# Towards Privacy-Preserving Visual Recognition via Adversarial Training: A Pilot Study

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#### The Dilemma

- A smart home camera system is expected to
  - Be able to recognize important events and assist people's daily life by understanding videos
  - Be unable to obtain "too sensitive" visual information that can intrude people's privacy.

#### Would classical cryptographic solutions suffice? No.

- They secure the communication against unauthorized access from attackers
- ...but not applicable to preventing the abuse by authorized agents (e.g., the backend analytics)

#### What were there?

- Privacy Protection in Computer Vision Systems
  - Transmit feature descriptors to the cloud? Not safe
  - Homomorphic cryptographic solution? Expensive, working on only simple classifiers
  - Downsample the video aggressively, and strategically? Cheap, works empirically, but usually no competitive trade-off
  - A few game-theoretic or learning-based recent solutions . . .
     IMPORTANT to distinguish between model-specific and model-agnostic privacy!
- Privacy Protection in Social Media and Photo Sharing
  - Add empirical obfuscations? Not safe
  - Deep learning-based adversarial perturbations? Model-specific privacy, also with a different goal with ours: they wish to cause minimum perceptual quality loss to those photos

#### A Formal Problem Definition

$$\min_{f_T, f_d} L_T(f_T(f_d(X)), Y_T) + \gamma L_B(f_d(X)), \tag{1}$$

- X: raw visual data captured by camera:
  - ullet target task  $\mathcal{T}$ , e.g., action recognition or visual tracking
  - privacy budget B, e.g, leak of identity of other privacy attributes.
- $f_T$ : a model to perform the target task T on its input data.
- $Y_T$ : a label set provided on X for T.
- $L_T$ : cost function for the performance on  $\mathcal{T}$ , e.g., action recognition accuracy.
- L<sub>B</sub>: budget cost function to evaluate the privacy leak risk of X: the larger L<sub>B</sub>, the higher privacy leak risk.

## A Formal Problem Definition (Cont.)

Our goal is to seek such an active degradation function  $f_d$  to transform the original X for both  $L_T$  and  $L_B$ , such that:

- The achievable target task performance  $L_T$  is minimally affected compared to when using the raw data, i.e.,  $\min_{f_T, f_d} L_T(f_T(f_d(X)), Y_T) \approx \min_{f_T'} L_T(f_T'(X), Y_T)$ .
- The privacy budget  $L_B$  is greatly suppressed compared to raw data:  $L_B(f_d(X)) \ll L_B(X)$ .

## How to Define Privacy Cost?

The definition of the privacy budget cost  $L_B$  is not straightforward.

- Privacy is subjective, and usually needs to be placed in concrete application contexts, often in a task-driven way.
- We denote the privacy-related annotations (such as identity label) as  $Y_B$ , and rewrite  $L_B(f_d(X))$  as  $L_B(f_b(f_d(X)), Y_B)$ , where  $f_b$  denotes a budget model to predict the corresponding privacy information.
- Different from  $L_T$ , minimizing  $L_B$  will encourage  $f_b(f_d(X))$  to diverge from  $Y_B$  as much as possible.

# The ∃-∀ Challenge

Define a privacy prediction function family  $\mathcal{P}$ :  $f_d(X) \to Y_B$ , the ideal privacy protection of  $f_d$  should be **suppressing every** possible model  $f_b$  from  $\mathcal{P}$  (worst-case guaranteed protection)

$$\min_{f_T, f_d} L_T(f_T(f_d(X), Y_T) + \gamma \underline{\max_{f_b \in \mathcal{P}} L_B(f_b(f_d(X)), Y_B)}. \tag{2}$$

For the solved  $f_d$ , the two goals should be simultaneously satisfied: (1) there **exists** (" $\exists$ ") at least one  $f_T$  function that can predict  $Y_T$  from  $f_d(X)$  well; (2) **for all** (" $\forall$ ")  $f_b$  functions  $\in \mathcal{P}$ , **none of them** (even the best one) can reliably predict  $Y_B$  from  $f_d(X)$ .

## (Naive) Adversarial Learning Implementation

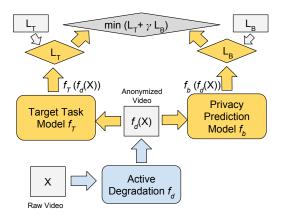


Figure 1: The basic adversarial training framework for privacy-preserving visual recognition.

### Learning Model-Agnostic Privacy Protection

- Naive implementation by choosing a very strong  $f_b$  is insufficient (overfitting one only).
- Improved Solution 1: Budget Model Re-starting and Re-fitting
- Improved Solution 2: Budget Model Ensemble Training
  - We approximate the continuous  $\mathcal{P}$  with a discrete set of M sample functions. Assuming the budget model ensemble  $\{f_b^i\}_{i=1}^M$ , we turn to minimizing the following discretized surrogate of (2):

$$\min_{f_T, f_d} L_T(f_T(f_d(X), Y_T) + \gamma \max_{i \in \{1, 2, ..., M\}} L_B(f_b^i(f_d(X))).$$
(3)

 Sampling the meta model space and always suppressing the most confident model

#### Two-Fold Evaluation Protocol

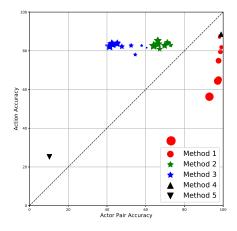
- The double-sided problem calls for two-folds evaluation:
  - Is target task utility maintained after active degradation? (standard)
  - Is privacy protected against any possible (unseen) privacy prediction model? (non-standard)
- For the second evaluation:
  - We first sample a different, unseen set of N privacy prediction models from  $\mathcal P$
  - We then train each of them to predict privacy information, over the degraded training set X by applying the learned f<sub>d</sub>
  - We finally apply them to the degraded testing set after applying the learned f<sub>d</sub>, and the highest accuracy achieved among the N models is used to approximately represent the "worst-case privacy protection"

## Experiments (i): SBU dataset

 $\mathcal{T}$ : action recognition  $\mathcal{B}$ : actor pair identification

- **Method 1**: downsampling raw RGB frames under different ratios.
- Method 2 (Proposed): applying the proposed adversarial training to RGB frames, using budget model ensemble without restarting.
- Method 3 (Proposed): applying the proposed adversarial training to RGB frames, using budget model ensemble with restarting.
- Method 4: detect & crop out faces from RGB frames.
- Method 5: detect & crop out whole actor bodies.

Figure 2: The SBU trade-off plot.



# Experiment (ii): UCF-101 + VISPR

- lacksquare  $\mathcal{T}$ : action recognition
- B: protection of multiple privacy attributes (VISPR-17/7)
- Cross-dataset training and evaluation
  - UCF101 dataset: 101 different action classes.
  - Visual Privacy (VISPR) dataset: 22, 167 images manually annotated with many privacy attributes, e.g. face, race, gender, skin color, age group...

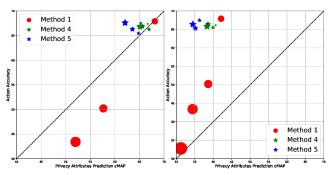


Figure 3: UCF-101/VISPR trade-off plot. Left: VISPR-17; Right: VISPR-7.

### Open Questions: A lot

- Current budget model ensemble is a rough discretized approximation of P. More elegant to tackle this ∀ optimization is desired.
- Stabilizing the adversarial training
- Need better theory information theory or game theory?
- lacksquare Collecting datasets with both  ${\mathcal T}$  and  ${\mathcal B}$  well defined