# Universal algebra

Introduction to Model Theory (Third hour)

December 23, 2021

# Warning

I'll mostly use terminology and conventions from model theory. Universal algebraists probably use slightly different terminology and conventions.

Section 1

**Varieties** 



## Identities

Let L be a language with only function symbols, no relation symbols.

• Constant symbols are 0-ary function symbols.

#### **Definition**

An identity is a sentence of the form

$$\forall \bar{x} \ (t(\bar{x}) = s(\bar{x})).$$

For example,

$$\forall x, y, z : x \cdot (y + z) = (x \cdot y) + (x \cdot z).$$

is an identity.



## **Varieties**

### Definition

A variety is a class of L-structures defined by a set T of identities.

### Warnings:

- T can be infinite.
- Outside of universal algebra, "variety" means something completely different.

## Groups

The class of groups is a variety.

- The language consists of a binary function symbol  $\cdot$ , a unary function symbol  $(-)^{-1}$ , and a nullary (0-ary) function symbol 1.
- The defining identities are

$$\forall x, y, z : x \cdot (y \cdot z) = (x \cdot y) \cdot z$$
$$\forall x : x \cdot 1 = x$$
$$\forall x : 1 \cdot x = x$$
$$\forall x : x \cdot (x^{-1}) = 1$$
$$\forall x : (x^{-1}) \cdot x = 1.$$

# Rings

The class of (commutative unital) rings is a variety.

- The language consists of two binary operations  $+, \cdot$ , a unary operation -, and two nullary operations 0, 1.
- The defining identities are as follows, omitting the universal quantifiers:

$$x + (y + z) = (x + y) + z \qquad x \cdot (y \cdot z) = (x \cdot y) \cdot z$$
$$x + y = y + x \qquad x \cdot y = y \cdot x$$
$$x \cdot 1 = x \qquad x + 0 = x \qquad x + (-x) = 0$$
$$x \cdot (y + z) = (x \cdot y) + (x \cdot z).$$

### Lattices

The class of bounded lattices is a variety.

- The language consists of two binary operations  $\land, \lor$ , and two nullary operations  $\bot, \top$
- The defining identities are

$$x \wedge y = y \wedge x \qquad x \vee y = y \vee x$$

$$x \wedge (y \wedge z) = (x \wedge y) \wedge z \qquad x \vee (y \vee z) = (x \vee y) \vee z$$

$$x \wedge x = x \qquad x \vee x = x$$

$$x \wedge (x \vee y) = x \qquad x \vee (x \wedge y) = x.$$

### More varieties. . .

- Abelian groups
- Distributive lattices
- Modular lattices
- Bounded lattices (in the language ∧, ∨, ⊥, ⊤)
- Boolean algebras (in the language  $\land, \lor, \bot, \top, \neg$ )
- Heyting algebras (in the language  $\land, \lor, \bot, \top, \rightarrow$ ).

### More varieties...

- Sets (take the empty language and no identities).
- Magmas: a set with a binary operation.
- Semigroups: a set with an associative operation.
- Monoids:  $(M, \cdot, 1)$  where  $(M, \cdot)$  is a semigroup and  $x \cdot 1 = 1 \cdot x = x$ .
- Bands: idempotent semigroups  $(x \cdot x = x)$ .

## Section 2

Homomorphisms



# Homomorphisms

Let M, N be L-structures.

#### **Definition**

A homomorphism from M to N is a function  $h: M \to N$  such that for any k-ary function symbol f, we have

$$h(f(x_1,...,x_n)) = f(h(x_1),h(x_2),...,h(x_n)).$$

This recovers our earlier definitions of "homomorphism" in ring theory, group theory, and lattice theory.

#### Fact

If  $h: M \to N$  is a homomorphism and  $t(x_1, \ldots, x_n)$  is an L-term, then

$$h(t(x_1,\ldots,x_n))=t(h(x_1),\ldots,h(x_n)).$$

# The category of models of T

Let  ${\mathcal V}$  be a variety. Then there is a category where

- ullet Objects are structures in  ${\cal V}$ .
- Morphisms are homomorphisms.

### **Fact**

This category has all small limits and colimits.

# Isomorphisms, automorphism, endomorphisms

### Definition

An isomorphism from M to N is a bijective homomorphism.

#### Definition

An automorphism of M is an isomorphism from M to M.

The set of automorphisms is denoted Aut(M), and is a group.

#### **Definition**

An endomorphism of M is a homomorphism from M to M.

The set of endomorphisms of M is denoted End(M), and is a monoid.

# Isomorphism

### **Definition**

 $M \cong N$  if there is an isomorphism from M to N.

### **Fact**

 $\cong$  is an equivalence relation:

- M ≅ M
- $M \cong N \implies N \cong M$ .
- $M \cong N \cong N' \implies M \cong N'$ .

# Homomorphisms and identities

Let  $\varphi$  be an identity  $t(\bar{x}) = s(\bar{x})$ .

### **Fact**

If  $f: M \to N$  is a surjective homomorphism and  $M \models \varphi$ , then  $N \models \varphi$ .

## **Fact**

If  $f: M \to N$  is an injective homomorphism and  $N \models \varphi$ , then  $M \models \varphi$ .

### Corollary

If  $M \cong N$ , then M and N satisfy the same identities.



# Homomorphisms and identities

Let  $\varphi$  be an identity  $t(\bar{x}) = s(\bar{x})$ .

#### **Fact**

If  $f: M \to N$  is a surjective homomorphism and  $M \models \varphi$ , then  $N \models \varphi$ .

### Proof.

Given  $\bar{a} \in N^n$ , write  $\bar{a}$  as  $f(\bar{b})$  for some  $\bar{b} \in M$ , and then

$$t(\bar{a}) = t(f(\bar{b})) = \underbrace{f(t(\bar{b})) = f(s(\bar{b}))}_{\text{since } M \models \varphi} = s(f(\bar{b})) = s(\bar{a}).$$

# Homomorphisms and identities

Let  $\varphi$  be an identity  $t(\bar{x}) = s(\bar{x})$ .

#### **Fact**

If  $f: M \to N$  is an injective homomorphism and  $N \models \varphi$ , then  $M \models \varphi$ .

### Proof.

If  $\bar{a} \in M^n$ , then

$$f(t(\bar{a})) = \underbrace{t(f(\bar{a})) = s(f(\bar{a}))}_{\text{since } N \models \varphi} = f(s(\bar{a})).$$

Since f is injective,  $f(t(\bar{a})) = f(s(\bar{a})) \implies t(\bar{a}) = s(\bar{a})$ .

## Section 3

Closure properties of varieties

## Substructures

Let *M* be an *L*-structure.

#### Definition

A *substructure* (or *subalgebra*) is a subset  $A \subseteq M$  closed under every operation f in L:

$$a_1,\ldots,a_n\in A\implies f(a_1,\ldots,a_n)\in A.$$

Then we can regard A as an L-structure by restricting each operation to A.

### The lattice of substructures

Fix an L-structure M.

#### **Fact**

- Any intersection of substructures of M is a substructure of M.
- The set of substructures is a complete lattice.
- There is a finitary closure operation

$$X \to \langle X \rangle_M$$

sending  $X \subseteq M$  to the smallest substructure containing X

- The corresponding closed sets are the substructures of M.
- $\langle X \rangle_M$  is exactly

$$\{t(a_1,\ldots,a_n):t(x_1,\ldots,x_n) \text{ is an L-term and } a_1,\ldots,a_n\in X\}.$$

## Varieties and substructures

#### **Theorem**

If A is a substructure of M, and M satisfies an identity  $\varphi$ , then  $A \models \varphi$ .

### Proof.

The inclusion  $A \rightarrow M$  is an injective homomorphism.

#### **Theorem**

Let V be a variety. If  $M \in V$  and A is a substructure of M, then  $A \in V$ .

# Binary products

Let M, N be L-structures.

### **Definition**

The *product*  $M \times N$  is the *L*-structure on the set  $M \times N$  with an *n*-ary function symbol  $f \in L$  interpreted as follows:

$$f((x_1, y_1), \ldots, (x_n, y_n)) = (f(x_1, \ldots, x_n), f(y_1, \ldots, y_n)).$$

# Binary products

## Example

The product of two rings R, S is the ring  $R \times S$  where

$$(x_1, y_1) + (x_2, y_2) = (x_1 + x_2, y_1 + y_2)$$

$$(x_1, y_1) \cdot (x_2, y_2) = (x_1 \cdot x_2, y_1 \cdot y_2)$$

$$-(x, y) = (-x, -y)$$

$$1 = (1, 1)$$

$$0 = (0, 0).$$

### Idea

All operations are done coordinate-by-coordinate.

# Binary products

## **Fact**

If V is a variety and  $M, N \in V$ , then  $M \times N \in V$ .

## Corollary

Fields are not a variety in any sensible way, since there are fields of size 2 and 3, but no field of size 6.

Let I be a set.

#### Definition

An *I*-tuple is a function with domain *I*.

 $(x_i : i \in I)$  denotes the function  $i \mapsto x_i$ .

If I is  $\{1, 2, ..., n\}$ , then we can identify n-tuples and I-tuples:

$$(x_1,\ldots,x_n)$$
 corresponds to  $(x_i:i\in I)$ 

Let  $\{S_i\}_{i\in I}$  be a family of sets.

#### Definition

The product  $\prod_{i \in I} S_i$  is the set of *I*-tuples  $(x_i : i \in I)$  where  $x_i \in S_i$ .

- Thinking of tuples as functions,  $\prod_{i \in I} S_i$  is the set of functions  $f: I \to \bigcup_{i \in I} S_i$  such that  $\forall i \in I: f(i) \in S_i$ .
- When  $I = \{1, 2, ..., n\}$ , we can identify  $\prod_{i \in I} S_i$  with  $S_1 \times \cdots \times S_n$ .

Let  $\{M_i\}_{i\in I}$  be a family of *L*-structures.

#### Definition

The product structure  $\prod_{i \in I} M_i$  is the L-structure on  $\prod_{i \in I} M_i$  in which each k-ary function symbol operates coordinate-by-coordinate:

$$f(\bar{x}^1,\ldots,\bar{x}^k) = (f(x_i^1,x_i^2,\ldots,x_i^k): i \in I).$$

When  $I = \{1, 2, ..., n\}$ , we have

$$\prod_{i\in I}M_i\cong M_1\times\cdots\times M_n.$$

#### **Fact**

Let V be a variety. If  $M_i \in V$  for all  $i \in I$ , then the product  $\prod_{i \in I} M_i$  is in V.

This is analogous to the theorem in model theory:

### **Fact**

Let  $\mathcal K$  be an elementary class (the set of models of some theory). If  $M_i \in \mathcal K$  for all  $i \in I$ , and  $\mathcal U$  is an ultrafilter on I, then the ultraproduct  $\prod_{i \in I} M_i / \mathcal U$  is in  $\mathcal K$ .

# Jointly injective families

### **Definition**

Let M be an L-structure. Let  $\{f_i: M \to N_i\}_{i \in I}$  be a family of homomorphisms. Say that the family is "jointly injective" if for any  $x \neq y$  in M, there is  $i \in I$  such that  $f_i(x) \neq f_i(y)$ .

### **Fact**

Let  $\{f_i: M \to N_i\}_{i \in I}$  be a jointly injective family of homomorphisms.

- If every  $N_i$  satisfies some identity  $\varphi$ , then  $M \models \varphi$ .
- If every  $N_i$  is in some variety V, then M is in V.

The proof is similar to the case of a single injection.

# Jointly injective families

#### **Fact**

Let  $M_i$  be an L-structure for each  $i \in I$ .

ullet For each  $j \in I$ , there is a projection homomorphism

$$\pi_j: \prod_{i\in I} M_i \to M_j$$
$$x \mapsto x_j.$$

- The family of projections  $\pi_i$  is jointly injective.
- Therefore, if each  $M_i \in \mathcal{V}$ , then the product is in  $\mathcal{V}$ .

# Nullary (0-ary) products

- If  $I = \emptyset$ , then  $\prod_{i \in I} M_i$  makes sense, and is the *L*-structure with one element.
- Because varieties are closed under products, this L-structure is in any variety.
  - It's the terminal object in the category.

## Congruences

Let M be an L-structure.

#### Definition

A *congruence* on M is a substructure  $E \subseteq M \times M$  such that E is an equivalence relation on M.

Equivalently, a congruence on M is an equivalence relation  $\sim$  on M such that for any k-ary function symbol f

$$x_1 \sim y_1$$
 and  $x_2 \sim y_2$  and  $\cdots$  and  $x_k \sim y_k \implies f(x_1, \dots, x_k) \sim f(y_1, \dots, y_k)$ 

## Example

A congruence on a group is an equivalence relation  $\sim$  such that

$$x \sim x', y \sim y' \implies xy \sim x'y'$$
  
 $x \sim y \implies x^{-1} \sim y^{-1}$   
 $1 \sim 1$ 

# Something silly

What if we replace "equivalence relation" with "function" in the definition of congruence. . . ?

### **Fact**

Let M, N be L-structures. A homomorphism from M to N is the same thing as a substructure  $f \subseteq M \times N$  that is a function from M to N.

# The lattice of congruences

Fix an L-structure M.

#### Fact

- Any intersection of congruences on M is a congruence on M.
- The set of congruences is a complete lattice.
- There is a finitary closure operation on  $M^2$  sending a relation  $R \subseteq M^2$  to the smallest congruence containing R.
- The closed sets are exactly the congruences on M.

The closure operation is not as nice as the one for substructures.

# Congruences in rings

#### Fact

Let I be an ideal in a ring R. There is a congruence  $\equiv_I$  on R defined by

$$x \equiv_I y \iff x - y \in I$$
.

 $x \equiv_I y$  is usually written " $x \equiv y \pmod{I}$ ".

### **Fact**

All congruences on R arise this way. The lattice of congruences is isomorphic to the lattice of ideals.

Moral of the story: ideals are congruences in ring theory.

## Congruences in groups

#### **Fact**

Let N be a normal subgroup in a group G. There is a congruence  $\equiv_N$  on G defined by

$$x \equiv_{N} y \iff xy^{-1} \in N.$$

#### **Fact**

All congruences on G arise this way. The lattice of congruences is isomorphic to the lattice of normal subgroups.

Moral of the story: normal subgroups are congruences in group theory.

## Quotients

#### **Definition**

Let  $\sim$  be a congruence on an L-structure M. Let [a] denote the  $\sim$ -class of  $a \in M$ . The *quotient structure*  $M/(\sim)$  is the L-structure on  $\{[a]: a \in M\}$ , where a k-ary function symbol is defined by

$$f([x_1],\ldots,[x_k])=[f(x_1,\ldots,x_k)].$$

#### **Fact**

This is well-defined: if  $[x_i] = [y_i]$  for  $1 \le i \le k$ , then  $[f(x_1, \ldots, x_k)] = [f(y_1, \ldots, y_k)]$ .

#### Remark

This generalizes R/I in ring theory and G/N in group theory.

## Quotients and varieties

#### **Fact**

Let  $\mathcal V$  be a variety. If  $M \in \mathcal V$  and  $\sim$  is a congruence on M, then  $M/(\sim) \in \mathcal V$ .

### Proof.

The map  $x \mapsto [x]$  is a surjective homomorphism  $M \to M/(\sim)$ .

## Kernels and images

Let  $f: M \to N$  be a homomorphism.

- The *image* is  $f(M) = \{f(x) : x \in M\}.$
- The *kernel* is the relation  $x \sim y \iff f(x) = f(y)$ .

## Fact (Fundamental theorem of homomorphisms)

The image of f is a substructure of N. The kernel of f is a congruence on M. There is an isomorphism

$$M/\ker(f)\cong \operatorname{im}(f)$$
.

# Closure properties of varieties

#### **Fact**

Let  $\mathcal V$  be a variety. Then  $\mathcal V$  is closed under isomorphisms, substructures, quotients, and products.

- If  $M \in \mathcal{V}$  and  $M \cong N$ , then  $N \in \mathcal{V}$ .
- If  $M \in \mathcal{V}$  and N is a substructure of M, then  $N \in \mathcal{V}$ .
- If  $M \in \mathcal{V}$  and  $\sim$  is a congruence on M, then  $M/(\sim) \in \mathcal{V}$ .
- If  $M_i \in \mathcal{V}$  for all  $i \in I$ , then  $\prod_{i \in I} M_i \in \mathcal{V}$ .

### Birkhoff's theorem

## Theorem (Birkhoff)

Let  $\mathcal V$  be a class of structures closed under isomorphisms, substructures, quotients, and products. Then  $\mathcal V$  is a variety.

Analogues in model theory:

## Theorem (Keisler-Shelah, hard)

 ${\cal K}$  is an elementary class iff the following hold:

- If  $M \in \mathcal{K}$  and  $M \cong N$ , then  $N \in \mathcal{K}$ .
- K is closed under ultraproducts.
- If M is a structure and some ultrapower  $M^I/U \in \mathcal{K}$ , then  $M \in \mathcal{K}$ .

## Theorem (easier)

 ${\cal K}$  is an elementary class defined by universally quantified formulas iff  ${\cal K}$  is closed under isomorphism, substructure, and ultraproducts.

## The variety generated by...

### **Fact**

Let K be a class of structures. There is a unique smallest variety V containing K.

- Construction 1:  $\mathcal V$  is the class of structures generated from  $\mathcal K$  by isomorphisms, products, quotients, and substructures.
- Construction 2: let T be the set of identities which are true in K. Then V is the variety defined by T.

## The variety generated by...

- **1** The variety of commutative rings is generated by X, where X is any of  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ .
- The variety of boolean algebras is generated by powersets.
- The variety of boolean algebras is generated by the two-element boolean algebra.
  - ► This is why the axioms of boolean algebras generate the set of tautologies in propositional logic.

## Section 4

Equational logic

## Example

#### **Theorem**

If R is a ring, then R satisfies the identity  $x \cdot 0 = 0$ .

### Proof.

$$x \cdot 0 = (x \cdot 0) + 0 = (x \cdot 0) + (x + (-x)) = ((x \cdot 0) + x) + (-x) = ((x \cdot 0) + (x \cdot 1)) + (-x) = x \cdot (0 + 1) + (-x) = x \cdot (1 + 0) + (-x) = x \cdot 1 + (-x) = x + (-x) = 0.$$

### Idea

This sort of "one-line proof" is always possible.



# "One-step equivalence"

Fix a set of identities T in a functional language L.

#### **Definition**

Two *L*-terms t, s are "one-step equivalent", written  $t \leftrightarrow s$ , if t and s are directly related by an identity in T.

More precisely,  $\leftrightarrow$  is generated by the following:

• If  $t(x_1, ..., x_n) = s(x_1, ..., x_n)$  is one of the identities in T, then for any terms  $a_1, ..., a_n$ ,

$$t(a_1,\ldots,a_n)\leftrightarrow s(a_1,\ldots,a_n)\leftrightarrow t(a_1,\ldots,a_n).$$

• If  $t \leftrightarrow s$  and f is a k-ary function symbol, then

$$f(a_1,\ldots,a_{i-1},t,a_{i+1},\ldots,a_n)\leftrightarrow f(a_1,\ldots,a_{i-1},s,a_{i+1},\ldots,a_n)$$

for any  $1 \le i \le k$  and any terms  $a_1, \ldots, a_n$ .

# "One-step equivalence"

### Example

If T is the set of ring axioms, then

$$(x \cdot 0) + (x \cdot 1) \leftrightarrow x \cdot (0+1)$$

by substituting the terms x, 0, 1 into the identity  $x \cdot (y + z) = x \cdot y + x \cdot z$ . Then

$$((x\cdot 0)+(x\cdot 1))+(-x)\leftrightarrow x\cdot (0+1)+(-x)$$

by applying (...) + (-x) to both sides.



## Equivalence

Continue to fix a set of identities T.

- Let  $\equiv$  be the equivalence relation generated by  $\leftrightarrow$ .
- In other words,  $t \equiv s$  if there exist  $n \ge 0$  and terms  $a_0, \ldots, a_n$  such that  $t = a_0 \leftrightarrow a_1 \leftrightarrow \cdots \leftrightarrow a_n = s$ .
- (n can be 0, so  $\equiv$  is reflexive)
- (↔ is already symmetric.)

## Example

When *T* is the theory of rings, we saw above that  $x \cdot 0 \equiv 0$ .

## Equivalence

### Fact (Soundness)

If  $t(\bar{x}) \equiv s(\bar{x})$ , then the identity  $t(\bar{x}) = s(\bar{x})$  holds in any model of T.

### Fact (Completeness)

If an identity  $t(\bar{x}) = s(\bar{x})$  holds in all models of T, then  $t(\bar{x}) \equiv s(\bar{x})$ .

- Soundness is "easy"—you check that the rules defining  $\leftrightarrow$  and  $\equiv$  are sound.
- Completeness is less obvious.

### The free *L*-structure

Let  $\mathcal{X} = \{x_1, x_2, \ldots\}$  be a set of variables.

### **Definition**

The free L-structure on  $\mathcal{X}$  is the set of L-terms in the variables  $\mathcal{X}$ .

### Example

When L is the language of rings, the free L-structure on x, y, z contains things like

$$0, x + (-x), (y + z) \cdot (1 + (-1))$$

none of which are equal.



### The free model of T

Let  $\mathcal{X} = \{x_1, x_2, \ldots\}$  be a set of variables.

#### **Fact**

- ullet is a congruence on the free L-structure.
- The quotient is a model of T.
- $\bullet \equiv$  is the smallest congruence with this property.

This isn't hard; it's practically by definition of  $\leftrightarrow$ .

#### Definition

The free model of T is the quotient of the free L-structure by  $\equiv$ .

### The free model of T

#### Definition

The free model of T is the quotient of the free L-structure by  $\equiv$ .

Suppose T is the theory of rings. The free model of T (i.e., the free ring) on x, y, z contains things like

$$x \cdot x$$
,  $(x \cdot x + y) + (-y)$ ,  $1 \cdot 0 + z$ 

The first two are equal, but the third is distinct.

#### **Fact**

The free ring on  $x_1, \ldots, x_n$  is isomorphic to the ring of polynomials  $\mathbb{Z}[x_1, \ldots, x_n]$ .



### The free model of T

#### **Fact**

If  $t(x_1,...,x_n) \not\equiv s(x_1,...,x_n)$ , then  $t(X_1,...,X_n) \not\equiv s(X_1,...,X_n)$  in the free model of T on  $\{X_1,...,X_n\}$ , so the identity t=s does not hold in the free model.

# The free group

#### **Fact**

- The free group on three variables  $\{x, y, z\}$  is the set of strings in the alphabet  $\{x, y, z, x^{-1}, y^{-1}, z^{-1}\}$  containing no substrings of the form  $xx^{-1}$ ,  $x^{-1}x$ ,  $yy^{-1}$ ,  $y^{-1}y$ ,  $zz^{-1}$ ,  $z^{-1}z$ .
- The identity element is the empty string.
- The group operation  $\sigma \cdot \tau$  consists of concatenating  $\sigma$  and  $\tau$ , then removing any instances of the six forbidden strings.

### Example

xyz times  $z^{-1}y^{-1}z$  is xz, because  $xyzz^{-1}y^{-1}z \mapsto xyy^{-1}z \mapsto xz$ .

# Why Birkhoff's theorem is true

Suppose  $\mathcal K$  is closed under products, quotients, substructures, and isomorphisms.

#### Remark

If  $f: M \to N$  is an injective homomorphism and  $N \in \mathcal{K}$ , then  $M \in \mathcal{K}$ .

### Proof.

$$M\cong \operatorname{im}(f)\subseteq N$$
.

#### Remark

If  $f: M \to N$  is a surjective homomorphism and  $M \in \mathcal{K}$ , then  $N \in \mathcal{K}$ .

### Proof.

$$N \cong M/(\ker(f)).$$

# Why Birkhoff's theorem is true

Suppose  $\ensuremath{\mathcal{K}}$  is closed under products, quotients, substructures, and isomorphisms.

#### Remark

Suppose  $\{f_i: M \to N_i\}$  is a jointly injective family and the  $N_i$  are in  $\mathcal{K}$ . Then M is in  $\mathcal{K}$ .

#### Proof.

There is an injective homomorphism  $M \to \prod_{i \in I} N_i$ .



# Why Birkhoff's theorem is true

Suppose  $\mathcal K$  is closed under products, quotients, substructures, and isomorphisms.

- Let T be the set of identities which hold in K, and V be the corresponding variety.
- $\mathcal{K} \subseteq \mathcal{V}$ ; we want the reverse inclusion.

### Fact (Not that hard)

If F is a free model of T, then there is a jointly injective family of maps  $\{f_i: F \to M_i\}$  with  $M_i \in \mathcal{K}$ . Consequently,  $F \in \mathcal{K}$ .

- If  $M \in \mathcal{V}$ , let F(M) be the free model of T on the set M.
- The identity map  $M \to M$  extends to a surjective homomorphism  $F(M) \to M$ .
- By the Fact,  $F(M) \in \mathcal{K}$ , so  $M \in \mathcal{K}$ .
- Thus  $\mathcal{V} \subseteq \mathcal{K}$ , and  $\mathcal{K}$  is defined by T.



## Section 5

More category theory

# The universal property of free models

#### Fact

Let R be a commutative ring. For any  $a,b,c\in R$ , there is a unique ring homomorphism

$$f: \mathbb{Z}[x, y, z] \to R$$

such that f(x) = a, f(y) = b, and f(z) = c. Specifically f is the map sending  $P(x, y, z) \in \mathbb{Z}[x, y, z]$  to P(a, b, c).

More generally,

#### **Fact**

Let T be a set of identities. Let  $\mathcal{X}$  be a set of variables. Let  $F(\mathcal{X})$  be the free model of T on the variables  $\mathcal{X}$ . For any  $M \models T$  and  $f : \mathcal{X} \to M$ , there is a unique homomorphism  $g : F(\mathcal{X}) \to M$  extending f.

# The universal property of free models

Let  $F: \mathbf{Set} \to \mathbf{Mod}_T$  be the functor from sets to models of T sending X to the free model on X. Then we have an adjunction

$$\mathsf{Hom}_{\mathbf{Mod}_{\mathcal{T}}}(F(X),M) \cong \mathsf{Hom}_{\mathbf{Set}}(X,M).$$

So F is left adjoint to the forgetful functor  $\mathbf{Mod}_{\mathcal{T}} \to \mathbf{Set}$ .

### Presentations

Fix a variety  ${\mathcal V}$  defined by an equational theory  ${\mathcal T}.$ 

#### **Definition**

If  $x_1, x_2, \ldots$  are variables and  $t_1, s_1, t_2, s_2, \ldots$  are terms in the  $x_i$ , then

$$\langle x_1, x_2, \ldots, | t_1 = s_1, t_2 = s_2, \ldots \rangle$$

denotes the quotient of the free model of T on the variables  $x_1, x_2, ...$  by the congruence generated by  $\{(t_1, s_1), (t_2, s_2), ...\}$ .

### Example

In the variety of groups,  $\langle a,b \mid a^2=1,\ b^2=1 \rangle$  denotes the free group on the variables a,b, modulo the congruence  $\sim$  generated by  $a^2\sim 1$  and  $b^2\sim 1$ .

• Equivalently, this is the free group on the variables a, b, modulo the smallest normal subgroup containing  $a^2$  and  $b^2$ .

# The universal property of presented models

$$\mathsf{Hom}_{\mathsf{Grp}}(\langle a, b \mid a^2 = b^2 = 1 \rangle, G) \cong \{(a, b) \in G^2 : a^2 = b^2 = 1\}.$$

More generally,

$$\mathsf{Hom}_{\mathsf{Mod}_{\mathcal{T}}}(\langle x_1, x_2, \dots \mid t_1(\bar{x}) = s_1(\bar{x}), \dots \rangle, M) \\ \cong \{(a_1, a_2, \dots) : a_1, a_2, \dots \in M, \ t_1(\bar{a}) = s_1(\bar{a}), \ t_2(\bar{a}) = s_2(\bar{a}), \dots \}.$$

## Presented and finitely presented models

#### Definition

A model  $M \models T$  is *presented* if it has a presentation. M is *finitely presented* if it has a presentation with finitely many generators and relations.

#### **Fact**

Every model is presented; list all the elements of M as generators and all the true equations as relations.

## Coproducts

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$$G = \langle x_1, x_2, \dots \mid t_1(\bar{x}) = s_1(\bar{x}), \dots \rangle$$
  

$$H = \langle y_1, y_2, \dots \mid u_1(\bar{y}) = v_1(\bar{y}), \dots \rangle$$

then the category-theoretic coproduct G + H exists and has the presentation

$$\langle x_1, x_2, \ldots, y_1, y_2, \ldots \mid t_1(\bar{x}) = s_1(\bar{x}), \ldots, u_1(\bar{y}) = v_1(\bar{y}), \ldots \rangle$$

This is usually called the *free amalgam* of G and H.

### Example

The group  $\mathbb{Z}/2\mathbb{Z}$  has the presentation  $\langle a \mid a^2 = 1 \rangle$ . The free amalgam with itself is  $\langle a, b \mid a^2 = 1, b^2 = 1 \rangle$ .

More generally, all colimits can be described through presentations.