# 2.4 场作用量、最小作用量原理和Noether 定理

# 1. 对场作用量的一般要求

① 只限于定域场;

$$I = \int_{\tau_1}^{\tau_2} \mathbf{d}^4 x \mathcal{L}, \qquad [\mathcal{L}] = \mathbf{L}^{-4}, \qquad (2.125)$$

L为Lagrange密度(Lagrangian),

$$\mathcal{L} = \mathcal{L}(\phi(x), \partial_{\mu}\phi(x)).$$

 $d^4 x = d x^0 d x^1 d x^2 d x^3$ .

(2.127)

(2.126)

- ②作用量应为实的;
- ③从作用量导出的经典运动方程不含高于2阶的 微商;
- ④应具有Poincar e不变性;
- ⑤存在对作用量的其它不变性要求。

# 2. 经典场论中的最小作用量原理

$$I = \int_{\tau_1}^{\tau_2} \mathbf{d}^4 x \mathcal{L}(\phi, \partial_{\mu} \phi),$$

当
$$\phi \rightarrow \phi + \delta \phi$$
时,

$$\delta I = 0$$

$$\Rightarrow \partial_{\mu} \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} - \frac{\partial \mathcal{L}}{\partial \phi} = 0.$$
 (2.134)

(Euler-Lagrange eq.)

若

$$\mathcal{L} \to \mathcal{L}' = \mathcal{L} + \partial_{\mu} \Lambda^{\mu}, \qquad (正则变换)$$
 
$$\Lambda^{\mu} = \Lambda^{\mu}(\phi, \partial_{\mu} \phi)$$

则 L和 L'导致相同的运动方程 平坦时空中)

# 3. Noether 定理

在某种对称变换下,

$$x^{\mu} \rightarrow x^{\prime \mu} = x^{\mu} + \delta x^{\mu}, \quad \phi(x) \rightarrow \phi'(x') = \phi(x) + \delta \phi,$$

$$\delta I = \int_{\tau_1'}^{\tau_2} \mathbf{d}^4 x' \, \mathcal{L}'(x') - \int_{\tau_1}^{\tau_2} \mathbf{d}^4 x \, \mathcal{L}(x)$$

$$= \int_{\tau_1}^{\tau_2} \{ [\mathbf{d}^4 x + \delta(\mathbf{d}^4 x)] [\mathcal{L}(x) + \delta \mathcal{L}] - \mathbf{d}^4 x \mathcal{L}(x) \}$$

$$= \int_{\tau_1}^{\tau_2} [\mathcal{S}(\mathbf{d}^4 x) \mathcal{L} + \mathbf{d}^4 x \mathcal{S} \mathcal{L}],$$

(2.136)

$$\delta(\mathbf{d}^4 x) = \mathbf{d}^4 x' - \mathbf{d}^4 x = \mathbf{d}^4 x \left| \frac{\partial x'^{\mu}}{\partial x^{\nu}} \right| - \mathbf{d}^4 x$$

$$= \mathbf{d}^4 x (\partial_{\mu} \delta x^{\mu}), \qquad (2.137)$$

$$\delta = \delta_0 + \delta x^{\mu} \partial_{\mu},$$

$$\delta \mathcal{L} = \delta x^{\mu} \partial_{\mu} \mathcal{L} + \delta_{0} \mathcal{L}(\phi, \partial_{\mu} \phi)$$

$$= \delta x^{\mu} \partial_{\mu} \mathcal{L} + \frac{\partial \mathcal{L}}{\partial \phi} \delta_{0} \phi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta_{0} (\partial_{\mu} \phi)$$

$$= \delta x^{\mu} \partial_{\mu} \mathcal{L} + \frac{\partial \mathcal{L}}{\partial \phi} \delta_{0} \phi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \partial_{\mu} (\delta_{0} \phi)$$

$$\delta \mathcal{L} = \delta x^{\mu} \partial_{\mu} \mathcal{L} + \left[ \frac{\partial \mathcal{L}}{\partial \phi} - \partial_{\mu} \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \right] \delta_{0} \phi + \partial_{\mu} \left( \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta_{0} \phi \right)$$

$$= \delta x^{\mu} \partial_{\mu} \mathcal{L} + \partial_{\mu} \left( \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta_{0} \phi \right), \qquad (2.139)$$

将(2.137)和(2.139)代入(2.136),得

$$\delta I = \int_{\tau_1}^{\tau_2} \mathbf{d}^4 x \left[ (\partial_{\mu} \delta x^{\mu}) \mathcal{L} + \delta x^{\mu} \partial_{\mu} \mathcal{L} + \partial_{\mu} \left( \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta_0 \phi \right) \right]$$

$$= \int_{\tau_1}^{\tau_2} \mathbf{d}^4 x \partial_{\mu} \left[ \delta x^{\mu} \mathcal{L} + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta_0 \phi \right]$$

$$= \int_{\tau_1}^{\tau_2} \mathbf{d}^4 x \partial_{\mu} \left[ \left( \mathcal{L} g^{\mu}_{\rho} - \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \partial_{\rho} \phi \right) \delta x^{\rho} + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \delta \phi \right], (2.140)$$

引入整体变换参数 $\omega^a$ ,

$$\delta x^{\rho} = \frac{\delta x^{\rho}}{\delta \omega^{a}} \delta \omega^{a}, \quad \delta \phi = \frac{\delta \phi}{\delta \omega^{a}} \delta \omega^{a},$$

$$\delta I = \int_{\tau_1}^{\tau_2} \mathbf{d}^4 x \partial_{\mu} \left[ \left( \mathcal{L} g^{\mu}_{\rho} - \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \partial_{\rho} \phi \right) \frac{\delta x^{\rho}}{\delta \omega^a} + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} \frac{\delta \phi}{\delta \omega^a} \right] \delta \omega^a,$$

$$= -j^{\mu}_{a}, (流密度)$$

若对所有 $\delta\omega^a$ , $\delta I=0$ ,则得

$$\partial_{\mu}j_{a}^{\mu}=0$$
. (流守恒方程) (2.145)

Noether定理: 作用量的某种不变性将导致流行恒方程。

# 将(2.145)式展开成

$$\partial_0 j_a^0 + \partial_i j_a^i = 0,$$

对上式积分
$$\int_{T_1}^{T_2} \mathbf{d} x^0 \int_{-\infty}^{\infty} \mathbf{d}^3 x$$
),则有

$$\int_{T_1}^{T_2} \mathbf{d} x^0 \int_{-\infty}^{\infty} \mathbf{d}^3 x \partial_0 j_a^0 + \int_{T_1}^{T_2} \mathbf{d} x^0 \int_{-\infty}^{\infty} \mathbf{d}^3 x \partial_i j_a^i = 0,$$

$$\Rightarrow \int_{T_1}^{T_2} \mathbf{d} x^0 \frac{\mathbf{d}}{\mathbf{d} x^0} \int_{-\infty}^{\infty} \mathbf{d}^3 x j_a^0(t, \vec{x}) = 0,$$

定义荷 $Q_a$ :

$$Q_a(T) = \int_{-\infty}^{\infty} d^3 x j_a^0(t, \bar{x}), \qquad (2.148)$$

$$\Rightarrow \frac{dQ_a}{dt} = 0. \quad (Q_a 守恒) \tag{2.149}$$

在某种整体对称变换下  $\delta I = 0$ 导致了守恒荷的存在

# 4. 守恒流不唯一

(1) 
$$j_a^{\mu} \rightarrow j_a^{\prime \mu} = j_a^{\mu} + A^{\mu}$$
,

$$(2) j_a^{\mu} \rightarrow j_a^{\mu} + \partial_{\nu} t_a^{\nu\mu},$$

ta\*/为任意反对称张量。 入外不唯一性是由于

$$\mathcal{L} \to \mathcal{L} + \partial_{\nu} \Lambda^{\nu}$$

的贡献。

# 2.5 0自旋场

# 1. 单个标量场

1 Lagrangian

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} \varphi(x) \partial^{\mu} \varphi(x) - V(\varphi(x)). \tag{2.153}$$

在变换 $\varphi \to \varphi + a$ 下不变

得到运动方程:

$$\partial_{\mu}\partial^{\mu}\varphi = -V'(\varphi).$$

(2.158)

#### a) Klein-Gordon Lagrangian:

$$\mathcal{L}_0 = \frac{1}{2} \partial_{\mu} \varphi \partial^{\mu} \varphi - \frac{1}{2} m^2 \varphi^2; \qquad (2.154)$$

$$\Rightarrow$$
 (口+ $m$ ) $\varphi(x) = 0$ . (K-G方程)

b)  $\lambda \varphi$  怕作用理论:

$$\mathcal{L} = \mathcal{L}_0 - \frac{\lambda}{4!} \varphi^4; \qquad (2.156)$$

c) Sine-Gordon Lagrangian:

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} \varphi \partial^{\mu} \varphi - \frac{m^4}{\lambda} \left( \cos \frac{\sqrt{\lambda} \varphi}{m} - 1 \right). \tag{2.157}$$

# ② 守恒量

a) 无穷小时空平移

$$\delta x^{\mu} = \varepsilon^{\mu}, \quad \delta \varphi = 0,$$

由(2.144), 流密度可写为

$$j_{\mu a} = -\left[\mathcal{L}g_{\mu\rho} - \frac{\partial \mathcal{L}}{\partial(\partial^{\mu}\varphi)}\partial_{\rho}\varphi\right] \frac{\delta x^{\rho}}{\delta \omega^{a}} - \frac{\partial \mathcal{L}}{\partial(\partial^{\mu}\varphi)} \frac{\delta \varphi}{\delta \omega^{a}},$$

取a = v,  $\delta \omega^a = \delta \omega^v = \varepsilon^v$ , 可得

$$\frac{\delta x^{\rho}}{\delta \omega^{\nu}} = g^{\rho}_{\nu},$$

$$\begin{split} \dot{J}_{\mu\nu} &= - \left[ \mathcal{L} g_{\mu\rho} - \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \partial_{\rho} \varphi \right] g^{\rho}_{\nu} \\ &= - \left[ \mathcal{L} g_{\mu\rho} - \partial_{\mu} \varphi \partial_{\rho} \varphi \right] g^{\rho}_{\nu} \\ &= - g_{\mu\nu} \mathcal{L} + \partial_{\mu} \varphi \partial_{\nu} \varphi, \end{split} \tag{2.162}$$

 $j_{\mu}$ 为能-动量张量密度,

$$\partial^{\mu} j_{\mu\nu} = 0, \qquad (2.163)$$

相应的守恒荷是场的动量:

$$P_{\nu} = \int d^3x j_{0\nu} = \int d^3x (-g_{0\nu}\mathcal{L} + \partial_0\varphi \partial_{\nu}\varphi), \quad (2.164)$$

 $P_0$ 是场的能量,能量密度的

$$j_{00} = -\mathcal{L} + \partial_0 \varphi \partial_0 \varphi$$

$$= \frac{1}{2} \partial_0 \varphi \partial_0 \varphi + \frac{1}{2} \nabla \varphi \cdot \nabla \varphi + V(\varphi), \qquad (2.165)$$

V > 0时, $j_{00}$ 正定。 $j_{00}$ 的最小值出现于静场 $p_0$ 情况,

$$\partial_0 \varphi_0 = \partial_i \varphi_0 = 0.$$

#### 

$$\delta x^{\mu} = \varepsilon^{\mu \nu} x_{\nu}, \qquad \delta \varphi = 0,$$

取 $a = \nu \rho$ ,  $\delta \omega^a \equiv \delta \omega^{\nu \rho} = \varepsilon^{\nu \rho}$ , 可得

$$-v
ho$$
,  $\partial\omega^{\mu} = \partial\omega^{\mu} - \varepsilon^{\mu}$ , Fig.

守恒流密度为:

$$j_{\mu\nu\rho} = -[-\mathcal{L}g_{\mu\lambda} + \partial_{\mu}\varphi\partial_{\lambda}\varphi](g_{\nu}^{\lambda}x_{\rho} - g_{\rho}^{\lambda}x_{\nu})$$

 $=x_{\nu}j_{\mu\rho}-x_{\rho}j_{\mu\nu}$ , (2.166)  $j_{\mu\nu}$  称为广义的角动量张墨度,相应的守恒荷

$$M_{\nu\rho} = \int \mathbf{d}^3 x j_{0\nu\rho} = \int \mathbf{d}^3 x (x_{\nu} j_{0\rho} - x_{\rho} j_{0\nu}) \qquad (2.167)$$

称为广义的角动量。

#### 2. 多个标量场

考虑N个实标量场 $\varphi_a(a=1,\cdots,N)$ ,

$$\mathcal{L} = \frac{1}{2} \partial_{\mu} \varphi_a \partial^{\mu} \varphi_a - V(\varphi_a \varphi_a), \qquad (2.168)$$

整体转动下,

$$\delta \varphi_a = \varepsilon_{ab} \varphi_b, \quad \varepsilon_{ab} = -\varepsilon_{ba},$$

$$\delta x^{\rho}=0,$$

转动参数 $\varepsilon_{ab}$ 有N(N-1)/2个独立分量。

#### 由(2.144), Noether流密度为

$$j_f^{\mu} = -\left[\mathcal{L}g_{\rho}^{\mu} - \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\varphi_c)}\partial_{\rho}\varphi_c\right] \frac{\delta x^{\rho}}{\delta \omega^f} - \frac{\partial \mathcal{L}}{\partial(\partial_{\mu}\varphi_c)} \frac{\delta \varphi_c}{\delta \omega^f},$$

取
$$f = ab$$
,  $\delta \omega^f = \delta \omega^{ab} = \varepsilon^{ab}$ , 可得

$$\frac{\delta\varphi_c}{\delta\omega^{ab}} = g^c_{\ a}\varphi_b - g^c_{\ b}\varphi_a,$$

$$j_{ab}^{\mu} = \partial^{\mu} \varphi_c (g^c_{a} \varphi_b - g^c_{b} \varphi_a)$$

$$= \varphi_a \partial^{\mu} \varphi_b - \varphi_b \partial^{\mu} \varphi_a.$$

(2.170)

- 2.6 自旋1/2场
- 2.6.1 作用量、运动方程和守恒量

# 1. Lagrangian

自由场情况下,

$$\mathcal{L} = i \overline{\psi} \gamma^{\mu} \partial_{\mu} \psi - m \overline{\psi} \psi, \quad \overline{\psi} = \psi^{+} \gamma^{0}, \qquad (2.171)$$

或

$$\mathcal{L}' = i \overline{\psi} \gamma^{\mu} \ddot{\partial}_{\mu} \psi - m \overline{\psi} \psi, \qquad (2.172)$$

其中Ӛμ定义为

$$b\ddot{\partial}_{\mu}a \equiv b\partial_{\mu}a - (\partial_{\mu}b)a. \tag{2.173}$$

### 2. Dirac 方程

Euler - Lagrange Eq.

$$\partial_{\mu} \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi)} - \frac{\partial \mathcal{L}}{\partial \phi} = 0. \tag{2.134}$$

由(2.171),对 $\psi$ 和 $\psi$ 独立进行变分,得

$$(i\partial - m)\psi = 0$$
, (火满足的Dirac Eq.) (2.174a)

$$\overline{\psi}(i\overline{\partial}-m)=0$$
, ( $\overline{\psi}$ 满足的Dirac Eq.) (2.174b)

其中

$$\phi \equiv \gamma^{\mu} a_{\mu} = \gamma_{\mu} a^{\mu}, \qquad a \overleftarrow{\partial}_{\mu} \equiv -\partial_{\mu} a.$$

### 3. 守恒量

a) 无穷小时空平移

$$\delta x^{\mu} = \varepsilon^{\mu}, \quad \delta \psi = 0, \quad \delta \overline{\psi} = 0,$$

由(2.144), 流密度可写为

$$j_{\mu a} = -\left[\mathcal{L}g_{\mu\rho} - \frac{\partial \mathcal{L}}{\partial(\partial^{\mu}\phi)}\partial_{\rho}\phi\right] \frac{\delta x^{\rho}}{\delta \omega^{a}} - \frac{\partial \mathcal{L}}{\partial(\partial^{\mu}\phi)} \frac{\delta \phi}{\delta \omega^{a}},$$

取 $a = \nu$ 

$$\frac{\delta x^{\rho}}{\delta \omega^{\nu}} = g^{\rho}_{\nu},$$

守恒流密度为

$$\Theta_{\mu\nu} = -\left[\mathcal{L}g_{\mu\rho} - \frac{\partial \mathcal{L}}{\partial(\partial^{\mu}\psi)}\partial_{\rho}\psi\right]g^{\rho}_{\nu} = \frac{\partial \mathcal{L}}{\partial(\partial^{\mu}\psi)}\partial_{\nu}\psi - g_{\mu\nu}\mathcal{L}$$

$$= \frac{\partial}{\partial(\partial^{\mu}\psi)} [i\overline{\psi}\gamma_{\mu}\partial^{\mu}\psi - m\overline{\psi}\psi]\partial_{\nu}\psi - g_{\mu\nu}\mathcal{L}$$

$$= i \overline{\psi} \gamma_{\mu} \partial_{\nu} \psi - g_{\mu} \sqrt{\psi} (i \gamma^{\sigma} \partial_{\sigma} - m) \psi$$

$$=i\overline{\psi}\gamma_{\mu}\partial_{\nu}\psi,$$

$$P_{\nu} = \int \mathbf{d}^3 x \Theta_{0\nu} = i \int \mathbf{d}^3 x \psi^+ \partial_{\nu} \psi.$$

(2.178)

(2.177)

#### **b**) 无穷小LT

$$\delta x^{\mu} = \varepsilon^{\mu \nu} x_{\nu},$$

$$\psi(x) \rightarrow \psi'(x') = S(\Lambda)\psi(x) = [I - \frac{i}{4}\varepsilon^{\alpha\beta}\sigma_{\alpha\beta}],$$

$$\delta \psi = \psi'(x') - \psi(x) = -\frac{i}{4} \varepsilon^{\alpha\beta} \sigma_{\alpha\beta}.$$

LT不变性导致的疗恒流密度

$$J_{\mu\nu\rho} = x_{\nu}\Theta_{\mu\rho} - x_{\rho}\Theta_{\mu\nu} + \overline{\psi}\gamma_{\mu}\frac{\sigma_{\nu\rho}}{2}\psi, \qquad (2.179)$$

 $J_{\mu\nu}$  称为广义的角动量张 **整**度,

相应的守恒荷是广义 衡量:

$$\boldsymbol{M}_{\nu\rho} = \int d^3x \boldsymbol{J}_{0\nu\rho}$$

$$= \int d^3x \left[x_{\nu}\Theta_{0\rho} - x_{\rho}\Theta_{0\nu} + \overline{\psi}\gamma_0 \frac{\sigma_{\nu\rho}}{2}\psi\right]. \quad (2.180)$$

c) 整体相位变换

$$\psi \to e^{i\alpha} \psi, \quad \overline{\psi} \to \overline{\psi} e^{-i\alpha},$$

(2.168)所示的Lagrangian在整体相位变换下不变

Noether流密度为

$$j_{a}^{\mu} = -\left[\mathcal{L}g_{\rho}^{\mu} - \frac{\partial\mathcal{L}}{\partial(\partial_{\mu}\psi)}\partial_{\rho}\psi\right]\frac{\delta x^{\rho}}{\delta\omega^{a}} - \frac{\partial\mathcal{L}}{\partial(\partial_{\mu}\psi)}\frac{\delta\psi}{\delta\omega^{a}},$$

$$\delta\omega^a = \alpha, \quad \frac{\delta x}{\delta \alpha} = 0, \quad \frac{\delta \psi}{\delta \alpha} = i\psi,$$

$$J^{\mu} = -\frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \psi)} \frac{\delta \psi}{\delta \omega^{a}} = -i \overline{\psi} \gamma^{\mu} (i \psi) = \overline{\psi} \gamma^{\mu} \psi. \quad (2.182)$$

守恒荷:

$$Q = \int \mathbf{d}^3 x \overline{\psi} \gamma^0 \psi = \int \mathbf{d}^3 x \psi^+ \psi,$$
$$\psi = \psi_L + \psi_R,$$

$$\psi_L = \frac{1}{2}(1-\gamma_5)\psi, \quad \psi_R = \frac{1}{2}(1+\gamma_5)\psi,$$

$$Q = \int \mathbf{d}^{3} x (\psi_{L}^{+} + \psi_{R}^{+}) (\psi_{L} + \psi_{R}),$$

由于
$$(1-\gamma_5)(1+\gamma_5)=0$$
,则

$$\psi_L^+\psi_R^-=\psi_R^+\psi_L^-=0,$$

$$\therefore Q = \int d^3x (\psi_L^+ \psi_L^- + \psi_R^+ \psi_R^-).$$

(2.184)

# 2.6.2 平面波解、投影算符

# 1. Dirac 方程的平面波解

$$(i\partial -m)\psi = 0,$$

其平面波解可写为

$$\psi^{(+)}(x) = e^{-ikx}u(k)$$
, (正能)

$$\psi^{(-)}(x) = e^{ikx}v(k)$$
, (负能)

$$kx = k_{\mu}x^{\mu}, k^2 = m^2. u$$
和v满足方程:

$$x^{\mu}, k^{\tau} = m^{\tau}. u$$
和 $v$ 满足力程:

$$(k-m)u(k) = 0,$$

$$(k = \gamma^{\mu}k_{\mu})$$

$$(k+m)v(k) = 0.$$

(2.185)

(2.174)

(2.186)

由

$$(k-m)(k+m) = k^2 - m^2 = 0,$$

方程(2.186)的解为

$$u^{(\alpha)}(k) = \frac{k+m}{\sqrt{2m(k^0+m)}} u_0^{(\alpha)} = \frac{k+m}{\sqrt{2m(k^0+m)}} \begin{pmatrix} \varphi^{(\alpha)} \\ 0 \end{pmatrix},$$

$$u^{(\alpha)}(k) = \frac{k+m}{\sqrt{2m(k^0 + m)}} u_0^{(\alpha)} = \frac{k+m}{\sqrt{2m(k^0 + m)}} \begin{pmatrix} \varphi^{(\alpha)} \\ 0 \end{pmatrix},$$

$$v^{(\alpha)}(k) = \frac{-k+m}{\sqrt{2m(k^0 + m)}} v_0^{(\alpha)} = \frac{-k+m}{\sqrt{2m(k^0 + m)}} \begin{pmatrix} 0 \\ \chi^{(\alpha)} \end{pmatrix},$$
(2.187)

其中 $\varphi^{(\alpha)}$ 和 $\chi^{(\alpha)}(\alpha=1,2)$ 均为2分量旋量。

# $\boldsymbol{\varphi}^{(\alpha)}$ 和 $\chi^{(\alpha)}$ 的两种形式:

① 取为静止系中Pauli矩阵 $\sigma^3$ 的本征态

$$\varphi^{(\alpha)}(m,0) = \chi^{(\alpha)}(m,0) = \begin{cases} \begin{pmatrix} 1 \\ 0 \end{pmatrix} & \alpha = 1 \\ \begin{pmatrix} 0 \\ 1 \end{pmatrix} & \alpha = 2 \end{cases}$$
 (2.188)

$$\sigma^{3} \begin{cases} \varphi^{(\alpha)}(m,0) \\ \chi^{(\alpha)}(m,0) \end{cases} = \varepsilon_{\alpha} \begin{cases} \varphi^{(\alpha)}(m,0) \\ \chi^{(\alpha)}(m,0) \end{cases} \qquad \varepsilon_{1} = -\varepsilon_{2} = 1$$

 $|\hat{\sigma} \cdot \hat{k}|_{\gamma^{(\alpha)}(\hat{k})}^{\varphi^{(\alpha)}(k)} = \varepsilon_{\alpha} \begin{cases} \varphi^{(\alpha)}(k) \\ \gamma^{(\alpha)}(\hat{k}) \end{cases}, \qquad \varepsilon_{1} = -\varepsilon_{2} = 1$ 

取为
$$\vec{\sigma} \cdot \hat{k}(\hat{k} = \vec{k} / |\vec{k}|)$$
的本征态

取为
$$\hat{\sigma} \cdot k(k = k/|k|)$$
的本征态
$$\varphi^{(\alpha)}(\hat{k}) = \chi^{(\alpha)}(\hat{k}) = \begin{cases}
\cos \theta / 2 \\
\sin \frac{\theta}{2} e^{i\varphi}
\end{cases} \qquad \alpha = 1$$

$$\left(-\sin \frac{\theta}{2} e^{-i\varphi}\right) \qquad \alpha = 2$$

$$\cos \theta / 2$$

 $(\theta, \varphi) = k$ 

(2.190)

### (2.187)的共轭旋量为

$$\overline{u}^{(\alpha)}(k) \equiv u^{(\alpha)+}(k)\gamma^0 = \overline{u}_0^{(\alpha)} \frac{k+m}{\sqrt{2m(k^0+m)}},$$

(2.192)

(2.193)

$$\bar{v}^{(\alpha)}(k) \equiv v^{(\alpha)+}(k)\gamma^{0} = \bar{v}_{0}^{(\alpha)} \frac{-k+m}{\sqrt{2m(k^{0}+m)}}.$$

### u,v的正交归一关系

$$\overline{u}^{(\alpha)}(k)u^{(\beta)}(k) = \delta_{\alpha\beta}, \quad \overline{u}^{(\alpha)}(k)v^{(\beta)}(k) = 0,$$

$$\overline{v}^{(\alpha)}(k)v^{(\beta)}(k) = -\delta_{\alpha\beta}, \quad \overline{v}^{(\alpha)}(k)u^{(\beta)}(k) = 0.$$

### 2. 投影算符

① 定义

定义矩阵

$$\Lambda_{+}(k) \equiv \sum_{\alpha=1,2} u^{(\alpha)}(k) \overline{u}^{(\alpha)}(k)$$

$$=\sum_{\alpha=1,2}\frac{(k+m)}{\sqrt{2m(k^0+m)}}u_0^{(\alpha)}\overline{u_0^{(\alpha)}}\frac{(k+m)}{\sqrt{2m(k^0+m)}}$$

$$=\frac{1}{2m(k^{0}+m)}(k+m)\sum_{\alpha=1,2}u_{0}^{(\alpha)}u_{0}^{(\alpha)+}\gamma^{0}(k+m)$$

$$\Lambda_{+}(k) = C(k+m) \sum_{\alpha=1,2} \begin{pmatrix} \varphi^{(\alpha)} \\ 0 \end{pmatrix} \begin{pmatrix} \varphi^{(\alpha)+} & 0 \end{pmatrix} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} (k+m)$$

$$=C(k+m)\sum_{\alpha=1,2}\begin{pmatrix}\varphi^{(\alpha)}\varphi^{(\alpha)+}&0\\0&0\end{pmatrix}(k+m)$$

$$=C(k+m)\begin{pmatrix}I&0\\0&0\end{pmatrix}(k+m)$$

$$=C(k+m)\frac{I+\gamma^{0}}{2}(k+m)$$

$$= \frac{C}{2} [(k + m)(k + m) + (k + m)\gamma^{0}(k + m)]$$

### 利用等式

$$(k + m)\gamma^{0}(k + m) = 2k^{0}(k + m), \quad (x + m)^{2}$$

则

$$A_{+}(k) = \frac{C}{2} [2mk + 2m^{2} + 2k^{0}(k+m)]$$

$$= \frac{1}{2m(k^{0} + m)} (k^{0} + m)(k+m)$$

$$= \frac{(k+m)}{2m}.$$

(2.194)

 $\Lambda_{+}(k)$ 一正能投影算符。

类似地,定义矩阵

$$\Lambda_{-}(k) \equiv -\sum_{\alpha=1,2} v^{(\alpha)}(k) \overline{v}^{(\alpha)}(k)$$

$$=\frac{-k+m}{2m},$$

 $\Lambda_{-}(k)$ 一负能投影算符。

(2.195)

证明: 
$$(k + m)\gamma^{0}(k + m) = 2k^{0}(k + m)$$
.  $(k + m)\gamma^{0}(k + m)$ 

$$= k \gamma^{0} (k + m) + m \gamma^{0} k + \gamma^{0} m^{2}$$

$$= k \gamma^0 (k + m) + m \gamma^0 k + \gamma^0 k k$$

$$= k \gamma^{0}(k + m) + \gamma^{0}k(k + m)$$

$$= (k \gamma^0 + \gamma^0 k)(k + m)$$

$$:: k\!\!/\gamma^0 + \gamma^0 k\!\!\!/ = \gamma^0 k^0 \gamma^0 + \gamma^i k_i \gamma^0 + \gamma^0 \gamma^0 k^0 + \gamma^0 \gamma^i k_i = 2k^0,$$

$$\therefore (k+m)\gamma^{0}(k+m) = 2k^{0}(k+m).$$

2 性质

1) 
$$\Lambda_{+}^{2}(k) = \Lambda_{+}(k);$$

证明: 
$$\Lambda_{+}^{2}(k) = \left[\frac{(k+m)}{2m}\right]^{2} = \frac{k^{2} + 2mk + m^{2}}{4m^{2}}$$

$$=\frac{2m^2+2mk}{4m^2}=\frac{(k+m)}{2m}=\Lambda_+(k).$$

2) Tr  $\Lambda_{+}(k) = 2$ ;

证明:Tr 
$$\Lambda_{\pm}(k) = \text{Tr}\left(\frac{\pm k}{2m} + \frac{1}{2}I\right) = 0 + \frac{1}{2} \times 4 = 2.$$

3)  $\Lambda_{+}(k) + \Lambda_{-}(k) = I$ .

# 3. u和v的极化自由度

$$S^{\rho\sigma} = \frac{1}{2}\sigma^{\rho\sigma}, \qquad \sigma^{\rho\sigma} = \frac{i}{2}[\gamma^{\rho}, \gamma^{\sigma}],$$

### Pauli – Lubanski 矢量:

$$egin{aligned} W_{\mu} &= -rac{i}{2} arepsilon_{\mu \, 
u 
ho} S^{\, 
ho \sigma} \partial^{\, 
u} = -rac{i}{4} arepsilon_{\mu \, 
u 
ho} \sigma^{
ho \sigma} \partial^{\, 
u} \ &= -rac{1}{4} arepsilon_{\mu \, 
u 
ho} \sigma^{
ho \sigma} k^{\, 
u} \,, \end{aligned}$$

利用 $\gamma$ 矩阵的性质:  $\gamma_5 \sigma_{\mu\nu} = \frac{i}{2} \varepsilon_{\mu\nu\rho} \sigma^{\rho\sigma}$ , 则

$$W_{\mu}=rac{i}{2}\gamma_{5}\sigma_{\mu} k^{
u}.$$

(2.203)

(2.202)

引入类空单位矢量,

$$n^2 = n_{\mu}n^{\mu} = -1, \qquad n_{\mu}k^{\mu} = 0,$$

(2.205)

则有

$$-\frac{W \cdot n}{m} = -\frac{W_{\mu}n^{\mu}}{m}$$

$$= -\frac{1}{m} \frac{i}{2} \gamma_5 \sigma_{\mu} k^{\nu} n^{\mu}$$

$$=-\frac{i}{2m}\gamma_{5}\cdot\frac{i}{2}(\gamma_{\mu}\gamma_{\nu}-\gamma_{\nu}\gamma_{\mu})k^{\nu}n^{\mu}$$

$$= \frac{1}{4m} \gamma_5 (2 \gamma_{\mu} \gamma_{\nu} - 2 g_{\mu \nu}) k^{\nu} n^{\mu}$$

$$-\frac{W \cdot n}{m} = \frac{1}{2m} \gamma^5 (nk - n \cdot k)$$
$$= \frac{1}{2m} \gamma^5 nk.$$

(2.206)

设t = (1,0,0,0)是k矢量空间中的时间轴,

则(t,k)平面内的矢量

$$n = \left(k \frac{k \cdot t}{m^2} - t\right) \frac{m}{|\vec{k}|}$$

满足(2.205)。

$$n^{2} = \left(k^{\mu} \frac{k \cdot t}{m^{2}} - t^{\mu}\right) \left(k_{\mu} \frac{k \cdot t}{m^{2}} - t_{\mu}\right) \left(\frac{m}{|\vec{k}|}\right)^{2}$$

$$= \left[ \frac{k^2 (k \cdot t)^2}{m^4} - \frac{2 (k \cdot t)^2}{m^2} + t^2 \right] \frac{m^2}{|\vec{k}|^2}$$

$$= \left[\frac{k_0^2}{m^2} - \frac{2k_0^2}{m^2} + t^2\right] \frac{m^2}{\left|\vec{k}\right|^2} = \frac{m^2 - k_0^2}{m^2} \cdot \frac{m^2}{\left|\vec{k}\right|^2}$$

$$=\frac{k_0^2 - |\vec{k}|^2 - k_0^2}{|\vec{k}|^2} = -1;$$

$$n \cdot k = \left(k_{\mu} \frac{k \cdot t}{m^2} - t_{\mu}\right) \frac{m}{|\vec{k}|} k^{\mu} = \left(\frac{k^2 (k \cdot t)}{m^2} - k \cdot t\right) \frac{m}{|\vec{k}|} = 0.$$

将(2.207)代入(2.206), 得到

$$-\frac{W \cdot n}{m} = \frac{1}{2m} \gamma^5 (k \frac{k \cdot t}{m^2} - t) \frac{m}{|\vec{k}|} k$$

$$=\frac{1}{2}\gamma^{5}\left[\frac{k^{2}(k\cdot t)}{m^{2}}-tk\right]\frac{1}{|\vec{k}|}$$

$$=\frac{1}{2}\gamma^{5}(k\cdot t-tk)\frac{1}{|\vec{k}|}$$

$$= \frac{1}{2} \gamma^5 (k_0 - \gamma^0 k) \frac{1}{|\vec{k}|}$$

$$= \frac{1}{2} \gamma^{5} [k_{0} - \gamma^{0} (\gamma^{0} k_{0} - \gamma^{i} k_{i})] \frac{1}{|\vec{k}|}$$

$$-\frac{W \cdot n}{m} = \frac{1}{2} \gamma^5 \gamma^0 \gamma^i \frac{k_i}{|\vec{k}|} = \frac{1}{2} \gamma^5 \gamma^0 \vec{\gamma} \cdot \vec{k} \frac{1}{|\vec{k}|}$$
$$= \frac{1}{2} \vec{\Sigma} \cdot \hat{k},$$

其中

$$\vec{\Sigma} = \gamma_5 \gamma^0 \vec{\gamma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix},$$

$$-\frac{W \cdot n}{m}$$
是  $+\vec{k}$ 方向的自旋投影算符。

(2.208)

$$[\vec{\Sigma} \cdot \hat{k}, k] = \begin{bmatrix} (\vec{\sigma} \cdot \vec{k} & 0) \\ 0 & \vec{\sigma} \cdot \vec{k} \end{bmatrix}, \begin{pmatrix} k_0 & -\vec{\sigma} \cdot \vec{k} \\ \vec{\sigma} \cdot \vec{k} & -k_0 \end{bmatrix} = 0,$$

$$\frac{1}{2}\vec{\Sigma}\cdot\hat{k}u^{(\alpha)}(k)$$

$$=\frac{1}{2}\vec{\Sigma}\cdot\hat{k}\frac{k+m}{\sqrt{2m(k^0+m)}}\begin{pmatrix}\varphi^{(\alpha)}(\hat{k})\\0\end{pmatrix}$$

$$=\frac{k+m}{\sqrt{2m(k^0+m)}}\frac{1}{2}\begin{bmatrix} \vec{\sigma} \cdot \hat{k} & 0 \\ 0 & \vec{\sigma} \cdot \hat{k} \end{bmatrix} \begin{pmatrix} \varphi^{(\alpha)}(\hat{k}) \\ 0 \end{pmatrix}$$

$$\frac{1}{2}\vec{\Sigma}\cdot\hat{k}u^{(\alpha)}(k) = \frac{1}{2}\frac{k+m}{\sqrt{2m(k^0+m)}}\begin{pmatrix} (\vec{\sigma}\cdot\hat{k})\varphi^{(\alpha)}(\hat{k})\\ 0 \end{pmatrix}$$

$$=\frac{1}{2}\frac{k+m}{\sqrt{2m(k^0+m)}}\begin{pmatrix} \varepsilon_{\alpha}\varphi^{(\alpha)}(\hat{k})\\ 0 \end{pmatrix}$$

$$=\frac{\varepsilon_{\alpha}}{2}u^{(\alpha)}(k),$$

$$\frac{1}{2}\vec{\Sigma}\cdot\hat{k}v^{(\alpha)}(k)=\frac{\varepsilon_{\alpha}}{2}v^{(\alpha)}(k),$$

 $\varepsilon_1 = -\varepsilon_2 = 1.$ 

2.6.3 零质量粒子

# 1. Lagrangian与守恒量

$$\mathcal{L} = i \overline{\psi} \gamma^{\mu} \partial_{\mu} \psi,$$

在整体手征变换下,

$$\psi \rightarrow \psi' = e^{i\beta\gamma_5}\psi$$
,

$$\overline{\psi} \rightarrow \overline{\psi}' = \psi'^+ \gamma^0 = \psi^+ e^{-i\beta\gamma_5} \gamma^0 = \overline{\psi} e^{i\beta\gamma_5},$$

$$\mathcal{L}' = i \overline{\psi}' \gamma^{\mu} \partial_{\mu} \psi' = i \overline{\psi} e^{i\beta \gamma_5} \gamma^{\mu} \partial_{\mu} e^{i\beta \gamma_5} \psi$$

$$= i \overline{\psi} e^{i\beta\gamma_5} \gamma^{\mu} e^{i\beta\gamma_5} \partial_{\mu} \psi = i \overline{\psi} e^{i\beta\gamma_5} e^{-i\beta\gamma_5} \gamma^{\mu} \partial_{\mu} \psi$$

(2.214)

$$=i\,\overline{\psi}\gamma^{\mu}\partial_{\mu}\psi=\mathcal{L},$$

$$J_5^{\mu} = \overline{\psi} \gamma^{\mu} \gamma_5 \psi, \qquad (2.216)$$

$$\partial_{\mu} J_5^{\mu} = 0, \qquad (2.217)$$

守恒荷为

$$+\psi_R, \; \psi_L = \frac{1}{2}$$

$$\psi_L + \psi_R, \ \psi_L$$

$$\psi_L + \psi_R, \ \psi_L =$$

利用:
$$\psi = \psi_L + \psi_R$$
,  $\psi_L = \frac{1}{2}(1 - \gamma_5)\psi$ ,  $\psi_R = \frac{1}{2}(1 + \gamma_5)\psi$ ,

 $\gamma_5 \psi_L = -\psi_L$ ,

 $\Rightarrow Q_5 = \int d^3x (\psi_R^+ \psi_R^- - \psi_L^+ \psi_L^-).$ 

$$= \int a^{3}x \psi \gamma^{3} \gamma_{5} \psi =$$

$$\psi = \int d^3x \, \psi$$

$$Q_5 = \int d^3x \,\overline{\psi} \gamma^0 \gamma_5 \psi = \int d^3x \,\psi^+ \gamma_5 \psi,$$

 $\gamma_5 \psi_R = + \psi_R$ .

$$^{6}x\psi^{+}\gamma_{5}\psi$$

$$\psi^+\gamma_5\psi$$
,

$$\gamma^+ \gamma_5 \psi$$
,

(2.218)

### L中的质量项将破坏手征下变性:

$$-m\overline{\psi}\psi \to -m\overline{\psi}'\psi' = -m\overline{\psi}e^{i\beta\gamma_5}e^{i\beta\gamma_5}\psi = -m\overline{\psi}e^{2i\beta\gamma_5}\psi.$$

### 由(2.214)得到的运动方程是:

$$P\psi = 0, \qquad (2.220)$$
 
$$P_{\mu} = i\partial_{\mu}, \qquad P_{\mu} = (P^{0}, -\vec{P}),$$

$$P^2 = m^2 = 0, |P^0| = |\vec{P}|.$$

### 2. 手征性与 helicity 的关系

以
$$\gamma_5 \gamma^0$$
左乘(2.220),得

$$\begin{aligned} \mathbf{0} &= \gamma_5 \gamma^0 P \psi = \gamma_5 \gamma^0 (\gamma^0 P^0 - \vec{\gamma} \cdot \vec{P}) \psi \\ &= (\gamma_5 P^0 - \gamma_5 \gamma^0 \vec{\gamma} \cdot \vec{P}) \psi = (\gamma_5 P^0 - \vec{\Sigma} \cdot \vec{P}) \psi, \end{aligned}$$

$$\Rightarrow \gamma_5 P^0 = \vec{\Sigma} \cdot \vec{P}, \qquad \vec{\mathfrak{A}} \qquad \frac{\vec{\Sigma} \cdot \vec{P}}{|\vec{P}|} = \gamma_5 \varepsilon(P^0),$$

$$\therefore \quad \gamma_5 = \frac{\vec{\Sigma} \cdot \vec{P}}{|\vec{P}|} \begin{cases} + & \forall P^0 > 0 \quad (同) \\ - & \forall P^0 < 0 \quad (E) \end{cases}$$
 (2.223)

# 3. 平面波解的显示形式

$$3.$$
 平面波解的显示形式 
$$\left[e^{-ikx}u^{(\alpha)}(k),\right]$$

$$\psi(x) = \begin{cases} e^{-ikx} u^{(\alpha)}(k), \\ e^{ikx} v^{(\alpha)}(k), \end{cases} \qquad k^2 = 0, \quad k^0 = |\vec{k}| > 0, \quad (2.224)$$

$$(u^{(\alpha)}(k))$$

$$\vec{\Sigma} \cdot \hat{k} \begin{cases} u^{(\alpha)}(k) \\ v^{(\alpha)}(k) \end{cases} = \varepsilon_{\alpha} \begin{cases} u^{(\alpha)}(k), \\ v^{(\alpha)}(k), \end{cases} \quad (\varepsilon_{1} = -\varepsilon_{2} = 1) \quad (2.225)$$

$$\int u^{(\alpha)}(k) \qquad \int u^{(\alpha)}(k), \qquad (2.22)$$

$$\gamma_{5} \begin{cases} u^{(\alpha)}(k) \\ v^{(\alpha)}(k) \end{cases} = \varepsilon_{\alpha} \begin{cases} u^{(\alpha)}(k), \\ v^{(\alpha)}(k). \end{cases} \qquad (\varepsilon_{1} = -\varepsilon_{2} = 1) \qquad (2.226)$$

# Dirac表象中,

$$\gamma_5 = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix},$$

$$u^{(\alpha)}(k) = \sqrt{k^0} \begin{pmatrix} \varphi^{(\alpha)}(\hat{k}) \\ \varepsilon_{\alpha} \varphi^{(\alpha)}(\hat{k}) \end{pmatrix}, \quad (\varepsilon_1 = -\varepsilon_2 = 1) \qquad (2.227)$$

其中 $\varphi^{(\alpha)}(\hat{k})$ 取为 $\vec{\sigma} \cdot \hat{k}$ 的本征态,由2.190)给出。

定义:

$$v^{(1)}(k) \equiv C\overline{u}^{T^{(2)}}(k) = -u^{(1)}(k),$$
  $(C \equiv i\gamma^2\gamma^0) \quad (2.228)$   $v^{(2)}(k) \equiv C\overline{u}^{T^{(1)}}(k) = -u^{(2)}(k).$ 

### 4. 归一化条件与自旋求和公式

### 归一化条件:

$$u^{(\alpha)+}(k)u^{(\beta)}(k) = v^{(\alpha)+}(k)v^{(\beta)}(k) = 2k^0\delta^{\alpha\beta},$$

$$v^{(\alpha)+}(k)u^{(\beta)}(\tilde{k}) = u^{(\alpha)+}(k)v^{(\beta)}(\tilde{k}) = 0.$$
 (2.229)

$$\widetilde{k} = (k^0, -\vec{k})$$

### 自旋求和公式:

$$\sum_{\alpha=1,2} u^{(\alpha)}(k) \overline{u}^{(\alpha)}(k) = \sum_{\alpha=1,2} v^{(\alpha)}(k) \overline{v}^{(\alpha)}(k) = k. \quad (2.230)$$

### 证明(2.229)式:

$$\begin{split} & v^{(\alpha)+}(k) v^{(\beta)}(k) = u^{(\alpha)+}(k) u^{(\beta)}(k) \\ &= k^0 \Big( \varphi^{(\alpha)+}(\hat{k}) \quad \varepsilon_{\alpha} \varphi^{(\alpha)+}(\hat{k}) \Big) \left( \frac{\varphi^{(\beta)}(\hat{k})}{\varepsilon_{\beta} \varphi^{(\beta)}(\hat{k})} \right) \end{split}$$

$$= k^{0} (1 + \varepsilon_{\alpha} \varepsilon_{\beta}) \varphi^{(\alpha)+}(\hat{k}) \varphi^{(\beta)}(\hat{k}),$$

由
$$\varphi^{(\alpha)+}(\hat{k})\varphi^{(\beta)}(\hat{k}) = \delta^{\alpha\beta}$$
及 $1 + \varepsilon_{\alpha}\varepsilon_{\beta} = 2\delta^{\alpha\beta}$ ,则

$$u^{(\alpha)+}(k)u^{(\beta)}(k) = v^{(\alpha)+}(k)v^{(\beta)}(k) = 2k^0\delta^{\alpha\beta};$$

$$\hat{k} = (\theta, \varphi), \quad \hat{\tilde{k}} = -\hat{k} = (\pi - \theta, \pi + \varphi),$$

$$v^{(\alpha)+}(k)u^{(\beta)}(\tilde{k}) = u^{(\alpha)+}(k)v^{(\beta)}(\tilde{k})$$

$$= -u^{(\alpha)+}(k)u^{(\beta)}(\tilde{k})$$

$$=-k^{0}(1+\varepsilon_{\alpha}\varepsilon_{\beta})\varphi^{(\alpha)+}(\hat{k})\varphi^{(\beta)}(\hat{\tilde{k}}),$$

$$1)$$
当 $\alpha \neq \beta$ 时, $1 + \varepsilon_{\alpha}\varepsilon_{\beta} = 0$ , 上式 $= 0$ ;

2)当 $\alpha = \beta = 1$ 时,

$$\varphi^{(1)+}(\hat{k})\varphi^{(1)}(\hat{\tilde{k}}) = \left(\cos\frac{\theta}{2} + \sin\frac{\theta}{2}e^{-i\varphi}\right) \left(\sin\frac{\pi - \theta}{2}e^{-i(\pi + \varphi)}\right)$$

$$= \cos\frac{\theta}{2}\sin\frac{\theta}{2} - \cos\frac{\theta}{2}\sin\frac{\theta}{2} = 0;$$

$$3)$$
当 $\alpha = \beta = 2$ 时,

$$\varphi^{(2)+}(\hat{k})\varphi^{(2)}(\hat{\tilde{k}})=0.$$

$$\therefore v^{(\alpha)+}(k)u^{(\beta)}(\widetilde{k}) = u^{(\alpha)+}(k)v^{(\beta)}(\widetilde{k}) = 0.$$

# 5. Weyl 旋量与 Majorana 旋量

# 1) Weyl 旋量场

在Weyl表象中, Dirac旋量可写成

$$\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}$$

$$\mathcal{L} = L_L + L_R$$

$$\mathcal{L}_{L} = i\psi_{L}^{\dagger} \overline{\sigma}^{\mu} \partial_{\mu} \psi_{L}, \qquad \overline{\sigma}^{\mu} = (I, -\bar{\sigma}) \qquad (2.231)$$

$$\mathcal{L}_{R} = i \psi_{R}^{\dagger} \sigma^{\mu} \partial_{\mu} \psi_{R}, \qquad \sigma^{\mu} = (I, \vec{\sigma})$$

① 以 $\psi_L$ 描述0质量旋量场

$$\mathcal{L}_{L} = i \psi_{L}^{\dagger} \overline{\sigma}^{\mu} \partial_{\mu} \psi_{L},$$

则运动方程为

$$i\bar{\sigma}^{\mu}\partial_{\mu}\psi_{L} = 0 \quad \vec{\mathbf{x}} \quad (P^{0} + \bar{\sigma} \cdot \vec{P})\psi_{L} = 0, \qquad (2.232)$$

粒子 
$$(P^0 > 0)$$
 helicity负  $\stackrel{P}{\underset{\downarrow}{\downarrow}}$  自旋-动量反平行(左旋

反粒子 
$$(P^0 < 0)$$
 helicity正  $\int_{-\infty}^{s \uparrow P}$  自旋-动量平行 (右旋)

② 以 $\psi_R$ 描述0质量旋量场

$$\mathcal{L}_{R}=i\psi_{L}^{+}\sigma^{\mu}\partial_{\mu}\psi_{L},$$

则运动方程为

$$i\sigma^{\mu}\partial_{\mu}\psi_{R} = 0 \quad \vec{\boxtimes} \quad (P^{0} - \vec{\sigma} \cdot \vec{P})\psi_{R} = 0, \qquad (2.233)$$

粒子 
$$(P^0 > 0)$$
 helicity正  $s \uparrow \stackrel{\vec{P}}{\searrow}$  自旋-动量平行 (右旋)

# 2) Majorana 旋量场

动能项为

$$\mathcal{L}_{M} = \frac{i}{2} \overline{\psi}_{M} \gamma^{\mu} \partial_{\mu} \psi_{M}, \quad (\psi_{M})^{C} = \psi_{M}, \quad (2.234)$$

质量项为:

$$\mathcal{L}_M^m = \frac{-1}{2} m \overline{\psi}_M \psi_M. \tag{2.235}$$

Weyl表象中,

$$\gamma^0 = \begin{pmatrix} \mathbf{0} & I \\ I & \mathbf{0} \end{pmatrix}, \quad \gamma^i = \begin{pmatrix} \mathbf{0} & \boldsymbol{\sigma}^i \\ -\boldsymbol{\sigma}^i & \mathbf{0} \end{pmatrix},$$

$$C = i\gamma^2\gamma^0 = \begin{pmatrix} i\sigma^2 & 0 \\ 0 & -i\sigma^2 \end{pmatrix},$$

$$\boldsymbol{\psi}_{M} = \begin{pmatrix} \boldsymbol{\psi}_{L} \\ -i\boldsymbol{\sigma}^{2}\boldsymbol{\psi}_{L}^{*} \end{pmatrix} = (\boldsymbol{\psi}_{M})^{C}$$

$$\mathcal{L}_{M}^{m} = \frac{1}{2} im(\psi_{L}^{\dagger} \sigma^{2} \psi_{L}^{*} - \psi_{L}^{T} \sigma^{2} \psi_{L}).$$

(2.238)

# 2.7 Maxwell 场

#### vector bosons:

```
\gamma (the electromagnetic interaction) W^{\pm}, Z^{0} (the weak interaction) gluons (the strong interaction) vector mesons (\rho, \omega, J/\psi, \psi' \cdots)
```

# 1. Lagrangian和运动方程

$$\mathcal{L}(x) = -\frac{1}{2} [a\partial_{\mu}A^{\nu}\partial^{\mu}A_{\nu} + b\partial_{\mu}A^{\nu}\partial_{\nu}A^{\mu}]$$

$$+c(\partial_{\mu}A^{\mu})+dA_{\mu}A^{\mu}],$$

Euler - Lagrange方程:

$$a\partial^{\nu}\partial_{\nu}A^{\mu} + (b+c)\partial^{\mu}\partial^{\nu}A_{\nu} - dA^{\mu} = 0.$$

真空中的Maxwell方程:

$$\nabla \cdot \vec{E} = 0$$
,  $\nabla \times \vec{B} = \frac{\partial}{\partial t} \vec{E}$ ,

$$\nabla \cdot \vec{B} = 0$$
,  $\nabla \times \vec{E} = -\frac{\partial}{\partial t} \vec{B}$ .

(2.239)

(2.240)

(2.241)

(2.242)

### 引入电磁场强张量

$$F^{\mu\nu} = \begin{pmatrix} 0 & -E^{1} & -E^{2} & -E^{3} \\ E^{1} & 0 & -B^{3} & B^{2} \\ E^{2} & B^{3} & 0 & -B^{1} \\ E^{3} & -B^{2} & B^{1} & 0 \end{pmatrix}, (F^{0i} = -E^{i}, F^{ij} = -\varepsilon^{ijk}B^{k}),$$

### 其对偶张量为

$$\begin{split} \widetilde{F}^{\mu\nu} &= \frac{i}{2} \varepsilon^{\mu\nu\rho} \mathcal{F}_{\rho\sigma} = F^{\mu\nu} (E^i \leftrightarrow i B^i) \\ &= i \begin{pmatrix} 0 & -B^1 & -B^2 & -B^3 \\ B^1 & 0 & E^3 & -E^2 \\ B^2 & -E^3 & 0 & E^1 \\ B^3 & E^2 & -E^1 & 0 \end{pmatrix}, (\widetilde{F}^{0i} = -i B^i, \quad \widetilde{F}^{ij} = i \varepsilon^{ijk} E^k) \end{split}$$

$$\begin{split} & \boldsymbol{F}^{\mu\,\nu} = -\boldsymbol{F}^{\,\nu\mu}, \qquad \widetilde{\boldsymbol{F}}^{\,\mu\,\nu} = -\widetilde{\boldsymbol{F}}^{\,\nu\mu}, \\ & \boldsymbol{F}_{\mu\,\nu} \boldsymbol{F}^{\,\mu\,\nu} = -\widetilde{\boldsymbol{F}}_{\mu\,\nu} \widetilde{\boldsymbol{F}}^{\,\mu\,\nu} = -2(\vec{E}^{\,2} - \vec{B}^{\,2}), \\ & \boldsymbol{F}_{\mu\,\nu} \widetilde{\boldsymbol{F}}^{\,\mu\,\nu} = -4\vec{E} \cdot \vec{\boldsymbol{B}}. \end{split}$$

### 真空中的Maxwell方程可写为

$$\partial_{\mu}F^{\mu\nu}=0, \qquad (2.241')$$

$$\partial_{\mu}\widetilde{F}^{\mu\nu} = 0. \tag{2.242'}$$

引入4维矢量势 $A^{\mu} = (A^{0}, \vec{A}),$ 

$$\vec{B} = \nabla \times \vec{A}, \qquad [B^i = \partial_j (\partial^j A^i - \partial^i A^j)]$$

$$\vec{E} = -\frac{\partial \vec{A}}{\partial t} - \nabla A^0, \quad [E^i = \partial^i A^0 - \partial^0 A^i]$$

$$F^{\mu\nu} = \partial^{\mu}A^{\nu} - \partial^{\nu}A^{\mu},$$

则

$$\begin{split} \partial_{\,\mu} \widetilde{F}^{\,\mu\,\nu} &= \partial_{\,\mu} \, \frac{i}{2} \, \varepsilon^{\,\mu\,\nu\rho\sigma} \! F_{\rho\sigma} \\ &= \frac{i}{2} \, \varepsilon^{\,\mu\,\nu\rho\sigma} \! (\partial_{\,\mu} \partial_{\,\rho} A_{\sigma} - \partial_{\,\mu} \partial_{\,\sigma} A_{\rho}) = 0, \end{split}$$

$$\partial_{\nu} F^{\nu\mu} = \mathbf{0},$$

$$\Rightarrow \qquad \partial_{\nu} \partial^{\nu} A^{\mu} - \partial^{\mu} \partial_{\nu} A^{\nu} = \mathbf{0},$$

$$a\partial^{\nu}\partial_{\nu}A^{\mu}+(b+c)\partial^{\mu}\partial^{\nu}A_{\nu}-dA^{\mu}=0$$
 比较,得到

$$a = 1,$$
  $b + c = -1,$   $d = 0.$ 

$$\mathcal{L}(x) = -\frac{1}{2} \left[ a \partial_{\mu} A^{\nu} \partial^{\mu} A_{\nu} + b \partial_{\mu} A^{\nu} \partial_{\nu} A^{\mu} + c (\partial_{\mu} A^{\mu}) + d A_{\mu} A^{\mu} \right]$$

$$\mathcal{L}(x) = -\frac{1}{2} \left[ a\partial_{\mu} A^{\nu} \partial^{\mu} A_{\nu} + b\partial_{\mu} A^{\nu} \partial_{\nu} A^{\mu} + c(\partial_{\mu} A^{\mu}) + dA_{\mu} A^{\mu} \right]$$

$$\frac{1}{2} \left[ a\partial_{\mu} A^{\nu} \partial^{\mu} A_{\nu} + b\partial_{\mu} A^{\nu} \partial_{\nu} A^{\mu} + c(\partial_{\mu} A^{\mu}) + dA_{\mu} A^{\mu} \right]$$

$$= -\frac{1}{2} (\partial_{\mu} A^{\nu} \partial^{\mu} A_{\nu} - \partial_{\mu} A^{\nu} \partial_{\nu} A^{\mu}) = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu}. \quad (2.248)$$

(2.246)

(2.240)

# 2. 规范不变性与规范条件

$$A^{\mu}(x) \rightarrow A'^{\mu}(x) = A^{\mu}(x) + \partial^{\mu}\alpha(x)$$
, (规范变换)(2.249)  $F^{\mu\nu} \rightarrow F'^{\mu\nu} = F^{\mu\nu}$ ,  $\mathcal{L} \rightarrow \mathcal{L}' = \mathcal{L}$ .

1) 辐射规范(横规范)

$$\nabla \cdot \vec{A} = 0, \qquad A^0 = 0. \tag{2.250}$$

优点:没有非物理自由度;

缺点:失去明显的Lorentz协变性。

2) Lorentz 规范

$$\partial_{\nu}A^{\nu}(x)=0,$$

(2.251)

(2.252)

作规范变换:

$$A^{\prime \nu} = A^{\nu} - \partial^{\nu} \alpha,$$

$$\partial_{\nu}A^{\prime\nu} = \partial_{\nu}(A^{\nu} - \partial^{\nu}\alpha) = \partial_{\nu}A^{\nu} - \square \alpha,$$

选择 $\alpha$ 使口 $\alpha = \partial_{\nu} A^{\nu}$ ,则 $\partial_{\nu} A^{\prime \nu} = 0$ 。

在Lorentz规范下,Maxwell方程(2.246)成为

 $\square A^{\mu}(x) = 0,$ 

此时
$$A^{\mu}$$
仍有规范任意性, $A^{\mu} \rightarrow A^{\prime \mu} = A^{\mu} + \partial^{\mu} \alpha$ ,

当口
$$\alpha = 0$$
时,口 $A'^{\mu} = 0$ .

### 在Lagrangian中引入Lagrange乘子礼,

$$\mathcal{L}(x) = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{\lambda}{2} (\partial \cdot A)^2, \qquad (2.254)$$

#### 运动方程为

$$\Box A^{\mu} + (\lambda - 1)\partial^{\mu}(\partial \cdot A) = 0. \tag{2.255}$$

### 两边取4散度,得

$$\square \partial_{\mu} A^{\mu} + (\lambda - 1) \square (\partial \cdot A) = \lambda \square (\partial \cdot A) = \mathbf{0},$$

$$\Rightarrow \quad \Box \partial_{\mu} A^{\mu} = 0 \quad (\forall \lambda \neq 0), \tag{2.256}$$

由此可证: 若t=0时刻,  $\chi\equiv\partial_{\mu}A^{\mu}=0$ ,  $\frac{\partial}{\partial t}\chi=0$ ,

则在任意时刻 $a_{\chi} = 0$ 。

证:将 $\chi$ 对t作级数展开,

$$\chi = \chi \Big|_{t=0} + \frac{\partial \chi}{\partial t} \Big|_{t=0} t + \frac{1}{2} \frac{\partial^2 \chi}{\partial t^2} \Big|_{t=0} t^2 + \cdots$$

由(2.256),有

$$\square \chi = (\frac{\partial^2}{\partial t^2} - \nabla^2) \chi = 0,$$

可得

$$\left. \frac{\partial^2 \chi}{\partial t^2} \right|_{t=0} = \nabla^2 \chi \Big|_{t=0} = 0,$$

$$\left. \frac{\partial^3 \chi}{\partial t^3} \right|_{t=0} = \nabla^2 \frac{\partial^2 \chi}{\partial t^2} \right|_{t=0} = 0,$$

• • • • • • • •

因而 展开式中所有项为,

∴在任意时刻, 
$$\chi \equiv \partial_{\mu} A^{\mu} = 0$$
.

方程 $(2.255) \leftarrow \stackrel{\text{$\mathfrak{P}} \cap \mathcal{F}}{\longrightarrow} \text{Lorentz 规范下的Maxwell 方程}$ 。

# 3. 守恒流与守恒荷

$$\mathcal{L}(x) = -\frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} - \frac{\lambda}{2} (\partial \cdot A)^2, \qquad (2.254)$$

# 守恒流密度:

$$j^{\mu,a} = - \Bigg[ \mathcal{L} g^{\mu\lambda} - \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} A_{\sigma})} \partial^{\lambda} A_{\sigma} \Bigg] \frac{\delta x_{\lambda}}{\delta \omega_{a}} - \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} A_{\lambda})} \frac{\delta A_{\lambda}}{\delta \omega_{a}},$$

$$\frac{\partial}{\partial(\partial_{\mu}A_{\rho})}(F_{\alpha\beta}F^{\alpha\beta}) = 4F^{\mu\rho},$$

$$\frac{\partial \mathcal{L}}{\partial (\partial_{\mu} A_{\sigma})} = -F^{\,\mu\sigma} - \lambda g^{\,\mu\sigma} (\partial \cdot A),$$

# ① 时空平移变换

$$\delta x_{\lambda} = \varepsilon_{\lambda}, \quad \delta A_{\lambda} = 0,$$

取
$$a = v$$
,  $\delta \omega_a = \delta \omega_v = \varepsilon_v$ ,  $\frac{\delta x_{\lambda}}{\delta \omega_a} = \frac{\delta x_{\lambda}}{\delta \omega_v} = g_{\lambda}^v$ ,

 $-[F^{\mu\sigma}+\lambda g^{\mu\sigma}(\partial\cdot A)]\partial^{\nu}A_{\sigma}$ 

$$= \left[\frac{1}{4}F^2 + \frac{\lambda}{2}(\partial \cdot A)^2\right]g^{\mu\nu}$$

$$j^{\mu
u}$$
为能-动量张量密度,守恒的动量为

$$P^{\nu} = \int \mathrm{d}^3 x j^{0\nu}.$$

(2.259)

(2.258)

### ② Lorentz 变换

$$\delta x_{\lambda} = \varepsilon_{\lambda\sigma} x^{\sigma}, \quad \delta A_{\lambda} = \varepsilon_{\lambda\sigma} A^{\sigma},$$

取
$$a = \nu \rho$$
,  $\delta \omega_a = \delta \omega_{\nu \rho} = \varepsilon_{\nu \rho}$ ,

$$\frac{\delta x_{\lambda}}{\delta \omega_{a}} = \frac{\delta x_{\lambda}}{\delta \omega_{vo}} = \frac{1}{2} (-g_{\lambda}^{\rho} x^{\nu} + g_{\lambda}^{\nu} x^{\rho}),$$

$$\frac{\delta A_{\lambda}}{\delta \omega_{\alpha}} = \frac{\delta A_{\lambda}}{\delta \omega_{\alpha}} = \frac{1}{2} (-g_{\lambda}^{\rho} A^{\nu} + g_{\lambda}^{\nu} A^{\rho}),$$

$$\therefore j^{\mu\nu\rho} = -\left[\mathcal{L}g^{\mu\lambda} + F^{\mu\sigma} + \lambda g^{\mu\sigma}(\partial \cdot A)\partial^{\lambda}A_{\sigma}\right]$$

$$\times \frac{1}{2} (-g_{\lambda}^{\rho} x^{\nu} + g_{\lambda}^{\nu} x^{\rho})$$

$$-[-F^{\mu\lambda}-\lambda g^{\mu\lambda}(\partial\cdot A)]\cdot\frac{1}{2}(-g^{\rho}_{\lambda}A^{\nu}+g^{\nu}_{\lambda}A^{\rho})$$

$$\begin{split} j^{\mu\nu\rho} &= -\frac{1}{2} \{ -x^{\nu} [\mathcal{L}g^{\mu\rho} + F^{\mu\sigma} + \lambda g^{\mu\sigma} (\partial \cdot A) \partial^{\lambda} A_{\sigma}] \\ &+ x^{\rho} [\mathcal{L}g^{\mu\nu} + F^{\mu\sigma} + \lambda g^{\mu\sigma} (\partial \cdot A) \partial^{\nu} A_{\sigma}] \} \\ &- \frac{1}{2} \{ A^{\nu} [F^{\mu\rho} + \lambda g^{\mu\rho} (\partial \cdot A)] \\ &- A^{\rho} [F^{\mu\nu} + \lambda g^{\mu\nu} (\partial \cdot A)] \} \\ &- -\frac{1}{2} \{ x^{\nu} i^{\mu\rho} - A^{\rho} i^{\mu\nu} + A^{\nu} [F^{\mu\rho} + \lambda g^{\mu\rho} (\partial \cdot A)] \} \end{split}$$

$$= -\frac{1}{2} \{ x^{\nu} j^{\mu\rho} - A^{\rho} j^{\mu\nu} + A^{\nu} [F^{\mu\rho} + \lambda g^{\mu\rho} (\partial \cdot A)] - A^{\rho} [F^{\mu\nu} + \lambda g^{\mu\nu} (\partial \cdot A)] \}, \qquad (2.260)$$

守恒的广义角动量为

$$M^{\nu\rho} = \int d^3 x j^{0\nu\rho}.$$
 (2.261)