# Process management 2

CS503: Operating systems, Spring 2019

Pedro Fonseca
Department of Computer Science
Purdue University

Copyright © 2018 by Douglas Comer And CRC Press. All rights reserved. Edited by Byoungyoung Lee and Pedro Fonseca

### **Admin**

- One more TA: Hafiz Kamran Khalil:)
- Next lab is scheduled to be released next week

#### **Previous lecture**

- Process abstraction
  - Heavy process vs. light process
- Process structures kept by the kernel
  - Process table
- Scheduling and scheduling policies
- Context switch
  - O(1) only for picking the first process
    - But insertion takes more time see the implementation

# Goals for today



- Continue understanding process management:
  - How to manage concurrent executions in an operating system?
  - What structures the OS needs to keep track off?
  - What is and how is a context switch implemented?
- What are the main process management services?

# How are arguments passed in Xinu?

• Objdump -S xinu.elf

# How are arguments passed in Xinu?

```
113dba:
             c7 00 00 00 00 00
                                     movl
                                            $0x0,(%eax)
113dc0:
                                             $0xc,%esp
             83 ec 0c
                                      sub
113dc3:
             6a 02
                                            $0x2
                                     push
113dc5:
             e8 1a 0d ff ff
                                     call
                                            104ae4 <resched_cntl>
113dca:
             83 c4 10
                                     add
                                            $0x10,%esp
113dcd:
             83 ec 0c
                                     sub
                                            $0xc,%esp
113dd0:
                                            -0xc(%ebp)
             ff 75 f4
                                     pushl
```

# Assembly background

#### Assembly:

- one statement -> one machine instruction
- assembler directives and labels
- instruction format is restricted by architecture
- AT&T assembly syntax format:
  - [instruction mnemonic] [src] [destination]
  - Intel manuals use another format (i.e., Intel assembly syntax)

#### • Operands:

- Register value: %rax
- Memory location pointed by a register value: (%rax)
- Memory location of a register value + offset: 12(%ebp)
- Some instructions change implicit registers (e.g., flags is changed by CMP and SUB)
- Some instructions read implicit registers (e.g., JMP reads flags)

More info: https://en.wikibooks.org/wiki/X86\_Assembly/GAS\_Syntax

### Context switch

- Restoring the CPU changes the CPU state itself
- Challenge is to make it atomic and avoid loss of information
- Particularly challenging is the PC register
  - It cannot even be read explicitly in x86

#### Context switch code

`ctxsw()` in `system/ctxsw.S`

```
* ctxsw - X86 context switch; the call is ctxsw(&old sp, &new sp)
       ctxsw:
               pushl %ebp /* Push ebp onto stack */
movl %esp,%ebp /* Record current SP in ebp */
               movl
               pushfl /* Push flags onto the stack */
pushal /* Push general regs. on stack */
               pushal
                                     /* Save old segment registers here, if multiple allowed */
   Why 3
               movl
                       8(\%ebp), %eax /* Get mem location in which to */
pushes and
                                     /* save the old process's SP
              movl
                       %esp,(%eax) /* Save old process's SP */
only 2 pops?
               movl
                       12(%ebp).%eax /* Get location from which to */
                                     /* restore new process's SP */
                                     /* The next instruction switches from the old process's
                                     /* stack to the new process's stack.
                       (%eax),%esp
                                    /* Pop up new process's SP */
               movl
                                    /* Restore general registers */
               popal
                                    /* Restore interrupt mask */
               popfl
                                     /* Return to new process */
               ret
```

(Buggy code in previous lecture)

#### Context switch code

`ctxsw()` in `system/ctxsw.S`

```
10 ctxsw:
11
                                            /* Push ebp onto stack
                   pushl
                            %ebp
                                                                              */
                                            /* Record current SP in ebp
12
                   movl
                            %esp,%ebp
                                                                              */
13
                   pushfl
                                            /* Push flags onto the stack
                                                                              */
14
                   pushal
                                            /* Push general regs. on stack
                                                                              */
15
16
                   /* Save old segment registers here, if multiple allowed */
17
18
                   movl
                            8(%ebp),%eax
                                             /* Get mem location in which to */
19
                                                  save the old process's SP
                            %esp,(%eax)
                                            /* Save old process's SP
20
                   movl
                                                                              */
21
                                             /* Get location from which to
                   movl
                            12(%ebp),%eax
                                                                              */
22
                                                  restore new process's SP
                                                                              */
23
24
                   /* The next instruction switches from the old process's */
25
                        stack to the new process's stack.
                                                                              */
26
                                            /* Pop up new process's SP
27
                   movl
                            (%eax),%esp
                                                                              */
28
29
                   /* Restore new seg. registers here, if multiple allowed */
30
31
                   popal
                                            /* Restore general registers
32
                            4(%esp),%ebp
                                            /* Pick up ebp before restoring */
                   movl
33
                                                  interrupts
                                                                              */
34
                                             /* Restore interrupt mask
                   popfl
                                                                              */
35
                   add
                            $4,%esp
                                             /* Skip saved value of ebp
                                                                              */
36
                                            /* Return to new process
                   ret
                                                                              */
```

Book and lab code also include two important instructions

#### Context switch

- Restoring the CPU changes the CPU state itself
- Challenge is to make it atomic and avoid loss of information
- Particularly challenging is the PC register
  - It cannot even be read explicitly in x86
  - The trick is to not store the current PC value; instead we store the location where the ctxsw() should return
  - Using the stack and the call/ret instruction semantics

# Evolution of the x86 context switch in Linux

- Many ways to do the context switch in x86!
- Linux when through a long evolution
- HW support for context switching
- Multiprocessor challenges:
  - Migration of processes between CPUs
  - Each processor has a dedicated interrupt controller (APIC)

#### More info at:

http://www.maizure.org/projects/evolution\_x86\_context\_switch\_linux/

# Hardware context switching

- Also known as "Hardware Task Switching" in CPU manuals
- May only handle part of the process state (e.g,. exclude FPU/MMX and SSE state)
- In x86: one mechanism relies on the task register (TR) and a far CALL or JMP to load a task state segment (TSS)
- Hardware context switching (vs. software context switching)
  - Exact mechanisms is very hardware specific
  - May perform unnecessary checks (e.g., segmentation)
  - In practice slow

### **Question #1**

- Our invariant says that at any time, a process must be executing
- Context switch code moves from one process to another
- **Q**: which process executes the context switch code?

### Question #2

- At any time, CPU should execute something
- Q: If all user processes may be idle (e.g., applications all wait for input), which process should OS executes?

## **Null process**

- Does not compute anything useful
- Is present merely to ensure that at least one process remains ready at all times
- Simplifies scheduling (no special cases)

# Code for a null process

Easiest way to code a null process:

```
while (1);
  /* Do nothing */
```

- May not be optimal. Q: Why?
- Fetch-execute takes bus cycles
- Q: How to optimize it?
- Two possible ways:
  - Some processors offer a special pause instruction that stops the processor until an interrupt occurs
  - Instruction cache can eliminate bus accesses

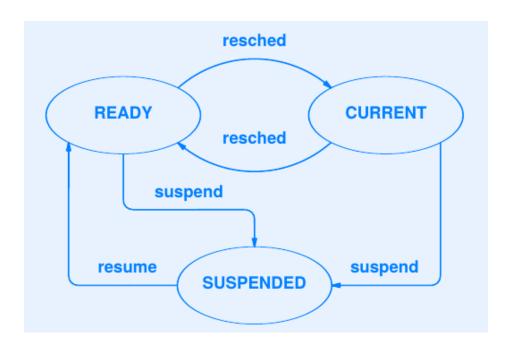
# **Process manipulation**

- Need to invent ways to control processes
- Example operations:
  - Suspension
  - Resumption
  - Creation
  - Termination
- Recall: state variable in process table store the status

# **Process suspension**

- Temporarily "stop" a process
- Prohibit it from using the processor
- To allow later resumption:
  - Process table entry retained
  - Complete state of computation saved
- OS sets process table entry to indicate process is suspended

# State transition for suspension and resumption



- Either current or ready process can be suspended
- Only a suspended process can be resumed
- System calls can suspend or resume

# Suspension code

• suspend() function

## **Process resumption**

Resume the execution of previously suspended process

- Method:
  - Make process eligible for processor
  - Re-establish scheduling invariant
- Note: resumption does not guarantee instantaneous execution

# Resumption code

• resume() function

# Function to make the process ready

- ready() function
- Make a process eligible for execution

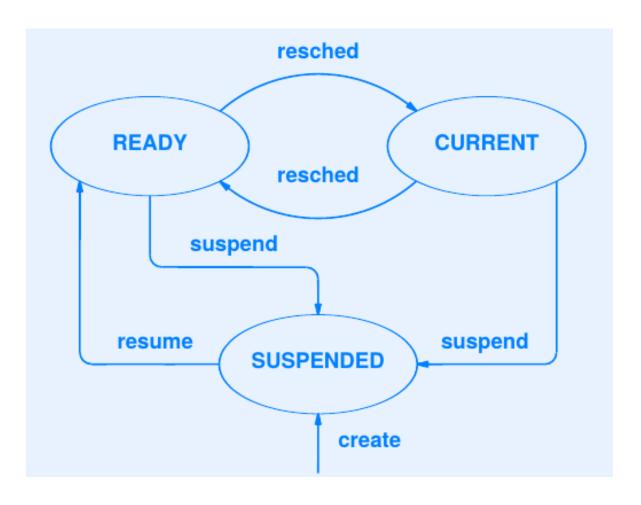
#### **Process termination**

- Final and permanent
- Record of a process is removed
- Process table entry becomes available for reuse
- Known as process exit if initiated by the thread itself
- We will see more about termination later

#### **Process creation**

- Processes are dynamic process creation refers to starting a new process
- Implemented by create function in Xinu
- Method:
  - Find free entry in process table
  - Fill in entry
  - Place new process in suspended state
- Needs to select a new pid

# State transition for additional process management functions



Note that both current and ready processes can be suspended

# Summary

- Context switch
- Null process
- Process management functions:
  - Suspension
  - Resumption
  - Creation
  - Termination