

OPC Unified Architecture

Specification

Part 7: Profiles

Release 1.02

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UNIFIED ARCHITECTURE –

FOREWORD

This specification is the specification for developers of OPC UA applications. The specification is a result of an analysis and design process to develop a standard interface to facilitate the development of applications by multiple vendors that shall inter-operate seamlessly together.

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Revision 1.2 Highlights

The following table includes the Mantis issues resolved with this revision.

Mantis ID	Summary	Resolution
0575	<i>Discovery Register Conformance Unit must be Removed</i>	Fixed
0940	Change the "10 Session Minimum" in "Core Server Facet"	<p>Standard UA <i>Server</i> (50-sessions) +- Embedded UA <i>Server</i> (10-sessions) +- Micro Embedded Device <i>Server</i> (5-sessions) +- Nano Embedded Device <i>Server</i> (1-session)</p> <p>Security would be optional for Micro and Nano <i>Server Profiles</i> (it is presently optional for Micro).</p> <p>Enhanced Datachange <i>Subscription Server</i> (500-Monitor Items, 5-Subscriptions, 10-Publish) +- Standard Datachange <i>Subscription Server</i> (100-Monitor Items, 2-Subscriptions, 5-Publish) +- Embedded Datachange <i>Subscription Server</i> (10-Monitor Items, 1-Subscriptions, 2-Publish)</p>
0942	Renaming "Low End Embedded Device Server" <i>Profile</i>	Created new <i>Profile</i> for "Micro Embedded Device <i>Server</i> " and set lowend as obsolete
1086	*** A & C Exclusive Alarming Facet wrong description?	Fixed description
1087	*** A & C <i>Alarm Facet</i> -- Change description	Fixed description
1089	*** A & C Simple Facet needs to have a dependency on <i>Event Subscription Server Facet</i>	Added dependency, also added new item for Audit events
1090	*** A & C Previous Instances Facet has no <i>ConformanceUnits</i>	Added <i>ConformanceUnits</i>
1091	*** A & C Address Space Notifier Facet - Remove "A&C" from this facet and its <i>ConformanceUnits</i>	Updated <i>Profile</i> and <i>ConformanceUnits</i>
1094	Audit Facet needs a reference to basic eventing and/or basic subscription	Updated audit <i>Profile</i> to include event subscription <i>Profile</i> , also updated event subscription <i>Profile</i> to include Address Space <i>Event ConformanceUnit</i> (Can't subscribe to events is the system does not have at least one object with a notifier)
1096	Previous Instances Facet must not include the <i>Alarm Facet</i>	Fixed - added text that previous does require some user action
1221	Need a <i>ConformanceUnit</i> just for custom types	<i>ConformanceUnit</i> "Base Info Custom Type System" was added and this <i>ConformanceUnit</i> was then added to the Micro Embedded <i>Server Profile</i>
1252	Embedded DataChange <i>Subscription Server Facet</i> does not limit the queue for Republish	<p>Updated <i>ConformanceUnits</i></p> <ul style="list-style-type: none"> - Republish queue overflow event discard oldest. - Conformance unit to check if this happens - also add text for minimum republish queue to be same as publish queue size
1296	A&C Exclusive <i>Alarm Profile</i>	Updated the limit <i>ConformanceUnit</i> for both exclusive and non-exclusive to clearly state that one of the sub types must be included when testing this <i>ConformanceUnit</i> . Updated the Exclusive server facet to mark the Level, Deviation and Rate of Change alarms as optional to match what the non-exclusive alarming facet has configured

Mantis ID	Summary	Resolution
1297	Enable/Disable requires support for Instances. Links must be added to <i>Profiles</i>	The enable <i>Profile</i> facet has been updated to include the text that state that instance are required to be able to invoke the disable/enable method on a disabled event. Also added the instance <i>ConformanceUnit</i> to the <i>Profile</i>
1298	Address Space Notifier Facet must require the HasNotifier reference be implemented.	Updated <i>ConformanceUnit</i> that deals with the HasNotifier to indicate that a tree of has notifiers must be constructed for this <i>ConformanceUnit</i>
1299	All AC <i>Profiles</i> need a <i>Server</i> in the title	Fixed Names
1300	Add an AcknowledgeableAlarm Facet	Removed the acknowledge and confirm <i>ConformanceUnits</i> from the "A&C Alarm server facet" Added a new <i>Profile</i> "A&C Acknowledgeable Alarm Server Facet". This facet include the "A&C Alarm Server Facet" and adds the acknowledge and confirm <i>ConformanceUnits</i> back in.
1302	Changes to A&E Wrapper <i>Profile</i>	This issue has been fixed as follows 1) Monitored items has been reduced to 2 to allow smaller wrapper to exist (the OPC Foundation .net wrapper still has a limit of 10, but exceeding a <i>ConformanceUnit</i> is acceptable. 2) Moved to own Mantis Issue 3) Moved to own Mantis Issue 4) The <i>Subscription</i> min and Publish min have been reset to 1 and 2 respectively (again to allow for smaller wrappers to exist) 5) Acknowledgement was updated to be listed as optional - some A&E server that are being wrapped may not support Acknowledgement Added additional text to <i>Profile</i> description to explain functionality differences and the mapping between OPC UA and OPC Classic
1316	Monitor Queue Size for Events	Created a new <i>ConformanceUnit</i> indicating that <i>Event</i> Subscriptions are required to be sized to server max. Added the new <i>ConformanceUnit</i> to the standard event subscription facet. This facet is then included in several A & C related Facets. Also removed the prior queue size requirement that had been included in the Standard <i>Event Subscription</i> Facet.
1317	Complex <i>Event</i> Filter text needs updating	Updated text to better list Operands and Operators
1324	A&C Exclusive and Non-Exclusive <i>Client Profiles</i>	Updated to make deviation, rate of change and level optional on both exclusive and non-exclusive
1368	Clarify the support and use of message security mode by use of specific <i>ConformanceUnits</i>	Updated to include descriptions of the use of the security policy and that the choice of security policies that are exposed is configurable.
1434	Define facets / units for unreliable clocks	Added <i>ConformanceUnits</i> related to Time Synchronization and a facet
1488	RefreshData method	Conformance Unit for RefreshData method was removed. This method does not exist and it was decided that it should not exist. Resulted in updated to Redundant <i>Client</i> Facet
1491	Different issues regarding Redundancy <i>Client</i> Facets	Added description for "Redundancy Switch <i>Client</i> Facet" <i>Profile</i> Added TransferSubscription Conformance Unit to Redundant <i>Client</i> Facet as optional <i>ConformanceUnit</i> , since already released. Also added note that in the future this will be required
1499	Add Conformance Unit and <i>Profiles</i> for HTTPS Mapping	Added new <i>ConformanceUnits</i> for HTTPS TLS support (UA binary and XML) Add new two new transport <i>Profiles</i> for HTTPS/TLS
1537	Clarification of DeadbandAndAbsolute support	Added new <i>ConformanceUnit</i> for Deadband filter Added to Standard DataChange <i>Subscription Server</i> Facet
1550	Clarifications regarding connection problems to underlying system	Added <i>ConformanceUnits</i> for new event to Standard <i>Event Server Subscription</i> Facet.

Mantis ID	Summary	Resolution
1626	Information missing in ServerCapabilities about maximum supported array length and string length	Added <i>ConformanceUnit</i> to check ServerCapabilities MaxArrayLength MaxStringLength MaxNodesPerRead MaxNodesPerWrite MaxNodesPerSubscription Added to Core <i>Server</i> functionality <i>Profile</i> as optional <i>ConformanceUnit</i> , and recommendation that in the future it may no longer be optional.
1627	Handling of <i>MonitoredItem</i> changes in short network interruption scenarios	Added new <i>ConformanceUnit</i> for "GetMonitoredItems" <i>Method</i> to standard subscription <i>Profile</i> as an optional <i>ConformanceUnit</i> . Updated description to of <i>Profile</i> to include that the optional <i>ConformanceUnit</i> is highly recommended and that in a future revision of the <i>Profile</i> it will become mandatory, that it is optional only for backward compatibility.
1640	Define: Windows based authentication between <i>Client/Server</i> (Kerberos)	Added new <i>ConformanceUnits</i> and <i>Profiles</i> , including a generic <i>Profile</i> for issued token and then one for windows implementation. Updated exiting <i>Profile</i> to reference the new <i>Profile</i> instead of the <i>ConformanceUnits</i>
1647	Need to Fix <i>References</i> to Remove Version Numbers	Fixed
1707	CU: Security User X509 - description clarification	Updated text as requested
1708	CU: Security User Issued Token Kerberos - description clarification	Updated <i>ConformanceUnits</i> to have better wording as requested. Removed URL reference
1713	Add GeneratesProgressEvents to ServerCapabilities	Added <i>Conformance</i> Units for support to Standard <i>Event Server Subscription</i> Facet.
1760	"Security User Anonymous" <i>ConformanceUnit</i> needed	Added new User Token Facet that supports Anonymous access, including required <i>ConformanceUnit</i>
1761	"Security None" encryption correction	Updated text to be clearer
1762	Rename CU "Security User CreateSession ActivateSession" to "Security User Application Certificates"	The text of the <i>ConformanceUnit</i> has been updated to reflect what is required with regard to security None and creation or activation of a session. An additional security <i>ConformanceUnit</i> was defined for certificate handling.
1783	Make "Security Administration" OPTIONAL in "Core Server Facet"	Updated Core <i>Server</i> Facet to list security Administration as Optional Updated Full Feature UA <i>Server Profile</i> to make it mandatory Updated Standard UA <i>Server Profile</i> to make it mandatory Updated Embedded UA <i>Server Profile</i> to make it mandatory Left it as optional in Micro Embedded and Nano Embedded
1784	Add "Anonymous" to the "Security Administration" CU description	Added Anonymous <i>ConformanceUnit</i> to security Administration Facet
1817	Require that clients allow any individual server to be configured to use a single session	Added conformance unit for single session added new profile that include core functionality and single session conformance unit Added <i>ConformanceUnit</i> to indicate that a client can be configured to use only a single session Two facets exist, one for single session other for multiple session, they both only have a single conformance unit that reflect the single or multiple aspect of the sessions
1826	Resolution to Mantis issue 960 causes significant increase in RAM requirements for Embedded DataChange <i>Subscription Server</i> Facet	Updated text to indicate that Embedded DataChange does not buffer

Mantis ID	Summary	Resolution
1832	Problem with key length larger 2048 bits	Added text to existing facet to indicate MaxAsymmetricKeyLength is 2048 and also created new security policy that allow for MaxAsymmetricKeyLength > 2048. These would only be available on new server/clients.
1873	Rules for URIs	We should define some rules for URIs or at least examples and best practice for different use cases. Updated text in document template to describe the <i>Profile</i> table including the URI field
1874	Secure by default	The current (1.02 draft) makes it clear that anonymous user access must be disabled by default. We need to add a similar requirement for application level security, i.e. Security Policy NONE must not be allowed by default (if other security policies are supported). Added text to indicate that this security policy should be disable by default if any other security policies are available
1928	Default ApplicationInstanceCertificate	A <i>ConformanceUnit</i> should exist that defines that an application must have a default ApplicationInstanceCertificate. This ApplicationInstanceCertificate may be created as part of the installation of the application or it may be created as part of explicit instructions that are provided with the application.
1973	"User Access" <i>ConformanceUnit</i> needed	Added <i>ConformanceUnits</i> for access control, including the addition of a new Facet indicate if a server supports User Access Control. Added <i>ConformanceUnits</i> for UserWriteMask and WriteMask
1975	Add optional EngineeringUnits property	Added to core server facet as an optional <i>ConformanceUnit</i>
1976	Cardinality	Added <i>ConformanceUnit</i> for OptionalPlaceholder and MandatoryPlaceholder, Add to Base as optional
1977	Add wording to Describe the Intent that NodeIds survive reboot	Updated <i>ConformanceUnit</i> for Address Space Base to include a description of this (It has always been assumed that this was the case, now it is just explicitly stated)
1978	Add properties MaxArrayDimensions and MaxStringLength	Added <i>ConformanceUnit</i> and added to Base Info <i>Server Capabilities</i>
1980	Additional enumeration requirements	Core <i>Server</i> Facet has Base Info ValueAsText conformance unit (optional since addition to base)
1981	Add new <i>VariableType</i> OptionSet need to be fixed in Part 5	Added <i>ConformanceUnit</i> for OptionSet consists of an array of { Bit#, Label/Name } Add as Option to base
1982	Support for File Transfer	Added <i>ConformanceUnits</i> for <i>Server</i> support including reads (minimum user access control) <i>Server</i> support including writes (multilevel user access control) <i>Client</i> access to receive a file from the <i>Server</i> <i>Client</i> access to transfer a file to the <i>Server</i>
1984	Standard place in the address space referencing supported engineering unit systems	Added EUInformation conformance unit and added to Embedded <i>Server Profile</i>
2089	change operand into operator	Fixed the description of the profile and the description in the corresponding conformance unit as noted

Mantis ID	Summary	Resolution
2127	User Token X509 <i>Certificate</i> Facet Added to Micro Embedded Device <i>Server Profile</i>	Removed it from this <i>Profile</i> and added it to the next level. It was an optional <i>ConformanceUnit</i> in this <i>Profile</i> , but the <i>ConformanceUnit</i> became a Facet and a Facet cannot be optional (it does not make sense, since a Facet can be exposed on its own). The next level (Embedded) requires Security and requires this Facet be supported.
2139	Integration of some ADI data types into base specification	Add a conformance unit that says that support for <i>ArrayItemType</i> or one of its subtypes Added <i>ConformanceUnit</i> for <i>ArrayItemTypes</i> (and subtypes) including properties such as <i>AxisInformation</i> Added <i>Conformance Unit</i> for the new Data types (<i>ComplexType</i> and <i>DoubleComplexType</i>) Added to data Access server facet
2161	Need to add a Basic256Sha256 <i>Profile</i> to the base set of <i>Profiles</i> tested.	Added new profile and conformance units for: "TLS 1.2" for the HTTPS transport "Basic256SHA256" for the other transports
2181	<i>SystemStatusChangeEvent</i> type also reflects Underlying system status	Added <i>ConformanceUnit</i> Base Info System Status underlying system
2193	Need to mention configuration option for user authentication only (accept all certificates)	Added <i>ConformanceUnit</i> made it Optional in Core <i>Profile</i> .
2208	Security <i>Profiles</i> should list the NIST expiration date	Updated text in security policies
2242	Security behaviours	Added multiple Best Practice <i>ConformanceUnits</i> and Facets to cover all items listed in Part 2 as best practice.
2243	Documentation conformance units	Added new server and client profiles and 5 conformance units to cover all aspects of documentation
2249	Rename "Address Space <i>UserWriteAccess</i> " to "Address Space <i>UserWriteMask</i> "	Updated title of conformance units to be consistent
2250	<i>WriteMask</i> * CUs need to differentiate/include text comparing <i>WriteAccess</i> to the * <i>WriteMask</i> attributes	Updated the access level conformance units and write mask conformance units. Write mask deals with other attribute in all node classes. Access level only deals with the variable mask for the variable value field.
2299	Address Space Source Hierarchy currently includes the <i>HasCondition</i> reference type	Updated the wording to indicate that <i>HasCondition</i> is only provided if conditions are supported.
2300	"Base Info <i>Property Change</i> " and the <i>PropertyChangeEvent</i> text	fixed the name of the event to <i>SemanticChangeEvent</i> (was renamed). Also added additional text to conformance unit
2349	<i>Profile</i> document updates	Performed updates as described
2350	Add Historical Access for Data to <i>Profiles</i> Document	Added section
2351	Add Historical Access for Events to <i>Profiles</i> document	Added section
2352	Add Aggregates section to <i>Profiles</i> Document	Added section
2353	Add <i>Alarm</i> Information model to <i>Profiles</i> Document	Added section
2354	Add <i>Discovery Server</i> Items to the <i>Profiles</i> Document	Added section
2355	Add Redundancy to <i>Profiles</i> Document	Added section

OPC Unified Architecture Specification

Part 7: Profiles

1 Scope

This document describes the OPC Unified Architecture (OPC UA) *Profiles*. The *Profiles* in this part are used to segregate features with regard to testing of OPC UA Products and the nature of the testing (tool based or lab based). This includes the testing performed by the OPC Foundation provided OPC UA CTT (a self-test tool) and by the OPC Foundation provided Independent Certification Test Labs. This could equally as well refer to test tools provided by another organization or a test lab provided by another organization. What is important is the concept of automated tool based testing versus lab based testing. The scope of this standard includes defining functionality that can only be tested in an a lab and defining the grouping of functionality that is to be used when testing OPC UA products either in a lab or using automated tools. The definition of actual *TestCases* is not within the scope of this document, but the general categories of *TestCases* are within the scope of this document.

Most OPC UA applications will conform to several, but not all of the *Profiles*.

2 Reference documents

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

Part 1: OPC UA Specification: Part 1 – Concepts.

<http://www.opcfoundation.org/UA/Part1/>

Part 2: OPC UA Specification: Part 2 – Security Model

<http://www.opcfoundation.org/UA/Part2/>

Part 3: OPC UA Specification: Part 3 – Address Space Model

<http://www.opcfoundation.org/UA/Part3/>

Part 4: OPC UA Specification: Part 4 – Service

<http://www.opcfoundation.org/UA/Part4/>

Part 5: OPC UA Specification: Part 5 – Information Model

<http://www.opcfoundation.org/UA/Part5/>

Part 6: OPC UA Specification: Part 6 – Mapping

<http://www.opcfoundation.org/UA/Part6/>

Part 8: OPC UA Specification: Part 8 – Data Access

<http://www.opcfoundation.org/UA/Part8/>

Part 9: OPC UA Specification: Part 9 – Alarms and Conditions

<http://www.opcfoundation.org/UA/Part9/>

Part 10: OPC UA Specification: Part 10 – Programs

<http://www.opcfoundation.org/UA/Part10/>

Part 11: OPC UA Specification: Part 11 – Historical Access

<http://www.opcfoundation.org/UA/Part11/>

Part 12: OPC UA Specification: Part 12 – Discovery

<http://www.opcfoundation.org/UA/Part12/>

Part 13: OPC UA Specification: Part 13 – Aggregates

<http://www.opcfoundation.org/UA/Part13/>

Test Specifications

Compliance Part 8 UA Server: OPC Test Lab Specification: Part 8 – UA Server

Compliance Part 9 UA Client: OPC Test Lab Specification: Part 9 – UA Client

3 Terms, definitions, and conventions

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in Part 1, Part 2, Part 3, Part 4, Part 6, and Part 8 as well as the following apply. An overview of the terms defined in this standard and their interaction can be viewed in Figure 1.

3.1.1

Application

a software program that executes or implements some aspect of OPC UA.

Note: The application could run on any machine and perform any function. The application could be software or it could be a hardware application, the only requirement is that it implements OPC UA

3.1.2

ConformanceUnit

a specific set of OPC UA features that can be tested as a single entity.

Note: A *ConformanceUnit* can cover a group of services, portions of services or information models. For additional detail see clause 5.

3.1.3

ConformanceGroup

a group of *ConformanceUnits* that is given a name.

Note: This grouping is only to assist in organizing *ConformanceUnits*. Typical *ConformanceGroups* include groups for each of the service sets in OPC UA and each of the Information Model standards.

3.1.4

Facet

a *Profile* dedicated to a specific feature that a *Server* or *Client* may require.

Note: *Facets* are typically combined to form higher-level *Profiles*. The use of the term *Facet* in the title of a *Profile* indicates that the given *Profile* is not a standalone *Profile*.

3.1.5

ProfileCategory

arranges *Profiles* into application classes, such as *Server* or *Client*.

Note: These categories help determine the type of *Application* that a given *Profile* would be used for. For additional details see section 4.4

3.1.6

TestCase

a technical description of a set of steps required to test a particular function or information model.

Note: *TestCases* provide sufficient details to allow a developer to implement them in code. *TestCases* also provide a detailed summary of the expected result(s) from the execution of the implemented code and any precondition(s) that must be established before the *TestCase* can be executed.

3.1.7

TestLab

a facility that is designated to provide testing services.

Note: These services include but are not limited to personal that directly perform testing, automated testing and a formal repeatable process. The OPC Foundation has provided detailed standard describing OPC UA TestLabs and the testing they are to provided (see Compliance Part 8 UA *Server*, Compliance Part 9 UA *Client*.)

3.2 Abbreviations

API	Application Programming Interface
DA	Data Access
HA	Historical Access
HMI	Human Machine Interface
PKI	Public Key Infrastructure
RSA	Rivest-Shamir-Adleman
UA	Unified Architecture

4 Overview

4.1 General

The OPC Unified Architecture multipart standard describes a number of *Services* and a variety of information models. These *Services* and information models can be referred to as features of a *Server* or *Client*. *Servers* and *Clients* need to be able to describe which features they support and wish to have certified. This part provides a grouping of these features. The individual features are grouped into *ConformanceUnits* which are further grouped into *Profiles*. Figure 1 provides an overview of the interactions between *Profiles*, *ConformanceUnits* and *TestCases*. The large arrows indicate the components that are used to construct the parent. For example a *Profile* is constructed from *Profiles* and *ConformanceUnits*. The figure also illustrates a feature of the OPC UA compliance test tool, in that it will test if a requested *Profile* passes all *ConformanceUnits*. It will also test all other *ConformanceUnits* and report any other *Profiles* that pass conformance testing. The individual *TestCases* are defined in separate documents see Compliance Part 8 UA Server and Compliance Part 9 UA Client. The *TestCases* are related back to the appropriate *ConformanceUnits* defined in this standard. This relationship is also displayed by the OPC UA Compliance Test Tool.

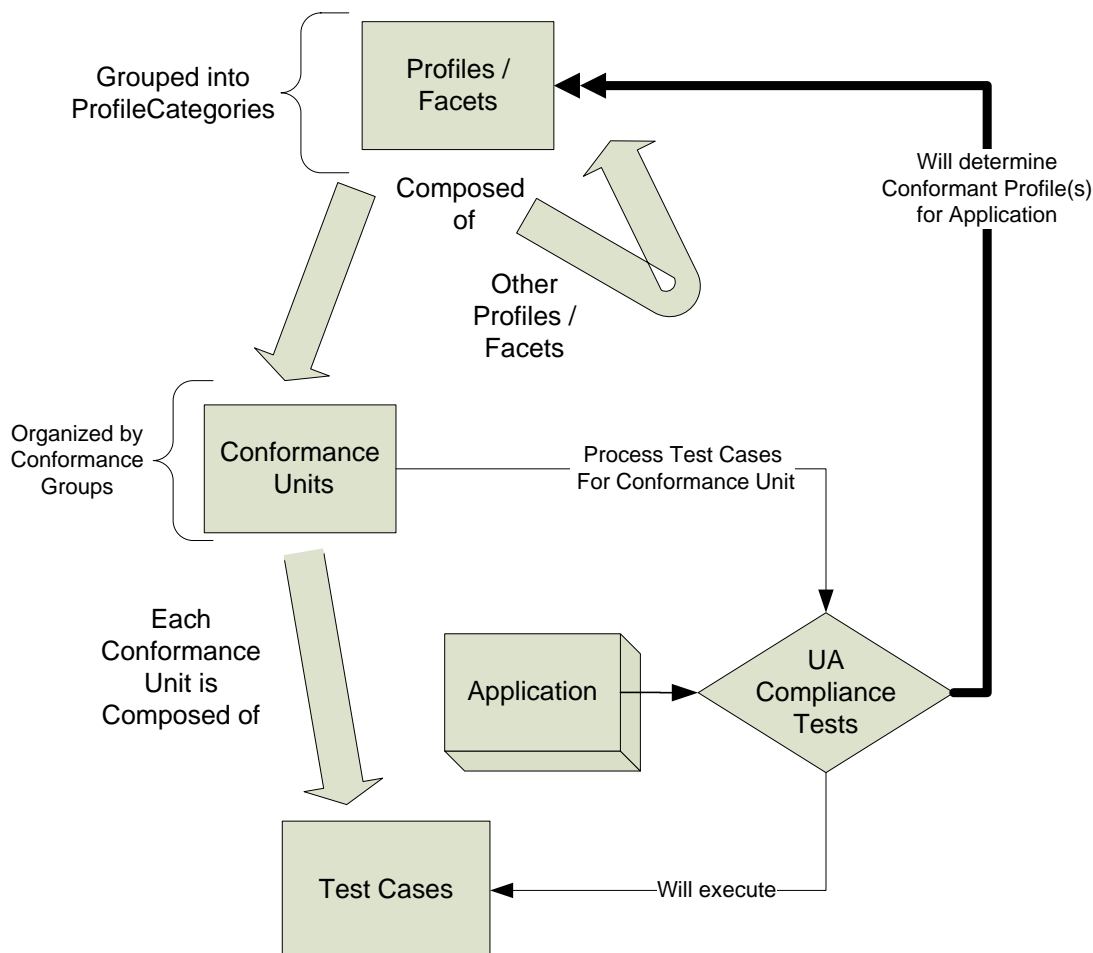


Figure 1 - Profile - ConformanceUnit - TestCases

4.2 ConformanceUnit

Each *ConformanceUnit* represents a specific set of features (e.g. a group of services, portions of services or information models) that can be tested as a single entity. *ConformanceUnits* are the building blocks of a *Profile*. Each *ConformanceUnit* can also be used as a test category. For each *ConformanceUnit*, there would be a number of *TestCases* that test the functionality described by

the *ConformanceUnit*. The description of a *ConformanceUnit* is intended to provide enough information to illustrate the required functionality, but in many cases to obtain a complete understanding of the *ConformanceUnit* the reader may be required to also examine the appropriate part of the OPC UA standard. Additional Information regarding testing of a *ConformanceUnit* are provided in the Compliance Part 8 UA Server or Compliance Part 9 UA Client test standards.

The same features do not appear in more than one *ConformanceUnit*.

4.3 Profiles

A *Profile* is a named aggregation of *ConformanceUnits* and other *Profiles*. To support a *Profile*, an application has to support the *ConformanceUnits* and all aggregated *Profiles*. The definition of *Profiles* is an ongoing activity, in that it is expected that new *Profiles* will be added in the future.

An OPC UA Application will typically support multiple *Profiles*.

Multiple *Profiles* may include the same *ConformanceUnit*.

Testing of a *Profile* consists of testing the individual *ConformanceUnits* that comprise the *Profile*.

Profiles are named based on naming conventions (see clause 6.3 for details).

4.4 Profile Categories

Profiles are grouped into categories to help vendors and end users understand the applicability of a *Profile*. A *Profile* can be assigned to more than one category.

Table 1 contains the list of currently defined *ProfileCategories*.

Table 1 – Profile Categories

Category	Description
Client	<i>Profiles</i> of this category specify functions of an OPC UA <i>Client</i> . The URI of such <i>Profiles</i> can be part of a Software <i>Certificate</i> passed in the <i>ActivateSession</i> request.
Security	<i>Profiles</i> of this category specify security related functions. Security policies are part of this category. The URI of security policies has to be part of an Endpoint Description returned from the <i>GetEndpoints</i> service. <i>Profiles</i> of this category apply to <i>Servers</i> and <i>Clients</i> .
Server	<i>Profiles</i> of this category specify functions of an OPC UA <i>Server</i> . The URI of such <i>Profiles</i> can be part of a Software <i>Certificate</i> returned with the <i>CreateSession</i> service response and exposed in the server capabilities..
Transport	<i>Profiles</i> of this category specify specific protocol mappings. The URI of such <i>Profiles</i> has to be part of an Endpoint Description. These <i>Profiles</i> apply to <i>Servers</i> and <i>Clients</i> .

5 ConformanceUnits

5.1 Overview

A *ConformanceUnit* represents an individually testable entity. For improved clarity, the large list of *ConformanceUnits* is arranged into named *ConformanceGroups*. These groups reflect the *Service Sets* in Part 4 and the OPC UA information models. Table 2 lists the *ConformanceGroups*. These groups and the *ConformanceUnits* that they describe are detailed in the following sections. *ConformanceGroups* have no impact on testing; they are used only for organizational reasons, i.e. to simplify the readability of this document.

Table 2 - Conformance Groups

Group	Description
Address Space Model	Defines <i>ConformanceUnits</i> for various features of the OPC UA <i>AddressSpace</i> .

Group	Description
Aggregates	All <i>ConformanceUnits</i> that are related to Aggregates, including individual <i>ConformanceUnits</i> for each supported Aggregate as described in Part 13 of the OPC UA specifications.
Alarms and Conditions	All <i>ConformanceUnits</i> that are associated with the OPC UA information model for <i>Conditions</i> , acknowledgeable <i>Conditions</i> , confirmations and <i>Alarms</i> as specified in Part 9 of the OPC UA Specification.
Attribute Services	Includes <i>ConformanceUnits</i> to Read or Write current or historical <i>Attribute</i> values.
Auditing	User level security includes support for security audit trails, with traceability between <i>Client</i> and <i>Server</i> audit logs.
Base Information	All information elements as defined in Part 5 of the OPC UA specification.
Data Access	<i>ConformanceUnits</i> specific to <i>Clients</i> and <i>Servers</i> that deal with the representation and use of automation data as specified in Part 8 of the OPC UA specification.
Discovery Services	<i>ConformanceUnits</i> which focus on <i>Server</i> Endpoint <i>Discovery</i> .
Historical Access	Access to archived data of node <i>Attribute</i> values or Events.
Method Services	Methods represent the function calls of <i>Objects</i> . Methods are invoked and return only after completion (successful or unsuccessful).
Miscellaneous	This group contains <i>Conformance Units</i> that cover miscellaneous subjects, such as recommended behaviours, documentation etc. These <i>ConformanceUnits</i> typically do not fit into any of the other groups.
Monitored Item Services	<i>Clients</i> define <i>MonitoredItems</i> to subscribe to data and Events. Each <i>MonitoredItem</i> identifies the item to be monitored and the <i>Subscription</i> to use to send <i>Notifications</i> .
Node Management Services	Bundles <i>ConformanceUnits</i> for all <i>Services</i> to add and delete OPC UA <i>AddressSpace</i> <i>Nodes</i> and <i>References</i> .
Protocol and Encoding	Covers all transport and encoding combinations that are specified in Part 6 of the OPC UA specification.
Query Services	A Query may be used to provide advanced filtering and return a subset of data.
Redundancy	The design of OPC UA ensures that vendors can create redundant <i>Clients</i> and redundant <i>Servers</i> in a consistent manner. Redundancy may be used for high availability, fault tolerance and load balancing.
Security	Security related <i>ConformanceUnits</i> that can be profiled this includes all aspects of security.
Session Services	An (OPC UA) <i>Session</i> is an application layer connection.
Subscription Services	Subscriptions are used to report <i>Notifications</i> to the <i>Client</i> .
View Services	<i>Clients</i> use the View <i>Service</i> Set to navigate through the OPC UA <i>AddressSpace</i> or through a View (a subset) of the OPC UA <i>AddressSpace</i> .

5.2 Services

The following tables describe *ConformanceUnits* for the *Services* specified in Part 4. The tables correlate with the *Service Sets*.

A single *ConformanceUnit* can reference several *Services* (e.g. CreateSession, ActivateSession and CloseSession) but can also refer to individual aspects of *Services* (e.g. the use of ActivateSession to impersonate a new user).

Each table includes a listing of the *Profile Category* to which a *ConformanceUnit* belongs, the title and description of the *ConformanceUnit* and a column that indicates if the *ConformanceUnit* is derived from another *ConformanceUnit*. A *ConformanceUnit* that is derived from another *ConformanceUnit* includes all of the same tests as its parent plus one or more additional TestCases. These TestCases can only further restrict the existing TestCases. An example would be one in which the number of connections is tested, where the TestCase of the parent required at

least one connection and the derived *ConformanceUnit* would require a *TestCase* for at least five connections.

The *Discovery Service Set* is composed of multiple *ConformanceUnits* (see Table 3). All *Servers* provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 3 - Discovery Services

Category	Title	Description	Derived
Server	Discovery Get Endpoints	Support the <i>GetEndpoints Service</i> to obtain all Endpoints of the <i>Server</i> . This includes filtering based on <i>Profiles</i> .	
Server	Discovery Find Servers Self	Support the <i>FindServers Service</i> only for itself.	
Server	Discovery Register	Call the <i>RegisterServer Service</i> to register itself (OPC UA <i>Server</i>) with an external <i>Discovery Service</i> via a secure channel with a <i>SecurityMode</i> other than "None".	
Server	Discovery Configuration	Allow configuration of the <i>Discovery Server URL</i> where the <i>Server</i> will register itself. Allow complete disabling of registration with a <i>Discovery Server</i> .	
Client	Discovery Client Find Servers Basic	Uses the <i>FindServers Service</i> to obtain all <i>Servers</i> installed on a given platform.	
Client	Discovery Client Find Servers with URI	Use <i>FindServers Service</i> to obtain URLs for specific <i>Server URIs</i> .	
Client	Discovery Client Find Servers Dynamic	Detect new <i>Servers</i> after an initial <i>FindServers Service</i> call.	
Client	Discovery Client Get Endpoints Basic	Uses the <i>GetEndpoints Service</i> to obtain all Endpoints for a given <i>Server URI</i> .	
Client	Discovery Client Get Endpoints Dynamic	Detect changes to the Endpoints after an initial <i>GetEndpoints Service</i> call.	
Client	Discovery Client Configure Endpoint	Allow specification of an Endpoint without going through the <i>Discovery Service Set</i> .	

The *Session Service Set* is composed of multiple *ConformanceUnits* (see Table 4). The *CreateSession*, *ActivateSession*, and *CloseSession* services are supported as a single unit. All *Servers* and *Clients* provide this functionality.

Table 4 – Session Services

Category	Title	Description	Derived
Server	Session General Service Behaviour	Implement basic <i>Service</i> behaviour. This includes in particular: <ul style="list-style-type: none"> - checking the authentication token - returning the requestHandle in responses - returning available diagnostic information as requested with the 'returnDiagnostics' parameter - respecting a timeoutHint 	

Category	Title	Description	Derived
Server	Session Base	Support the <i>Session Service</i> Set (CreateSession, ActivateSession, CloseSession) except the use of ActivateSession to change the <i>Session</i> user. This includes correct handling of all parameters that are provided. Note that for the CreateSession and ActivateSession services, if the SecurityMode = None then: 1) The Application <i>Certificate</i> and Nonce are optional. 2) The signatures are null/empty. The details of this are described in Part 4	
Server	Session Change User	Support the use of ActivateSession to change the <i>Session</i> user.	
Server	Session Cancel	Support the Cancel <i>Service</i> to cancel outstanding requests.	
Server	Session Minimum 1	Support minimum 1 <i>Session</i> (total).	
Server	Session Minimum 2 Parallel	Support minimum 2 parallel Sessions (total for all <i>Clients</i>).	
Server	Session Minimum 50 Parallel	Support minimum 50 parallel Sessions (total for all <i>Clients</i>).	
Client	Session Client General Service Behaviour	Implement basic <i>Service</i> behaviour. This includes in particular: - including the proper authentication token of the <i>Session</i> - creating a requestHandle if needed - requesting diagnostic information with the 'returnDiagnostics' parameter - evaluate the serviceResult and operational results	
Client	Session Client Base	Use the <i>Session Service</i> Set (CreateSession, ActivateSession, and CloseSession) except the use of ActivateSession to change the <i>Session</i> user. This includes correct handling of all parameters that are provided. Note that for the CreateSession and ActivateSession services, if the SecurityMode = None then: 1) The Application <i>Certificate</i> and Nonce are optional. 2) The signatures are null/empty.	
Client	Session Client Multiple Connections	Support unlimited connections (client side) with multiple <i>Servers</i> . Any limit on numbers of connections is from server side. May have a memory based limit, but not a software constraint limit.	
Client	Session Client Renew NodeIds	This <i>ConformanceUnit</i> applies to <i>Clients</i> that allow persisting NodeIds. Verify that the Namespace Table has not changed for NodeIds that the <i>Client</i> has persisted and is going to re-use beyond a <i>Session</i> lifetime. If changes occurred the <i>Client</i> has to recalculate the Namespace Indices of the respective NodeIds.	
Client	Session Client Impersonate	Uses ActivateSession to change the <i>Session</i> user (impersonation).	
Client	Session Client KeepAlive	Make periodic requests to keep the <i>Session</i> alive.	
Client	Session Client Detect Shutdown	Read or monitor the ServerStatus/State <i>Variable</i> to recognize a potential shutdown of the <i>Server</i> and clean up resources.	
Client	Session Client Cancel	Use the Cancel <i>Service</i> to cancel outstanding requests.	

Category	Title	Description	Derived
Client	Session Client Auto Reconnect	Automatic <i>Client</i> reconnect including: - ActivateSession with new SecureChannel if SecureChannel is no longer valid but Session is still valid - Creation of a new Session only if Session is no longer valid	
Client	Client Entry-Level Support	The <i>Client</i> is able to interoperate with Servers with lowest level functionality. This includes the ability to operate with a single Session, a pre-knowledge of the OPC UA Types (the Server may not expose them in the AddressSpace), and the ability to use Read vs. Subscriptions for monitoring. There may be further restrictions provided by the Server via the Server capabilities.	

The Node Management Service Set is composed of multiple *ConformanceUnits* (see Table 5). Servers may provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. Clients may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 5 – Node Management Services

Category	Title	Description	Derived
Server	Node Management Add Node	Support the AddNodes Service to add one or more Nodes into the OPC UA AddressSpace.	
Server	Node Management Delete Node	Support the DeleteNodes Service to delete one or more Nodes from the OPC UA AddressSpace.	
Server	Node Management Add Ref	Support the AddReferences Service to add one or more References to one or more Nodes in the OPC UA AddressSpace.	
Server	Node Management Delete Ref	Support the DeleteReferences Service to delete one or more References of a Node in the OPC UA AddressSpace.	
Client	Node Management Client	Uses Node Management Services to add or delete Nodes and to add or delete References in Server's OPC UA AddressSpace.	

The View Service Set is composed of a multiple *ConformanceUnits* (see Table 6). All Servers support some aspects of this conformance group. Clients may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 6 – View Services

Category	Title	Description	Derived
Server	View Basic	Support the View Service Set (Browse, BrowseNext).	
Server	View TranslateBrowsePath	Support TranslateBrowsePathsToNodeIds Service.	
Server	View RegisterNodes	Support the RegisterNodes and UnregisterNodes Services as a way to optimize access to repeatedly used Nodes in the Server's OPC UA AddressSpace.	
Server	View Minimum Continuation Point 01	Support minimum 1 continuation point per Session.	
Server	View Minimum Continuation Point 05	Support minimum 5 continuation points per Session. This number has to be supported for at least half of the minimum required sessions.	
Client	View Client Basic Browse	Uses Browse and BrowseNext Services to navigate through the Server's OPC UA AddressSpace. Make use of the referenceTypeId and the nodeClassMask to specify the needed References.	

Category	Title	Description	Derived
Client	View Client Basic ResultSet Filtering	Makes use of the resultMask parameter to optimize the result set to be returned by the <i>Server</i> .	
Client	View Client TranslateBrowsePath	Uses the TranslateBrowsePathsToNodeIds <i>Service</i> to identify the NodeIds for <i>Nodes</i> where a starting <i>Node</i> and a BrowsePath is known. Makes use of bulk operations rather than multiple calls whenever possible.	
Client	View Client RegisterNodes	Uses the RegisterNodes <i>Service</i> to optimize access for <i>Nodes</i> that are used repeatedly. Use UnregisterNodes when <i>Nodes</i> are not used anymore.	

The *Attribute Service Set* is composed of multiple *ConformanceUnits* (see Table 7). The majority of the *Attribute* service set is a core functionality of the OPC UA standard and as such is supported by most *Servers*. Most *Clients* will also support some aspects of the *Attribute Service Set*

Table 7 – Attribute Services

Category	Title	Description	Derived
Server	Attribute Read	Supports the Read <i>Service</i> to read one or more <i>Attributes</i> of one or more <i>Nodes</i> . This includes support of the IndexRange parameter to read a single element or a range of elements when the <i>Attribute</i> value is an array.	
Server	Attribute Read Complex	Supports reading and encoding Values with Structured DataTypes.	
Server	Attribute Write Values	Supports writing to values to one or more <i>Attributes</i> of one or more <i>Nodes</i> .	
Server	Attribute Write Complex	Supports writing and decoding Values with Structured DataTypes.	
Server	Attribute Write StatusCode & Timestamp	Supports writing of StatusCode and Timestamps along with the Value.	
Server	Attribute Write Index	Supports the IndexRange to write a single element or a range of elements when the <i>Attribute</i> value is an array.	
Server	Attribute Alternate Encoding	Supports alternate Data Encoding when reading value <i>Attributes</i> . By default, every <i>Server</i> has to support the Data Encoding of the currently used Stack <i>Profile</i> (i.e. binary with UA Binary Encoding and XML with XML Encoding). This <i>ConformanceUnit</i> - when supported - specifies that the other Data Encoding is supported in addition.	
Server	Attribute Historical Read	Supports the HistoryRead <i>Service</i> . The details of what aspects of this service are used are listed in additional <i>ConformanceUnits</i> , but at least one of ReadRaw, ReadProcessed, ReadModified, ReadAtTime or ReadEvents must be supported.	
Server	Attribute Historical Update	Supports the HistoryUpdate service. The details of the supported features of this service are described by additional <i>ConformanceUnits</i> , but at least one of the following must be supported UpdateData, UpdateEvents, DeleteData, DeleteEvents or DeleteAtTime.	

Category	Title	Description	Derived
Client	Attribute Client Read Base	Use the Read <i>Service</i> to read one or more <i>Attributes</i> of one or more <i>Nodes</i> . This includes use of an <i>IndexRange</i> to select a single element or a range of elements when the <i>Attribute</i> value is an array. <i>Clients</i> shall use bulk operations whenever possible to reduce the number of <i>Service</i> invocations.	
Client	Attribute Client Read with proper Encoding	This <i>ConformanceUnit</i> refers to the ability of a <i>Server</i> to support more than one Data Encoding for <i>Attribute</i> values. <i>Clients</i> can discover the available encodings and can explicitly choose one when calling the Read <i>Service</i> .	
Client	Attribute Client Read Complex	Read and decode Values with Structured DataTypes.	
Client	Attribute Client Write Base	Use the Write <i>Service</i> to write values to one or more <i>Attributes</i> of one or more <i>Nodes</i> . This includes use of an <i>IndexRange</i> to select a single element or a range of elements when the <i>Attribute</i> value is an array. <i>Clients</i> shall use bulk operations whenever possible to reduce the number of <i>Service</i> invocations.	
Client	Attribute Client Write Complex	Write and Encode Values with Structured DataTypes.	
Client	Attribute Client Write Quality & TimeStamp	Use the Write <i>Service</i> to also write StatusCode and/or Timestamps along with a Value.	
Client	Attribute Client Historical Read	The <i>Client</i> makes use of the HistoryRead service. The details of which aspect of this service are used are provided by additional <i>ConformanceUnits</i> , but at least one or more of the following is used ReadRaw, ReadAtTime, ReadProcessed, ReadModified or ReadEvents.	
Client	Attribute Client Historical Updates	The <i>Client</i> makes use of the HistoryUpdate service. The details of this usage are provided by additional <i>ConformanceUnits</i> , but at least one or more of the following must be provided UpdateData, UpdateEvents, DeleteData or DeleteEvents or DeleteAtTime.	

The *Method Service Set* is composed of *ConformanceUnits* (see Table 8). The primary *ConformanceUnits* provide support for the call functionality. *Servers* may provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 8 – Method Services

Category	Title	Description	Derived
Server	Method Call	Support the Call <i>Service</i> to call (invoke) a <i>Method</i> which includes support for <i>Method Parameters</i> .	
Client	Method Client Call	Use the Call <i>Service</i> to call one or several <i>Methods</i> .	

The *MonitoredItem Service Set* is composed of multiple *ConformanceUnits* (see Table 9). *Servers* may provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 9 – Monitored Item Services

Server	Monitor Basic	Support the following <i>MonitoredItem Services</i> : CreateMonitoredItems, ModifyMonitoredItems, DeleteMonitoredItems and SetMonitoringMode.	
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Server	Monitor Value Change	Support creation of <i>MonitoredItems</i> for <i>Attribute</i> value changes. This includes support of the <i>IndexRange</i> to select a single element or a range of elements when the <i>Attribute</i> value is an array.	
Server	Monitored Items Deadband Filter	Supports an absolute Deadband filter as a <i>DataChangeFilter</i> for numeric data types.	
Server	Monitor Aggregate Filter	Support for Aggregate filters for <i>MonitoredItems</i> . The result of this <i>ConformanceUnit</i> includes a list of Aggregates that are supported as part of the <i>Profile Certificate</i> .	
Server	Monitor Alternate Encoding	Support alternate encoding when monitoring value <i>Attributes</i> . By default, every <i>Server</i> has to support the encoding of the currently used Stack <i>Profile</i> (i.e. binary with UA Binary Encoding and XML with XML Encoding). This <i>ConformanceUnit</i> - when supported - specifies that the other encoding is supported in addition.	
Server	Monitor Items 2	Support at least 2 <i>MonitoredItems</i> per <i>Subscription</i> .	
Server	Monitor Items 10	Support at least 10 <i>MonitoredItems</i> per <i>Subscription</i> .	
Server	Monitor Items 100	Support at least 100 <i>MonitoredItems</i> per <i>Subscription</i> . This number has to be supported for at least half of the required <i>Subscriptions</i> for half of the required <i>Sessions</i> .	
Server	Monitor Items 500	Support at least 500 <i>MonitoredItems</i> per <i>Subscription</i> . This number has to be supported for at least half of the required <i>Subscriptions</i> for half of the required <i>Sessions</i> .	
Server	Monitor QueueSize_1	This <i>ConformanceUnit</i> does not require queuing when multiple value changes occur during a "publish period". I.e. the latest change will be sent in the <i>Notification</i> .	
Server	Monitor MinQueueSize_02	Support at least 2 queue entries for <i>MonitoredItems</i> . <i>Servers</i> often will adapt the queue size to the number of currently <i>MonitoredItems</i> . However, it is expected that <i>Servers</i> support this minimum queue size for at least one third of the supported <i>MonitoredItems</i> .	
Server	Monitor MinQueueSize_05	Support at least 5 queue entries for <i>MonitoredItems</i> . <i>Servers</i> often will adapt the queue size to the number of currently <i>MonitoredItems</i> . However, it is expected that <i>Servers</i> support this minimum queue size for at least one third of the supported <i>MonitoredItems</i> .	
Server	Monitor QueueSize_ServerMax	This <i>ConformanceUnit</i> is for events. When the Client requests <i>queuesize=MAXUInt32</i> the <i>Server</i> is to return the maximum queue size that it can support for event notifications as the revised <i>QueueSize</i> .	
Server	Monitor Triggering	Support the <i>SetTriggering Service</i> to create and/or delete triggering links for a triggering item.	
Server	Monitor Events	Support creation of <i>MonitoredItems</i> for an " <i>EventNotifier Attribute</i> " for the purpose of <i>Event Notification</i> . The subscription includes supporting a filter that includes <i>SimpleAttribute Operands</i> and a select list of <i>Operators</i> . The list of <i>Operators</i> includes: <i>Equals</i> , <i>IsNull</i> , <i>GreaterThan</i> , <i>LessThan</i> , <i>GreaterThanorEqual</i> , <i>LessThatorEqual</i> , <i>Like</i> , <i>Not</i> , <i>Between</i> , <i>InList</i> , <i>And</i> , <i>Or</i> , <i>Cast</i> , <i>BitwiseAnd</i> , <i>BitwiseOr</i> .	
Server	Monitor Complex Event Filter	Support for complex <i>Event</i> filters, where complex is defined as supporting the complex filter operator (<i>TypeOf</i>).	

Client	Monitor Client Value Change	Use the <i>MonitoredItem Service</i> Set to register items for changes in <i>Attribute</i> value. Use <i>CreateMonitoredItems</i> to register the <i>Node/Attribute</i> tuple. Set proper sampling interval, Deadband filter and queuing mode. Use disabling / enabling instead of deleting and re-creating a <i>MonitoredItem</i> . Use bulk operations rather than individual service requests to reduce communication overhead.	
Client	Monitor Client Deadband Filter	Uses Absolute Deadband filters for subscriptions.	
Client	Monitor Client by Index	Use the <i>IndexRange</i> to select a single element or a range of elements when the <i>Attribute</i> value is an array.	
Client	Monitor Client Aggregate Filter	Uses Aggregate filters for Subscriptions.	
Client	Monitor Client Events	Use the <i>MonitoredItem Service</i> Set to create <i>MonitoredItems</i> for <i>Event</i> notifications.	
Client	Monitor Client Event Filter	Use the <i>Event</i> filter when calling <i>CreateMonitoredItems</i> to filter the desired Events and to select the columns to be provided for each <i>Event Notification</i> .	
Client	Monitor Client Complex Event Filter	Uses complex <i>Event</i> filters.	
Client	Monitor Client Modify	Use <i>ModifyMonitoredItems Service</i> to change the configuration setting. Use <i>SetMonitoringMode Service</i> to disable / enable sampling and / or publishing.	
Client	Monitor Client Trigger	Use the <i>Triggering Model</i> if certain items are to be reported only if some other item triggers. Use proper monitoring mode for these items. Use <i>SetTriggering Service</i> to link these items to the trigger item.	

The *Subscription Service* Set is composed of multiple *ConformanceUnits* (see Table 10). *Servers* may provide some aspects of this functionality; see *Profiles* categorized as *Server Profiles* for details. *Clients* may support some aspects of this functionality; see *Profiles* categorized as *Client Profiles* for details.

Table 10 – Subscription Services

Category	Title	Description	Derived
Server	Subscription Basic	Support the following <i>Subscription Services</i> : <i>CreateSubscription</i> , <i>ModifySubscription</i> , <i>DeleteSubscriptions</i> , <i>Publish</i> , <i>Republish</i> and <i>SetPublishingMode</i> .	
Server	Subscription Minimum 1	Support at least 1 Subscriptions per <i>Session</i> . This number has to be supported for all of the minimum required sessions.	
Server	Subscription Minimum 02	Support at least 2 Subscriptions per <i>Session</i> . This number has to be supported for at least half of the minimum required sessions.	
Server	Subscription Minimum 05	Support at least 5 Subscriptions per <i>Session</i> . This number has to be supported for at least half of the minimum required sessions.	

Category	Title	Description	Derived
Server	Subscription Publish Min 02	Support at least 2 Publish <i>Service</i> requests per <i>Session</i> . This number has to be supported for all of the minimum required sessions. Support of republish is optional and no notification retransmission queue has to be provided however the republish service must be provided and will return the appropriate operation level results.	
Server	Subscription Publish Min 05	Support at least 5 Publish <i>Service</i> requests per <i>Session</i> . This number has to be supported for at least half of the minimum required sessions. Support, as a minimum, the number of Publish requests per session as the size of the NotificationMessage retransmission queue for Republish.	
Server	Subscription Publish Min 10	Support at least 10 Publish <i>Service</i> requests per <i>Session</i> . This number has to be supported for at least half of the minimum required sessions. Support as a minimum, the number of Publish requests per session as the size of the NotificationMessage retransmission queue for Republish.	
Server	Subscription Publish Discard Policy	Respect the specified policy for discarding Publish <i>Service</i> requests. If the maximum number of Publish <i>Service</i> requests has been queued and a new Publish <i>Service</i> request arrives, the "oldest" Publish request has to be discarded by returning the proper error.	
Server	Subscription Transfer	Support TransferSubscriptions <i>Service</i> to transfer a <i>Subscription</i> from one <i>Session</i> to another.	
Client	Subscription Client Basic	Use the <i>Subscription</i> and <i>MonitoredItem Service Set</i> as an efficient means to detect changes of <i>Attribute</i> values and / or to receive <i>Event</i> occurrences. Set appropriate intervals for publishing, keep alive notifications and total <i>Subscription</i> lifetime. Supply a sufficient number of Publish requests to the <i>Server</i> so that <i>Notifications</i> can be sent whenever a publish timer expires. Acknowledge received <i>Notifications</i> with subsequent Publish requests.	
Client	Subscription Client Republish	Evaluate the sequence number in <i>Notifications</i> to detect lost <i>Notifications</i> . Use Republish to request missing <i>Notifications</i> .	
Client	Subscription Client Modify	Allow modification of the <i>Subscription</i> configuration using the ModifySubscription <i>Service</i> .	
Client	Subscription Client TransferSubscriptions	The <i>Client</i> supports transferring <i>Subscription</i> from other <i>Clients</i> . This <i>ConformanceUnit</i> is used as part of redundant <i>Clients</i> .	
Client	Subscription Client Multiple	Use multiple Subscriptions to reduce the payload of individual <i>Notifications</i> .	
Client	Subscription Client Publish Configurable	Send multiple Publish <i>Service</i> requests to assure that the <i>Server</i> is always able to send <i>Notifications</i> . The number of parallel Publish <i>Service</i> requests per <i>Session</i> shall be configurable.	

5.3 Transport and Communication Related Features

Table 11 describes Security related *ConformanceUnits*. All of these *ConformanceUnits* apply equally to both *Clients* and *Servers*, where a *Client* uses the related security unit and a *Server*

supports the use of it. These items are defined in detail in Part 6. It is recommended that a *Server* and *Client* support as many of these options as possible in order to achieve increased levels of interoperability. It is the task of an administrator to determine which of these *ConformanceUnits* are exposed in a given deployed *Server* or *Client* application.

Table 11 – Security

Category	Title	Description	Derived
Security	Security Certificate Validation	A certificate will be validated as specified in Part 4. This includes among others structure and signature examination. Allowing for some validation errors to be suppressed by administration directive.	
Security	Security None	A suite of algorithms that does NOT provide any security settings: -> SymmetricSignatureAlgorithm - Not Used -> SymmetricEncryptionAlgorithm - Not Used -> AsymmetricSignatureAlgorithm - Not Used -> SymmetricKeyWrapAlgorithm - Not Used -> AsymmetricEncryptionAlgorithm - Not Used -> KeyDerivationAlgorithm - Not Used -> DerivedSignatureKeyLength - 0 The use of this suite of algorithms must be able to be enabled or disabled by an administrator.	
Security	Security None CreateSession ActivateSession	When SecurityPolicy=None, the CreateSession and ActivateSession service allow for a NULL/empty signature and do not require Application <i>Certificates</i> or a Nonce.	
Security	Security Basic 128Rsa15	A suite of algorithms that uses RSA15 as Key-Wrap-algorithm and 128-Bit for encryption algorithms. -> SymmetricSignatureAlgorithm - HmacSha1 - (http://www.w3.org/2000/09/xmlsig#hmac-sha1). -> SymmetricEncryptionAlgorithm - Aes128 - (http://www.w3.org/2001/04/xmlenc#aes128-cbc). -> AsymmetricSignatureAlgorithm - RsaSha1 - (http://www.w3.org/2000/09/xmlsig#rsa-sha1). -> AsymmetricKeyWrapAlgorithm - KwRsa15 - (http://www.w3.org/2001/04/xmlenc#rsa-1_5). -> AsymmetricEncryptionAlgorithm - Rsa15 - (http://www.w3.org/2001/04/xmlenc#rsa-1_5). -> KeyDerivationAlgorithm - PSha1 - (http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1). -> DerivedSignatureKeyLength - 128. -> MinAsymmetricKeyLength - 1024 -> MaxAsymmetricKeyLength - 2048 -> CertificateSignatureAlgorithm - Sha1	

Category	Title	Description	Derived
Security	Security Basic 256	<p>A suite of algorithms that are for 256-Bit encryption, algorithms include:</p> <ul style="list-style-type: none">-> SymmetricSignatureAlgorithm - HmacSha1 - (http://www.w3.org/2000/09/xmlsig#hmac-sha1).-> SymmetricEncryptionAlgorithm - Aes256 - (http://www.w3.org/2001/04/xmlenc#aes256-cbc).-> AsymmetricSignatureAlgorithm - RsaSha1 - (http://www.w3.org/2000/09/xmlsig#rsa-sha1).-> AsymmetricKeyWrapAlgorithm - KwRsaOaep - (http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p).-> AsymmetricEncryptionAlgorithm - RsaOaep - (http://www.w3.org/2001/04/xmlenc#rsa-oaep).-> KeyDerivationAlgorithm - PSha1 - (http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha1).-> DerivedSignatureKeyLength - 192.-> MinAsymmetricKeyLength - 1024-> MaxAsymmetricKeyLength - 2048-> CertificateSignatureAlgorithm - Sha1	

Category	Title	Description	Derived
Security	Security Basic 256 Sha256	<p>A suite of algorithms that are for 256-Bit encryption, algorithms include.</p> <ul style="list-style-type: none"> -> SymmetricSignatureAlgorithm - Hmac_Sha256 - (http://www.w3.org/2000/09/xmlsig#hmac-sha256). -> SymmetricEncryptionAlgorithm - Aes256_CBC - (http://www.w3.org/2001/04/xmlenc#aes256-cbc). -> AsymmetricSignatureAlgorithm - Rsa_Sha256 - (http://www.w3.org/2000/09/xmlsig#rsa-sha256). -> AsymmetricKeyWrapAlgorithm - KwRsaOaep - (http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p). -> AsymmetricEncryptionAlgorithm - Rsa_Oaep - (http://www.w3.org/2001/04/xmlenc#rsa-oaep). -> KeyDerivationAlgorithm - PSHA256 - (http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/dk/p_sha256). -> DerivedSignatureKeyLength - 256 -> MinAsymmetricKeyLength - 2048 -> MaxAsymmetricKeyLength - 4096 -> CertificateSignatureAlgorithm - Sha256 <p>Support for this security profile may require support for a second application instance certificate, with a larger keysize. Applications shall support multiple Application Instance <i>Certificates</i> if required by supported Security Policies and use the certificate that is required for a given security endpoint.</p>	
Security	Security TLS General	This <i>ConformanceUnit</i> indicates that at least one of the transport security <i>Profiles</i> for TLS is supported by this application. It is used in TLS transport <i>Profiles</i> , but the choice of transport security profile is optional. The actual used security profile will default to the most secure one.	
Security	Security TLS 1.1	The connection is established using TLS 1.1. The application needs to be configured to prevent TLS 1.0 connections, unless the TLS 1.0 connection is using TLS_RSA_WITH_RC4_128_SHA as described in <i>ConformanceUnit</i> "Security TLS_RSA_WITH_RC4_128_SHA"	
Security	Security TLS_RSA_WITH_RC4_128_SHA	The connection is established using TLS_RSA_WITH_RC4_128_SHA. The application needs to be configured to prevent the use of AES based protocol suites (TLS 1.0).	

Category	Title	Description	Derived
Security	Security TLS_RSA_WITH_AES_256_CBC_SHA256	The connection is established using TLS_RSA_WITH_AES_256_CBC_SHA256. That has a MinAsymmetricKeyLength - 2048, MaxAsymmetricKeyLength - 4096, AsymmetricSignatureAlgorithm - RSA_SHA256. (TLS 1.2)	
Security	Security Encryption Required	Encryption is required using the algorithms provide in the security algorithm suite.	
Security	Security Signing Required	Signing is required using the algorithms provide in the security algorithm suite.	
Security	Security Time Synch - Configuration	Application supports configuring acceptable clock skew.	
Security	Security Time Synch - NTP / OS Based support	Application supports time synchronization, either via an implementation of Network Time Protocol (NTP), or via features of a standard operating system.	
Security	Security Time Synch - UA based support	An application makes use of the responses header timestamp provided by a configured well know source, such as a <i>Discovery Server</i> to synchronize the time on the application and that this time synchronization occurs periodically. Use of this TimeSyncing can be configured.	
Security	Security Administration	Allow configuration of the following Security related items. <ul style="list-style-type: none"> * select the allowed User identification policy or policies (User Name/Password or X509 or Kerberos or Anonymous). * enable/disable the security policy "None" or other security policies. * enable/disable endpoints with MessageSecurityMode SIGN or SIGNANDENCRYPT. * set the permitted certification authorities. * define how to react to unknown <i>Certificates</i>. 	
Security	Security Administration - XML Schema	Support the OPC defined XML schema for importing and exporting security configuration information. This schema is defined in Part 6.	
Security	Security Level 1	This <i>ConformanceUnit</i> indicates the level of security associated with the security policy to which it is assigned. The higher the number the more secure. <i>Client</i> shall default to the highest level security policy, but an administrator can enable any security policies that they feel are required. An application can also be configured to use a less secure SecurityPolicy, but without any configuration it should use the most secure available security policy. If an application does not it should receive a warning.	

Category	Title	Description	Derived
Security	Security Level 2	This <i>ConformanceUnit</i> indicates the level of security associated with the security policy to which it is assigned. The higher the number the more secure. <i>Client</i> shall default to the highest level security policy, but an administrator can enable any security policies that they feel are required. An application can also be configured to use a less secure <i>SecurityPolicy</i> , but without any configuration it should use the most secure available security policy. If an application does not it should receive a warning.	
Security	Security Level 3	This <i>ConformanceUnit</i> indicates the level of security associated with the security policy to which it is assigned. The higher the number the more secure. <i>Client</i> shall default to the highest level security policy, but an administrator can enable any security policies that they feel are required. An application can also be configured to use a less secure <i>SecurityPolicy</i> , but without any configuration it should use the most secure available security policy. If an application does not it should receive a warning.	
Security	Security Certificate Administration	Allow a site administrator to be able to assign a site specific <i>ApplicationInstanceCertificate</i> and if desired to configure a site specific <i>Certificate Authority</i> (CA).	
Security	Security Default <i>ApplicationInstanceCertificate</i>	An application, when installed, has a default <i>ApplicationInstanceCertificate</i> that is valid. The default <i>ApplicationInstanceCertificate</i> shall either be created as part of the installation or installation instructions explicitly describe the process to create and apply a default <i>ApplicationInstanceCertificate</i> to the application.	
Security	Security - No Application Authentication	The <i>Server</i> supports being able to be configured for no application authentication, just User authentication and normal encryption/signing: <ul style="list-style-type: none"> - Configure server to accept all certificates - <i>Certificates</i> are just used for message security (signing and encryption) - Users level is used for authentication 	
Security	Best Practice - Audit Events	Subscriptions for Audit Events are restricted to authorized personnel. A <i>Server</i> may also reject a Subscription for Audit Events that is not over a Secure Channel if one is available.	

Category	Title	Description	Derived
Security	Best Practice - Alarm Handling	A Server should restrict critical alarm functionality to users that have the appropriate rights to perform these actions. This would include disabling or alarms, shelving of alarms and generation of dialog messages. It would also include other security related functionality such maintaining appropriate timeouts for shelving and dialogs and preventing an overload of dialog messages.	
Security	Best Practice - Random Numbers	All random numbers that are required for security use appropriate cryptographic library based random number generators.	
Security	Best Practice - Timeouts	The user is able to configure reasonable timeouts for Secure Channels, Sessions and Subscriptions to limit denial of service and resource consumption issues (see Part 2 for additional details).	
Security	Best Practice - Administrative Access	The Server and Client allow for appropriate restriction of access to administrative personnel. This includes multiple levels of administrative access on platforms that support multiple administrative roles (such as Windows or Linux).	
Security	Best Practice - Strict Message Handling	The application assures that messages that are illegally or incorrectly formed are rejected with appropriate error codes or appropriate actions as specified in Part 4 and Part 6	
Security	Best Practice - Audit Events Client	Audit tracking system connects to a Server using a Secure Channel and under the appropriate administrative rights to allow access to Audit Events.	
Security	Security User Name Password	The Server supports User Name/Password combination(s). Encryption of the password with the algorithm provided in the UserNameIdentityToken is required if no message encryption is used.	
Security	Security User X509	The Server supports a public/private key pair for user identity. The use of this feature must be able to be enabled or disabled by an administrator.	
Security	Security User IssuedToken Kerberos	The Server supports a Kerberos Server token for User Identity. The use of this feature must be able to be enabled or disabled by an Administrator. Specific encryption of the IssuedToken is required if no message encryption is used. The use of this token is defined in Kerberos Token Documentation.	
Security	Security User IssuedToken Kerberos Windows	The Server supports the Windows implementation of Kerberos Tokens. This <i>ConformanceUnit</i> only applies if the "Security User IssuedToken Kerberos" is supported.	

Category	Title	Description	Derived
Security	Security User Anonymous	The <i>Server</i> provides support for Anonymous access. The use of this feature must be able to be enabled or disabled by an Administrator. By default Anonymous access shall be disabled.	
Security	Security User IssuedToken Kerberos Client	A <i>Client</i> uses a Kerberos <i>Server</i> token. Specific encryption of the issuedToken is required if no message encryption is used. The use of this token is defined by the Kerberos documentation.	
Security	Security User IssuedToken Kerberos Windows Client	A <i>Client</i> uses the Windows implementation of Kerberos tokens. This <i>ConformanceUnit</i> only applies if the "Security User IssuedToken Kerberos <i>Client</i> " is supported.	
Security	Security User Name Password Client	A <i>Client</i> uses a User Name/Password combination. Encryption of the password with the algorithm provided in the UserNameIdentityToken is required if no message encryption is used.	
Security	Security User X509 Client	A <i>Client</i> uses a public/private key pair for user identity. This includes all validation and trust issues associated with a certificate.	

Table 12 describes protocol and encoding related features that can be profiled. These features are defined in detail in Part 6. It is recommended that *Servers* and *Clients* support as many of these options as possible for greatest interoperability.

Table 12 – Protocol and Encoding

Category	Title	Description	Derived
Server	Protocol Configuration	Allow administration of the Endpoints and the port number used by the Endpoints.	
Transport	Protocol TCP Binary UA Security	Support the UA TCP transport protocol with UA Binary Encoding and with UA Secure Conversation.	
Transport	Protocol HTTPS with UA Binary	Support the HTTPS protocol with UA Binary Encoding.	
Transport	Protocol HTTPS with Soap	Support the HTTPS protocol with Soap-based Xml Encoding.	
Transport	Protocol Soap Xml WS Security	Support "SOAP/HTTP" transport with XML Encoding and with WS Secure Conversation.	
Transport	Protocol Soap Binary WS Security	Support "SOAP/HTTP" transport with UA Binary Encoding and with WS Secure Conversation.	

5.4 Information Model and Address Related Features

Table 13 describes Base features related items that can be profiled. For additional information about these items, please refer to Part 3, Part 5 and Part 6. *Servers* with a larger resource capacity would support most of this functionality, but smaller resource constraint *Server* may omit some of this functionality. Many *Clients* would utilize some of this functionality and more robust *Clients* would utilize most of this functionality.

Table 13 – Base Information

Category	Title	Description	Derived
Server	Base Info Core Structure	The <i>Server</i> supports the <i>Server Object</i> , <i>ServerCapabilities</i> and supports the OPC UA <i>AddressSpace</i> structure.	

Category	Title	Description	Derived
Server	Base Info Server Capabilities	The <i>Server</i> supports publishing of the <i>Server</i> limitation in the <i>ServerCapabilities</i> , including <i>MaxArrayLength</i> , <i>MaxStringLength</i> , <i>MaxNodePerRead</i> , <i>MaxNodesPerWrite</i> , <i>MaxNodesPerSubscription</i> and <i>MaxNodesPerBrowse</i> .	
Server	Base Info Progress Events	The <i>Server</i> exposes if generation of Progress events for long running service calls such as <i>HistoryRead</i> or <i>Query</i> is supported. If it is listed as supported in <i>ServerCapabilities</i> , than the actual events are verified.	
Server	Base Info Diagnostics	The <i>Server</i> supports Diagnostic <i>Objects</i> and <i>Variables</i> .	
Server	Base Info System Status	The <i>Server</i> supports generating <i>SystemStatusChangeEvent</i> indicating shutdown of the <i>Server</i> (<i>SourceNode=Server</i>).	
Server	Base Info System Status underlying system	The <i>Server</i> supports generating <i>SystemStatusChangeEvent</i> indicating changes to an underlying system (<i>SourceNode=Server</i>). This event can also be used to indicate that the OPC UA <i>Server</i> has underlying systems.	
Server	Base Info GetMonitoredItems Method	The <i>Server</i> supports obtaining subscription information via <i>GetMonitoredItems Method</i> on the <i>Server</i> object.	
Server	Base Info Type System	The <i>Server</i> exposes a Type System with <i>DataTypes</i> , <i>ReferenceTypes</i> , <i>ObjectTypes</i> and <i>VariableTypes</i> including all of the OPC Foundation provided (namespace 0) types that are used by the <i>Server</i> , as defined in Part 5. Items that are defined in Namespace 0 but are defined in other specification parts are tested as part of the other information models.	
Server	Base Info Custom Type System	The <i>Server</i> supports defining user defined <i>ObjectTypes</i> , <i>VariableTypes</i> , <i>ReferenceType</i> and <i>DataTypes</i> . Supporting this conformance unit does not require that a <i>Server</i> exposes the OPC UA <i>Object</i> , <i>Variable</i> , <i>Reference</i> , or <i>Data</i> Types, unless the <i>Server</i> implements User types. If User types are defined than the full type-hierarchy has to be exposed as well.	
Server	Base Info Model Change	The <i>Server</i> supports <i>ModelChange Event</i> and <i>NodeVersion Property</i> for all <i>Nodes</i> that the server allows Model changes for.	
Server	Base Info Placeholder Modelling Rules	The <i>Server</i> supports defining custom <i>Object</i> or <i>Variables</i> that include the use of <i>OptionalPlaceholder</i> or <i>MandatoryPlaceholder</i> modelling rules.	
Server	Base Info SemanticChange	The <i>Server</i> supports <i>SemanticChangeEvent</i> for some Properties. This includes setting the <i>SemanticChange</i> Bit in the status when a semantic change occurs, such as a change in the engineering unit associated with a value.	
Server	Base Info EventQueueOverflowEventType	The <i>Server</i> supports the <i>EventQueueOverflowEventType</i> as defined in Part 4.	
Server	Base Info OptionSet	The <i>Server</i> supports the <i>VariableType</i> <i>OptionSet</i> .	

Category	Title	Description	Derived
Server	Base Info ValueAsText	The <i>Server</i> supports the <i>Property</i> ValueAsText for enumerated DataTypes.	
Server	Base Info Engineering Units	The <i>Server</i> supports defining <i>Variables</i> that include the Engineering Units <i>Property</i> . This property makes use of the EUInformation data structure. This structure by default represents the UN/CEFACT "Codes for Units of Measurement". If a different EU representation is required then the EUInformation.namespaceUri will indicate the alternate namespace.	
Server	Base Info FileType Base	The <i>Server</i> supports the FileType <i>Object</i> (see Part 5). File writing may be restricted.	
Server	Base Info FileType Write	The <i>Server</i> supports the FileType <i>Object</i> , including writing of files. Also included is the support of user access control on FileType <i>Object</i> .	
Client	Base Info Client Basic	The <i>Client</i> uses the defined OPC UA AddressSpace. Access or provide access to <i>Server</i> information like the <i>Server's</i> state, BuildInfo, capabilities, Namespace Table and Type Model.	
Client	Base Info Client System Status	The <i>Client</i> makes use of SystemStatusChangeEvent to detect server shutdowns.	
Client	Base Info Client Progress Events	The <i>Client</i> makes use of ProgressEvents, including checking for their support.	
Client	Base Info Client Diagnostics	The <i>Client</i> provides interactive or programmatic access to the <i>Server's</i> diagnostic information.	
Client	Base Info Client Type Programming	The <i>Client</i> programmatically process instances of <i>Objects</i> or <i>Variables</i> by using their type definitions. This includes custom DataTypes, <i>ObjectTypes</i> and <i>VariableTypes</i> .	
Client	Base Info Client Change Events	The <i>Client</i> processes ModelChangeEvents to detect changes in the <i>Server's</i> OPC UA AddressSpace and take appropriate action for a given change.	
Client	Base Info Client GetMonitoredItems Method	The <i>Client</i> makes use of GetMonitoredItems <i>Method</i> to recover for communication interruptions and/or to recover subscription information.	
Client	Base Info Client FileType Base	The <i>Client</i> can access a FileType <i>Object</i> to transfer a file from the <i>Server</i> to the <i>Client</i> . This includes large files.	
Client	Base Info Client FileType Write	The <i>Client</i> can access a FileType <i>Object</i> to transfer a file from the <i>Client</i> to the <i>Server</i> . This includes large files.	

Table 14 describes Address Space Model information related items that can be profiled. The details of these model items are defined in Part 3 and Part 5. This include *Server Facets* that describe what a *Server* exposes and *Client Facets* that describe what a *Client* consumes

Table 14 – Address Space Model

Category	Title	Description	Derived
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Category	Title	Description	Derived
Server	Address Space Base	Support the <i>NodeClasses</i> with their <i>Attributes</i> and behaviour as defined in OPC UA Part 3. This includes for instance: <i>Object</i> , <i>ObjectType</i> , <i>Variable</i> , <i>VariableType</i> , <i>References</i> and <i>DataType</i> .	
Server	Address Space Events	Support OPC UA <i>AddressSpace</i> elements for generating <i>Event</i> notifications. This includes at least one <i>Node</i> with an <i>EventNotifier Attribute</i> set to True (<i>Server Node</i>).	
Server	Address Space Complex DataTypes	Support <i>StructuredDataTypes</i> with a Data Dictionary.	
Server	Address Space Method	Support <i>Method Nodes</i> .	
Server	Address Space Notifier Hierarchy	Supports using the <i>HasNotifier</i> reference to build a hierarchy of <i>Object Nodes</i> that are notifiers with other notifier <i>Object Nodes</i> .	
Server	Address Space Source Hierarchy	Supports hierarchies of event sources where each hierarchy roots in an <i>Object Node</i> that is a notifier. The <i>HasEventSource Reference</i> is used to relate the <i>Nodes</i> within a hierarchy. If <i>Conditions</i> are supported, the hierarchy shall include <i>HasCondition References</i> .	
Server	Address Space WriteMask	Supports <i>WriteMask</i> indicating the write access availability for all attributes, including not supported attributes.	
Server	Address Space UserWriteMask	Supports <i>UserWriteMask</i> indicating the write access availability for all attributes for the given user, including not supported attributes. Support includes at least two levels of users.	
Server	Address Space UserWriteMask Multilevel	Supports <i>UserWriteMask</i> indicating the write access availability for all attributes for the given user, including not supported attributes. This includes supporting multiple levels of access control for all nodes in the system.	
Server	Address Space User Access Level Full	Implements User Access Level security, this includes supporting multiple levels of access control for <i>Variable</i> nodes in the system. This includes an indication of read, write, Historical read and Historical write access to the <i>Value Attribute</i> .	
Server	Address Space User Access Level Base	Implements User Access Level Security for <i>Variable</i> nodes, this includes at least two users in the system. This includes an indication of read, write, historical read and Historical write access to the value attribute	
Client	Address Space Client Base	Uses and understands the <i>NodeClasses</i> with their <i>Attributes</i> and behaviour as defined in OPC UA Part 3. This includes for instance: <i>Object</i> , <i>ObjectType</i> , <i>Variable</i> , <i>VariableType</i> , <i>References</i> and <i>DataType</i> . This includes treating <i>BrowseNames</i> and <i>String NodeIds</i> as case sensitive.	
Client	Address Space Client Complex DataTypes	Uses and understands arbitrary <i>StructuredDataTypes</i> via Data Dictionary.	
Client	Address Space Client Notifier Hierarchy	Uses hierarchy of <i>Object Nodes</i> that are notifiers to detect specific areas where the <i>Client</i> can subscribe for Events.	
Client	Address Space Client Source Hierarchy	Detect and use the hierarchy of event sources exposed for specific <i>Object Nodes</i> that are event notifiers.	

Table 15 describes Data Access information model related items that can be profiled. The details of this model are defined in Part 8. *Server* could expose this information model and *Client* could utilize this information model.

Table 15 – Data Access

Category	Title	Description	Derived
Server	Data Access DataItems	Provide <i>Variables</i> of <i>DataItemType</i> or one of its subtypes. Support the <i>StatusCodes</i> specified in the <i>DataAccess</i> part of the OPC UA specification. Support of optional <i>Properties</i> (e.g. "InstrumentRange") shall be verified during certification testing and will be shown in the <i>Certificate</i> .	
Server	Data Access AnalogItems	Support <i>AnalogItemType Variables</i> with corresponding <i>Properties</i> . The support of optional properties will be listed.	
Server	Data Access PercentDeadband	Support <i>PercentDeadband</i> filter when monitoring <i>AnalogItemType Variables</i> .	
Server	Data Access Semantic Changes	Support semantic changes of <i>AnalogItemType</i> items (<i>EURange Property</i> and/or <i>EngineeringUnits Property</i>). Support semantic change <i>StatusCode</i> bits where appropriate.	
Server	Data Access TwoState	Support <i>TwoStateDiscreteType Variables</i> with corresponding <i>Properties</i> .	
Server	Data Access MultiState	Support <i>MultiStateDiscreteType Variables</i> with corresponding <i>Properties</i> .	
Server	Data Access ArrayItemType	Provide <i>Variables</i> of <i>ArrayItemType</i> or one of its subtypes (<i>YArrayItemType</i> , <i>XYArrayItemType</i> , <i>ImageArrayType</i> , <i>CubeArrayType</i> and <i>NDimensionArrayType</i>). The supported subtypes will be listed. Support for this type includes supporting all of the mandatory properties including <i>AxisInformation</i> .	
Server	Data Access Complex Number	Supports the Complex Number data type. This data type is available for any variable types that do not have other explicit restrictions.	
Server	Data Access DoubleComplex Number	Supports the DoubleComplex Number data type. This data type is available for any variable types that do not have other explicit restrictions.	
Client	Data Access Client Basic	Understand the <i>DataAccess Variable Types</i> . Make use of the standard <i>Properties</i> if applicable.	
Client	Data Access Client Deadband	Use <i>PercentDeadband</i> to filter value changes of <i>AnalogItemType Variables</i> .	
Client	Data Access Client SemanticChange	Recognize the semantic change bit in the <i>StatusCode</i> while monitoring items and take proper action. Typically, the <i>Client</i> has to re-read <i>Properties</i> that define type-specific semantic like the <i>EURange</i> and <i>EngineeringUnits Properties</i> .	

Table 16 describes *Alarm* and *Conditions* information model related items that can be profiled. The details of this model are defined in Part 9. *Servers* that deal with *Alarm* and *Conditions* would expose this information model and *Client* that process *Alarms* and *Conditions* would utilize this information model.

Table 16 – Alarms and Conditions

Category	Title	Description	Derived
Server	A & C Basic	Supports <i>Alarm & Condition</i> model <i>ConditionType</i> .	
Server	A & C Enable	Supports <i>Enable</i> and <i>Disable Methods</i> .	
Server	A & C Refresh	Supports <i>ConditionRefresh Method</i> and the concept of a refresh.	

Category	Title	Description	Derived
Server	A & C Instances	Support the exposing of A&C <i>Conditions</i> in the <i>AddressSpace</i> .	
Server	A & C ConditionClasses	Supports multiple <i>Condition</i> classes for grouping and filtering of <i>Alarms</i> .	
Server	A & C Acknowledge	Support Acknowledge, includes Acknowledge <i>Method</i> , Acknowledgeable type.	
Server	A & C Confirm	Support confirming <i>Conditions</i> , includes Confirm method.	
Server	A & C Comment	Support Comments, includes AddComment <i>Method</i> .	
Server	A & C Alarm	Support for Basic <i>Alarm</i> functionality, including active, inactive states.	
Server	A & C Branch	Support for <i>Alarm</i> Branches which includes previous <i>Condition</i> Instances, i.e. conditions instance other than the current condition that still requires some operator action, such as acknowledgement or a dialog.	
Server	A & C Shelving	Support for the shelving mode, including the TimedShelve, OneShotShelve and Unshelve methods.	
Server	A & C Exclusive Level	Supports Exclusive Level <i>Alarm</i> type.	
Server	A & C Exclusive Limit	Supports Exclusive Limit <i>Alarms</i> . A <i>Server</i> that supports this must support one of the sub-types Level, Deviation or RateofChange.	
Server	A & C Exclusive Deviation	Supports Exclusive Deviation <i>Alarm</i> type.	
Server	A & C Exclusive RateofChange	Supports Exclusive RateofChange <i>Alarm</i> type.	
Server	A & C Non-Exclusive Limit	Supports Non-Exclusive Limit <i>Alarms</i> . A <i>Server</i> that supports this must support one of the sub-types Level, Deviation or RateofChange.	
Server	A & C Non-Exclusive Level	Supports Non-Exclusive Level <i>Alarm</i> type.	
Server	A & C Non-Exclusive Deviation	Supports Non-Exclusive Deviation <i>Alarm</i> type.	
Server	A & C Non-Exclusive RateofChange	Supports Non-Exclusive RateofChange <i>Alarm</i> type.	
Server	A & C Discrete	Supports Discrete <i>Alarm</i> types.	
Server	A & C Off Normal	Supports Off Normal <i>Alarm</i> type.	
Server	A & C Trip	Supports Trip <i>Alarm</i> type.	
Server	A & C Dialog	Supports DialogConditionType including Respond <i>Method</i> .	
Server	A & E Wrapper Mapping	The server uses the COM A&E mapping provided in the annex of Part 9 to map COM events to A&C events, this includes <i>Condition</i> Class mapping.	
Client	A & C Basic Client	Uses the <i>Alarm & Condition</i> model ConditionType.	
Client	A & C Enable Client	Uses Enable and Disable Methods.	
Client	A & C Refresh Client	Uses ConditionRefresh <i>Method</i> and the concept of a refresh.	
Client	A & C Instances Client	Uses A&C <i>Conditions</i> that are exposed in the <i>AddressSpace</i> .	
Client	A & C ConditionClasses Client	Uses <i>Condition</i> classes to group <i>Alarms</i> .	
Client	A & C Acknowledge Client	Uses Acknowledge, including Acknowledge <i>Method</i> , Acknowledgeable type.	
Client	A & C Confirm Client	Uses confirming <i>Conditions</i> , including Confirm method.	

Category	Title	Description	Derived
Client	A & C Comment Client	Uses Comments, including <i>AddComment Method</i> .	
Client	A & C Alarm Client	Uses Basic <i>Alarm</i> functionality, including active, active states.	
Client	A & C Branch Client	Uses <i>Alarm Branches</i> which included previous <i>Condition</i> Instances, i.e. conditions instance other than the current condition that still requires some action, such as acknowledgement or confirmation.	
Client	A & C Shelving Client	Uses the shelving model, including the <i>TimedShelve</i> , <i>OneShotShelve</i> and <i>Unshelve</i> methods.	
Client	A & C Exclusive Level Client	Uses Exclusive Level <i>Alarms</i> as defined.	
Client	A & C Exclusive Limit Client	Uses Exclusive Limit <i>Alarms</i> . Requires that at least one of the sub-types be used.	
Client	A & C Exclusive Deviation Client	Uses Exclusive Deviation <i>Alarms</i> .	
Client	A & C Exclusive RateofChange Client	Uses Exclusive RateofChange <i>Alarms</i> .	
Client	A & C Non-Exclusive Level Client	Uses Non-Exclusive Level <i>Alarms</i> .	
Client	A & C Non-Exclusive Limit Client	Uses Non-Exclusive Limit <i>Alarms</i> . Requires that at least one of the sub-types be used.	
Client	A & C Non-Exclusive Deviation Client	Uses Non-Exclusive Deviation <i>Alarms</i> .	
Client	A & C Non-Exclusive RateofChange Client	Uses Non-Exclusive RateofChange <i>Alarms</i> .	
Client	A & C Discrete Client	Uses Discrete <i>Alarm</i> types.	
Client	A & C Off Normal Client	Uses the Off Normal <i>Alarm</i> types.	
Client	A & C Trip Client	Uses the Trip <i>Alarm</i> type.	
Client	A & C Dialog Client	Uses the <i>DialogConditionType</i> including <i>Respond Method</i> .	

Table 17 describes Historical Data Access information model related items that can be profiled. The details of this model are defined in Part 11. *Servers* that support some level of historical data would expose this information model and *Clients* that utilize historical data would utilize this information model.

Table 17 – Historical Access

Category	Title	Description	Derived
Server	Historical Access Read Raw	General support for basic historical access, reading raw data using the <i>ReadRawModifiedDetails</i> structure. Where the time range is specified using a start time, stop time and number of values (a minimum of two of the three parameters must be provided) and the <i>ReadModified</i> flag is set to <i>False</i> .	
Server	Historical Access Data Max Nodes Read Continuation Point	Supports enough continuation points to cover the number of supported points indicated in the <i>MaxNodesPerHistoryRead</i> <i>Server</i> capabilities parameter for historical data access.	

Category	Title	Description	Derived
Server	Historical Access Time Instance	Supports reading historical data at a specified instance in time using the ReadAtTimeDetails structure.	
Server	Historical Access Aggregates	Supports reading one or more Aggregates of historical values of <i>Variables</i> using the ReadProcessedDetails structure. At least one of the Aggregates described in Part 13 must be supported. The complete list will be shown in the <i>Certificate</i> .	
Server	Historical Access Insert Value	Supports inserting historical values of <i>Variables</i> .	
Server	Historical Access Delete Value	Supports deleting historical values of <i>Variables</i> .	
Server	Historical Access Update Value	Supports replacing historical values of <i>Variables</i> .	
Server	Historical Access Modified Values	Supports maintaining old values for historical data that have been updated and the retrieval of these values using the ReadRawModifiedDetails structure (ReadModified flag set to true).	
Server	Historical Access Annotations	Supports the entry and retrieval of Annotations for historical data. The retrieval is accomplished using the standard historical read raw functionality (ReadRawModifiedDetails). The entry uses the standard historical update (UpdateStructureDataDetails) functionality.	
Server	Historical Access ServerTimestamp	Supports providing a ServerTimestamp (as well as the default SourceTimestamp).	
Server	Historical Access Structured Data Read Raw	Supports ReadRawModified historical access for structured data. Supporting the structure for an annotation is not considered supporting generic structured data.	
Server	Historical Access Structured Data Time Instance	Supports historical access for structured data. Supporting ReadAtTimeDetails for structured data. Supporting the structure for an annotation is not considered supporting generic structured data.	
Server	Historical Access Structured Data Insert	Supports historical access for structured data. Inserting Structured data. Supporting the structure for an annotation is not considered supporting generic structured data.	
Server	Historical Access Structured Data Delete	Supports historical access for structured data. Delete of existing data. Supporting the structure for an annotation is not considered supporting generic structured data.	
Server	Historical Access Structured Data Update	Supports historical access for structured data. Updates of existing data. Supporting the structure for an annotation is not considered supporting generic structured data.	
Server	Historical Access Structured Data Read Modified	Supports maintaining old values for historical structured data that have been updated and the retrieval of these values. Using the ReadRawModifiedDetails structure (ReadModified flag set to true) for structured data. Supporting the structure for an annotation is not considered supporting generic structured data.	
Server	Historical Access Events	Supports the retrieval of historical Events using the ReadEventDetails structure. This includes support for simple filtering of Events. The <i>Event</i> fields that are stored are server specific, but at least the mandatory fields of BaseEventType are required.	

Category	Title	Description	Derived
Server	Historical Access Event Max Events Read Continuation Point	Supports enough continuation points to cover the number of supported <i>Event</i> reads indicated in the <i>MaxEventsPerHistoryRead</i> <i>Server</i> capabilities parameter for Historical <i>Event</i> access.	
Server	Historical Access Insert Event	Supports inserting historical Events.	
Server	Historical Access Update Event	Supports updating historical Events.	
Server	Historical Access Delete Event	Supports deleting of historical Events.	
Client	Historical Access Client Browse	Uses the View <i>Service</i> Set to discover <i>Nodes</i> with historical data.	
Client	Historical Access Client Read Raw	Uses the HistoryRead <i>Service</i> to read raw historical data using the ReadRawModifiedDetails Structure (ReadModified Flag set to False).	
Client	Historical Access Client Read Modified	Uses the HistoryRead <i>Service</i> to read modified historical data using the ReadRawModifiedDetails Structure (ReadModified Flag set to True).	
Client	Historical Access Client Read Aggregates	Uses the HistoryRead <i>Service</i> to read Aggregated historical data. This includes using at least one of the Aggregates defined in Part 13. The complete list of Aggregates used by the <i>Client</i> is included in the results of this <i>ConformanceUnit</i> .	
Client	Historical Access Client Structure Data Raw	Uses the HistoryRead <i>Service</i> to read raw historical data using the ReadRawModifiedDetails Structure (ReadModified Flag set to False) for structured data.	
Client	Historical Access Client Structure Data Read Modified	Uses the HistoryRead <i>Service</i> to read modified structured historical data using the ReadRawModifiedDetails Structure (ReadModified Flag set to True).	
Client	Historical Access Client Structure Data Insert	Uses the HistoryUpdate <i>Service</i> to insert historical data values for structured data.	
Client	Historical Access Client Structure Data Delete	Uses the HistoryUpdate <i>Service</i> to delete historical data values for structured data.	
Client	Historical Access Client Structure Data Update	Uses the HistoryUpdate <i>Service</i> to update historical data values for structured data.	
Client	Historical Access Client Structure Data Time Instance	Reads historical data at a specified instance in time for structured data. Using the ReadAtTimeDetails structure.	
Client	Historical Access Client Read Events	Uses the HistoryRead <i>Service</i> to read historical <i>Event</i> data using the ReadEventDetails Structure.	
Client	Historical Access Client Event Inserts	Uses the HistoryUpdate <i>Service</i> to insert historical Events.	
Client	Historical Access Client Event Updates	Uses the HistoryUpdate <i>Service</i> to update historical Events.	
Client	Historical Access Client Event Deletes	Uses the HistoryUpdate <i>Service</i> to delete historical Events.	
Client	Historical Access Client Data Insert	Uses the HistoryUpdate <i>Service</i> to insert historical data values.	
Client	Historical Access Client Data Delete	Uses the HistoryUpdate <i>Service</i> to delete historical data values.	
Client	Historical Access Client Data Update	Uses the HistoryUpdate <i>Service</i> to update historical data values.	

Category	Title	Description	Derived
Client	Historical Access Client Annotations	Enters and retrieves Annotations of historical data. The retrieval is accomplished using the standard historical read raw functionality (ReadRawModifiedDetails). The entry uses the standard Historical Update (UpdateStructureDataDetails) functionality.	
Client	Historical Access Client Time Instance	Reads historical data at a specified instance in time using the ReadAtTimeDetails structure.	
Client	Historical Access Client Server Timestamp	Uses the ServerTimestamp (as well as the default SourceTimestamp), if it is provided by the <i>Server</i> .	

Table 18 describes Aggregate related items that can be profiled. *Servers* that support the Aggregates would expose this functionality and *Clients* that utilize Aggregates would implement some of this functionality.

Table 18 – Aggregates

Category	Title	Description	Derived
Server	Aggregate master configuration	Supports at least one master AggregateConfigurationType <i>Object</i> as part of the <i>Server</i> configuration.	
Server	Aggregate optional configuration	Supports at least one optional AggregateConfigurationType <i>Object</i> . Optional AggregateConfigurationType <i>Objects</i> occur at different levels from the master AggregateConfigurationType <i>Object</i> .	
Server	Aggregate - Interpolative	Supports the Interpolative Aggregate for Historical access.	
Server	Aggregate - Average	Supports the Average Aggregate for Historical access.	
Server	Aggregate - TimeAverage	Supports the TimeAverage Aggregate for Historical access.	
Server	Aggregate - TimeAverage2	Supports the TimeAverage2 Aggregate for Historical access.	
Server	Aggregate - Total	Supports the Total Aggregate for Historical access.	
Server	Aggregate - Total2	Supports the Total2 Aggregate for Historical access.	
Server	Aggregate - Minimum	Supports the Minimum Aggregate for Historical access.	
Server	Aggregate - MinimumActualTime	Supports the MinimumActualTime Aggregate for Historical access.	
Server	Aggregate - Minimum2	Supports the Minimum2 Aggregate for Historical access.	
Server	Aggregate - MinimumActualTime2	Supports the MinimumActualTime2 Aggregate for Historical access.	
Server	Aggregate - Maximum	Supports the Maximum Aggregate for Historical access.	
Server	Aggregate - MaximumActualTime	Supports the MaximumActualTime Aggregate for Historical access.	
Server	Aggregate - Maximum2	Supports the Maximum2 Aggregate for Historical access.	
Server	Aggregate - MaximumActualTime2	Supports the MaximumActualTime2 Aggregate for Historical access.	
Server	Aggregate - Range	Supports the Range Aggregate for Historical access.	

Category	Title	Description	Derived
Server	Aggregate - Range2	Supports the Range2 Aggregate for Historical access.	
Server	Aggregate - Count	Supports the Count Aggregate for Historical access.	
Server	Aggregate - DurationInStateZero	Supports the DurationInStateZero Aggregate for Historical access.	
Server	Aggregate - DurationInStateNonZero	Supports the DurationInStateNonZero Aggregate for Historical access.	
Server	Aggregate - NumberOfTransitions	Supports the NumberOfTransitions Aggregate for Historical access.	
Server	Aggregate - Start	Supports the Start Aggregate for Historical access.	
Server	Aggregate - StartBound	Supports the StartBound Aggregate for Historical access.	
Server	Aggregate - End	Supports the End Aggregate for Historical access.	
Server	Aggregate - EndBound	Supports the EndBound Aggregate for Historical access.	
Server	Aggregate - Delta	Supports the Delta Aggregate for Historical access.	
Server	Aggregate - DeltaBounds	Supports the DeltaBounds Aggregate for Historical access.	
Server	Aggregate - DurationGood	Supports the DurationGood Aggregate for Historical access.	
Server	Aggregate - DurationBad	Supports the DurationBad Aggregate for Historical access.	
Server	Aggregate - PercentGood	Supports the PercentGood Aggregate for Historical access.	
Server	Aggregate - PercentBad	Supports the PercentBad Aggregate for Historical access.	
Server	Aggregate - WorstQuality	Supports the WorstQuality Aggregate for Historical access.	
Server	Aggregate - WorstQuality2	Supports the WorstQuality2 Aggregate for Historical access.	
Server	Aggregate - AnnotationCount	Supports the AnnotationCount Aggregate for Historical access.	
Server	Aggregate - StandardDeviationSample	Supports the StandardDeviationSample Aggregate for Historical access.	
Server	Aggregate - VarianceSample	Supports the VarianceSample Aggregate for Historical access.	
Server	Aggregate - StandardDeviationPopulation	Supports the StandardDeviationPopulation for Historical access.	
Server	Aggregate - VariancePopulation	Supports the VariancePopulation for Historical access.	
Server	Aggregate - Custom	The <i>Server</i> supports custom Aggregates for Historical access that do not have standard tests defined. These Aggregates are list as untested by this <i>ConformanceUnit</i> .	
Server	Aggregate Subscription - Filter	Supports Aggregate subscription filters which requires at least one of the defined Aggregates is supported as defined in Part 13.	
Server	Aggregate Subscription - Interpolative	Supports subscription filter for the Interpolative Aggregate.	
Server	Aggregate Subscription - Average	Supports subscription filter for the Average Aggregate.	
Server	Aggregate Subscription - TimeAverage	Supports subscription filter for the TimeAverage Aggregate.	
Server	Aggregate Subscription - TimeAverage2	Supports subscription filter for the TimeAverage2 Aggregate.	

Category	Title	Description	Derived
Server	Aggregate Subscription - Total	Supports subscription filter for the Total Aggregate.	
Server	Aggregate Subscription - Total2	Supports subscription filter for the Total2 Aggregate.	
Server	Aggregate Subscription - Minimum	Supports subscription filter for the Minimum Aggregate.	
Server	Aggregate Subscription - MinimumActualTime	Supports subscription filter for the MinimumActualTime Aggregate.	
Server	Aggregate Subscription - Minimum2	Supports subscription filter for the Minimum2 Aggregate.	
Server	Aggregate Subscription - MinimumActualTime2	Supports subscription filter for the MinimumActualTime2 Aggregate.	
Server	Aggregate Subscription - Maximum	Supports subscription filter for the Maximum Aggregate.	
Server	Aggregate Subscription - MaximumActualTime	Supports subscription filter for the MaximumActualTime Aggregate.	
Server	Aggregate Subscription - Maximum2	Supports subscription filter for the Maximum2 Aggregate.	
Server	Aggregate Subscription - MaximumActualTime2	Supports subscription filter for the MaximumActualTime2 Aggregate.	
Server	Aggregate Subscription - Range	Supports subscription filter for the Range Aggregate.	
Server	Aggregate Subscription - Range2	Supports subscription filter for the Range2 Aggregate.	
Server	Aggregate Subscription - Count	Supports subscription filter for the Count Aggregate.	
Server	Aggregate Subscription - DurationInStateZero	Supports subscription filter for the DurationInStateZero Aggregate.	
Server	Aggregate Subscription - DurationInStateNonZero	Supports subscription filter for the DurationInStateNonZero Aggregate.	
Server	Aggregate Subscription - NumberOfTransitions	Supports subscription filter for the NumberOfTransitions Aggregate.	
Server	Aggregate Subscription - Start	Supports subscription filter for the Start Aggregate.	
Server	Aggregate Subscription - StartBound	Supports subscription filter for the StartBound Aggregate.	
Server	Aggregate Subscription - End	Supports subscription filter for the End Aggregate.	
Server	Aggregate Subscription - EndBound	Supports subscription filter for the EndBound Aggregate.	
Server	Aggregate Subscription - Delta	Supports subscription filter for the Delta Aggregate.	
Server	Aggregate Subscription - DeltaBounds	Supports subscription filter for the DeltaBounds Aggregate.	
Server	Aggregate Subscription - DurationGood	Supports subscription filter for the DurationGood Aggregate.	
Server	Aggregate Subscription - DurationBad	Supports subscription filter for the DurationBad Aggregate.	
Server	Aggregate Subscription - PercentGood	Supports subscription filter for the PercentGood Aggregate.	
Server	Aggregate Subscription - PercentBad	Supports subscription filter for the PercentBad Aggregate.	
Server	Aggregate Subscription - WorstQuality	Supports subscription filter for the WorstQuality Aggregate.	
Server	Aggregate Subscription - WorstQuality2	Supports subscription filter for the WorstQuality2 Aggregate.	
Server	Aggregate Subscription - AnnotationCount	Supports subscription filter for the AnnotationCount Aggregate.	

Category	Title	Description	Derived
Server	Aggregate Subscription - StandardDeviationSample	Supports subscription filter for the StandardDeviationSample Aggregate.	
Server	Aggregate Subscription - VarianceSample	Supports subscription filter for the VarianceSample Aggregate.	
Server	Aggregate Subscription - StandardDeviationPopulation	Supports subscription filter for the StandardDeviationPopulation Aggregate.	
Server	Aggregate Subscription - VariancePopulation	Supports subscription filter for the VariancePopulation Aggregate.	
Server	Aggregate Subscription - Custom	The <i>Server</i> supports subscribing to custom Aggregates that do not have standard tests defined. These Aggregates are listed as untested by this <i>ConformanceUnit</i> .	
Client	Aggregate - Client Usage	Uses Historical access to Aggregate which requires at least one of the defined Aggregates is supported as defined in Part 13.	
Client	Aggregate - Client Interpolative	Uses Historical access to the Interpolative Aggregate.	
Client	Aggregate - Client Average	Uses Historical access to the Average Aggregate.	
Client	Aggregate - Client TimeAverage	Uses Historical access to the TimeAverage Aggregate.	
Client	Aggregate - Client TimeAverage2	Uses Historical access to the TimeAverage2 Aggregate.	
Client	Aggregate - Client Total	Uses Historical access to the Total Aggregate.	
Client	Aggregate - Client Total2	Uses Historical access to the Total2 Aggregate.	
Client	Aggregate - Client Minimum	Uses Historical access to the Minimum Aggregate.	
Client	Aggregate - Client MinimumActualTime	Uses Historical access to the MinimumActualTime Aggregate.	
Client	Aggregate - Client Minimum2	Uses Historical access to the Minimum2 Aggregate.	
Client	Aggregate - Client MinimumActualTime2	Uses Historical access to the MinimumActualTime2 Aggregate.	
Client	Aggregate - Client Maximum	Uses Historical access to the Maximum Aggregate.	
Client	Aggregate - Client MaximumActualTime	Uses Historical access to the MaximumActualTime Aggregate.	
Client	Aggregate - Client Maximum2	Uses Historical access to the Maximum2 Aggregate.	
Client	Aggregate - Client MaximumActualTime2	Uses Historical access to the MaximumActualTime2 Aggregate.	
Client	Aggregate - Client Range	Uses Historical access to the Range Aggregate.	
Client	Aggregate - Client Range2	Uses Historical access to the Range2 Aggregate.	
Client	Aggregate - Client Count	Uses Historical access to the Count Aggregate.	
Client	Aggregate - Client DurationInStateZero	Uses Historical access to the DurationInStateZero Aggregate.	
Client	Aggregate - Client DurationInStateNonZero	Uses Historical access to the DurationInStateNonZero Aggregate.	
Client	Aggregate - Client NumberOfTransitions	Uses Historical access to the NumberOfTransitions Aggregate.	
Client	Aggregate - Client Start	Uses Historical access to the Start Aggregate.	
Client	Aggregate - Client StartBound	Uses Historical access to the StartBound Aggregate.	
Client	Aggregate - Client End	Uses Historical access to the End Aggregate.	
Client	Aggregate - Client EndBound	Uses Historical access to the EndBound Aggregate.	
Client	Aggregate - Client Delta	Uses Historical access to the Delta Aggregate.	
Client	Aggregate - Client DeltaBounds	Uses Historical access to the DeltaBounds Aggregate.	

Category	Title	Description	Derived
Client	Aggregate - Client DurationGood	Uses Historical access to the DurationGood Aggregate.	
Client	Aggregate - Client DurationBad	Uses Historical access to the DurationBad Aggregate.	
Client	Aggregate - Client PercentGood	Uses Historical access to the PercentGood Aggregate.	
Client	Aggregate - Client PercentBad	Uses Historical access to the PercentBad Aggregate.	
Client	Aggregate - Client WorstQuality	Uses Historical access to the WorstQuality Aggregate.	
Client	Aggregate - Client WorstQuality2	Uses Historical access to the WorstQuality2 Aggregate.	
Client	Aggregate - Client AnnotationCount	Uses Historical access to the AnnotationCount Aggregate.	
Client	Aggregate - Client StandardDeviationSample	Uses Historical access to the StandardDeviationSample Aggregate.	
Client	Aggregate - Client VarianceSample	Uses Historical access to the VarianceSample Aggregate.	
Client	Aggregate - Client StandardDeviationPopulation	Uses Historical access to the StandardDeviationPopulation Aggregate.	
Client	Aggregate - Client VariancePopulation	Uses Historical access to the VariancePopulation Aggregate.	
Client	Aggregate - Client Custom Aggregates	The <i>Client</i> can make use of all custom Aggregates in the list of Aggregates, via Historical access, exposed by the <i>Server</i> . This includes displaying or utilizing the data in some manner.	
Client	Aggregate Subscription - Client Filter	Subscribes for data using Aggregate filters which requires at least one of the Aggregates defined in Part 13 is supported.	
Client	Aggregate Subscription - Client Interpolative	Subscribes for data using the Interpolative Aggregate filter.	
Client	Aggregate Subscription - Client Average	Subscribes for data using the Average Aggregate filter.	
Client	Aggregate Subscription - Client TimeAverage	Subscribes for data using the TimeAverage Aggregate filter.	
Client	Aggregate Subscription - Client TimeAverage2	Subscribes for data using the TimeAverage2 Aggregate filter.	
Client	Aggregate Subscription - Client Total	Subscribes for data using the Total Aggregate filter.	
Client	Aggregate Subscription - Client Total2	Subscribes for data using the Total2 Aggregate filter.	
Client	Aggregate Subscription - Client Minimum	Subscribes for data using the Minimum Aggregate filter.	
Client	Aggregate Subscription - Client MinimumActualTime	Subscribes for data using the MinimumActualTime Aggregate filter.	
Client	Aggregate Subscription - Client Minimum2	Subscribes for data using the Minimum2 Aggregate filter.	
Client	Aggregate Subscription - Client MinimumActualTime2	Subscribes for data using the MinimumActualTime2 Aggregate filter.	
Client	Aggregate Subscription - Client Maximum	Subscribes for data using the Maximum Aggregate filter.	
Client	Aggregate Subscription - Client MaximumActualTime	Subscribes for data using the MaximumActualTime Aggregate filter.	
Client	Aggregate Subscription - Client MaximumActualTime2	Subscribes for data using the MaximumActualTime2 Aggregate filter.	
Client	Aggregate Subscription - Client Maximum2	Subscribes for data using the Maximum2 Aggregate filter.	

Category	Title	Description	Derived
Client	Aggregate Subscription - Client Range	Subscribes for data using the Range Aggregate filter.	
Client	Aggregate Subscription - Client Range2	Subscribes for data using the Range2 Aggregate filter.	
Client	Aggregate Subscription - Client Count	Subscribes for data using the Count Aggregate filter.	
Client	Aggregate Subscription - Client DurationInStateZero	Subscribes for data using the DurationInStateZero Aggregate filter.	
Client	Aggregate Subscription - Client DurationInStateNonZero	Subscribes for data using the DurationInStateNonZero Aggregate filter.	
Client	Aggregate Subscription - Client NumberOfTransition	Subscribes for data using the NumberOfTransitions Aggregate filter.	
Client	Aggregate Subscription - Client Start	Subscribes for data using the Start Aggregate filter.	
Client	Aggregate Subscription - Client StartBound	Subscribes for data using the StartBound Aggregate filter.	
Client	Aggregate Subscription - Client End	Subscribes for data using the End Aggregate filter.	
Client	Aggregate Subscription - Client EndBound	Subscribes for data using the EndBound Aggregate filter.	
Client	Aggregate Subscription - Client Delta	Subscribes for data using the Delta Aggregate filter.	
Client	Aggregate Subscription - Client DeltaBounds	Subscribes for data using the DeltaBounds Aggregate filter.	
Client	Aggregate Subscription - Client DurationGood	Subscribes for data using the DurationGood Aggregate filter.	
Client	Aggregate Subscription - Client DurationBad	Subscribes for data using the DurationBad Aggregate filter.	
Client	Aggregate Subscription - Client PercentGood	Subscribes for data using the PercentGood Aggregate filter.	
Client	Aggregate Subscription - Client PercentBad	Subscribes for data using the PercentBad Aggregate filter.	
Client	Aggregate Subscription - Client WorstQuality	Subscribes for data using the WorstQuality Aggregate filter.	
Client	Aggregate Subscription - Client WorstQuality2	Subscribes for data using the WorstQuality2 Aggregate filter.	
Client	Aggregate Subscription - Client AnnotationCount	Subscribes for data using the AnnotationCount Aggregate filter.	
Client	Aggregate Subscription - Client StandardDevSample	Subscribes for data using the StandardDeviationSample Aggregate filter.	
Client	Aggregate Subscription - Client VarianceSample	Subscribes for data using the VarianceSample Aggregate filter.	
Client	Aggregate Subscription - Client StandardDevPopulation	Subscribes for data using the StandardDeviationPopulation Aggregate filter.	
Client	Aggregate Subscription - Client VariancePopulation	Subscribes for data using the VariancePopulation Aggregate filter.	
Client	Aggregate Subscription - Client Custom Aggregates	The <i>Client</i> supports subscribing to all custom Aggregates in the list of Aggregates exposed by the <i>Server</i> . This includes displaying or utilizing the data in some manner.	

Table 19 describes auditing related items that can be profiled. Most full function *Servers* would support these features, although some resource constrained *Servers* may not provide this functionality. *Clients* that are security aware or are used to support security logging would support these features

Table 19 – Auditing

Category	Title	Description	Derived
Server	Auditing Base	Support AuditEvents. The list of supported AuditEvents shall be verified during certification testing and will be shown in the <i>Certificate</i> . Base AuditEvents are defined in Part 3 and in Part 5.	
Client	Auditing Client Audit ID	<i>Client</i> supports generating AuditEvents ids and providing them to <i>Servers</i> .	
Client	Auditing Client Subscribes	The <i>Client</i> supports subscribing for AuditEvents and storing / processing them in a secure manner.	

Table 20 describes Redundancy related items that are profiled. *Servers* that support redundancy would support appropriate *ConformanceUnits* based on the type of redundancy they support. *Clients* that are capable of handling redundancy would support the appropriate *ConformanceUnits* based of the type of redundancy they support.

Table 20 – Redundancy

Category	Title	Description	Derived
Server	Redundancy Server	Supports <i>Server</i> based redundancy.	
Server	Redundancy Server Transparent	Supports transparent <i>Server</i> redundancy.	
Client	Redundancy Client	<i>Client</i> supports <i>Client</i> redundancy. <i>Clients</i> that support <i>Client</i> redundancy can failover to another <i>Client</i> (requires some out of band communication).	
Client	Redundancy Client Switch	<i>Clients</i> supporting this <i>ConformanceUnit</i> monitor the redundancy status for non-transparent redundancy <i>Servers</i> and switch to the backup <i>Server</i> when they recognize a change in server status.	

5.5 Miscellaneous

The following table describes miscellaneous *ConformanceUnits*.

Each table includes a listing of the *Profile Category* to which a *ConformanceUnit* belongs, the title and description of the *ConformanceUnit* and a column that indicates if the *ConformanceUnit* is derived from another *ConformanceUnit*. A *ConformanceUnit* that is derived from another *ConformanceUnit* includes all of the same tests as its parent plus one or more additional TestCases. These TestCases can only further restrict the existing TestCases.

Table 21 - Miscellaneous

Category	Title	Description	Derived
Client, Server	Documentation - Supported Profiles	The documentation includes a description of the profiles supported by the product. This description includes the level of Certification testing the product has passed.	
Client, Server	Documentation - Multiple Languages	The documentation is available in multiple languages. The results of this conformance unit include the list of supported languages.	
Client, Server	Documentation - Users Guide	The application includes documentation that describes the available functionality provided by the application. For <i>Servers</i> it includes a summary of all functionality provided by the <i>Server</i> .	
Client, Server	Documentation – On-line	The documentation provided by the application is available in electronic format as part of the application. The electronic documentation could be a WEB page, installed document or CD/DVD, but in all case it can be accessed from the application or from a link installed with the application.	

Category	Title	Description	Derived
Client, Server	Documentation – Installation	The application includes installation instructions that are sufficient to easily install the application. This includes descriptions of any and all possible configuration items. Instructions for loading or configuring security related items such as Application Instance Certificates.	
Client, Server	Documentation - Trouble Shooting Guide	The application includes documentation that describes typical problems a user may encounter and actions that the user could perform to resolve the problem. It could also describe tip, tricks or other actions that could help a user diagnose or fix a problem. It could also describe tools or other items that can be used in diagnosing or repairing problems. The actual Trouble Shooting Guide can be part of other documentation, but should be complete enough to provide useful information to a novice user.	

6 Profiles

6.1 Overview

This section includes a listing of the categories that a *Profile* can be grouped into, a list of named *Profiles* and the detailed listing of each *Profile* including directly defined *ConformanceUnits* and any sub *Profiles* that are included in the *Profile*.

6.2 Profile List

Table 22 lists *Profiles*. The *Profile* table is ordered by *Profile* category and then alphabetically by the name of the *Profile*. The table includes a list of categories the *Profile* is associated with and a URI. The URI is used to uniquely identify a *Profile*. The URI shall be able to be used to access the information provided in this document with regard to the given *Profile* in an on-line display. This URI is also included in the *SoftwareCertificate* associated with the *Profile*. The URI is case sensitive. The OPC Foundation WEB site may also contain additional categories, which are specific to OPC Foundation generated companion standards, but not part of the OPC UA core standards.

An application (*Client* or *Server*) shall implement all of the *ConformanceUnits* in a *Profile* in order to be compliant with the *Profile*. Some *Profiles* contain optional *ConformanceUnits*. An optional *ConformanceUnit* means that an application has the option to not support the *ConformanceUnit*. However, if supported, the application shall pass all tests associated with the *ConformanceUnit*. For example, some *ConformanceUnits* require specific information model items to be available. They are, therefore, listed as optional in order to allow for the information model items to be omitted. If a *Server* desires to be listed as supporting the optional *ConformanceUnit* then it shall include any required information model items in the configuration provided for certification testing. The support for optional *ConformanceUnits* is described in the certificate that is generated by the associated testing. Optional *ConformanceUnits* are clearly identified in this document and as part of the software certificate that describes the *Profiles* supported by a product. The software certificate must show all optional *ConformanceUnits* and if they are support. Any on-line displays that list the *Profiles* a product supports must also include the optional *ConformanceUnits*. Some *ConformanceUnits* also include lists of supported DataTypes or optional Subtypes, the list are handled in the same manner as optional *ConformanceUnits*. All reporting requirements for optional *ConformanceUnits* also apply to these lists of supported DataTypes or Subtypes.

Table 22 – Profile List

Profile	Related Category	URI
Core Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/CoreFacet
Base Server Behaviour Facet	Server	http://opcfoundation.org/UA-Profile/Server/Behaviour
Attribute WriteMask Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/AttributeWriteMask
File Access Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/FileAccess
Documentation - Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/Documentation
Embedded DataChange Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/EmbeddedDataChangeSubscription
Standard DataChange Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/StandardDataChangeSubscription
Enhanced DataChange Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/EnhancedDataChangeSubscription
Data Access Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/DataAccess
ComplexType Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ComplexTypes
Standard Event Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/StandardEventSubscription

Profile	Related Category	URI
Address Space Notifier Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/AddressSpaceNotifier
A & C Base Condition Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACBaseCondition
A & C Address Space Instance Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACAddressSpaceInstance
A & C Enable Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACEnable
A & C Alarm Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACAlarm
A & C Acknowledgeable Alarm Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACAckAlarm
A & C Exclusive Alarming Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACExclusiveAlarming
A & C Non-Exclusive Alarming Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACNon-ExclusiveAlarming
A & C Previous Instances Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACPreviousInstances
A & C Dialog Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ACDialog
A & E Wrapper Facet	Server	http://opcfoundation.org/UA-Profile/Server/AEWrapper
Method Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/Methods
Auditing Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/Auditing
Node Management Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/NodeManagement
Client Redundancy Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/ClientRedundancy
Redundancy Transparent Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/TransparentRedundancy
Redundancy Visible Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/VisibleRedundancy
Historical Raw Data Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalRawData
Historical Aggregate Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/AggregateHistorical
Historical Access Structured Data Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalStructuredData
Historical Data AtTime Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataAtTime
Historical Access Modified Data Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalModifiedData
Historical Annotation Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalAnnotation
Historical Data Update Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataUpdate
Historical Data Insert Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataInsert
Historical Data Delete Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalDataDelete
Base Historical Event Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/BaseHistoricalEvent
Historical Event Update Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalEventUpdate
Historical Event Insert Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalEventInsert
Historical Event Delete Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/HistoricalEventDelete
Aggregate Subscription Server Facet	Server	http://opcfoundation.org/UA-Profile/Server/AggregateSubscription

Profile	Related Category	URI
Nano Embedded Device Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/NanoEmbeddedDevice
Micro Embedded Device Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/MicroEmbeddedDevice
Embedded UA Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/EmbeddedUA
Standard UA Server Profile	Server	http://opcfoundation.org/UA-Profile/Server/StandardUA
Core Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Core
Base Client Behaviour Facet	Client	http://opcfoundation.org/UA-Profile/Client/Behaviour
Discovery Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Discovery
AddressSpace Lookup Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/AddressSpaceLookup
Entry-Level SupportClient Facet	Client	http://opcfoundation.org/UA-Profile/Client/Entry-LevelSupport
Multi-Server Client Connection Facet	Client	http://opcfoundation.org/UA-Profile/Client/MultiServer
File Access Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/FileAccess
Documentation - Client	Client	http://opcfoundation.org/UA-Profile/Client/Documentation
Attribute Read Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/AttributeRead
Attribute Write Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/AttributeWrite
DataChange Subscriber Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/DataChangeSubscriber
DataAccess Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/DataAccess
Event Subscriber Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/EventSubscriber
Notifier and Source Hierarchy Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/NotifierAndSourceHierarchy
A & C Base Condition Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACBaseCondition
A & C Address Space Instance Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACAddressSpaceInstance
A & C Enable Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACEnable
A & C Alarm Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACAlarm
A & C Exclusive Alarming Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACExclusiveAlarming
A & C Non-Exclusive Alarming Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACNon-ExclusiveAlarming
A & C Previous Instances Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACPreviousInstances
A & C Dialog Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/ACDialog
A & E Proxy Facet	Client	http://opcfoundation.org/UA-Profile/Client/AEProxy
Method Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Method
Auditing Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Auditing
Node Management Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/NodeManagement
Advanced Type Programming Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/TypeProgramming
Diagnostic Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Diagnostic
Redundant Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/Redundancy
Redundancy Switch Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/RedundancySwitch
Historical Access Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccess
Historical Annotation Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAnnotation
Historical Data AtTime Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccessAtTime

Profile	Related Category	URI
Historical Aggregate Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccessAggregate
Historical Data Update Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalUpdateData
Historical Data Insert Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalInsertData
Historical Data Delete Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalDeleteData
Historical Access Client Server Timestamp Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalServerTimeStamp
Historical Access Modified Data Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccessModifiedData
Historical Structured Data AtTime Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAtTimeStructuredData
Historical Structured Data Access Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalAccessStructuredData
Historical Structured Data Modified Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalModifiedStructuredData
Historical Structured Data Delete Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalDeleteStructuredData
Historical Structured Data Update Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalUpdateStructuredData
Historical Structured Data Insert Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalInsertStructuredData
Historical Events Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalEvents
Historical Event Update Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalUpdateEvents
Historical Event Delete Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalDeleteEvents
Historical Event Insert Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/HistoricalInsertEvents
Aggregate Subscriber Client Facet	Client	http://opcfoundation.org/UA-Profile/Client/AggregateSubscriber
User Token - Anonymous Facet	Security	http://opcfoundation.org/UA-Profile/Security/UserToken/Anonymous
User Token - User Name Password Server Facet	Server, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Server/UserNamePassword
User Token - X509 Certificate Server Facet	Server, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Server/X509Certificate
User Token - Issued Token Server Facet	Server, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Server/IssuedToken
User Token - Issued Token Windows Server Facet	Server, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Server/IssuedTokenWindows
User Token - User Name Password Client Facet	Client, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Client/UserNamePassword
User Token - X509 Certificate Client Facet	Client, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Client/X509Certificate
User Token - Issued Token Client Facet	Client, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Client/IssuedToken
User Token - Issued Token Windows Client Facet	Client, Security	http://opcfoundation.org/UA-Profile/Security/UserToken-Client/IssuedTokenWindows
UA-TCP UA-SC UA Binary	Transport	http://opcfoundation.org/UA-Profile/Transport/uatcp-uasc-uabinary
SOAP-HTTP WS-SC UA XML	Transport	http://opcfoundation.org/UA-Profile/Transport/soaphttp-wssc-uaxml

Profile	Related Category	URI
SOAP-HTTP WS-SC UA Binary	Transport	http://opcfoundation.org/UA-Profile/Transport/soaphttp-wssc-uabinary
SOAP-HTTP WS-SC UA XML-UA Binary	Transport	http://opcfoundation.org/UA-Profile/Transport/soaphttp-wssc-uaxml-uabinary
HTTPS UA Binary	Transport	http://opcfoundation.org/UA-Profile/Transport/https-uabinary
HTTPS UA XML	Transport	http://opcfoundation.org/UA-Profile/Transport/https-uasoapxml
Security User Access Control Full	Security, Server	http://opcfoundation.org/UA-Profile/Security/UserAccessFull
Security User Access Control Base	Security, Server	http://opcfoundation.org/UA-Profile/Security/UserAccessBase
Security Time Synchronization	Security	http://opcfoundation.org/UA-Profile/Security/TimeSync
Best Practice - Audit Events	Security, Server	http://opcfoundation.org/UA-Profile/Security/BestPracticeAuditEvents
Best Practice - Alarm Handling	Security, Server	http://opcfoundation.org/UA-Profile/Security/BestPracticeAlarmHandling
Best Practice - Program Access	Security, Server	http://opcfoundation.org/UA-Profile/Security/BestPracticeProgramAccess
Best Practice - Random Numbers	Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeRandomNumbers
Best Practice - Timeouts	Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeTimeouts
Best Practice - Administrative Access	Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeAdministrativeAccess
Best Practice - Strict Message Handling	Security, Server	http://opcfoundation.org/UA-Profile/Security/BestPracticeStrictMessage
Best Practice - Alarm Handling Client	Client, Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeAlarmHandlingClient
Best Practice - Audit Events Client	Client, Security	http://opcfoundation.org/UA-Profile/Security/BestPracticeAuditEventsClient
SecurityPolicy - None	Security	http://opcfoundation.org/UA/SecurityPolicy#None
SecurityPolicy - Basic128Rsa15	Security	http://opcfoundation.org/UA/SecurityPolicy#Basic128Rsa15
SecurityPolicy - Basic256	Security	http://opcfoundation.org/UA/SecurityPolicy#Basic256
SecurityPolicy - Basic256Sha256	Security	http://opcfoundation.org/UA/SecurityPolicy#Basic256Sha256
TransportSecurity - TLS 1.0	Security	http://opcfoundation.org/UA-Profile/TransportSecurity/TLS-1-0
TransportSecurity - TLS 1.1	Security	http://opcfoundation.org/UA-Profile/TransportSecurity/TLS-1-1
TransportSecurity - TLS 1.2	Security	http://opcfoundation.org/UA-Profile/TransportSecurity/TLS-1-2

The contents of each of the listed *Profiles* will be described in a tabular form in a separate section. Each table may contain references to additional *Profiles* and or *ConformanceUnits*. If a *Profile* is referenced it means that it is completely included. The *ConformanceUnits* are referenced using their name and conformance group. For the details of the *ConformanceUnit* the reader should examine the *ConformanceUnit* details in the appropriate conformance group section.

6.3 Conventions for Profile definitions

Profiles have the following naming conventions:

- *Profiles* intended for OPC UA *Servers* contain the term *Server* in their titles,
- *Profiles* intended for OPC UA *Clients* contain the term *Client* in their titles
- The term *Facet* in the title of a *Profile* indicates that this *Profile* is expected to be part of another larger *Profile* or concerns a specific aspect of OPC UA. *Profiles* with the term *Facet* in their title are expected to be combined with other *Profiles* to define the complete functionality of an OPC UA *Server* or *Client*.

6.4 Applications

A vendor that is developing a UA application, whether it is a *Server* application or a *Client* application, shall review the list of available *Profiles*. From this list the vendor shall select the *Profiles* that include the functionality required by the application. Typically this will be multiple *Profiles*. Conformance to a single *Profile* may not yield a complete application. In most cases multiple *Profiles* are needed to yield a useful application. All *Servers* and *Clients* shall support at least a core *Profile* (Core *Server Facet* or Core *Client Facet*) and at least one Transport *Profile*

For example an HMI *Client* application may choose to support the “Core *Client Facet*”, the “UA-TCP UA-SC UA Binary” *Profile*, the “Data Access *Client Facet*”, the “DataChange Subscriber *Client Facet*” and the “Attribute Write *Client Facet*”. If the *Client* is to be *TestLab* tested then it would also support “Base *Client Behaviour*” *Profile*. This list of *Profiles* would allow the *Client* to communicate with an OPC UA *Server* using UA-TCP/UA Security/UA binary. It would be able to subscribe for data, write to data and would support the DA data model. It would also follow the best practice guideline for behaviour.

Figure 2 illustrates the *Profile* hierarchy that this application may contain: This figure is just an illustration and the represented *Profiles* may change.

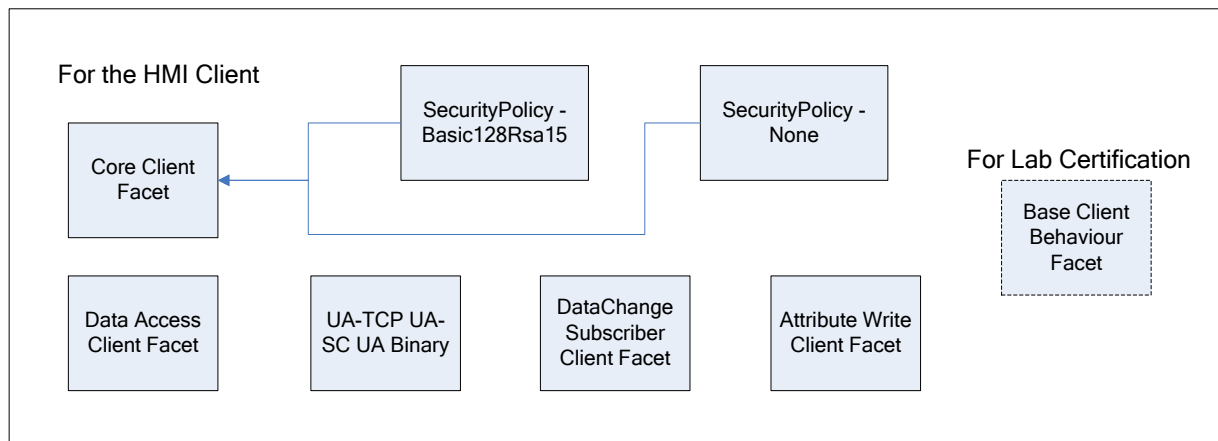


Figure 2 - HMI Client Sample

Another example is an embedded device OPC UA *Server* application that may choose to support “Embedded UA *Server*” *Profile* and the “DataAccess *Server Facet*” *Profile*. This device would be a resource constrained device that would support UA-TCP, UA-Security, UA Binary encoding, data subscriptions and the DA data model. It may not support the optional attribute write. Figure 3 illustrates the hierarchy that this application may contain: This figure is just an illustration and the represented *Profiles* may change.

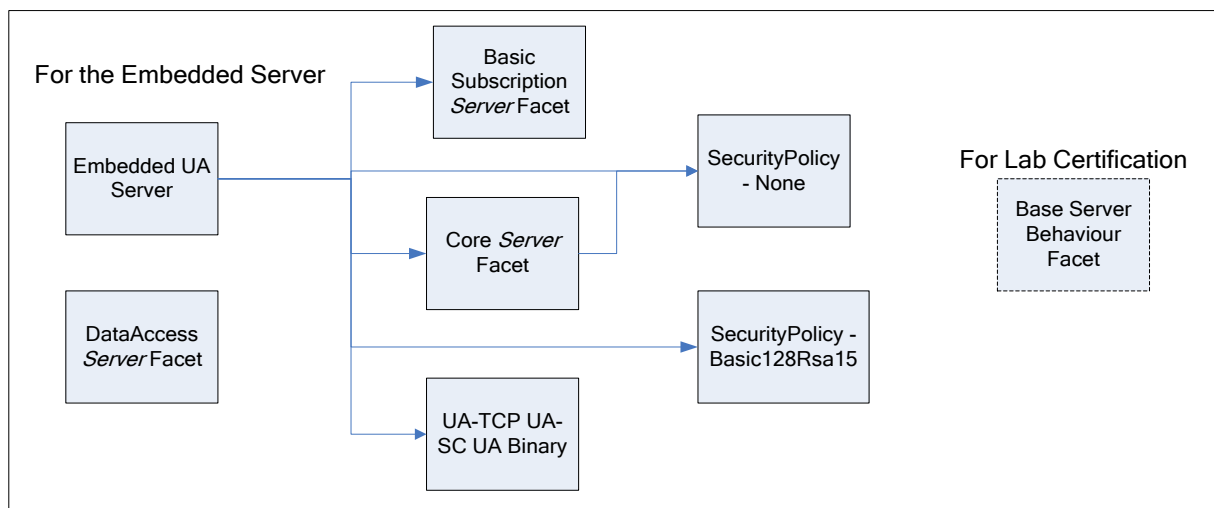


Figure 3 - Embedded Server Sample

Another simple system *Server* application may choose to support: “Standard UA *Server*” *Profile* and the “DataAccess *Server Facet*” *Profile*. If the *Server* is to be lab tested then it would also support “Base *Server Behaviour*” *Profile*. This device would be a mid-level OPC UA *Server* that would support all that the embedded *Server* in the previous example supported and it would add support for an enhance level of the subscription service and support for writes. Figure 4 illustrates the hierarchy that this application may contain: This figure is just an illustration and the represented *Profile* may change.

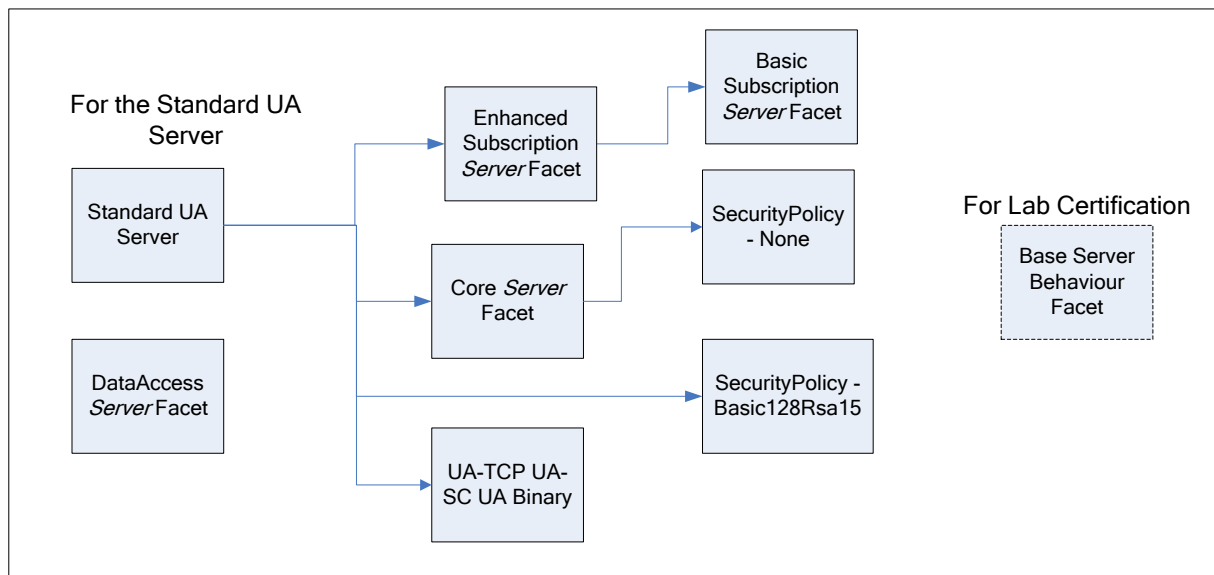


Figure 4 - Standard UA Server Sample

If the example HMI *Client* were to connect to either of the example *Servers*, it may have to adjust its behaviour based on the *Profile* reported by the respective *Servers*. If the HMI *Client* were communicating with the embedded device it would not be able to perform any write operations. It may also have to limit the number of subscription or sessions based on the performance limits of the *Server*. If the HMI *Client* connected to the Standard *Server* it would be able to open additional windows, have higher limits on performance related items and it would be able to allow writes.

If an End User were examining *Servers* or *Clients* for purchase then the user would examine the *Profiles* supported by each product. They would also check that the *Profiles* that describe the functionality they desire are available from both the *Server* and *Client* that they wish to purchase. The *Profile* and *ConformanceUnit* descriptions are available via the OPC Foundation WEB site. The *Profiles* supported by *Clients* and *Servers* and the level of testing that the OPC UA Applications have undergone are also available on the OPC

Foundation WEB site. End Users are encouraged to use the OPC Foundation WEB Site to review OPC UA products before purchasing them.

6.5 Profile Tables

6.5.1 Introduction

The following sections describe *Profiles* in a tabular format.

Each table contains three columns. The first column is a description of the conformance group that the *ConformanceUnit* is part of. This allows the reader to easily find the *ConformanceUnit*. This column may also state “*Profile*” in which case the listed item is not a *ConformanceUnit*, but an included *Profile*. The second column is a brief description of the *ConformanceUnit* or included *Profile*. The last column indicates if the *ConformanceUnit* is optional or required.

6.5.2 Core Server Facet

Table 23 describes the details of the Core *Server* Facet. This Facet defines the core functionality required for any UA *Server* implementation. The core functionality includes the ability to discover endpoints, establish secure communication channels, create sessions, browse the *AddressSpace* and read and/or write to attributes of nodes. The key requirements are: Support for a single session, Support for the *Server* and *Server Capabilities Object*, All mandatory *Attributes* for *Nodes* in the *AddressSpace*, Authentication with Username and Password. Support for a type system is not required nor does the *Server* need to support encryption and signing of user identity tokens (This assumes the *Server* also supports a transport that provides security.) This Facet has been extended with additional Base Information *ConformanceUnits*. They are optional to provide backward compatibility. In the future the *ConformanceUnit* “Base Info Server Capabilities” will become required, and so it is highly recommended that all *Servers* support it. For broad applicability, it is recommended that *Servers* support multiple transport and security *Profiles*.

Table 23 – Core Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	SecurityPolicy – None	False
Profile	User Token - User Name Password Server Facet	False
Address Space Model	Address Space Base	False
Attribute Services	Attribute Read	False
Attribute Services	Attribute Write Index	True
Attribute Services	Attribute Write Values	True
Base Information	Base Info Core Structure	False
Base Information	Base Info OptionSet	True
Base Information	Base Info Placeholder Modelling Rules	True
Base Information	Base Info Server Capabilities	True
Base Information	Base Info ValueAsText	True
Discovery Services	Discovery Find Servers Self	False
Discovery Services	Discovery Get Endpoints	False
Security	Security - No Application Authentication	True
Security	Security Administration	True
Session Services	Session Base	False
Session Services	Session General Service Behaviour	False
Session Services	Session Minimum 1	False
View Services	View Basic	False
View Services	View Minimum Continuation Point 01	False
View Services	View RegisterNodes	False
View Services	View TranslateBrowsePath	False

6.5.3 Base Server Behaviour Facet

Table 24 describes the details of the Base *Server* Behaviour Facet. This Facet defines best practices for the configuration and management of *Servers* when they are deployed in a production

environment. It provides the ability to enable or disable certain protocols, to set the security level and to configure the *Discovery Server* and specify where this *Server* shall be registered.

Table 24 – Base Server Behaviour Facet

Group	Conformance Unit / Profile Title	Optional
Discovery Services	Discovery Configuration	False
Protocol and Encoding	Protocol Configuration	False
Security	Security Administration	False
Security	Security Administration - XML Schema	False
Security	Security Certificate Administration	False

6.5.4 Attribute WriteMask Server Facet

Table 25 describes the details of the Attribute WriteMask Server Facet. This Facet defines the capability to update characteristics of individual *Nodes* in the *AddressSpace* by allowing writing to *Node Attributes*. It requires support for authenticating user access as well as providing information related to access rights in the *AddressSpace* and actually restricting the access rights as described.

Table 25 – Attribute WriteMask Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Security User Access Control Base	False
Address Space Model	Address Space UserWriteMask	False
Address Space Model	Address Space UserWriteMask Multilevel	True
Address Space Model	Address Space WriteMask	False

6.5.5 File Access Server Facet

Table 26 describes the details of the File Access Server Facet. This Facet specifies the support of exposing File information via the defined FileType. This includes reading of file as well as optionally writing of file data.

Table 26 –File Access Server Facet

Group	Conformance Unit / Profile Title	Optional
Base Information	Base Info FileType Base	False
Base Information	Base Info FileType Write	True

6.5.6 Documentation Server Facet

Table 27 describes the details of the Documentation Server Facet. This Facet defines a list of user documentation that a server application should provide.

Table 27 – Documentation Server Facet

Group	Conformance Unit / Profile Title	Optional
Miscellaneous	Documentation – Installation	False
Miscellaneous	Documentation - Multiple Languages	True
Miscellaneous	Documentation - On-line	True
Miscellaneous	Documentation - Supported Profiles	True
Miscellaneous	Documentation - Trouble Shooting Guide	True
Miscellaneous	Documentation - Users Guide	False

6.5.7 Embedded DataChange Subscription Server Facet

Table 28 describes the details of the Embedded DataChange *Subscription Server* Facet. This Facet specifies the minimum level of support for data change notifications within subscriptions. It includes limits which minimize memory and processing overhead required to implement the Facet. This Facet includes functionality to create, modify and delete Subscriptions and to add, modify and remove Monitored Items. As a minimum for each *Session*, Servers shall support one *Subscription*

with up to two items, but, republish buffering is not required. In addition, support for two parallel Publish requests is required. This Facet is geared for a platform such as the one provided by the Micro Embedded Device *Server Profile* in which memory is limited and needs to be managed.

Table 28 – Embedded DataChange Subscription Server Facet

Group	Conformance Unit / Profile Title	Optional
Monitored Item Services	Monitor Basic	False
Monitored Item Services	Monitor Items 2	False
Monitored Item Services	Monitor QueueSize_1	False
Monitored Item Services	Monitor Value Change	False
Subscription Services	Subscription Basic	False
Subscription Services	Subscription Minimum 1	False
Subscription Services	Subscription Publish Discard Policy	False
Subscription Services	Subscription Publish Min 02	False

6.5.8 Standard DataChange Subscription Server Facet

Table 29 describes the details of the Standard DataChange *Subscription Server Facet*. This Facet specifies the standard support of subscribing to data changes. This Facet extends features and limits defined by the Embedded Data Change *Subscription Facet*. As a minimum, *Servers* shall support 2 Subscriptions with at least 100 items for at least half of the required Sessions. The 100 items shall be supported for at least half of the required Subscriptions. Queuing with up to two queued entries is required. Support of five parallel Publish requests per *Session* is required. This Facet also requires the support of the triggering service. This Facet has been updated to include optional *ConformanceUnits* to allow for backward compatibility. These optional *ConformanceUnits* are highly recommended, in that in a future release they will be made mandatory.

Table 29 – Standard DataChange Subscription Server Facet

Group	Conformance Unit / Profile Title	Optional
<i>Profile</i>	Embedded DataChange Subscription Server Facet	False
Base Information	Base Info GetMonitoredItems Method	True
Method Services	Method Call	True
Monitored Item Services	Monitor Items 10	False
Monitored Item Services	Monitor Items 100	False
Monitored Item Services	Monitor MinQueueSize_02	False
Monitored Item Services	Monitor Triggering	False
Monitored Item Services	Monitored Items Deadband Filter	False
Subscription Services	Subscription Minimum 02	False
Subscription Services	Subscription Publish Min 05	False

6.5.9 Enhanced DataChange Subscription Server Facet

Table 30 describes the details of the Enhanced DataChange *Subscription Server Facet*. This Facet specifies an enhanced support of subscribing to data changes. It is part of the Standard UA *Server Profile*. This Facet increases the limits defined by the Standard Data Change *Subscription Facet*.

Table 30 – Enhanced DataChange Subscription Server Facet

Group	Conformance Unit / Profile Title	Optional
<i>Profile</i>	Standard DataChange Subscription Server Facet	False
Monitored Item Services	Monitor Items 500	False
Monitored Item Services	Monitor MinQueueSize_05	False
Subscription Services	Subscription Minimum 05	False
Subscription Services	Subscription Publish Min 10	False

6.5.10 Data Access Server Facet

Table 31 describes the details of the Data Access *Server Facet*. This Facet specifies the support for an *Information Model* used to provide industrial automation data. This model defines standard

structures for analog and discrete data items and their quality of service. This Facet extends the Core Server Facet which includes support of the basic *AddressSpace* behaviour.

Table 31 – Data Access Server Facet

Group	Conformance Unit / Profile Title	Optional
Data Access	Data Access AnalogItems	True
Data Access	Data Access ArrayItemType	True
Data Access	Data Access Complex Number	True
Data Access	Data Access DataItems	False
Data Access	Data Access DoubleComplex Number	True
Data Access	Data Access MultiState	True
Data Access	Data Access PercentDeadband	True
Data Access	Data Access Semantic Changes	True
Data Access	Data Access TwoState	True

6.5.11 ComplexType Server Facet

Table 32 describes the details of the ComplexType Server Facet. This Facet extends the Core Server Facet to include *Variables* with *Complex Data*, i.e. data that are composed of multiple elements such as a structure and where the individual elements are exposed as component variables. Support of this Facet requires the implementation of StructuredDataTypes and *Variables* that make use of these DataTypes. The Read, Write and Subscriptions service set shall support the encoding and decoding of these StructuredDataTypes. As an option the Server can also support alternate encodings, such as an XML encoding when the binary protocol is currently used and vice-versa.

Table 32 – ComplexType Server Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Complex DataTypes	False
Attribute Services	Attribute Alternate Encoding	True
Attribute Services	Attribute Read Complex	False
Attribute Services	Attribute Write Complex	False
Monitored Item Services	Monitor Alternate Encoding	True

6.5.12 Standard Event Subscription Server Facet

Table 33 describes the details of the Standard *Event Subscription Server* Facet. This Facet specifies the standard support for subscribing to events and is intended to supplement any of the full featured *Server Profiles*. Support of this Facet requires the implementation of *Event Types* representing the Events that the Server can report and their specific fields. It also requires at least the *Server Object* to have the *EventNotifier Attribute* set. It includes the *Services* to Create, Modify and Delete *Subscriptions* and to Add, Modify and Remove Monitored Items for *Object Nodes* with an "*EventNotifier Attribute*". Creating a monitoring item may include a filter that includes SimpleAttribute FilterOperands and a select list of Operators. The operators include: Equals, IsNull, GreaterThan, LessThan, GreaterThanOrEqual, LessThanOrEqual, Like, Not, Between, InList, And, Or, Cast, BitwiseAnd, BitwiseOr and TypeOf. Support of more complex filters is optional. This Facet has been updated to include several optional Base Information *ConformanceUnits*. These *ConformanceUnits* are optional to allow for backward compatibility, in the future these optional *ConformanceUnits* will become required, and so it is highly recommended that all servers support them.

Table 33 – Standard Event Subscription Server Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Events	False
Base Information	Base Info EventQueueOverflowEventType	True
Base Information	Base Info Progress Events	True
Base Information	Base Info SemanticChange	True
Base Information	Base Info System Status	True

Group	Conformance Unit / Profile Title	Optional
Base Information	Base Info System Status underlying system	True
Monitored Item Services	Monitor Basic	False
Monitored Item Services	Monitor Complex Event Filter	True
Monitored Item Services	Monitor Events	False
Monitored Item Services	Monitor Items 10	False
Monitored Item Services	Monitor QueueSize_ServerMax	False
Subscription Services	Subscription Basic	False
Subscription Services	Subscription Minimum 02	False
Subscription Services	Subscription Publish Discard Policy	False
Subscription Services	Subscription Publish Min 05	False

6.5.13 Address Space Notifier Server Facet

Table 34 describes the details of the Address Space Notifier *Server* Facet. This Facet requires the support of a hierarchy of *Object Nodes* that are notifiers and *Nodes* that are event sources. The hierarchy is commonly used as a way to organize a plant into areas that can be managed by different operators.

Table 34 – Address Space Notifier Server Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Notifier Hierarchy	False
Address Space Model	Address Space Source Hierarchy	False

6.5.14 A & C Base Condition Server Facet

Table 35 describes the details of the A & C Base Condition Server Facet. This Facet requires basic support for *Conditions*. Information about *Conditions* is provided through *Event* notifications and thus this Facet builds upon the Standard *Event Subscription Server* Facet. *Conditions* that are in an “interesting” state (as defined by the *Server*) can be refreshed using the *Refresh Method*, which requires support for the *Method Server* Facet. Optionally the server may also provide support for *Condition* classes

Table 35 – A & C Base Condition Server Facet

Group	Conformance Unit / Profile Title	Optional
<i>Profile</i>	Method Server Facet	False
<i>Profile</i>	Standard Event Subscription Server Facet	False
Alarms and Conditions	A & C Basic	False
Alarms and Conditions	A & C ConditionClasses	True
Alarms and Conditions	A & C Refresh	False

6.5.15 A & C Address Space Instance Server Facet

Table 36 describes the details of the A & C Address Space Instance *Server* Facet. This Facet specifies the support required for a *Server* to expose *Alarms* and *Conditions* in its *AddressSpace*. This includes the A & C *AddressSpace* information model.

Table 36 – A & C Address Space Instance Server Facet

Group	Conformance Unit / Profile Title	Optional
Alarms and Conditions	A & C Instances	False

6.5.16 A & C Enable Server Facet

Table 37 describes the details of the A & C Enable *Server* Facet. This Facet requires the enabling and disabling of *Conditions*. This facet builds upon the A&C Base Condition Server Facet. Enabling and disabling also requires that instances of these *ConditionTypes* exist in the *AddressSpace* since the enable *Method* can only be invoked on an instance of the *Condition*

Table 37 – A & C Enable Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C Enable	False
Alarms and Conditions	A & C Instances	False

6.5.17 A & C Alarm Server Facet

Table 38 describes the details of the A & C *Alarm Server Facet*. This Facet requires support for *Alarms*. *Alarms* extend the ConditionType by adding an Active state which indicates when something in the system requires attention by an Operator. This Facet builds upon the A&C Base Condition Server Facet. This facet requires that discrete AlarmTypes be supported, it also allows for optional support of shelving, alarm comments and other discrete AlarmTypes such as Trip or Off-Normal.

Table 38 – A & C Alarm Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C <i>Alarm</i>	False
Alarms and Conditions	A & C Comment	True
Alarms and Conditions	A & C Discrete	False
Alarms and Conditions	A & C Off Normal	True
Alarms and Conditions	A & C Shelving	True
Alarms and Conditions	A & C Trip	True

6.5.18 A & C Acknowledgeable Alarm Server Facet

Table 39 describes the details of the A & C Acknowledgeable *Alarm Server Facet*. This Facet requires support for Acknowledgement of active *Alarms*. This Facet builds upon the A & C *Alarm Server Facet*. Acknowledgement requires support of the Acknowledge *Method* and the Acknowledged state. Support of the Confirmed state and the Confirm *Method* is optional.

Table 39 – A & C Acknowledgeable Alarm Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Alarm Server Facet	False
Alarms and Conditions	A & C Acknowledge	False
Alarms and Conditions	A & C Confirm	True

6.5.19 A & C Exclusive Alarming Server Facet

Table 40 describes the details of the A & C Exclusive Alarming *Server Facet*. This Facet requires support for *Alarms* with multiple sub-states that identify different limit *Conditions*. This facet builds upon the A&C *Alarm Server Facet*. The term exclusive means only one sub-state can be active at a time. For example, a temperature exceeds the HighHigh limit the associated exclusive LevelAlarm will be in the HighHigh sub-state and not in the High sub-state. This Facet requires that a *Server* support at least one of the optional *Alarm* models: Limit, RateOfChange or Deviation.

Table 40 – A & C Exclusive Alarming Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C <i>Alarm Server Facet</i>	False
Alarms and Conditions	A & C Exclusive Deviation	True
Alarms and Conditions	A & C Exclusive Level	True
Alarms and Conditions	A & C Exclusive Limit	False
Alarms and Conditions	A & C Exclusive RateOfChange	True

6.5.20 A & C Non-Exclusive Alarming Server Facet

Table 41 describes the details of the A & C Non-Exclusive Alarming *Server Facet*. This Facet requires support for *Alarms* with multiple sub-states that identify different limit *Conditions*. This Facet builds upon the A&C *Alarm Server Facet*. The term non-exclusive means more than one sub-state can be active at a time. For example, if a temperature exceeds the HighHigh limit the associated non-exclusive LevelAlarm will be in both the High and the HighHigh sub-state. This Facet requires that a server support at least one of the optional alarm models: Limit, RateOfChange or Deviation.

Table 41 – A & C Non-Exclusive Alarming Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Alarm Server Facet	False
Alarms and Conditions	A & C Non-Exclusive Deviation	True
Alarms and Conditions	A & C Non-Exclusive Level	True
Alarms and Conditions	A & C Non-Exclusive Limit	False
Alarms and Conditions	A & C Non-Exclusive RateOfChange	True

6.5.21 A & C Previous Instances Server Facet

Table 42 describes the details of the A & C Previous Instances *Server Facet*. This Facet requires support for *Conditions* with previous states that still require action on the part of the operator. This facet builds upon the A&C Base Condition *Server Facet*. A common use case for this Facet is a safety critical system that requires that all *Alarms* be acknowledged even if the original problem goes away and the *Alarm* returns to the inactive state. In these cases, the previous state with active *Alarm* is still reported by the *Server* until the Operator acknowledges it. When a *Condition* has previous states it will produce events with different Branch identifiers. When previous state no longer needs attention the branch will disappear.

Table 42 – A & C Previous Instances Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C Branch	False

6.5.22 A & C Dialog Server Facet

Table 43 describes the details of the A & C Dialog *Server Facet*. This Facet requires support of Dialog *Conditions*. This Facet builds upon the A & C Base Condition *Server Facet*. Dialogs are *ConditionTypes* used to request user input. They are typically used when a *Server* has entered some state that requires intervention by a *Client*. For example, a *Server* monitoring a paper machine indicates that a roll of paper has been wound and is ready for inspection. The *Server* would activate a Dialog *Condition* indicating to the user that an inspection is required. Once the inspection has taken place the user responds by informing the *Server* of an accepted or unaccepted inspection allowing the process to continue.

Table 43 – A & C Dialog Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Base Condition Server Facet	False
Alarms and Conditions	A & C Dialog	False

6.5.23 A & E Wrapper Facet

Table 44 describes the details of the A & E Wrapper Facet. This Facet specifies the requirements for a UA *Server* that wraps an OPC *Alarm & Event* (AE) *Server* (COM). This *Profile* identifies the sub-set of the UA *Alarm & Condition* model which is provided by the COM OPC AE specification. It is intended to provide guidance to developers who are creating servers that front-end existing applications. It is important to note that some OPC A&E COM *Servers* may not support all of the functionality provided by an OPC UA A&C server, in these cases similar functionality maybe available via some non-OPC interface. For example if an A&E COM server does not support sending *Alarm Acknowledgement* messages to the system that it is obtaining alarm information

from, this functionality may be available via some out of scope features in the underlying *Alarm* system. Another possibility is that the underlying system does not require acknowledgements or automatically acknowledges the alarm.

Table 44 – A & E Wrapper Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Events	False
Address Space Model	Address Space Notifier Hierarchy	False
Address Space Model	Address Space Source Hierarchy	False
Alarms and Conditions	A & C Acknowledge	False
Alarms and Conditions	A & C Alarm	False
Alarms and Conditions	A & C Basic	False
Alarms and Conditions	A & C ConditionClasses	False
Alarms and Conditions	A & C Refresh	False
Alarms and Conditions	A & E Wrapper Mapping	False
Monitored Item Services	Monitor Basic	False
Monitored Item Services	Monitor Complex Event Filter	False
Monitored Item Services	Monitor Events	False
Monitored Item Services	Monitor Items 2	False
Monitored Item Services	Monitor QueueSize_ServerMax	False
Subscription Services	Subscription Basic	False
Subscription Services	Subscription Minimum 1	False
Subscription Services	Subscription Publish Discard Policy	False
Subscription Services	Subscription Publish Min 02	False

6.5.24 Method Server Facet

Table 45 describes the details of the *Method Server* Facet. This Facet specifies the support of *Method* invocation via the Call service. Methods are “lightweight” functions which are similar to the methods of a class found in any object-oriented programming language. A *Method* can have its scope bounded by an owning *Object* or an owning *ObjectType*. Methods with an *ObjectType* as their scope are similar to static methods in a class.

Table 45 – Method Server Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Method	False
Method Services	Method Call	False

6.5.25 Auditing Server Facet

Table 46 describes the details of the Auditing *Server* Facet. This Facet requires the support of Auditing which includes the Standard *Event Subscription Server* Facet. Support of this Facet requires that Audit Events be produced when a client performs some action to change the state of the server, such as changing the *AddressSpace*, inserting or updating a value etc. The auditEntryId passed by the *Client* is a field contained in every Audit *Event* and allows actions to be traced across multiple systems. The Audit *Event* Types and their fields must be exposed in the *Server's AddressSpace*

Table 46 – Auditing Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Standard Event Subscription Server Facet	False
Auditing	Auditing Base	False

6.5.26 Node Management Server Facet

Table 47 describes the details of the *Node Management Server* Facet. This Facet requires the support of the *Services* that allow the *Client* to add, modify and delete *Nodes* in the *AddressSpace*. These *Services* provide an interface which can be used to configure *Servers*. This means all

changes to the *AddressSpace* are expected to persist even after the *Client* has disconnected from the *Server*

Table 47 – Node Management Server Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Base	False
Base Information	Base Info Model Change	False
Base Information	Base Info Type System	False
Node Management Services	Node Management Add Node	False
Node Management Services	Node Management Add Ref	False
Node Management Services	Node Management Delete Node	False
Node Management Services	Node Management Delete Ref	False

6.5.27 Client Redundancy Server Facet

Table 48 describes the details of the *Client* Redundancy Server Facet. This Facet defines the *Server* actions that are required for support of redundant *Clients*. Support of this Facet requires the implementation of the *TransferSubscriptions Service* which allows the transfer of Subscriptions from one *Client's Session* to another *Client's Session*.

Table 48 – Client Redundancy Server Facet

Group	Conformance Unit / Profile Title	Optional
Subscription Services	Subscription Transfer	False

6.5.28 Redundancy Transparent Server Facet

Table 49 describes the details of the Redundancy Transparent *Server* Facet. This Facet requires support for transparent redundancy. If *Servers* implement transparent redundancy then the failover from one *Server* to another is transparent to the *Client* such that the *Client* is unaware that a failover has occurred; the *Client* does not need to do anything at all to keep data flowing. This type of redundancy is usually a hardware solution.

Table 49 – Redundancy Transparent Server Facet

Group	Conformance Unit / Profile Title	Optional
Redundancy	Redundancy Server Transparent	False

6.5.29 Redundancy Visible Server Facet

Table 50 describes the details of the Redundancy Visible *Server* Facet. This Facet specifies the support for non-transparent redundancy. Failover for this type of redundancy requires the *Client* to monitor *Server* status and to switch to a backup *Server* if it detects a failure. The *Server* shall expose the methods of failover it supports (cold, warm or hot). The failover method tells the *Client* what it must do when connecting to a *Server* and when a failure occurs. Cold redundancy requires a *Client* to reconnect to a backup *Server* after the initial *Server* has failed. Warm redundancy allows a *Client* to connect to multiple *Servers*, but only one *Server* will be providing values. In hot redundancy multiple *Servers* are able to provide data and a *Client* can connect to multiple *Servers* for the data.

Table 50 – Redundancy Visible Server Facet

Group	Conformance Unit / Profile Title	Optional
Redundancy	Redundancy Server	False

6.5.30 Historical Raw Data Server Facet

Table 51 describes the details of the Historical Raw Data *Server* Facet. This Facet defines the basic functionality when supporting historical data access for raw data.

Table 51 – Historical Raw Data Server Facet

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Data Max Nodes Read Continuation Point	False
Historical Access	Historical Access Read Raw	False
Historical Access	Historical Access ServerTimestamp	True

6.5.31 Historical Aggregate Server Facet

Table 52 describes the details of the Historical Aggregate Server Facet. This Facet indicates that the server supports aggregate processing to produce derived values from raw historical data.

Table 52 – Historical Aggregate Server Facet

Group	Conformance Unit / Profile Title	Optional
Aggregates	Aggregate - AnnotationCount	True
Aggregates	Aggregate - Average	True
Aggregates	Aggregate - Count	True
Aggregates	Aggregate - Custom	True
Aggregates	Aggregate - Delta	True
Aggregates	Aggregate - DeltaBounds	True
Aggregates	Aggregate - DurationBad	True
Aggregates	Aggregate - DurationGood	True
Aggregates	Aggregate - DurationInStateNonZero	True
Aggregates	Aggregate - DurationInStateZero	True
Aggregates	Aggregate - End	True
Aggregates	Aggregate - EndBound	True
Aggregates	Aggregate - Interpolative	True
Aggregates	Aggregate - Maximum	True
Aggregates	Aggregate - Maximum2	True
Aggregates	Aggregate - MaximumActualTime	True
Aggregates	Aggregate - MaximumActualTime2	True
Aggregates	Aggregate - Minimum	True
Aggregates	Aggregate - Minimum2	True
Aggregates	Aggregate - MinimumActualTime	True
Aggregates	Aggregate - MinimumActualTime2	True
Aggregates	Aggregate - NumberOfTransitions	True
Aggregates	Aggregate - PercentBad	True
Aggregates	Aggregate - PercentGood	True
Aggregates	Aggregate - Range	True
Aggregates	Aggregate - Range2	True
Aggregates	Aggregate - StandardDeviationPopulation	True
Aggregates	Aggregate - StandardDeviationSample	True
Aggregates	Aggregate - Start	True
Aggregates	Aggregate - StartBound	True
Aggregates	Aggregate - TimeAverage	True
Aggregates	Aggregate - TimeAverage2	True
Aggregates	Aggregate - Total	True
Aggregates	Aggregate - Total2	True
Aggregates	Aggregate - VariancePopulation	True
Aggregates	Aggregate - VarianceSample	True
Aggregates	Aggregate - WorstQuality	True
Aggregates	Aggregate - WorstQuality2	True
Aggregates	Aggregate master configuration	False
Aggregates	Aggregate optional configuration	True
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Aggregates	False

Group	Conformance Unit / Profile Title	Optional
Historical Access	Historical Access Data Max Nodes Read Continuation Point	False

6.5.32 Historical Access Structured Data Server Facet

Table 53 describes the details of the Historical Access Structured Data *Server* Facet. This Facet indicates that the *Server* supports storage and retrieval of structured values for all supported access types. If a listed access type is supported then the corresponding optional *ConformanceUnit* shall be supported.

Table 53 – Historical Access Structured Data Server Facet

Group	Conformance Unit / Profile Title	Optional
Historical Access	Historical Access Structured Data Delete	True
Historical Access	Historical Access Structured Data Insert	True
Historical Access	Historical Access Structured Data Read Modified	True
Historical Access	Historical Access Structured Data Read Raw	False
Historical Access	Historical Access Structured Data Time Instance	True
Historical Access	Historical Access Structured Data Update	True

6.5.33 Historical Data AtTime Server Facet

Table 54 describes the details of the Historical Data AtTime *Server* Facet. This Facet indicates that the historical *Server* supports reading data by specifying specific timestamps.

Table 54 – Historical Data AtTime Server Facet

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Data Max Nodes Read Continuation Point	False
Historical Access	Historical Access Time Instance	False

6.5.34 Historical Access Modified Data Server Facet

Table 55 describes the details of the Historical Access Modified Data *Server* Facet. This Facet defines support of reading modified historical values (values that were modified or inserted).

Table 55 – Historical Access Modified Data Server Facet

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Modified Values	False

6.5.35 Historical Annotation Server Facet

Table 56 describes the details of the Historical Annotation *Server* Facet. This Facet defines support for the storage and retrieval of annotations for historical data.

Table 56 – Historical Annotation Server Facet

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Historical Read	False
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Annotations	False

6.5.36 Historical Data Update Server Facet

Table 57 describes the details of the Historical Data Update *Server* Facet. This Facet includes Historical Data Update functionality.

Table 57 – Historical Data Update Server Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access ServerTimestamp	True
Historical Access	Historical Access Update Value	False

6.5.37 Historical Data Insert Server Facet

Table 58 describes the details of the Historical Data Insert *Server Facet*. This Facet includes Historical Data Insert functionality.

Table 58 – Historical Data Insert Server Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Insert Value	False
Historical Access	Historical Access ServerTimestamp	True

6.5.38 Historical Data Delete Server Facet

Table 59 describes the details of the Historical Data Delete *Server Facet*. This Facet includes Historical Data Delete functionality.

Table 59 – Historical Data Delete Server Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Delete Value	False

6.5.39 Base Historical Event Server Facet

Table 60 describes the details of the Base Historical *Event Server Facet*. This Facet defines the server requirements to support basic Historical *Event* functionality, including simple filtering and general access.

Table 60 – Base Historical Event Server Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Historical Read	False
Historical Access	Historical Access Event Max Events Read Continuation Point	False
Historical Access	Historical Access Events	False

6.5.40 Historical Event Update Server Facet

Table 61 describes the details of the Historical *Event Update Server Facet*. This Facet includes Historical *Event Update* access functionality.

Table 61 – Historical Event Update Server Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Update Event	False

6.5.41 Historical Event Insert Server Facet

Table 62 describes the details of the Historical *Event Insert Server Facet*. This Facet includes Historical *Event* insert access functionality.

Table 62 – Historical Event Insert Server Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
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Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Insert Event	False

6.5.42 Historical Event Delete Server Facet

Table 63 describes the details of the Historical *Event Delete Server* Facet. This Facet includes Historical *Event* delete access functionality

Table 63 – Historical Event Delete Server Facet

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Historical Update	False
Historical Access	Historical Access Delete Event	False

6.5.43 Aggregate Subscription Server Facet

Table 64 describes the details of the Aggregate *Subscription Server* Facet. This Facet defines the handling of the aggregate filter when subscribing for *Attribute* values.

Table 64 – Aggregate Subscription Server Facet

Group	Conformance Unit / Profile Title	Optional
<i>Profile</i>	<i>Standard DataChange Subscription Server Facet</i>	False
Aggregates	Aggregate Subscription - AnnotationCount	True
Aggregates	Aggregate Subscription - Average	True
Aggregates	Aggregate Subscription - Count	True
Aggregates	Aggregate Subscription - Custom	True
Aggregates	Aggregate Subscription - Delta	True
Aggregates	Aggregate Subscription - DeltaBounds	True
Aggregates	Aggregate Subscription - DurationBad	True
Aggregates	Aggregate Subscription - DurationGood	True
Aggregates	Aggregate Subscription - DurationInStateNonZero	True
Aggregates	Aggregate Subscription - DurationInStateZero	True
Aggregates	Aggregate Subscription - End	True
Aggregates	Aggregate Subscription - EndBound	True
Aggregates	Aggregate Subscription - Filter	False
Aggregates	Aggregate Subscription - Interpolative	True
Aggregates	Aggregate Subscription - Maximum	True
Aggregates	Aggregate Subscription - Maximum2	True
Aggregates	Aggregate Subscription - MaximumActualTime	True
Aggregates	Aggregate Subscription - MaximumActualTime2	True
Aggregates	Aggregate Subscription - Minimum	True
Aggregates	Aggregate Subscription - Minimum2	True
Aggregates	Aggregate Subscription - MinimumActualTime	True
Aggregates	Aggregate Subscription - MinimumActualTime2	True
Aggregates	Aggregate Subscription - NumberOfTransitions	True
Aggregates	Aggregate Subscription - PercentBad	True
Aggregates	Aggregate Subscription - PercentGood	True
Aggregates	Aggregate Subscription - Range	True
Aggregates	Aggregate Subscription - Range2	True
Aggregates	Aggregate Subscription - StandardDeviationPopulation	True
Aggregates	Aggregate Subscription - StandardDeviationSample	True
Aggregates	Aggregate Subscription - Start	True
Aggregates	Aggregate Subscription - StartBound	True
Aggregates	Aggregate Subscription - TimeAverage	True
Aggregates	Aggregate Subscription - TimeAverage2	True
Aggregates	Aggregate Subscription - Total	True
Aggregates	Aggregate Subscription - Total2	True

Group	Conformance Unit / Profile Title	Optional
Aggregates	Aggregate Subscription - VariancePopulation	True
Aggregates	Aggregate Subscription - VarianceSample	True
Aggregates	Aggregate Subscription - WorstQuality	True
Aggregates	Aggregate Subscription - WorstQuality2	True
Monitored Item Services	Monitor Aggregate Filter	False

6.5.44 Nano Embedded Device Server Profile

Table 65 describes the details of the Nano Embedded Device *Server Profile*. This *Profile* is a full featured *Profile* intended for chip level devices with limited resources. This *Profile* is functionally equivalent to the Core *Server Facet* and defines the OPC UA TCP binary protocol as the required transport profile.

Table 65 – Nano Embedded Device Server Profile

Group	Conformance Unit / Profile Title	Optional
Profile	Core Server Facet	False
Profile	UA-TCP UA-SC UA Binary	False

6.5.45 Micro Embedded Device Server Profile

Table 66 describes the details of the Micro Embedded Device *Server Profile*. This *Profile* is a full featured *Profile* intended for small devices with limited resources. This *Profile* builds upon the Nano Embedded Device *Server Profile*. The most important additions are: support for subscriptions via the Embedded Data Change *Subscription Server Facet* and support for at least two sessions. A complete Type System is not required; however, if the *Server* implements any non-UA types then these types and their super-types must be exposed.

Table 66 – Micro Embedded Device Server Profile

Group	Conformance Unit / Profile Title	Optional
Profile	Embedded DataChange Subscription Server Facet	False
Profile	Nano Embedded Device Server Profile	False
Base Information	Base Info Custom Type System	False
Session Services	Session Minimum 2 Parallel	False

6.5.46 Embedded UA Server Profile

Table 67 describes the details of the Embedded UA *Server Profile*. This *Profile* is a full featured *Profile* that is intended for devices with more than 50 MBs of memory and a more powerful processor. This *Profile* builds upon the Micro Embedded Device *Server Profile*. The most important additions are: support for security via the Security Policy – Basic128Rsa15 Facet, and support for the Standard DataChange *Subscription Server Facet*. This *Profile* also requires that servers expose all OPC-UA types that are used by the *Server* including their components and their super-types.

Table 67 – Embedded UA Server Profile

Group	Conformance Unit / Profile Title	Optional
Profile	Micro Embedded Device Server Profile	False
Profile	SecurityPolicy - Basic128Rsa15	False
Profile	Standard DataChange Subscription Server Facet	False
Profile	User Token - X509 Certificate Server Facet	False
Base Information	Base Info Engineering Units	True
Base Information	Base Info Placeholder Modelling Rules	True
Base Information	Base Info Type System	False
Security	Security Default ApplicationInstanceCertificate	False

6.5.47 Standard UA Server Profile

Table 68 describes the details of the Standard UA *Server Profile*. This *Profile* is a full featured *Profile* that defines a minimum set of functionality required for PC based OPC UA servers. Such a

server must provide the base *AddressSpace* structure with type nodes, instance nodes and diagnostic information. The *Server* must provide connection establishment through the OPC UA TCP binary protocol with security and the creation of at least 50 parallel sessions. It includes view services like browsing and the attribute services for reading and writing of current values. In addition, the monitoring of data changes is included with a minimum of 5 subscriptions for half of the required sessions (total 225) and a minimum of 500 monitored items for half of the subscriptions (total 56250).

Table 68 – Standard UA Server Profile

Group	Conformance Unit / Profile Title	Optional
Profile	Embedded UA Server Profile	False
Profile	Enhanced DataChange Subscription Server Facet	False
Attribute Services	Attribute Write StatusCode & Timestamp	True
Base Information	Base Info Diagnostics	False
Discovery Services	Discovery Register	False
Session Services	Session Cancel	False
Session Services	Session Minimum 50 Parallel	False
View Services	View Minimum Continuation Point 05	False
Session Services	Session Change User	True

6.5.48 Core Client Facet

Table 69 describes the details of the Core *Client* Facet. This Facet defines the core functionality required for any *Client*. This Facet includes the core functions for Security and *Session* handling.

Table 69 – Core Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	SecurityPolicy - Basic128Rsa15	False
Profile	SecurityPolicy - None	False
Profile	User Token - User Name Password Client Facet	False
Profile	User Token - X509 Certificate Client Facet	False
Security	Security Administration	False
Session Services	Session Client Base	False
Session Services	Session Client Cancel	True
Session Services	Session Client Detect Shutdown	False
Session Services	Session Client General Service Behaviour	False
Session Services	Session Client Impersonate	True
Session Services	Session Client KeepAlive	False
Session Services	Session Client Renew NodeIds	True

6.5.49 Base Client Behaviour Facet

Table 70 describes the details of the Base *Client* Behaviour Facet. This Facet indicates that the *Client* supports behaviour that *Clients* shall follow for best use by operators and administrators. They include allowing configuration of an endpoint for a server without using the discovery service set; Support for manual security setting configuration and behaviour with regard to security issues; support for Automatic reconnection to a disconnected server. These behaviours can only be tested in a test lab. They are best practice guidelines.

Table 70 – Base Client Behaviour Facet

Group	Conformance Unit / Profile Title	Optional
Discovery Services	Discovery Client Configure Endpoint	False
Security	Security Administration	False
Security	Security Administration - XML Schema	False
Security	Security Certificate Administration	False
Session Services	Session Client Auto Reconnect	True

Group	Conformance Unit / Profile Title	Optional
Subscription Services	Subscription Client Multiple	False
Subscription Services	Subscription Client Publish Configurable	False

6.5.50 Discovery Client Facet

Table 71 describes the details of the *Discovery Client* Facet. This Facet defines the ability to discover *Servers* and their Endpoints.

Table 71 – Discovery Client Facet

Group	Conformance Unit / Profile Title	Optional
Discovery Services	Discovery Client Configure Endpoint	False
Discovery Services	Discovery Client Find Servers Basic	False
Discovery Services	Discovery Client Find Servers Dynamic	False
Discovery Services	Discovery Client Find Servers with URI	True
Discovery Services	Discovery Client Get Endpoints Basic	False
Discovery Services	Discovery Client Get Endpoints Dynamic	False

6.5.51 AddressSpace Lookup Client Facet

Table 72 describes the details of the *AddressSpace* Lookup *Client* Facet. This Facet defines the ability to navigate through the *AddressSpace* and includes basic *AddressSpace* concepts, view and browse functionality and simple attribute read functionality.

Table 72 – AddressSpace Lookup Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Attribute Services	Attribute Client Read Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Change Events	True
Base Information	Base Info Client GetMonitoredItems Method	True
Base Information	Base Info Client Progress Events	True
Base Information	Base Info Client System Status	True
View Services	View Client Basic Browse	False
View Services	View Client Basic ResultSet Filtering	False
View Services	View Client RegisterNodes	True
View Services	View Client TranslateBrowsePath	True

6.5.52 Entry-Level Support Client Facet

Table 73 describes the details of the Entry-Level Support *Client* Facet. This Facet defines the ability to interoperate with low-end *Servers*, e.g. *Servers* that support the Nano Embedded *Profile* (either by automatically adapting to the *Server* capabilities or through configuration). It implies respecting *Server* provided limits for *Session*, continuation points, *Subscription*, user authorization and locales.

Table 73 – Entry-Level SupportClient Facet

Group	Conformance Unit / Profile Title	Optional
Session Services	Client Entry-Level Support	False

6.5.53 Multi-Server Client Connection Facet

Table 74 describes the details of the Multi-*Server Client* Connection Facet. This Facet defines the ability for simultaneous access to multiple *Servers*.

Table 74 – Multi-Server Client Connection Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Session Services	Session Client Multiple Connections	False

6.5.54 File Access Client Facet

Table 75 describes the details of the File Access *Client* Facet. This Facet defines the ability to use File transfer via the defined FileType. This includes reading and optionally writing.

Table 75 –File Access Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Base Information	Base Info Client FileType Base	False
Base Information	Base Info Client FileType Write	True

6.5.55 Documentation - Client

Table 76 describes the details of the Documentation - *Client*. This Facet provides a list of user documentation that a *Client* application should provide.

Table 76 – Documentation - Client

Group	Conformance Unit / <i>Profile</i> Title	Optional
Miscellaneous	Documentation Client – Installation	False
Miscellaneous	Documentation Client - Multiple Languages	True
Miscellaneous	Documentation Client - On-line	True
Miscellaneous	Documentation Client - Supported Profiles	True
Miscellaneous	Documentation Client - Trouble Shooting Guide	True
Miscellaneous	Documentation Client - Users Guide	False

6.5.56 Attribute Read Client Facet

Table 77 describes the details of the *Attribute* Read *Client* Facet. This Facet defines the ability to read *Attribute* values of *Nodes*.

Table 77 – Attribute Read Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Read Base	False
Attribute Services	Attribute Client Read Complex	True
Attribute Services	Attribute Client Read with proper Encoding	True

6.5.57 Attribute Write Client Facet

Table 78 describes the details of the *Attribute* Write *Client* Facet. This Facet defines the ability to write *Attribute* values of *Nodes*.

Table 78 – Attribute Write Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Write Base	False
Attribute Services	Attribute Client Write Complex	True
Attribute Services	Attribute Client Write Quality & TimeStamp	True

6.5.58 DataChange Subscriber Client Facet

Table 79 describes the details of the DataChange Subscriber *Client* Facet. This Facet defines the ability to monitor *Attribute* values for data change.

Table 79 – DataChange Subscriber Client Facet

Group	Conformance Unit / Profile Title	Optional
Monitored Item Services	Monitor Client by Index	False
Monitored Item Services	Monitor Client Deadband Filter	True
Monitored Item Services	Monitor Client Modify	True
Monitored Item Services	Monitor Client Trigger	True
Monitored Item Services	Monitor Client Value Change	False
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Modify	True
Subscription Services	Subscription Client Multiple	True
Subscription Services	Subscription Client Republish	False

6.5.59 DataAccess Client Facet

Table 80 describes the details of the DataAccess *Client* Facet. This Facet defines the ability to utilize the DataAccess Information Model, i.e., industrial automation data like analog and discrete data items and their quality of service.

Table 80 – DataAccess Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Address Space Model	Address Space Client Complex DataTypes	True
Attribute Services	Attribute Client Read Base	False
Attribute Services	Attribute Client Read Complex	True
Attribute Services	Attribute Client Read with proper Encoding	True
Data Access	Data Access Client Basic	False
Data Access	Data Access Client Deadband	True
Data Access	Data Access Client SemanticChange	True

6.5.60 Event Subscriber Client Facet

Table 81 describes the details of the *Event* Subscriber *Client* Facet. This Facet defines the ability to subscribe for *Event Notifications*. This includes basic *AddressSpace* concept and the browsing of it, adding events and event filters as monitored items and adding subscriptions.

Table 81 – Event Subscriber Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Monitored Item Services	Monitor Client Complex Event Filter	True
Monitored Item Services	Monitor Client Event Filter	False
Monitored Item Services	Monitor Client Events	False
Monitored Item Services	Monitor Client Modify	True
Monitored Item Services	Monitor Client Trigger	True
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Modify	True
Subscription Services	Subscription Client Multiple	True
Subscription Services	Subscription Client Republish	False
View Services	View Client Basic Browse	True
View Services	View Client TranslateBrowsePath	True

6.5.61 Notifier and Source Hierarchy Client Facet

Table 82 describes the details of the Notifier and Source Hierarchy *Client* Facet. This Facet defines the ability to find and use a hierarchy of *Objects* that are event notifier and *Nodes* that are event sources in the *Server AddressSpace*.

Table 82 – Notifier and Source Hierarchy Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
<i>Profile</i>	Event Subscriber Client Facet	False
Address Space Model	Address Space Client Notifier Hierarchy	False
Address Space Model	Address Space Client Source Hierarchy	False
Subscription Services	Subscription Client Publish Configurable	False

6.5.62 A & C Base ConditionClient Facet

Table 83 describes the details of the A & C Base Condition Client Facet. This Facet defines the ability to use the *Alarm* and *Condition* basic model. This includes the ability to subscribe for Events and to initiate a Refresh method.

Table 83 – A & C Base Condition Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
<i>Profile</i>	Event Subscriber Client Facet	False
<i>Profile</i>	Method Client Facet	False
Alarms and Conditions	A & C Basic Client	False
Alarms and Conditions	A & C ConditionClasses Client	False
Alarms and Conditions	A & C Refresh Client	False

6.5.63 A & C Address Space Instance Client Facet

Table 84 describes the details of the A & C Address Space Instance *Client* Facet. This Facet defines the ability to use *Condition* instances in the *AddressSpace*.

Table 84 – A & C Address Space Instance Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Alarms and Conditions	A & C Instances Client	False

6.5.64 A & C Enable Client Facet

Table 85 describes the details of the A & C Enable *Client* Facet. This Facet defines the ability to enable and disable *Alarms*,

Table 85 – A & C Enable Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
<i>Profile</i>	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Enable Client	False

6.5.65 A & C Alarm Client Facet

Table 86 describes the details of the A & C *Alarm Client* Facet. This Facet defines the ability to use the alarming model (the *AlarmType* or any of the sub-types).

Table 86 – A & C Alarm Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
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Group	Conformance Unit / Profile Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Acknowledge Client	False
Alarms and Conditions	A & C Alarm Client	False
Alarms and Conditions	A & C Comment Client	True
Alarms and Conditions	A & C Confirm Client	True
Alarms and Conditions	A & C Discrete Client	False
Alarms and Conditions	A & C Off Normal Client	True
Alarms and Conditions	A & C Shelving Client	True
Alarms and Conditions	A & C Trip Client	True

6.5.66 A & C Exclusive Alarming Client Facet

Table 87 describes the details of the A & C Exclusive Alarming *Client* Facet. This Facet defines the ability to use the exclusive *Alarm* model. This includes understanding the various subtypes such as *ExclusiveRateOfChangeAlarm*, *ExclusiveLevelAlarm* and *ExclusiveDeviationAlarm*.

Table 87 – A & C Exclusive Alarming Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Alarm Client Facet	False
Alarms and Conditions	A & C Exclusive Deviation Client	True
Alarms and Conditions	A & C Exclusive Level Client	True
Alarms and Conditions	A & C Exclusive Limit Client	False
Alarms and Conditions	A & C Exclusive RateOfChange Client	True

6.5.67 A & C Non-Exclusive Alarming Client Facet

Table 88 describes the details of the A & C Non-Exclusive Alarming *Client* Facet. This Facet defines the ability to use the non-exclusive *Alarm* model. This includes understanding the various subtypes such as *NonExclusiveRateOfChangeAlarm*, *NonExclusiveLevelAlarm* and *NonExclusiveDeviationAlarm*.

Table 88 – A & C Non-Exclusive Alarming Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Alarm Client Facet	False
Alarms and Conditions	A & C Non-Exclusive Deviation Client	True
Alarms and Conditions	A & C Non-Exclusive Level Client	True
Alarms and Conditions	A & C Non-Exclusive Limit Client	False
Alarms and Conditions	A & C Non-Exclusive RateOfChange Client	True

6.5.68 A & C Previous Instances Client Facet

Table 89 describes the details of the A & C Previous Instances *Client* Facet. This Facet defines the ability to use previous instances of *Alarms*. This implies the ability to understand *branchIds*.

Table 89 – A & C Previous Instances Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Branch Client	False

6.5.69 A & C Dialog Client Facet

Table 90 describes the details of the A & C Dialog *Client* Facet. This Facet defines the ability to use the dialog model. This implies the support of *Method* invocation to respond to dialog messages.

Table 90 – A & C Dialog Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	A & C Base Condition Client Facet	False
Alarms and Conditions	A & C Dialog Client	False

6.5.70 A & E Proxy Facet

Table 91 describes the details of the A & E Proxy Facet. This Facet describes the functionality used by a default A & E *Client* proxy. A *Client* exposes this Facet so that a *Server* may be able to better understand the commands that are being issued by the *Client*, since this Facet indicates that the *Client* is an A&E Com *Client*.

Table 91 – A & E Proxy Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Alarms and Conditions	A & C Acknowledge Client	False
Alarms and Conditions	A & C Alarm Client	False
Alarms and Conditions	A & C Basic Client	False
Alarms and Conditions	A & C ConditionClasses Client	False
Alarms and Conditions	A & C Discrete Client	False
Alarms and Conditions	A & C Exclusive Deviation Client	False
Alarms and Conditions	A & C Exclusive Level Client	False
Alarms and Conditions	A & C Exclusive Limit Client	False
Alarms and Conditions	A & C Exclusive RateOfChange Client	False
Alarms and Conditions	A & C Instances Client	False
Alarms and Conditions	A & C Non-Exclusive Deviation Client	False
Alarms and Conditions	A & C Non-Exclusive Level Client	False
Alarms and Conditions	A & C Non-Exclusive Limit Client	False
Alarms and Conditions	A & C Non-Exclusive RateOfChange Client	False
Alarms and Conditions	A & C Off Normal Client	False
Alarms and Conditions	A & C Refresh Client	False
Alarms and Conditions	A & C Trip Client	False
Attribute Services	Attribute Client Read Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Change Events	False
Discovery Services	Discovery Client Configure Endpoint	False
Discovery Services	Discovery Client Find Servers Basic	False
Discovery Services	Discovery Client Find Servers Dynamic	False
Discovery Services	Discovery Client Find Servers with URI	False
Discovery Services	Discovery Client Get Endpoints Basic	False
Discovery Services	Discovery Client Get Endpoints Dynamic	False
Method Services	Method Client Call	False
Monitored Item Services	Monitor Client Complex Event Filter	False
Monitored Item Services	Monitor Client Event Filter	False
Monitored Item Services	Monitor Client Events	False
Security	Security Administration	False
Security	Security Administration - XML Schema	False
Security	Security Certificate Administration	False
Session Services	Session Client Auto Reconnect	False
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Multiple	False
Subscription Services	Subscription Client Publish Configurable	False
Subscription Services	Subscription Client Republish	False
View Services	View Client Basic Browse	False
View Services	View Client Basic ResultSet Filtering	False
View Services	View Client TranslateBrowsePath	False

6.5.71 Method Client Facet

Table 92 describes the details of the *Method Client* Facet. This Facet defines the ability to call arbitrary *Methods*.

Table 92 – Method Client Facet

Group	Conformance Unit / Profile Title	Optional
Method Services	Method Client Call	False

6.5.72 Auditing Client Facet

Table 93 describes the details of the Auditing *Client* Facet. This Facet defines the ability to monitor *AuditEvents*.

Table 93 – Auditing Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Event Subscriber Client Facet	False
Auditing	Auditing Client Audit ID	False
Auditing	Auditing Client Subscribes	False

6.5.73 Node Management Client Facet

Table 94 describes the details of the *Node Management Client* Facet. This Facet defines the ability to configure the *AddressSpace* of an OPC UA *Server* through OPC UA *Node Management Service* Set.

Table 94 – Node Management Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Node Management Services	Node Management Client	False

6.5.74 Advanced Type Programming Client Facet

Table 95 describes the details of the Advanced Type Programming *Client* Facet. This Facet defines the ability to use the type model and process the instance *AddressSpace* based on the type model. For example a client may contain generic displays that are based on a type, in that they contain a relative path from some main type. On call up this main type is matched to an instance and all of display items are resolved based on the provided type model.

Table 95 – Advanced Type Programming Client Facet

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Type Programming	False
View Services	View Client TranslateBrowsePath	False

6.5.75 Diagnostic Client Facet

Table 96 describes the details of the Diagnostic *Client* Facet. This Facet defines the ability to read and process diagnostic information that is part of the OPC UA information model.

Table 96 – Diagnostic Client Facet

Group	Conformance Unit / Profile Title	Optional
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Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space Client Base	False
Base Information	Base Info Client Basic	False
Base Information	Base Info Client Diagnostics	False

6.5.76 Redundant Client Facet

Table 97 describes the details of the Redundant *Client* Facet. This Facet defines the ability to use the redundancy feature available for redundant *Clients*.

Table 97 – Redundant Client Facet

Group	Conformance Unit / Profile Title	Optional
Redundancy	Redundancy Client	False
Subscription Services	Subscription Client TransferSubscriptions	True

6.5.77 Redundancy Switch Client Facet

Table 98 describes the details of the Redundancy Switch *Client* Facet. A *Client* that supports this Facet supports monitoring the redundancy status for non-transparent redundant *Servers* and switching to the backup *Server* when they recognize a change.

Table 98 – Redundancy Switch Client Facet

Group	Conformance Unit / Profile Title	Optional
Redundancy	Redundancy Client Switch	False

6.5.78 Historical Access Client Facet

Table 99 describes the details of the Historical Access *Client* Facet. This Facet defines the ability to read, process, and update historical data.

Table 99 – Historical Access Client Facet

Group	Conformance Unit / Profile Title	Optional
Attribute Services	Attribute Client Historical Read	False
Historical Access	Historical Access Client Browse	False
Historical Access	Historical Access Client Read Raw	False

6.5.79 Historical Annotation Client Facet

Table 100 describes the details of the Historical Annotation *Client* Facet. This Facet defines the ability to retrieve and write annotations for historical data.

Table 100 – Historical Annotation Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Historical Access Client Facet	False
Profile	Historical Data Update Client Facet	False
Historical Access	Historical Access Client Annotations	False

6.5.80 Historical Data AtTime Client Facet

Table 101 describes the details of the Historical Data AtTime *Client* Facet. This Facet defines the ability to access data at specific instances in time.

Table 101 – Historical Data AtTime Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Historical Access Client Facet	False
Historical Access	Historical Access Client Time Instance	False

6.5.81 Historical Aggregate Client Facet

Table 102 describes the details of the Historical Aggregate *Client* Facet. This Facet defines the ability to read historical data by specifying the needed aggregate. This implies consideration of the list of aggregates supported by the *Server*.

Table 102 – Historical Aggregate Client Facet

Group	Conformance Unit / Profile Title	Optional
Aggregates	Aggregate - Client AnnotationCount	True
Aggregates	Aggregate - Client Average	True
Aggregates	Aggregate - Client Count	True
Aggregates	Aggregate - Client Custom Aggregates	True
Aggregates	Aggregate - Client Delta	True
Aggregates	Aggregate - Client DeltaBounds	True
Aggregates	Aggregate - Client DurationBad	True
Aggregates	Aggregate - Client DurationGood	True
Aggregates	Aggregate - Client DurationInStateNonZero	True
Aggregates	Aggregate - Client DurationInStateZero	True
Aggregates	Aggregate - Client End	True
Aggregates	Aggregate - Client EndBound	True
Aggregates	Aggregate - Client Interpolative	True
Aggregates	Aggregate - Client Maximum	True
Aggregates	Aggregate - Client Maximum2	True
Aggregates	Aggregate - Client MaximumActualTime	True
Aggregates	Aggregate - Client MaximumActualTime2	True
Aggregates	Aggregate - Client Minimum	True
Aggregates	Aggregate - Client Minimum2	True
Aggregates	Aggregate - Client MinimumActualTime	True
Aggregates	Aggregate - Client MinimumActualTime2	True
Aggregates	Aggregate - Client NumberOfTransitions	True
Aggregates	Aggregate - Client PercentBad	True
Aggregates	Aggregate - Client PercentGood	True
Aggregates	Aggregate - Client Range	True
Aggregates	Aggregate - Client Range2	True
Aggregates	Aggregate - Client StandardDeviationPopulation	True
Aggregates	Aggregate - Client StandardDeviationSample	True
Aggregates	Aggregate - Client Start	True
Aggregates	Aggregate - Client StartBound	True
Aggregates	Aggregate - Client TimeAverage	True
Aggregates	Aggregate - Client TimeAverage2	True
Aggregates	Aggregate - Client Total	True
Aggregates	Aggregate - Client Total2	True
Aggregates	Aggregate - Client Usage	False
Aggregates	Aggregate - Client VariancePopulation	True
Aggregates	Aggregate - Client VarianceSample	True
Aggregates	Aggregate - Client WorstQuality	True
Aggregates	Aggregate - Client WorstQuality2	True
Historical Access	Historical Access Client Read Aggregates	False

6.5.82 Historical Data Update Client Facet

Table 103 describes the details of the Historical Data Update *Client* Facet. This Facet defines the ability to update historical data.

Table 103 – Historical Data Update Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Data Update	False

6.5.83 Historical Data Insert Client Facet

Table 104 describes the details of the Historical Data Insert *Client* Facet. This Facet defines the ability to insert historical data.

Table 104 – Historical Data Insert Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Data Insert	False

6.5.84 Historical Data Delete Client Facet

Table 105 describes the details of the Historical Data Delete *Client* Facet. This Facet defines the ability to delete historical data.

Table 105 – Historical Data Delete Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Data Delete	False

6.5.85 Historical Access Client Server Timestamp Facet

Table 106 describes the details of the Historical Access *Client Server* Timestamp Facet. This Facet defines the ability to request and process *Server* timestamps, in addition to source timestamps.

Table 106 – Historical Access Client Server Timestamp Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Historical Access	Historical Access Client Server Timestamp	False

6.5.86 Historical Access Modified Data Client Facet

Table 107 describes the details of the Historical Access Modified Data *Client* Facet. This Facet defines the ability to access prior historical data (values that were modified or inserted).

Table 107 – Historical Access Modified Data Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
<i>Profile</i>	Historical Access Client Facet	False
Historical Access	Historical Access Client Read Modified	False

6.5.87 Historical Structured Data AtTime Client Facet

Table 108 describes the details of the Historical Structured Data AtTime *Client* Facet. This Facet defines the ability to read structured values for historical nodes at specific instances in time.

Table 108 – Historical Structured Data AtTime Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Historical Data AtTime Client Facet	False
Historical Access	Historical Access Client Structure Data Time Instance	False

6.5.88 Historical Structured Data Access Client Facet

Table 109 describes the details of the Historical Structured Data Access *Client* Facet. This Facet defines the ability to read structured values for historical nodes.

Table 109 – Historical Structured Data Access Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Historical Access Client Facet	False
Historical Access	Historical Access Client Structure Data Raw	False

6.5.89 Historical Structured Data Modified Client Facet

Table 110 describes the details of the Historical Structured Data Modified *Client* Facet. This Facet defines the ability to read structured values for prior historical data (values that were modified or inserted).

Table 110 – Historical Structured Data Modified Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Historical Access Modified Data Client Facet	False
Historical Access	Historical Access Client Structure Data Read Modified	False

6.5.90 Historical Structured Data Delete Client Facet

Table 111 describes the details of the Historical Structured Data Delete *Client* Facet. This Facet defines the ability to remove structured historical data.

Table 111 – Historical Structured Data Delete Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Historical Data Delete Client Facet	False
Historical Access	Historical Access Client Structure Data Delete	False

6.5.91 Historical Structured Data Update Client Facet

Table 112 describes the details of the Historical Structure Data Updated *Client* Facet. This Facet defines the ability to update structured historical data.

Table 112 – Historical Structured Data Update Client Facet

Group	Conformance Unit / Profile Title	Optional
Profile	Historical Data Update Client Facet	False
Historical Access	Historical Access Client Structure Data Update	False

6.5.92 Historical Structured Data Insert Client Facet

Table 113 describes the details of the Historical Structured Data Insert *Client* Facet. This Facet defines the ability to insert structured historical data.

Table 113 – Historical Structured Data Insert Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
<i>Profile</i>	Historical Data Insert Client Facet	False
Historical Access	Historical Access Client Structure Data Insert	False

6.5.93 Historical Events Client Facet

Table 114 describes the details of the Historical Events *Client* Facet. This Facet defines the ability to read Historical Events, including simple filtering.

Table 114 – Historical Events Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Historical Read	False
Historical Access	Historical Access Client Read Events	False

6.5.94 Historical Event Update Client Facet

Table 115 describes the details of the Historical *Event* Update *Client* Facet. This Facet defines the ability to update historical events.

Table 115 – Historical Event Update Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Event Deletes	False
Historical Access	Historical Access Client Event Inserts	False
Historical Access	Historical Access Client Event Updates	False

6.5.95 Historical Event Delete Client Facet

Table 116 describes the details of the Historical *Event* Delete *Client* Facet. This Facet defines the ability to delete of Historical events.

Table 116 – Historical Event Delete Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Event Deletes	False

6.5.96 Historical Event Insert Client Facet

Table 117 describes the details of the Historical *Event* Insert *Client* Facet. This Facet defines the ability to insert historical events.

Table 117 – Historical Event Insert Client Facet

Group	Conformance Unit / <i>Profile</i> Title	Optional
Attribute Services	Attribute Client Historical Updates	False
Historical Access	Historical Access Client Event Inserts	False

6.5.97 Aggregate Subscriber Client Facet

Table 118 describes the details of the Aggregate Subscriber *Client* Facet. This Facet defines the ability to use the aggregate filter when subscribing for *Attribute* values.

Table 118 – Aggregate Subscriber Client Facet

Group	Conformance Unit / Profile Title	Optional
Aggregates	Aggregate Subscription - Client DeltaBounds	True
Aggregates	Aggregate Subscription - Client AnnotationCount	True
Aggregates	Aggregate Subscription - Client Average	True
Aggregates	Aggregate Subscription - Client Count	True
Aggregates	Aggregate Subscription - Client Custom Aggregates	True
Aggregates	Aggregate Subscription - Client Delta	True
Aggregates	Aggregate Subscription - Client DurationBad	True
Aggregates	Aggregate Subscription - Client DurationGood	True
Aggregates	Aggregate Subscription - Client DurationInStateNonZero	True
Aggregates	Aggregate Subscription - Client DurationInStateZero	True
Aggregates	Aggregate Subscription - Client End	True
Aggregates	Aggregate Subscription - Client EndBound	True
Aggregates	Aggregate Subscription - Client Filter	False
Aggregates	Aggregate Subscription - Client Interpolative	True
Aggregates	Aggregate Subscription - Client Maximum	True
Aggregates	Aggregate Subscription - Client Maximum2	True
Aggregates	Aggregate Subscription - Client MaximumActualTime	True
Aggregates	Aggregate Subscription - Client MaximumActualTime2	True
Aggregates	Aggregate Subscription - Client Minimum	True
Aggregates	Aggregate Subscription - Client Minimum2	True
Aggregates	Aggregate Subscription - Client MinimumActualTime	True
Aggregates	Aggregate Subscription - Client MinimumActualTime2	True
Aggregates	Aggregate Subscription - Client NumberOfTransition	True
Aggregates	Aggregate Subscription - Client PercentBad	True
Aggregates	Aggregate Subscription - Client PercentGood	True
Aggregates	Aggregate Subscription - Client Range	True
Aggregates	Aggregate Subscription - Client Range2	True
Aggregates	Aggregate Subscription - Client StandardDevPopulation	True
Aggregates	Aggregate Subscription - Client StandardDevSample	True
Aggregates	Aggregate Subscription - Client Start	True
Aggregates	Aggregate Subscription - Client StartBound	True
Aggregates	Aggregate Subscription - Client TimeAverage	True
Aggregates	Aggregate Subscription - Client TimeAverage2	True
Aggregates	Aggregate Subscription - Client Total	True
Aggregates	Aggregate Subscription - Client Total2	True
Aggregates	Aggregate Subscription - Client VariancePopulation	True
Aggregates	Aggregate Subscription - Client VarianceSample	True
Aggregates	Aggregate Subscription - Client WorstQuality	True
Aggregates	Aggregate Subscription - Client WorstQuality2	True
Monitored Item Services	Monitor Client Aggregate Filter	False
Monitored Item Services	Monitor Client by Index	False
Monitored Item Services	Monitor Client Modify	True
Monitored Item Services	Monitor Client Value Change	False
Subscription Services	Subscription Client Basic	False
Subscription Services	Subscription Client Modify	True
Subscription Services	Subscription Client Multiple	True
Subscription Services	Subscription Client Republish	True

6.5.98 User Token - Anonymous Facet

Table 119 describes the details of the User Token - Anonymous Facet. This Facet indicates that anonymous User Tokens are supported.

Table 119 – User Token - Anonymous Facet

Group	Conformance Unit / Profile Title	Optional
Security	Security User Anonymous	False

6.5.99 User Token - User Name Password Server Facet

Table 120 describes the details of the User Token - User Name Password Server Facet. This Facet indicates that a user token that is comprised of a username and password is supported. This User Token can affect the behaviour of the *Activate Session Service*.

Table 120 – User Token - User Name Password Server Facet

Group	Conformance Unit / Profile Title	Optional
Security	Security User Name Password	False

6.5.100 User Token - X509 Certificate Server Facet

Table 121 describes the details of the User Token - X509 *Certificate* Server Facet. This Facet indicates that the use of an X509 certificates to identify users is supported.

Table 121 – User Token - X509 Certificate Server Facet

Group	Conformance Unit / Profile Title	Optional
Security	Security User X509	False

6.5.101 User Token - Issued Token Server Facet

Table 122 describes the details of the User Token - Issued Token Server Facet. This Facet indicates that a User Token that is comprised of an issued token is supported.

Table 122 – User Token - Issued Token Server Facet

Group	Conformance Unit / Profile Title	Optional
Security	Security User IssuedToken Kerberos	False

6.5.102 User Token - Issued Token Windows Server Facet

Table 123 describes the details of the User Token - Issued Token Windows Server Facet. This Facet further refines the User Token - Issued Token to indicate a windows implementation of Kerberos

Table 123 – User Token - Issued Token Windows Server Facet

Group	Conformance Unit / Profile Title	Optional
Profile	User Token - Issued Token Facet	False
Security	Security User IssuedToken Kerberos Windows	False

6.5.103 User Token - User Name Password Client Facet

Table 124 describes the details of the User Token - User Name Password *Client* Facet. This Facet defines the ability to use a user token that is comprised of a username and password.

Table 124 – User Token - User Name Password Client Facet

Group	Conformance Unit / Profile Title	Optional
Security	Security User Name Password Client	False

6.5.104 User Token - X509 Certificate Client Facet

Table 125 describes the details of the User Token - X509 *Certificate Client* Facet. This Facet defines the ability to use an X509 certificates to identify users.

Table 125 – User Token - X509 Certificate Client Facet

Group	Conformance Unit / Profile Title	Optional
Security	Security User X509 Client	False

6.5.105 User Token - Issued Token Client Facet

Table 126 describes the details of the User Token - Issued Token *Client* Facet. This Facet defines the ability to use the User Token - Issued Token (Kerberos) to connect to a server

Table 126 – User Token - Issued Token Client Facet

Group	Conformance Unit / Profile Title	Optional
Security	Security User IssuedToken Kerberos <i>Client</i>	False

6.5.106 User Token - Issued Token Windows Client Facet

Table 127 describes the details of the User Token - Issued Token Windows *Client* Facet. This Facet defines the ability to use the User Token - Issued Token (Windows implementation of Kerberos) to connect to a server

Table 127 – User Token - Issued Token Windows Client Facet

Group	Conformance Unit / Profile Title	Optional
Security	Security User IssuedToken Kerberos Windows Client	False

6.5.107 UA-TCP UA-SC UA Binary

Table 128 describes the details of the UA-TCP UA-SC UA Binary. This transport Facet defines a combination of network protocol, security protocol and message encoding that is optimized for low resource consumption and high performance. It combines the simple TCP based network protocol UA TCP 1.0 with the binary security protocol UA SecureConversation 1.0 and the binary message encoding UA Binary 1.0.

Table 128 – UA-TCP UA-SC UA Binary

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol TCP Binary UA Security	False

6.5.108 SOAP-HTTP WS-SC UA XML

Table 129 describes the details of the SOAP-HTTP WS-SC UA XML. This transport Facet defines a combination of network protocol, security protocol and message encoding that provides maximum compatibility with enterprise class web service applications through the use of XML encoded SOAP messages. The performance of this transport profile will not be as good as the profiles with binary encoded messages. It requires support for SOAP 1.2, WS-Secure Conversation and the UA XML Encoding 1.0

Table 129 – SOAP-HTTP WS-SC UA XML

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol Soap Xml WS Security	False

6.5.109 SOAP-HTTP WS-SC UA Binary

Table 130 describes the details of the SOAP-HTTP WS-SC UA Binary. This transport Facet defines a combination of network protocol, security protocol and message encoding that balances compatibility with enterprise class web service applications and performance through the use of SOAP message bodies that contain UA binary encoded messages. It requires support for SOAP 1.2, WS-Secure Conversation and the UA Binary Encoding 1.0.

Table 130 – SOAP-HTTP WS-SC UA Binary

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol Soap Binary WS Security	False

6.5.110 SOAP-HTTP WS-SC UA XML-UA Binary

Table 131 describes the details of the SOAP-HTTP WS-SC UA XML-UA Binary. This transport Facet combines the SOAP-HTTP WS-SC UA Binary and SOAP-HTTP WS-SC UA XML Facets. It is used by *Servers* that allow the *Client* to choose whether messages are encoded with XML or Binary. It requires support for SOAP 1.2, WS-Secure Conversation and the UA Binary Encoding 1.0 and the UA XML Encoding 1.0.

Table 131 – SOAP-HTTP WS-SC UA XML-UA Binary

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol Soap Binary WS Security	False
Protocol and Encoding	Protocol Soap Xml WS Security	False

6.5.111 HTTPS UA Binary

Table 132 describes the details of the HTTPS UA Binary. This transport Facet defines a combination of network protocol, security protocol and message encoding that balances compatibility with widely used HTTPS transport and a compact UA binary encoded message for added performance. It is expected that this transport will be used to support installations where firewalls only permit HTTPS or where a WEB browser is used as *Client*. This transport requires that one of the TransportSecurity Profiles for TLS be provided.

Table 132 – HTTPS UA Binary

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol HTTPS with UA Binary	False
Security	Security TLS General	False

6.5.112 HTTPS UA XML

Table 133 describes the details of the HTTPS UA XML. This transport Facet defines a combination of network protocol, security protocol and message encoding that uses HTTPS transport and a SOAP XML encoded message for use with standard SOAP toolkits. This transport requires that one of the TransportSecurity Profiles for TLS be provided.

Table 133 – HTTPS UA XML

Group	Conformance Unit / Profile Title	Optional
Protocol and Encoding	Protocol HTTPS with Soap	False
Security	Security TLS General	False

6.5.113 Security User Access Control Full

Table 134 describes the details of the Security User Access Control Full. A server that supports this profile supports restricting multiple levels of access to all *Nodes* in the *AddressSpace* based on the validated user.

Table 134 – Security User Access Control Full

Group	Conformance Unit / Profile Title	Optional
Profile	Security User Access Control Base	False
Address Space Model	Address Space User Access Level Full	False

6.5.114 Security User Access Control Base

Table 135 describes the details of the Security User Access Control Base. A server that supports this profile supports restricting some level of access to some *Nodes* in the *AddressSpace* based on the validated user.

Table 135 – Security User Access Control Base

Group	Conformance Unit / Profile Title	Optional
Address Space Model	Address Space User Access Level Base	False
Security	Security User IssuedToken Kerberos	True
Security	Security User IssuedToken Kerberos Windows	True
Security	Security User Name Password	False
Security	Security User X509	True

6.5.115 Security Time Synchronization

Table 136 describes the details of the Security Time Synchronization. This Facet indicates that the application supports the minimum required level of time synchronization to ensure secure communication. One of the optional time synchronization conformance units must be supported.

Table 136 – Security Time Synchronization

Group	Conformance Unit / Profile Title	Optional
Security	Security Time Synch - Configuration	False
Security	Security Time Synch - NTP / OS Based support	True
Security	Security Time Synch - UA based support	True

6.5.116 Best Practice - Audit Events

Table 137 describes the details of the Best Practice - Audit Events. Subscriptions for Audit Events shall be restricted to authorized personnel.

Table 137 – Best Practice - Audit Events

Group	Conformance Unit / Profile Title	Optional
Miscellaneous	Best Practice - Audit Events	False

6.5.117 Best Practice - Alarm Handling

Table 138 describes the details of the Best Practice - *Alarm* Handling. A server should restrict critical alarm handling functionality to users that have the appropriate rights to perform these actions

Table 138 – Best Practice - Alarm Handling

Group	Conformance Unit / Profile Title	Optional
Miscellaneous	Best Practice - Alarm Handling	False

6.5.118 Best Practice - Random Numbers

Table 139 describes the details of the Best Practice - Random Numbers. All random numbers that are required for security should use appropriate cryptographic library based random number generators.

Table 139 – Best Practice - Random Numbers

Group	Conformance Unit / Profile Title	Optional
Miscellaneous	Best Practice - Random Numbers	False

6.5.119 Best Practice - Timeouts

Table 140 describes the details of the Best Practice - Timeouts. The administrator should be able to configure reasonable timeouts for Secure Channels, *Sessions* and *Subscriptions*. Setting these timeouts allows limiting Denial of Service attacks and overload issues.

Table 140 – Best Practice - Timeouts

Group	Conformance Unit / Profile Title	Optional
Miscellaneous	Best Practice - Timeouts	False

6.5.120 Best Practice - Administrative Access

Table 141 describes the details of the Best Practice - Administrative Access. The *Server* and *Client* allow restricting the use of certain *Services* and access to parts of the *AddressSpace* to administrative personnel. This includes multiple level of administrative access on platforms that support multiple administrative roles (such as Windows or Linux).

Table 141 – Best Practice - Administrative Access

Group	Conformance Unit / Profile Title	Optional
Miscellaneous	Best Practice - Administrative Access	False

6.5.121 Best Practice - Strict Message Handling

Table 142 describes the details of the Best Practice - Strict Message Handling. *Server* and *Client* reject messages that are incorrectly formed as specified in Part 4 and Part 6.

Table 142 – Best Practice - Strict Message Handling

Group	Conformance Unit / Profile Title	Optional
Miscellaneous	Best Practice - Strict Message Handling	False

6.5.122 Best Practice - Audit Events Client

Table 143 describes the details of the Best Practice - Audit Events *Client*. Audit Tracking system connect to a server using a secure channel and under the appropriate authorization to allow access to Audit events.

Table 143 – Best Practice - Audit Events Client

Group	Conformance Unit / Profile Title	Optional
Miscellaneous	Best Practice - Audit Events Client	False

6.5.123 SecurityPolicy - None

Table 144 describes the details of the SecurityPolicy - None. This security Facet defines a SecurityPolicy used for configurations with the lowest security needs. This SecurityPolicy can affect the behaviour of the CreateSession and Activate *Session* services. It also results in a SecureChannel which has no Channel Security. By default this SecurityPolicy should be disabled if any other SecurityPolicies are available.

Table 144 – SecurityPolicy - None

Group	Conformance Unit / Profile Title	Optional
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Group	Conformance Unit / Profile Title	Optional
Security	Security None	False
Security	Security None CreateSession ActivateSession	False

6.5.124 SecurityPolicy - Basic128Rsa15

Table 145 describes the details of the SecurityPolicy - Basic128Rsa15. This security Facet defines a Security Policy for configurations with medium security. It requires a PKI infrastructure.

As computing power increases, SecurityPolicies are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provided recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST recommends users of this SecurityPolicy should consider upgrading it for key lengths less than 2048 in 2010. NIST also recommends that this SecurityPolicy should be deprecated in 2012 for key lengths less than 2048. OPC recommends that *Servers* and *Client* support all security profiles and that developers provided the recommended profile as a default. It is up to an administrator to configure the actual exposed SecurityPolicies.

Table 145 – SecurityPolicy - Basic128Rsa15

Group	Conformance Unit / Profile Title	Optional
Security	Security Basic 128Rsa15	False
Security	Security Certificate Validation	False
Security	Security Encryption Required	False
Security	Security Level 1	False
Security	Security Signing Required	False

6.5.125 SecurityPolicy - Basic256

Table 146 describes the details of the SecurityPolicy - Basic256. This security Facet defines a Security Policy for configurations with medium to high security needs. It requires a PKI infrastructure.

As computing power increases, SecurityPolicies are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provided recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST recommends users of this SecurityPolicy should consider upgrading it for key sizes less than 2048 in 2010. NIST also recommends that this SecurityPolicy should be deprecated in 2012 for key sizes less than 2048. OPC recommends that *Servers* and *Client* support all security profiles and that developers provided the recommended profile as a default. It is up to an administrator to configure the actual exposed SecurityPolicies.

Table 146 – SecurityPolicy - Basic256

Group	Conformance Unit / Profile Title	Optional
Security	Security Basic 256	False
Security	Security Certificate Validation	False
Security	Security Encryption Required	False
Security	Security Level 2	False
Security	Security Signing Required	False

6.5.126 SecurityPolicy - Basic256Sha256

Table 147 describes the details of the SecurityPolicy - Basic256Sha256. This security Facet defines a Security Policy for configurations with high security needs. It requires a PKI infrastructure.

As computing power increases, SecurityPolicies are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provided recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. This security Policy has no published end dates as of this time. OPC recommends that *Servers* and *Client* support all security profiles and that developers provided the recommended profile as a default. It is up to an administrator to configure the actual exposed SecurityPolicies.

Table 147 – SecurityPolicy - Basic256Sha256

Group	Conformance Unit / Profile Title	Optional
Security	Security Basic 256 Sha256	False
Security	Security Level 3	False

6.5.127 TransportSecurity - TLS 1.0

Table 148 describes the details of the TransportSecurity- TLS 1.0 Profile. This Facet defines a transport security for configurations with medium high security needs. It makes uses of TLS_RSA_WITH_RC4_128_SHA. This security profile is less secure than TLS 1.2.

As computing power increases, security algorithms are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provide recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST already recommends users of this TransportSecurity should upgrade to TLS 1.2. This Policy is listed for systems that do not support TLS 1.1 or 1.2. OPC recommends that *Servers* and *Client* support all security profiles and that developers provided the recommended profile as a default. It is up to an administrator to configure the actual exposed TransportSecurity Profiles.

Table 148 – TransportSecurity- TLS 1.0

Group	Conformance Unit / Profile Title	Optional
Security	Security Level 1	False
Security	Security TLS_RSA_WITH_RC4_128_SHA	False

6.5.128 TransportSecurity- TLS 1.1

Table 149 describes the details of the TransportSecurity- TLS 1.1. This Facet defines a transport security for configurations with medium high security needs. This security profile is less secure than TLS 1.2.

As computing power increases, security algorithms are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provided recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST recommends users of this TransportSecurity should consider upgrading to TLS 1.2. This transport security is provided for systems that do not support TLS 1.2. OPC recommends that *Servers* and *Client* support all security profiles and that developers provided the recommended profile as a default. It is up to an administrator to configure the actual exposed TransportSecurity Profiles.

Table 149 – TransportSecurity- TLS 1.1

Group	Conformance Unit / Profile Title	Optional
Security	Security Level 2	False
Security	Security TLS 1.1	False

6.5.129 TransportSecurity- TLS 1.2

Table 150 describes the details of the SecurityPolicy - TLS 1.2. This Facet defines a transport security for configurations with high security needs. It makes use of TLS 1.2 and uses TLS_RSA_WITH_AES_256_CBC_SHA256.

As computing power increases, security algorithms are expected to expire. NIST provides guidelines for expected expiration dates for individual algorithms. These guidelines provide recommended dates at which the algorithm should be replaced or upgraded to a more secure algorithm. They do not indicate a failure of the algorithm. NIST has no recommendations for this TransportSecurity. OPC recommends that *Servers* and *Client* support all security profiles and that developers provided the recommended profile as a default. It is up to an administrator to configure the actual exposed TransportSecurity Profiles.

Table 150 – TransportSecurity- TLS 1.2

Group	Conformance Unit / Profile Title	Optional
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Group	Conformance Unit / <i>Profile</i> Title	Optional
Security	Security Level 3	False
Security	Security TLS_RSA_WITH_AES_256_CBC_SHA256	False
