

# 000 HARNESSING QUERY HETEROGENEITY FOR COST- 001 EFFECTIVE PROACTIVE CACHING IN LLM INFERENCE 002

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005 Paper under double-blind review  
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## 007 ABSTRACT 008

009 As Large Language Models (LLMs) significantly enhance the capabilities of AI  
 010 systems, the increasing volume of query processing requests presents challenges  
 011 for cost-effective inference, particularly due to repetitive queries that lead to un-  
 012 necessary resource consumption and increased costs. Caching strategies are em-  
 013 ployed to store a small set of previous queries, enabling direct retrieval of repet-  
 014 itive queries without reprocessing by the LLMs. However, existing caching al-  
 015 gorithms often assume uniform query lengths, simplifying cache selection to a  
 016 top- $K$  problem, which is inadequate for real-world scenarios with heterogeneous  
 017 lengths. To address this issue, we propose a bandit learning algorithm for proac-  
 018 tive query caching in LLMs, specifically considering variable-sized queries. We  
 019 cast the optimal cache query cache problem as a knapsack problem. Since the  
 020 repetitive pattern and processing cost are unknown and has uncertainty, we cast  
 021 the learning-to-cache problem as a bandit learning problem. Compared to conven-  
 022 tional bandit learning frameworks, a new technical challenge is that the reward of  
 023 an arm would not be observed if it is pulled. To tackle this, we propose an Lower  
 024 confidence bound (LCB)-type algorithm, which we prove has a  $\tilde{O}(\sqrt{T})$  order of  
 025 regret and show that our regret does not deteriorate compared to previous results  
 026 when incorporating a variable size setting. Furthermore, we demonstrate that our  
 027 online cache policy effectively reduces the additional computational overhead typ-  
 028 ically associated with calculating the optimal cache.  
 029

## 030 1 INTRODUCTION 031

032 Large Language Models (LLMs) have gained increasing popularity across various fields (Zhang  
 033 et al., 2024; Wu et al., 2023b;a). However, as the capabilities of LLMs have increased, the challenge  
 034 of resource consumption during LLM deployment has become increasingly significant and cannot  
 035 be ignored. This leads to higher inference costs and longer latency compared to traditional models.  
 036 As a result, when a large number of queries must be processed simultaneously, LLMs often prove to  
 037 be inefficient or unsuitable for such tasks (Chen et al., 2023).

038 In the real-world marketplace of LLMs, it is inevitable for online large model systems to handle  
 039 some repetitive tasks (Chen et al., 2023). For the same task, the large model needs to be called  
 040 repeatedly multiple times to obtain the same result, which will inevitably lead to a waste of resources.  
 041 As the scale of LLMs grows larger, the cost of repeated queries becomes increasingly significant.  
 042 Developing methods to reduce these redundant costs and resource waste would be highly beneficial  
 043 for the efficient deployment of large models, significantly lowering the overall operational costs  
 044 associated with them.

045 In the field of computer memory access (Belady, 1966), caching is an effective method for opti-  
 046 mizing data access speed and reducing the overhead of resource redundancy by establishing a fast  
 047 retrieval mechanism within the system. When applied to large model systems, caching allows re-  
 048 peated queries to directly retrieve results from the cache without requiring complex computations  
 049 from the LLMs, as the results of these repeated queries are assumed to remain largely unchanged.

050 Recent research (Stogiannidis et al., 2023; Zhu et al., 2024) involve a cache to store and reuses LLM  
 051 API's response when similar queries are repeatedly asked. These works have successfully integrated  
 052 caching strategies into the deployment of large models, but to cope with more complex real-world

online environments, there is still much room for improvement in the field of cache combined with LLMs. Incorporating cache into LLMs presents several challenges: 1) how to determine which query to cache appropriately, 2) managing incomplete information when updating the cache in large-scale, dynamic user environments. For the first challenge, in order to reduce overhead, we need to cache those queries that may be popular and incur high costs. However, the cost associated with each query may be unknown and variable; it may vary depending on different users or changes in the computation process. From a statistical perspective, the cost of processing each query is a random variable that depends on the query itself and fluctuates due to variations in the auto-regressive generation process, as well as the length and quality of the generated responses (Zhu et al., 2024). For the second challenge, since the cost of each query may depend on the preferences of different users or other unpredictable factors, and the popularity of queries shifts over time, it is impossible to know all relevant information for each query in advance. This requires a strategy that dynamically collects specific statistics for each query through feedback in an online environment to update the cache.

To deal with the selection of queries, Zhu et al. (2024) takes into account both the expected cost and popularity of queries as criteria for cache selection. This natural approach has proven effective in experiments and is relatively easy to implement. However, Zhu et al. (2024)'s online algorithm performs well only when each query has the same and fixed size in the cache, meaning the number of queries that can be stored in the cache remains constant. In the case where different queries have variable size, although they make a simple discussion in appendix, there still exists room for improvement. In variable size case, in addition to considering the cost and popularity of a query, the length of the query must also be taken into account. At this point, the number of queries that the cache can store will no longer be constant.

In this paper, we consider the aforementioned issue from the perspective of bandit with knapsack constraint. We propose an online algorithm **Online Stream Cache Bandits**, an extension variant of Zhu et al. (2024) to solve the problem. Our main contributions are as follows:

**Algorithmic Framework.** The proposed Online Stream Cache Bandits algorithm contains four key components. 1) *Selecting cache with knapsack constraints*: We consider the issue of variable size as a 0-1 knapsack problem. Here, the cost and popularity of a query represent the value, while the size of the query corresponds to its weight. Thus, selecting an optimal cache is equivalent to solving for the optimal knapsack configuration. 2) *Lower confidence bound bandits*: Different from traditional bandit literature using UCB-type algorithms, we use lower confidence bound for estimation. This choice is driven by the fact that, in our environment, the selected arm is not always observed in each round due to cache hit, which incurs zero cost (Zhu et al., 2024). On the other hand, this lower confidence bound acts like a pessimistic strategy, helping to avoid our cache policy trapping into sub-optimal solution. 3) *Double scheduling strategy*: The introduction of the knapsack problem into our policy incurs additional computational overhead. If the knapsack problem is trivially computed in *each* round, this additional computational overhead will grow linearly. To mitigate this, we use a double scheduling strategy, where we only invoke the knapsack problem solver to update the whole cache at rounds that are integer powers of 2, reducing the additional cost to logarithmic order. 4) *Recommended cache*: Using the trivial method mentioned above, extra memory space is required to store all historical information and result of each query so that it can be selected in a timely manner when updating the cache. However, this extra memory space is often impractical in real-world scenarios. To address this, we introduce a recommended cache that temporarily stores the optimal cache selecting identified by the knapsack problem and “waits” for the relevant query. This approach eliminates the need for additional memory space, and we show that this modification does not affect the regret bound.

**Theoretical Analysis.** The involving of the knapsack problem presents several challenges in our theoretical analysis, such as we can not determine how many queries are currently stored in the cache or to find the regular of value of the queries stored in the current cache. Despite these challenges, we demonstrate that our Online Stream Cache Bandits algorithm achieves a  $\tilde{O}(\sqrt{T})$  regret bound with a  $O(\log T)$  additional computational cost. This result aligns with the findings of Zhu et al. (2024)'s work, which is the most relevant work to ours, and the order of  $T$  in our regret bound aligns with their established lower bound of  $\tilde{O}(\sqrt{T})$ . Furthermore, we show that the work of Zhu et al. (2024) can be seen as an special case of ours when all queries are set to the same length.

**Experiments Evaluation.** Zhu et al. (2024) proposed a heuristic method that replaces one query with the smallest expected cost per-size, defined as  $P(q)C^*(q)/S(q)$ , each round to solve variable size case. However, their method requires a restrictive condition: the length of the query with the result must be much smaller than the length of the cache, *i.e.*  $S(q) \ll M$ . Our analysis shows that their method will fail without this condition. Using Zhu et al. (2024) as our baseline, we conduct experiments on both synthetic and real data. Our results show that the Online Stream Cache Bandits algorithm consistently achieves superior performance in terms of regret across both simulation and real-world datasets.

## 2 RELATED WORK

In the field of LLM, cache technology can address the issue of repeated inquiries, thereby reducing the cost of the LLM marketplace (Chen et al., 2023). Recently, caching strategies tailored for LLMs have emerged (Xu et al., 2023; Zhang et al., 2023), focusing on content reuse during the inference phase. These strategies aimed to optimize the internal data flow within LLMs, minimizing delays and lowering resource consumption. Bang (2023) is recognized in the industry for its simplicity and flexibility, providing various options for integration with different LLMChat services. However, it does not offer advanced cache performance enhancements. In contrast, Li et al. (2024) focuses on semantic-oriented enhancement, they improve cache efficiency by leveraging the semantic understanding of queries. Zhu et al. (2024) propose a Caching in Online Learning algorithm to avoid resource wasting. In their further discussion, they mention that generalizing their work to a variable-size cache, in which distinct queries have heterogeneous lengths. However, they did not conduct detailed research or provide a rigorous analysis. Inspired by Zhu et al. (2024), we generalize their problem with a variable size constraint. Furthermore, we consider involving the knapsack problem to solve this variable size issue and propose the algorithms with theory guarantees.

Cache is a key technology that enhances system performance by reducing the overhead of redundant computations. Traditional cache replacement algorithms delve into the most effective strategies for caching queries that vary in frequency, cost, and required cache space. To address the issue of varying frequencies, Lee et al. (2001) proposed a standard approach which uses a Least Frequently Used (LFU) or Least Recently Used (LRU) cache eviction strategy, and these works have been proven to be optimal for both adversarial and stochastic queries (Bura et al., 2022). When varying costs and varying frequencies exist simultaneously, Jin & Bestavros (2000), Arlitt et al. (2000) propose and study the Greedy Dual-Size with Frequency (GDSF) replacement algorithm, which takes both frequency and cost into consideration. Our problem setting may provide inspiration for solving cache problems that involve uncertainty.

The Knapsack Problem (Kellerer et al., 2004) is a classic problem in computer science and optimization theory. In the algorithms we propose, the knapsack problem will determine how to select the cache efficiently. We can regard our problem as a 0-1 knapsack problem and use dynamic programming (Bertsekas, 2005), a classical method to address the knapsack problem, as our Oracle to update our policy. The online knapsack problem (Zhou et al., 2008) is an online variant of the knapsack problem, which requires making decisions on the spot without knowing all the inputs. The challenge of the online knapsack problem lies in making optimal or near-optimal decisions under incomplete information, which often requires designing effective algorithms to approximate the optimal solution.

The multi-armed bandit problem is a reinforcement learning problem that has broad applications in finance (Shen et al., 2015), medicine (Liu et al., 2018), chemistry (Wang et al., 2024) and recommendation systems (Zhou et al., 2017). In the classic stochastic formulation, a casino player is faced with a set of slot machines, each with a fixed but different reward distribution that is initially unknown. With a limited budget, the player's goal is to maximize the total payout by identifying and playing the slot machines that offer better returns. To this end, the player effectively allocates limited resources to balance exploration of the machines that have been played less and exploitation of the current best options. In our work, we can see each query as an arm, and its cost is an unknown distribution. Under this setting, the UCB-type method can deal with the uncertainty of each query. Compared to Bandits with Knapsacks (BwK), which is a problem that combines bandit and knapsack constraints, our problem setting is different. The BwK problem (Badanidiyuru et al., 2018; Liu et al., 2024) assumes that there are  $K$  resources consumed over time, each with distinct

162 budgets  $B_1, \dots, B_K$ . Every resource  $i \in [K]$  is associated with a consumption, The goal of policy  
 163 is to maximizes the accumulated reward subject to the budget constraints that each consumption of  
 164 resource  $i$  is less than  $B_i$ . In our work, we utilize the knapsack problem to address heterogeneous  
 165 size queries, treating the cache as a knapsack constraint: the lengths of distinct queries represent  
 166 the weights, while the costs and frequencies represent the values. We impose a fixed budget con-  
 167 straint in each round, this setting is similar to Yu et al. (2016). Compare to their work, our approach  
 168 incorporates missing feedback, which involves greater challenges and more complex analysis.

### 170 3 SIZE-AWARE CACHING BANDIT SETTING

172 In this section, we formulate the size-aware caching bandit problem in LLM inference serving with  
 173 heterogeneous queries.

174 **Query and request cost.** We consider a finite set of queries  $\mathcal{Q} = \{q_1, \dots, q_N\}$  containing  $N$  distinct  
 175 queries, each query  $q \in \mathcal{Q}$  is associated with a size  $L(q) \in \mathbb{R}_+$ . When query  $q$  is input to the LLM  
 176 system, the LLM processes it and returns a corresponding result  $r(q)$  with size  $A(q) \in \mathbb{R}_+$ . We use  
 177  $S(q) = L(q) + A(q)$  to denote the *total-query size* of  $q$ . Every time the LLM processes  $q$ , it will  
 178 incur a random cost  $C(q)$  with unknown mean  $C^*(q)$ :

$$179 \quad C(q) = C^*(q) + \epsilon_q$$

180 where  $\epsilon_q$  is a sub-Gaussian noise that captures the uncertainties in the cost, with  $\mathbb{E}[\epsilon_q] = 0$ . Remark  
 181 that this setup is reasonable as most noise in real-world applications follows a sub-Gaussian distri-  
 182 bution. Consistent with Zhu et al. (2024), the cost in a LLM can be FLOPS, latency of the model,  
 183 the price for API calls, user satisfaction of the results, or a combination of all these factors.

184 **Size-aware cache.** To save the cost of repeatedly processing the queries, we maintain a cache  $\mathcal{M}$   
 185 for the LLM system, storing a small subset of queries with their corresponding results. The size of  
 186 cache has a maximum size  $M$ , the total size of the stored queries must satisfy  $\sum_{q \in \mathcal{M}} S(q) \leq M$ .  
 187 Let  $\mathfrak{J}$  be the set of all possible caches that satisfy the size constraint

$$188 \quad \mathfrak{J} = \{\mathcal{M} \mid \sum_{q \in \mathcal{M}} S(q) \leq M\}$$

189 Because the cache maintains the query and its result, when a query hits the cache, it will output the  
 190 result of matched query directly with zero cost. Previous works such as Zhu et al. (2024) assume that  
 191 the size of queries is homogeneous means that  $S(q) = 1$  for all queries, reducing the the problem to a  
 192 top- $K$  selection problem. We instead consider a heterogeneous setting, where queries have different  
 193 sizes  $S(q)$ , introducing additional challenges. This heterogeneity is a key difference between our  
 194 model and previous works such as Zhu et al. (2024).

195 **Online learning size-aware caching bandit.** We consider a total number of  $T \in \mathbb{N}_+$  learning  
 196 rounds. In each round, a query arrives, which is sampled from  $\mathcal{Q}$  according to a fixed unknown  
 197 population distribution  $\mathbb{P} \in \Delta(\mathcal{Q})$ . Let  $q_t \in \mathcal{Q}$  represent the query sampled from  $\mathcal{Q}$  in round  $t$ .  
 198 We use  $P(q) \in (0, 1]$  to denote the probability that the query  $q$  be selected in each round, such that  

$$199 \quad \sum_{q \in \mathcal{Q}} P(q) = 1.$$

200 In round  $t$ , the agent first selects *current cache*  $\mathcal{M}_t$ , which satisfies the size constraint, based on the  
 201 history information from previous rounds. After  $q_t$  is sampled from  $\mathbb{P}$ , the agent will first check the  
 202 current cache  $\mathcal{M}_t$  and If the query  $q_t$  is found in the cache, i.e.,  $q_t \in \mathcal{M}_t$ , we say the query *hits* the  
 203 cache. In this case, the result of  $q_t$  is directly returned without further processing by the LLM. The  
 204 cost of processing this query is 0 and will save a potential cost  $C(q_t)$ , which is unobserved to the  
 205 agent. If query  $q_t$  does not hit the cache, the system processes the query, incurring a cost  $C(q_t)$ , and  
 206 returns the result.

207 The objective is to choose the optimal cache without knowing the cost and popularity of each query.  
 208 To achieve this goal, one can use a bandit-type policy to deal with the uncertainty, treating each  
 209 query as an arm in a multi arm bandit model. However, our setting differs from traditional bandit  
 210 problems because feedback (i.e., the cost) is not observed in every round. Specifically, when a  
 211 query hits the cache, no cost feedback is received. Only when a query misses the cache does the  
 212 agent observe a random cost as feedback. This "reverse-bandit" scenario, where feedback is only  
 213 available when an arm is not selected, complicates the application of traditional bandit algorithms.

**Learning objective.** Our goal is to minimize the total cost with time horizon  $T$ . From another perspective, the cost we saved by cache hitting can be regarded as additional reward we have obtained. Following Zhu et al. (2024), we can define the reward of a query  $q$  with a given cache  $\mathcal{M}$  as

$$f(\mathcal{M}, q) = C(q)\mathbb{I}\{q \in \mathcal{M}\}$$

And the regret can be defined as

$$\text{Reg}(T) = \mathbb{E} \left[ \sum_{t=1}^T f(\mathcal{M}^*, q_t) - f(\mathcal{M}_t, q_t) \right] = \mathbb{E} \left[ \sum_{t=1}^T C(q_t) (\mathbb{I}\{q_t \in \mathcal{M}^*\} - \mathbb{I}\{q_t \in \mathcal{M}_t\}) \right] \quad (1)$$

where  $\mathbb{I}$  is the indicator function and  $\mathcal{M}^*$  is the optimal cache.

To minimize the total cost, we need to find the optimal cache  $\mathcal{M}^*$  which maximizes the saved cost. By taking expectation over all query, the expected reward function can be defined as:

$$F(\mathcal{M}, \mathbf{C}^*, \mathbf{P}) = \mathbb{E}[f(\mathcal{M}, q)] \quad (2)$$

$$= \mathbb{E}[C(q)\mathbb{I}\{q \in \mathcal{M}\}] \quad (3)$$

$$= \sum_{q \in \mathcal{M}} C^*(q)P(q) \quad (4)$$

where  $\mathbf{C}^* = \{C^*(q)\}_{q \in \mathcal{Q}}$  is the set of unknown costs for all queries, and  $\mathbf{P} = \{P(q)\}_{q \in \mathcal{Q}}$  represents the probability of each query being sampled.

We see this expectation of reward function as the reward of a cache  $\mathcal{M}$ . The optimal cache can therefore be formally written as  $\mathcal{M}^* = \operatorname{argmax}_{\mathcal{M} \in \mathfrak{J}} F(\mathcal{M}, \mathbf{C}^*, \mathbf{P})$  and the regret of the policy can be expressed as:

$$\text{Reg}(T) = \mathbb{E} \left[ \sum_{t=1}^T F(\mathcal{M}^*, \mathbf{C}^*, \mathbf{P}) - F(\mathcal{M}_t, \mathbf{C}^*, \mathbf{P}) \right] \quad (5)$$

We model this as a 0-1 knapsack problem where  $C^*(q)P(q)$  is the value of  $q$  and  $S(q)$  is its weight. The cache is treated as a knapsack with capacity of  $M$ , and the optimal cache selection corresponds to solving for the optimal knapsack. In order to adapt the knapsack problem to our model, we need the following assumption:

**Assumption 1.** *The cost can be bounded by  $c_1 \leq C^*(q) \leq c_2, \forall q \in \mathcal{Q}$ , where  $c_1, c_2 \in \mathbb{R}^+$  and  $c_2 > c_1$*

**Assumption 2.** *The popularity can be bounded by  $0 < P(q) < 1/2, \forall q \in \mathcal{Q}$ .*

**Offline size-aware cache provisioning.** As mentioned above, finding the optimal cache can be viewed as solving a 0-1 knapsack problem. Therefore, a solver for the knapsack problem will be of significant help in selecting the optimal set of queries to cache. To do this, we introduce an Oracle for solving the knapsack problem, which takes the weight and size of each query as inputs and returns the optimal knapsack configuration. Specifically, We use  $\text{Oracle}(\mathcal{Q}, \mathbf{w}, \mathbf{l}, M) \rightarrow \mathcal{Q}_{\mathbf{l}, M}^{w^*}$  to yield the optimal knapsack of knapsack problem with volume constraint of  $M$ , where  $\mathcal{Q}$  is the input query set,  $\mathbf{w} = \{w(q)\}_{q \in \mathcal{Q}}, \mathbf{l} = \{l(q)\}_{q \in \mathcal{Q}}$  denote the weight vector and value vector of each query in  $\mathcal{Q}$  respectively. The Oracle solver (e.g., Dynamic Programming) returns the optimal knapsack based on the length and the product of estimated costs and selection probabilities for all queries.

**Comparison with previous work.** Unlike Zhu et al. (2024), where the cache size refers to the number of queries stored, in our setting, it refers to the number of tokens the cache can store. Here,  $M$  represents the total token capacity of the cache. The values  $L(q)$  and  $A(q)$  correspond to the token counts for the query  $q$  and its result, respectively, which together represent the cache size required for  $q \in \mathcal{Q}$ . When a query  $q$  is input, it is tokenized with a length  $L(q)$ , representing the number of tokens the query contains. The LLM processes this query and produces a result with length  $A(q)$ . Therefore, the total size required in the cache for query  $q$  is  $S(q) = L(q) + A(q)$ . In our setting, the cache constraint at each round  $t$  must satisfy:  $\sum_{q \in \mathcal{M}_t} S(q) \leq M$  in each round  $t$ .

We also note that in the online model, we discard the results of queries that are not stored in the cache, but we maintain estimation information such as the query's length and cost. If a previously dropped query reappears, the result must be recomputed by the LLM. This setup reflects real-world conditions where the number of queries is large and there is insufficient storage to keep all query results in the cache.

270    **4 ALGORITHM**  
 271

272    In this section, we propose our batch and stream version algorithm to address the cache selecting  
 273    with variable size query. Because the algorithm has not seen any queries at the beginning, it needs to  
 274    record the indices of queries to maintain the estimation for each query in cache selection. We use a  
 275    set  $Q_t$  in our algorithm to represent this record, a query  $q \in Q_t$  means that the estimation and index  
 276    information of this query have been recorded by algorithm.  
 277

278    **4.1 BASIC BATCH CACHE BANDITS**  
 279

280    **Batch setting.** We begin our algorithm with a batch setting, which means that the algorithm can  
 281    record all the queries along with their results and can obtain any query with its result at any time. In  
 282    this case, a straightforward policy for our model is that we can naturally use the Oracle at the end of  
 283    each round, and the agent selects the cache according to the results provided by the Oracle. We first  
 284    propose a batch version of our policy (Algorithm.1), this version does not consider the storage costs  
 285    of all queries and their results, and it assumes that the results of the required queries can be obtained  
 286    at any time. In this algorithm, the cache will be reselected in each round. At the end of each round,  
 287    the Oracle will provide the optimal solution based on the current estimation of all queries; then the  
 288    policy will select queries according to the output of the Oracle and add them to the cache. Under  
 289    Algorithm.1, the current cache will ultimately converge to the optimal cache when the estimations  
 290    converge to the true values. On the other hand, if the estimations of all queries become precise  
 291    enough, the current cache will be updated to the optimal cache immediately.

292    **Lower confidence bound.** Similar to Zhu et al. (2024), we also use a lower confidence bound in  
 293    the estimation of the average cost of each query; this differs from traditional UCB-based methods.  
 294    The reason we use the lower bound is that the pessimistic policy in cost will prevent the algorithm  
 295    from getting trapped in a sub-optimal solution. In our setup, the optimal cache always selects the  
 296    query set with the largest value sum, which is the sum of  $C^*(q)P(q)$  in our model. If we use an  
 297    upper confidence bound for estimation, once the Oracle outputs a sub-optimal solution for cache  
 298    selection, it will maintain the estimation of queries included in this sub-optimal cache as greater  
 299    than that of other queries with high probability. This prevents the algorithm from correcting the  
 300    solution selected by the Oracle, leading to a trap in the sub-optimal cache. This is akin to adding  
 301    a penalty to the estimation of queries that remain in the cache for a long time, making them more  
 302    likely to be replaced, similar to an enforcement of exploration.

302    **Replacement strategy.** In Zhu et al. (2024), they proposed a method that replacing one query with  
 303    the smallest expected cost per-size  $P(q)C^*(q)/S(q)$  each round. This method is natural and does  
 304    not incur any additional cost. However, in certain situations related to the knapsack problem, due  
 305    to the size constraint, the current cache may need to replace multiple queries at once to update to  
 306    the optimal solution. If we use the LFU policy and allow only one query to be replaced at a time, it  
 307    may prevent the algorithm from updating to the optimal cache. Unlike Zhu et al. (2024), the Oracle  
 308    we use here allows the policy to update more than one query each round, which can prevent the  
 309    algorithm from getting trapped in a sub-optimal solution.

310    **Practical limitations.** Although Algorithm 1 can effectively select the optimal solution, it has sig-  
 311    nificant limitations. Algorithm 1 requires a record of all queries, and their results must be obtainable  
 312    at any time to ensure it can use the appropriate queries to update the cache promptly. Thus, we  
 313    need a large amount of additional storage space to keep all the information about queries and their  
 314    results; however, this is not feasible in an online setting. In fact, this additional storage requirement  
 315    is unreasonable in real-world scenarios. On one hand, if we could store all the results of queries, the  
 316    cache would become unnecessary. On the other hand, Algorithm 1 needs to call the Oracle in each  
 317    round, which incurs a significant additional computational cost as the number of rounds increases,  
 318    especially in solving the NP-hard problems like the knapsack problem, such a high computational  
 319    cost is unsustainable.

320  
 321    **4.2 ONLINE STREAM CACHE BANDITS**  
 322

323    To address the issue of additional storage and computational cost, we propose an online version of  
 324    our policy (Algorithm 2).

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324   **Algorithm 1** Basic Batch Cache Bandits  
 325   **Require:**  
 326    $\mathcal{Q}_0 = \emptyset$ .  $T_0^{(p)}(q) = 0, q \in \mathcal{Q}$ .  $T_0^{(c)}(q) = 0, q \in \mathcal{Q}$ .  $\hat{P}_0(q) = 0, q \in \mathcal{Q}_t$ .  $\tilde{C}_0(q) = 0, q \in \mathcal{Q}$ .  
 327    $\hat{C}_0(q) = 0, q \in \mathcal{Q}$ .  $A(q) = 0, q \in \mathcal{Q}$ . Current cache  $cache_0 = \phi$ . The size of cache is  $M$ .  
 328  
 329   **Ensure:**  
 330   1: **for** round  $t = 1, \dots, T$  **do**  
 331   2:   A user arrive and select  $q_t$  sampled from  $\mathcal{Q}$  with the length of query is  $L(q_t)$   
 332   3:   **if**  $q_t \notin \mathcal{Q}_{t-1}$  **then**  
 333   4:      $\mathcal{Q}_t = \mathcal{Q}_{t-1} \cup \{q_t\}$   
 334   5:     Recording the output result of  $q_t$  when  $q_t$  is input into the LLM in the following.  
 335   6:   **else**  
 336   7:      $\mathcal{Q}_t = \mathcal{Q}_{t-1}$   
 337   8:   **end if**  
 338   9:      $T_t^{(p)}(q_t) = T_{t-1}^{(p)}(q_t) + 1$   
 339   10:    $T_t^{(p)}(q) = T_{t-1}^{(p)}(q), \forall q \neq q_t$   
 340   11:    $\hat{P}_t(q) = T_t^{(p)}(q)/t, \forall q \in \mathcal{Q}_t$   
 341   12:   **if**  $q_t \in \mathcal{M}_{t-1}$  **then**  
 342   13:     Output the result from cache  
 343   14:      $\hat{C}_t(q) = \hat{C}_{t-1}(q), \tilde{C}_t(q) = \tilde{C}_{t-1}(q), T_t^{(c)}(q) = T_{t-1}^{(c)}(q), \forall q \in \mathcal{Q}_t$   
 344   15:   **else**  
 345   16:     Agent input  $q_t$  into LLM and receive the result of query with length  $A(q_t)$ , then observe  
 346   17:     the cost  $c_t(q_t)$ .  
 347   18:      $\hat{C}_t(q_t) = \tilde{C}_{t-1}(q_t) + c_t(q_t), T_t^{(c)}(q_t) = T_{t-1}^{(c)}(q_t) + 1$   
 348   19:      $\hat{C}_t(q) = \hat{C}_{t-1}(q), \tilde{C}_t(q) = \tilde{C}_{t-1}(q), T_t^{(c)}(q) = T_{t-1}^{(c)}(q), \forall q \neq q_t$   
 350   20:      $\hat{C}_t(q) = \max\{c_1, \frac{\tilde{C}_t(q)}{T_t^{(c)}(q)} - (c_2 - c_1)\sqrt{\frac{2 \log(6TN/\delta)}{T_t^{(c)}(q)}}\}, \forall q \in \mathcal{Q}_t$   
 351   21:   **end if**  
 352   22:    $w_t = \{\hat{C}_t(q) \cdot \hat{P}_t(q)\}_{q \in \mathcal{Q}_t}, l_t = \{L(q) + A(q)\}_{q \in \mathcal{Q}_t}$   
 353   23:    $Oracle(\mathcal{Q}_t, w_t, l_t, M) \rightarrow \mathcal{M}_t$   
 354   24:   Putting those queries with result which belong to  $\mathcal{M}_t$  into cache from record.  
 355  
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358   **Stream-Based Cache Updates.** In the online model, the algorithm operates in a stream-based  
 359   manner, meaning it does not store all previous queries and their results. Instead, it maintains only the  
 360   sequence numbers and estimated values of the queries. Whenever the cache is updated, any queries  
 361   and their corresponding results that are removed from the cache are permanently cleared. As a result,  
 362   the algorithm can only access the queries currently in the cache and any newly arriving queries. It  
 363   may not be able to update the cache immediately based on the output of the oracle because the  
 364   required queries may have already been cleared due to replacement, necessitating the re-acquisition  
 365   of their results when they arrive next. This resembles a data stream, where the algorithm can only  
 366   see the content of newly arrived queries and cannot access the content of all queries in each round.  
 367   To solve this issue, we involve a recommended cache denoted by  $\hat{\mathcal{M}}$  to temporarily store the results  
 368   output by our Oracle at the current time.

369   When Algorithm 2 call the Oracle, our policy will not update cache immediately, instead it will first  
 370   get a recommended cache  $\hat{\mathcal{M}}_t$ , and clear out the current cache. In the following rounds, if a query  
 371   that belongs to the recommended cache arrives, it will miss the current cache and be input into the  
 372   LLM to get its result. Then, this query will be put into the current cache; otherwise, no update is  
 373   made to the cache, regardless of whether the current query hits. This process will repeat until the  
 374   next recommended cache update occurs. Under this replacement method, we do not need to store  
 375   all results of each queries, which satisfy the online model and be more reasonable.

376   **Reducing computation.** To reduce the additional computational cost, we establish a special pro-  
 377   tocol which only call the Oracle in the round that is the power of 2, this protocol can reduce the  
 378   computational cost of calling Oracle from  $O(T)$  to  $O(\log T)$ .

378 Although the number of cache misses seems to increase due to the lack of timely updates in the  
 379 online mode and the reduction of Oracle calls to minimize computational overhead, we can show  
 380 that the deterioration of the overall algorithm is not significant. Unlike traditional bandit algorithms,  
 381 the update for the estimation of a query cost occurs only when the query does not hit the cache.  
 382 Therefore, if the number of misses increases in the previous round, the estimation of the query will  
 383 become more precise afterward, leading the knapsack returned by the oracle to gradually approach  
 384 the optimal knapsack. In other words, the more misses there are, the more precise the query estima-  
 385 tion will be. In the same time, the times of clear operation will be bound by  $O(\log T)$ , which further  
 386 bound the number of missing.

387 Algorithm 2 balances the computational cost and the convergence speed. In the batch version,  
 388 the current cache updates immediately to the optimal cache when the oracle provides the optimal  
 389 knapsack. However, it needs to call the oracle in each round to ensure the algorithm knows the  
 390 optimal solution in a timely manner, which leads to an additional computational cost of  $O(T)$ . In  
 391 the online version, we do not need to call the Oracle in each round, and we can demonstrate that the  
 392 regret is still bounded by  $\tilde{O}(\sqrt{T})$ .

### 393 4.3 REGRET ANALYSIS

395 For the knapsack problem, let  $\ell(M, \mathcal{Q}) = \max_{\mathcal{M} \in \mathfrak{J}} |\mathcal{M}|$  represent the maximum number of queries  
 396 that the optimal knapsack can contain with capacity  $M$ , where  $\mathcal{Q}$  is the query set with associated  
 397 values and weights. Then, in our setting,  $\ell(M, \mathcal{Q})$  gives the maximum number of query that the  
 398 cache can store. We have the following regret guarantee for our algorithms:

400 **Theorem 1.** *For the Basic Batch Cache Bandits algorithm (Algorithm 1), assuming sufficient mem-  
 401 ory space to store results of all queries, and setting  $\delta = 1/T$ , the regret is upper bounded by*

$$402 \quad 403 \quad \text{Reg}(T) \leq O\left(\frac{\ell(M, \mathcal{Q})}{p^*} \sqrt{T} \log^{\frac{3}{2}}(TN)\right) \quad (6)$$

405 where  $p^* = \min_{q \in \mathcal{Q}} P(q)$  is the minimum popularity frequency among all queries. The computa-  
 406 tional cost is  $Com \cdot T$ , where  $Com$  is the cost of running the Oracle. Specifically,  $Com = O(MN)$   
 407 if a dynamic programming algorithm is used.

408 **Theorem 2.** *For Online Stream Cache Bandits algorithm (Algorithm 2), without additional memory  
 409 space, we set  $\delta = 1/T$ , then with  $O(\log T)$  additional computational cost, the regret can be bounded  
 410 by*

$$412 \quad 413 \quad \text{Reg}(T) \leq (c_2 + \log T)T_0 + \frac{128\ell(M, \mathcal{Q})c_2}{p^*} \log^{\frac{3}{2}}(6TN) \sqrt{T \log T} \quad (7)$$

$$414 \quad 415 \quad \leq O\left(\frac{\ell(M, \mathcal{Q})}{p^*} \sqrt{T} \log^2 NT\right) \quad (8)$$

416 where  $p^* = \min_{q \in \mathcal{Q}} P(q)$  is the minimum popularity frequency of all queries and  $T_0 =$   
 417  $\max\left(\frac{32}{p^*} \log TN + \frac{4}{p^*}, \left\lceil \frac{2\ln(3TM)}{p^*} \right\rceil\right)$ . On the other hand, for any caching policy  $\{\mathcal{M}_t\}_{t=1}^T$ , there  
 418 exist some cases of  $P(q), C^*(q)$  such that for some universal constant  $C'$

$$421 \quad 422 \quad \text{Reg}(T) \geq C' \sqrt{T} \quad (9)$$

423 This proof is deferred to Appendix. Based on the theorems presented above, we demonstrate that  
 424 Online Stream Cache Bandits achieves the same order of regret,  $\tilde{O}(\sqrt{T})$ , as Basic Batch Cache  
 425 Bandits, but with a lower computational cost. In the work of Zhu et al. (2024), a lower bound of  
 426  $\tilde{O}(\sqrt{T})$  is established. Our work extends their findings; consequently, the lower bound in our setting  
 427 is naturally larger than theirs, making the proof of our lower bound evident. The regret established  
 428 above conforms to  $\tilde{O}(\sqrt{T})$ , indicating that our work provides an optimal solution in relation to  $T$ .

429 Theorem 2 in Zhu et al. (2024) can be viewed as a special case in our setting, where each query has  
 430 the same length. If we assume popularity follows a uniform distribution, the order of our regret over  
 431 the time horizon  $T$  will be  $O(MN\sqrt{T})$ , which aligns with the bound presented in Zhu et al. (2024).

---

432           **Algorithm 2** Online Stream Cache Bandits

433           **Require:**

434            $\mathcal{Q}_0 = \phi$ .  $T_0^{(p)}(q) = 0, q \in \mathcal{Q}$ .  $T_0^{(c)}(q) = 0, q \in \mathcal{Q}$ .  $\hat{P}_0(q) = 0, q \in \mathcal{Q}_t (\sum_{q \in \mathcal{Q}} P(q) = 1)$ .

435            $\tilde{C}_0(q) = 0, q \in \mathcal{Q}$ .  $\hat{C}_0(q) = 0, q \in \mathcal{Q}$ .  $A(q) = 0, q \in \mathcal{Q}$ .  $cache_0 = \phi$ .  $\hat{cache}_0 = \phi$ . Calling

436           flag  $i = 0$ . The size of cache is  $M$ .

437           **Ensure:**

438           1: **for** round  $t = 1, \dots, T$  **do**

439           2:    A user arrive and select  $q_t$  sampled from  $\mathcal{Q}$  with the length of query is  $L(q_t)$

440           3:    **if**  $q_t \notin \mathcal{Q}_{t-1}$  **then**

441           4:       $\mathcal{Q}_t = \mathcal{Q}_{t-1} \cup \{q_t\}$

442           5:    **else**

443           6:       $\mathcal{Q}_t = \mathcal{Q}_{t-1}$

444           7:    **end if**

445           8:       $T_t^{(p)}(q_t) = T_{t-1}^{(p)}(q_t) + 1$

446           9:       $T_t^{(p)}(q) = T_{t-1}^{(p)}(q), \forall q \neq q_t$

447           10:      $\hat{P}_t(q) = T_t^{(p)}(q)/t, \forall q \in \mathcal{Q}_t$

448           11:     **if**  $q_t \in \mathcal{M}_{t-1}$  **then**

449           12:      Output the result from cache

450           13:       $\hat{C}_t(q) = \hat{C}_{t-1}(q), \tilde{C}_t(q) = \tilde{C}_{t-1}(q), T_t^{(c)}(q) = T_{t-1}^{(c)}(q), \forall q \in \mathcal{Q}_t$

451           14:    **else**

452           15:      Agent input  $q_t$  into LLM and receive the result of query with length  $A(q_t)$ , then observe  
the cost  $c_1 \leq C_t(q_t) \leq c_2$ .

453           16:       $\tilde{C}_t(q_t) = \tilde{C}_{t-1}(q_t) + c_t(q_t), T_t^{(c)}(q_t) = T_{t-1}^{(c)}(q_t) + 1$

454           17:       $\hat{C}_t(q) = \hat{C}_{t-1}(q), \tilde{C}_t(q) = \tilde{C}_{t-1}(q), T_t^{(c)}(q) = T_{t-1}^{(c)}(q), \forall q \neq q_t$

455           18:       $\hat{C}_t(q) = \max\{c_1, \frac{\tilde{C}_t(q)}{T_t^{(c)}(q)} - (c_2 - c_1)\sqrt{\frac{2 \log(6TN/\delta)}{T_t^{(c)}(q)}}\}, \forall q \in \mathcal{Q}_t$

456           19:    **end if**

457           20:    **if**  $q_t \in \hat{\mathcal{M}}_{t-1}$  and  $q_t \notin \mathcal{M}_{t-1}$  **then**

458           21:      Put  $q_t$  and its process result which is from LLMs into cache.

459           22:       $\mathcal{M}_t = \{q_t\} \cup \mathcal{M}_{t-1}$

460           23:    **end if**

461           24:    **if**  $t = 2^i$  **then**

462           25:       $\mathbf{w}_t = \{\hat{C}_t(q) \cdot \hat{P}_t(q)\}_{q \in \mathcal{Q}_t}, \mathbf{l}_t = \{L(q) + A(q)\}_{q \in \mathcal{Q}_t}$

463           26:       $Oracle(\mathcal{Q}_t, \mathbf{w}_t, \mathbf{l}_t, M) \rightarrow \hat{\mathcal{M}}_t$

464           27:      Clearing out the current cache  $\mathcal{M}_t$

465           28:       $(\mathcal{M}_t = \mathcal{M}_{t-1} \cap \hat{\mathcal{M}}_t)$

466           29:       $i = i + 1$

467           30:    **else**

468           31:       $\hat{\mathcal{M}}_t = \hat{\mathcal{M}}_{t-1}$

469           32:    **end if**

470           33: **end for**

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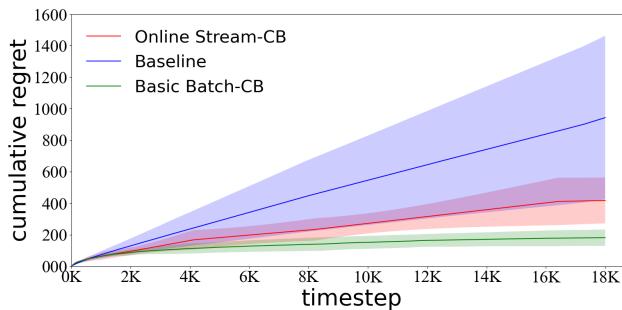
## 475    5 EXPERIMENT

### 477    5.1 SIMULATION DATASET

479    We conduct synthetic online experiments to evaluate our algorithm. In Fig.1, we compare performance  
480    of our algorithms and the baseline using cumulative regret in online learning with a simulated  
481    dataset. We use the method described in the appendix of Zhu et al. (2024) as a baseline, as it is the  
482    only algorithm we could find that is applicable to this issue. We consider 20 distinct queries and set  
483    the cache size to be 20. For the ground-truth, we model the popularity distribution as power distribution  
484    with  $\alpha = 0.5$  and the expected of cost for each query is sample from a uniform distribution support  
485    on  $[0.1, 1]$ . We repeat the simulation 10 times and plot the mean and standard deviation in  
the figure. The cost for each query in each round is sampled from a truncated normal distribution

486 with a mean equal to the expected value generated above. We employ a dynamic programming  
 487 algorithm as our Oracle.  
 488

489 As shown in Fig.1, both Basic Batch Cache Bandits and Online Stream Cache Bandits outperform  
 490 baseline. This improvement can be attributed to the fact that the method in Zhu et al. (2024) provides  
 491 an approximate solution to the knapsack problem (Zhou et al., 2008), which poses a risk of falling  
 492 into sub-optimal solutions. In contrast, our algorithm circumvents this issue by utilizing the Oracle  
 493 for the knapsack problem. We observe that Online Stream Cache Bandits performs worse than Basic  
 494 Batch Cache Bandits. This discrepancy is due to the fact that Basic Batch Cache Bandits can be up-  
 495 dated more promptly, allowing it to converge faster. Although Basic Batch Cache Bandits exhibits  
 496 lower regret, as mentioned in Section 4, it requires substantial additional memory and computa-  
 497 tional resources, which may be unrealistic in real-world scenarios. Conversely, the Online Stream  
 498 Cache Bandits algorithm achieves similar regret to Basic Batch Cache Bandits while avoiding these  
 499 significant additional costs.



510 Figure 1: Synthetic dataset with 18000 rounds  
 511  
 512

## 513 5.2 REAL DATASET

514 In line with Zhu et al. (2024), we evaluate our algorithms using the OpenAssistant (Köpf et al., 2023)  
 515 dataset for the chat assistant task. For this task, we employ the FastChat-T5-3B (Zheng et al., 2023)  
 516 model to implement our online algorithm, utilizing inference latency as the cost metric. We run our  
 517 algorithm with 100 distinct queries in the online setting over a total of 40,000 and 140,000 rounds,  
 518 respectively, across three trials, with a cache length set to 100. Since our work focuses on cache  
 519 selection, the quality of the responses is not a primary concern. As shown in Tab.1, our method  
 520 reduced the cost by 12.4% and 12.8% at 40,000 and 140,000 rounds, respectively, compared to the  
 521 baseline. After a sufficient number of online learning steps, the Oracle accurately learns the costs  
 522 and frequencies of each query within this finite query pool, enabling Online Stream Cache Bandits  
 523 to outperform the baseline algorithm.

525 Table 1: Cumulative cost on real dataset  
 526

algorithm	cost(40000)	cost(140000)
Baseline	6501.6	22602.6
Online Stream Cache Bandits	5692.5	19708.3

## 531 532 533 6 CONCLUSION

534 In this work, we explore a more generalized model applicable to the cache of Large Language  
 535 Models (LLMs). We address the issue of variable-size caching and propose a streaming online  
 536 algorithm to minimize additional overhead, demonstrating that our method performs better compared  
 537 to previous work. Additionally, we provide a theoretical guarantee of  $\tilde{O}(\sqrt{T})$  for our algorithm.  
 538 By considering the knapsack problem to tackle the heterogeneous sizes of queries in real-world  
 539 applications, we believe that this extension of cache will have a broader range of applications.

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