

# HW7

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3/24/2020

```
library(readxl)
library(tidyverse)
library(glmnet)
library(caret)
library(compositions)
```

## Problem 1

**In one sentence, explain what the point of this study is**

The study finds the relationship between composition of intestinal microbial communities and enteric infections and ways for prevention strategies.

**In one sentence, report the major finding of this study.**

The intestinal microbial communities of patients have more Proteobacteria representing genus Escherichia relative to communities of healthy family members, which were dominated by Bacteroides and Firmicutes.

**What type of study is this: a) Cohort, b) Cross-sectional, c) Case-control**

It is a case-control study.

**What population were the data in this study sampled from?**

The population were sampled from patients with enteric infections at four participating hospitals and the Michigan Department of Health and Human Services (MDHHS).

## Problem 2

**How many subjects were in your final merged dataset?**

The dimension of final merged dataset is (267, 256). There are 267 subjects in the merged dataset.

## How many OTUs did you remove, and which ones?

I removed 1 OTU (k\_\_Bacteria;p\_\_Proteobacteria;c\_\_Alphaproteobacteria;o\_\_Rhizobiales;f\_\_Phyllobacteriaceae;g\_\_Phyl

## How many OTUs were in your final merged dataset?

There are 252 OTUs in the merged dataset.

```
Add_4 = read_excel('40168_2015_109_MOESM4_ESM.xlsx')
Add_5 = read_excel('40168_2015_109_MOESM5_ESM.xlsx')

colnames(Add_5)[1] = 'SampleID'
removed = c()
for (i in 2:ncol(Add_5)){
  if (var(Add_5[, i]) == 0){
    removed = append(removed, colnames(Add_5)[i])
  }
}
Add_5_new = Add_5[, !(names(Add_5) %in% removed)]
merged = inner_join(Add_4, Add_5_new, by='SampleID')
```

## Problem 3

### estimated testing error

The estimated testing error is 0.8224294.

### true testing error

The true testing error is 0.1791045.

## How many OTUs are used in the final prediction rule?

There are 37 OTUs used in the final prediction rule.

## Which OTU seems to have the biggest effect?

"k\_\_Bacteria;p\_\_Firmicutes;c\_\_Clostridia;o\_\_Clostridiales;f\_\_Eubacteriaceae;g\_\_Anaerofustis" has the biggest negative effect of 1773.992 on the prediction.

```
set.seed(123)
LASSO = function(data){
  train_idx <- sample(1:nrow(data), nrow(data) * 0.75)
  train <- merged[train_idx, ]
  test <- merged[-train_idx, ]
}
```

```

lasso <- cv.glmnet(x = data.matrix(train[,5:ncol(train)]),
                  y = as.factor(train$Status),
                  nfolds = 5,
                  family = "binomial")

## estimated testing error from cross-validation
print(lasso)
cat('estimated testing error:', min(lasso$cvm), '\n')

## predictions
pred <- predict(lasso,
                newx = data.matrix(test[,5:ncol(test)]),
                s = "lambda.min",
                type = "class")

## true testing error
cat('true testing error:', mean(as.character(pred) != as.character(test$Status)), '\n')

mycoef = coef(lasso, s = "lambda.min")
max_coef = max(abs(mycoef))
max_index = which(abs(mycoef) == max_coef)
cat('max_coef:', max_coef, '\n')
cat('OTU with the biggest effect:', mycoef@Dimnames[[1]][max_index], '\n')
}
LASSO(merged)

```

```

##
## Call: cv.glmnet(x = data.matrix(train[, 5:ncol(train)]), y = as.factor(train$Status), nfolds =
##
## Measure: Binomial Deviance
##
##      Lambda Measure      SE Nonzero
## min 0.02266  0.8224 0.11077      37
## 1se 0.10516  0.9312 0.03808      10
## estimated testing error: 0.8224294
## true testing error: 0.1791045
## max_coef: 1773.992
## OTU with the biggest effect: k__Bacteria;p__Firmicutes;c__Clostridia;o__Clostridiales;f__Eubacteriac

```

## Problem 4

### estimated testing accuracy

The estimated testing accuracy of the optimal tuning parameter using 5-fold cross-validation is 0.8849312 with parameters (mtry = 127, splitrule = extratrees and min.node.size = 1).

### true testing error

The true testing error is 0.1044776.

## Which algorithm performed better, the lasso, or random forest?

Random forest is better in case it has lower testing error ( $0.1044776 < 0.1791045$ ).

```
set.seed(123)
RF = function(data){
  train_idx <- sample(1:nrow(data), nrow(data) * 0.75)
  train <- merged[train_idx, ]
  test <- merged[-train_idx, ]

  train_small <- train[, c(2, 5:ncol(train))]
  test_small <- test[, c(2, 5:ncol(test))]
  rf <- train(Status ~ .,
              data = train_small,
              method = "ranger",
              trControl = trainControl(method = "cv",
                                       number = 5))

  ## estimated testing accuracy from cross-validation
  print(rf)

  ## predictions
  pred <- predict(rf, test_small)

  cat('true testing error:', mean(as.character(pred) != as.character(test$Status)))
}
RF(merged)
```

```
## Random Forest
##
## 200 samples
## 252 predictors
## 2 classes: 'Healthy', 'Patient'
##
## No pre-processing
## Resampling: Cross-Validated (5 fold)
## Summary of sample sizes: 159, 161, 159, 160, 161
## Resampling results across tuning parameters:
##
##  mtry  splitrule  Accuracy  Kappa
##    2    gini      0.8000219  0.3265035
##    2  extratrees  0.7351188  0.0000000
##   127    gini      0.8645528  0.6552090
##   127  extratrees  0.8849312  0.7069344
##   252    gini      0.8646748  0.6475092
##   252  extratrees  0.8800532  0.6898816
##
## Tuning parameter 'min.node.size' was held constant at a value of 1
## Accuracy was used to select the optimal model using the largest value.
## The final values used for the model were mtry = 127, splitrule = extratrees
## and min.node.size = 1.
## true testing error: 0.1044776
```

## Problem 5

### LASSO

#### estimated testing error

The estimated testing error is 0.8638855.

#### true testing error

The true testing error is 0.1641791.

#### How many OTUs are used in the final prediction rule?

There are 18 OTUs used in the final prediction rule.

#### Which OTU seems to have the biggest effect?

"k\_\_Bacteria;p\_\_Bacteroidetes;c\_\_Bacteroidia;o\_\_Bacteroidales;f\_\_Rikenellaceae;g\_\_AF12" has the biggest negative effect of 110.98 on the prediction.

### Random Forest

#### estimated testing accuracy

The estimated testing accuracy of the optimal tuning parameter using 5-fold cross-validation is 0.8901032 with parameters (mtry = 127, splitrule = extratrees and min.node.size = 1).

#### true testing error

The true testing error is 0.07462687.

#### Which algorithm performed better, the lasso with transformed, or random forest with transformed?

Random forest with transformed is better ince it has lower testing error ( $0.07462687 < 0.1641791$ ).

#### Which algorithm was best?

Random forest with transformed is best since it has the lowest testing error.

```
clr_merged = clr(merged[5:ncol(merged)])
new_merged = merged
new_merged[5:ncol(merged)] = clr_merged
LASSO(new_merged)
```

```

##
## Call:  cv.glmnet(x = data.matrix(train[, 5:ncol(train)]), y = as.factor(train$Status),      nfolds =
##
## Measure: Binomial Deviance
##
##      Lambda Measure      SE Nonzero
## min 0.0525  0.8639 0.11156      18
## 1se 0.1007  0.9713 0.05883      8
## estimated testing error: 0.8638855
## true testing error: 0.1641791
## max_coef: 110.98
## OTU with the biggest effect: k__Bacteria;p__Bacteroidetes;c__Bacteroidia;o__Bacteroidales;f__Rikenel
RF(new_merged)

## Random Forest
##
## 200 samples
## 252 predictors
## 2 classes: 'Healthy', 'Patient'
##
## No pre-processing
## Resampling: Cross-Validated (5 fold)
## Summary of sample sizes: 160, 159, 160, 161, 160
## Resampling results across tuning parameters:
##
##  mtry  splitrule  Accuracy  Kappa
##  2     gini      0.7751939  0.2242499
##  2     extratrees 0.7300594  0.0000000
## 127    gini      0.8698468  0.6590798
## 127    extratrees 0.8901032  0.7086537
## 252    gini      0.8698405  0.6596356
## 252    extratrees 0.8849750  0.6903133
##
## Tuning parameter 'min.node.size' was held constant at a value of 1
## Accuracy was used to select the optimal model using the largest value.
## The final values used for the model were mtry = 127, splitrule = extratrees
## and min.node.size = 1.
## true testing error: 0.07462687

```