

알고리즘

## 03. Greedy Algorithm

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미디어소프트웨어학과  
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# 3. Greedy algorithm

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## 3.2 Optimal storage on tapes

## 3.3 Knapsack problem

## 3.4 Job sequencing with deadline

## 3.5 Optimal merge patterns

## 3.6 Huffman encoding

## 3.0 Basics

- Greedy algorithm
  - The most straightforward design technique to solve a problem
    - $n$  inputs
    - Requires to obtain a subset that satisfies some constraints
  - Terms
    - Constraint
    - Objective function
    - Feasible solution
    - Optimal solution

## 3.0 Basics

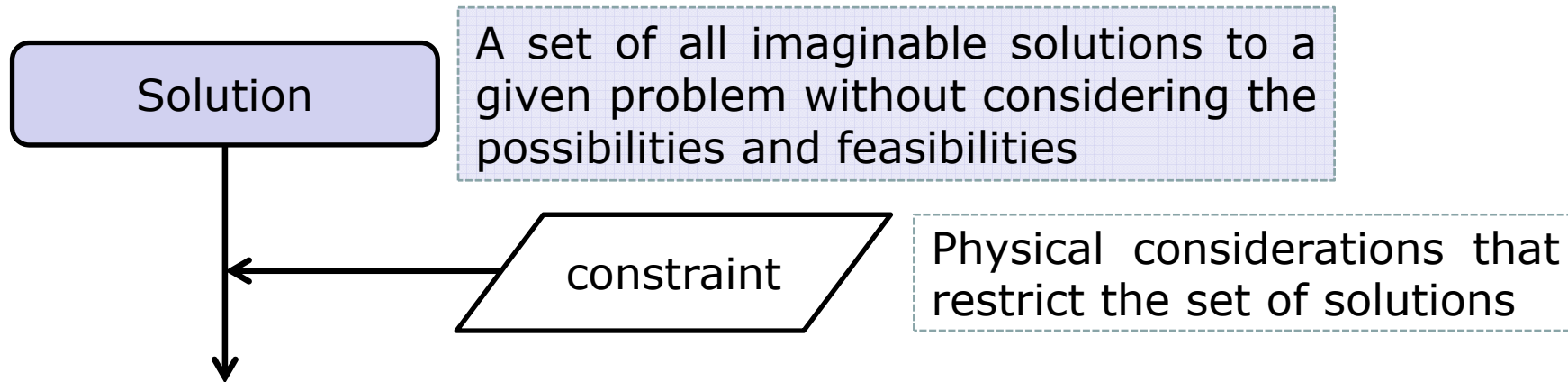
- Feasible solution
  - Any subset that satisfies the constraints
- Objective function
  - We are required to find a feasible solution that either maximizes or minimizes a given objective function.
- Optimal solution
  - A feasible solution that maximizes or minimizes an objective function.

# 3.0 Basics

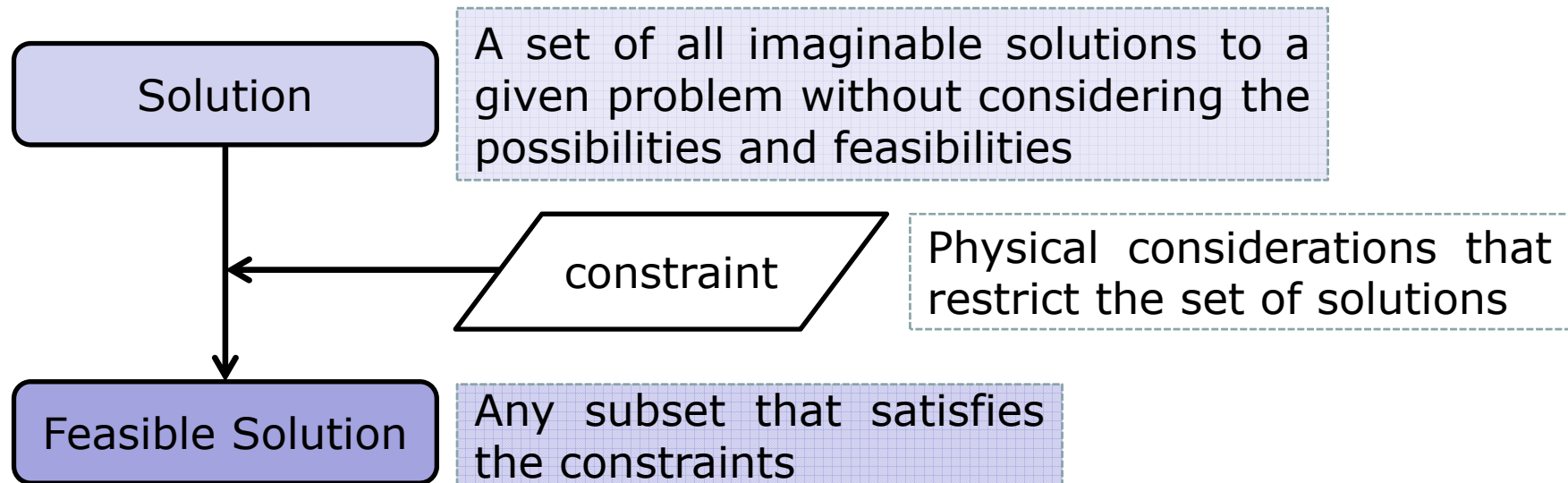
Solution

A set of all imaginable solutions to a given problem without considering the possibilities and feasibilities

## 3.0 Basics

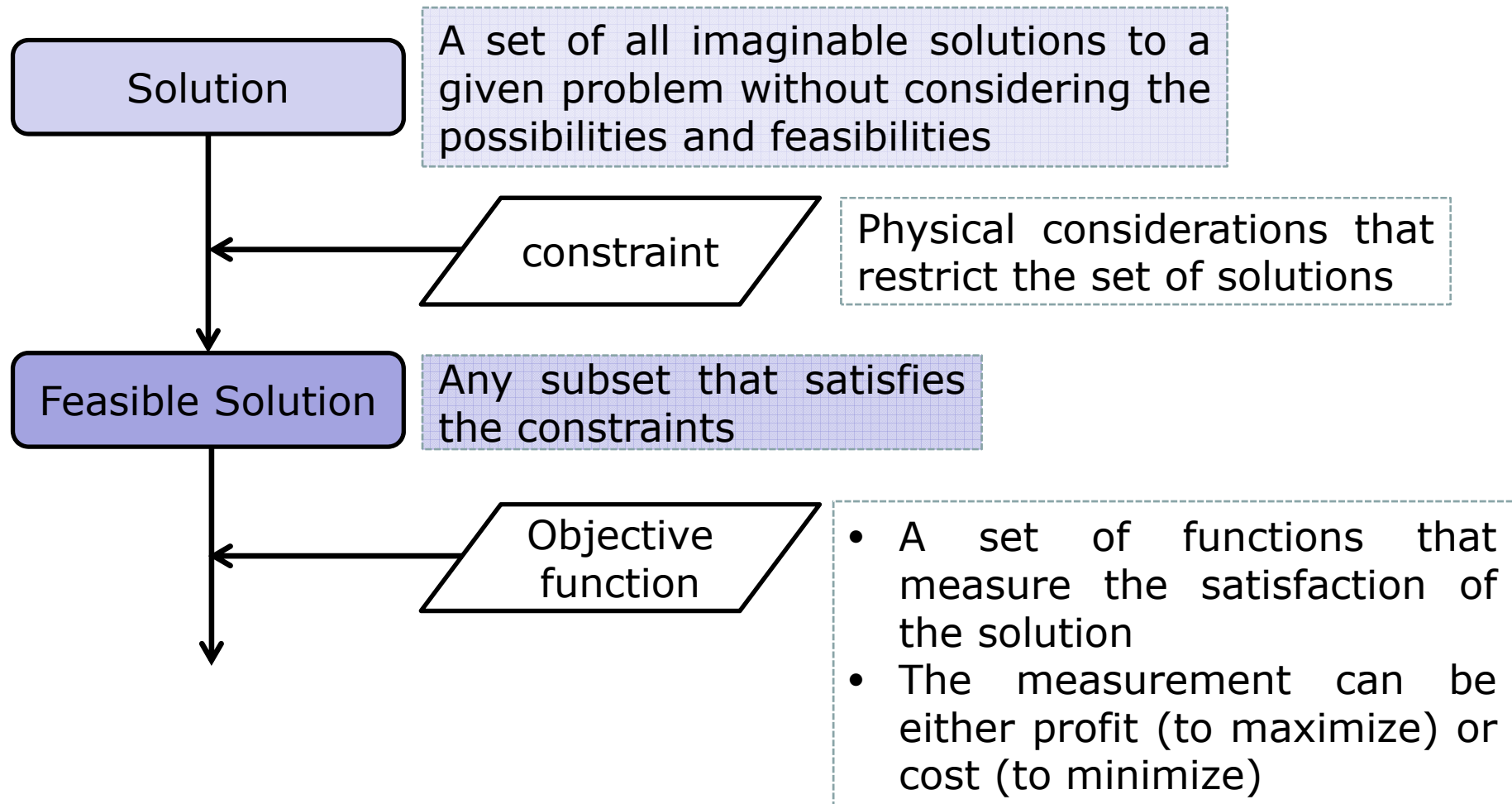


## 3.0 Basics

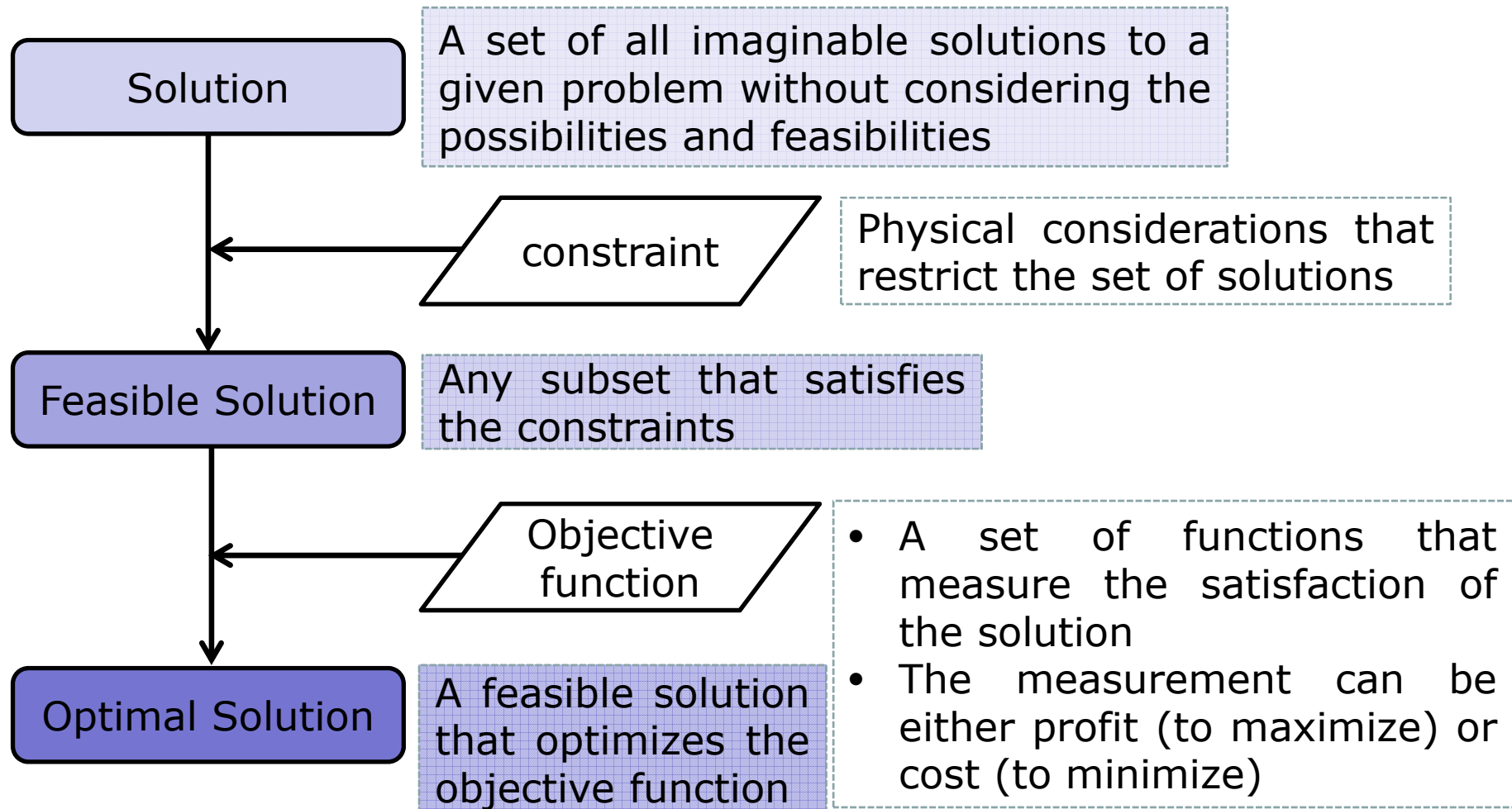




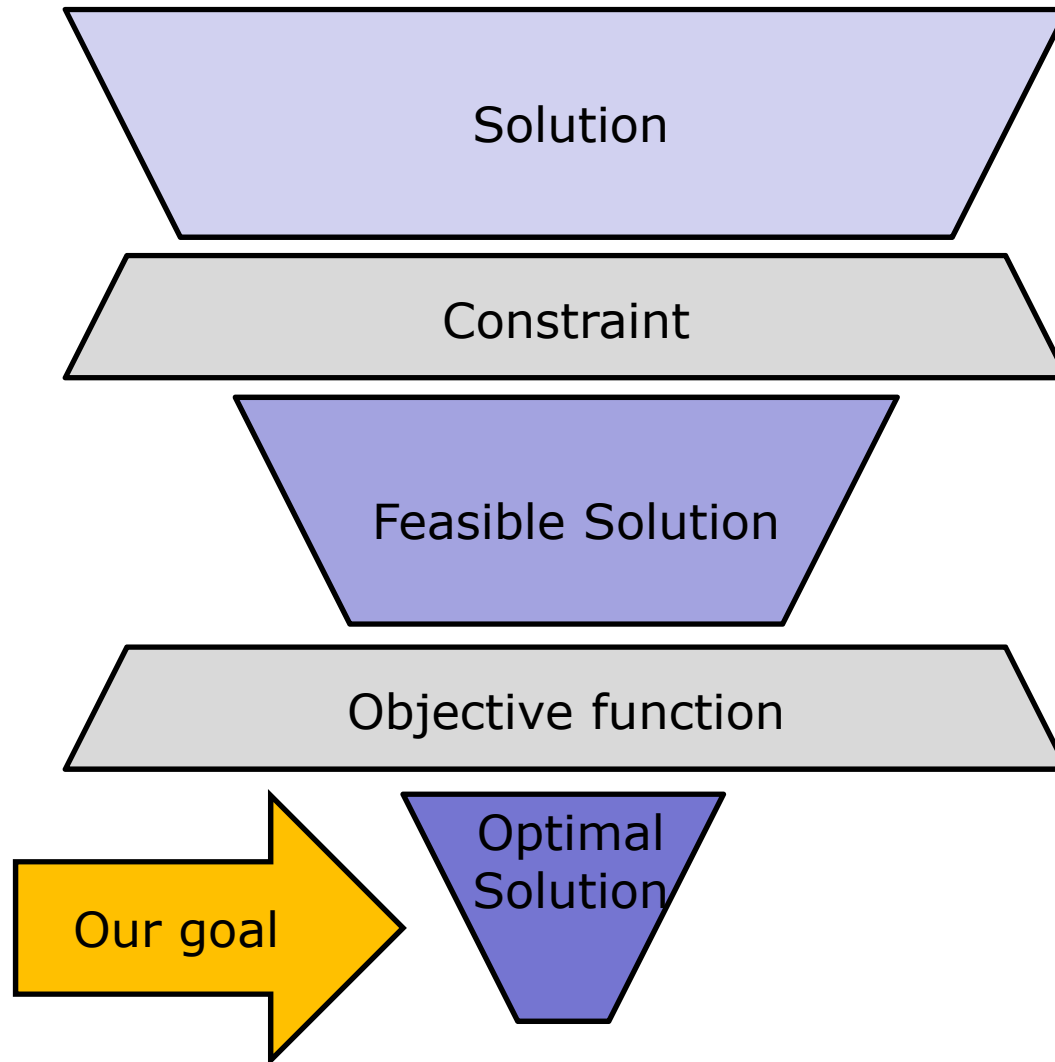
# 3.0 Basics



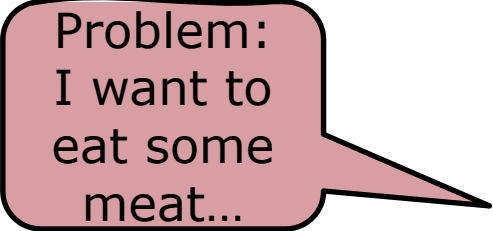
# 3.0 Basics



# 3.0 Basics

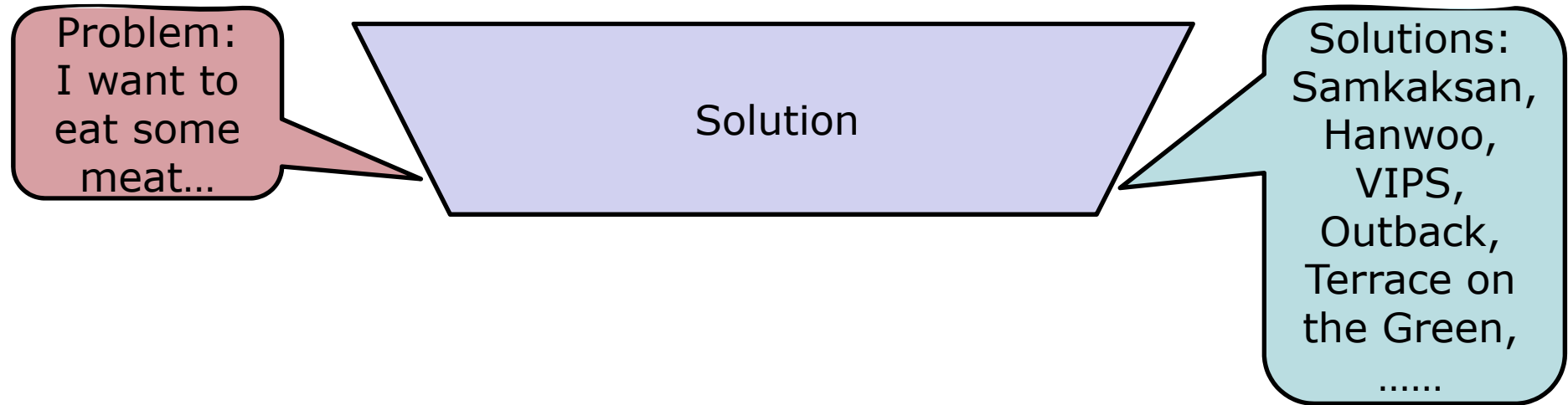


# 3.0 Basics

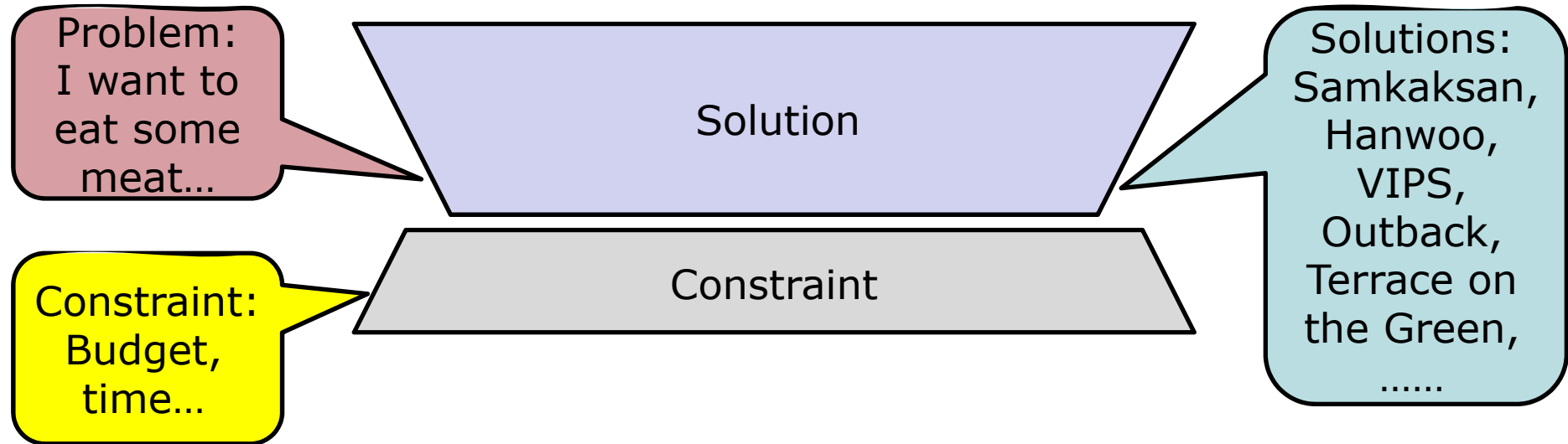


Problem:  
I want to  
eat some  
meat...

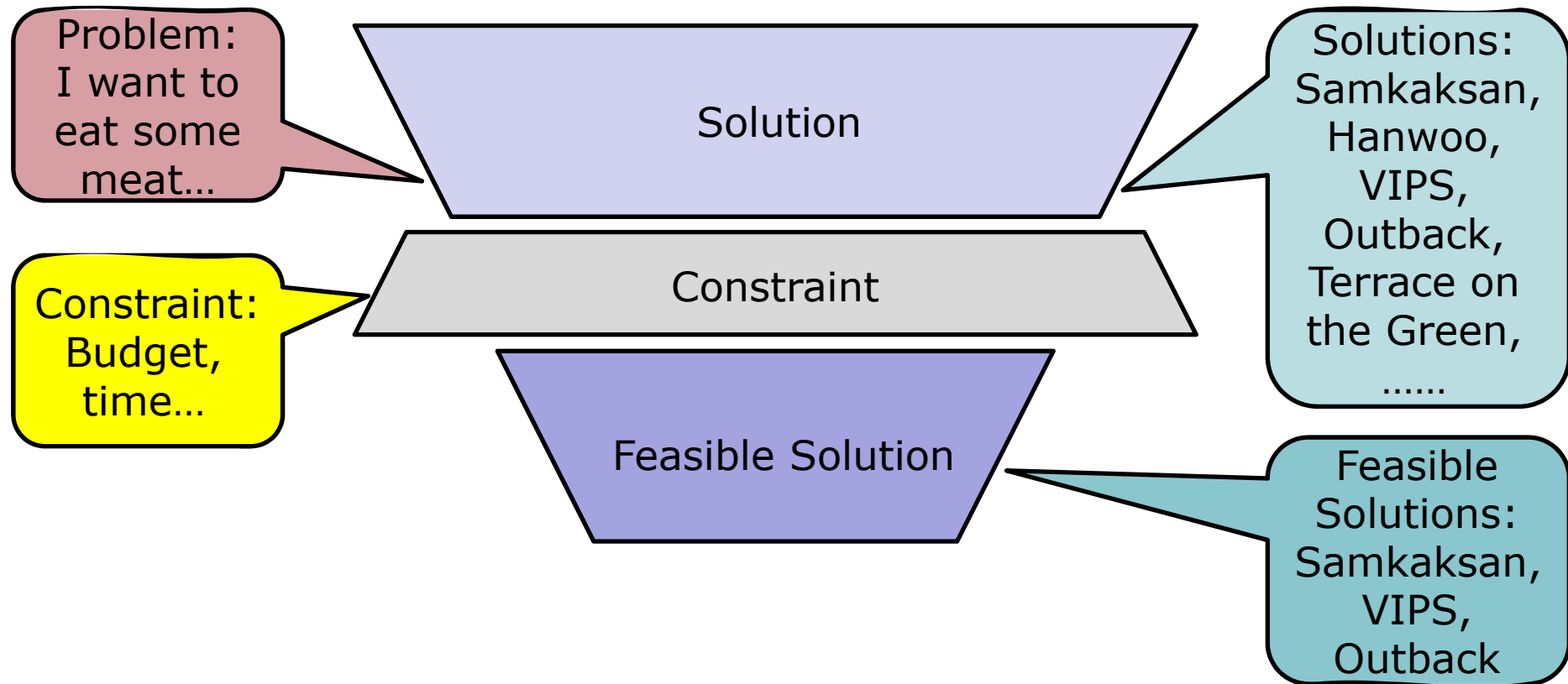
## 3.0 Basics



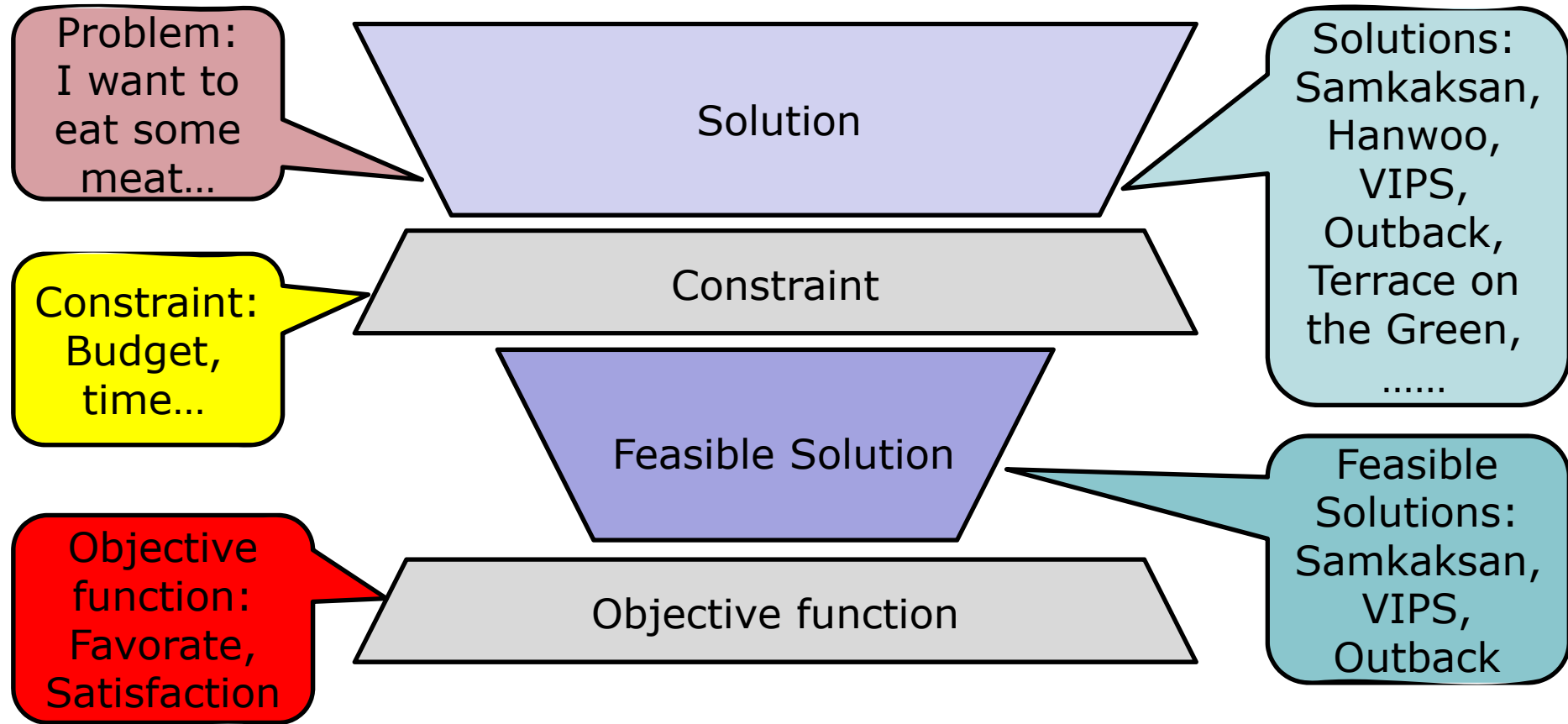
## 3.0 Basics



# 3.0 Basics

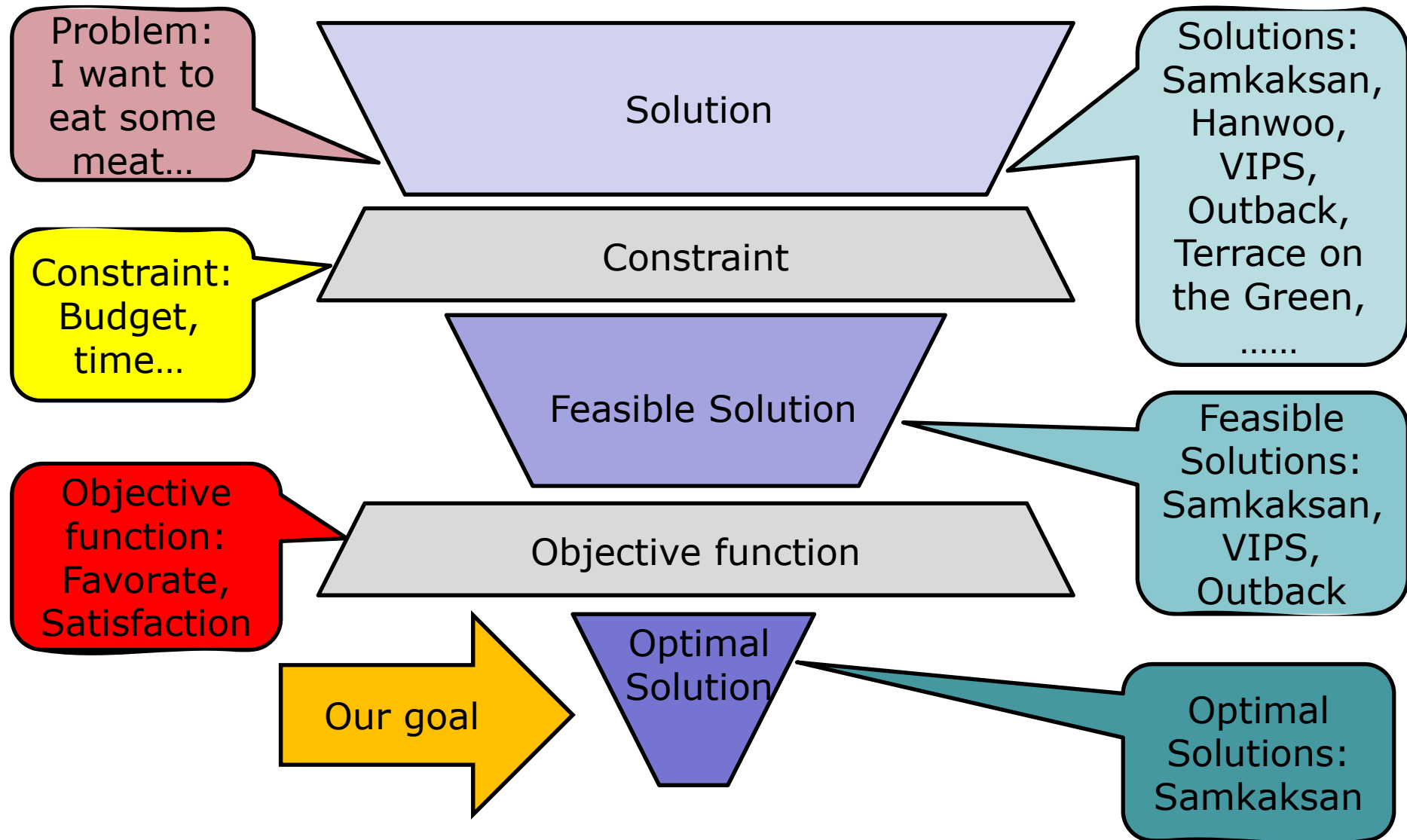


# 3.0 Basics





# 3.0 Basics



## 3.0 Basics

- Greedy algorithm
  - An algorithm that works in stages, considering one input at a time.
  - Selection
    - At each stage, a decision is made regarding whether or not a particular input is in an optimal solution.
    - Selection criteria is based on optimization measure.

## 3.0 Basics

- Greedy algorithm

```
Greedy( int n, int A[] )
{
    solution  $\leftarrow$   $\Phi$ ;
    for ( i = 1 to n )
        x  $\leftarrow$  SELECT (A);
        if ( FEASIBLE ( solution, x ) )
            solution  $\leftarrow$  UNION (solution, x);

    return solution;
}
```

## 3.1 Minimum cost spanning tree

- Spanning tree
  - Connecting every vertex of a graph  $G$  using minimum number of edges
  - If  $|V| = n$ , then at least  $(n-1)$  edges are required.
  - Depth-first spanning tree
  - Breadth-first spanning tree

## 3.1 Minimum cost spanning tree

- Minimum cost spanning tree
  - Computed on a weighted graph
  - A spanning tree whose sum of edge weights is minimum.
  - Properties
    - No new edges.
    - $(n-1)$  edges for  $|V| = n$ .
    - No cycle.

## 3.1 Minimum cost spanning tree

Problem:  
Connect  
all the  
vertices

Solution

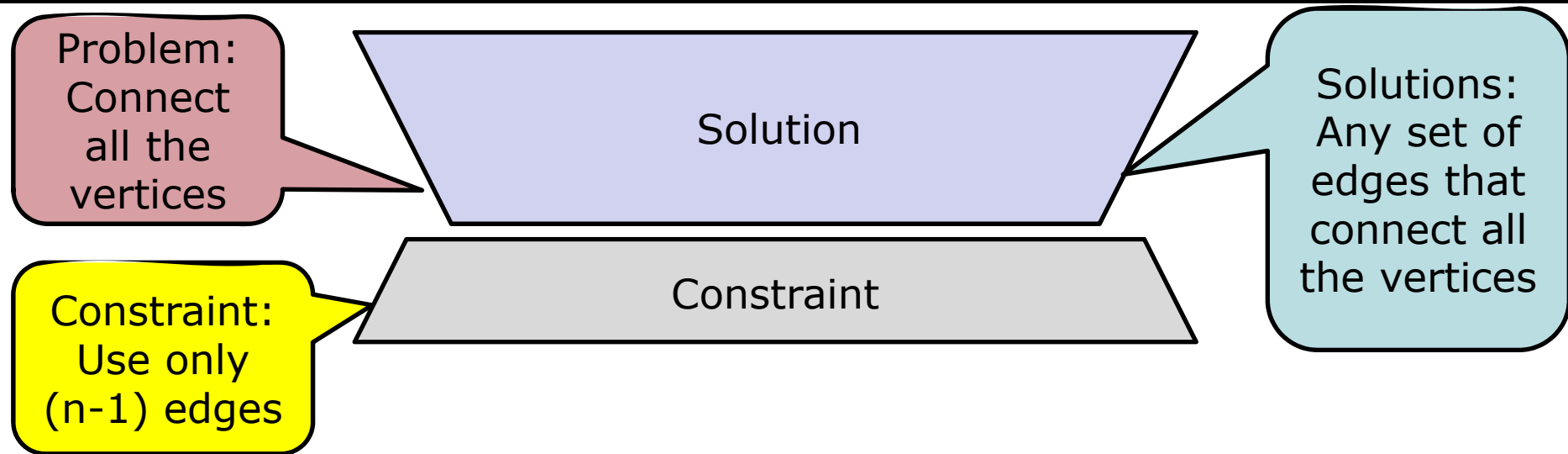
## 3.1 Minimum cost spanning tree

Problem:  
Connect  
all the  
vertices

Solution

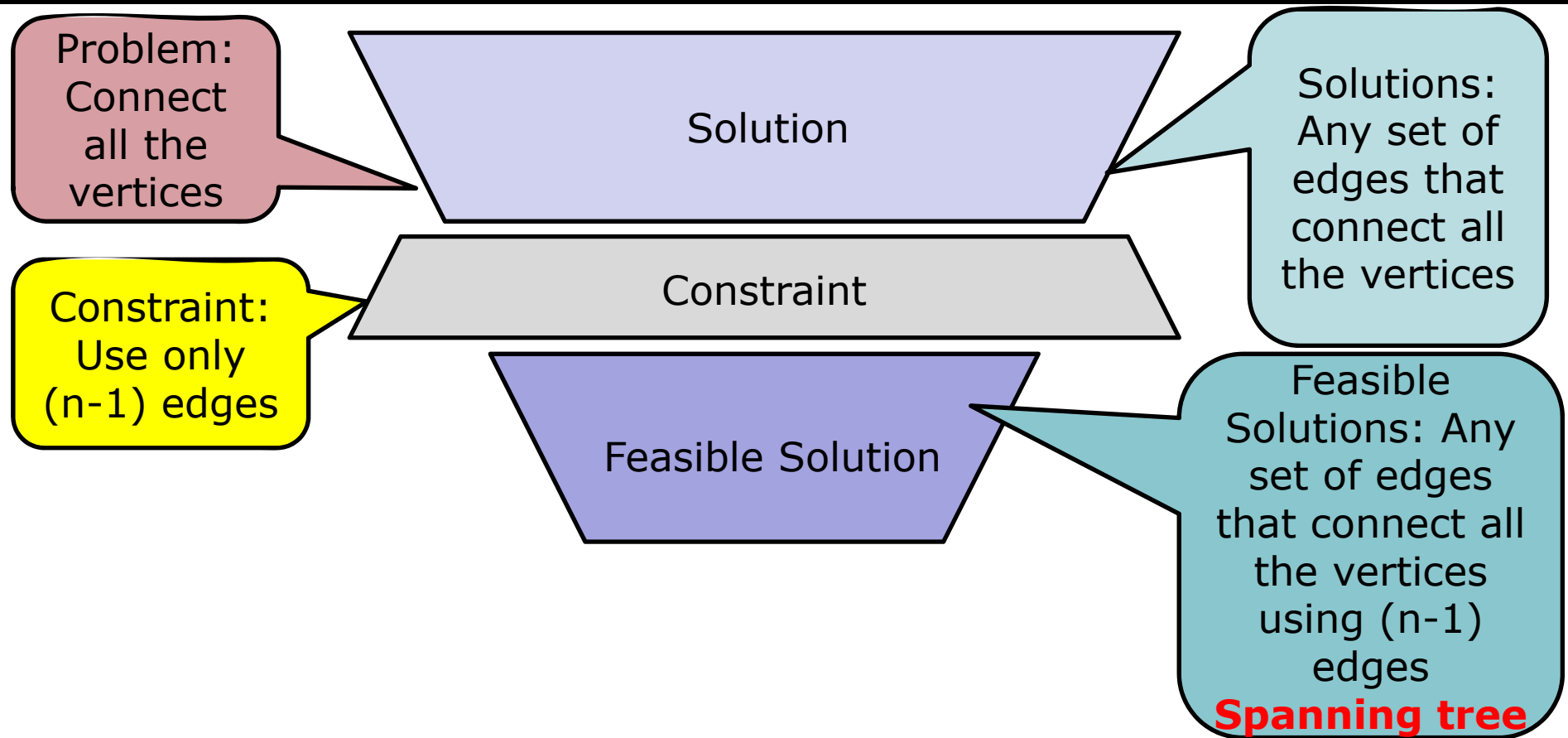
Solutions:  
Any set of  
edges that  
connect all  
the vertices

## 3.1 Minimum cost spanning tree

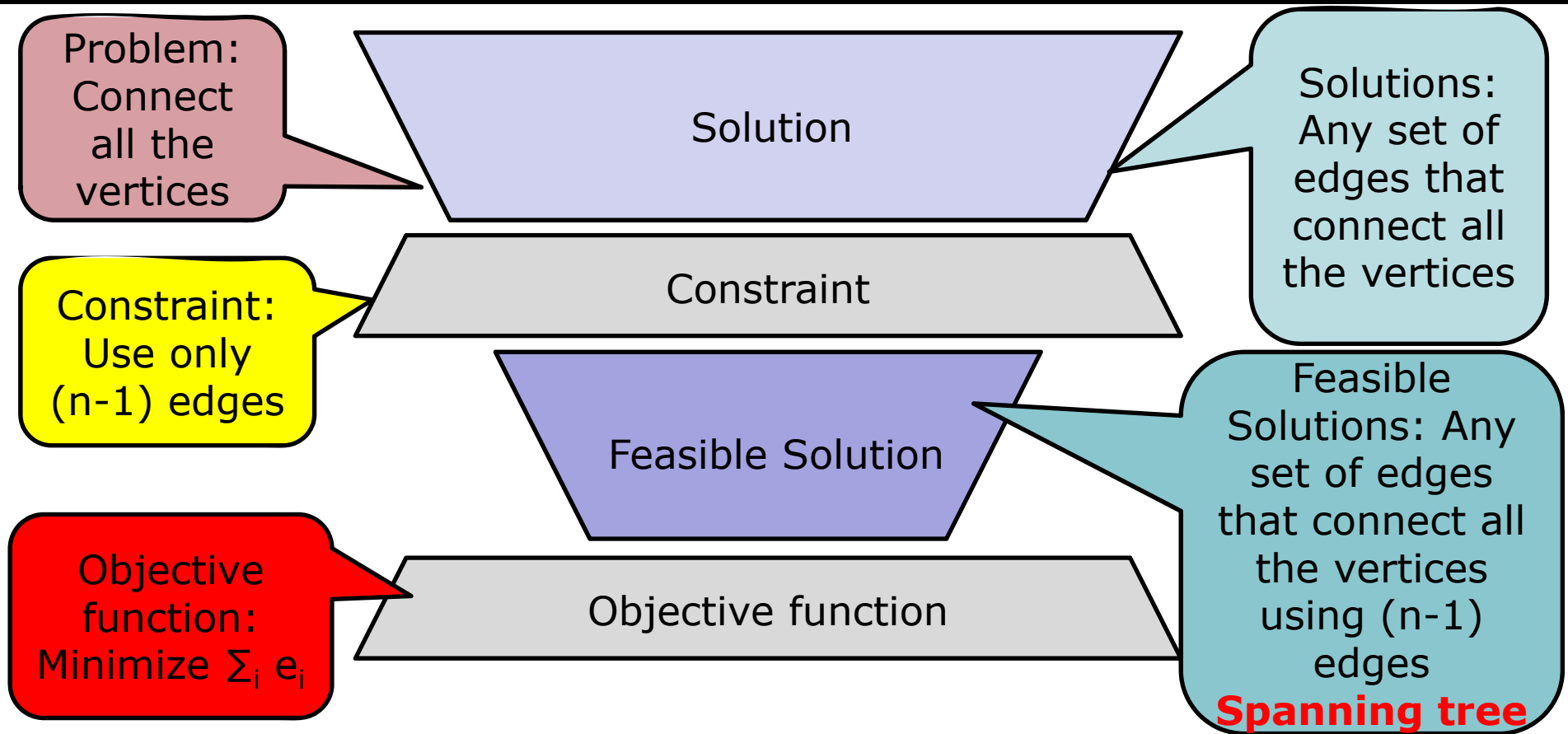




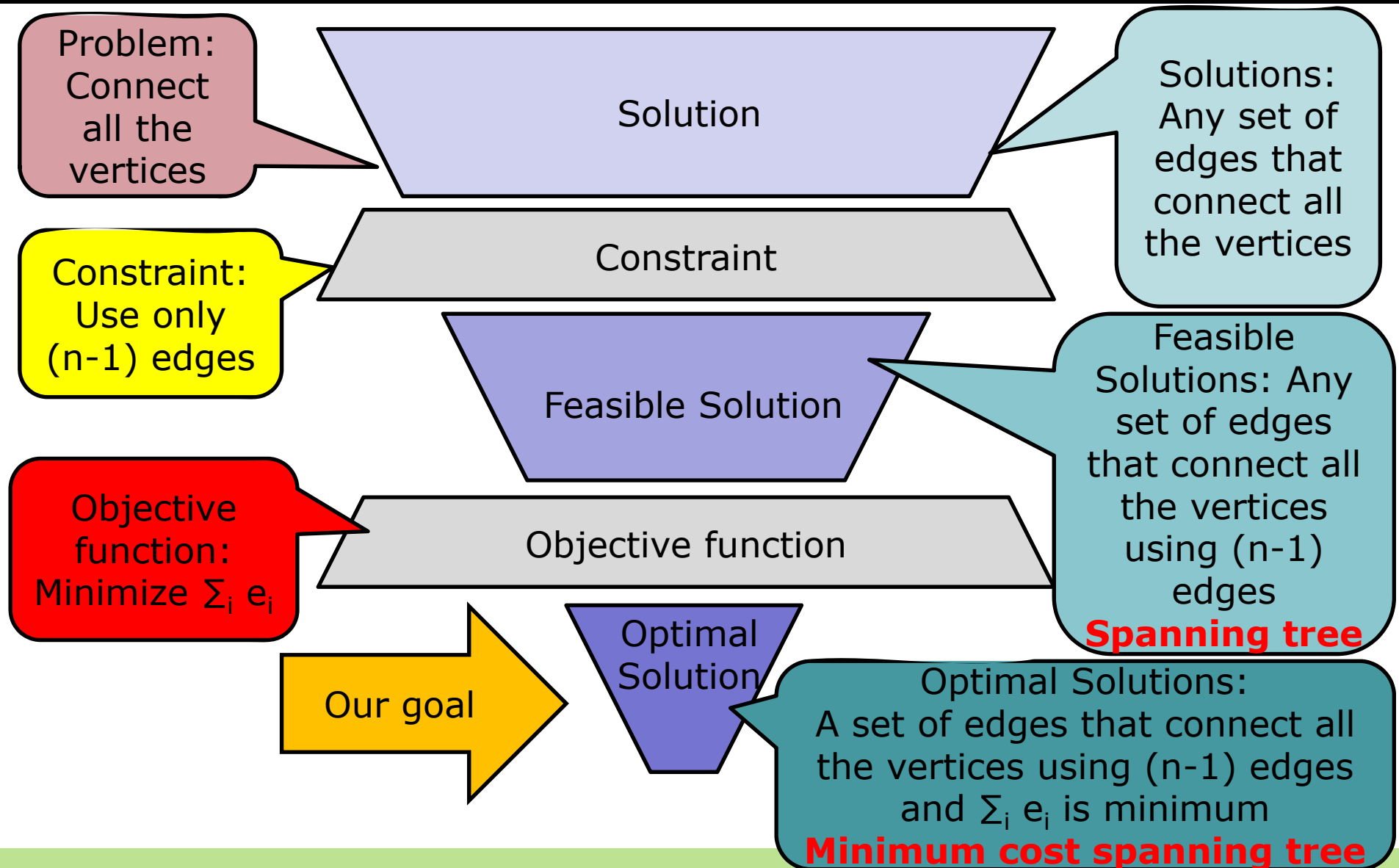
# 3.1 Minimum cost spanning tree



# 3.1 Minimum cost spanning tree

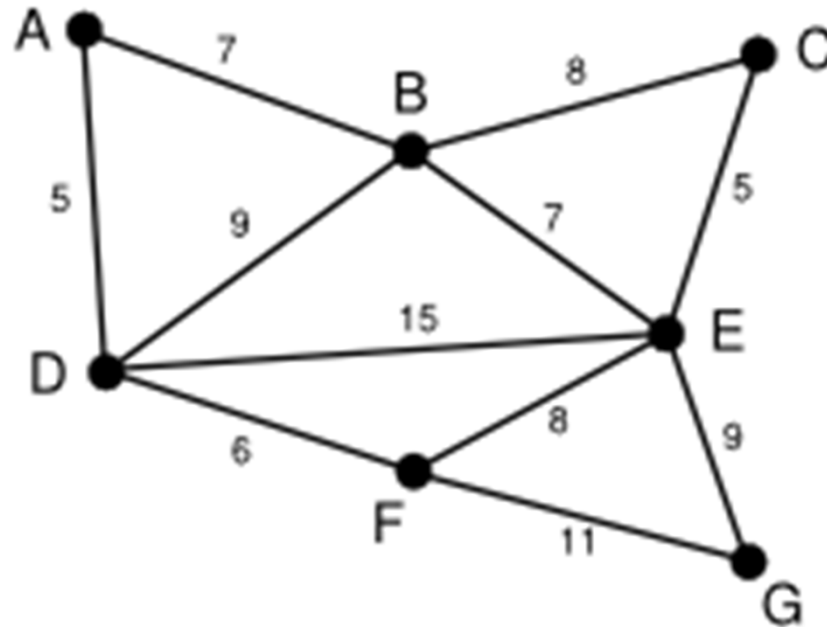


# 3.1 Minimum cost spanning tree



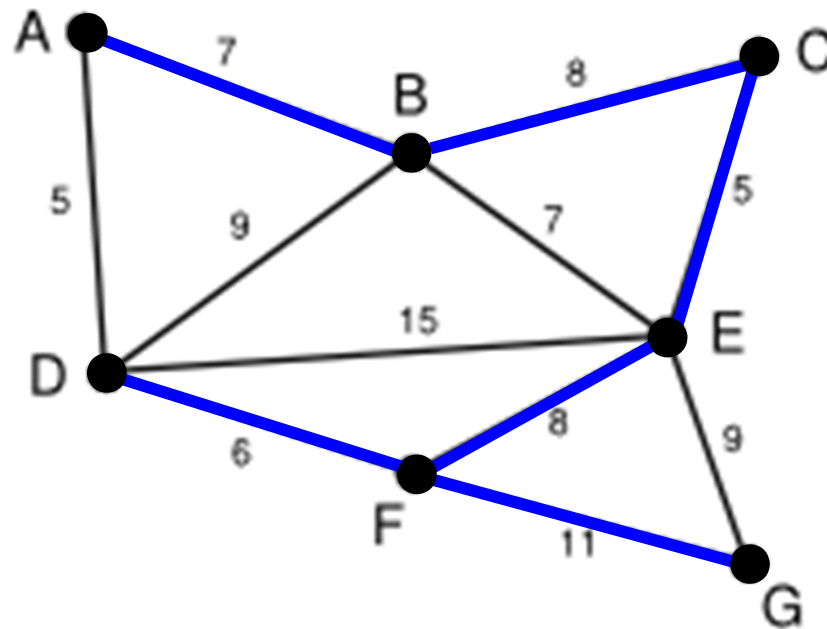
## 3.1 Minimum cost spanning tree

- Ex)



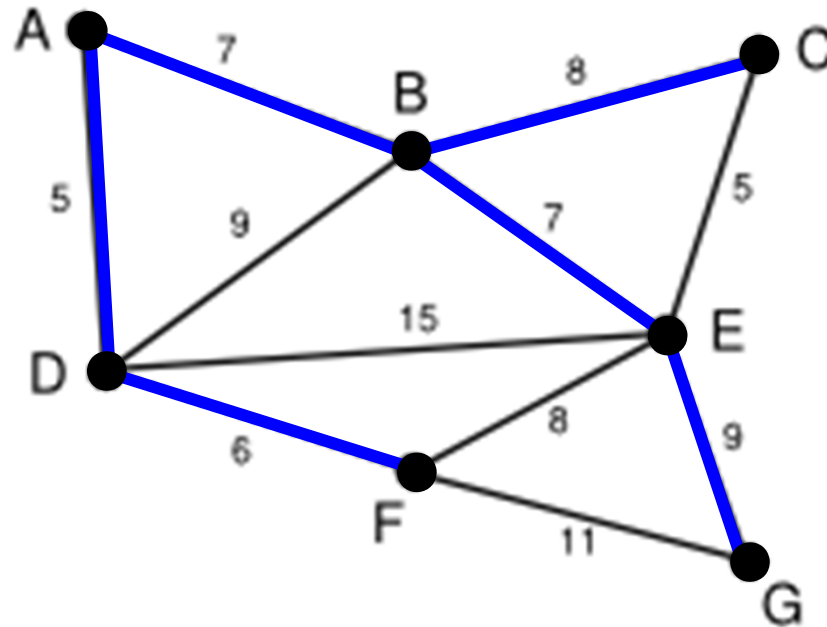
## 3.1 Minimum cost spanning tree

- Ex) Depth-first spanning tree



## 3.1 Minimum cost spanning tree

- Ex) Breadth-first spanning tree



## 3.1.1 Kruskal's Algorithm

- Edge-oriented algorithm
- Greedy algorithm: 朝四暮三
- Algorithm
  - Sort all the edges of a graph and list them in the ascending order.
  - Choose the edge of the minimum cost from the sorted list, and add it to the minimum cost tree  $T$ , if it doesn't make a cycle.
  - Repeat this process until  $T$  has  $(n-1)$  edges or the sorted list becomes empty.

## 3.1.1 Kruskal's Algorithm

```
Tree Kruskal( Vertex V, Edge E )
{
    T = {};
    sort edges in E in ascending order;

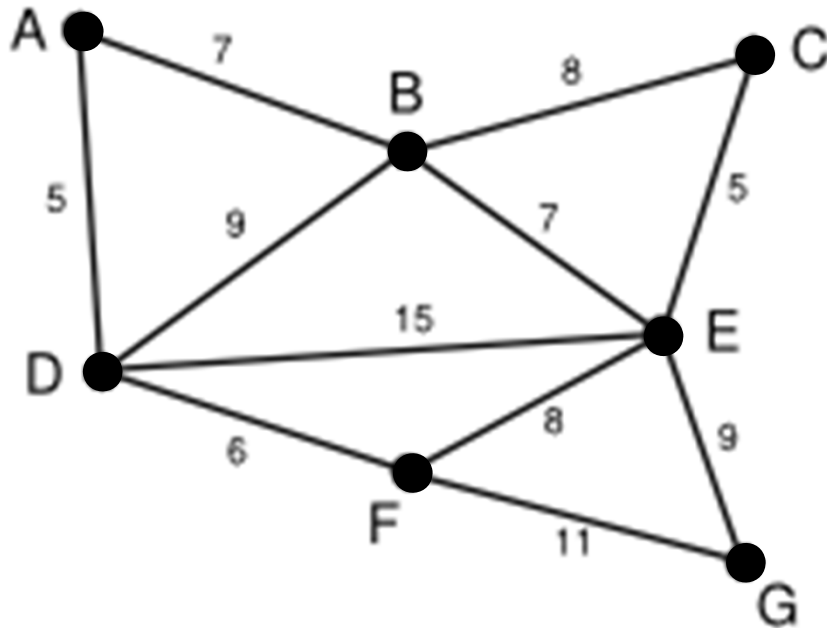
    while ( T has less than n-1 edges && E is not empty ) {
        choose a least cost edge (v, w) from E;
        delete (v, w) from E;
        if ( (v, w) does not create a cycle in T )
            add (v, w) to T;
        else
            discard (v, w);
    }
    if ( T has fewer than n-1 edges )
        printf("No Spanning Tree\n" );

    return T;
}
```



## 3.1.1 Kruskal's Algorithm

- Ex)



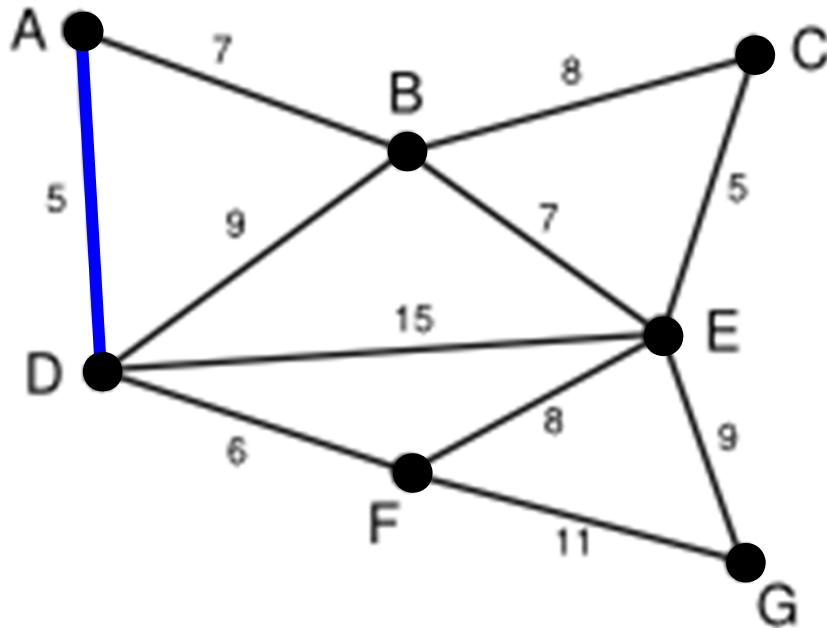
*edges*   *weights*

(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*


## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

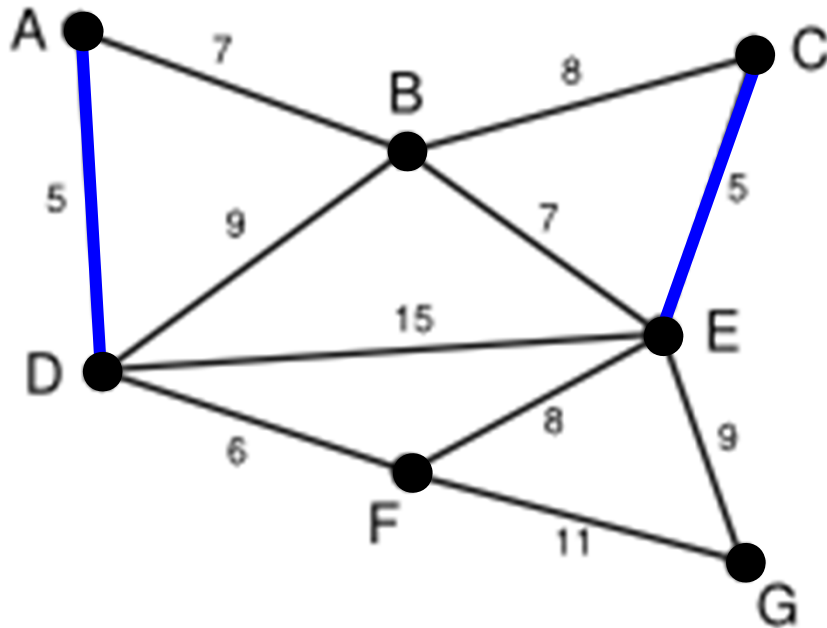
(A,D)	5
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(E,F)	8
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(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)

## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

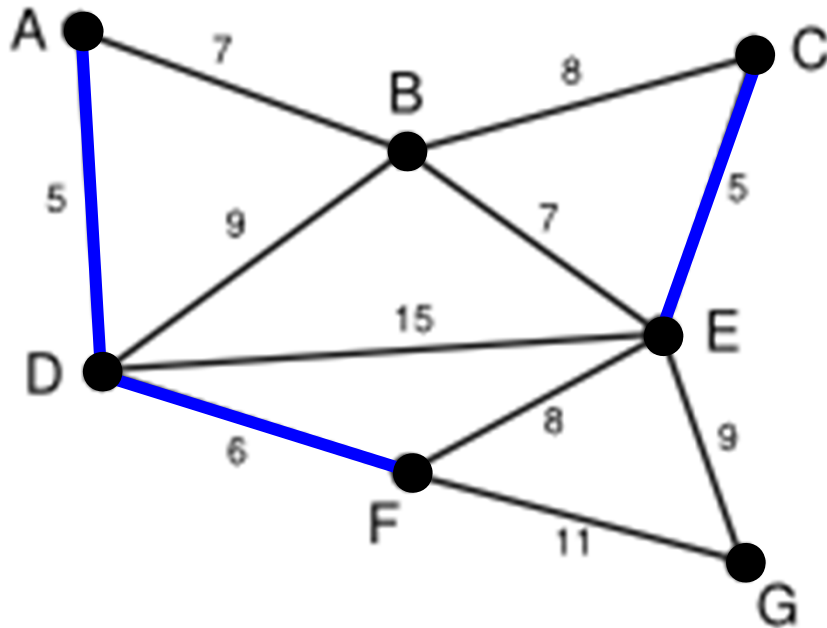
(A,D)	5
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(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)

## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

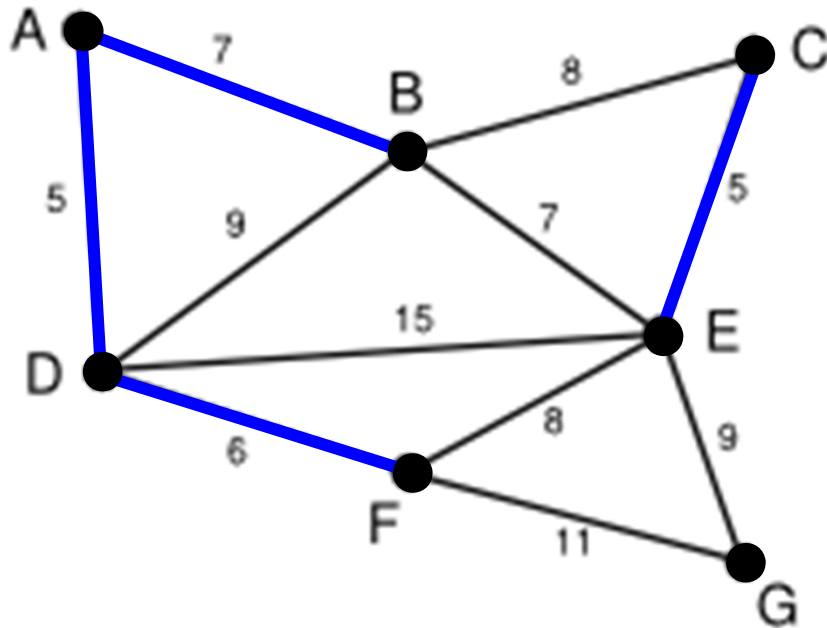
(A,D)	5
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(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)

## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

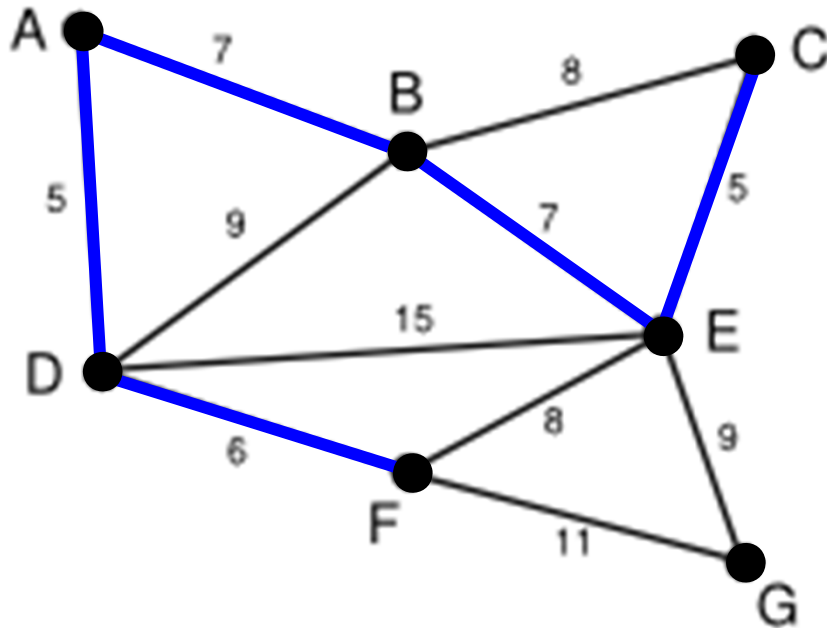
(A,D)	5
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(B,E)	7
(B,C)	8
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(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)

## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

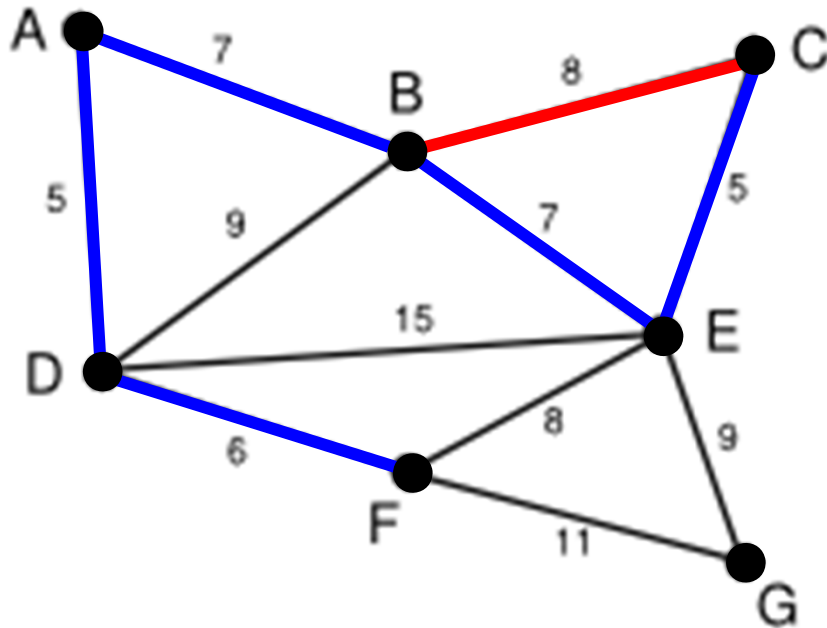
(A,D)	5
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(A,B)	7
(B,E)	7
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(E,F)	8
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(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)

## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

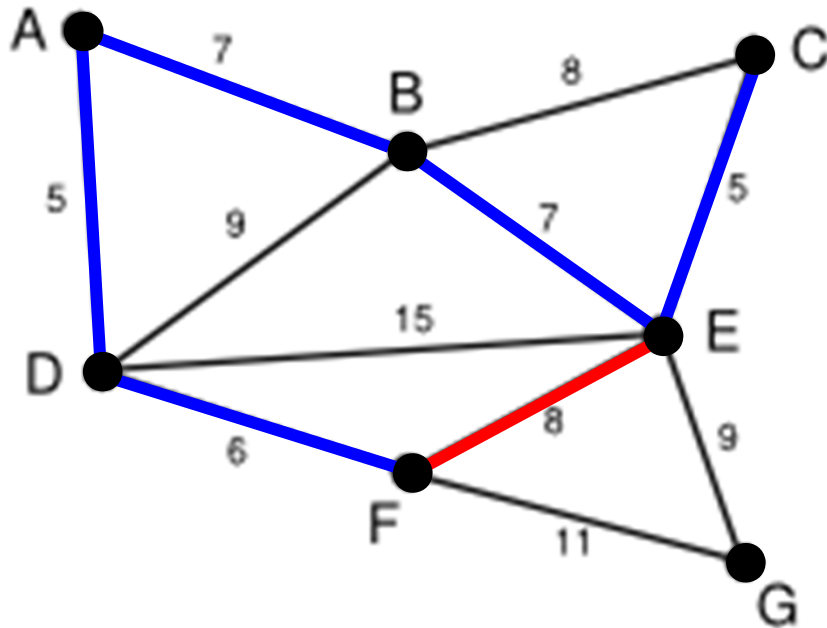
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(D,F)	6
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(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
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*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)

## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

(A,D)	5
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(B,E)	7
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(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

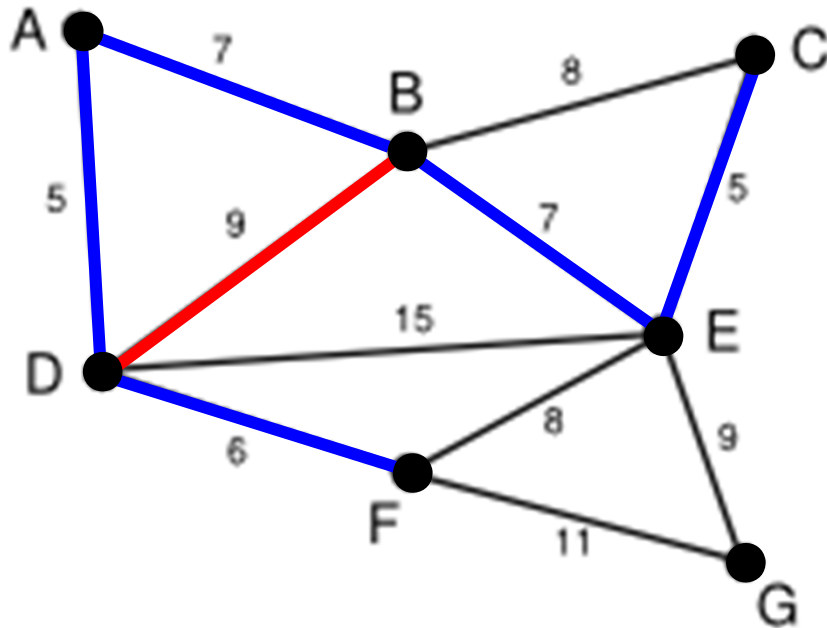
*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)



## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

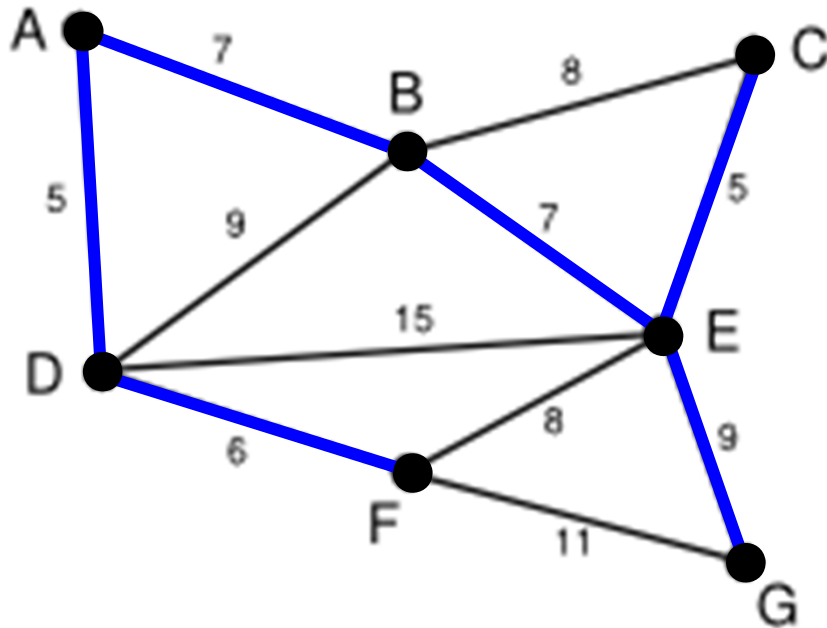
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)

## 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)
(E,G)

## 3.1.1 Kruskal's Algorithm

- Performance analysis
  - Sorting edges  $\rightarrow O( |E| \log |E| )$
  - Adding edges  $\rightarrow O( |V| )$ 
    - Check cycles  $\rightarrow O( |V| )$
- How to improve performance?
  - Use UNION-FIND operations for checking cycles
  - Assign labels on the vertices for UNION-FIND

## 3.1.1 Kruskal's Algorithm

- Another version of Kruskal's algorithm
  - Checking cycle by labeling vertices
    - If two vertices have same labels, then adding the edge that connects the two vertices becomes a cycle

## 3.1.1 Kruskal's Algorithm

```
Tree Kruskal( Vertex V, Edge E )
{
    T = {};
    sort edges in E in ascending order;

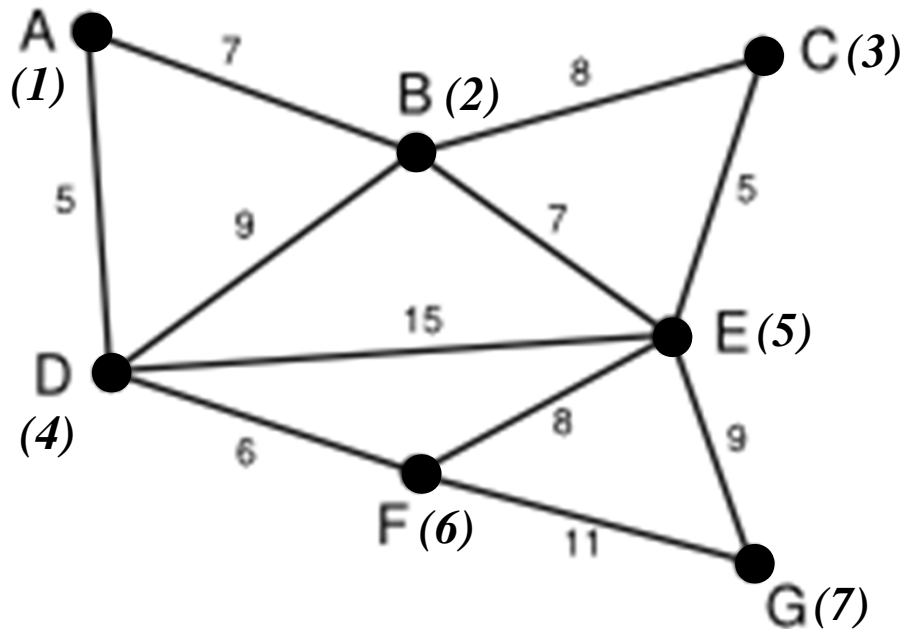
    for each vertex  $v$  in the set  $V$ 
        NEW_LABEL( $v$ );

    for each  $(u,v)$  in  $E$ , in ascending order of weight {
        if LABEL( $u$ ) is not equal to LABEL( $v$ ) {
            add the edge  $(u,v)$  to the tree  $T$ ;
            UNION(  $u, v$  ); return  $T$ ;
        }
    }

    return  $T$ ;
}
```

## 3.1.1 Kruskal's Algorithm

- Ex)



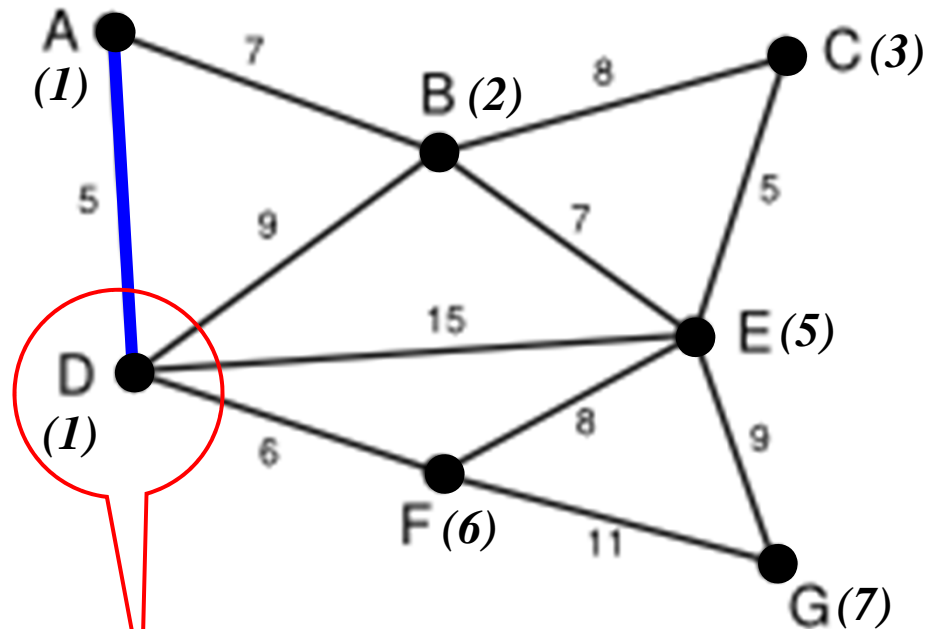
*edges*   *weights*

(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*


## 3.1.1 Kruskal's Algorithm

- Ex)



UNION (A, D) changes (4) to (1)

*edges*   *weights*

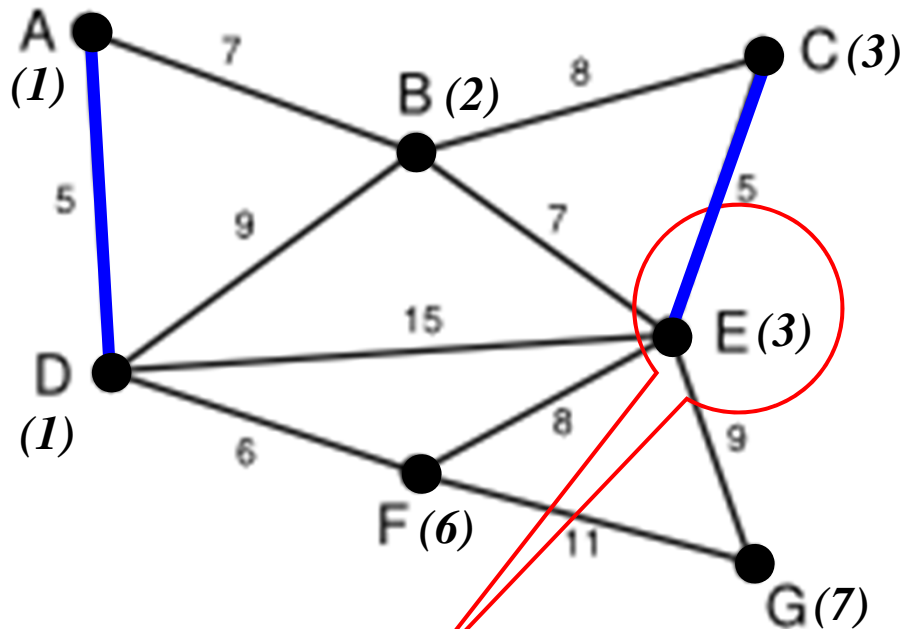
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)

## 3.1.1 Kruskal's Algorithm

- Ex)



UNION (C, E) changes (5) to (3)

*edges*   *weights*

(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

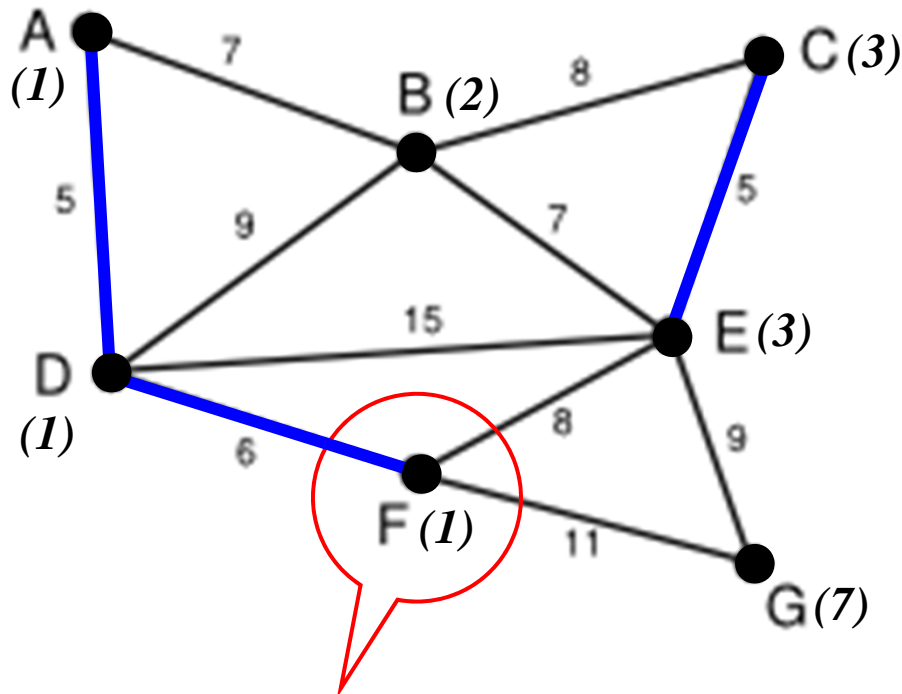
*T*

(A,D)
(C,E)



## 3.1.1 Kruskal's Algorithm

- Ex)



UNION (D, F) changes (6) to (1)

*edges*   *weights*

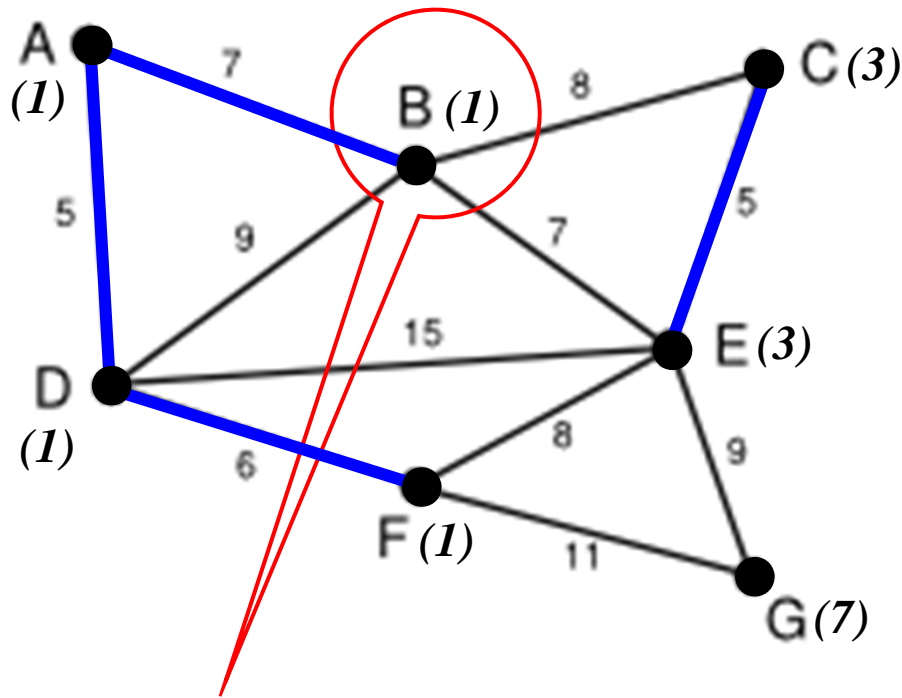
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)

# 3.1.1 Kruskal's Algorithm

- Ex)



UNION (A, B) changes (2) to (1)

*edges weights*

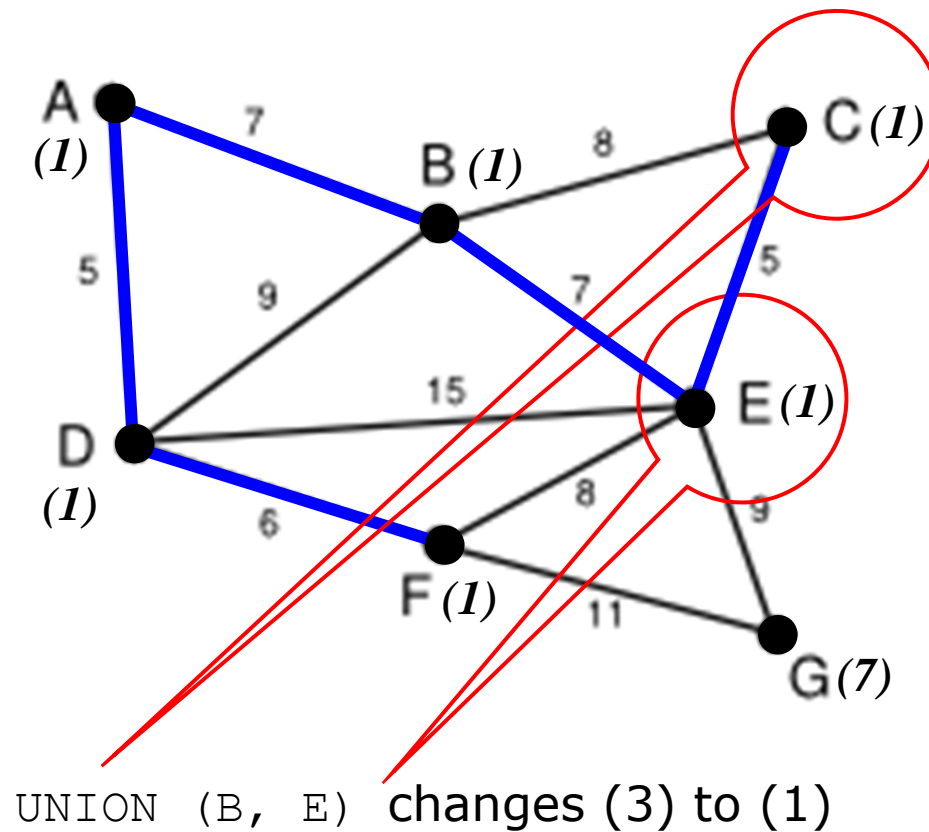
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)

# 3.1.1 Kruskal's Algorithm

- Ex)



*edges*   *weights*

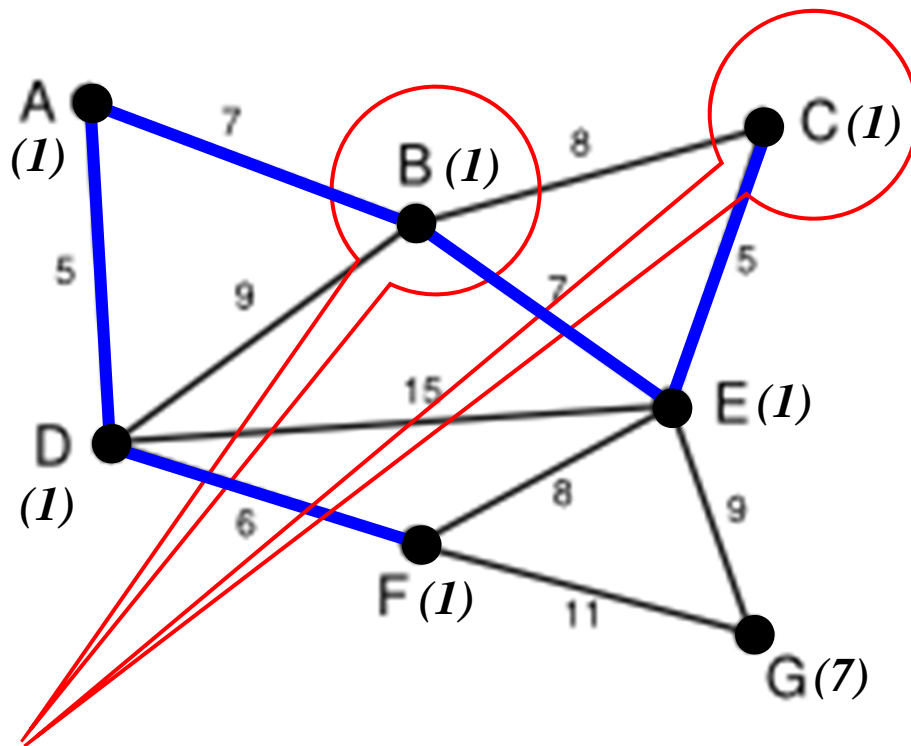
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)

## 3.1.1 Kruskal's Algorithm

- Ex)



B & C cannot be connected,  
since both labels are same  $\rightarrow$  cycle

*edges*   *weights*

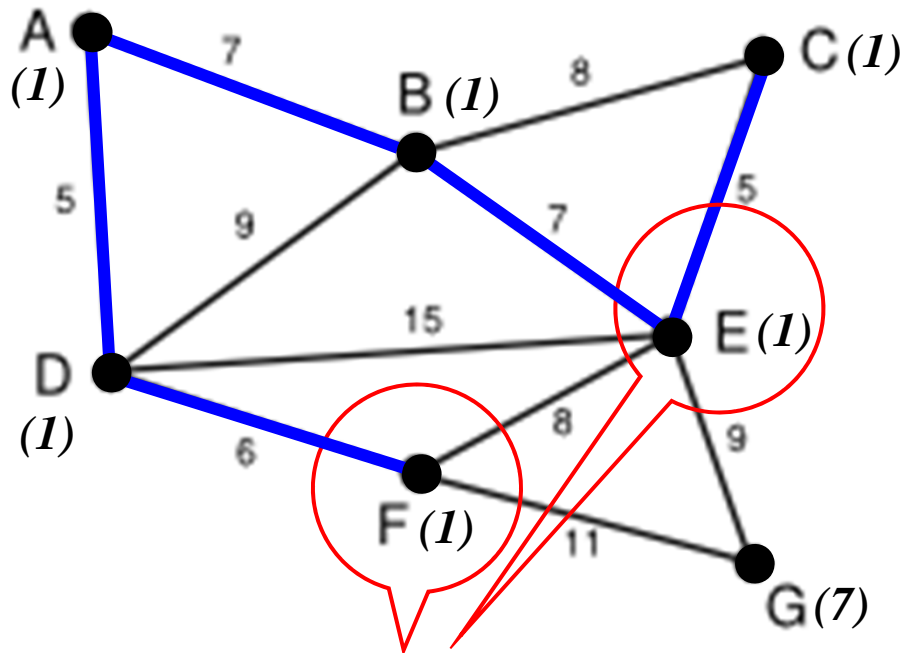
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)

## 3.1.1 Kruskal's Algorithm

- Ex)



E & F cannot be connected,  
since both labels are same  $\rightarrow$  cycle

*edges*   *weights*

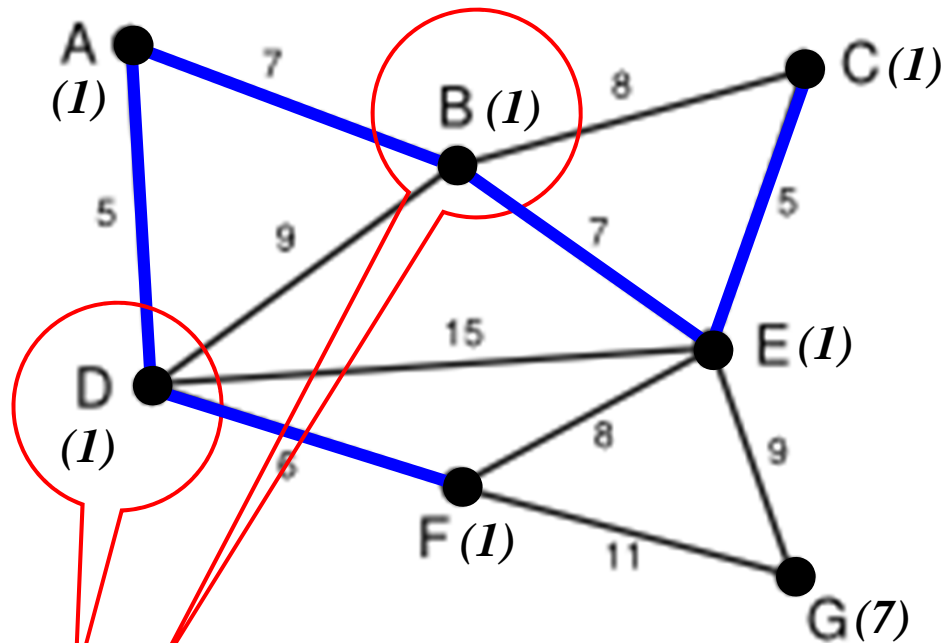
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)

## 3.1.1 Kruskal's Algorithm

- Ex)



B & D cannot be connected,  
since both labels are same  $\rightarrow$  cycle

*edges*   *weights*

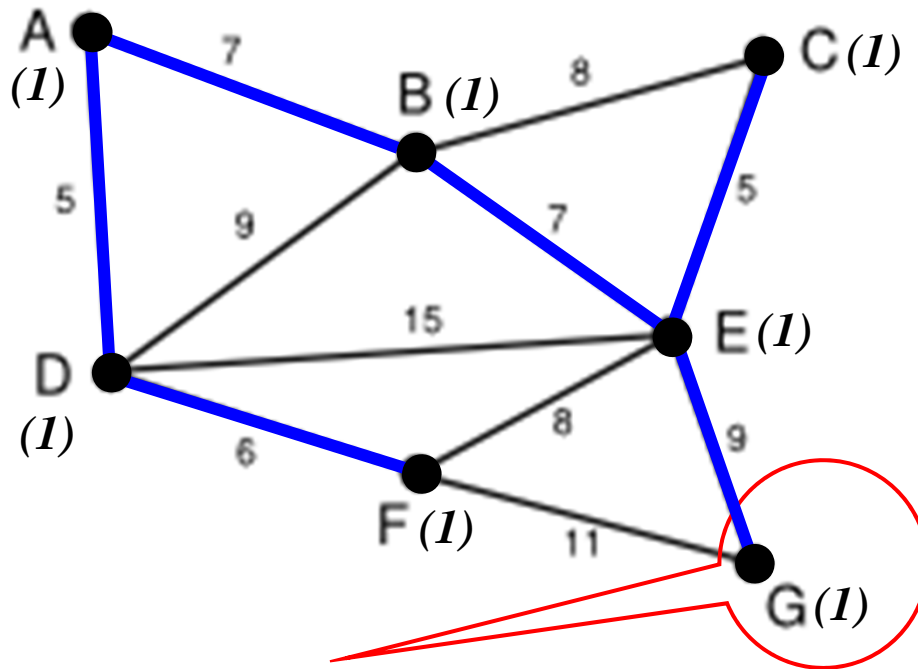
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)

## 3.1.1 Kruskal's Algorithm

- Ex)



UNION (E, G) changes (7) to (1)

*edges*   *weights*

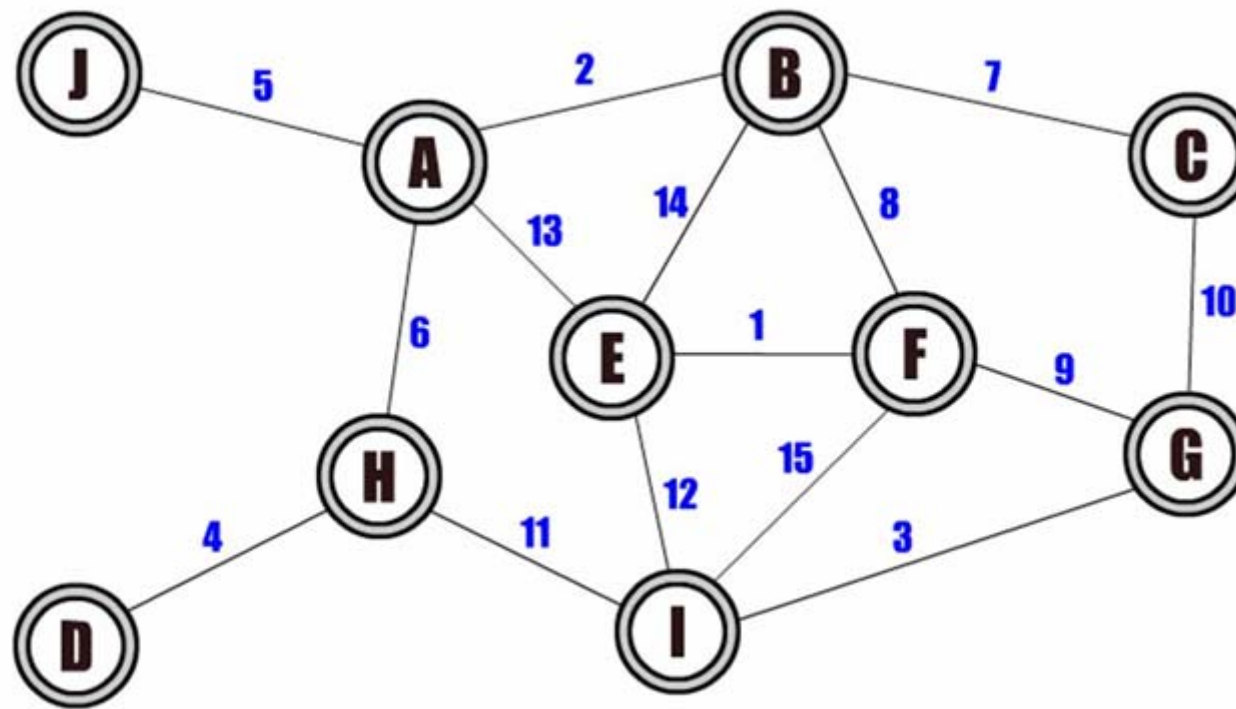
(A,D)	5
(C,E)	5
(D,F)	6
(A,B)	7
(B,E)	7
(B,C)	8
(E,F)	8
(B,D)	9
(E,G)	9
(F,G)	11
(D,E)	15

*T*

(A,D)
(C,E)
(D,F)
(A,B)
(B,E)
(E,G)

## 3.1.1 Kruskal's Algorithm

- Ex)





## 3.1.2 Prim's Algorithm

- Vertex-based algorithm
  - Greedy algorithm
  - Vertices on a Graph is classified into three categories:
    - Vertices in minimum-cost spanning tree (T)
    - Vertices incident to the vertices in T
    - Other vertices

## 3.1.2 Prim's Algorithm

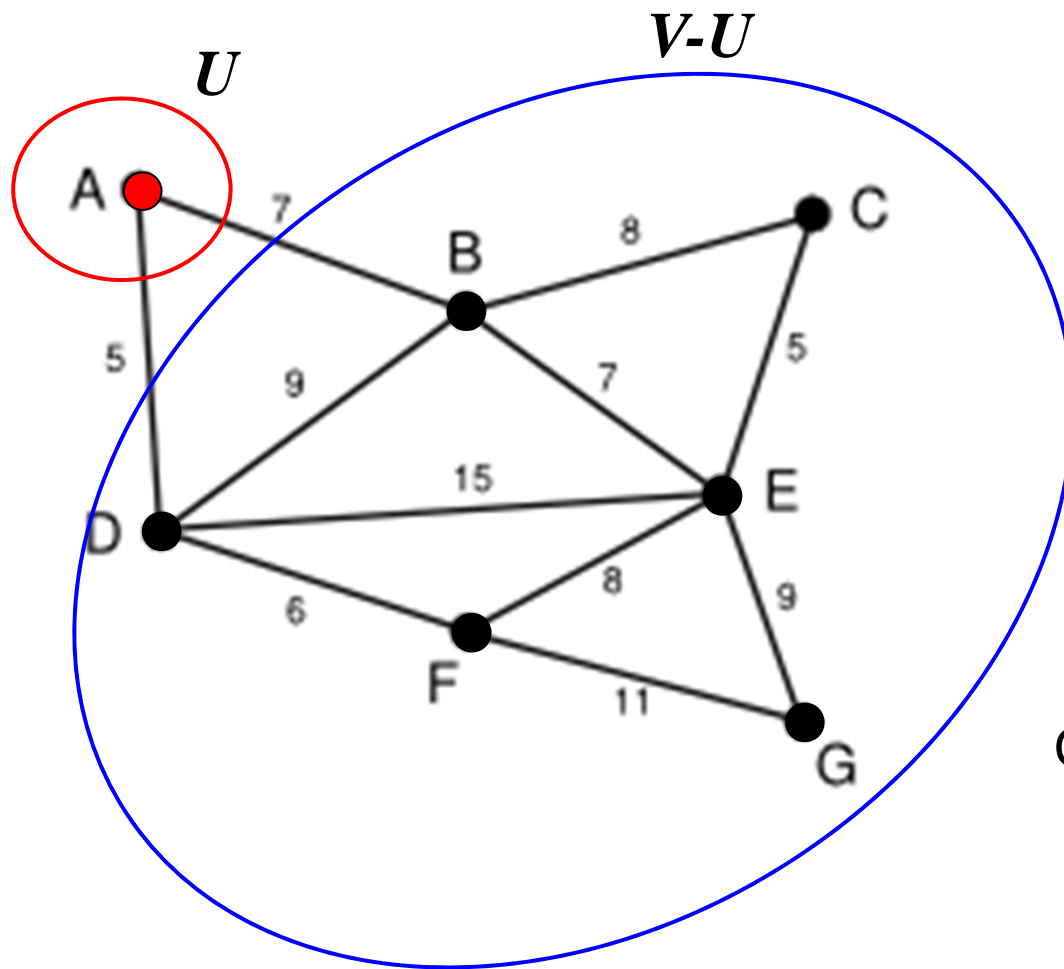
- Algorithm
  - Initially, set  $T$  as  $\Phi$ .
  - Find all the vertices incident to the vertices in  $T$ .
  - Find the minimum-weight edge among the edges that connect a vertex belongs to  $T$  and a vertex that does not belong to  $T$ .
  - If the edge doesn't make a cycle, then add the vertex on the edge to  $T$ .
  - Repeat this process until all vertices belong to  $T$ .

## 3.1.2 Prim's Algorithm

```
Tree Prim( Vertex V, Edge E )
{
    Vertex *U;
    vertex u,v;
    T = { };
    U = { A };
    while (U != V) {
        (u,v) = lowest cost edge with u in U and v in (V - U);
        T += (u,v);
        U += v;
    }
    return T;
}
```

## 3.1.2 Prim's Algorithm

- Ex)

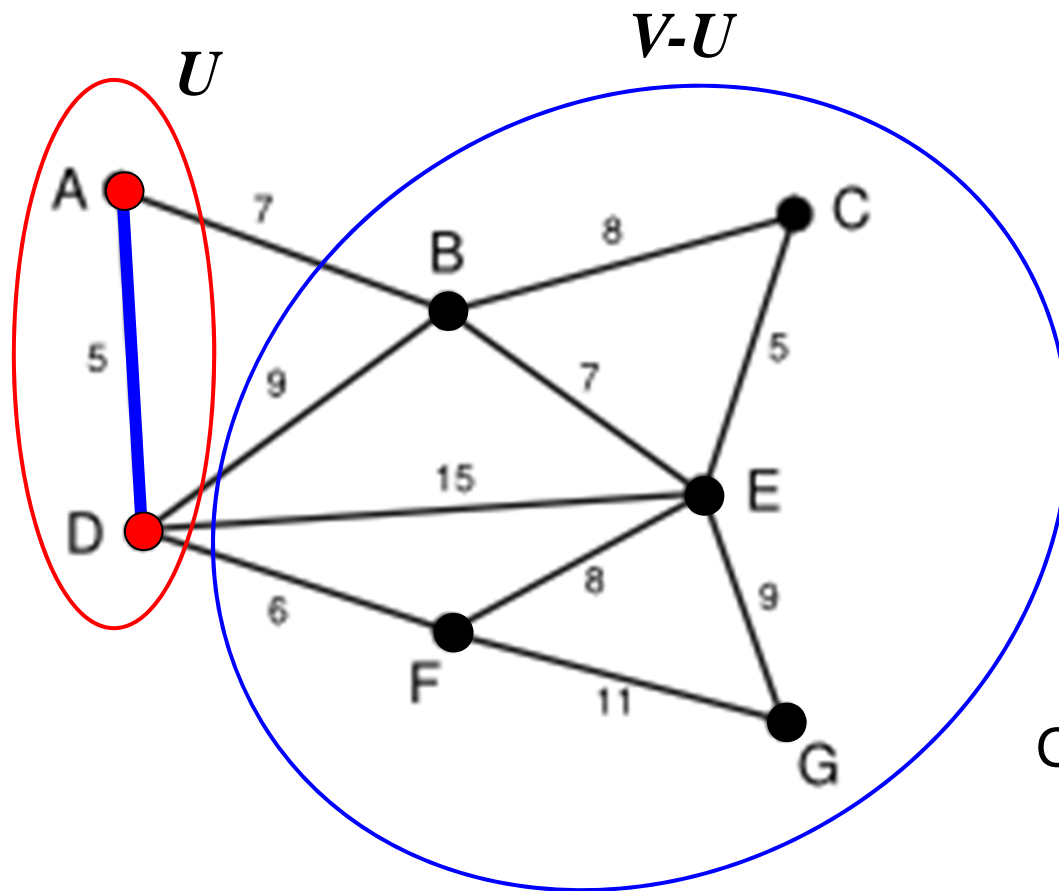


$U$	$T$
A	

Candidate edges: (A, B): 7  
(A, D): 5

## 3.1.2 Prim's Algorithm

- Ex)

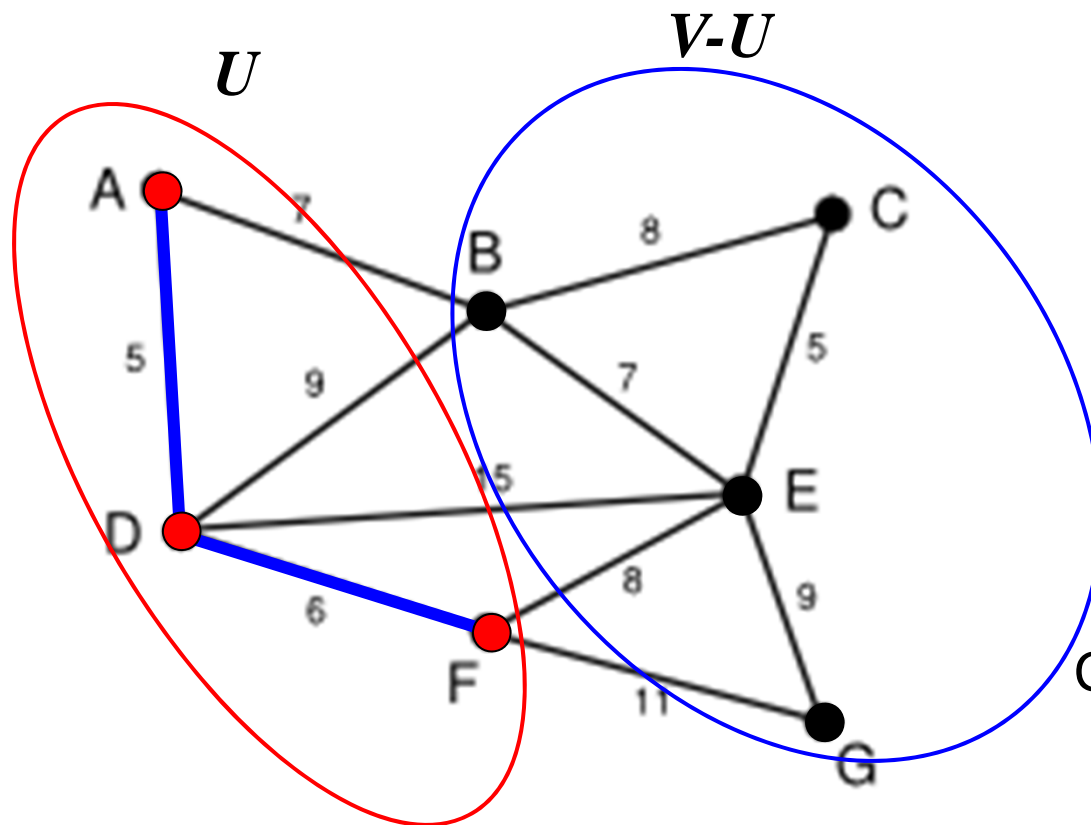


$U$	$T$
A	(A,D)
D	

Candidate edges: (A, B): 7  
(D, B): 9  
(D, E): 15  
(D, F): 6

## 3.1.2 Prim's Algorithm

- Ex)



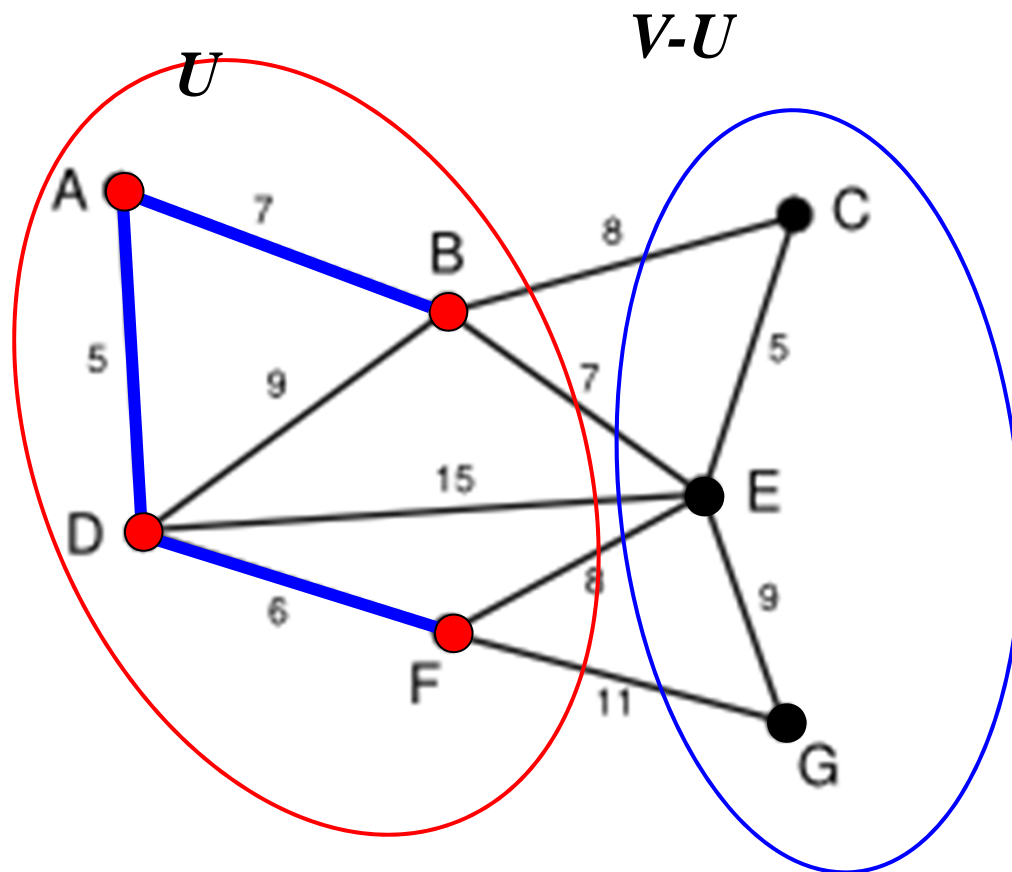
$U$	$T$
A	(A,D)
	(D,F)
D	
F	

Candidate edges:

- $(A, B): 7$
- $(D, B): 9$
- $(D, E): 15$
- $(F, E): 8$
- $(F, G): 11$

## 3.1.2 Prim's Algorithm

- Ex)

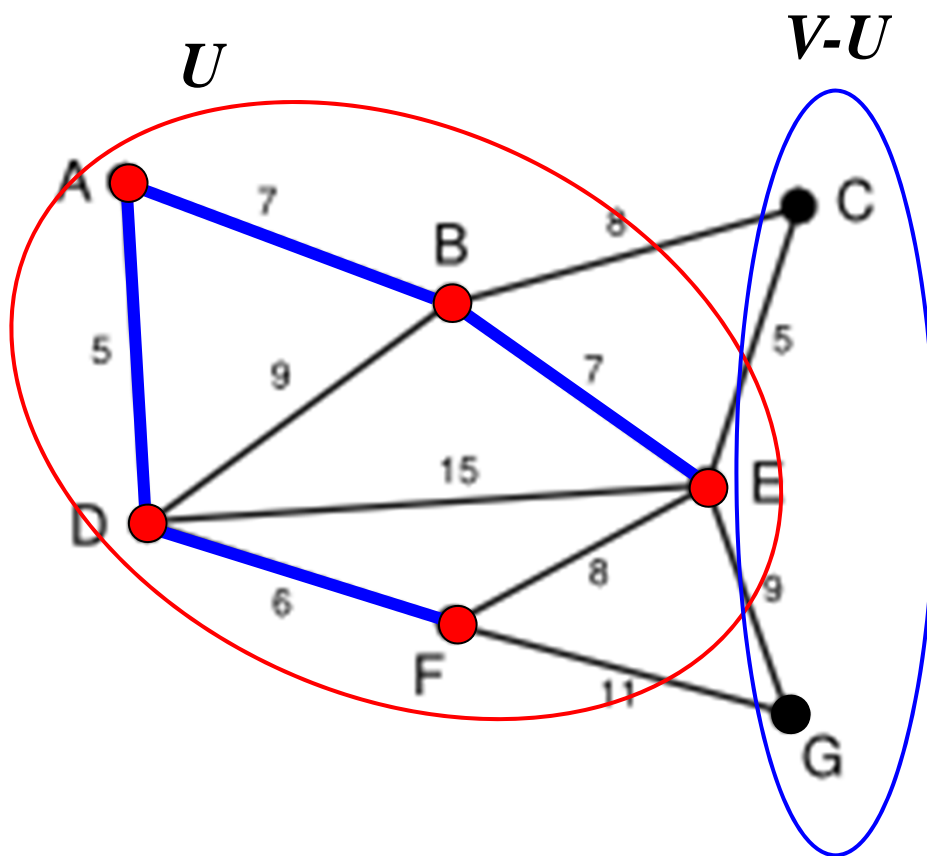


$U$	$T$
A	(A,D)
B	(D,F)
	(A,B)
D	
F	

Candidate edges: (B, C): 8  
(B, E): 7  
(D, E): 15  
(F, E): 8  
(F, G): 11

## 3.1.2 Prim's Algorithm

- Ex)



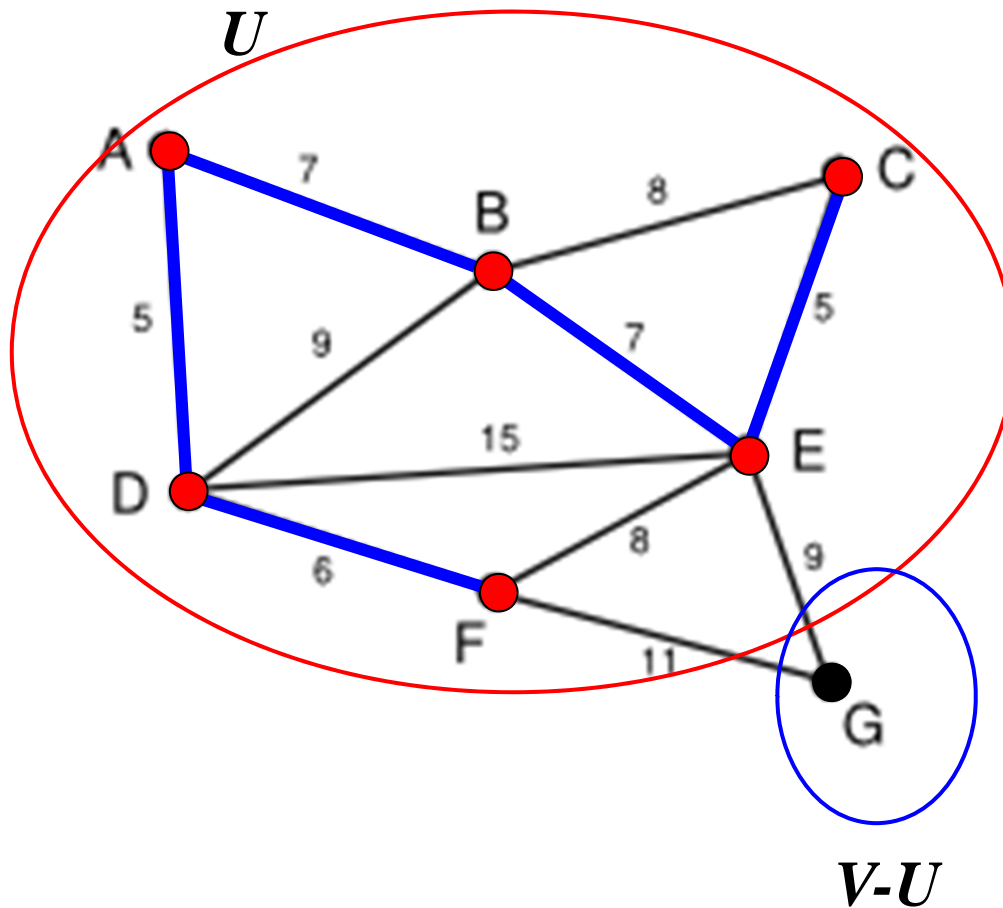
$U$	$T$
A	(A,D)
B	(D,F)
	(A,B)
D	(B,E)
E	
F	

Candidate edges: (B, C): 8  
(E, C): 5  
(E, G): 9  
(F, G): 11



## 3.1.2 Prim's Algorithm

- Ex)

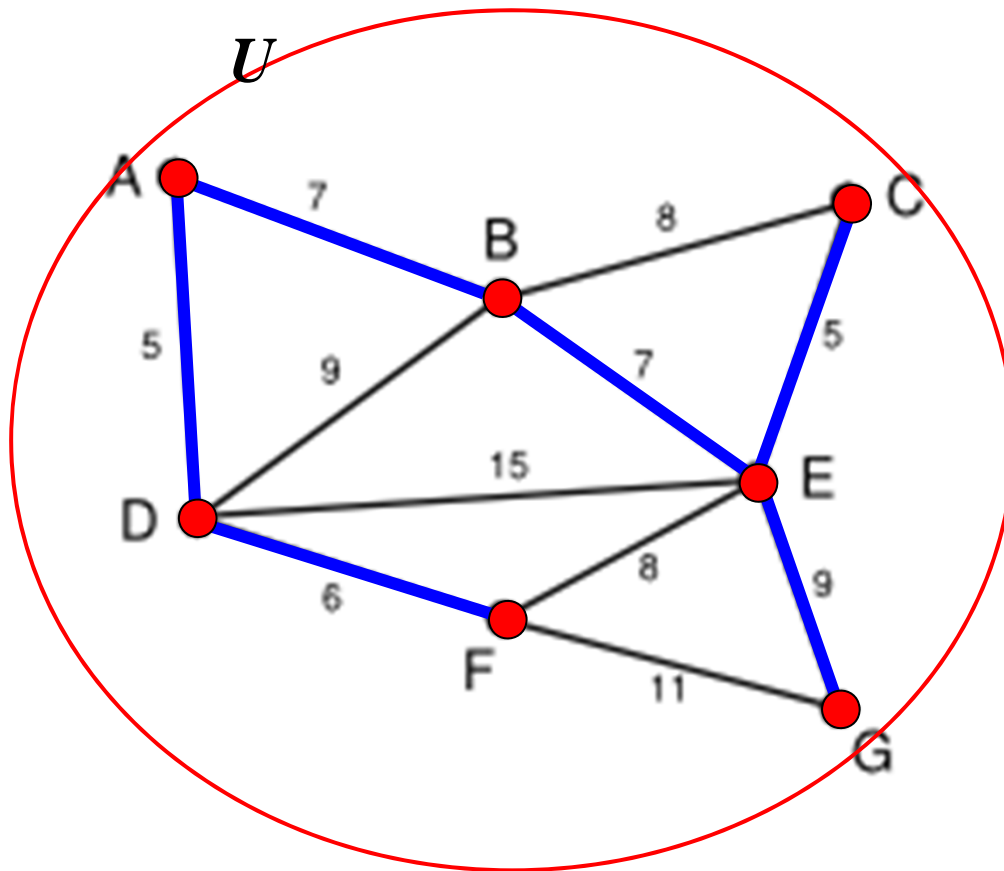


$U$	$T$
A	(A,D)
B	(D,F)
C	(A,B)
D	(B,E)
E	(C,E)
F	

Candidate edges: (E, G): 9  
(F, G): 11

## 3.1.2 Prim's Algorithm

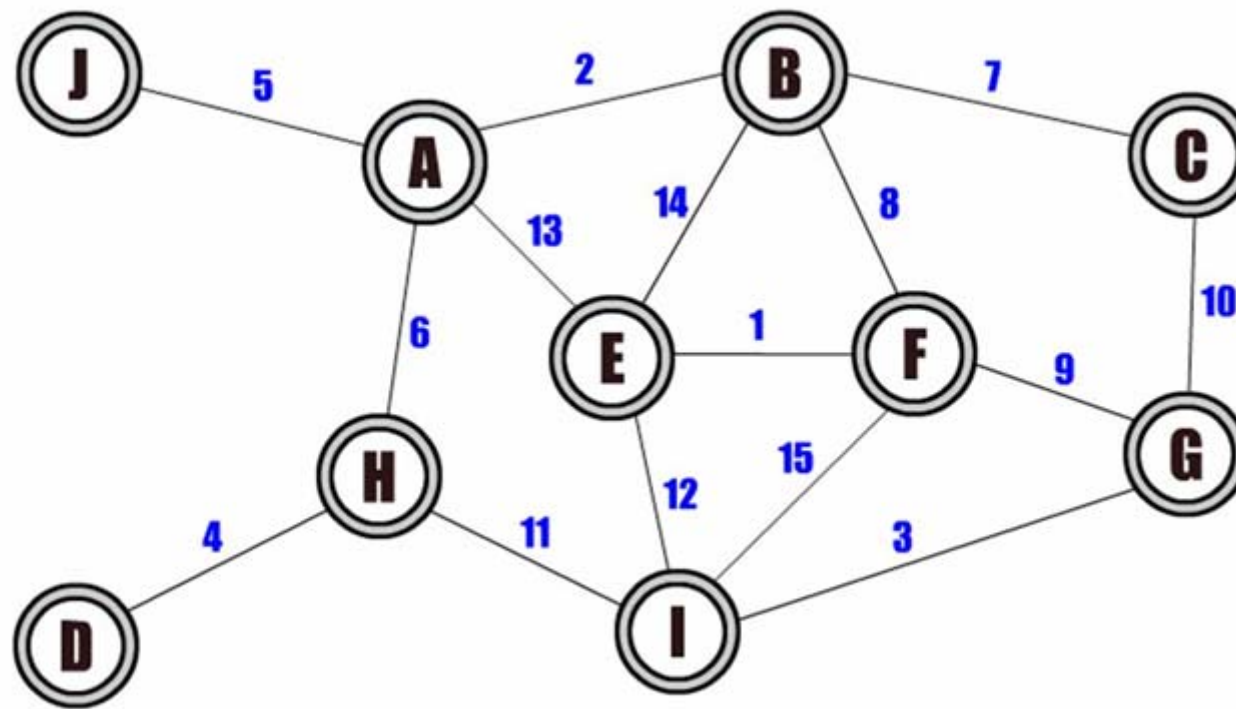
- Ex)



<i>U</i>	<i>T</i>
A	(A,D)
B	(D,F)
C	(A,B)
D	(B,E)
E	(C,E)
F	(E,G)
G	

## 3.1.2 Prim's Algorithm

- Ex)



## 3.2 Optimal storage on tapes

- Background:
  - There are  $n$  files that are to be stored on a computer tape of length  $L$ .
  - The length of each file is  $l_i$ ,  $1 \leq i \leq n$ .

## 3.2 Optimal storage on tapes

- Retrieving files from the tape
  - Initially tape is positioned to the front.
  - The files are stored in the order  $I = i_1, i_2, \dots, i_n$ .
  - The time  $t_j$ , needed to retrieve file  $i_j$  is

$$t_j = \sum_{1 \leq k \leq j} l_{i_k}$$

- MRT (Mean Retrieval Time)  $= \frac{1}{n} \sum_{1 \leq j \leq n} t_j$

## 3.2 Optimal storage on tapes

- Problem:
  - We are required to find a permutation for the  $n$  files so that when they are stored on a tape in this order, the MRT is minimum.
  - Minimizing MRT is to minimize  $D(I)$

$$D(I) = \sum_{1 \leq j \leq n} \sum_{1 \leq k \leq j} l_{i_k}.$$

## 3.2 Optimal storage on tapes

- Example:
  - $n = 3$  &  $I = (I_1, I_2, I_3) = (5, 10, 3)$
  - We have 6 possible permutations
    - 1, 2, 3
    - 1, 3, 2
    - 2, 1, 3
    - 2, 3, 1
    - 3, 1, 2
    - 3, 2, 1
  - Optimal solution?

## 3.2 Optimal storage on tapes

- Solution strategy
  - The next file to be stored on the tape would be the one which minimizes the increase of D.
  - If we have already constructed  $i_1, i_2, \dots, i_r$ , and the next file is  $j$ , then the following value should be minimum:

$$\sum_{1 \leq k \leq r} l_{i_k} + l_j$$



## 3.2 Optimal storage on tapes

- Greedy solution
  - Sort  $i$ 's in the nondecreasing order of  $l_i$ .
  - Store them in the sorted order on the tape.
  - Time complexity:  $O(n \log n)$ .

## 3.3 Knapsack problem

- Problem:
  - We are given  $n$  objects and a knapsack.
  - Object  $i$  has a weight  $w_i$  and a profit  $p_i$ , and the knapsack has a capacity  $M$ .
  - If a fraction  $x_i$ ,  $0 \leq x_i \leq 1$ , of object  $i$  is placed into the knapsack, then the profit of  $p_i x_i$  is earned.

## 3.3 Knapsack problem

- Problem:
  - The objective is to obtain a filling of the knapsack that maximizes the total profit earned.

$$\text{Maximize } \sum_{1 \leq i \leq n} p_i x_i \text{ subject to } \sum_{1 \leq i \leq n} w_i x_i \leq M$$

- The solution is a set  $(x_1, x_2, \dots, x_n)$

## 3.3 Knapsack problem

- Example

- $n = 3$ ,  $M = 20$ ,  $(p_1, p_2, p_3) = (25, 24, 15)$ ,  
 $(w_1, w_2, w_3) = (18, 15, 10)$ .
- What is the solution  $(x_1, x_2, x_3)$  ?

## 3.3 Knapsack problem

- Solution strategy
  - At each step, we include that object which has the maximum profit per unit of capacity.
  - In the order of the ratio  $p_i / w_i$ .

## 3.3 Knapsack problem

- Knapsack algorithm

```
GREEDY_KNAPSACK ( int P[], int W[], int M, int X[], int n )
{
    sort P & W such that  $P[i]/W[i] \geq P[i+1]/W[i+1]$ ;
    X  $\leftarrow$  NULL;
    cu  $\leftarrow$  M;           // the remaining knapsack capacity
    for ( i = 0 to n )
        if ( W[i] > cu )
            break;
        X[i] = 1;
        cu  $\leftarrow$  cu - W[i];
    if ( i <= n )
        X[i]  $\leftarrow$  cu / W[i];
}
```

## 3.4 Job sequencing with deadline

- Problem:
  - We are given  $n$  jobs.
  - Each job  $i$  has a deadline  $d_i \geq 0$  and a profit  $p_i \geq 0$ .
  - For any job  $i$ , the profit  $p_i$  is earned if and only if the job is completed by its deadline.

## 3.4 Job sequencing with deadline

- Problem:
  - In order to complete a job, one has to process the job on a machine for one unit of time.
  - Feasible solution for this problem is a subset,  $J$ , of jobs such that each job in this subset can be completed by its deadline.
  - The value of  $J$  is  $\sum_i p_i$ .
  - Optimal solution:  $J$  with maximum value



## 3.4 Job sequencing with deadline

- Example:
  - $n = 4$ ,  $(p_1, p_2, p_3, p_4) = (100, 10, 15, 27)$  and  $(d_1, d_2, d_3, d_4) = (2, 1, 2, 1)$ .
  - What are the feasible solutions and their values?

## 3.4 Job sequencing with deadline

- Solution strategy
  - $J$  is a set of  $k$  jobs and  $\sigma = i_1, i_2, \dots, i_k$  be a permutation of jobs such that  $d_{i_1} \leq d_{i_2} \leq \dots \leq d_{i_k}$ .
  - $J$  is a feasible solution if and only if the jobs in  $J$  can be processed in the order  $\sigma$  without violating any deadline.
  - $D(J(1)) \leq D(J(2)) \leq \dots \leq D(J(k))$ .
  - $D(J(r)) \geq r$ , for  $1 \leq r \leq k$ .

## 3.4 Job sequencing with deadline

- Solution strategy
  - We assume that the jobs are sorted such that  $p_1 \geq p_2 \geq \dots \geq p_n$ .
  - We assume that  $\min \{ D(i) \} = 1$ .
  - **Select the job in the non-ascending order of profit.**
  - **If the pre-selected jobs can yield, then make them yield as much as possible.**

## 3.4 Job sequencing with deadline

- Job scheduling

```
void JobSchedule( int D[], int J[], int n )
// initially jobs are sorted such that  $p_1 \geq p_2 \geq \dots \geq p_n$ 
{
    D[0]  $\leftarrow$  J[0]  $\leftarrow$  0;
    k  $\leftarrow$  1; J[1]  $\leftarrow$  1;
    for ( i  $\leftarrow$  2 to n by 1 )
        r  $\leftarrow$  k;
        while ( D[J[r]] > D[i] and D[J[r]]  $\neq$  r )
            r  $\leftarrow$  r - 1;
        if ( D[J[r]]  $\leq$  D[i] and D[i] > r )
            for ( l = k; l  $\geq$  r + 1 by -1 )
                J[l+1]  $\leftarrow$  J[l];
            J[r+1]  $\leftarrow$  i; k  $\leftarrow$  k+1;
}
```

## 3.4 Job sequencing with deadline

- Job scheduling

```
void JobSchedule( int D[], int J[], int n )
// initially jobs are sorted such that  $p_1 \geq p_2 \geq \dots \geq p_n$ 
{
    D[0]  $\leftarrow$  J[0]  $\leftarrow$  0;
    k  $\leftarrow$  1; J[1]  $\leftarrow$  1;
    for ( i  $\leftarrow$  2 to n by 1 )
    {
        r  $\leftarrow$  k;
        while ( D[J[r]] > D[i] and D[J[r]]  $\neq$  r )
            r  $\leftarrow$  r - 1;
        if ( D[J[r]]  $\leq$  D[i] and D[i] > r )
            for ( l = k; l  $\geq$  r + 1 by -1 )
                J[l+1]  $\leftarrow$  J[l];
            J[r+1]  $\leftarrow$  i; k  $\leftarrow$  k+1;
    }
}
```

Find the one  
that can yield

## 3.4 Job sequencing with deadline

- Job scheduling

```
void JobSchedule( int D[], int J[], int n )
// initially jobs are sorted such that  $p_1 \geq p_2 \geq \dots \geq p_n$ 
{
    D[0]  $\leftarrow$  J[0]  $\leftarrow$  0;
    k  $\leftarrow$  1; J[1]  $\leftarrow$  1;
    for ( i  $\leftarrow$  2 to n by 1 )
        r  $\leftarrow$  k;
        while ( D[J[r]] > D[i] and D[J[r]]  $\neq$  r )
            r  $\leftarrow$  r - 1;
        if ( D[J[r]]  $\leq$  D[i] and D[i] > r )
            for ( l = k; l  $\geq$  r + 1 by -1 )
                J[l+1]  $\leftarrow$  J[l];
            J[r+1]  $\leftarrow$  i; k  $\leftarrow$  k+1;
}
```

If feasible,  
insert the job

## 3.4 Job sequencing with deadline

- Example:

$$- n = 5, (p_1, p_2, p_3, p_4, p_5) = (20, 15, 10, 5, 1), (d_1, d_2, d_3, d_4, d_5) = (3, 4, 1, 2, 5)$$

Condition of feasibility?

$$\begin{aligned} D(J(1)) \leq D(J(2)) \leq \dots \leq D(J(k)) \\ \& \\ D(J(r)) \geq r \end{aligned}$$

## 3.4 Job sequencing with deadline

- Example:

–  $n = 5$ ,

$$(p_1, p_2, p_3, p_4, p_5) = (20, 15, 10, 5, 1),$$
$$(d_1, d_2, d_3, d_4, d_5) = (3, 4, 1, 2, 5)$$

<b>r</b>		<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
<b>J(r)</b>	<b>0</b>	<b>1</b>				
		feasible				
<b>D(J(r))</b>	<b>0</b>	<b>D(J(1)) = 3</b>				



## 3.4 Job sequencing with deadline

- Example:

–  $n = 5$ ,

$$(p_1, p_2, p_3, p_4, p_5) = (20, 15, 10, 5, 1),$$
$$(d_1, d_2, d_3, d_4, d_5) = (3, 4, 1, 2, 5)$$

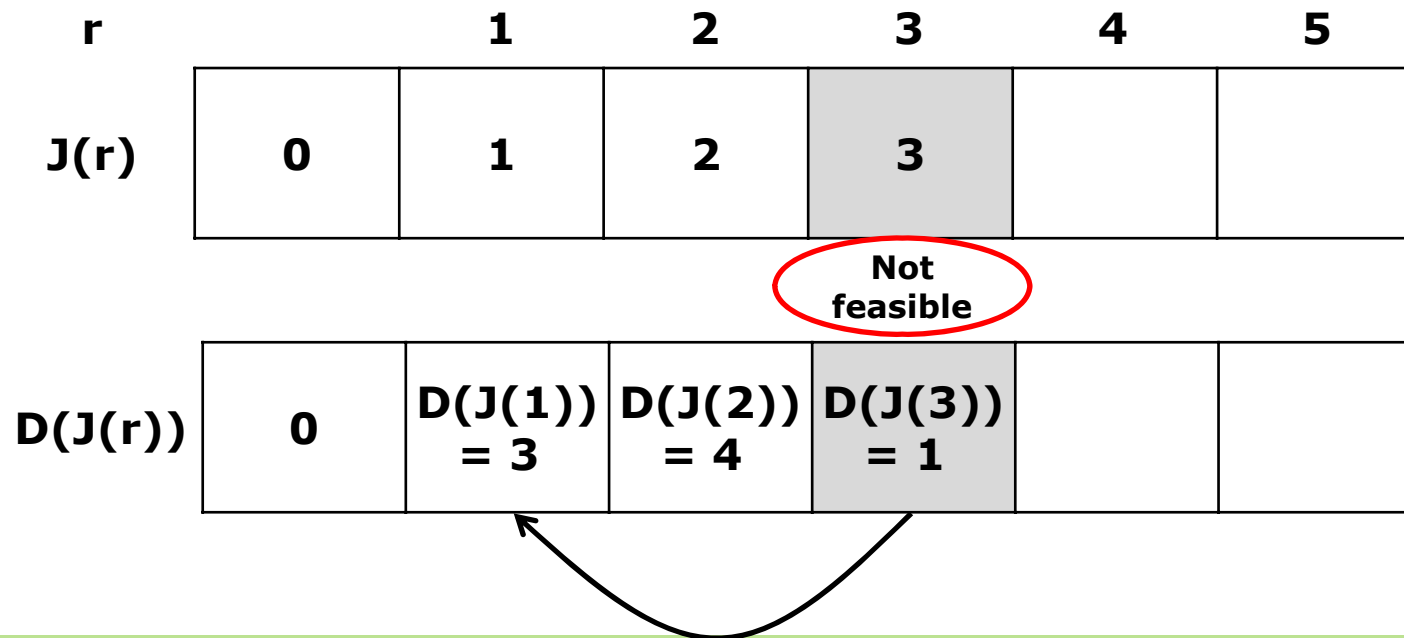
<b>r</b>		<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
<b>J(r)</b>	<b>0</b>	<b>1</b>	<b>2</b>			
			feasible			
<b>D(J(r))</b>	<b>0</b>	<b>D(J(1)) =3</b>	<b>D(J(2)) =4</b>			

## 3.4 Job sequencing with deadline

- Example:

–  $n = 5$ ,

$$(p_1, p_2, p_3, p_4, p_5) = (20, 15, 10, 5, 1),$$
$$(d_1, d_2, d_3, d_4, d_5) = (3, 4, 1, 2, 5)$$



## 3.4 Job sequencing with deadline

- Example:

–  $n = 5$ ,

$$(p_1, p_2, p_3, p_4, p_5) = (20, 15, 10, 5, 1),$$
$$(d_1, d_2, d_3, d_4, d_5) = (3, 4, 1, 2, 5)$$

<b>r</b>		<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
<b>J(r)</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>2</b>		
				feasible		
<b>D(J(r))</b>	<b>0</b>	<b>D(J(1)) = 1</b>	<b>D(J(2)) = 3</b>	<b>D(J(3)) = 4</b>		

## 3.4 Job sequencing with deadline

- Example:

–  $n = 5$ ,

$$(p_1, p_2, p_3, p_4, p_5) = (20, 15, 10, 5, 1),$$
$$(d_1, d_2, d_3, d_4, d_5) = (3, 4, 1, 2, 5)$$

<b>r</b>		<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
<b>J(r)</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>2</b>	<b>4</b>	
					<b>Not feasible</b>	
<b>D(J(r))</b>	<b>0</b>	<b>D(J(1)) = 1</b>	<b>D(J(2)) = 3</b>	<b>D(J(3)) = 4</b>	<b>D(J(4)) = 2</b>	

## 3.4 Job sequencing with deadline

- Example:

–  $n = 5$ ,

$$(p_1, p_2, p_3, p_4, p_5) = (20, 15, 10, 5, 1),$$
$$(d_1, d_2, d_3, d_4, d_5) = (3, 4, 1, 2, 5)$$

$r$	1	2	3	4	5
$J(r)$	0	3	4	1	2
feasible					
$D(J(r))$	0	$D(J(1))$ = 1	$D(J(2))$ = 2	$D(J(3))$ = 3	$D(J(4))$ = 4

## 3.4 Job sequencing with deadline

- Example:

–  $n = 5$ ,

$$(p_1, p_2, p_3, p_4, p_5) = (20, 15, 10, 5, 1),$$
$$(d_1, d_2, d_3, d_4, d_5) = (3, 4, 1, 2, 5)$$

$r$	1	2	3	4	5
$J(r)$	0	3	4	1	2
					feasible
$D(J(r))$	0	$D(J(1))$ = 1	$D(J(2))$ = 2	$D(J(3))$ = 3	$D(J(4))$ = 4
					$D(J(5))$ = 5

## 3.5 Optimal merge pattern

- Problem:
  - Merge  $k$  files  $\rightarrow$  Various combinations of merging patterns
  - c.f. Merging two sorted files containing  $n$  and  $m$  records into one file takes  $O(n+m)$ .
  - Determine an optimal way to pairwise merge  $k$  sorted files together.

## 3.5 Optimal merge pattern

- Example:
  - X1, X2 and X3 are three sorted files of length 30, 20, and 10 records each.
  - Merge pattern 1:
    - Merge X1 & X2  $\rightarrow$  Y1 (50 steps)
    - Merge Y1 & X3  $\rightarrow$  Y2 (60 steps)
  - Merge pattern 2:
    - Merge X2 & X3  $\rightarrow$  Y1 (30 steps)
    - Merge Y1 & X1  $\rightarrow$  Y2 (60 steps)
  - Compare the time required

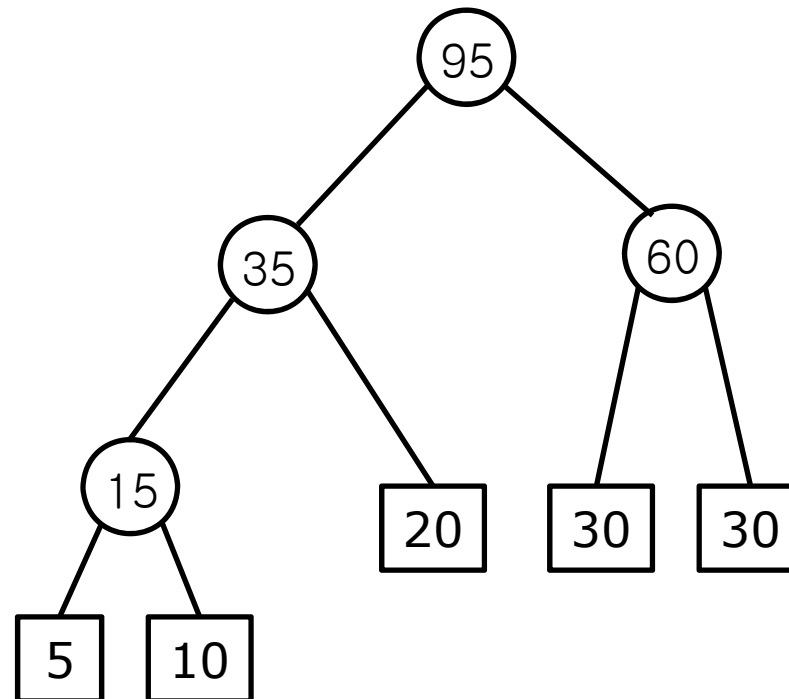


## 3.5 Optimal merge pattern

- Solution strategy:
  - 2-way merge pattern can be represented as a binary merge tree with minimum weighted external path length
  - Example:
    - Five patterns with (20, 30, 10, 5, 30)

## 3.5 Optimal merge pattern

- Solution strategy:
  - Corresponding binary tree



## 3.5 Optimal merge pattern

- Solution strategy:
  - If a pattern of length  $q_i$  is stored at a node whose depth is  $d_i$ , then the number of moves of the pattern is  $q_i d_i$ .
  - The total moves of the patterns is:

$$\sum_{1 \leq i \leq n} d_i q_i$$

- The weighted external path length of a tree

## 3.5 Optimal merge pattern

- Optimal merge pattern

```
int build_tree ( node *tree, int n, int L[] )
{
    for ( i = 1 to n-1 ) {
        tnode ← build_a_node ( );
        tnode->left ← least ( L );
        tnode->right ← least ( L );
        tnode->weight ← tnode->left->weight
                        + tnode->right->weight;
        insert ( tnode, tree );
    }

    return tree->weight;
}
```

## 3.6 Huffman encoding

- Example: The encoding process of MP3
  - Sample at regular rates
    - In CD, 44,100 samples per second
    - A 50 min-length symphony has  $50 \times 60 \times 44,100 \approx 130,000,000$  samples
  - Each sample is quantized
    - Each sample value is approximated by a nearby number from a finite set T.
  - The resulting string is encoded in binary

## 3.6 Huffman encoding

- Size of encoding
  - Estimating the size of encoding
    - Number of samples  $\times T$ .
    - If  $T = \{A, B, C, D\}$ , previous example has  $130,000,000 \times 2 \text{ bits} = 37.5\text{MByte}$
    - If  $T$  has  $M$  symbols, encoding for  $T$  requires  $(\log_2 M)$  bits.
  - How can we reduce the size of encoding?
    - Reduce sample rates
    - Reduce the length of alphabets in  $T$

## 3.6 Huffman encoding

- Reduce the length of alphabets in T
  - Greedy approach
  - Variable-length encoding

Alphabet	Frequency	Conventional	Variable-length
A	70M	00	0
B	3M	01	001
C	20M	10	10
D	37M	11	11

- The resulting size of encoding becomes

Type	Formula	Size
Conventional	130,000,000 X 2 bits	260M bits
Variable-length	70M X 1 bit + 57M X 2 bits + 3M X 3bits	193M bits

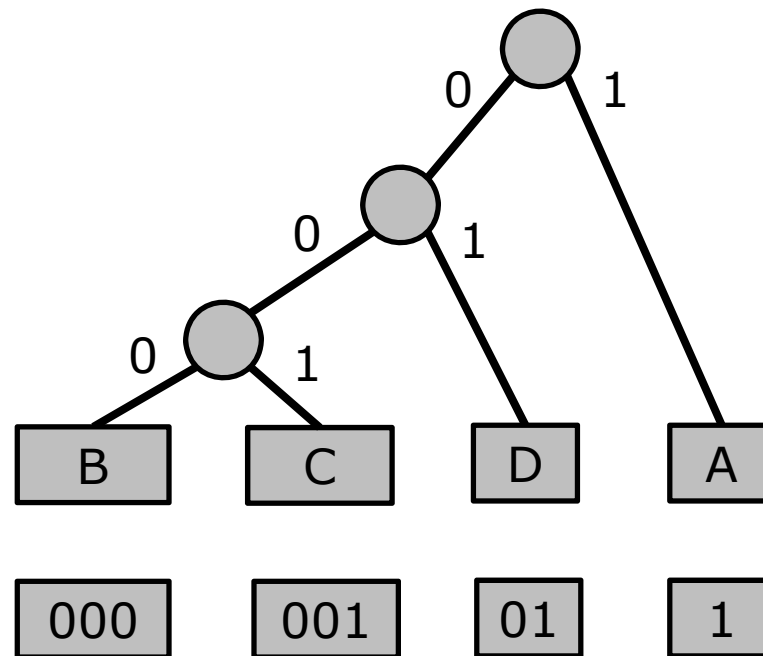
## 3.6 Huffman encoding

- Problem of previous encoding?
  - Ambiguity
  - What is 0010?
    - AAC or BA
  - How to avoid ambiguity?
    - Prefix-free encoding
      - No codeword can be a prefix of another codeword
      - Can be represented using full binary tree
- Huffman encoding
  - Variable-length & prefix-free encoding
  - Similar to optimal merge pattern algorithm



## 3.6 Huffman encoding

- Strategy
  - Similar to optimal merge pattern
  - Sort the symbols according to the increasing order of frequency



## 3.6 Huffman encoding

- Huffman encoding
  - Variable-length & prefix-free encoding

Alphabet	Frequency	Conventional	Variable-length	Huffman code
A	70M	00	0	1
B	3M	01	001	000
C	20M	10	10	001
D	37M	11	11	01

Type	Formula	Size
Conventional	$130,000,000 \times 2 \text{ bits}$	260M bits
Variable-length	$70\text{M} \times 1 \text{ bit} + 57\text{M} \times 2 \text{ bits} + 3\text{M} \times 3\text{bits}$	193M bits
Huffman code	$70\text{M} \times 1 \text{ bit} + 37\text{M} \times 2 \text{ bits} + 23\text{M} \times 3\text{bits}$	213M bits

# 3. Greedy algorithm

## 3.0 Basics

## 3.1 Minimum spanning trees

## 3.2 Optimal storage on tapes

## 3.3 Knapsack problem

## 3.4 Job sequencing with deadline

## 3.5 Optimal merge patterns

## 3.6 Huffman encoding

# Contents

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**0. Prologue**

**1. Divide & conquer**

**2. Graph**

**3. Greedy algorithm**

4. Dynamic programming