

# Combinatorial Proofs and Decomposition Theorems for First-order Logic

**Abstract**—We uncover a close relationship between combinatorial and syntactic proofs for first-order logic. Whereas syntactic proofs are formalized in some deductive proof system based on inference rules, a combinatorial proof is a “syntax-free” presentation of a proof that is independent from any set of inference rules. We show that the two proof representations are related via a deep inference decomposition theorem that establishes a new kind of normal form of syntactic proofs. This yields (a) a simple proof of the soundness and completeness of first-order combinatorial proofs, and (b) a full completeness theorem: every combinatorial proof is the image of a syntactic proof.

## I. INTRODUCTION

First-order predicate logic is a cornerstone of modern logic. Since its formalisation by Frege [1] it has seen a growing usage in many fields of mathematics and computer science. Upon the development of proof theory by Hilbert [2], *proofs* became first-class citizens as mathematical objects that could be studied on their own. Since Gentzen’s *sequent calculus* [3], [4], many other proof systems have been developed that allow the implementation of efficient proof search, for example *analytic tableaux* [5] or *resolution* [6]. Despite the immense progress made in proof theory in general and in the area of automated and interactive theorem provers in particular, we still have no satisfactory notion of proof identity for first-order logic. In this respect, proof theory is quite different from any other mathematical field. For example in group theory, two groups are *the same* iff they are isomorphic; in topology, two spaces are *the same* iff they are homeomorphic; etc. In proof theory, we have no such notion telling us when two proofs are *the same*, even though Hilbert was considering this problem as a possible 24th problem for his famous lecture [7] in 1900 [8], before proof theory existed as a mathematical field.

The main reason for this problem is that formal proofs, as they are usually studied in logic, are inextricably tied to the syntactic (inference rule based) proof system in which they are carried out. And it is difficult to compare two proofs that are produced within two different syntactic proof systems, based on different sets of inference rules.

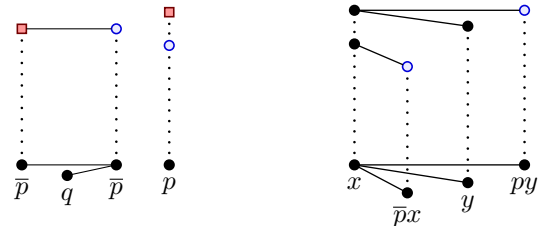
[[Lutz: an example here?]]

This is where *combinatorial proofs* come in. They have been introduced by Hughes [9] for classical propositional logic as a “syntax-free” notion of proof, and as a potential solution to Hilbert’s 24th problem [10] (see also [11]). The basic idea is to abstract away from the syntax of the inference rules used in the proof and consider the proof as a combinatorial object, more precisely as a special kind of graph homomorphism. For example, a propositional combinatorial proof of Peirce’s

$$\begin{array}{c}
 \text{ax} \frac{}{\vdash \bar{p}, p} \\
 \text{wk} \frac{}{\vdash \bar{p}, q, p} \\
 \vee \frac{}{\vdash \bar{p} \vee q, p} \quad \text{ax} \frac{}{\vdash \bar{p}, p} \\
 \wedge \frac{}{\vdash (\bar{p} \vee q) \wedge \bar{p}, p, p} \\
 \text{ctr} \frac{}{\vdash (\bar{p} \vee q) \wedge \bar{p}, p} \\
 \vee \frac{}{\vdash ((\bar{p} \vee q) \wedge \bar{p}) \vee p}
 \end{array}
 \qquad
 \begin{array}{c}
 \text{t} \frac{}{p \vee \bar{p}} \\
 \text{ai} \frac{}{(p \vee \bar{p}) \vee q} \\
 \text{w} \frac{}{p \vee (\bar{p} \vee q)} \\
 \text{t} \frac{}{(p \vee (\bar{p} \vee q)) \wedge \bar{p}} \\
 \text{ai} \frac{}{(p \vee (\bar{p} \vee q)) \wedge (\bar{p} \vee p)} \\
 \text{s} \frac{}{(p \vee (\bar{p} \vee q)) \wedge \bar{p}) \vee p} \\
 \equiv \frac{}{(\bar{p} \wedge ((\bar{p} \vee q) \vee p)) \vee p} \\
 \text{s} \frac{}{(\bar{p} \wedge (\bar{p} \vee q)) \vee p} \vee p \\
 \equiv \frac{}{((\bar{p} \vee q) \wedge \bar{p}) \vee (p \vee p)} \\
 \text{ac} \frac{}{((\bar{p} \vee q) \wedge \bar{p}) \vee p}
 \end{array}$$

Fig. 1. Two syntactic proofs of the same formula, in the sequent calculus (left) and in the calculus of structures (right)

law  $((p \Rightarrow q) \Rightarrow p) \Rightarrow p$  is depicted below-left, a homomorphism from a coloured graph to a graph labelled with propositional variables.



$$\begin{array}{c}
 \text{ax} \frac{}{\vdash pz, \bar{p}z} \\
 \text{wk} \frac{}{\vdash \bar{p}w, pz, \bar{p}z} \\
 \text{wk} \frac{}{\vdash \bar{p}w, pz, \bar{p}z, (\forall y.py)} \\
 \vee \frac{}{\vdash \bar{p}w, pz, \bar{p}z \vee (\forall y.py)} \\
 \exists \frac{}{\vdash \bar{p}w, pz, \exists x.(\bar{p}x \vee (\forall y.py))} \\
 \vee \frac{}{\vdash \bar{p}w, \forall y.py, \exists x.(\bar{p}x \vee (\forall y.py))} \\
 \vee \frac{}{\vdash \bar{p}w \vee (\forall y.py), \exists x.(\bar{p}x \vee (\forall y.py))} \\
 \exists \frac{}{\vdash \exists x.(\bar{p}x \vee (\forall y.py)), \exists x.(\bar{p}x \vee (\forall y.py))} \\
 \text{ctr} \frac{}{\vdash \exists x.(\bar{p}x \vee (\forall y.py))}
 \end{array}
 \qquad
 \begin{array}{c}
 \text{t} \frac{}{\forall y.t} \\
 \text{ai} \frac{}{\forall y.(py \vee \bar{p}y)} \\
 \text{w} \frac{}{\forall y.((py \vee \bar{p}y) \vee (\bar{p}w \vee (\forall y.py)))} \\
 \equiv \frac{}{\forall y.((\bar{p}w \vee py) \vee (\bar{p}y \vee (\forall y.py)))} \\
 \exists \frac{}{\forall y.((\bar{p}w \vee py) \vee (\exists x.(\bar{p}x \vee (\forall y.py))))} \\
 \equiv \frac{}{(\bar{p}w \vee (\forall y.py)) \vee (\exists x.(\bar{p}x \vee (\forall y.py)))} \\
 \exists \frac{}{(\exists x.(\bar{p}x \vee (\forall y.py))) \vee (\exists x.(\bar{p}x \vee (\forall y.py)))} \\
 \text{m}\exists \frac{}{\exists x.((\bar{p}x \vee (\forall y.py)) \vee (\bar{p}x \vee (\forall y.py)))} \\
 \equiv \frac{}{\exists x.((\bar{p}x \vee \bar{p}x) \vee ((\forall y.py) \vee (\forall y.py)))} \\
 \text{ac} \frac{}{\exists x.(\bar{p}x \vee ((\forall y.py) \vee (\forall y.py)))} \\
 \text{mv} \frac{}{\exists x.(\bar{p}x \vee (\forall y.(py \vee \bar{p}y)))} \\
 \text{ac} \frac{}{\exists x.(\bar{p}x \vee (\forall y.py))}
 \end{array}$$

[[Jui-Hsuan: LK1 and KS1 proofs of Peirce’s law and LK1 proof of drinker formula]] [[Lutz: can you also do the KS1 for the drinker?]] [[Jui-Hsuan: done]]

Several authors have illustrated how syntactic proofs in various proof systems can be translated to propositional combinatorial proofs: for sequent proofs in [10], for deep

inference proofs in [12], for Frege systems in [13], and for tableaux systems and resolution in [14]. This enables a natural definition of proof identity for propositional logic: two proofs are *the same*, if they are mapped to the same combinatorial proof.

Recently, Acclavio and Straßburger extended this notion to relevant logics [15] and to modal logics [16], and Heijlties, Hughes and Straßburger have provided combinatorial proofs for intuitionistic propositional logic [17].

In this paper we advance the idea that combinatorial proofs can provide a notion of proof identity for first-order logic. *First-order combinatorial proofs* were introduced by Hughes in [18]. For example, a first-order combinatorial proof of Smullyan’s “drinker paradox”  $\exists x(px \Rightarrow \forall y py)$  is shown above-right, a homomorphism from a partially coloured graph to a labelled graph. However, even though Hughes proves soundness and completeness, the proof is highly unsatisfactory: (1) the soundness argument is extremely long, intricate and cumbersome, and (2) the completeness proof does not allow a syntactic proof to be read back from a combinatorial proof, i.e., completeness is not *full* [?]. **TODO: cite something for full comp?** **Lutz: is that enough?** A fundamental problem is that not all combinatorial proofs can be obtained as translations of sequent calculus proofs.

In this paper we solve this issue by moving to a deep inference system. More precisely, we introduce a new proof system, called KS1, for first-order logic, that (a) reflects every combinatorial proof, i.e., there is a surjective mapping from proofs in KS1 to combinatorial proofs, and (b) yields far simpler proofs of soundness and completeness of combinatorial proofs, and (c) admits new decomposition theorems establishing a precise correspondence between certain syntactic inference rules and certain combinatorial notions.

In general, a *decomposition theorem* provides normal forms of proofs, separating subsets of inference rules of a proof system. A prominent example of a decomposition theorem is Herbrand’s theorem [19], which allows a separation between the propositional part and the quantifier part in a first-order proof [4], [20]. Through the advent of deep inference, new kinds of proof decompositions became possible, most notably the separation between the linear part of a proof and the resource management of a proof. It has been shown by Straßburger [21] that a proof in classical propositional logic can be decomposed into a proof of (multiplicative) linear logic, followed by a proof consisting only of contractions and weakenings. In this paper we show that the same is possible for first-order logic.

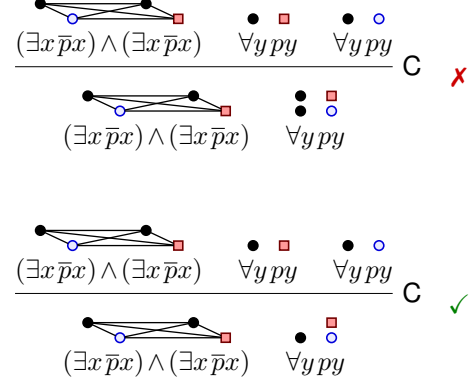
Combinatorial proofs and deep inference can be seen as opposite ends of a spectrum: whereas deep inference allows for a very fine granularity of inference rules—one inference rule in a standard formalism, like sequent calculus or semantic tableaux, is usually simulated by a sequence of different deep inference rules—have combinatorial proofs completely abolished the concept of inference rule. Nonetheless, there is a close relationship between the two, realized through a decomposition theorem, as we establish it in this paper.

## A. Pictures that could be used elsewhere in the paper

Condensed combinatorial proof of drinker formula(s):



Illustrating why we need to collapse twins during contraction, to preserve the target as a fograph:



Aligning both  $\bullet \blacksquare$  and  $\bullet \circ$  over a single copy of  $\forall y py$  results in two uncoloured vertices  $\bullet$  over  $\forall y$ . The cover therefore fails to be a fograph: both uncoloured vertices are implicitly labelled with  $y$  and so are outer  $y$ -binders in the scope of each other. The correct operation is shown above-right, in which the troublesome pair is collapsed to a single uncoloured vertex  $\bullet$  over  $\forall y$ .

## II. PRELIMINARIES: FIRST-ORDER LOGIC

### A. Terms and Formulas

Fix pairwise disjoint countable sets  $\text{VAR} = \{x, y, z, \dots\}$  of variables,  $\text{FUN} = \{f, g, \dots\}$  of function symbols, and  $\text{PRED} = \{p, q, \dots\}$  of predicate symbols. Each function symbol and each predicate symbol has a finite arity. The grammars below generate the set  $\text{TERM}$  of *terms*, denoted by  $s, t, u, \dots$ , the set  $\text{ATOM}$  of *atoms*, denoted by  $a, b, c, \dots$ , and the set  $\text{FORM}$  of *formulas*, denoted by  $A, B, C, \dots$ :

$$\begin{aligned} t &::= x \mid f(t_1, \dots, t_n) \\ a &::= t \mid f \mid p(t_1, \dots, t_n) \mid \bar{p}(t_1, \dots, t_n) \\ A &::= a \mid A \wedge A \mid A \vee A \mid \exists x.A \mid \forall x.A \end{aligned}$$

where the arity of  $f$  and  $p$  is  $n$ . For better readability of often omit parentheses and write simply  $ft_1 \dots t_n$  or  $pt_1 \dots t_n$ . We consider the truth constants  $t$  (*true*) and  $f$  (*false*) as additional atoms, and we consider all formulas in negation normal form, where *negation* ( $\bar{\cdot}$ ) is defined on atoms and formulas via De Morgan’s laws:

$$\begin{aligned} \bar{\bar{a}} &= a & \bar{t} &= f & \overline{\bar{p}(t_1, \dots, t_n)} &= \bar{p}(t_1, \dots, t_n) \\ & & \bar{f} &= t & \overline{p(t_1, \dots, t_n)} &= p(t_1, \dots, t_n) \\ \overline{\exists x.A} &= \forall x.\bar{A} & \overline{A \wedge B} &= \bar{A} \vee \bar{B} \\ \overline{\forall x.A} &= \exists x.\bar{A} & \overline{A \vee B} &= \bar{A} \wedge \bar{B} \end{aligned}$$

Then we write  $A \Rightarrow B$  as abbreviation for  $\bar{A} \vee B$ .



### C. Fographs

A cograph is **logical** if every vertex is labelled by either an atom or variable, and it has at least one atom-labelled vertex. We write  $\bullet\alpha$  for an  $\alpha$ -labelled vertex. An atom-labelled vertex is called a **literal** and a variable-labelled vertex is called a **binder**. A binder labelled with  $x$  is called an  $x$ -**binder**. The **scope** of a binder  $b$  is the smallest proper strong module containing  $b$ . An  $x$ -**literal** is a literal whose atom contains the variable  $x$ . An  $x$ -binder **binds** every  $x$ -literal in its scope. In a logical cograph  $\mathcal{G}$ , a binder  $b$  is **existential** (resp. **universal**) if, for every other vertex  $v$  in its scope, we have  $bv \in E_{\mathcal{G}}$  (resp.  $bv \notin E_{\mathcal{G}}$ ). An  $x$ -binder is **legal** if its scope contains no other  $x$ -binder and at least one literal.

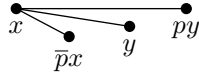
**Definition 4.** A **first-order graph** or **fograph** is a logical cograph with legal binders. The **binding graph** of a fograph  $\mathcal{G}$  is the directed graph  $\vec{\mathcal{G}} = \langle V_{\mathcal{G}}, \{(b, l) \mid b \text{ binds } l\} \rangle$ .

We define a mapping  $\llbracket \cdot \rrbracket$  from formulas to (labelled) graphs, inductively as follows:

$$\begin{aligned} \llbracket a \rrbracket &= \bullet a \quad (\text{for any atom } a) \\ \llbracket A \vee B \rrbracket &= \llbracket A \rrbracket + \llbracket B \rrbracket & \llbracket \exists x.A \rrbracket &= \bullet x \times \llbracket A \rrbracket \\ \llbracket A \wedge B \rrbracket &= \llbracket A \rrbracket \times \llbracket B \rrbracket & \llbracket \forall x.A \rrbracket &= \bullet x + \llbracket A \rrbracket \end{aligned}$$

where  $\bullet a$  (resp.  $\bullet x$ ) is a single-vertex graph whose vertex is labelled by  $a$  (resp.  $x$ ).

**Example 5.** Below is the fograph of drinker formula  $\exists x(px \Rightarrow \forall y py) = \exists x(\bar{p}x \vee \forall y py)$ :



**Lemma 6.** If  $A$  is a rectified formula then  $\llbracket A \rrbracket$  is a fograph.

*Proof.* That  $\llbracket A \rrbracket$  is a logical cograph follows immediately from the definition and Theorem 3. The fact that every binder of  $\llbracket A \rrbracket$  is legal can be proved by structural induction on  $A$ .  $\square$

**Remark 7.** Note that  $\llbracket A \rrbracket$  is not necessarily a fograph if  $A$  is not rectified. If  $A = (\forall x.p(x)) \vee (\forall x.q(x))$ , then  $\llbracket A \rrbracket = \bullet x \bullet p(x) \bullet x \bullet q(x)$ , the scope of each  $x$ -binder contains all the vertices, in particular, the two  $x$ -binders. On the other hand, there are non-rectified formulas which are translated to fographs by  $\llbracket \cdot \rrbracket$ . For example, in the graph of  $(\exists x.p(x)) \vee (\exists x.q(x))$ , both  $x$ -binders are legal, as they are not in each other's scope:  $x \bullet \bullet px \quad x \bullet \bullet qx$ .

We define a congruence relation  $\equiv$  on formulas by the following equations:

$$\begin{aligned} A \wedge B &\equiv B \wedge A & (A \wedge B) \wedge C &\equiv A \wedge (B \wedge C) \\ A \vee B &\equiv B \vee A & (A \vee B) \vee C &\equiv A \vee (B \vee C) \\ \forall x.\forall y.A &\equiv \forall y.\forall x.A & \forall x.(A \vee B) &\equiv (\forall x.A) \vee B \\ \exists x.\exists y.A &\equiv \exists y.\exists x.A & \exists x.(A \wedge B) &\equiv (\exists x.A) \wedge B \end{aligned} \quad (2)$$

where  $x \notin fv(B)$  in the last two equations. Two formulas  $A$  and  $B$  are **equivalent** if  $A \equiv B$ . The following theorem

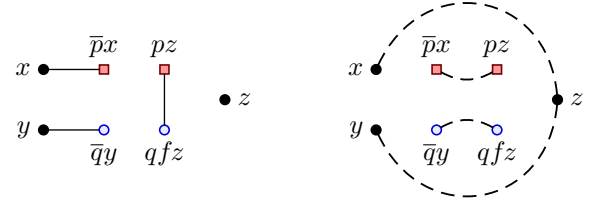


Fig. 3. A fonet (left) with dualizer  $[x/z, y/fz]$  and its leap graph (right).

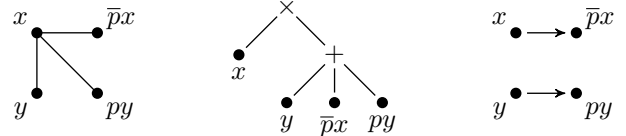
shows that the set of fographs can be seen as the quotient  $\text{FORM}/\equiv$ .

**Theorem 8.** Let  $A, B$  be rectified formulas. Then

$$A \equiv B \iff \llbracket A \rrbracket = \llbracket B \rrbracket$$

*Proof.* By a straightforward induction on  $A$ .  $\square$

**Example 9.** Both  $\exists x(\bar{p}x \vee \forall y py)$  and  $\exists x \forall y (py \vee \bar{p}x)$  (which are equivalent modulo  $\equiv$ ) have the same (rectified) fograph  $\mathcal{D}$ , shown below-left.



In the middle above we show the *cotree* of the underlying cograph (illustrating the idea behind Theorem 3) and on the right above is its binding graph  $\vec{\mathcal{D}}$ .

## IV. FIRST-ORDER COMBINATORIAL PROOFS

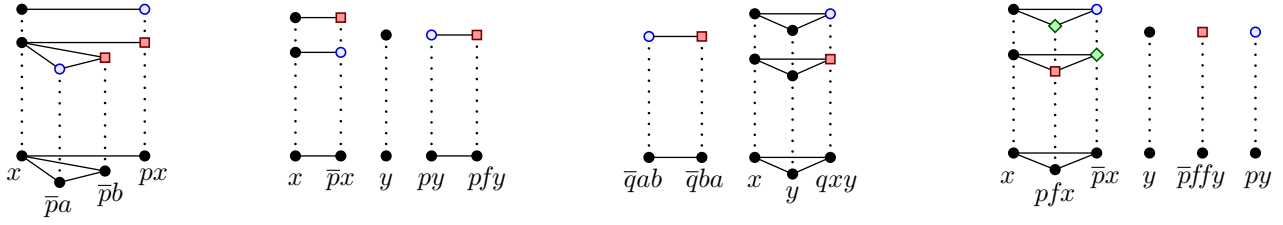
### A. Fonets

Two atoms are **pre-dual** if their predicate symbols are dual (e.g.  $p(x, y)$  and  $\bar{p}(y, z)$ ) and two literals are **pre-dual** if their labels (atoms) are pre-dual. A **linked fograph**  $\langle \mathcal{C}, \sim_{\mathcal{C}} \rangle$  is a coloured fograph  $\mathcal{C}$  such that every colour (i.e., equivalence class of  $\sim_{\mathcal{C}}$ ), called a **link**, consists of two pre-dual literals, and every literal is either t-labelled or in a link.

Let  $\mathcal{C}$  be a linked fograph. The set of links can be seen as a unification problem by identifying dual predicate symbols. A **dualizer** of  $\mathcal{C}$  is a substitution  $\delta$  unifying all the links of  $\mathcal{C}$ . Since a first-order unification problem is either unsolvable or has a most general unifier, we can define the notion of **most general dualizer**. A **dependency** is a pair  $\{\bullet x, \bullet y\}$  of an existential binder  $\bullet x$  and a universal binder  $\bullet y$  such that the most general dualizer assigns to  $x$  a term containing  $y$ . A **leap** is either a link or a dependency. The **leap graph**  $\mathcal{C}^L$  of  $\mathcal{C}$  is the undirected graph  $\langle V_{\mathcal{C}}, L_{\mathcal{C}} \rangle$  where  $L_{\mathcal{C}}$  is the set of leaps of  $\mathcal{C}$ . A vertex set  $W \subseteq V_{\mathcal{C}}$  induces a **matching** in  $\mathcal{C}$  if for all  $w \in W$ ,  $N(w) \cap W$  is a singleton. We say that  $W$  induces a **bimatching** in  $\mathcal{C}$  if it induces a matching in  $\mathcal{C}$  and a matching in  $\mathcal{C}^L$ .

**Definition 10.** A **first-order net** or **fonet** is a linked fograph which has dualizer but no induced bimatching.

Figure 3 shows a fonet with a unique dualizer, and its leap graph.



$$\exists x (pa \vee pb \Rightarrow px) \quad (\forall x px) \Rightarrow \forall y (py \wedge pfy) \quad qab \vee qba \Rightarrow \exists x \exists y qxy \quad (\forall x (pfx \Rightarrow px)) \Rightarrow \forall y (pffy \Rightarrow py)$$

Fig. 4. Four combinatorial proofs, each shown above the formula proved. Here  $x$  and  $y$  are variables,  $f$  is a unary function symbol,  $a$  and  $b$  are constants (nullary function symbols),  $p$  is a unary predicate symbol, and  $q$  is a binary predicate symbol.

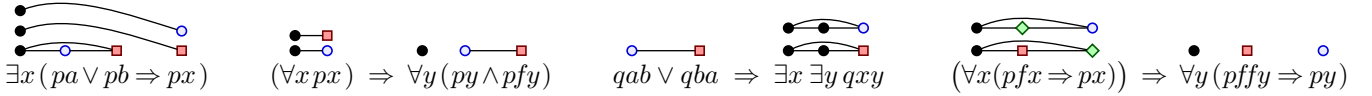
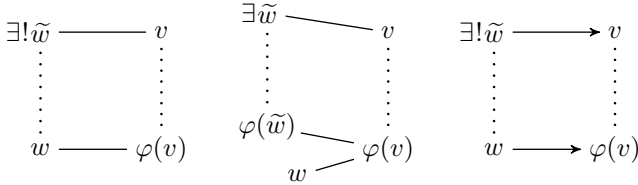


Fig. 5. Condensed forms of the four combinatorial proofs in Fig. 4.

## B. Skew Bifibrations

A graph homomorphism  $\varphi: \langle V_G, E_G \rangle \rightarrow \langle V_H, E_H \rangle$  is a **fibration** if for all  $v \in V_G$  and  $w\varphi(v) \in E_H$ , there exists a unique  $\tilde{w} \in V_G$  such that  $\tilde{w}v \in E_G$  and  $\varphi(\tilde{w}) = w$  (indicated on the left below), and is a **skew fibration** if for all  $v \in V_G$  and  $w\varphi(v) \in E_H$  there exists  $\tilde{w} \in V_G$  such that  $\tilde{w}v \in E_G$  and  $\varphi(\tilde{w})w \notin E_H$  (indicated in the middle below). A directed graph homomorphism is a **fibration** if for all  $v \in V_G$  and  $(w, \varphi(v)) \in E_H$ , there exists a unique  $\tilde{w} \in V_G$  such that  $(\tilde{w}, v) \in E_G$  and  $\varphi(\tilde{w}) = w$  (indicated on the right below).

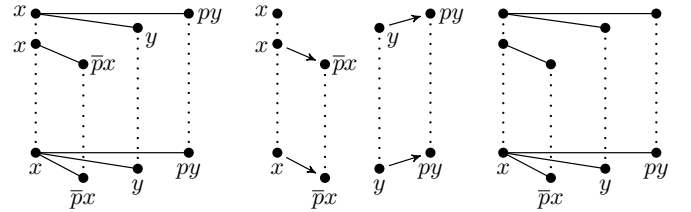


A **fograph homomorphism**  $\varphi = \langle \varphi, \rho_\varphi \rangle$  is a pair where  $\varphi: \mathcal{G} \rightarrow \mathcal{H}$  is a graph homomorphism between the underlying graphs, and  $\rho_\varphi$ , also called the **substitution induced by  $\varphi$**  is a variable renaming such that for all  $v \in V_G$  we have  $\ell(\varphi(v)) = \rho_\varphi(\ell(v))$ . Note that this entails that  $\varphi$  maps binders to binders and literals to literals. We say that a fograph homomorphism  $\varphi: \mathcal{G} \rightarrow \mathcal{H}$  is **existential-preserving** if for all existential binders  $b$  in  $\mathcal{G}$ , the vertex  $\varphi(b)$  is an existential binder in  $\mathcal{H}$ .

**Definition 11.** Let  $\mathcal{G}$  and  $\mathcal{H}$  be fographs. A **skew bifibration**  $\varphi: \mathcal{G} \rightarrow \mathcal{H}$  is an existential-preserving fograph homomorphism that is a skew fibration on  $\langle V_G, E_G \rangle \rightarrow \langle V_H, E_H \rangle$  and a fibration on the binding graphs  $\tilde{\mathcal{G}} \rightarrow \tilde{\mathcal{H}}$ .

**Example 12.** Below on the left is a skew bifibration, with its binding fibration in the middle. We often omit the labeling in

the upper graph, as done on the right below.



**Definition 13.** A **first-order combinatorial proof (FOCP)** of a fograph  $\mathcal{G}$  is a skew bifibration  $\varphi: \mathcal{C} \rightarrow \mathcal{G}$  where  $\mathcal{C}$  is a fonet. A **first-order combinatorial proof** of a formula  $A$  is a combinatorial proof of its graph  $\llbracket A \rrbracket$ .

To give some examples, we show in Figure 4 for examples of FOCPs (taken from [18]), each above the formula it proves. The same FOCPs are shown in Figure 5 in a more condensed notation.

**Theorem 14** ([18]). *FOCPs are sound and complete for first-order logic.*

**Remark 15.** In our definition of FOCP, we are slightly laxer than the original definition of [18], as we allow for a variable renaming  $\sigma_\varphi$  which was forced to be the identity in [18].

## V. FIRST-ORDER DEEP INFERENCE SYSTEM KS1

Contrary to standard proof formalisms, like sequent calculi or tableaux, where inference rules decompose the principal formula along its root connective, can **deep inference rules** be applied like rewriting rules inside any (positive) formula or sequent **context**, which is denoted as  $S\{\cdot\}$ , and which is a formula (resp. sequent) with exactly one occurrence of the **hole**  $\{\cdot\}$  in the position of an atom. Then  $S\{A\}$  is the result of replacing the hole  $\{\cdot\}$  in  $S\{\cdot\}$  with  $A$ .

Figure 6 shows the inference rules for the deep inference system KS1 that we are using in this paper. It is a slight variation of the systems presented by Br  nnler [28] and

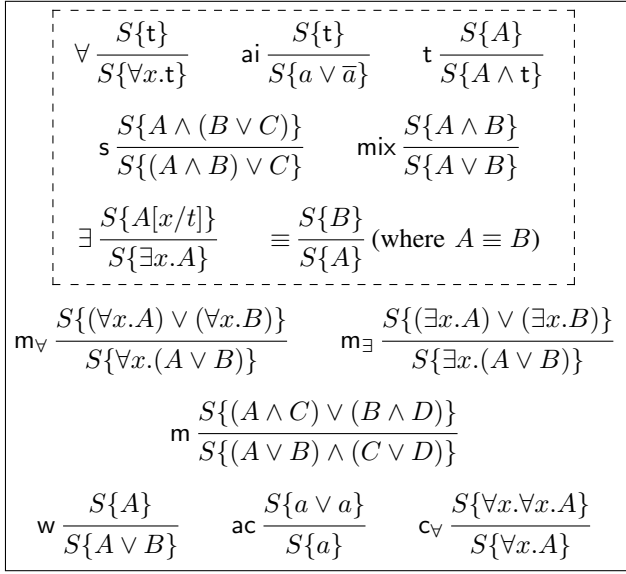


Fig. 6. Deep inference systems KS1 (all rules) and MLS1<sup>X</sup> (rules in the dashed box)

Ralph [29] in their PhD-theses. The main differences being that we have (i) the explicit presence of the mix-rule, (ii) a different choice of how the formula equivalence  $\equiv$  is defined, and (iii) an explicit rule for the equivalence.

We consider here only the cut-free fragment, as cut-elimination for deep inference systems has already been discussed elsewhere (e.g. [20], [30]).<sup>1</sup>

As with the sequent system LK1, we also need for KS1 the *linear fragment*, that we call here MLS1<sup>X</sup>, and that is shown in Figure 6 in the dashed box.

We write  $s \Vdash_{\Phi} A$  to denote a derivation  $\Phi$  from  $B$  to  $A$  using the rules from system  $S$ . A formula  $A$  is **provable** in a system  $S$  if there is a derivation in  $S$  from  $t$  to  $A$ .

In the course of this paper we are also going to make use of the general (non-atomic) version of the contraction rule:

$$c \frac{S\{A \vee A\}}{S\{A\}}$$

## VI. MAIN RESULTS

We are now ready to see the main results of this paper. We only state them here and give the proofs in the later sections of the paper. The first one is routine and expected, but needs to be proved nonetheless:

**Theorem 16.** *KS1 is sound and complete for first-order logic.*

Our second result is more surprising, as it is a very strong decomposition result for first order logic.

<sup>1</sup>In the deep inference literature, the cut-free fragment is also called the *down-fragment*. But as we do not discuss the *up-fragment* here, we omit the down-arrows  $\downarrow$  in the rule names.

**Theorem 17.** *For every derivation  $KS1 \Vdash_{\Phi} t$  there are formulas  $A_1, \dots, A_5$ , such that there is a derivation:*

$$\begin{array}{c} t \\ A \\ \{w, \equiv\} \parallel \\ A_1 \\ \{ac, c_v\} \parallel \\ A_2 \\ \{m, m_v, m_3, \equiv\} \parallel \\ A_3 \\ \{\exists\} \parallel \\ A_4 \\ \{s, mix, \equiv\} \parallel \\ A_5 \\ \{\forall, ai, t\} \parallel \\ t \end{array}$$

This theorem is stronger than the existing decompositions for first-order logic, which either separated only atomic contraction and atomic weakening [28] or only contraction [29] or only the quantifiers in form of a Herbrand theorem [31], [29].

**Example 18.** Below is an example of a decomposed derivation in KS1 of the formula  $(\exists x. \bar{p}(x)) \vee (\forall y. (p(y) \wedge p(f(y))))$ :

$$\begin{array}{c} t \\ \forall y. t \\ \forall y. (t \wedge t) \\ ai \frac{t}{\forall y. ((\bar{p}y \vee py) \wedge t)} \\ ai \frac{t}{\forall y. ((\bar{p}y \vee py) \wedge (pfy \vee \bar{p}fy))} \\ \equiv \frac{t}{\forall y. (\bar{p}y \vee (py \wedge (pfy \vee \bar{p}fy)))} \\ s \frac{t}{\forall y. (\bar{p}y \vee ((py \wedge pfy) \vee \bar{p}fy))} \\ \equiv \frac{t}{\forall y. ((\bar{p}y \vee \bar{p}fy) \vee (py \wedge pfy))} \\ \exists \frac{t}{\forall y. ((\bar{p}y \vee \bar{p}fy) \vee (py \wedge pfy))} \\ \exists \frac{t}{\forall y. (((\exists x. \bar{p}x) \vee (\exists x. \bar{p}x)) \vee (py \wedge pfy))} \\ \equiv \frac{t}{((\exists x. \bar{p}x) \vee (\exists x. \bar{p}x)) \vee (\forall y. (py \wedge pfy))} \\ m_3 \frac{t}{(\exists x. (\bar{p}x \vee \bar{p}x) \vee (\forall y. (py \wedge pfy))} \\ ac \frac{t}{(\exists x. \bar{p}x) \vee (\forall y. (py \wedge pfy))} \end{array}$$

There is a weaker version of Theorem 17 that will also be useful:

**Theorem 19.** *For every derivation  $KS1 \Vdash_{\Phi} t$  there is a formula  $A_1$ , such that there is a derivation:*

$$\begin{array}{c} t \\ MLS1^X \parallel \\ A_1 \\ \{w, c, \equiv\} \parallel \\ A \end{array}$$



Let us now establish the connection between derivations in KS1 and combinatorial proofs.

**Theorem 20.** *Let  $\varphi: \mathcal{C} \rightarrow \mathcal{A}$  be a combinatorial proof and let  $A$  be a formula with  $\mathcal{A} = \llbracket A \rrbracket$ . Then there is a derivation*

$$\begin{array}{c} \text{t} \\ \text{MLS1}^\times \parallel \Phi_1 \\ A' \\ \{w, ac, c_v, m, m_v, m_\exists, \equiv\} \parallel \Phi_2 \\ A \end{array} \quad (3)$$

for some  $A' \equiv C\sigma_\varphi$  where  $C$  is a formula with  $\llbracket C \rrbracket = \mathcal{C}$  and  $\sigma_\varphi$  is the variable renaming substitution induced by  $\varphi$ . Conversely, whenever we have a derivation as in (9) above, then there is a combinatorial proof  $\varphi: \mathcal{C} \rightarrow \llbracket A \rrbracket$  such that  $\mathcal{C} = \llbracket A' \rrbracket$ .

Furthermore, in the proof of Theorem 20, we will see that (i) the links in the fonet  $\mathcal{C}$  correspond precisely to the pairs of atoms that meet in the instances of the ai-rule in the derivation  $\Phi_1$ , and (ii) the "flow-graph" of  $\Phi_2$  that traces the quantifier- and atom-occurrences in the derivation corresponds exactly to the vertex-mapping induced by  $\varphi$ .

Thus, combinatorial proofs are closely related to derivations of the form (9), and since by Theorem 17 every derivation can be transformed into that form, we can say that combinatorial proofs form a canonical proof representation for first order logic, similarly to what proof nets are for linear logic [32].

Finally, Theorems 16, 17 and 20 imply Theorem 14, which means that we have here an alternative proof of the soundness and completeness of first-order combinatorial proofs which is simpler than the one given in [18].

## VII. TRANSLATING BETWEEN LK1 AND KS1

In this section we prove Theorems 16, 17, and 19, mainly by translating derivations to and from the sequent calculus, and by rule permutation arguments.

### A. The Linear Fragments $\text{MLL1}^\times$ and $\text{MLS1}^\times$

In this section we show the equivalence of  $\text{MLL1}^\times$  and  $\text{MLS1}^\times$ .

**Lemma 21.** *If  $\vdash \Gamma$  is provable in  $\text{MLL1}^\times$  then  $\text{fm}(\Gamma)$  is provable in  $\text{MLS1}^\times$ .*

*Proof.* This is a straightforward induction on the proof of  $\vdash \Gamma$  in  $\text{MLL1}^\times$ , making a case analysis on the bottommost rule instance. We show here only the case of  $\forall \frac{\vdash \Delta, A}{\vdash \Delta, \forall x.A}$  (all other cases are simpler and have been shown before, e.g. [28]): By induction hypothesis, there is a proof of  $\text{fm}(\Delta) \vee A$  in  $\text{MLS1}^\times$ . We can prefix every line in that proof by  $\forall x$  and then compose the following derivation:

$$\begin{array}{c} \forall \frac{\text{t}}{\forall x.t} \\ \text{MLS1}^\times \parallel \\ \forall x.\text{fm}(\Delta) \vee A \\ \equiv \\ \text{fm}(\Delta) \vee \forall x.A \end{array}$$

where we can apply the  $\equiv$ -rule because  $x$  is not free in  $\Delta$ .  $\square$

**Lemma 22.** *Let  $r \frac{S\{A\}}{S\{B\}}$  be an inference rule in  $\text{MLS1}^\times$  other than ai. Then the sequent  $\vdash \overline{A}, B$  is provable in  $\text{MLL1}^\times$ .*

*Proof.* This is a straightforward exercise that we leave to the reader. (Note that the ax-rule can be applied to  $\vdash f, t$  in the cases of  $r = \forall$ .)  $\square$

**Lemma 23.** *Let  $A, B$  be formulas, and let  $S\{\cdot\}$  be a (positive) context. If  $\vdash \overline{A}, B$  is provable in  $\text{MLL1}^\times$ , then so is  $\vdash S\{A\}, S\{B\}$ .*

*Proof.* Straightforward induction on  $S\{\cdot\}$ . (see e.g. [33])  $\square$

**Lemma 24.** *If a formula  $C$  is provable in  $\text{MLS1}^\times$  then  $\vdash C$  is provable in  $\text{MLL1}^\times$ .*

*Proof.* We proceed by induction on the number of inference steps in the proof of  $C$  in  $\text{MLS1}^\times$ . Consider the bottommost rule instance  $r \frac{S\{A\}}{S\{B\}}$ . By induction hypothesis we have a  $\text{MLL1}^\times$  proof  $\Pi$  of  $\vdash S\{A\}$ . If  $r$  is ai  $\frac{S\{t\}}{S\{a \vee \overline{a}\}}$ , we replace in  $\Pi$  all corresponding occurrences of  $t$  with  $a \vee \overline{a}$  and the

rule instance  $t \frac{}{\vdash t}$  with the derivation  $\frac{\text{ax } \vdash a, \overline{a}}{\vee \vdash a \vee \overline{a}}$ . This gives

us a proof of  $\vdash S\{a \vee \overline{a}\}$ . In all other cases, by Lemmas 22 and 23, we have a  $\text{MLL1}^\times$  proof of  $\vdash S\{A\}, S\{B\}$ . We can compose them via cut:

$$\text{cut} \frac{\vdash S\{A\} \quad \vdash \overline{S\{A\}}, S\{B\}}{\vdash S\{B\}}$$

and then apply Theorem 2.  $\square$

### B. Contraction and Weakening

The first observation here is that Lemmas 21–24 from above also hold for LK1 and KS1. We therefore immediately have:

**Theorem 25.** *For every sequent  $\Gamma$ , we have that  $\vdash \Gamma$  is provable in LK1 if and only if  $\text{fm}(\Gamma)$  is provable in KS1.*

Then Theorem 16 is an immediate consequence. Let us now proceed with providing further lemmas that will be needed for the other results.

**Lemma 26.** *The c-rule is derivable in  $\{ac, m, m_v, m_\exists, \equiv\}$ .*

*Proof.* We show that there is always a derivation

$$\begin{array}{c} A \vee A \\ s \parallel \\ A \end{array}$$

, where  $S = \{ac, m, m_v, m_\exists, \equiv\}$ , by induction on  $A$ :

- If  $A = a$ , then we have  $ac \frac{a \vee a}{a}$ .

$$\begin{array}{l}
\text{400} \quad \bullet \text{ If } A = B \wedge C, \text{ then we have} \\
\text{401} \quad \quad \quad \frac{\frac{(B \wedge C) \vee (B \wedge C)}{(B \vee B) \wedge (C \vee C)} \quad m}{\frac{s \parallel}{B \wedge (C \vee C)}} \\
\quad \quad \quad \frac{s \parallel}{B \wedge C} \\
\quad \quad \quad \equiv \frac{(B \vee C) \vee (B \vee C)}{(B \vee B) \vee (C \vee C)} \\
\text{402} \quad \bullet \text{ If } A = B \vee C, \text{ then we have} \\
\quad \quad \quad \frac{s \parallel}{B \vee (C \vee C)} \\
\quad \quad \quad \frac{s \parallel}{B \vee C} \\
\text{403} \quad \bullet \text{ If } A = \exists x.A', \text{ then we have} \\
\quad \quad \quad \frac{\frac{(\exists x.A') \vee (\exists x.A')}{\exists x.(A' \vee A')} \quad m\exists}{\frac{s \parallel}{\exists x.A'}} \\
\text{404} \quad \bullet \text{ If } A = \forall x.A', \text{ then we have} \\
\quad \quad \quad \frac{\frac{(\forall x.A') \vee (\forall x.A')}{\forall x.(A' \vee A')} \quad m\forall}{\frac{s \parallel}{\forall x.A'}} \\
\text{405} \quad \bullet \text{ If } A = \forall x.A', \text{ then we have} \\
\text{406} \quad \quad \quad \frac{s \parallel}{\forall x.A'}
\end{array}$$

407 **TODO:** **Jui-Hsuan:** done. Maybe just keep one  
408 case. **Lutz:** yes, but we do that at the end. don't think about  
space right now.  $\square$

**Lemma 27.**  $c_{\forall}, m, m_{\forall}, m_{\exists}$  are derivable in  $\{w, c, \equiv\}$ .

*Proof.* **TODO:**

We have the following derivations:

$$\begin{array}{l}
\frac{\frac{\frac{\forall x.\forall x.A}{\forall x.((\forall x.A) \vee A)} \quad w}{\frac{(\forall x.A) \vee (\forall x.A)}{\forall x.A}} \quad c}{\frac{(\forall x.A) \vee (\forall x.A)}{\forall x.A}} \quad (x \notin fv(\forall x.A)) \\
\\
\frac{\frac{\frac{(A \wedge C) \vee (B \wedge D)}{((A \vee B) \wedge C) \vee (B \wedge D)} \quad w}{\frac{((A \vee B) \wedge (C \vee D)) \vee (B \wedge D)}{((A \vee B) \wedge (C \vee D)) \vee ((B \vee A) \wedge D)} \quad w}{\frac{((A \vee B) \wedge (C \vee D)) \vee ((B \vee A) \wedge (D \vee C))}{((A \vee B) \wedge (C \vee D)) \vee ((A \vee B) \wedge (C \vee D))} \quad w}{\frac{(A \vee B) \wedge (C \vee D)}{(A \vee B) \wedge (C \vee D)} \quad c} \\
\\
\frac{\frac{\frac{(\exists x.A) \vee (\exists x.B)}{(\exists x.(A \vee B)) \vee (\exists x.B)} \quad w}{\frac{(\exists x.(A \vee B)) \vee (\exists x.(B \vee A))}{\exists x.(A \vee B) \vee (\exists x.(A \vee B))} \quad w}{\frac{\exists x.(A \vee B) \vee (\exists x.(A \vee B))}{\exists x.(A \vee B)} \quad c}
\end{array}$$

$$\frac{\frac{\frac{(\forall x.A) \vee (\forall x.B)}{(\forall x.(A \vee B)) \vee (\forall x.B)} \quad w}{\frac{(\forall x.(A \vee B)) \vee (\forall x.(B \vee A))}{(\forall x.(A \vee B)) \vee (\forall x.(A \vee B))} \quad w}{\frac{\forall x.(A \vee B)}{\forall x.(A \vee B)} \quad c}$$

**Jui-Hsuan:** done. If needed, we can introduce the notion  
of open deduction to reduce the size of derivations... **Lutz:**  
I was thinking about that, but (i) it is probably not worth the  
effort, as we won't have many derivations, and (ii) it is hard to  
define rectified derivations this way.  $\square$

**Lemma 28.** Let  $A$  and  $B$  be formulas. Then

$$\frac{A}{\{w, c, \equiv\} \parallel B} \iff \frac{A}{\{w, ac, c_{\forall}, m, m_{\forall}, m_{\exists}, \equiv\} \parallel B}$$

*Proof.* This follows immediately from Lemmas 26 and 27.  $\square$

### C. Rule Permutations

**Theorem 29.** Let  $\Gamma$  be a sequent. If  $\vdash \Gamma$  is provable in LK1  
(as depicted on the left below) then there is a sequent  $\Gamma'$  such  
that there is a derivation as shown on the right below:

$$\text{LK1} \frac{\triangle}{\vdash \Gamma} \Phi \implies \frac{\text{MLL1}^x \frac{\triangle}{\vdash \Gamma'} \Phi_1}{\{w, c, \equiv\} \parallel \Phi_2} \vdash \text{fm}(\Gamma)$$

*Proof.* Note that the instances of  $w, c$  in  $\Phi_2$  are deep, but  
inside sequent contexts.

First, if an instance of  $wk \frac{\vdash \Gamma}{\vdash \Gamma, A}$  is followed by a rule in  
which  $A$  is not in the principal formula, it can be permuted  
downwards. Otherwise, the proof can be transformed using the  
following rewriting rules.

$$\begin{array}{l}
wk \frac{\vdash \Gamma}{\vdash \Gamma, A} \wedge \frac{\vdash B, \Delta}{\vdash \Gamma, A \wedge B, \Delta} \rightsquigarrow wk \frac{\vdash \Gamma}{\vdash \Gamma, A \wedge B, \Delta} \\
\\
wk \frac{\vdash \Gamma, A}{\vdash \Gamma, A, B} \vee \frac{\vdash \Gamma, A}{\vdash \Gamma, A \vee B} \rightsquigarrow w \frac{\vdash \Gamma, A}{\vdash \Gamma, A \vee B} \\
\\
wk \frac{\vdash \Gamma}{\vdash \Gamma, A[x/t]} \exists \frac{\vdash \Gamma}{\vdash \Gamma, \exists x.A} \rightsquigarrow wk \frac{\vdash \Gamma}{\vdash \Gamma, \exists x.A} \\
\\
wk \frac{\vdash \Gamma}{\vdash \Gamma, A} \forall \frac{\vdash \Gamma}{\vdash \Gamma, \forall x.A} \rightsquigarrow wk \frac{\vdash \Gamma}{\vdash \Gamma, \forall x.A}
\end{array}$$



$$\frac{\text{wk} \frac{\vdash \Gamma, A}{\vdash \Gamma, A, A}}{\text{ctr} \frac{\vdash \Gamma, A}{\vdash \Gamma, A}} \rightsquigarrow \vdash \Gamma, A$$

426 Note that in the case of  $\vee$ , we use the deep rule  $w$  which can  
 427 be permuted down over all the rules. By using these rewriting  
 428 rules, we can eventually get a derivation with all the instances of  
 429 of  $wk$  and  $w$  at the bottom. Now observe that the instances of  
 430  $ctr$  in  $\Phi$  can be transformed using the following rule:

$$\frac{\text{ctr} \frac{\vdash \Gamma, A, A}{\vdash \Gamma, A}}{\vdash \Gamma, A} \rightsquigarrow \frac{\vee \frac{\vdash \Gamma, A, A}{\vdash \Gamma, A \vee A}}{\text{c} \frac{\vdash \Gamma, A}{\vdash \Gamma, A}}$$

Knowing that  $c$  can be permuted down over all the rules of  $MLL1^X$ , we eventually obtain a derivation:

$$\frac{MLL1^X \frac{\vdash \Gamma_0}{\vdash \Gamma_0} \Phi'_1}{\{wk, w, c, \equiv\} \parallel \Phi'_2} \vdash \Gamma$$

431 Note that  $\equiv$  is required here since the permutation of formulas  
 432 is implicit in  $MLL1^X$ .

By transforming each sequent of  $\Phi'_2$  into its corresponding formula, and by considering the following rewriting rule:

$$\text{wk} \frac{\vdash \Gamma}{\vdash \Gamma, A} \rightsquigarrow w \frac{\vdash \text{fm}(\Gamma)}{\vdash \text{fm}(\Gamma) \vee A}$$

, we obtain a derivation

$$\frac{MLL1^X \frac{\vdash \Gamma'}{\vdash \Gamma'} \Phi_1}{\{w, c, \equiv\} \parallel \Phi_2} \vdash \text{fm}(\Gamma)$$

where  $\Gamma' = \text{fm}(\Gamma_0)$  and  $\Phi_1$  can be obtained from  $\Phi'_1$  by applying the  $\vee$  rule. **TO CHECK:** **Jui-Hsuan:** This might be a bit long...  $\square$

**Lemma 30.** For every derivation  $MLS1^X \parallel \frac{t}{A}$  there are formulas  $A'$  and  $A''$  such that

$$\frac{t}{\{ \vee, ai, t \} \parallel} \frac{A''}{\{ s, mix, \equiv \} \parallel} \frac{A'}{\{ \exists \} \parallel} A$$

*Proof.* First, observe that the  $\exists$  rule can be permuted downwards over all the other rules since  $A[x/t]$  has the same structure as  $A$  and none of the other rules has a premise

of the form  $S\{\exists x.A\}$ . It suffices now to prove that for all  $r_1 \in \{\vee, ai, t\}$ , for all  $r_2 \in \{s, mix, \equiv\}$ , we can permute  $r_1$  upwards over  $r_2$ . We show some cases here, and leave the others to the reader.

$$\begin{aligned} \text{ai} \frac{s \frac{S\{A \wedge (t \vee C)\}}{S\{(A \wedge t) \vee C\}}}{S\{(A \wedge (a \vee \bar{a})) \vee C\}} &\rightsquigarrow \text{ai} \frac{S\{A \wedge (t \vee C)\}}{S\{A \wedge ((a \vee \bar{a}) \vee C)\}} \\ \text{mix} \frac{S\{A \wedge B\}}{S\{A \vee B\}} &\rightsquigarrow \text{t} \frac{S\{A \wedge B\}}{S\{A \wedge (B \wedge t)\}} \\ \text{t} \frac{S\{A \wedge B\}}{S\{(A \vee (B \wedge t))\}} &\rightsquigarrow \text{mix} \frac{S\{A \wedge B\}}{S\{(A \vee (B \wedge t))\}} \end{aligned}$$

**TO CHECK:**  $\square$

**Lemma 31.** For every derivation  $\{w, ac, c_\vee, m, m_\vee, m_\exists, \equiv\} \parallel \frac{A}{B}$  there are formulas  $A'$  and  $B'$  such that

$$\frac{A}{\{m, m_\vee, m_\exists, \equiv\} \parallel} \frac{A'}{\{ac, c_\vee\} \parallel} \frac{B'}{\{w, \equiv\} \parallel} B$$

*Proof.* First, a derivation consisting of an instance of  $w$  followed by  $r \in \{ac, c_\vee, m, m_\vee, m_\exists\}$  can be either replaced by a derivation consisting of  $w$  only or the instance of  $w$  can be permuted downwards. We show some cases here, and leave the others to the reader.

$$\text{m}_\vee \frac{w \frac{S\{\forall x.A\}}{S\{(\forall x.A) \vee (\forall x.B)\}}}{S\{\forall x.(A \vee B)\}} \rightsquigarrow w \frac{S\{\forall x.A\}}{S\{\forall x.(A \vee B)\}}$$

$$\begin{aligned} \text{w} \frac{S\{A \wedge C\}}{S\{(A \wedge C) \vee (B \wedge D)\}} &\rightsquigarrow \text{w} \frac{S\{A \wedge C\}}{S\{(A \vee B) \wedge C\}} \\ \text{m} \frac{S\{A \wedge C\}}{S\{(A \vee B) \wedge (C \vee D)\}} &\rightsquigarrow \text{w} \frac{S\{A \wedge C\}}{S\{(A \vee B) \wedge (C \vee D)\}} \end{aligned}$$

$$\text{ac} \frac{w \frac{S\{a\}}{S\{a \vee a\}}}{S\{a\}} \rightsquigarrow S\{a\}$$

For  $r_1 \in \{m, m_\vee, m_\exists\}$ ,  $r_2 \in \{ac, c_\vee\}$ ,  $r_1$  can be permuted upwards over  $r_2$  (with some  $\equiv$  inserted). The only non-trivial case is shown below:

$$\text{c}_\vee \frac{S\{(\forall x.\forall x.A) \vee (\forall x.B)\}}{S\{(\forall x.A) \vee (\forall x.B)\}} \rightsquigarrow \text{m}_\vee \frac{S\{(\forall x.\forall x.A) \vee (\forall x.B)\}}{S\{\forall x.(\forall x.A \vee B)\}} \rightsquigarrow \text{m}_\vee \frac{S\{(\forall x.A) \vee (\forall x.B)\}}{S\{\forall x.(A \vee B)\}}$$

**TODO:** permutation with  $\equiv$   $\square$

We can now complete the proof of Theorems 17 and 19.

439 *Proof of Theorem 19.* Assume we have a proof of  $A$  in KS1.  
 440 By Theorem 25 we have a proof of  $\vdash A$  in LK1 to which we  
 441 can apply Theorem 29. Finally, we apply Lemma 21 to get  
 442 the desired shape.  $\square$

443 *Proof of Theorem 17.* Assume we have a proof of  $A$  in KS1.  
 444 We first apply Theorem 19, and then Lemma 30 to the upper  
 445 half and Lemma 31 to the lower half.  $\square$

## VIII. FONETS AND LINEAR PROOFS

### A. From MLL1<sup>X</sup> Proofs to Fonets

448 Let  $\Pi$  be a MLL1<sup>X</sup> proof of a rectified sequent  $\vdash \Gamma$ . We  
 449 now show how  $\Pi$  is translated into a linked fograph  $\llbracket \Pi \rrbracket =$   
 450  $\langle \llbracket \Gamma \rrbracket, \sim_\Pi \rangle$ . We proceed inductively, making a case analysis on  
 451 the last rule in  $\Pi$ . At the same time we are constructing a  
 452 dualizer  $\delta_\Pi$ , so that in the end we can conclude that  $\llbracket \Pi \rrbracket$  is in  
 453 fact a fonet.

454 1)  $\Pi$  is  $\text{ax} \frac{}{\vdash a, \bar{a}}$ : Then the only link is  $\{a, \bar{a}\}$ , and  $\delta_\Pi$  is  
 455 empty.

456 2)  $\Pi$  is  $\text{t} \frac{}{\vdash \text{t}}$ : Then  $\sim_\Pi$  and  $\delta_\Pi$  are both empty.

3) The last rule in  $\Pi$  is  $\text{mix} \frac{\vdash \Gamma' \quad \vdash \Gamma''}{\vdash \Gamma', \Gamma''}$ : By induction  
 hypothesis, we have proofs  $\Pi'$  and  $\Pi''$  of  $\Gamma'$  and  $\Gamma''$ ,  
 respectively. We have  $\llbracket \Gamma \rrbracket = \llbracket \Gamma' \rrbracket + \llbracket \Gamma'' \rrbracket$  and let

$$\sim_\Pi = \sim_{\Pi'} \cup \sim_{\Pi''} \quad \text{and} \quad \delta_\Pi = \delta_{\Pi'} \cup \delta_{\Pi''}$$

457 4) The last rule in  $\Pi$  is  $\vee \frac{\vdash \Gamma_1, A, B}{\vdash \Gamma_1, A \vee B}$ : By induction  
 458 hypothesis, there is proofs  $\Pi'$  of  $\Gamma' = \Gamma_1, A, B$ . We  
 459 have  $\llbracket \Gamma \rrbracket = \llbracket \Gamma' \rrbracket$  and let  $\sim_\Pi = \sim_{\Pi'}$  and  $\delta_\Pi = \delta_{\Pi'}$ .

5) The last rule in  $\Pi$  is  $\wedge \frac{\vdash \Gamma_1, A \quad \vdash B, \Gamma_2}{\vdash \Gamma_1, A \wedge B, \Gamma_2}$ : By induction  
 hypothesis, we have proofs  $\Pi'$  and  $\Pi''$  of  $\Gamma' = \Gamma_1, A$   
 and  $\Gamma'' = B, \Gamma_2$ , respectively. We have  $\llbracket \Gamma \rrbracket = \llbracket \Gamma_1 \rrbracket +$   
 $(\llbracket A \rrbracket \times \llbracket B \rrbracket) + \llbracket \Gamma_2 \rrbracket$  and we let

$$\sim_\Pi = \sim_{\Pi'} \cup \sim_{\Pi''} \quad \text{and} \quad \delta_\Pi = \delta_{\Pi'} \cup \delta_{\Pi''}$$

460 6) The last rule in  $\Pi$  is  $\exists \frac{\vdash \Gamma_1, A[x/t]}{\vdash \Gamma_1, \exists x.A}$ : By induction  
 461 hypothesis, there is a  $\Pi'$  of  $\Gamma' = \Gamma_1, A[x/t]$ . For each  
 462 atom in  $\Gamma' = \Gamma_1, A[x/t]$ , there is a corresponding atom  
 463 in  $\Gamma = \Gamma_1, \exists x.A$ . We can therefore define the linking  $\sim_\Pi$   
 464 from the linking  $\sim_{\Pi'}$  via this correspondence. Then, we  
 465 let  $\delta_\Pi$  be  $\delta_{\Pi'} + [x/t]$ . Since  $\Gamma$  is rectified  $x$  does not yet  
 466 occur in  $\delta_{\Pi'}$ . Hence  $\delta_\Pi$  is a dualizer of  $\llbracket \Pi \rrbracket$ .

467 7) The last rule in  $\Pi$  is  $\forall \frac{\vdash \Gamma_1, A}{\vdash \Gamma_1, \forall x.A}$  ( $x$  not free in  $\Gamma_1$ ):  
 468 By induction hypothesis, there is a proof  $\Pi'$  of  $\Gamma' \stackrel{\text{576}}{=} \Gamma_1, A$ ,  
 469 which has the same atoms as in  $\Gamma = \Gamma_1, \forall x.A$ .  
 Hence, we can let  $\sim_\Pi = \sim_{\Pi'}$  and  $\delta_\Pi = \delta_{\Pi'}$ . 518

**Theorem 32.** *If  $\Pi$  is a MLL1<sup>X</sup> proof of a rectified sequent  $\vdash \Gamma$ , then  $\llbracket \Pi \rrbracket$  is a fonet and  $\delta_\Pi$  is a dualizer for it.*

473 *Proof.* We have to show that none of the operations above  
 474 can introduce a bimatching. For cases 1–6, this is immediate.  
 475 For case 7, observe that there is a potential dependency from  
 476 each existential binder in  $\llbracket \Gamma' \rrbracket$  to the new  $x$ -binder  $\bullet x$  in  $\llbracket \Gamma \rrbracket$ .  
 477 However, observe that this  $\bullet x$  vertex is not connected to any  
 478 vertex in  $\llbracket \Gamma' \rrbracket$ , and hence no such new dependency can be  
 479 extended to a bimatching. That  $\delta_\Pi$  is a dualizer for  $\llbracket \Pi \rrbracket$  follows  
 480 immediately from the construction. Hence,  $\llbracket \Pi \rrbracket$  is a fonet.  $\square$

### B. From MLS1<sup>X</sup> Proofs to Fonets

482 There is a more direct path from a MLL1<sup>X</sup> proof  $\Pi$  of a  
 483 rectified sequent  $\Gamma$  to the linked fograph  $\llbracket \Pi \rrbracket$ : simply take the  
 484 fograph  $\llbracket \Gamma \rrbracket$ , and let the equivalence classes of  $\sim_\Pi$  be all the  
 485 atom pairs that meet in an instance of  $\text{ax}$ , and  $\delta_\Pi$  is simply  
 486 the collection of all substitutions of all the instances of the  $\exists$ -  
 487 rule in  $\Pi$ . We have chosen the more cumbersome path above  
 488 because it gives us a direct proof of Theorem 32. However, for  
 489 translating MLS1<sup>X</sup> derivation into fonets, we employ exactly  
 490 that direct path.

A derivation  $\Phi$  in MLS1<sup>X</sup> is **rectified** if every line in  $\Phi$  is  
 492 rectified.

**Lemma 33.** *Let  $\Phi$  be a MLS1<sup>X</sup> proof of a formula  $A$ . Then  $\Phi$  is rectified iff  $A$  is rectified.* 493

*Proof.* The only rules involving bound variables are  $\forall$  and  
 495  $\exists$  which both remove a binder (and all occurrences of the  
 496 variable it binds).  $\square$  497

Hence, for a non-rectified MLS1<sup>X</sup> derivation  $\Phi$  in MLS1<sup>X</sup>  
 498 we can define its **rectification**  $\hat{\Phi}$  inductively, by rectifying each  
 499 line, proceeding step-wise from conclusion to premise.<sup>2</sup> 500

A rectified derivation  $\text{MLS1}^X \parallel \Phi$  determines a substitution  
 $\frac{\text{t}}{A}$

502 which maps the existential bound variables occurring in  $A$  to  
 503 the terms substituted for them in the instances of the  $\exists$ -rule in  
 504  $\Phi$ . We denote this substitution with  $\delta_\Phi$  and call it the **dualizer**  
 505 of  $\Phi$ . Furthermore, every atom occurring in the conclusion  $A$   
 506 must be consumed by a unique instance of the rule  $\text{ai}$  in  $\Phi$ .  
 507 This allows us to define a (partial) equivalence relation  $\sim_\Phi$  on  
 508 the atom occurrences in  $A$  by  $a \sim_\Phi b$  if  $a$  and  $b$  are consumed  
 509 by the same instance of  $\text{ai}$  in  $\Phi$ . We call  $\sim_\Phi$  the **linking** of  $\Phi$ ,  
 510 and define  $\llbracket \Phi \rrbracket = \langle \llbracket A \rrbracket, \sim_\Phi \rangle$ . 511

512 **TODO: example here**

$\frac{\text{t}}{A}$   
**Theorem 34.** *Let  $\text{MLS1}^X \parallel \Phi$  be a rectified derivation. Then  $\llbracket \Phi \rrbracket$   
 513 is a fonet and  $\delta_\Phi$  a dualizer for it.*

514 For proving this theorem, we have to show that no inference  
 515 rule in MLS1<sup>X</sup> can introduce a bimatching. To simplify the  
 argument, we introduce the **frame** [34] of the fograph  $\mathcal{C}$ , which  
 is a linked (propositional) cograph in which the dependencies  
 between the binders in  $\mathcal{C}$  are encoded as links.

<sup>2</sup>As for formulas, the rectification of a derivation is unique up to renaming  
 of bound variables.

More formally, let  $C$  be a formula with  $\llbracket C \rrbracket = \mathcal{C}$ , to which we exhaustively apply the following subformula rewriting steps, to obtain a sequent  $C^*$ :

- 1) **Encode dependencies as fresh links.** For each dependency  $\{\bullet x_i, \bullet y_j\}$  in  $\mathcal{C}$ , with corresponding subformulas  $\exists x_i.A$  and  $\forall y_j.B$  in  $C$ , we pick a fresh (nullary) predicate symbol  $q_{i,j}$ , and then replace  $\exists x_i.A$  by  $\bar{q}_{i,j} \wedge \exists x_i.A$ , and replace  $\forall y_j.B$  by  $q_{i,j} \vee \forall y_j.B$ .
- 2) **Erase quantifiers.** After step 1, remove all the quantifiers, i.e., replace  $\exists x_i.A$  by  $A$  and replace  $\forall y_j.B$  by  $B$  everywhere.
- 3) **Simplify atoms.** After step 2, replace every predicate  $p(t_1 \cdots t_n)$  (resp.  $\bar{p}(t_1 \cdots t_n)$ ) with a nullary predicate symbol  $p$  (resp.  $\bar{p}$ ).

The  $\sim_{C^*}$  consists of the pairs induced by  $\sim_C$  and the new pairs  $\{q_{i,j}, \bar{q}_{i,j}\}$  introduced in step 1 above. We call  $C^*$  the **frame** of  $C$  and we define the **frame** of  $\mathcal{C}$ , denoted  $\mathcal{C}^*$ , as  $\langle \llbracket C^* \rrbracket, \sim_{C^*} \rangle$ .

**Lemma 35.** *A linked fograph  $\mathcal{C}$  has an induced bimatching iff its frame  $\mathcal{C}^*$  has an induced bimatching.*

*Proof.* This immediately follows from the construction of the frame. **Lutz:** is it really an “iff”? It is easy to construct from a bimatching in  $\mathcal{C}$  a bimatching in the frame. (and I think we only need that direction). But what about the other direction?  $\square$

*Proof of Theorem 34.* From  $\Phi$  we construct a derivation  $\Phi^*$  of  $A^*$  in the propositional fragment of  $\text{MLS1}^X$ , such that  $\llbracket \Phi^* \rrbracket = \llbracket \Phi \rrbracket^*$ . The rules ai, t, mix and s are translated trivially, and for  $\equiv$ , it suffices to observe that the frame construction is invariant under  $\equiv$ . Finally, for the rules  $\forall$  and  $\exists$ , proceed as follows. Every instance of  $\forall$  is replaced by the derivation on the right below:<sup>3</sup>

$$\frac{\forall \frac{S\{t\}}{S\{\forall y_j.t\}} \rightsquigarrow S\{(q_{h_1,j} \vee \bar{q}_{h_1,j}) \wedge \cdots \wedge (q_{h_j,j} \vee \bar{q}_{h_j,j}) \wedge t\}}{S\{q_{h_1,j} \vee \cdots \vee q_{h_j,j} \vee (\bar{q}_{h_1,j} \wedge \cdots \wedge \bar{q}_{h_j,j} \wedge t)\}} \begin{array}{c} t \\ \{ai, t\} \parallel \Psi_1 \\ \{s, \equiv\} \parallel \Psi_2 \end{array}$$

where  $h_1, \dots, h_j$  range over the indices of the existential binders dependent on that  $y_j$ . It is easy to see how  $\Psi_1$  is constructed, and for  $\Psi_2$  see, e.g. [?], [33], [35] **Lutz:** check if it is really there. otherwise [36] Then, every occurrence of  $\forall y_j.F$  is replaced by  $q_{h_1,j} \vee \cdots \vee q_{h_j,j} \vee (\bar{q}_{h_1,j} \wedge \cdots \wedge \bar{q}_{h_j,j} \wedge F)$  in the derivation below that  $\forall$ -instance. Now, observe that all instances of the  $\exists$ -rule introducing  $x_i$  depend on  $y_j$  must occur below in the derivation (otherwise  $\Phi$  would not be rectified). Now consider such an instance  $\exists \frac{S\{B[x_i/t]\}}{S\{\exists x_i.B\}}$ . Its context  $S\{\cdot\}$  must contain all the  $\forall y_j$  the  $\exists x_i$  depends on, such that  $B$  is in their scope. Following the translation of the

<sup>3</sup>For better readability we omit superfluous parentheses, knowing that we always have  $\equiv$  incorporating associativity and commutativity of  $\wedge$  and  $\vee$ .

$\forall$  rules above, we can therefore translate the  $\exists$ -rule instance by the following derivation

$$\frac{S_0\{\bar{q}_{i,k_1} \wedge S_1\{\bar{q}_{i,k_2} \wedge \cdots S_{k_i-1}\{\bar{q}_{i,k_i} \wedge S_{k_i}\{B'\}\}\cdots\}\}}{\{s, \equiv\} \parallel \Psi_3} S_0\{S_1\{\cdots S_{k_i-1}\{S_{k_i}\{\bar{q}_{i,k_1} \wedge \bar{q}_{i,k_2} \wedge \cdots q_{i,k_i} \wedge B'\}\}\cdots\}\}$$

where  $k_1, \dots, k_i$  are the indices of the universal binders on which that  $x_i$  depends, and  $B'$  is  $B$  in which all predicates are replaced by nullary one (step 3 in the frame construction). The derivation  $\Psi_3$  can be constructed in the same way as  $\Psi_2$  above.

Doing this to all instances of the rules  $\forall$  and  $\exists$  in  $\Phi$  yields indeed a propositional derivation  $\Phi^*$  with  $\llbracket \Phi^* \rrbracket = \llbracket \Phi \rrbracket^*$ . It has been shown by Retoré [?] and rediscovered by Straßburger [36] that  $\llbracket \Phi^* \rrbracket = \langle \llbracket C^* \rrbracket, \sim_{\Phi^*} \rangle$  can not contain an induced bimatching. By Lemma 37,  $\llbracket \Phi \rrbracket$  does not have an induced bimatching either. Furthermore, it followed from the definition of  $\delta_\Phi$  that it is a dualizer for  $\llbracket \Phi \rrbracket$ . Hence  $\llbracket \Phi \rrbracket$  is a fonet.  $\square$

**Remark 36.** There is an alternative path of proving Theorem 34 by translating  $\Phi$  to an  $\text{MLL1}^X$ -proof  $\Pi$ , observing that this process preserves the linking and the dualizer. However, for this, we have to extend the construction above to the cut-rule, and then show that linking and dualizer of a sequent proof  $\Pi$  are invariant under cut elimination. This can be done similarly to unification nets in [34].

### C. From Fonets to $\text{MLL1}^X$ Proofs

Now we are going to show how from a given fonet  $\langle \mathcal{C}, \sim_C \rangle$  we can construct a sequent proof  $\Pi$  in  $\text{MLL1}^X$  such that  $\llbracket \Pi \rrbracket = \langle \mathcal{C}, \sim_C \rangle$ . In the proof net literature, this operation is also called *sequentialization*. The basic idea behind our sequentialization is to construct a propositional linked cograph, called the **frame** [34] of  $\mathcal{C}$ , in which the dependencies between the binders in  $\mathcal{C}$  are encoded as links. Then we can apply the *splitting tensor theorem* to the frame, and then reconstruct the sequent proof  $\Pi$ . **Lutz:** if the proof of thm 34 is verified, we can delete the frame-def here

More formally, let  $\Gamma$  be a sequent with  $\llbracket \Gamma \rrbracket = \mathcal{C}$ , to which we exhaustively apply the following subformula rewriting steps, to obtain a sequent  $\Gamma^*$ :

- 1) **Encode dependencies as fresh links.** For each dependency  $(\bullet x, \bullet y)$  in  $\mathcal{C}$ , with corresponding subformulas  $\exists x.A$  and  $\forall y.B$  in  $\Gamma$ , we pick a fresh (nullary) predicate symbol  $q$ , and then replace  $\exists x.A$  by  $q \wedge \exists x.A$ , and replace  $\forall y.B$  by  $\bar{q} \vee \forall y.B$ .
- 2) **Erase quantifiers.** After step 1, remove all the quantifiers, i.e., replace  $\exists x.A$  by  $A$  and replace  $\forall y.B$  by  $B$  everywhere.
- 3) **Simplify atoms.** After step 2, replace every predicate  $p(t_1 \cdots t_n)$  (resp.  $\bar{p}(t_1 \cdots t_n)$ ) with a nullary predicate symbol  $p$  (resp.  $\bar{p}$ ).

The  $\sim_{\Gamma^*}$  consists of the pairs induced by  $\sim_C$  and the new pairs  $\{q, \bar{q}\}$  introduced in step 1 above. We call  $\Gamma^*$  the **frame** of  $\Gamma$

and we define the **frame** of  $\mathcal{C}$ , denoted  $\mathcal{C}^*$ , as  $\langle \llbracket \Gamma^* \rrbracket, \sim_{\Gamma^*} \rangle$ , and we immediately have the following:

**Lemma 37.** *A linked fograph  $\mathcal{C}$  induces a bmatching iff its frame  $\mathcal{C}^*$  has an induced bmatching.*

Let  $\Gamma$  be a propositional sequent and  $\sim_{\Gamma}$  be a linking for  $\llbracket \Gamma \rrbracket$ . A conjunction formula  $A \wedge B$  is **splitting** or a **splitting tensor** if  $\Gamma = \Gamma', A \wedge B, \Gamma''$  and  $\sim_{\Gamma} = \sim_1 \cup \sim_2$ , such that  $\sim_1$  is a linking for  $\llbracket \Gamma', A \rrbracket$  and  $\sim_2$  is a linking for  $\llbracket B, \Gamma'' \rrbracket$ , i.e., removing the  $\wedge$  from  $A \wedge B$  splits the linked fograph  $\langle \llbracket \Gamma \rrbracket, \sim_{\Gamma} \rangle$  into two fographs. We say that  $\langle \llbracket \Gamma \rrbracket, \sim_{\Gamma} \rangle$  is **mixed** iff  $\Gamma = \Gamma', \Gamma''$  and  $\sim_{\Gamma} = \sim_1 \cup \sim_2$ , such that  $\sim_1$  is a linking for  $\llbracket \Gamma' \rrbracket$  and  $\sim_2$  is a linking for  $\llbracket \Gamma'' \rrbracket$ . Finally,  $\langle \llbracket \Gamma \rrbracket, \sim_{\Gamma} \rangle$  is **splittable** if it is mixed or has a splitting tensor.

The purpose of introducing the frame is the following theorem.

**Theorem 38.** *Let  $\Gamma$  be a propositional sequent containing only atoms and  $\wedge$ -formulas, and  $\sim_{\Gamma}$  be a linking for  $\llbracket \Gamma \rrbracket$ . If  $\langle \llbracket \Gamma \rrbracket, \sim_{\Gamma} \rangle$  does not induce a bmatching then it is splittable.*

This is the well-know splitting-tensor-theorem [23], [37], adapted for the presence of mix. In the setting of linked cographs, it has first been proved by Retoré [38], [39]. We use it now for our sequentialization:

**Theorem 39.** *Let  $\langle \mathcal{C}, \sim_{\mathcal{C}} \rangle$  be a fonet, and let  $\Gamma$  be a sequent with  $\llbracket \Gamma \rrbracket = \mathcal{C}$ . Then there is an  $\text{MLL1}^X$ -proof  $\Pi$  of  $\Gamma$ , such that  $\llbracket \Pi \rrbracket = \langle \mathcal{C}, \sim_{\mathcal{C}} \rangle$ .*

*Proof.* Let  $\delta_{\mathcal{C}}$  be the dualizer of  $\langle \mathcal{C}, \sim_{\mathcal{C}} \rangle$ . We proceed by induction on the size of  $\Gamma$  (i.e., the number of symbols in it, without counting the commas). If  $\Gamma$  contains a formula with  $\vee$ -root, or a formula  $\forall x.A$ , we can immediately apply the  $\vee$ -rule or the  $\forall$ -rule of  $\text{MLL1}^X$  and proceed by induction hypothesis. If  $\Gamma$  contains a formula  $\exists x.A$  such that the corresponding binder  $\bullet x$  in  $\mathcal{C}$  has no dependency, then we can apply the  $\exists$ -rule, choosing the term  $t$  as determined by  $\delta_{\mathcal{C}}$ , and proceed by induction hypothesis. Hence, we can now assume that  $\Gamma$  contains only atoms,  $\wedge$ -formulas, or formulas of shape  $\exists x.A$ , where the vertex  $\bullet x$  has dependencies. Then the frame  $\langle \llbracket \Gamma^* \rrbracket, \sim_{\Gamma^*} \rangle$  does not induce a bmatching and contains only atoms and  $\wedge$ -formulas, and is therefore splittable. If it is mixed, then we can apply the mix-rule to  $\Gamma$  and apply the induction hypothesis to the two components. If it is not mixed then there must be a splitting tensor. If the splitting  $\wedge$  is already in  $\Gamma$ , then we can apply the  $\wedge$ -rule and proceed by induction hypothesis on the two branches. However, if  $\Gamma^*$  is not mixed and all splitting tensors are  $\wedge$ -formulas introduced in step 1 of the frame construction, then we get a contradiction as in that case there must be a  $\vee$ - or  $\forall$ -formula in  $\Gamma$ . **[[Lutz: can anyone give a good argument here?]]**  $\square$

#### D. From Fonets to $\text{MLS1}^X$ Proofs

We can now straightforwardly obtain the same result for  $\text{MLS1}^X$ :

**Theorem 40.** *Let  $\langle \mathcal{C}, \sim_{\mathcal{C}} \rangle$  be a fonet, and let  $C$  be a formula with  $\llbracket C \rrbracket = \mathcal{C}$ . Then there is a derivation  $\text{MLS1}^X \vdash \Phi$  such that  $\llbracket \Phi \rrbracket = \langle \mathcal{C}, \sim_{\mathcal{C}} \rangle$ .*

*Proof.* We apply Theorem 39 to obtain a sequent proof  $\Pi$  of  $C$  with  $\llbracket \Pi \rrbracket = \langle \mathcal{C}, \sim_{\mathcal{C}} \rangle$ . Then we apply Lemma 21, observing that the translation from  $\text{MLL1}^X$  to  $\text{MLS1}^X$  preserves linking and dualizer.  $\square$

**Remark 41.** Note that it is also possible to do a direct “sequentialization” into the deep inference system  $\text{MLS1}^X$ , using the techniques presented in [36] and [40].

#### IX. SKEW BIFIBRATIONS AND RESOURCE MANAGEMENT

In this section we establish the relation between skew bifibrations and derivations in  $\{w, ac, c_{\forall}, m, m_{\forall}, m_{\exists}, \equiv\}$ . However, if a derivation  $\Phi$  contains instances of the rules  $c_{\forall}$ ,  $m_{\forall}$ , and  $m_{\exists}$  we can no longer naively define the rectification  $\hat{\Phi}$  as in the previous section for  $\text{MLS1}^X$ , as these two rules cannot be applied if premise and conclusion are rectified. For this reason we define here rectified versions  $\hat{c}_{\forall}$ ,  $\hat{m}_{\forall}$  and  $\hat{m}_{\exists}$ , shown below:

$$\hat{c}_{\forall} \frac{S\{\forall y. \forall x. Ax\}}{S\{\forall x. Ax\}} \quad \hat{m}_{\forall} \frac{S\{(\forall y. Ay) \vee (\forall z. Bz)\}}{S\{\forall x. (Ax \vee Bx)\}} \quad \hat{m}_{\exists} \frac{S\{(\exists y. Ay) \vee (\exists z. Bz)\}}{S\{\exists x. (Ax \vee Bx)\}}$$

Here, we use the notation  $A \cdot$  for a formula  $A$  with occurrences of a placeholder  $\cdot$  for a variable. Then  $Ax$  stands for the results of replacing that placeholder with  $x$ , and also indicating that  $x$  must not occur in  $A \cdot$ . Then  $\forall x. Ax$  and  $\forall y. Ay$  are the same formula modulo renaming of the bound variable bound by the outermost  $\forall$ -quantifier. We also demand that the variables  $x$ ,  $y$ , and  $z$  do not occur in the context  $S\{\cdot\}$ .

Note that in an instance of  $\hat{m}_{\forall}$  or  $\hat{m}_{\exists}$  (as shown above), we can have  $x = y$  or  $x = z$ , but not both if the premise is rectified. If  $x = y$  and  $x = z$  we have  $m_{\forall}$  and  $m_{\exists}$  as special cases of  $\hat{m}_{\forall}$  and  $\hat{m}_{\exists}$ , respectively. And similarly, if  $x = y$  then  $c_{\forall}$  is a special case of  $\hat{c}_{\forall}$ .

For a derivation  $\Phi$  in  $\{w, ac, c_{\forall}, m, m_{\forall}, m_{\exists}, \equiv\}$ , we can now construct the **rectification**  $\hat{\Phi}$  by rectifying each line of  $\Phi$ , yielding a derivation in  $\{w, ac, m, \hat{m}_{\forall}, \hat{m}_{\exists}, \equiv\}$ .

For each instance  $r \frac{Q}{P}$  of an inference rule in  $\{w, ac, \hat{c}_{\forall}, m, \hat{m}_{\forall}, \hat{m}_{\exists}, \equiv\}$  we can define the **induced map**  $[r]: V_{[Q]} \rightarrow V_{[P]}$  which acts as the identity for  $r \in \{m, \equiv\}$  and as the canonical injection for  $r = w$ . For  $r = ac$  it maps the vertices corresponding to the two atoms in the premise to vertex of the contracted atom in the conclusion, and for  $r \in \{\hat{c}_{\forall}, \hat{m}_{\forall}, \hat{m}_{\exists}\}$  it maps the two vertices corresponding to the quantifiers in the premise to the one in the conclusion (as acts as the identity on all other vertices). For a derivation  $\Phi$  in  $\{w, ac, \hat{c}_{\forall}, m, \hat{m}_{\forall}, \hat{m}_{\exists}, \equiv\}$  we can then define the **induced map**  $[\Phi]$  as the composition of the induced maps of the rule instances in  $\Phi$ . **[[Jui-Hsuan: maybe mention at least**



that the induced maps define graph homomorphisms. Do we need to talk about the contexts  $S\{\cdot\}$  here (induced maps act clearly as the identity on contexts but we need them for the composition)? **Lutz:** For the context, I already say it is the identity. For the homom, it comes later

**Lemma 42.** Let  $\{w, ac, c_v, m, m_v, m_\exists, \equiv\} \parallel \Phi$  be a derivation. Then there is a rectified derivation  $\{w, \hat{ac}, \hat{c}_v, m, \hat{m}_v, \hat{m}_\exists, \equiv\} \parallel \hat{\Phi}$ , such that the induced maps  $\lfloor \Phi \rfloor: \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$  and  $\lfloor \hat{\Phi} \rfloor: \llbracket \hat{A} \rrbracket \rightarrow \llbracket \hat{B} \rrbracket$  are equal up to a variable renaming of the vertex labels.

*Proof.* Immediate from the definition.  $\square$

**TODO: example**

#### A. From Contraction and Weakening to Skew Bifibrations

We say that a derivation  $\Phi$  is **sane** if for every line  $Q$  in  $\Phi$  we have that  $\llbracket D \rrbracket$  is a fograph (i.e., all binders are legal). Clearly, every rectified derivation is sane, but not vice versa, as we might have multiple occurrences of bound variables in  $Q$ , such that  $\llbracket Q \rrbracket$  is still a fograph.

**Lemma 43.** Let  $\{w, ac, \hat{c}_v, m, \hat{m}_v, \hat{m}_\exists, \equiv\} \parallel \Phi$  be a sane derivation. Then the induced map  $\lfloor \Phi \rfloor: \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$  is a skew bifibration.

Before we show the proof of this lemma, we introduce another useful concept: the **propositional encoding**  $A^\circ$  of a formula  $A$ , which is a propositional formula with the property that  $\llbracket A^\circ \rrbracket = \llbracket A \rrbracket$ . For this, we introduce new propositional variables that have the same names as the (first-order) variables  $x \in \text{VAR}$ . Then  $A^\circ$  is defined inductively by:

$$\begin{aligned} a^\circ &= a & (\forall x A)^\circ &= x \vee A^\circ \\ (A \vee B)^\circ &= A^\circ \vee B^\circ & (\exists x A)^\circ &= x \wedge A^\circ \\ (A \wedge B)^\circ &= A^\circ \wedge B^\circ \end{aligned}$$

**Lemma 44.** For every formula  $A$ , we have  $\llbracket A^\circ \rrbracket = \llbracket A \rrbracket$ .

*Proof.* Straightforward induction on  $A$ .  $\square$

We use  $\equiv^\circ$  to denote the restriction of  $\equiv$  to propositional formulas, i.e., the first two lines in (2).

*Proof of Lemma 43.* First, observe that for every inference rule  $r \in \{w, ac, \hat{c}_v, m, \hat{m}_v, \hat{m}_\exists, \equiv\}$  the induced map  $\lfloor r \rfloor: V_{\llbracket Q \rrbracket} \rightarrow V_{\llbracket P \rrbracket}$  defines a existential preserving graph homomorphism  $\llbracket Q \rrbracket \rightarrow \llbracket P \rrbracket$  and a fibration on the corresponding binding graphs. **Jui-Hsuan:** we may need to have some explication here. **Lutz:** no. Therefore, their composition  $\lfloor \Phi \rfloor$  has the same properties fibration.

For showing that it is also a skew fibration, we construct for  $\Phi$  its propositional encoding  $\Phi^\circ$  by translating every line into its propositional encoding. **Jui-Hsuan:** maybe mention that an instance of one of the other rules can be translated into an instance of the same rule. It's trivial but may be worth

mentioning. **Lutz:** done below. The instances of the rules  $\hat{m}_v$  and  $\hat{m}_\exists$  are replaced in two steps by:

$$\begin{aligned} & \frac{S\{(y \vee (Ay)^\circ) \vee (z \vee (Bz)^\circ)\}}{S\{(y \vee z) \vee ((Ay)^\circ \vee (Bz)^\circ)\}} \\ \hat{ac} & \frac{S\{(y \vee z) \vee ((Ay)^\circ \vee (Bz)^\circ)\}}{S\{x \vee ((Ax)^\circ \vee (Bx)^\circ)\}} \end{aligned}$$

and

$$\begin{aligned} & \frac{S\{(y \wedge (Ay)^\circ) \vee (z \wedge (Bz)^\circ)\}}{S\{(y \vee z) \wedge ((Ay)^\circ \vee (Bz)^\circ)\}} \\ \hat{ac} & \frac{S\{(y \vee z) \wedge ((Ay)^\circ \vee (Bz)^\circ)\}}{S\{x \wedge ((Ax)^\circ \vee (Bx)^\circ)\}} \end{aligned}$$

respectively, where  $\hat{ac}$  is a  $ac$  that renames the variables—the propositional variable, as well as the first-order variable of the same name—as everything is rectified, there is no ambiguity here. Any instance of a rule  $w$ ,  $ac$ ,  $m$ , or  $\equiv$  is translated to an instance of the same rule, and  $\hat{c}_v$  is translated to  $\hat{ac}$ .

This gives us a derivation  $\{w, ac, \hat{ac}, m, \equiv^\circ\} \parallel \Phi^\circ$  such that  $\lfloor \Phi^\circ \rfloor = \lfloor \Phi \rfloor$ . It has been shown in [21] that  $\lfloor \Phi^\circ \rfloor$  is a skew fibration (see also [10], [41], [13]). Hence,  $\lfloor \Phi \rfloor$  is a skew fibration.  $\square$

#### B. From Skew Bifibrations to Contraction and Weakening

**Lemma 45.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be fographs, let  $\varphi: \mathcal{A} \rightarrow \mathcal{B}$  be a skew bifibration, and let  $A$  and  $B$  be formulas with  $\llbracket A \rrbracket = \mathcal{A}$  and  $\llbracket B \rrbracket = \mathcal{B}$ . Then there are derivations

$$\begin{aligned} & \{w, ac, \hat{c}_v, m, \hat{m}_v, \hat{m}_\exists, \equiv\} \parallel \Phi \quad \text{and} \quad \{w, ac, c_v, m, m_v, m_\exists, \equiv\} \parallel \Phi \\ & B \quad \quad \quad A\sigma_\varphi \quad \quad \quad B \end{aligned}$$

such that  $\lfloor \hat{\Phi} \rfloor = \varphi$  and  $\hat{\Phi}$  is a rectification of  $\Phi$ , and  $\sigma_\varphi$  is the substitution induced by  $\varphi$ .

In the proof of this lemma, we make use of the following concept: Let  $s \parallel \Psi$  be a derivation where  $P$  and  $Q$  are propositional formulas (possibly using variable  $x \in \text{VAR}$  at the places of atoms). We say that  $\Psi$  can be **lifted** to  $S'$  if there are (first-order) formulas  $C$  and  $D$  such that  $P = C^\circ$  and  $Q = D^\circ$  and

there is a derivation  $s' \parallel \Psi'$ .

*Proof of Lemma 45.* By Lemma 44 we have  $\mathcal{A} = \llbracket A^\circ \rrbracket$  and  $\mathcal{B} = \llbracket B^\circ \rrbracket$ . Let  $V'_B \subseteq V_B$  be the image of  $\varphi$ , and let  $\mathcal{B}_1$  be the subgraph of  $\mathcal{B}$  induced by  $V'_B$ . Hence, we have two maps  $\varphi': \mathcal{A} \rightarrow \mathcal{B}_1$  being a surjection and  $\varphi'': \mathcal{B}_1 \rightarrow \mathcal{B}$  being an injection that reflects edges. **Jui-Hsuan:** what do you mean by "reflect edges"? **Lutz:** edge downstairs implies edge upstairs. Both,  $\varphi'$  and  $\varphi''$  remain skew bifibrations. Let us first look at  $\varphi'$ . Let  $\hat{\mathcal{B}}_1$  be the propositional formula obtained from  $B^\circ$  by removing all atoms that are not represented by vertices in  $V'_B$ . Then  $\llbracket \hat{\mathcal{B}}_1 \rrbracket = \mathcal{B}_1$ . By [21, Proposition 7.6.1], we have

a derivation  $\{\mathbf{w}, \equiv\} \parallel \Phi_1^\circ$ . A subformula of  $B^\circ$  is called *weak* if it has been introduced by a weakening. All subformulas of a weak formula are also weak. Two weak subformulas  $B'$  and  $B''$  of  $B^\circ$  form a *weak pair* if  $B^\circ \equiv S\{B' \vee B''\}$  for some context  $S\{\cdot\}$ . We can assume without loss of generality that whenever weak subformulas  $B'$  and  $B''$  form a weak pair, they have been introduced by the same instance of  $\mathbf{w}$  in  $\Phi_1^\circ$ .<sup>4</sup> Now we show that  $\Phi_1^\circ$  can be lifted. For this, observe that whenever a weakening in  $\Phi_1^\circ$  deletes an atom  $x \in \text{VAR}$ , it must also delete all atoms in the scope of the corresponding quantifier, because  $\varphi'$  is a fibration on the binding graph. Hence, each line in  $\Phi_1^\circ$  is the propositional encoding  $P^\circ$  of a first-order formula  $P$ . We now have to show that each instance of  $\mathbf{w}$  is indeed a correct application in first-order logic. For this we have to inspect the cases a weakening happens inside a subformula  $x \vee C$  or  $x \wedge C$  in  $\Phi_1^\circ$ . There are the following cases:

$$\frac{S\{x \vee C\}}{S\{x \vee D \vee C\}} \quad \frac{S\{x \wedge C\}}{S\{x \wedge (D \vee C)\}} \quad \frac{S\{x \wedge C\}}{S\{(x \vee D) \wedge C\}}$$

In the first case the weakening happens inside the scope of a  $\forall$ -quantifier, and in the second case inside the scope of a  $\exists$ -quantifier. Both cases are unproblematic in first-order logic. However, in the third case an  $\exists$ -quantifier would be transformed into an  $\forall$ -quantifier. But as  $\varphi$  has to preserve existentials, this third case cannot occur. Thus we have a first

order derivation  $\{\mathbf{w}, \equiv\} \parallel \Phi_1$  with  $B_1^\circ = \tilde{B}_1$ .

Let us now look at  $\varphi''$ . Let  $\mathcal{A}_1 = \mathcal{A}\sigma_\varphi$  be the graph obtained from  $\mathcal{A}$  by applying  $\sigma_\varphi$  to all the labels. Note that  $\mathcal{A}_1$  is not necessarily a fograph, as binders might be illegal. But it still is a cograph, and we have a surjective skew fibration  $\varphi'': \mathcal{A}_1 \rightarrow \mathcal{B}_1$  that preserves the labels. Therefore, by [41,

Proposition 7.5], there is a derivation  $\{\mathbf{ac}, \mathbf{m}, \equiv\} \parallel \Phi_2^\circ$ , where

$A_1^\circ = A^\circ \sigma_\varphi$  is the result of applying  $\sigma_\varphi$  to  $A^\circ$ . Note that  $A_1^\circ = (A\sigma_\varphi)^\circ$  and  $B_1^\circ$  are both propositional encodings. We plan to show that  $\Phi_2$  can be lifted to  $\{\mathbf{ac}, \mathbf{c}_\forall, \mathbf{m}, \mathbf{m}_\forall, \mathbf{m}_\exists, \equiv\}$ . However, observe that not every formula occurring in  $\Phi_2$  is a propositional encoding. There are two reasons for this: (i) we might have  $P \equiv Q$  where  $P$  is a propositional encoding but  $Q$  is not, and (ii) the rule  $\mathbf{ac}$  can duplicate an atom  $x \in \text{VAR}$ .<sup>43</sup> Let us write  $\mathbf{ac}_x$  for such instances. The problem with (i) is that we could have the following situation

$$\frac{\frac{\frac{S\{(x \wedge (E \wedge C)) \vee (x \wedge (F \wedge D))\}}{S\{((x \wedge E) \wedge C) \vee ((x \wedge F) \wedge D)\}}}{S\{((x \wedge E) \vee (x \wedge F)) \wedge (C \vee D)\}}}{\equiv} \quad (4)$$

where  $x$  occurs in  $C \vee D$ . Then premise and conclusion are both propositional encodings, but the whole derivation cannot

<sup>4</sup>If  $\Phi_1^\circ$  is not of that shape, it can be brought into this form by simple rule permutations, and then collapsing several weakenings into a single one. 746

be lifted. However, since we demand that the mapping is a fibration (and therefore a momomorphism) on the binding graphs, there must be another instance of  $\mathbf{m}$  further below in the derivation:

$$\mathbf{m} \frac{S'\{(x \wedge E) \vee (x \wedge F)\}}{S'\{(x \vee x) \wedge (E \vee F)\}} \quad (5)$$

We can permute both instances via the following more general scheme (see [?], [?] for a general discussion on permutations of the  $\mathbf{m}$ -rule):

$$\frac{\mathbf{m} \frac{S\{(G \wedge E \wedge C) \vee (G \wedge F \wedge D)\}}{S\{((G \wedge E) \vee (H \wedge F)) \wedge (C \vee D)\}}}{\mathbf{m} \frac{S\{(G \vee H) \wedge (E \vee F) \wedge (C \vee D)\}}{S\{(G \vee H) \wedge (E \vee F) \wedge (C \vee D)\}}} \leftrightarrow \frac{\mathbf{m} \frac{S\{(G \wedge E \wedge C) \vee (G \wedge F \wedge D)\}}{S\{(G \vee H) \wedge ((E \wedge C) \vee (F \wedge D))\}}}{\mathbf{m} \frac{S\{(G \vee H) \wedge (E \vee F) \wedge (C \vee D)\}}{S\{(G \vee H) \wedge (E \vee F) \wedge (C \vee D)\}}} \quad (6)$$

We omitted some instances of  $\equiv$  and some parentheses. We now call instances of  $\mathbf{m}$  as in (4) *illegal*, and we can transform  $\Phi_2^\circ$  through  $\mathbf{m}$ -permutations (6) into a derivation that does not contain any illegal  $\mathbf{m}$ -instances. To address (ii), we also apply a permutation argument, permuting all instances of  $\mathbf{ac}_x$  up until they either reach the top of the derivation or an instance of  $\mathbf{m}$  which separates the two atoms in the premise. More precisely, we consider the following inference rule

$$\mathbf{ac}_x^\equiv \frac{S_0\{S_1\{x\} \vee S_2\{x\}\}}{S\{x\}} \quad (7)$$

where  $S_1\{\cdot\} \equiv \{\cdot\} \vee E$  and  $S_2\{\cdot\} \equiv \{\cdot\} \vee F$  and  $S\{\cdot\} \equiv S_0\{\{\cdot\} \vee E \vee F\}$  for some formulas  $E$  and  $F$ , where  $E$  or  $F$  or both might be empty. The rule  $\mathbf{ac}_x^\equiv$  permutes over  $\equiv$ ,  $\mathbf{ac}$ , and other instances of  $\mathbf{ac}_x^\equiv$ , and over instances of  $\mathbf{m}$  if they occur inside  $S_0$  or  $S_1$  or  $S_2$ . The only situation in which  $\mathbf{ac}_x^\equiv$  cannot be permuted up is the following:

$$\mathbf{ac}_x^\equiv \frac{\mathbf{m} \frac{S\{(R_1\{x\} \wedge C) \vee (R_2\{x\} \wedge D)\}}{S\{(R_1\{x\} \vee R_2\{x\}) \wedge (C \vee D)\}}}{S\{R\{x\} \wedge (C \vee D)\}} \quad (8)$$

We can therefore assume that all instances of  $\mathbf{ac}_x$ , that contract an atom  $x \in \text{VAR}$  are either at the top of  $\Phi_2^\circ$  or below a  $\mathbf{m}$ -instance as in (8). We now lift  $\Phi_2^\circ$  to  $\{\mathbf{ac}, \mathbf{c}_\forall, \mathbf{m}, \mathbf{m}_\forall, \mathbf{m}_\exists, \equiv\}$ , proceed by induction on the height of  $\Phi_2^\circ$ , beginning at the top, making a case analysis on the topmost rule that is not a  $\equiv$ .

- $\mathbf{ac}_x$ : We know that the premisses of (7) is a propositional encoding. Hence,  $S_1\{\cdot\} = \{\cdot\} \vee E^\circ$  and  $S_2\{\cdot\} = \{\cdot\} \vee F^\circ$  and both  $x$  are universals, and  $E^\circ \vee F^\circ$  contains all occurrences of  $x$  bound by that universal. We have the following subcases:

- $E$  and  $F$  are both non-empty: We have

$$\mathbf{ac}_x^\equiv \frac{S^\circ\{(x \vee E^\circ) \vee (x \vee F^\circ)\}}{S^\circ\{x \vee (E^\circ \vee F^\circ)\}}$$

which can be lifted to

$$\mathbf{m}_\forall \frac{S\{(\forall x.E) \vee (\forall x.F)\}}{S\{\forall x.(E \vee F)\}}$$

where  $S^\circ\{\cdot\}$ ,  $E^\circ$ ,  $F^\circ$  are the propositional encodings of  $S\{\cdot\}$ ,  $E$ ,  $F$ , respectively.



- $E^\circ$  is empty and  $F^\circ$  is non-empty: We have

$$\text{ac}_x \frac{S^\circ\{x \vee (x \vee F^\circ)\}}{S^\circ\{x \vee F^\circ\}}$$

which can be lifted to

$$\text{c}_\forall \frac{S\{\forall x. \forall x. F\}}{S\{\forall x. F\}}$$

- $E^\circ$  is non-empty and  $F^\circ$  is empty: This is similar to the previous case.
- $E^\circ$  and  $F^\circ$  are both empty: This is impossible as the premise would not be a propositional encoding.
- $\text{ac}$  (contracting an ordinary atom): This can trivially be lifted.
- $\text{m}$ : There are several cases to consider.
  - If none of the four principal formulas in the premise is  $x$  or  $x \vee F$  for some formula  $F$  and  $x \in \text{VAR}$ , then this instance of  $\text{m}$  can trivially be lifted, and we can proceed by induction hypothesis.
  - If exactly one of the four principal formulas in the premise is  $x$  for some  $x \in \text{VAR}$ , then this  $x$  is the encoding of an existential in the premise and of an universal in the conclusion. This is impossible, as  $\varphi$  has to preserve existentials.
  - If two of the four principal formulas in the premise are  $x$  for some  $x \in \text{VAR}$ , then we are in the following special case of (8):

$$\text{ac}_x \frac{\text{m} \frac{S\{(x \wedge C) \vee (x \wedge D)\}}{S\{(x \vee x) \wedge (C \vee D)\}}}{S\{x \wedge (C \vee D)\}}$$

which can be lifted immediately to

$$\text{m}_\exists \frac{S\{(\exists x. C) \vee (\exists x. D)\}}{S\{\exists x. (C \vee D)\}}$$

- We have a situation (8) where  $R_1\{x\} \equiv x \vee E$  for some  $E$  and  $R_2\{x\} \equiv x \vee F$  for some  $F$  with  $R\{x\} \equiv x \vee E \vee F$  (Otherwise, the application of  $\text{ac}_x$  would not be correct.) That means, we have:

$$\text{ac}_x \frac{\text{m} \frac{S\{((x \vee E) \wedge C) \vee ((x \vee F) \wedge D)\}}{S\{((x \vee E) \vee (x \vee F)) \wedge (C \vee D)\}}}{S\{(x \vee E \vee F) \wedge (C \vee D)\}}$$

which can be lifted to

$$\text{m}_\forall \frac{\text{m} \frac{S\{((\forall x. E) \wedge C) \vee ((\forall x. F) \wedge D)\}}{S\{((\forall x. E) \vee (\forall x. F)) \wedge (C \vee D)\}}}{S\{(\forall x. (E \vee F)) \wedge (C \vee D)\}}$$

- In all other cases (e.g. exactly one of the principal formulas is of shape  $x \vee F$  (and none is  $x$ ), we can trivially lift the  $\text{m}$ -instance, as the quantifier structure is not affected.

Thus  $\Phi_2^\circ$  can be lifted to  $\{\text{ac}, \text{c}_\forall, \text{m}, \text{m}_\forall, \text{m}_\exists, \equiv\} \parallel \Phi_2$ . We construct  $B_1$  by composing  $\Phi_2$  and  $\Phi_1$ . Then  $\widehat{\Phi}$  can be constructed by rectifying  $\Phi$ , where the variables to be used in  $A$  are already given. That  $\varphi = \llbracket \widehat{\Phi} \rrbracket$  follows immediately from the construction.  $\square$

## X. SUMMARY AND PROOF OF MAIN RESULT

The only theorem of Section VI that has not yet been proved is Theorem 20 establishing the full correspondence between decomposed proofs in KS1 and combinatorial proofs. We show the proof here, by summarizing the results of the previous two Sections VIII and IX.

*Proof of Theorem 20.* First, assume we have a combinatorial proof  $\varphi: \mathcal{C} \rightarrow \mathcal{A}$  be a combinatorial proof and a formula  $A$  with  $\mathcal{A} = \llbracket A \rrbracket$ . Let  $C$  be a formula with  $\llbracket C \rrbracket = \mathcal{C}$ , and let  $\sigma_\varphi$  be the substitution induced by  $\varphi$ . By Lemma 45 there is a derivation

$$\frac{C \sigma_\varphi}{\{\text{w}, \text{ac}, \text{c}_\forall, \text{m}, \text{m}_\forall, \text{m}_\exists, \equiv\} \parallel \Phi_2} A$$

Since  $\mathcal{C}$  is a fonet, we have by Theorem 40 a derivation

$$\frac{t}{\text{MLS1}^\times \parallel \Phi'_1} C$$

This derivation remains valid if we apply the substitution  $\sigma_\varphi$  to every line in  $\Phi'_1$ , yielding the derivation  $\Phi_1$  of  $C \sigma_\varphi$  as desired.

Conversely, assume we have a decomposed derivation

$$\frac{\frac{t}{\text{MLS1}^\times \parallel \Phi_1} A'}{\{\text{w}, \text{ac}, \text{m}, \text{m}_\forall, \text{m}_\exists, \equiv\} \parallel \Phi_2} A \quad (9)$$

Then we can transform  $\Phi_1$  into a rectified form  $\widehat{\Phi}_1$ , proving  $\widehat{A}'$ . By Theorem 34, the linked fograph  $\langle \llbracket \widehat{A}' \rrbracket, \sim_{\widehat{\Phi}_1} \rangle$  is a fonet. Then, by Lemma 42, there is a rectified derivation

$$\frac{\widehat{A}'}{\{\text{w}, \widehat{\text{ac}}, \widehat{\text{c}}_\forall, \text{m}, \widehat{\text{m}}_\forall, \widehat{\text{m}}_\exists, \equiv\} \parallel \widehat{\Phi}_2} \widehat{A} \quad \text{whose induced map } \llbracket \widehat{\Phi}_2 \rrbracket: \llbracket \widehat{A}' \rrbracket \rightarrow \llbracket \widehat{A} \rrbracket$$

$\llbracket \widehat{A} \rrbracket$  is the same as the induced map  $\llbracket \Phi_2 \rrbracket: \llbracket A' \rrbracket \rightarrow \llbracket A \rrbracket$  of  $\Phi_2$ . By Lemma 43, this map is a skew bifibration. Hence, we have a combinatorial proof  $\varphi: \mathcal{C} \rightarrow \llbracket A \rrbracket$  with  $\mathcal{C} = \llbracket \widehat{A}' \rrbracket$ . **[[Lutz: shit, something's wrong...]]**  $\square$

Note that Theorem 20 shows at the same time soundness, completeness, and full completeness, as

- every proof in KS1 can be translated into a combinatorial proof, and
- every combinatorial proof is the image of a KS1-proof under that translation.

# XI. CONCLUSION

In this paper we have uncovered a close correspondence between first-order combinatorial proofs and decomposed deep inference derivations of system KS1, and we have shown that every proof in KS1 has such a decomposed form.

The most surprising discovery for us was that all technical difficulties in our work could be reduced (in a non-trivial way) to the propositional setting.

The obvious next step in our research is to investigate proof composition and normalisation of first-order combinatorial proofs. Even in the propositional setting, the normalization of combinatorial proofs is underdeveloped. There exist two different procedures for cut elimination [10], [12], but both have their insufficiencies, and there is no general theory.

[[Lutz: do we want/can say more here?]]

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920 A. Unification Nets

921 **[[TODO: ]]**

922 In this paragraph, we associate each formula  $A$  with its  
 923 **formula tree**  $\mathcal{F}(A)$ , a directed tree with leaves labelled by  
 924 atoms, internal nodes labelled by connectives and quantifiers,  
 925 and edges directed from leaves to the root. For a sequent  
 926  $\Gamma = A_1, \dots, A_n$ , we denote with  $\mathcal{F}(\Gamma)$ , the forest formed by  
 927  $\mathcal{F}(A_1), \dots, \mathcal{F}(A_n)$ , i.e., the disjoint union of  $\mathcal{F}(A_i)$ 's. The  
 928 **roots** of  $\mathcal{F}(\Gamma)$  are the roots of  $A_i$ 's

929 Let  $\Gamma$  be a sequent in  $\text{MLL1}^\times$ . Consider the forest  $\mathcal{F}(\Gamma)$ .  
 930 A **link** on  $\Gamma$  is a pair of leaves whose atoms are pre-dual. A  
 931 **linking**  $\lambda$  on  $\Gamma$  is a set of disjoint links such that each leaf  
 932 of  $\mathcal{F}(\Gamma)$  is either labelled by  $t$  or in exactly one link. Similar  
 933 to the set of links in linked fographs, a linking can be seen  
 934 as a unification problem, and a **dualizer**  $\delta$  of the linking  $\lambda$  is  
 935 an assignment unifying all the links in  $\lambda$ . There exists a **most**  
 936 **general dualizer** of  $\lambda$  if  $\lambda$  has a dualizer. **[[Jui-Hsuan: Now**  
 937 **I use the same terminology as for linked fographs]]** **[[Lutz:**  
 938 **use  $\delta$  for the dualizer (or even better, make it a macro)]]** A  
 939 **dependency** is a pair  $(\bullet\exists x, \bullet\forall y)$  of nodes such that the most  
 940 general dualizer assigns to  $x$  a term containing  $y$ .

941 Let  $\lambda$  is a linking on  $\Gamma$  that has a dualizer. The **unification**  
 942 **structure**  $\mathcal{U}(\lambda)$  associated with  $\lambda$  is the forest  $\mathcal{F}(\Gamma)$  together  
 943 with an undirected edge between leaves  $l$  and  $l'$  for every link  
 944  $\{l, l'\}$  in  $\lambda$  and a directed edge from  $\bullet\exists x$  to  $\bullet\forall y$  for every  
 945 dependency  $(\bullet\exists x, \bullet\forall y)$ .

946 A **switching graph** of a unification structure  $\mathcal{U}(\lambda)$  is any  
 947 derivative of  $\mathcal{U}(\lambda)$  obtained by keeping only one edge into  
 948 each  $\vee$  and  $\forall$  and undirecting remaining edges. A linking is  
 949 **correct** if it is unifiable and all of the switching graphs of its  
 950 associated unification structure are acyclic.

951 **Definition 46.** A **unification net** on a sequent  $\Gamma$  is a correct  
 952 linking on  $\Gamma$ .

953 B. Translation between Unification Nets and  $\text{MLL1}^\times$

954 **[[TODO: ]]**

955 **Theorem 47.** If a sequent is provable in  $\text{MLL1}^\times$ , then there  
 956 exists a unification net on it.

957 *Proof.* We proceed by induction on the proof of  $\vdash \Gamma$  in  
 958  $\text{MLL1}^\times$ , making a case analysis on the bottommost rule  
 959 instance:

- 960 •  $\text{ax} \frac{}{\vdash a, \bar{a}}$  : the linking  $\{a, \bar{a}\}$  is correct.
- $\text{t} \frac{}{\vdash t}$  : the empty linking is correct. 1005
- $\text{mix} \frac{\vdash \Gamma \quad \vdash \Delta}{\vdash \Gamma, \Delta}$  : By induction hypothesis, there is a 1006  
1007  
 correct linking on  $\Gamma$  and another one on  $\Delta$ , their union 1008  
 giving a correct linking on  $\Gamma, \Delta$ . 1009

- $\vee \frac{\vdash \Gamma, A, B}{\vdash \Gamma, A \vee B}$  : By induction hypothesis, there is a correct 965  
 linking on  $\Gamma, A, B$ , and it is correct on  $\Gamma, A \vee B$  as well. 966
- $\wedge \frac{\vdash \Gamma, A \quad \vdash B, \Delta}{\vdash \Gamma, A \wedge B, \Delta}$  : By induction hypothesis, there is a 967  
 correct linking on  $\Gamma, A$  and another one on  $B, \Delta$ , their 968  
 union giving a correct linking on  $\Gamma, A \wedge B, \Delta$ . 969
- $\exists \frac{\vdash \Gamma, A[x/t]}{\vdash \Gamma, \exists x.A}$  : By induction hypothesis, there is a correct 970  
 linking  $\lambda$  on  $\Gamma, A[x/t]$ . For each atom in  $\Gamma, A[x/t]$ , there 971  
 is a corresponding atom in  $\Gamma, \exists x.A$ . There is therefore a 972  
 linking  $\lambda'$  on  $\Gamma, \exists x.A$  obtained from  $\lambda$  via this correspon- 973  
 dence, and it is not difficult to check that  $\lambda'$  is correct as 974  
 well. 975
- $\forall \frac{\vdash \Gamma, A}{\vdash \Gamma, \forall x.A}$  ( $x$  not free in  $\Gamma$ ) : By induction hypothesis, 976  
 there is a correct linking on  $\Gamma, A$ , and it is easy to see 977  
 that it is a correct linking on  $\Gamma, \forall x.A$  as well. 978

This allows to define a translation  $[\cdot]$  from proofs in  $\text{MLL1}^\times$  979  
 to unification nets. 980 □

**Theorem 48.** Any unification net can be obtained via the 981  
 translation  $[\cdot]$  given in Theorem 47. 982

To prove this theorem, we need some basic lemmas about 983  
 connected components in switching graphs of unification nets. 984

**Lemma 49.** The number of connected components of an acyclic 985  
 graph  $\mathcal{G} = \langle V_{\mathcal{G}}, E_{\mathcal{G}} \rangle$  is equal to  $|E_{\mathcal{G}}| - |V_{\mathcal{G}}|$ . 986

*Proof.* By a straightforward induction on  $|V_{\mathcal{G}}|$ . 987 □

**Lemma 50.** The number of connected components is the same 988  
 for any switching graph of a unification net. 989

*Proof.* An immediate consequence of Lemma 49. 990 □

In the proof, we also use the notion of **frame** introduced by 991  
 Hughes in [34]. 992

**Definition 51.** Let  $\lambda$  be a unification net on an  $\text{MLL1}^\times$  sequent 993  
 $\Gamma$ . We define the **frame** of  $\lambda$  by exhaustively applying the 994  
 following subformula rewriting steps, to obtain a linking  $\lambda_m$  995  
 on an  $\text{MLL} + \text{mix}$  sequent  $\Gamma_m$ : 996

- 1) **Encode dependencies as fresh links.** For each depen- 997  
 dency  $\exists x \rightarrow \forall y$ , with corresponding subformulas  $\exists x.A$  998  
 and  $\forall y.B$ , we add a fresh link as follows. Let  $P$  be a fresh 999  
 (nullary) predicate symbol. Replace  $\exists x.A$  with  $P \wedge \exists x.A$  1000  
 and  $\forall y.B$  with  $\bar{P} \vee \forall y.B$ , and add an axiom link between 1001  
 $P$  and  $\bar{P}$ . 1002
- 2) **Erase quantifiers.** After step 1, erase all the quantifiers. 1003  
 (We no longer need their leaps since they are encoded 1004  
 as links in step 1.)
- 3) **Simplify atoms.** After step 2, replace every predicate 1005  
 $Pt_1 \dots t_n$  with a nullary predicate symbol  $P$ . 1006

Note that the linking  $\lambda_m$  is a valid  $\text{MLL} + \text{mix}$  proof net. 1007

**Lemma 52.** Suppose that  $\lambda$  is a  $\text{MLL} + \text{mix}$  proof net which is connected and such that any of its switching graphs is not connected. Then there exists a  $\vee$  node in  $\mathcal{U}(\lambda)$  such that  $\lambda$  is correct on the sequent  $\Gamma'$  obtained from  $\Gamma$  by replacing this  $\vee$  by a  $\wedge$ .

*Proof.* Suppose that such a  $\vee$  node does not exist. Then it is clear that for any two nodes, there exists a switching graph containing a path between them and this path corresponds to an  $AE$ -path in [38]. By [38, Propostion 3],  $\lambda$  corresponds to a sequent proof that does not use mix, which implies the connectedness of the switching graphs of  $\lambda$ . Contradiction. **TO CHECK:**  $\square$

**Lemma 53.** Suppose that  $\lambda$  is a  $\text{MLL1}^\times$  proof net which is connected and such that any of its switching graphs is not connected. Then there exists a  $\vee$  node in  $\mathcal{U}(\lambda)$  such that  $\lambda$  is correct on the sequent  $\Gamma'$  obtained from  $\Gamma$  by replacing this  $\vee$  by a  $\wedge$ .

*Proof.* Consider the frame  $\lambda_m$  of  $\lambda$ . The number of any switching graph of  $\mathcal{U}(\lambda)$  is equal to that of  $\mathcal{U}(\lambda_m)$ . Apply Lemma 52 and it is clear that such  $\vee$  cannot be one of the fresh  $\vee$ 's added during the frame construction.  $\square$

We can now give the proof of Theorem 48.

*Proof of Theorem 48.* Let  $\lambda$  be a unification net on  $\Gamma$ . We proceed by induction on the number of connected components of the unification structure  $\mathcal{U}(\lambda)$ :

- If there is only one connected component, we proceed by induction on the number  $k$  of connected components of any switching graph of  $\mathcal{U}(\lambda)$ . If  $k = 1$ , we obtain a proof  $\Phi$  in  $\text{MLL1}^\times$  such that  $[\Phi] = \lambda$  by applying [34, Theorem 3]. If  $k > 1$ , using the Lemma 53, we obtain a sequent  $\Gamma'$  on which  $\lambda$  is correct by transforming a  $\vee$  node into a  $\wedge$ . By induction hypothesis, there is a proof  $\Phi'$  in  $\text{MLL1}^\times$  whose translation is  $\lambda$ . By considering the  $\wedge$  rule instance corresponding to the  $\wedge$  node in  $\Phi'$ , we

$$\text{have: } \Phi' = \wedge \frac{\frac{\frac{\Phi_1}{\vdash \Delta_1, A} \quad \frac{\Phi_2}{\vdash B, \Delta_2}}{\vdash \Delta_1, A \wedge B, \Delta_2}}{\vdash \Gamma'}. \text{ We can thus obtain}$$

$$\text{a proof } \Phi \text{ of } \Gamma: \Phi = \frac{\text{mix} \frac{\frac{\frac{\Phi_1}{\vdash \Delta_1, A} \quad \frac{\Phi_2}{\vdash B, \Delta_2}}{\vdash \Delta_1, A, B, \Delta_2}}{\vdash \Delta_1, A \vee B, \Delta_2}}{\vdash \Gamma}$$

$$[\Phi] = \lambda.$$

- If there are  $n > 1$  connected components, add a fresh  $\vee$  node connecting two formulas belonging to different

connected components of  $\Gamma$  to get a new sequent  $\Gamma'$ . Define a unification net  $\lambda'$  on  $\Gamma'$  using the same linking as  $\lambda$ . By induction hypothesis, since  $\mathcal{U}(\lambda')$  has  $n - 1$  connected components, there is a  $\text{MLL1}^\times$  proof  $\Phi'$  such that  $[\Phi'] = \lambda'$ . Consider the  $\vee$  rule instance corresponding to the  $\vee$  node in question. Since  $\vee$  is invertible, we can permute downwards this rule instance until it becomes the last rule of the proof (note that this transformation does not change the image of the proof by the translation  $[\cdot]$ ) to get a new proof  $\Phi''$  of  $\Gamma'$ . By deleting the last rule instance from  $\Phi''$ , we obtain a proof  $\Phi$  of  $\Gamma$  such that  $[\Phi] = \lambda$ . **TO CHECK:**  $\square$

We proceed by induction on the number of connectives in  $\Gamma$ . In the base case,  $\Gamma$  is of the form

$$p_1(t_{11}, \dots, t_{1n_1}), \overline{p_1}(t_{11}, \dots, t_{1n_1}), \dots, p_k(t_{k1}, \dots, t_{kn_k}), \overline{p_k}(t_{k1}, \dots, t_{kn_k}), \underbrace{t, \dots, t}_{m \text{ times}}$$

and  $\lambda$  is the linking  $\{(a_1, \overline{a_1}), \dots, (a_k, \overline{a_k})\}$ , where  $a_i = p_i(t_{i1}, \dots, t_{in_i})$ , which equals to  $[\Pi]$ , where  $\Pi$  is the proof consisting of  $m$  instances of the  $t$  rule,  $n$  instances  $\text{ax} \frac{}{\vdash a_i, \overline{a_i}}$  of the  $\text{ax}$  rule, and followed by  $m + k - 1$  instances of the mix rule.

Now we consider the inductive cases:

- $\Gamma = \Delta, A \vee B$ : Let  $\Gamma' = \Delta, A, B$ . Define  $\lambda'$  on  $\Gamma'$  using the same links as  $\lambda$  by identifying the leaves of  $\mathcal{F}(\Gamma')$  with those of  $\mathcal{F}(\Gamma)$ . We now check that  $\lambda'$  is a unification net:
  - The most general dualizer of  $\lambda$  is also the most general dualizer of  $\lambda'$  as they correspond to the same unification problem. Hence, the unification structure  $\mathcal{U}(\lambda')$  is equal to the restriction of  $\mathcal{U}(\lambda)$  to the nodes of  $\mathcal{F}(\Gamma')$ .
  - Every switching graph of  $\lambda'$  is acyclic: if there were some switching graph of  $\mathcal{U}(\lambda')$  containing a cycle, it would induce a switching graph of  $\mathcal{U}(\lambda)$  containing also a cycle, by adding an edge from the root of  $\mathcal{F}(A)$  to the  $\vee$  node in question.
- $\Gamma = \Delta, \forall x.A$ : Let  $\Gamma' = \Delta, A$ . Define  $\lambda'$  on  $\Gamma'$  using the same links as  $\lambda$ . We now check that  $\lambda'$  is a unification net:
  - The most general dualizer of  $\lambda$  is also the most general dualizer of  $\lambda'$  as they correspond to the same unification problem.
  - Every switching graph of  $\mathcal{U}(\lambda')$  is acyclic: if there were some switching graph of  $\mathcal{U}(\lambda')$  containing a cycle, it would induce a switching graph of  $\mathcal{U}(\lambda)$  containing also a cycle, by adding an edge from the root of  $\mathcal{F}(A)$  to the  $\forall$  node in question.
- $\mathcal{F}(\Gamma)$  has a root  $\exists x$  with no outgoing dependency edge:

$\square$

*Translation between Unification Nets and Fonets*



## XII. FIRST-ORDER COMBINATORIAL PROOFS

### A. First-order Logic

In this paper, we also use some *deep inference* [35] rules that are in the following form:

$$\frac{\vdash S\{A\}}{\vdash S\{B\}}$$

where  $S\{ \}$  stands for a *context*, which corresponds to a sequent with a hole taking the place of an atom, and  $S\{A\}$  represents the sequent or formula obtained by replacing the hole in  $S\{ \}$  with the formula  $A$ . Formally,

$$C ::= \Box \mid A \vee C \mid C \wedge A \mid \exists x C \mid \forall x C.$$

$$S ::= C \mid A, S \mid S, A$$

where  $A$  is a formula. The above rule can be thus seen as the rewriting rule  $A \rightarrow B$ .

We use the notation  $\parallel_{\mathcal{P}}^A$  for denoting that there is a derivation from premise  $\vdash S\{A\}$  to conclusion  $\vdash S\{B\}$  in system  $\mathcal{P}$  for any context  $S$ .

### B. Graphs

### C. First-order combinatorial proofs

### D. MLL1<sup>X</sup> and Unification Nets

In MLL1<sup>X</sup>, terms, atoms, formulas are defined as in first-order logic. For simplicity, we choose to use  $\vee$  and  $\wedge$  instead of  $\mathcal{V}$  and  $\otimes$  which are generally used in the presentation of linear logic. A formula  $A$  is identified with its *formula tree*  $\mathcal{F}(A)$ , a directed tree with leaves labelled by atoms, internal nodes labelled by connectives and quantifiers, and edges directed from leaves to the root. A *sequent*  $\Gamma$  is simply a disjoint union of formulas. We write comma for disjoint union.

Sequents are proved using the inference rules of MLL1<sup>X</sup>:

$$\begin{array}{c} \frac{}{\vdash A, \neg A} \text{ ax} \quad \frac{\vdash \Gamma, A \quad \vdash \Delta, \neg A}{\vdash \Gamma, \Delta} \text{ cut} \\ \frac{\vdash \Gamma, A \quad \vdash \Delta, B}{\vdash \Gamma, \Delta, A \wedge B} \wedge \quad \frac{\vdash \Gamma, A, B}{\vdash \Gamma, A \vee B} \vee \\ \frac{\vdash \Gamma, A}{\vdash \Gamma, \forall x A} \forall (x \notin fv(\Gamma)) \quad \frac{\vdash \Gamma, A[t/x]}{\vdash \Gamma, \exists x A} \exists \end{array}$$

Fig. 7. Sequent calculus for MLL1<sup>X</sup>

We also consider the mix rule:

$$\frac{\vdash \Gamma \quad \vdash \Delta}{\vdash \Gamma, \Delta} \text{ mix}$$

Let  $\Gamma$  be a sequent in MLL1 + mix. A *link* on  $\Gamma$  is a pair of leaves whose atoms are pre-dual. A *linking* on  $\Gamma$  is a set of disjoint links such that each leaf of  $\Gamma$  is in exactly

one link. Similar to the set of links in the linked fograph, a linking can be seen as a unification problem, and a link is said *unifiable* if the corresponding unification problem is solvable. *Dependencies* are defined as previously.

## XIII. FROM FIRST-ORDER LOGIC TO COMBINATORIAL PROOFS

### A. Decomposition Theorem

Consider the following deep inference rules [35]:

$$\frac{\vdash S\{A \vee A\}}{\vdash S\{A\}} \text{ c} \quad \frac{\vdash S\{f\}}{\vdash S\{A\}} \text{ w}$$

Note that the ctr (resp. wk) rule in LK is derivable in  $\{c, \vee\}$  (resp.  $\{w, f\}$ ) and that c and w rules permute downwards with the non-structural rules of LK.

$$\frac{\vdash \Gamma, A, A}{\vdash \Gamma, A} \text{ ctr} \rightsquigarrow \frac{\vdash \Gamma, A, A}{\vdash \Gamma, A \vee A} \vee \frac{}{\vdash \Gamma, A} \text{ c}$$

$$\frac{\vdash \Gamma}{\vdash \Gamma, A} \text{ wk} \rightsquigarrow \frac{\vdash \Gamma}{\vdash \Gamma, f} \text{ f} \frac{}{\vdash \Gamma, A} \text{ w}$$

We also give an example to show how rule permutation works:

$$\frac{\frac{\Gamma, A \vee A}{\Gamma, A} \text{ c} \quad \Delta, B}{\Gamma, \Delta, A \wedge B} \wedge \rightsquigarrow \frac{\Gamma, A \vee A \quad \Delta, B}{\Gamma, \Delta, (A \vee A) \wedge B} \wedge \frac{}{\Gamma, \Delta, A \wedge B} \text{ c}$$

We want to establish the following theorem:

**Theorem 54.** *Let  $\Gamma$  be a sequent. Then there is a proof of  $\Pi$  in LK + mix iff there is a proof of some sequent  $\Delta$  in MLL1 + mix and a derivation from  $\Delta$  to  $\Gamma$  consisting of the c and w rules only.*

*Proof.* ( $\Rightarrow$ ) This direction comes from the above observation: it suffices to permute downwards all the instances of the c and w rules.

( $\Leftarrow$ ) We regard the proof in MLL1 + mix as a proof in LK + mix. Then we put the derivation consisting of only c and w under the proof in LK + mix. Now we try to permute all the instances c and w upwards with the rules of LK and mix. For the c part, the only non-trivial case is the permutation with the  $\vee$  rule where the formula generated is  $A \vee A$ .

$$\frac{\vdash \Gamma, A, A}{\vdash \Gamma, A \vee A} \vee \frac{}{\vdash \Gamma, A} \text{ c} \rightsquigarrow \frac{\vdash \Gamma, A, A}{\vdash \Gamma, A} \text{ ctr}$$

In this case, the permutation of this instance of c stops and we continue with the remaining instances.

For the w part, the only non-trivial case is the permutation with the f rule (or the instance of wk where f is introduced):

$$\frac{\vdash \Gamma}{\vdash \Gamma, f} \text{ f} \frac{}{\vdash \Gamma, A} \text{ w} \rightsquigarrow \frac{\vdash \Gamma}{\vdash \Gamma, A} \text{ wk}$$

In this case, the permutation of this instance of  $w$  stops and we continue with the remaining instances.  $\square$

D. Hughes proves in [34] the soundness and completeness of unification nets with respect to MLL1 + mix. In the following, we establish the equivalence between unification nets and fonets.

#### B. Equivalence between unification nets and fonets

In the following, we usually confound a vertex with its label.

**Definition 55.** A *switching path* of a unification structure  $U(\lambda)$  is a path in a switching graph of  $U(\lambda)$ .

**Definition 56.** A *switching path* of a formula tree  $\mathcal{F}(A)$  is a path in  $\mathcal{F}(A)$  that does not go through both incoming edges of a  $\vee$ .

**Proposition 57.** In a formula tree, the root is connected to every vertex by a switching path.

Now we give the key proposition relating a fograph to its corresponding formula tree.

**Proposition 58.** Let  $u$  and  $v$  be two distinct vertices of a fograph  $\llbracket \langle \rangle A \rrbracket$ , then we have the equivalence between:

- $u$  and  $v$  are adjacent in  $\llbracket \langle \rangle A \rrbracket$
- $u$  and  $v$  are connected by a switching path of  $\mathcal{F}(A)$ , and if one of them is a universal quantifier, then the other is not a descendant of the former.

*Proof.* By induction on  $A$ .

- If  $A$  is an atom, trivial.
- If  $A = A_1 \wedge A_2$ , then we distinguish two cases:
  - $u$  and  $v$  are both in  $A_1$  (resp.  $A_2$ ): trivial by the induction hypothesis.
  - one of them is in  $A_1$  and the other is in  $A_2$ : they are adjacent in  $\llbracket \langle \rangle A \rrbracket$  by definition. By Proposition 57, the one in  $A_1$  (resp.  $A_2$ ) is connected to the vertex representing  $A_1$  (resp.  $A_2$ ) by a switching path. Together with the two edges incident to  $A_1 \wedge A_2$ , we obtain a switching path connecting  $u$  and  $v$ .
- If  $A = A_1 \vee A_2$ , then we distinguish two cases:
  - $u$  and  $v$  are both in  $A_1$  (resp.  $A_2$ ): trivial by the induction hypothesis.
  - one of them is in  $A_1$  and the other is in  $A_2$ : they are not adjacent in  $\llbracket \langle \rangle A \rrbracket$  by definition. It is clear that they are not connected by a switching path.
- If  $A = \exists x A'$ , then we distinguish two cases:
  - $u$  and  $v$  are both in  $A'$ : trivial by the induction hypothesis.
  - one of them is  $\exists x$  and the other is in  $A'$ : trivial by Proposition 57
- If  $A = \forall x A'$ , then we distinguish two cases:
  - $u$  and  $v$  are both in  $A'$ : trivial by the induction hypothesis.

- one of them is  $\forall x$  and the other is in  $A'$ : they are not adjacent in  $\llbracket \langle \rangle A \rrbracket$  by definition and it is clear that the former is a descendant of  $\forall x$ .  $\square$

**Proposition 59.** If there exists an induced bimatching of the linked fograph  $G = \llbracket \langle \rangle A \rrbracket$ , then there exists a switching graph of the corresponding unification net which contains a cycle.

*Proof.* Suppose that there exists a set  $W$  inducing a bimatching in  $G$ . Then  $(W, E_G)$  and  $(W, L_G)$  are matchings. Let  $E_W$  (resp.  $L_W$ ) be the restriction of  $E_G$  (resp.  $L_G$ ) to  $W$ . If  $E_W \cap L_W \neq \emptyset$ , then there exist  $u$  and  $v$  such that  $uv \in E_G$  and  $uv \in L_G$ . By Proposition 58, there exists a switching path of the formula tree of  $A$ . Together with the leap  $uv$ , this path induces a cycle in a switching graph of the corresponding unification structure.

We can now suppose that  $E_W$  and  $L_W$  are disjoint. It is not difficult to see the existence of an alternating and elementary cycle in the bicoloured graph  $(W, E_W \uplus L_W)$ , i.e. a cycle of which the edges are alternately in  $E_W$  and  $L_W$  and containing no two equal vertices. By Proposition 58, this cycle induces a cycle in the unification structure. Now we want to construct a switching graph that contains this cycle.

Consider a universal quantifier  $\forall x$ . If  $\forall x \notin W$ , then we keep the incoming edge from its direct subformula and remove all the dependencies. Otherwise, since  $(W, L_G)$  is a matching, there exists a unique existential quantifier adjacent to  $\forall x$  and we keep thus the corresponding edge in the unification structure.

Now consider a  $\vee$ . We distinguish three cases:

- the cycle goes through none of the two branches (incoming edges) of the  $\vee$ : we can choose an arbitrary switching for this  $\vee$
- the cycle goes through exactly one branch: we choose the corresponding switching
- the cycle goes through both branches: this means that there exist  $v_L \in W$  (resp.  $v_R$ ) in the left (resp. right) branch,  $u_L, u_R \in W$ , such that  $u_L v_L, u_R v_R \in E_W$  and that the corresponding switching path from  $u_L$  to  $v_L$  (resp. from  $u_R$  to  $v_R$ ) goes through the left (resp. right) edge of  $\vee$ .

The red (resp. blue) path is the switching path corresponding to the edge  $u_L v_L$  (resp.  $u_R v_R$ ) in  $E_W$ .

It is clear that  $u_L$  (resp.  $u_R$ ) is not in the branches of the  $\vee$ . Otherwise, there will be no switching path from  $u_L$  to  $v_L$

By Proposition 58, we know that  $u_L$  and  $u_R$  are not universal quantifiers which are ancestors the  $\vee$  and that there exist one switching path from  $u_L$  to  $v_L$  and one from  $u_R$  to  $v_R$ . In particular, there exist one switching path from  $u_L$  to the  $\vee$  and one from the  $\vee$  to  $v_R$ , and by concatenating the two, we obtain a switching path from  $u_L$  to  $v_R$ . By Proposition 58,  $u_L$  and  $v_R$  are thus adjacent in  $(W, E_G)$ , which is impossible since  $(W, E_W)$  is a matching.



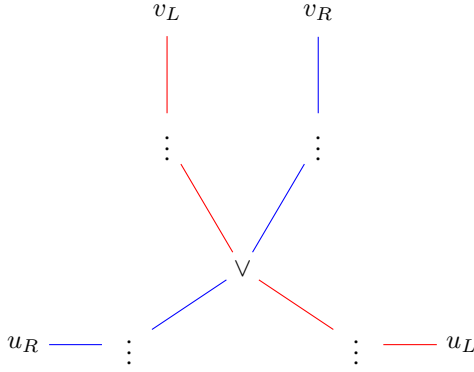


Fig. 8. A schema showing that the two branches of the same  $\vee$  cannot be used in the cycle at the same time.

Notice that the switching paths here are in the underlying formula tree. We have to verify that they are compatible with the choices of switching made for universal quantifiers. That is, if  $uv \in E_W$ , then for all the universal quantifiers  $\forall x$  on the switching path (in the formula tree), we have chosen in the first part of the proof to keep the only edge from the child of  $\forall x$  to itself. In fact, if there exists a universal quantifier  $w \in W$  on the switching path  $u \rightarrow v$ , then one of  $u$  and  $v$  is not a descendant of  $w$ . Moreover, if  $u$  (resp.  $v$ ) is a universal quantifier, then  $w$  is not in its scope. By Proposition 58,  $\{wu, wv\} \cap E_W \neq \emptyset$ , which is impossible since  $(W, E_W)$  is a matching. We have thus constructed a switching graph containing this cycle.  $\square$

**Proposition 60.** *If one of the switching graphs of the unification structure of  $A$  contains a cycle or is not connected, then there exists an induced bimatching of the corresponding linked fograph.*

*Proof.* We use frames introduced by D. Hughes in Section 4 of [34].

**Definition 61.** Let  $\theta$  be a unification structure on an MLL<sup>×</sup> sequent  $\Gamma$ . We define the **frame** of  $\theta$  by exhaustively applying the following subformula rewriting steps, to obtain a proof structure  $\theta_m$  on an MLL sequent  $\Gamma_m$ :

- 1) **Encode dependencies as fresh links.** For each dependency  $\exists x \rightarrow \forall y$ , with corresponding subformulas  $\exists xA$  and  $\forall yB$ , we add a fresh link as follows. Let  $P$  be a fresh (nullary) predicate symbol. Replace  $\exists xA$  with  $P \wedge \exists xA$  and  $\forall yB$  with  $\bar{P} \vee \forall yB$ , and add an axiom link between  $P$  and  $\bar{P}$ .
- 2) **Erase quantifiers.** After step 1, erase all the quantifiers. (We no longer need their leaps since they are encoded as links in step 1.)
- 3) **Simplify atoms.** After step 2, replace every predicate  $Pt_1 \dots t_n$  with a nullary predicate symbol  $P$ .

We have the following results:

Let  $u$  and  $v$  be atoms or quantifiers in a unification structure  $\theta$ . Then they are connected by a switching path in the

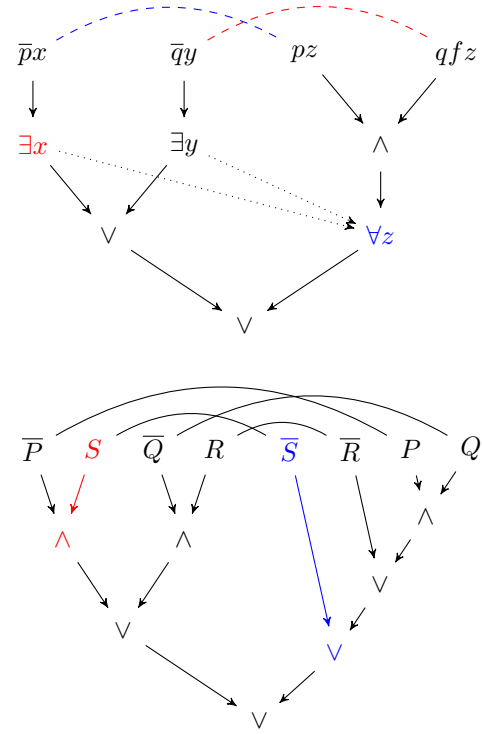


Fig. 9. A unification net and its frame. The colored part shows how the dependency  $\exists x \rightarrow \forall z$  is transformed.

unification structure if, and only if, their corresponding nodes are connected by a switching path in  $\theta_m$ .

Consider now a switching graph  $H$  of a unification structure  $\theta$  of  $A$ .

If  $H$  contains a cycle, then the corresponding switching graph of  $\theta_m$  also contains a cycle. Hence, by applying the propositional results (Theorem 7) from [38], we conclude that there exists a chordless, alternating, and elementary cycle in the bicoloured graph  $(W, E_W \uplus L_W)$ , which corresponds to an induced bimatching in the linked fograph. (Note that the linked fograph (cograph) corresponding to  $\theta_m$  is equivalent to the one corresponding to  $\theta$ .)  $\square$

### C. From contraction/weakening to skew bifibrations

We first introduce the atomic contraction rule, the medial rule, and two rules on quantifiers.

$$\frac{\vdash S\{a \vee a\}}{\vdash S\{a\}} \text{ac} \quad \frac{\vdash S\{(A \wedge B) \vee (C \wedge D)\}}{\vdash S\{(A \vee C) \wedge (B \vee D)\}} \text{m}$$

$$\frac{\vdash S\{\exists xA \vee \exists xB\}}{\vdash S\{\exists x(A \vee B)\}} \text{m}_1\downarrow \quad \frac{\vdash S\{\forall xA \vee \forall xB\}}{\vdash S\{\forall x(A \vee B)\}} \text{m}_2\downarrow$$

Here, we also consider the equivalence generated by the associativity, commutativity of  $\vee$  and the equations  $t \vee A \equiv t$  and  $f \vee A \equiv A$ .

Now we have the following lemma:

**Lemma 62.** The contraction rule  $c$  is derivable for  $\{ac, m, m_1\downarrow, m_2\downarrow\}$ .

*Proof.* We prove that there is always  $\frac{A \vee A}{A} \parallel_{\{ac, m, m_1\downarrow, m_2\downarrow\}}$  by structural induction on  $A$ .

- If  $A = t$  or  $A = f$ , we have  $\frac{\vdash S\{A \vee A\}}{\vdash S\{A\}} \equiv$ . (the premiss and the conclusion are equivalent)
- If  $A = a$ , then we have  $\frac{\vdash S\{a \vee a\}}{\vdash S\{a\}} ac$
- If  $A = A_1 \vee A_2$ , then by the induction hypothesis, we have  $\frac{\vdash S\{(A_1 \vee A_2) \vee (A_1 \vee A_2)\}}{\vdash S\{(A_1 \vee A_1) \vee (A_2 \vee A_2)\}} \equiv$

$$\frac{\vdash S\{(A_1 \vee A_2) \vee (A_1 \vee A_2)\}}{\vdash S\{(A_1 \vee A_1) \vee (A_2 \vee A_2)\}} \equiv$$

$$\vdash S\{A_1 \vee (A_2 \vee A_2)\}$$

Hence, we have

$$\vdash S\{A_1 \vee A_2\}$$

- If  $A = A_1 \wedge A_2$ , then by the induction hypothesis, we have  $\frac{\vdash S\{(A_1 \wedge A_2) \vee (A_1 \wedge A_2)\}}{\vdash S\{(A_1 \vee A_1) \wedge (A_2 \vee A_2)\}} m$

$$\vdash S\{A_1 \wedge A_2\}$$

Hence, we have

$$\vdash S\{A_1 \wedge A_2\}$$

- If  $A = \exists x A'$ , then by the induction hypothesis, we have  $\frac{\vdash S\{\exists x A' \vee \exists x A'\}}{\vdash S\{\exists x (A' \vee A')\}} m_1\downarrow$

$$\vdash S\{\exists x (A' \vee A')\}$$

Hence, we have

$$\vdash S\{\exists x A'\}$$

- If  $A = \forall x A'$ , then by the induction hypothesis, we have  $\frac{\vdash S\{\forall x A' \vee \forall x A'\}}{\vdash S\{\forall x (A' \vee A')\}} m_2\downarrow$

$$\vdash S\{\forall x (A' \vee A')\}$$

Hence, we have

$$\vdash S\{\forall x A'\}$$

**Lemma 63.** The rules  $m_1\downarrow$  and  $m_2\downarrow$  are derivable for  $\{w, c\}$ .

*Proof.* We have:

$$\frac{\vdash S\{\exists x A\}}{\vdash S\{\exists x (A \vee f)\}} \equiv \quad \text{and} \quad \frac{\vdash S\{\exists x B\}}{\vdash S\{\exists x (f \vee B)\}} \equiv$$

Thus, we have:

$$\frac{\vdash S\{\exists x A \vee \exists x B\}}{\vdash S\{\exists x (A \vee B) \vee \exists x (A \vee B)\}} c$$

Similar for  $m_2\downarrow$ .

Now we define a propositional encoding for first-order formulas.

**Definition 64.** The propositional encoding  $A^\circ$  of a formula  $A$  is defined inductively by:

$$\begin{aligned} a^\circ &= a \text{ for every atom } a \\ (A \vee B)^\circ &= A^\circ \vee B^\circ & (A \wedge B)^\circ &= A^\circ \wedge B^\circ \\ (\forall x A)^\circ &= U_x \vee A^\circ & (\exists x A)^\circ &= E_x \wedge A^\circ \end{aligned}$$

where  $U_x$  and  $E_x$  are fresh nullary atoms.

Similarly, we can define the propositional encoding  $S^\circ$  of a context  $S$  inductively by setting  $\square^\circ = \square$ . Note that  $S^\circ$  is also a context.

We have the following facts:

**Proposition 65.** For any context  $S$  and any formula  $A$ :

- $A^\circ$  is a formula containing no quantifier for any formula  $A$ .
- $\llbracket \llbracket A^\circ \rrbracket \rrbracket = \llbracket \llbracket A \rrbracket \rrbracket$  by confounding the atoms  $U_x, E_x$  with the variable  $x$ . Thus, a map  $f : \llbracket \llbracket A^\circ \rrbracket \rrbracket \rightarrow \llbracket \llbracket B^\circ \rrbracket \rrbracket$  can be seen as a map  $f : \llbracket \llbracket A \rrbracket \rrbracket \rightarrow \llbracket \llbracket B \rrbracket \rrbracket$ .
- $(S\{A\})^\circ = S^\circ\{A^\circ\}$ .

**Proposition 66.** Let  $A$  and  $B$  be two formulas such that  $A \equiv B$ .

$$\frac{\vdash S\{A\}}{\vdash S\{B\}} w$$

*Proof.* Trivial by induction.

**Lemma 67.** Given two formulas  $A$  and  $B$  and a derivation  $\Delta \parallel_{\{w, c\}} A \rightarrow B$ , then there exists a skew bifibration  $G(A) \rightarrow G(B)$ .

*Proof.* By Lemma 62, there exists a derivation  $\Delta \parallel_{\{w, ac, m, m_1\downarrow, m_2\downarrow\}} A \rightarrow B$ .

For each rule from  $\{w, ac, m, m_1\downarrow, m_2\downarrow\}$ , we define a map  $f$  and show that it is a skew fibration.

$$\frac{\vdash S\{f\}}{\vdash S\{A\}} w$$

the map  $wk$  maps  $f$  to anything and is identity elsewhere.

- 1383 •  $\frac{\vdash S\{a \vee a\}}{\vdash S\{a\}} \text{ ac:}$   
 1384 the map  $ac$  maps the two  $a$ -labelled literals in the premise  
 1385 to the  $a$ -labelled literal in the conclusion.  
 $\vdash S\{(A \wedge B) \vee (C \wedge D)\}$
- 1386 •  $\frac{\vdash S\{(A \vee C) \wedge (B \vee D)\}}{\vdash S\{\exists x A \vee \exists x B\}} m:$   
 1387 the map  $m$  is the canonical identity that maps  $A$  to  $A$ ,  
 1388  $\dots, D$  to  $D$ .  
 $\vdash S\{\exists x(A \vee B)\}$
- 1389 •  $\frac{\vdash S\{\exists x(A \vee B)\}}{\vdash S\{\forall x(A \vee B)\}} m_1\downarrow:$   
 1390 the map  $m_1$  maps the two  $x$ -labelled binders in the  
 1391 premise to the  $x$ -labelled binder in the conclusion,  $A$  to  
 1392  $A$  and  $B$  to  $B$ .  
 $\vdash S\{\forall x A \vee \forall x B\}$
- 1393 •  $\frac{\vdash S\{\forall x(A \vee B)\}}{\vdash S\{\forall x(A \vee B)\}} m_2\downarrow:$   
 1394 the map  $m_2$  maps the two  $x$ -labelled binders in the  
 1395 premise to the  $x$ -labelled binder in the conclusion,  $A$  to  
 1396  $A$  and  $B$  to  $B$ .

1397 By considering propositional encodings, the maps defined  
 1398 are label-preserving skew fibrations on the underlying fographs  
 1399 according to [21].

1400 Now we prove that each map  $g \in \{wk, ac, m, m_1, m_2\}$  is  
 1401 a skew bifibration. To do that, it suffices to prove that  $g$  is a  
 1402 fibration between the corresponding binding graphs since it is  
 1403 already a skew fibration on the corresponding fographs and it  
 1404 is label-preserving and existential-preserving.

1405 for each  $x$ -binder  $b$  in  $\llbracket \langle B^\circ \rangle \rrbracket$ , for each vertex  
 1406  $v \in V(\llbracket \langle A^\circ \rangle \rrbracket)$  such that  $g(v)$  is bound by  $b$ , there exists a  
 1407 unique binder  $b'$  such that  $b'$  binds  $v$ .

- 1408 •  $wk$  and  $m$  are clearly fibrations: the binding relations of  
 1409 the premise and the conclusion are exactly the same.
- 1410 •  $ac$  is a fibration: suppose that  $a$  that in the conclusion  $a$   
 1411 is bound by some quantifier  $b$  in  $S$ , then for each of its  
 1412 preimages by  $ac$ , there exists exactly one binder (in fact,  
 1413  $b$ ) in  $S$  that binds it.
- 1414 •  $m_1$  and  $m_2$  are fibrations: in the conclusion, for every  
 1415 atom  $a$  in  $A \vee B$  bound by the  $x$ -labelled quantifier,  $a$  has  
 1416 exactly one preimage and it is bound by the  $x$ -labelled  
 1417 quantifier in the premise.

1418 Therefore, all of these maps are skew bifibrations and since  
 1419 skew bifibrations on fographs compose (Lemma 10.32, [18]),  
 1420 there exists a skew bifibration from  $\llbracket \langle A \rangle \rrbracket$  to  $\llbracket \langle B \rangle \rrbracket$ .  
 1421  $\square$

1422 **Theorem 68.** *If a formula  $A$  is provable in LK, then it has a*  
 1423 *combinatorial proof.*

1424 *Proof.* By Theorem 54, there exists a formula  $A'$  such that  
 1425 there is a proof  $\Pi$  of  $A'$  in  $\text{MLL1}^X$  and a derivation  $D$  from  
 1426  $A'$  to  $A$  consisting of the  $w$  and  $c$  rules only. The proof  $\Pi$   
 1427 corresponds to a unique unification net which is equivalent to  
 1428 the fonet corresponding to  $\Pi$ , i.e., the fograph  $\llbracket \langle A' \rangle \rrbracket$  together  
 with the links of  $\Pi$ . By Lemma 67, there exists a skew  
 bifibration  $\llbracket \langle A' \rangle \rrbracket \rightarrow \llbracket \langle A \rangle \rrbracket$ . We have thus a combinatorial  
 proof of  $A$ .  
 1459  $\square$

#### D. From skew bifibrations to contraction/weakening 1433

**Theorem 69.** *Let  $A$  and  $B$  be two formulas and  $f : G(A) \rightarrow$*   
 *$G(B)$  a skew bifibration. Then there exists a derivation*  
 $A$   
 $\Delta \parallel_{\{w, c\}}.$   
 $B$  1436

$f$  can be seen as a skew fibration from  $G(A^\circ)$  to  $G(B^\circ)$ ,  
 which gives the existence of the propositions  $A'$  and  $B'$ , and  
 of the following derivation:

$$\begin{array}{c} A^\circ \\ \Delta \parallel_m \\ A' \\ \Delta' \parallel_{ac} \\ B' \\ \Delta'' \parallel_w \\ B^\circ \end{array}$$

**Lemma 70.** *there exists  $B''$  such that  $B''^\circ = B'$ .* 1437

*Proof.* Consider the derivation  $\Delta''$ . If some  $U_x$  (or  $E_x$ ) is  
 introduced via weakening, then all the atoms it binds in  $B^\circ$   
 should also be introduced via weakening. In fact, an atom of  
 $B^\circ$  is introduced via weakening is equivalent to the fact that  
 its corresponding vertex is not in the image of  $f$ . Since there  
 is an edge from  $U_x$  (resp.  $E_x$ ) to all the literals it binds in the  
 binding graph  $\llbracket \langle B \rangle \rrbracket$ , if one of the atoms is in the image,  $U_x$   
 (resp.  $E_x$ ) should also be in the image since  $f$  is a fibration  
 on binding graphs. 1446

This means that a such  $B''$  can be obtained from  $B$  by  
 erasing all the  $U_x$  and  $E_x$  introduced via weakening and all  
 the atoms they bind.  $\square$  1449

We introduce new (atomic) symbols  $E_x^*$  and  $U_x^*$  which are  
 used to represent disjunctions of  $E_x$  and  $U_x$  respectively. 1450

We define a translation  $(\cdot)^*$  inductively by: 1452

- $(E_x \vee \dots \vee E_x)^* = E_x$  1453
- $(U_x \vee \dots \vee U_x)^* = U_x$  1454
- structural recursion in all the other cases. 1455

Then the derivation:

$$\begin{array}{c} A^\circ \\ \Delta \parallel_m \\ A' \\ \Delta' \parallel_{ac} \\ B''^\circ \end{array}$$

can be translated to the derivation:

$$\begin{array}{c} A^{\circ*} \\ \Delta^* \parallel \\ B''^{\circ*} \end{array}$$

where  $\Delta^*$  is the derivation obtained by replacing all the  
 formulas  $F$  with  $F^*$  and by applying the following rule  
 transformation: 1430

$$\frac{S\{Q_x\}}{S\{Q_x\}} \text{ ac} \rightsquigarrow \frac{S\{Q_x\}}{S\{Q_x\}} =$$

$$\frac{S\{(E_x \wedge C) \vee (E_x \wedge D)\}}{S\{E_x \wedge (C \vee D)\}} \text{ m } \rightsquigarrow \frac{S\{(E_x \wedge C) \vee (E_x \wedge D)\}}{S\{E_x \wedge (C \vee D)\}} \text{ m'}$$

where  $Q_x$  stands for  $E_x$  or  $U_x$ .

$\Delta^*$  can now be transformed into a valid derivation  $\Delta_1$  by using the two transformation rules above and by applying them in a bottom-up style:

$$\frac{A^{\circ*}}{\Delta_1 \parallel_{\text{ac}, \text{m}, \text{m'}}} B''^{\circ*}$$

**Lemma 71.** Every line of  $\Delta_1$  is a propositional encoding.

*Proof.* We proceed by bottom-up induction in the derivation. Clearly,  $(B''^{\circ})^*$  is a propositional encoding as there is no disjunction of  $Q_x$  in it.

First consider the ac rule:  $\frac{C \vee C}{C} \text{ ac}$

It is clear that if  $C$  is a propositional encoding, then so is  $C \vee C$ .

Now consider the m rule:

$$\frac{S\{(C \wedge D) \vee (E \wedge F)\}}{S\{(C \vee E) \wedge (D \vee F)\}} \text{ m}$$

Suppose that  $(C \vee E) \wedge (D \vee F) = G^{\circ}$  for some  $G$ . Since  $C \vee E$  cannot be  $Q_x$  (otherwise, the rule applied would be  $\text{m}'$ ),  $G$  can be written as  $G_1 \wedge G_2$  with  $C \vee E = G_1^{\circ}$  and  $D \vee F = G_2^{\circ}$ .

We have thus  $G_i = \forall x_i H_i$  or  $J_i \vee K_i (i = 1, 2)$ .

If  $G_i = \forall x_i H_i$  for some  $i$ , then there will be a conjunction of  $U_x$  and some formula which can never be eliminated by the rules  $\text{m}$ ,  $\text{m}'$  and  $\text{ac}$ . However, there exists no such conjunction in  $A^{\circ*}$ , which leads to a contradiction.

Hence,  $G_i$  can be written as  $J_i \vee K_i$  for  $i = 1, 2$ . We now have  $(C \wedge D) \vee (E \wedge F) = ((J_1 \wedge J_2) \vee (K_1 \wedge K_2))^{\circ}$ .

Finally, consider the  $\text{m}'$  rule:

$$\frac{S\{(E_x \wedge C) \vee (E_x \wedge D)\}}{S\{E_x \wedge (C \vee D)\}} \text{ m'}$$

Suppose that  $E_x \wedge (C \vee D) = F^{\circ}$  for some  $F$ . It is clear that  $F = \exists x G$  with  $G^{\circ} = C \vee D$  for some  $G$ . We distinguish two cases:

- $G = \forall y H$ : in this case,  $(E_x \wedge C) \vee (E_x \wedge D)$  has a subformula  $(E_x \wedge U_y)$ , which cannot be eliminated by the rules  $\text{m}$ ,  $\text{m}'$ ,  $\text{ac}$ . It is clear that  $A^{\circ*}$  does not have a subformula of this form, which leads to a contradiction.
- $G = G_1 \vee G_2$ : in this case,  $(E_x \wedge C) \vee (E_x \wedge D) = ((\exists x G_1) \vee (\exists x G_2))^{\circ}$ .

□

- If none of the four principal formulas in the premise is  $x$  or  $x \vee F$  or  $x \wedge F$  for some formula  $F$  and  $x \in \text{VAR}$ , then this instance of  $\text{m}$  can trivially be lifted, and we can proceed by induction hypothesis.
- If exactly one of the four principal formulas in the premise is  $x$  for some  $x \in \text{VAR}$ , then this  $x$  is the

encoding of an existential in the premise and of an universal in the conclusion. This is impossible, as  $\varphi$  has to preserve existentials.

- If two of the four principal formulas in the premise are  $x$  for some  $x \in \text{VAR}$ , then we are in the following special case of (8):

$$\frac{\text{m} \frac{S\{(x \wedge C) \vee (x \wedge D)\}}{S\{(x \vee x) \wedge (C \vee D)\}}}{\text{ac}_x \frac{S\{(x \wedge C) \vee (x \wedge D)\}}{S\{x \wedge (C \vee D)\}}}$$

which can be lifted immediately to

$$\text{m}_{\exists} \frac{S\{(\exists x.C) \vee (\exists x.D)\}}{S\{\exists x.(C \vee D)\}}$$

- $\text{m}/\text{ac}_x$  as in situation (8): We must have  $R_1\{x\} \equiv x \vee E$  for some  $E$  and  $R_2\{x\} \equiv x \vee F$  for some  $F$  with  $R\{x\} \equiv x \vee E \vee F$ . Otherwise, the application of  $\text{ac}_x$  would not be correct. We have the following four cases:
- $E$  and  $F$  are both non-empty: Then (8) is (modulo omitted applications of  $\equiv$ ):

$$\frac{\text{m} \frac{S\{((x \vee E) \wedge C) \vee ((x \vee F) \wedge D)\}}{S\{((x \vee E) \vee (x \vee F)) \wedge (C \vee D)\}}}{\text{ac}_x \frac{S\{((x \vee E) \wedge C) \vee ((x \vee F) \wedge D)\}}{S\{(x \vee E \vee F) \wedge (C \vee D)\}}}$$

which can be lifted to

$$\frac{\text{m} \frac{S\{((\forall x.E) \wedge C) \vee ((\forall x.F) \wedge D)\}}{S\{((\forall x.E) \vee (\forall x.F)) \wedge (C \vee D)\}}}{\text{m}_{\forall} \frac{S\{((\forall x.E) \wedge C) \vee ((\forall x.F) \wedge D)\}}{S\{(\forall x.(E \vee F)) \wedge (C \vee D)\}}}$$

■ **Jui-Hsuan:** maybe need some words to exclude the case in which  $C$  (or  $D$ ) is a propositional variable. ■ **Lutz:** shit. (you mean a “first order variable”) this actually can happen. then we have another  $\text{m}_{\exists}$  ■

- $E$  is empty and  $F$  is not: Then (8) becomes

$$\frac{\text{m} \frac{S\{(x \wedge C) \vee ((x \vee F) \wedge D)\}}{S\{(x \vee (x \vee F)) \wedge (C \vee D)\}}}{\text{ac}_x \frac{S\{(x \wedge C) \vee ((x \vee F) \wedge D)\}}{S\{(x \vee F) \wedge (C \vee D)\}}}$$

The conclusion is the propositional encoding of  $S\{(\forall x.F) \wedge (C \vee D)\}$  and the premise is the propositional encoding of  $S\{(\exists x.C) \vee ((\forall x.F) \vee D)\}$ . Also note that no  $\text{m}$ -instance can break up the conjunction in  $x \wedge C$  in the premise. Hence,  $\varphi$  maps an existential to a universal, which is ruled out by the definition. Hence, this case cannot occur.

- $E$  is non-empty and  $F$  is empty: This case is similar to the previous subcase.
- $E$  and  $F$  are both empty: Then (8) is

$$\frac{\text{m} \frac{S\{(x \wedge C) \vee (x \wedge D)\}}{S\{(x \vee x) \wedge (C \vee D)\}}}{\text{ac}_x \frac{S\{(x \wedge C) \vee (x \wedge D)\}}{S\{x \wedge (C \vee D)\}}}$$

which can be lifted immediately to

$$\text{m}_{\exists} \frac{S\{(\exists x.C) \vee (\exists x.D)\}}{S\{\exists x.(C \vee D)\}}$$