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第6章 MATLAB符号运算

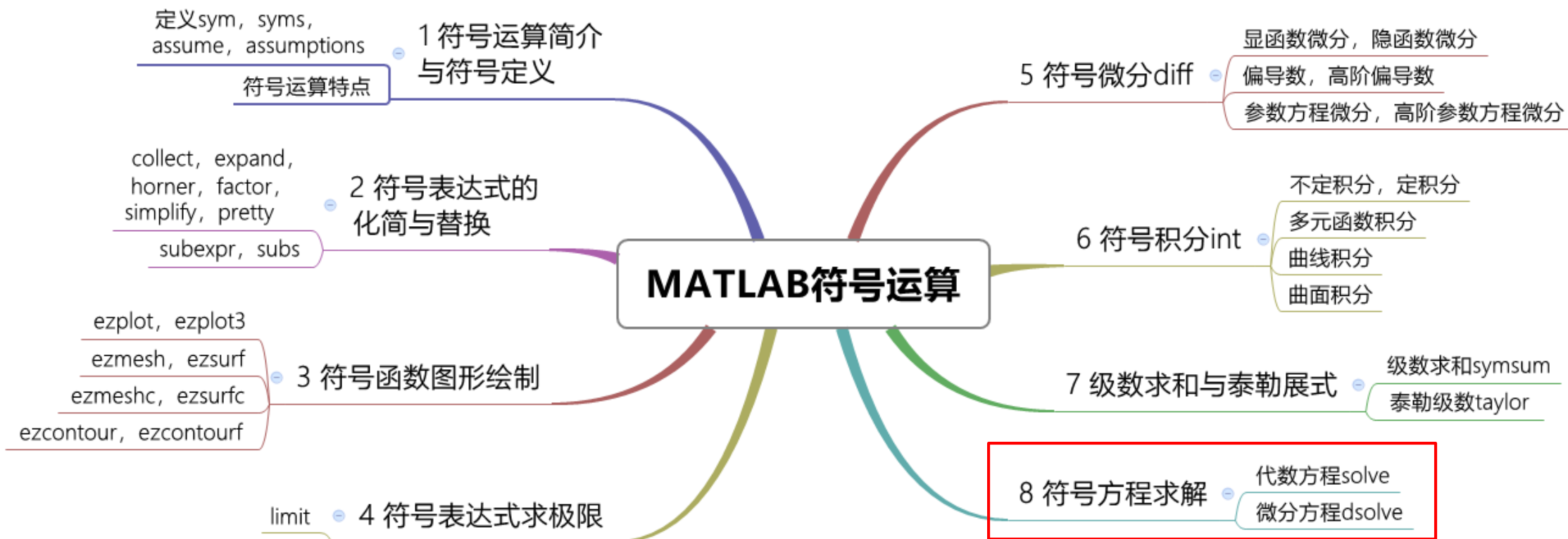


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第7章 MATLAB符号运算思维导图



符号运算与数值运算的区别：数值计算的表达式、矩阵变量中**不允许有未定义**的自由变量，而符号计算**可以含有未定义的符号变量**。符号计算存放的是精确数据，耗存储空间，运行速度慢，但结果精度高；数值计算则是以一定精度来计算的，计算结果有误差，但是运行速度快。

1. 代数方程符号求解函数介绍

代数方程包括线性方程、非线性方程和超越方程等。函数 `solve`：用于求解代数方程和方程组，其调用格式如下：

- `S = solve(eqn,var)`，求解方程 `eqn` 的解，对指定自变量求解。
- `S = solve(eqns, Name, Value)`，求由方程 `eqns` 组成的系统，uses additional options specified by one or more `Name, Value` pair arguments；
- `[y1,...,yN,parameters,conditions] = solve(eqns,vars,'ReturnConditions',true)`，returns the additional arguments `parameters` and `conditions` that specify the parameters in the solution and the conditions on the solution. 。

2. 求解代数方程

例1: 求解代数方程 (非线性, 超越)

$$(1) \frac{1}{(x+1)} + \frac{4x}{x^2-4} = 1 + \frac{2}{x-2}; \quad (2) x - (x^3 - 4x - 7)^{\frac{1}{3}} = 1;$$

$$(3) x + xe^x - 10 = 0; \quad (4) 2\sin\left(3x - \frac{\pi}{4}\right) = 1$$

```
>> syms x
>> eq1 = 1/(x+1) + 4*x/(x^2-4) == 1 + 2/(x-2);
>> sol1 = solve(eq1,x) %sol1 = 2^(1/2) -2^(1/2)
>> eq2 = x-(x^3-4*x-7)^(1/3) == 1;
>> sol2 = solve(eq3,x) %sol2 = 3
>> eq3 = x+x*exp(x)-10 == 0;
>> sol3 = solve(eq4,x) % vpasolve(eq4,x)
sol3 = 1.6335061701558463841931651789789
```

```
>> eq4 = 2*sin(3*x-pi/4) == 1;
>> [solx,parameters,conditions] =
solve(eq4,x,'ReturnConditions',true)
solx =
(5*pi)/36 + (2*pi*k)/3
(13*pi)/36 + (2*pi*k)/3
parameters =
k
conditions =
in(k, 'integer')
in(k, 'integer')
```

2. 求解代数方程

例2：具有特殊要求的代数方程求解

$$(1) e^{\ln x \ln 3x} - 4 = 0; \quad (2) x^2 + 5x - 6 = 0; \quad (3) x^3 + x^2 + a = 0; \quad (4) \sin x + \cos 2x = 1$$

```
>> syms x
```

```
>> eqn = exp(log(x)*log(3*x)) == 4;
```

```
>> S = solve(eqn,x)
```

警告: Cannot solve symbolically. Returning a numeric approximation instead.

```
S = - 14.009379055223370038369334703094 -
```

```
2.9255310052111119036668717988769i
```

```
>> S = solve(eqn,x,'IgnoreAnalyticConstraints',true) %忽略分析约束
```

```
S =
```

```
(3^(1/2)*exp(-(log(256) + log(3)^2)^(1/2)/2))/3
```

```
(3^(1/2)*exp((log(256) + log(3)^2)^(1/2)/2))/3
```

```
>> syms x positive
```

```
>> eqn = x^2 + 5*x - 6 == 0;
```

```
>> S = solve(eqn,x)
```

```
S = 1
```

%忽略设定的假设

```
>> S = solve(eqn,x,'IgnoreProperties',true)
```

```
S = -6 1
```

```
>> assume(x,'clear') %消除假设，以便影响  
其他方程求解
```

2. 求解代数方程

例2：具有特殊要求的代数方程求解

$$(1) e^{\ln x \ln 3x} - 4 = 0; \quad (2) x^2 + 5x - 6 = 0; \quad (3) x^3 + x^2 + a = 0; \quad (4) \sin x + \cos 2x = 1$$

```
>> syms x a
```

```
>> eqn = x^3 + x^2 + a == 0;
```

```
>> S = solve(eqn, x)
```

```
S =
```

```
root(z^3 + z^2 + a, z, 1)
```

```
root(z^3 + z^2 + a, z, 2)
```

```
root(z^3 + z^2 + a, z, 3)
```

%解的结果以root形式给出，设置MaxDegree参数获得显式解

```
>> S = solve(eqn, x, 'MaxDegree', 3)
```

```
>> Sval = vpa(subs(S,a,1),5) %数值化显示三个虚根
```

```
>> syms x
```

```
eqn = sin(x) + cos(2*x) == 1;
```

```
S = solve(eqn,x)
```

```
S =
```

```
0
```

```
pi/6
```

```
(5*pi)/6
```

%通过将“PrincipalValue”设置为true，只选择一个解。

```
>> S1 = solve(eqn,x,'PrincipalValue',true)
```

```
S1 =
```

```
0
```

3. 求解代数方程组

例3：求解下列三个方程组（非线性，超越）

$$(1) \begin{cases} x^2 + y^2 = 5 \\ 2x^2 - 3xy - 2y^2 = 0 \\ x, y > 0 \end{cases} \quad (2) \begin{cases} \sin x + y^2 + \ln z = 7 \\ 3x + 2^y - z^3 + 1 = 0 \\ x + y + z = 5 \end{cases}$$

```
>> syms x y z
```

```
>> eqn = [sin(x)+y^2+log(z)-7 == 0, 3*x+2^y-z^3+1 == 0, x+y+z-5 == 0];
```

```
>> sol = solve(eqn,[x,y,z]); %vpasolve(eqn,[x,y,z])
```

```
sol.x = 5.1004127298867761621009050441017
```

```
sol.y = -2.6442371270278301895646143811868
```

```
sol.z = 2.543824397141054027463709337085
```

```
>> eqn = [x^2 + y^2 - 5 == 0, 2*x^2 - 3*x*y - 2*y^2 == 0];
```

```
>> sol = solve(eqn,[x,y])
```

```
sol = 包含以下字段的 struct: x: [4×1 sym] y: [4×1 sym]
```

```
>> eqn = [x^2 + y^2 - 5 == 0, 2*x^2  
- 3*x*y - 2*y^2 == 0, x > 0, y > 0];
```

```
>> sol =
```

```
solve(eqn,[x,y],'ReturnConditions',true)
```

```
sol =
```

包含以下字段的 struct:

x: [1×1 sym]

y: [1×1 sym]

parameters: [1×0 sym]

conditions: [1×1 sym]

```
sol.x = 2
```

```
sol.y = 1
```

例4：抛物面 $z = x^2 + y^2$ 被平面 $x + y + z = 1$ 截成一个椭圆，求椭圆到原点的最长与最短距离。

解：这个问题实际上就是求函数 $f(x, y, z) = x^2 + y^2 + z^2$ 在条件 $z = x^2 + y^2$ 及 $x + y + z = 1$ 下的最大值和最小值问题。构造Lagrange函数

$$L(x, y, z) = x^2 + y^2 + z^2 + \lambda(x^2 + y^2 - z) + \mu(x + y + z - 1)$$

```
>> syms x y z u v
```

```
>> L = x^2+y^2+z^2+u*(x^2+y^2-z)+v*(x+y+z-1);
```

```
>> Lx = diff(L,x); Ly = diff(L,y); Lz = diff(L,z); Lu = diff(L,u); Lv = diff(L,v);
```

```
>> eqn = [Lx == 0, Ly == 0, Lz == 0, Lu == 0, Lv == 0];
```

```
>> sol = solve(eqn,[x,y,z,u,v])
```

```
>> for i = 1:4
```

```
    Lval(i) = vpa(subs(subs(subs(subs(subs(L,x,sol.x(i)),y,sol.y(i)),z,sol.z(i)),u,sol.u(i)),v,sol.v(i)),5);
```

```
end
```

Lval = [17.66, -0.25, -0.25, 0.33975]

%在实数意义上的最大点和最小点

Xmax =

[-1.366, -1.366, 3.7321, -5.8868, -13.351]

Xmin =

[0.36603, 0.36603, 0.26795, -0.11325, -0.64915]

4. 微分方程符号求解

- $S = \text{dsolve}(\text{eqn}, \text{cond}, \text{Name}, \text{Value})$: 该函数用于求解常微分方程。

例5：求解微分方程的通解。

$$(1) \frac{dy}{dx} = \frac{x^2 + y^2}{2x^2}; \quad (2) x^2 \frac{dy}{dx} + 2xy - e^x = 0; \quad (3) \frac{dy}{dx} = \frac{x}{y\sqrt{1-x^2}}; \quad (4) y^{(4)} - 2y''' + 5y'' = 0$$

```
>> syms x y(x)
>> eqn = diff(y,x) == (x^2+y^2)/2/x^2;
>> S = dsolve(eqn)
S =
    x,  -x*(1/(C + log(x)/2) - 1)
>> eqn2 = x^2*diff(y,x)+2*x*y-exp(x) == 0;
>> S2 = dsolve(eqn2)
S2 =
    -(C - exp(x))/x^2
```

```
>> eqn3 = diff(y,x) == x/y/sqrt(1-x^2);
>> S3 = dsolve(eqn3)
S3 =
    2^(1/2)*(C - (1 - x^2)^(1/2))^(1/2)
    -2^(1/2)*(C - (1 - x^2)^(1/2))^(1/2)
>> eqn4 = diff(y,x,4) - 2*diff(y,x,3) + 5*diff(y,x,2) == 0;
>> S4 = dsolve(eqn4)
S4 =
    (2*C1)/25 + C2 + (C1*x)/5 + C2*cos(2*x)*exp(x) + C3*sin(2*x)*exp(x)
```

5. 微分方程符号求解——初值条件

例6：求微分方程的特解。

$$(1) \frac{dy}{dx} = 2xy^2, \quad y(0) = 1; \quad (2) \frac{dy}{dx} = \frac{x^2}{1+y^2}, \quad y(2) = 1; \quad (3) \frac{dy}{dx} = ay, \quad y(0) = b;$$

$$(4) \frac{d^2y}{dx^2} = a^2y, \quad y(0) = 1, \quad y'(\frac{\pi}{a}) = 0; \quad (5) \left(\frac{dy}{dx}\right)^2 + y^2 = 1, \quad y(0) = 0.$$

```
>> syms x y(x) a b
```

```
>> eqn1 = diff(y,x) == 2*x*y^2;
```

```
>> cond1 = y(0) == 1;
```

```
>> ySol(x) = dsolve(eqn1,cond1)
```

```
ySol(x) =
```

```
-1/(x^2 - 1)
```

```
>> eqn2 = diff(y,x) == x^2/(1+y^2);
```

```
>> cond2 = y(2) == 1;
```

```
>> ySol2(x) = dsolve(eqn2,cond2)
```

```
ySol2(x) =
```

```
((x^3/2 - 2)^2 + 1)^(1/2) + x^3/2 - 2)^(1/3) - 1/(((x^3/2 - 2)^2  
+ 1)^(1/2) + x^3/2 - 2)^(1/3)
```

5. 微分方程符号求解——初值条件

例6：求微分方程的特解。

$$(1) \frac{dy}{dx} = 2xy^2, \quad y(0) = 1; \quad (2) \frac{dy}{dx} = \frac{x^2}{1+y^2}, \quad y(2) = 1; \quad (3) \frac{dy}{dx} = ay, \quad y(0) = b;$$

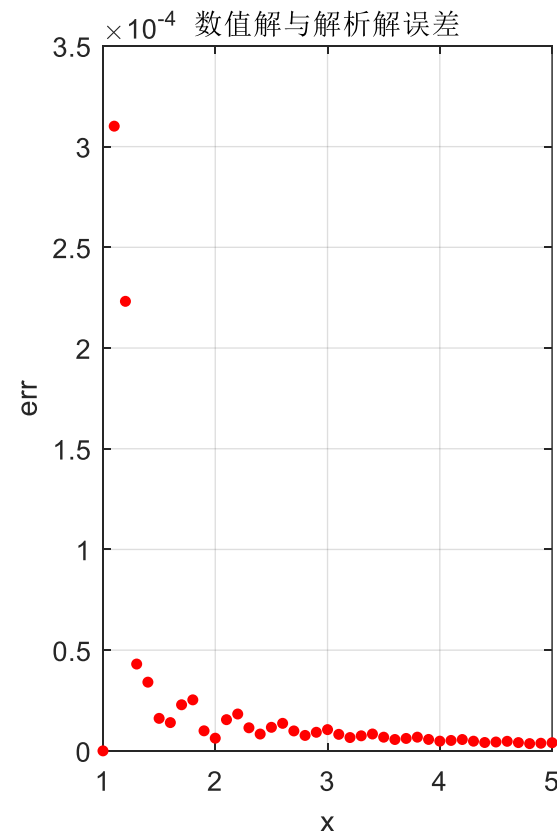
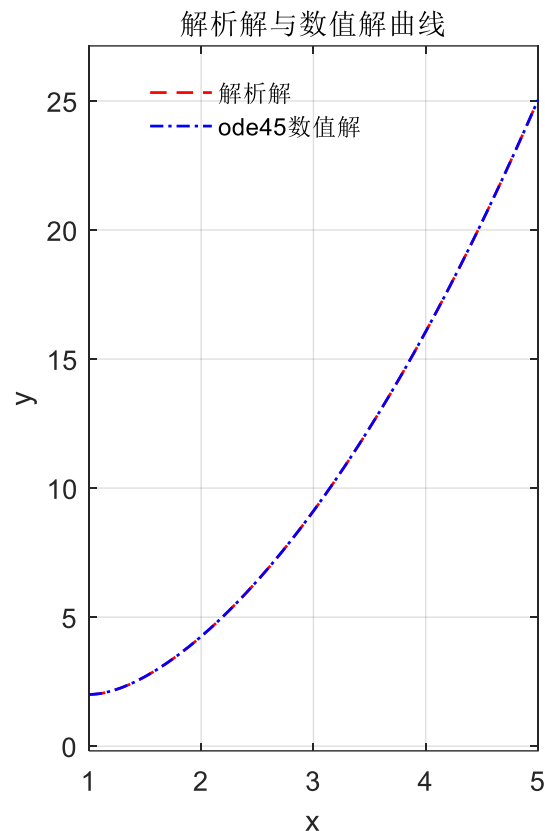
$$(4) \frac{d^2y}{dx^2} = a^2y, \quad y(0) = 1, \quad y'(\frac{\pi}{a}) = 0; \quad (5) \left(\frac{dy}{dx}\right)^2 + y^2 = 1, \quad y(0) = 0.$$

```
>> eqn4 = diff(y,x,2) == a^2*y;  
>> Dy = diff(y,x);  
>> cond4 = [y(0) == 1,Dy(pi/a) == 0];  
>> ySol4(x) = dsolve(eqn4,cond4)  
ySol4(x) =  
exp(a*x)/(exp(2*pi) + 1) + (exp(2*pi)*exp(-a*x))/(exp(2*pi) + 1)
```

```
>> eqn5 = diff(y,x)^2+y^2 == 1;  
>> cond5 = y(0) == 0;  
>> ySol5(x) = dsolve(eqn5,cond5)  
ySol5(x) =  
-(exp(- x*1i - (pi*1i)/2)*(exp(x*2i) - 1))/2  
-(exp(x*1i - (pi*1i)/2)*(exp(-x*2i) - 1))/2
```

例7：用微分方程的数值解法和符号解法解方程 $\frac{dy}{dx} = -\frac{2y}{x} + 4x$, $y(1) = 2$

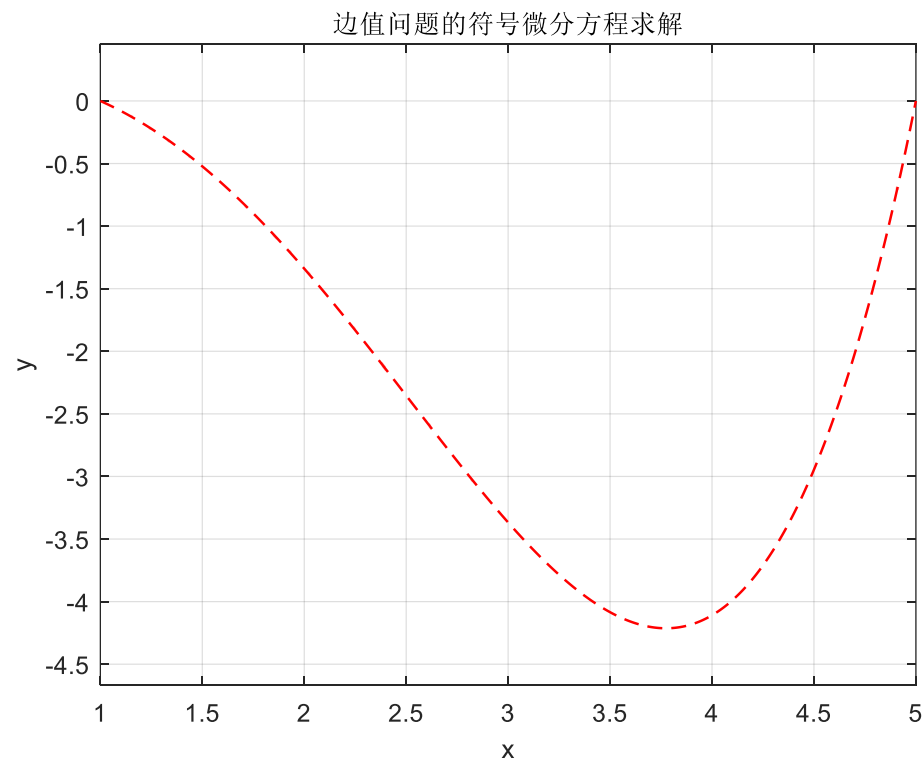
```
>> sol = dsolve('Dy+2*y/x-4*x','y(1)=2','x')  
>> fh = @(x,y)(4*x^2-2*y)/x;  
>> [t,y]=ode45(fh,[1,5],2);  
>> subplot(1,2,1);  
>> h = ezplot(sol,[1,5]);  
>> set(h,{'Color','LineStyle','LineWidth'},{'r','--',1})  
>> grid on; hold on  
>> plot(t,y,'b-.','LineWidth',1)  
>> subplot(1,2,2)  
>> soly = subs(sol,t);  
>> err = abs(soly-y);  
>> plot(t,err,'r.','MarkerSize',12)
```



6. 微分方程符号求解——边值条件

例8：求微分方程边值问题 $x \frac{d^2 y}{dx^2} - 3 \frac{dy}{dx} = x^2$, $y(1) = 0, y(5) = 0$

```
>> syms x y(x)
>> eqn = x*diff(y,x,2)-3*diff(y,x) == x^2;
>> cond = [y(1) == 0, y(5) == 0];
>> sol = dsolve(eqn,cond)
sol =
(31*x^4)/468 - x^3/3 + 125/468
>> h = ezplot(sol,[1,5]);
>> set(h,{'Color','LineStyle','LineWidth'},{'r','--',1})
>> grid on
>> title('边值问题的符号微分方程求解')
```



微分方程符号求解——未得到显式解

例9：求下列隐式微分方程组的解：

$$\begin{cases} x'' \sin(y') + (y'')^2 = -2xy + xx''y' \\ xx''y'' + \cos(y'') = 3x'y \end{cases}$$

初值条件： $x(0) = 1, x'(0) = 0, y(0) = 0, y'(0) = 1$

```
>> syms t x(t) y(t)
>> D2x = diff(x,t,2); D2y = diff(y,t,2);
>> Dx = diff(x,t); Dy = diff(y,t);
>> eqns = [D2x*sin(Dy)+D2y^2 == -2*x*y+x*D2x*Dy,x*D2x*D2y+cos(D2y) == 3*Dx*y];
>> cond = [x(0) == 1,Dx(0)==0,y(0)==0,Dy(0) == 1];
>> sol = dsolve(eqns,cond)
```

警告: Explicit solution could not be found.

> In dsolve (line 201)

sol =

[empty sym]

If dsolve cannot find an explicit or implicit solution, then dsolve issues a warning and returns an empty sym. Returning an empty symbolic object does not mean that no solutions exist.

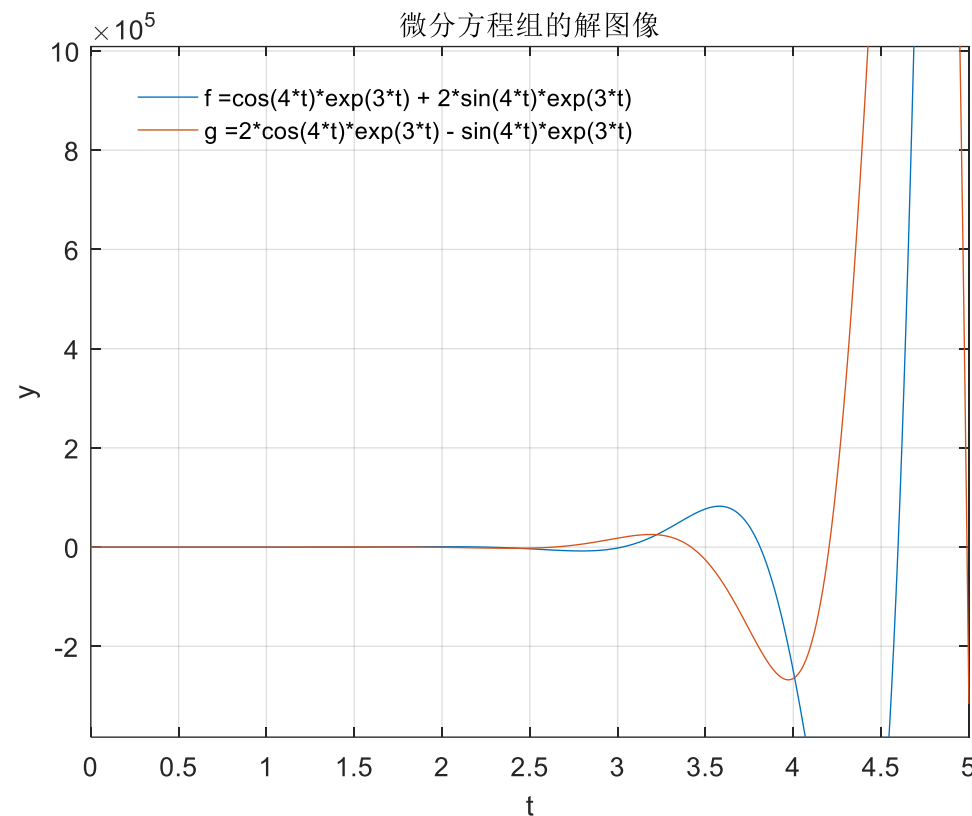
7. 微分方程组符号求解



例10：求解微分方程组

$$\begin{cases} \frac{df}{dt} = 3f + 4g \\ \frac{dg}{dt} = -4f + 3g \end{cases}, \text{其中 } f(0) = 1, g(0) = 2$$

```
>> syms t f(t) g(t)
>> eqns = [diff(f,t) == 3*f+4*g, diff(g,t) == -4*f+3*g];
>> cond = [f(0) == 1, g(0) == 2];
>> [f,g] = dsolve(eqns,cond)
    f = cos(4*t)*exp(3*t) + 2*sin(4*t)*exp(3*t)
    g = 2*cos(4*t)*exp(3*t) - sin(4*t)*exp(3*t)
>> ezplot(f,[0,5]); hold on; ezplot(g,[0,5]); grid on
>> legend('f =cos(4*t)*exp(3*t) + 2*sin(4*t)*exp(3*t)','g =2*cos(4*t)*exp(3*t) - sin(4*t)*exp(3*t)')
>> title('微分方程组的解图像')
```



8. 复合方程

复合方程通过函数 `compose` 进行：

- `compose(f,g)`，返回函数 $f(g(y))$ ，其中 $f = f(x)$ ， $g = g(y)$ ， x 是 f 的默认自变量， y 是 g 的默认自变量；
- `compose(f,g,z)`，返回函数 $f(g(z))$ ，自变量为 z ；
- `compose(f,g,x,z)`，返回函数 $f(g(z))$ ，指定 f 的自变量为 x ；
- `compose(f,g,x,y,z)`，返回函数 $f(g(z))$ ， f 和 g 的自变量分别指定为 x 和 y 。

例11：复合下列函数

$$f = \frac{1}{1+x^2}, \quad g = \sin y, \quad h = x^t, \quad p = e^{-\frac{y}{u}}$$

3. 复合方程

```
>> syms x y z t u
>> f = 1/(1 + x^2);
>> g = sin(y);
>> h = x^t;
>> p = exp(-y/u);
>> a = compose(f,g) %默认自变量
```

```
a =
    1/(sin(y)^2 + 1)
```

```
>> b = compose(f,g,t) %指定自变量为t
```

```
b =
    1/(sin(t)^2 + 1)
```

```
>> c = compose(h,g,x,z) %指定h的自变量为x, 且复合之后指定变量为z
```

```
c =
    sin(z)^t
```

```
>> e = compose(h,p,x,y,z) %指定h自变量为x, p自变量
为y, 且复合之后指定变量为z
```

```
e =
    exp(-z/u)^t
```

```
>> f = compose(h,p,t,u,z) %指定h自变量为t, p自变量
为u, 且复合之后指定变量为z
```

```
f =
    x^exp(-y/z)
```

9. 反方程



反方程通过函数 `finverse` 求得：

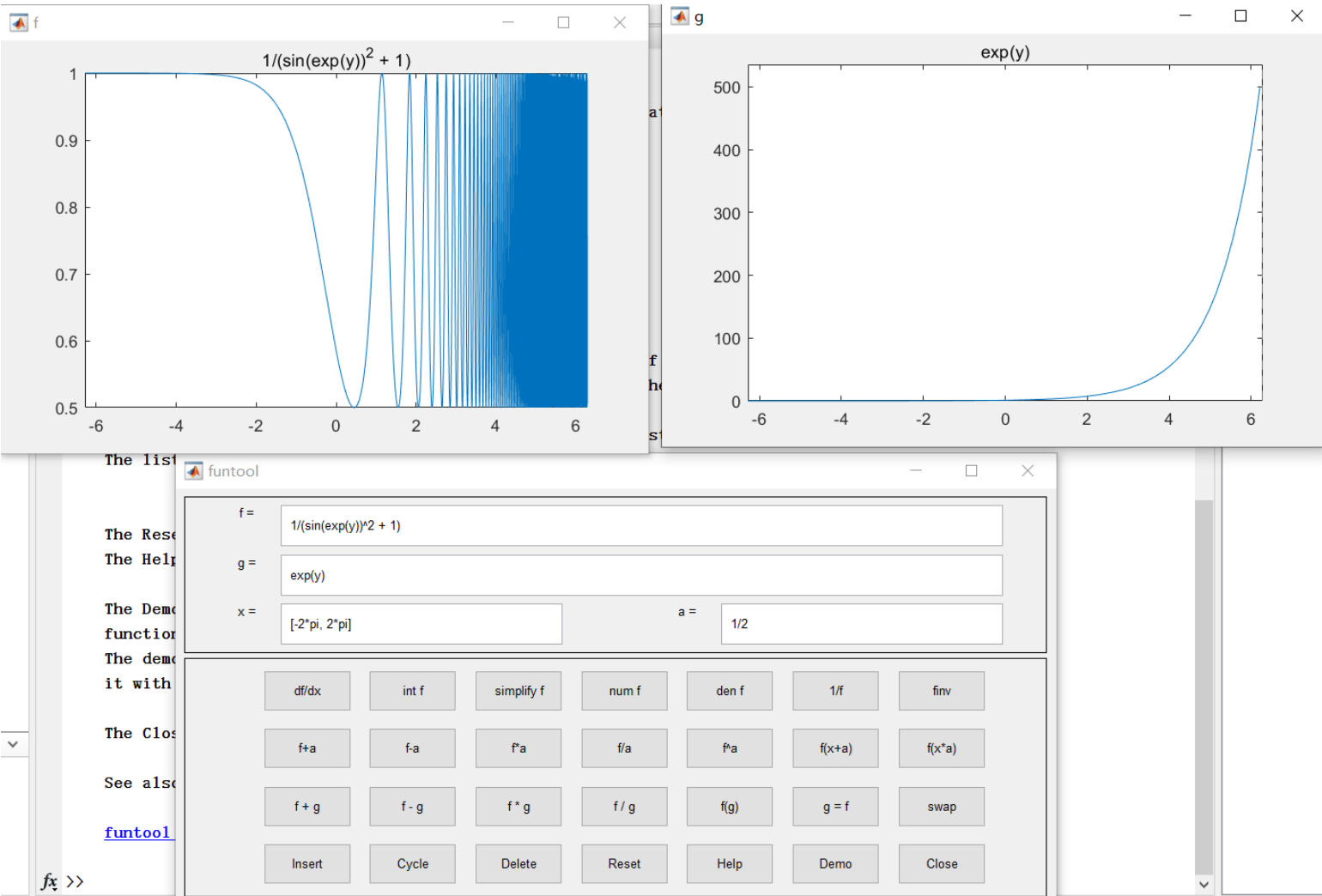
- `g = finverse(f)`，在函数 f 的反函数存在的情况下，返回函数 f 的反函数，自变量为默认自变量；
- `g = finverse(f,v)`，在函数 f 的反函数存在的情况下，返回函数 f 的反函数，自变量为 v 。

例12：求函数 $f(x) = \frac{1}{\tan x}$ ， $g(u,v) = e^{u-2v}$ 的反函数

```
>> syms x u v
>> fh1 = 1/tan(x);
>> fh2 = exp(u-2*v);
>> ffh1 = finverse(fh1)
ffh1 = atan(1/x)
```

```
>> ffh2 = finverse(fh2,u)
ffh2 = 2*v + log(u)
>> ffh3 = finverse(fh2,v)
ffh3 = u/2 - log(v)/2
```

- 在命令窗口中执行 **funtool** 即可调出单变量符号函数计算器。





感谢聆听
