}$, implying $a \in \mathfrak{p}$. Hence $V(\mathfrak{a}) \subseteq V(r(\mathfrak{a}))$.

2. Obvious

3. trivial

4. As $\mathfrak{a}\mathfrak{b} \subseteq \mathfrak{a} \cap \mathfrak{b}$, if $\mathfrak{a} \cap \mathfrak{b} \subseteq \mathfrak{p}$ then $\mathfrak{a}\mathfrak{b} \subseteq \mathfrak{p}$. On the other hand, if $\mathfrak{a}\mathfrak{b} \subseteq \mathfrak{p}$, then we have shown either $\mathfrak{a} \subseteq \mathfrak{p}$ or $\mathfrak{b} \subseteq \mathfrak{p}$ (Proposition 1.11). Thus $\mathfrak{a} \cap \mathfrak{b} \subseteq \mathfrak{p}$

□

Exercise 1.1.15. Draw pictures of $\text{Spec}(\mathbb{Z})$, $\text{Spec}(\mathbb{R})$, $\text{Spec}(\mathbb{C}[x])$, $\text{Spec}(\mathbb{R}[x])$, $\text{Spec}(\mathbb{Z}[x])$

Proof. \mathbb{Z} is PID, for any $E \subseteq \mathbb{Z}$, let $n = \min\{m \in E \mid m > 1\}$. Let $\mathfrak{a} = (n)$. Then $(E) = \mathfrak{a}$. Suppose $n = p_1^{n_1} \dots p_r^{n_r}$, then $V(E) = \{p_1\mathbb{Z}, \dots, p_r\mathbb{Z}\}$.

\mathbb{R} is a field and so there is only trivial ideals.

$\mathbb{C}[x]$ is a PID. Prime ideals are of the form (f) , where f is a monic irreducible or $f = 0$. As irreducible elements of $\mathbb{C}[x]$ is of the form $x - a$. Thus $\text{Spec } \mathbb{C}[x]$ is actually the complex plane.

For any ideal \mathfrak{a} of $\mathbb{C}[x]$, $\mathfrak{a} = (f)$. By the Fundamental Theorem of Algebra, $f = \prod_{i=1}^k (x - a_i)^{\alpha_i}$ for some complex numbers a_1, \dots, a_k and positive integers $\alpha_1, \dots, \alpha_k$. Define \sqrt{f} as $\prod_{i=1}^k (x - a_i)$. Since non-zero prime ideals of $\mathbb{C}[x]$ are maximal, we have

$$V(\mathfrak{a}) = V(f) = V(\sqrt{f}) = \bigcup_{i=1}^k V(x - a_i) = \{(x - a_1), \dots, (x - a_k)\}$$

Therefore non-empty open subsets of $\text{Spec } \mathbb{C}[x]$ are cofinite sets containing $\{0\}$

□

Exercise 1.1.16. For each $f \in A$, let X_f denote the complement of $V(f)$ in $X = \text{Spec}(A)$. The sets X_f are open. Show that they form a basis of open sets for the Zariski topology, and that

1. $X_f \cap X_g = X_{fg}$
2. $X_f = \emptyset$ iff f is nilpotent
3. $X_f = X$ iff f is a unit
4. $X_f = X_g$ iff $r((f)) = r((g))$
5. X is quasi-compact (that is, every open covering of X has a finite sub-covering)
6. More generally, each X_f is quasi-compact
7. An open subset of X is quasi-compact iff it is a finite union of sets X_f

The sets X_f are called **basic open sets** of $X = \text{Spec}(A)$

Proof. For any $\mathfrak{p} \in X$, let $x \in A \setminus \mathfrak{p}$. Then $\mathfrak{p} \notin V(x)$. Hence $\mathfrak{p} \in X_x$

If $\mathfrak{p} \in X_f \cap X_g$, then as $V(f) \cup V(g) = V(fg)$, then $\mathfrak{p} \in X_{fg}$. Hence this form a basis of open sets for the Zariski topology

1. $X_f \cap X_g = V(f)^c \cap V(g)^c = (V(f) \cup V(g))^c = (V(fg))^c = X_{fg}$
2. $X_f = \emptyset$ iff $V(f) = X$ iff $f \in \mathfrak{N}$
3. $X_f = X$ iff $V(f) = \emptyset$. Note that any ideal can be extended to a maximal ideal which is prime, thus f is not contained in any ideal, which means f is a unit
4. $r((f)) \subseteq r((g))$ iff every ideal containing (g) contains (f) iff $V(f) \subseteq V(g)$.
5. A collection \mathcal{C} of closed sets has finite intersection property iff for any finite $V(E_1), \dots, V(E_n) \in \mathcal{C}$, $\bigcap V(E_i) = V(\bigcup E_i) \neq \emptyset$ iff for any finite $V(E_1), \dots, V(E_n) \in \mathcal{C}$, $\bigcup E_i$ doesn't contain a unit. Thus $\bigcup_{\mathcal{C}} V(E_i)$ doesn't contain a unit and hence $\bigcap_{\mathcal{C}} V(E_i) \neq \emptyset$

Let $\{X_f\}_{f \in E}$ be an open cover of X . Taking complements shows that $V(E)$ is empty. Therefore $(E) = (1)$. This in turn implies that there are $f_1, \dots, f_n \in E$ and $a_1, \dots, a_n \in A$ s.t. $1 = \sum_{i=1}^n a_i f_i$. Thus $V(f_1, \dots, f_n)$ is empty

6. Suppose an open covering $\{X_g\}_{g \in E}$ of X_f , then $\bigcap_{g \in E} V(g) = V(\bigcup_{g \in E} g) = V(E) \subseteq V(f)$, which means that every prime containing E contains f , then $f \in r((E))$ (Proposition 1.12). So there are $g_1, \dots, g_n \in E$, $a_1, \dots, a_n \in A$ and a positive integer m s.t. $f^m = \sum_{i=1}^n a_i g_i$. Thus $V(f) \supseteq V(g_1, \dots, g_n)$. Hence $X_f \subseteq \bigcup_{i=1}^n X_{g_i}$

7. For any quasi-compact open sets U of X , $U = \bigcup_{f \in E} X_f$. And as it's quasi-compact, there is $E_0 \subseteq_f E$ s.t. $U = \bigcup_{f \in E_0} X_f$

□

Exercise 1.1.17. It is sometimes convenient to denote a prime ideal of A by a letter such as x or y when thinking of it as a point of $X = \text{Spec}(A)$. When thinking of x as a prime ideal of A , we denote it by \mathfrak{p}_x . Show that

1. the set $\{x\}$ is closed (we say that x is a “closed point”) in $\text{Spec}(A)$ iff \mathfrak{p}_x is maximal
2. $\overline{\{x\}} = V(\mathfrak{p}_x)$
3. $y \in \overline{\{x\}}$ iff $\mathfrak{p}_x \subseteq \mathfrak{p}_y$
4. X is a T_0 -space (this means that if x, y are disjoint points of X , then either there is a neighborhood of x which does not contain y , or else there is a neighborhood of y which does not contain x)

Proof. 1. $\{x\}$ is closed iff there is $E \subseteq A$ s.t. $\{x\} = V(E)$ which means \mathfrak{p}_x cannot be expanded anymore

2. $y \in \overline{\{x\}}$ iff \forall open $U \ni y, x \in U$ iff $\forall E \ y \notin V(E), x \notin V(E)$ iff $\forall E \ x \in V(E) \Rightarrow y \in V(E)$. As $x \in V(x), y \in V(x)$. If $y \in V(x)$, for any $x \in V(E)$, we have $y \in V(x) \subseteq V(E)$

3. $y \in \overline{\{x\}}$ iff $y \in V(x)$ iff $x \subseteq y$

4. If $x \subseteq y$, then $x \notin V(y)$ and $y \in V(y)$. If $x \not\subseteq y$, then $(x) \not\subseteq y$ and so $y \notin V(x)$.

If every neighborhood of x contains y and vice versa. Then $y \in \overline{\{x\}}$ and $x \in \overline{\{y\}}$. So $x = y$

□

Exercise 1.1.18. A topological space X is said to be **irreducible** if $X \neq \emptyset$ and if every pair of non-empty open sets in X intersect, or equivalently if every non-empty open set is dense in X . Show that $\text{Spec}(A)$ is irreducible iff the nilradical of A is a prime ideal

Proof. $\text{Spec}(A)$ is irreducible iff for any $V(E)^c, V(F)^c \neq \emptyset, V(E)^c \cap V(F)^c = (V(E) \cup V(F))^c = V(EF)^c \neq \emptyset$ iff $V(E), V(F) \neq X \Rightarrow V(EF) \neq X$ iff $V(EF) = X \Rightarrow V(E) = X \vee V(F) = X$.

For any $xy \in \mathfrak{N}$, $x^n y^n = 0$. Thus $V(xy) = X$ and hence either $V(x) = X$ or $V(y) = X$. Thus either $x \in \mathfrak{N}$ or $y \in \mathfrak{N}$.

If \mathfrak{N} is prime and if $V(EF) = X$, then $EF \subseteq \mathfrak{N}$ and either $E \subseteq \mathfrak{N}$ or $F \subseteq \mathfrak{N}$. Note that $V(\mathfrak{N}) = X$ \square

Exercise 1.1.19. Let X be a topological space

1. If Y is an irreducible subspace of X , then the closure \bar{Y} of Y in X is irreducible
2. Every irreducible subspace of X is contained in a maximal irreducible subspace
3. The maximal irreducible subspaces of X are closed and cover X . They are called the **irreducible components** of X . What are the irreducible components of a Hausdorff space?
4. If A is a ring and $X = \text{Spec}(A)$, then the irreducible components of X are the closed sets $V(\mathfrak{p})$, where \mathfrak{p} is a minimal prime ideal of A

Proof. 1. For any open $U, V \subseteq X$, then $U \cap Y \neq \emptyset \wedge V \cap Y \neq \emptyset \Rightarrow U \cap V \cap Y \neq \emptyset$.

Let U, V be open subsets of X s.t. $U \cap \bar{Y}$ and $V \cap \bar{Y}$ are nonempty. By the definition of closure, $U \cap Y$ and $V \cap Y$ are nonempty and hence $U \cap V \cap Y$ is nonempty, which is a subset of $U \cap V \cap \bar{Y}$

2. If Y is an irreducible subspace of X , let Σ be the set of irreducible subspaces of X containing Y , ordered by inclusion. Let $\{Z_n\}_{n \geq 1}$ be a chain in Σ and let $Z = \bigcup_{i=1}^n Z_n$. Suppose $U \cap Z \neq \emptyset$ and $V \cap Z \neq \emptyset$. Then there is i, j s.t. $U \cap Z_i \neq \emptyset$ and $V \cap Z_j \neq \emptyset$. So $U \cap V \cap Z_{\max\{i,j\}} \neq \emptyset$. Then by Zorn's Lemma

3. Note that $\{x\}$ is irreducible subspace.

In Hausdorff space, any subspace with more than one point has disjoint non-empty open sets, and is thus not irreducible

4. Show $V(\mathfrak{p})$ is irreducible and maximal

For any $E, F \subseteq A$, suppose $V(E)^c \cap V(\mathfrak{p})$ and $V(F)^c \cap V(\mathfrak{p})$ are nonempty, then there is $\mathfrak{p} \subseteq \mathfrak{m} \in V(E)^c \cap V(\mathfrak{p})$ and $\mathfrak{p} \subseteq \mathfrak{n} \in V(F)^c \cap V(\mathfrak{p})$. As \mathfrak{p} is minimal, $\mathfrak{p} \subseteq \mathfrak{m} \cap \mathfrak{n} \in V(E)^c \cap V(F)^c \cap V(\mathfrak{p})$

If $V(\mathfrak{p})$ is not maximal, then there is E s.t. $V(\mathfrak{p}) \subsetneq V(E)$, which implies that $(E) \subsetneq \mathfrak{p}$, a contradiction

Given any irreducible components $V(E) = V((E)) = V(\mathfrak{a})$ of X . If \mathfrak{a} is not minimal, then there is $\mathfrak{b} \subsetneq \mathfrak{a}$ and $V(\mathfrak{b}) \supseteq V(\mathfrak{a})$. Then $V(\mathfrak{b})$ is an irreducible component

□

Remark. Let $X = \text{Spec}(A)$ and $Y \subseteq X$. Note that $Y \subseteq V(\mathfrak{a}) \Leftrightarrow \mathfrak{a} \subseteq \bigcap_{y \in Y} y$. Thus

$$\begin{aligned} \bar{Y} &= \bigcap \{V(\mathfrak{a}) : Y \subseteq V(\mathfrak{a})\} = \bigcap \left\{ V(\mathfrak{a}) : \mathfrak{a} \subseteq \bigcap_{y \in Y} y \right\} \\ &= V \left(\bigcup \{ \mathfrak{a} : \mathfrak{a} \subseteq \bigcap_{y \in Y} y \} \right) = V \left(\bigcap_{y \in Y} y \right) \end{aligned}$$

Exercise 1.1.20. Let $\phi : A \rightarrow B$ be a ring homomorphism. Let $X = \text{Spec}(A)$ and $Y = \text{Spec}(B)$. If $\mathfrak{q} \in Y$, then $\phi^{-1}(\mathfrak{q})$ is a prime ideal, i.e., a point of X . Hence ϕ induces a mapping $\phi^* : Y \rightarrow X$. Show that

1. If $f \in A$ then $\phi^{*-1}(X_f) = X_{\phi(f)}$ and hence that ϕ^* is continuous
2. If \mathfrak{a} is an ideal of A , then $\phi^{*-1}(V(\mathfrak{a})) = V(\mathfrak{a}^e)$
3. If \mathfrak{b} is an ideal of B , then $\overline{\phi^*(V(\mathfrak{b}))} = V(\mathfrak{b}^c)$
4. If ϕ is surjective, then ϕ^* is a homeomorphism of Y onto the closed subset $V(\ker(\phi))$ of X (In particular, $\text{Spec}(A)$ and $\text{Spec}(A/\mathfrak{N})$ where \mathfrak{N} is the nilradical of A are naturally homeomorphic)
5. If ϕ is injective, then $\phi^*(Y)$ is dense in X . More precisely, $\phi^*(Y)$ is dense in X iff $\ker(\phi) \subseteq \mathfrak{N}$
6. Let $\psi : B \rightarrow C$ be another ring homomorphism. Then $(\psi \circ \phi)^* = \phi^* \circ \psi^*$
7. Let A be an integral domain with just one non-zero prime ideal \mathfrak{p} , and let K be the field of fractions of A . Let $B = (A/\mathfrak{p}) \times K$. Define $\phi : A \rightarrow B$ by $\phi(x) = (\bar{x}, x)$ where \bar{x} is the image of x in A/\mathfrak{p} . Show that ϕ^* is bijection but not a homeomorphism

Proof. 1. $\mathfrak{q} \in X_{\phi(f)}$ iff $\mathfrak{q} \notin V(\phi(f))$. $\phi^*(\mathfrak{q}) \in X_f$ iff $\phi^*(\mathfrak{q}) \notin V(f)$ iff $\phi^{-1}(\mathfrak{q}) \notin V(f)$.

If $\phi^{-1}(\mathfrak{q}) \in V(f)$, then $(f) \subseteq \phi^{-1}(\mathfrak{q})$, then $\phi((f)) \subseteq \mathfrak{q}$. Now we show $\phi((f)) = (\phi(f))$. $x \in \phi((f))$ iff $x = \phi(af)$ iff $x = \phi(a)\phi(f)$ iff $x \in (\phi(f))$. Thus $(\phi(f)) \subseteq \mathfrak{q}$ and so $\mathfrak{q} \in V(\phi(f))$.

If $\mathfrak{q} \in V(\phi(f))$, then $(\phi(f)) \subseteq \mathfrak{q}$, $\phi(f) \in \mathfrak{q}$ and so $\phi^{-1}(\phi(f)) \in \phi^{-1}(\mathfrak{q})$.

$$\mathfrak{q} \in \phi^{*-1}(X_f) \Leftrightarrow \phi^*(\mathfrak{q}) \in X_f \Leftrightarrow f \notin \phi^*(\mathfrak{q}) = \phi^{-1}(\mathfrak{q}) \Leftrightarrow \mathfrak{q} \in Y_{\phi(f)}$$

2. $x \in \phi^{*-1}(V(\mathfrak{a}))$ iff $\phi^*(x) \in V(\mathfrak{a})$ iff $\phi^{-1}(x) \in V(\mathfrak{a})$ iff $\mathfrak{a} \subseteq \phi^{-1}(x)$ iff $\phi(\mathfrak{a}) \subseteq x$ iff $\mathfrak{a}^e \subseteq x$ iff $x \in V(\mathfrak{a}^e)$

$$\mathfrak{q} \in \phi^{*-1}(V(\mathfrak{a})) \Leftrightarrow \phi^*(\mathfrak{q}) \in V(\mathfrak{a}) \Leftrightarrow \mathfrak{a} \subseteq \phi^*(\mathfrak{q}) \Leftrightarrow \mathfrak{a}^e \subseteq \mathfrak{q} \Leftrightarrow \mathfrak{q} \in V(\mathfrak{a}^e)$$

3. By remark, $\overline{\phi^*(V(\mathfrak{b}))}$ is the set of prime ideals containing $\bigcap \phi^*(V(\mathfrak{b}))$, which equals

$$\bigcap \{\mathfrak{q}^c : \mathfrak{q} \in V(\mathfrak{b})\} = \bigcap \{\mathfrak{q}^c : \mathfrak{b} \subseteq \mathfrak{q}\} = \left(\bigcap_{\mathfrak{b} \subseteq \mathfrak{q} \in Y} \mathfrak{q} \right)^c = r(\mathfrak{b})^c = r(\mathfrak{b}^c)$$

$$x \in \bigcap_{\mathfrak{q} \in X} \mathfrak{q}^c \Leftrightarrow \forall \mathfrak{q} \in X (x \in \mathfrak{q}^c) \Leftrightarrow \forall \mathfrak{q} \in X (f(x) \in \mathfrak{q})$$

$$\Leftrightarrow f(x) \in \bigcap_{\mathfrak{q} \in X} \mathfrak{q} \Leftrightarrow x \in \left(\bigcap_{\mathfrak{q} \in X} \mathfrak{q} \right)^c$$

$$x \in r(\mathfrak{b})^c \Leftrightarrow f(x)^n \in \mathfrak{b} \Leftrightarrow f(x^n) \in \mathfrak{b} \Leftrightarrow x^n \in \mathfrak{b}^c \Leftrightarrow x \in r(\mathfrak{b}^c)$$

4. If $\phi : A \rightarrow B$ is surjective, then the image of ideal of A is an ideal of B .
Image of prime ideal. For any $x \in V(\ker(\phi))$, $\phi(x)$ is prime and is its preimage. If $\phi^*(y_1) = \phi^*(y_2)$, then $\phi^{-1}(y_1) = \phi^{-1}(y_2)$. Hence $y_1 = y_2$ as ϕ is surjective. Thus ϕ is a bijection

For any $Y_f \in Y$

$$\mathfrak{q} \in \phi^*(Y_f) \Leftrightarrow \mathfrak{q} = \phi^*(\mathfrak{p}) \notin \phi^*(f) \Leftrightarrow \phi^{-1}(f) \notin \mathfrak{q} \Leftrightarrow \mathfrak{q} \in X_{\phi^{-1}(f)}$$

$$\text{So } \phi^*(Y_f) = X_{\phi^{-1}(f)}$$

Consider the canonical map $\phi : A \rightarrow A/\mathfrak{N}$. Then we have $\text{Spec}(A/\mathfrak{N}) \cong V(\mathfrak{N}) = \text{Spec}(A)$

5. Note that $\phi^*(Y) = V(\ker(\phi))$. Thus

$$\overline{\phi^*(Y)} = V\left(\bigcap \phi^*(Y)\right) = V\left(\bigcap V(\ker(\phi))\right) = V(r(\ker(\phi))) = V(\ker(\phi))$$

6. For any $\mathfrak{p} \in Z = \text{Spec}(C)$

$$(\psi \circ \phi)^*(\mathfrak{p}) = (\psi \circ \phi)^{-1}(\mathfrak{p}) = \phi^{-1}(\psi^{-1}(\mathfrak{p})) = \phi^* \circ \psi^*(\mathfrak{p})$$

7. \mathfrak{p} is maximal and A/\mathfrak{p} is a field. Thus B has ideal $0 \times 0, 0 \times K, (A/\mathfrak{p}) \times 0$ and $(A/\mathfrak{p}) \times K$

A has prime ideals (0) and \mathfrak{p} . B has prime ideals $0 \times K$ and $(A/\mathfrak{p}) \times 0$. In $\text{Spec}(B) = \{\mathfrak{q}_1, \mathfrak{q}_2\}$, we have $\{\mathfrak{q}_1\} = V(\mathfrak{q}_1)$ is closed as $\mathfrak{q}_1 \not\subseteq \mathfrak{q}_2$, but $\phi^*(\mathfrak{q}_1)$ is not closed in $\text{Spec}(A)$ as 0 is not a maximal ideal of A

□

Exercise 1.1.21. Let $A = \prod_{i=1}^n A_i$ be the direct product of rings A_i . Show that $\text{Spec}(A)$ is the disjoint union of open (and closed) subspaces X_i , where X_i is canonically homeomorphic with $\text{Spec}(A_i)$

Conversely let A be any ring. Show that TFAE

1. $X = \text{Spec}(A)$ is disconnected
2. $A \cong A_1 \times A_2$ where neither of the rings A_1, A_2 is the zero ring
3. A contains an idempotent $\neq 0, 1$

In particular, the spectrum of a local ring is always connected (Exercise 1.1.11)

Proof. Let $\pi_i : A \rightarrow A_i$ be the canonical projection, and $\mathfrak{b}_i = \prod_{j \neq i} A_j$ its kernel; then by 1.1.20 (4) π_i^* is a homeomorphism $\text{Spec}(A_i) \cong V(\mathfrak{b}_i)$. Since $\bigcap_{i=1}^n \mathfrak{b}_i = 0$, it follows that $\bigcup V(\mathfrak{b}_i) = V(\bigcap \mathfrak{b}_i) = V(0) = \text{Spec}(A)$, so that $V(\mathfrak{b}_i)$ cover $\text{Spec}(A)$. Since $\mathfrak{b}_i + \mathfrak{b}_j = A$ for $i \neq j$ and hence $V(\mathfrak{b}_i) \cap V(\mathfrak{b}_j) = V(\mathfrak{b}_i + \mathfrak{b}_j) = V(1) = \emptyset$, it follows that $V(\mathfrak{b}_j)$ are disjoint. Since the complement $\bigcup_{j \neq i} V(\mathfrak{b}_j)$ of each $V(\mathfrak{b}_i)$ is a finite union of closed sets, the $V(\mathfrak{b}_i)$ are also open. (VERY NICE PROOF)

$2 \rightarrow 1$ follows as above

X is disconnected iff there is non-zero \mathfrak{a} and \mathfrak{b} s.t. $X = V(\mathfrak{a}) \cup V(\mathfrak{b}) = V(\mathfrak{a}\mathfrak{b})$ and $\emptyset = V(\mathfrak{a}) \cap V(\mathfrak{b}) = V(\mathfrak{a} \cup \mathfrak{b}) = V(\mathfrak{a} + \mathfrak{b})$. Thus $\mathfrak{a} + \mathfrak{b} = (1)$ and $r(\mathfrak{a}\mathfrak{b}) = \mathfrak{N}$. There are $f \in \mathfrak{a}, g \in \mathfrak{b}, n \in \mathbb{N}_+$ s.t. $f + g = 1$ and $(fg)^n = 0$. Since $(f, g) \subseteq r((f^n, g^n))$ and $V(f, g)$ is not empty, $V(f^n, g^n)$ is not empty. Thus $(f^n) + (g^n) = (1)$.

$1 \rightarrow 3$. the Chinese Remainder Theorem implies that $A \rightarrow (A/(f^n)) \times (A/(g^n))$ is an isomorphism. Neither of f, g is a unit, because they are elements of the proper ideals $\mathfrak{a}, \mathfrak{b}$

$1 \rightarrow 2$. we can find $e \in (f^n)$ s.t. $1 - e \in (g^n)$. We then have $e - e^2 = e(1 - e) \in (ab)^n = 0$, so $e = e^2$

$3 \rightarrow 2$. Suppose $e \neq 0, 1$ is an idempotent. Then $1 - e$ is also an idempotent $\neq 0, 1$, and neither is a unit. This means (e) and $(1 - e)$ are

proper, nonzero ideals, and they are coprime since $e + (1 - e) = 1$. Since $(e)(1 - e) = (e - e^2) = 0$, then $(e) \cap (1 - e) = (0)$. Hence we have an isomorphism $\phi : A \rightarrow (A/(e)) \times (A/(1 - e))$. \square

Exercise 1.1.22. Let A be a Boolean ring and let $X = \text{Spec}(A)$

1. For each $f \in A$ the set X_f is both open and closed in X
2. Let $f_1, \dots, f_n \in A$. Show that $X_{f_1} \cup \dots \cup X_{f_n} = X_f$ for some $f \in A$
3. The sets X_f are the only subsets of X which are both open and closed
4. X is a compact Hausdorff space

Proof. 1. For any $\mathfrak{p} \in X$, $f(1 - f) = 0 \in \mathfrak{p}$ and hence either $f \in \mathfrak{p}$ or $1 - f \in \mathfrak{p}$. Thus $X = X_f \cup X_{1-f}$

2. $x \in X_{f_1} \cup \dots \cup X_{f_n}$ iff $x \in V(f_1)^c \cup \dots \cup V(f_n)^c$ iff $x \in (V(f_1) \cap \dots \cap V(f_n))^c$ iff $x \in (V((f_1, \dots, f_n)))^c$. By Exercise 1.1.10, $(f_1, \dots, f_n) = (g)$ for some g . Hence $X_{f_1} \cup \dots \cup X_{f_n} = X_g$

3. Let $Y \subseteq X$ be both open and closed. Since Y is open, it is a union of basic open sets X_f . Since Y is closed and X is quasi-compact (Exercise 1.1.16), Y is quasi-compact. Hence Y is a finite union of basic open sets and hence equals a basic open sets.

4. For any $\mathfrak{p} \neq \mathfrak{q} \in X$, \mathfrak{p} and \mathfrak{q} are maximal according to Exercise 1.1.10. \square

2 TODO Problems

1.1: need more field knowledge to deal with $\mathbb{R}[x]$ and $\mathbb{Z}[x]$