CONFIDENTIAL

 $\label{eq:continuous_series} Tr\text{-}cmv \text{ in low birth-weight infants} \\ TT\text{-}cmv \text{ Study}$

Monthly Report October 1, 2010

Includes patients enrolled and data received as of 9/31/10

TTCMV Monthly Report

Table of Contents

Table 1: Cumulative Patient Screening and Enrollment	3
Table 2: Patients Recruited by Month, 2010	
Figure 1: Recruitment Curve - All Sites	4
Figure 2: Recruitment Curve - By Site	5
Table 3: Patient Screening and Enrollment by Month, 2010	6
Table 4: Reasons patients ineligible at screening	
Table 5: Reasons patients eligible at screening were not enrolled	
Table 6: MOC CMV Serology Status Overall and by Site	
Table 7: Study Status Overall and by Site	8
Table 8: Weekly Summary Status Update for Discharged LBWIs	8
Table 9a: Expected and Received Case Report Forms (CRFs)by Site and Overall	9
Table 9b: Expected and Received Case Report Forms (CRFs) for EUHM Hospital	10
Table 9c: Expected and Received Case Report Forms (CRFs) for Grady Hospital	11
Table 9d: Expected and Received Case Report Forms (CRFs) for Northside hospital	12
Table 10: LBWI Race/Ethnic Characteristics by Center	13
Table 11: pRBC Transfusions for LBWI who completed study	14
Table 12: Platelet Transfusions for LBWI who completed study	14
Table 13: FFP Transfusions for LBWI who completed study	

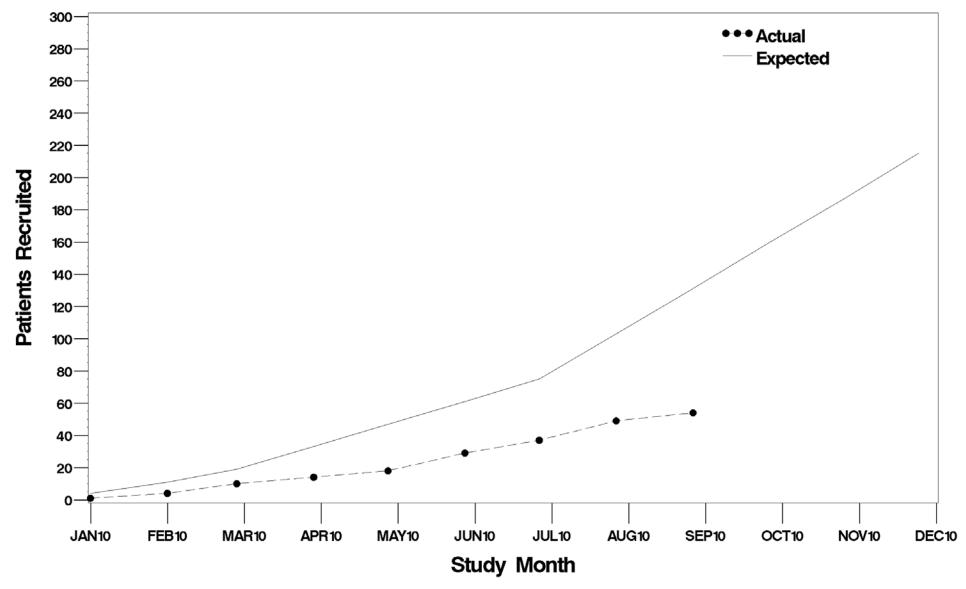
Table 1: Cumulative Patient Screening and Enrollment

Hospital	No. Screened	No. Eligible (% of screened)	No. Enrolled (% of eligible)
Midtown	47	34/47 (72.3%)	19/34 (55.9%)
Grady	53	36/53 (67.9%)	23/36 (63.9%)
Northside	30	21/30 (70.0%)	12/21 (57.1%)
OVERALL	130	91/130 (70.0%)	54/91 (59.3%)

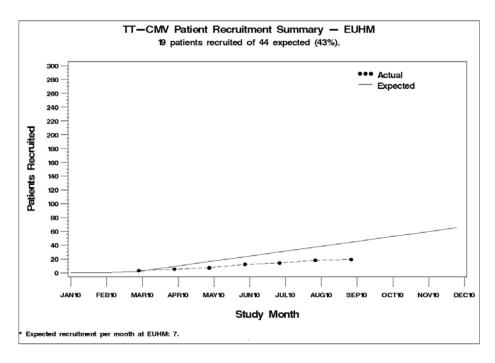
Table 2: Patients Recruited by Month, 2010

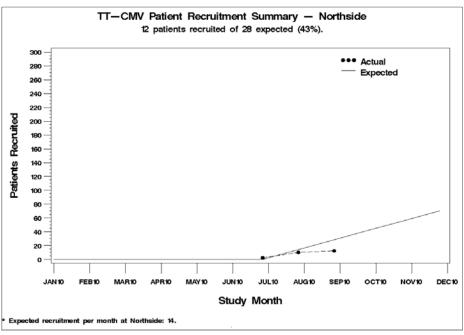
Month	Patients Recruited	Total Recruited
January	1	1
February	3	4
March	6	10
April	4	14
May	4	18
June	11	29
July	9	38
August	11	49
September	5	54

Figure 1: Recruitment Summary through 10/01/2010 54 patients recruited of 131 expected (41%).



^{*} Expected recruitment per month: Grady: 7; EUHM: 7; Northside: 14.





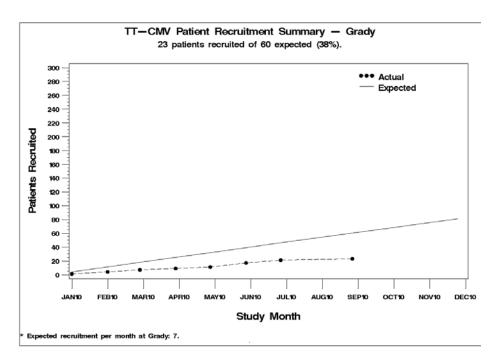


Table 3: Patient Screening and Enrollment by Month, 2010

Month	Hospital	No. Screened	No. Eligible (% of screened)	No. Enrolled (% of eligible)	No. Enrolled as % of monthly target
January	Grady	7	4/7 (57.1%)	1/4 (25.0%)	14%
February	Grady	7	6/7 (85.7%)	3/6 (50.0%)	43%
March	Midtown	3	3/3 (100%)	3/3 (100%)	43%
	Grady	5	3/5 (60.0%)	3/3 (100%)	43%
April	Midtown	9	2/9 (22.2%)	2/2 (100%)	29%
	Grady	6	3/6 (50.0%)	2/3 (66.7%)	29%
May	Midtown	7	7/7 (100%)	2/7 (28.6%)	29%
	Grady	3	2/3 (66.7%)	2/2 (100%)	29%
June	Midtown	9	7/9 (77.8%)	5/7 (71.4%)	71%
	Grady	7	6/7 (85.7%)	6/6 (100%)	86%
July	Midtown	8	6/8 (75.0%)	2/6 (33.3%)	29%
	Grady	5	4/5 (80.0%)	4/4 (100%)	57%
	Northside	3	3/3 (100%)	3/3 (100%)	21%
August	Midtown	8	6/8 (75.0%)	3/6 (50.0%)	43%
	Grady	3	1/3 (33.3%)	0/1 (0.0%)	0%
	Northside	13	10/13 (76.9%)	7/10 (70.0%)	50%
September	Midtown	3	3/3 (100%)	3/3 (100%)	43%
	Grady	10	7/10 (70.0%)	2/7 (28.6%)	29%
	Northside	14	8/14 (57.1%)	4/8 (50.0%)	29%

Table 4: Reasons patients ineligible at screening

Reason not eligible	Total number	Percent of total
1250g < Birthweight < 1500g	30	77
LBWI not within first 5 days of life	3	8
LBWI not expected to live past 7 days of life	5	13

Table 5: Reasons patients eligible at screening were not enrolled

Hospital	Patient ID	Reasons not enrolled
Grady	2000119	Mother not available
Grady	2000219	Mom refuses blood draw
Grady	2000619	Too much going on
Grady	2001119	Scared to participate in research
Grady	2001129	Scared to participate in research
Grady	2001219	No reason given
Grady	2002019	Mom does not read or understand English
Midtown	1001319	overwhelmed with triplets & 2 other children
Midtown	1001329	overwhelmed with triplets & 2 other children
Midtown	1001339	overwhelmed with triplets & 2 other children
Midtown	1001519	mother schizophrenic baby in dfacs custody
Midtown	1001529	mother schizophrenic baby in dfacs custody
Midtown	1001919	Mother not available
Midtown	1002119	No reason given
Midtown	1002219	too much going on
Midtown	1002419	uncomfortable w/blood test; already in one study
Midtown	1002429	uncomfortable w/blood test; already in one study
Midtown	1002519	Mother not available
Midtown	1002319	Too many research trials
Midtown	1003219	Mother not available
Midtown	1003319	Too many research trials
Grady	2003811	Mom unable to make medical decisions
Northside	3001719	Mother not available
Northside	3002119	No reason given
Northside	3002219	No reason given
Grady	2003919	Scared to participate in research
Grady	2004219	Mother does not read English, only French.
Grady	2004529	too much going on in life
Grady	2004619	No reason given
Northside	3002819	No reason given
Northside	3002919	No reason given
Northside	3003219	No reason given
Northside	3003319	No reason given

Table 6: MOC CMV Serology Status Overall and by Site

	IgG/IgM Combo Test					IgM Test *			
Site	Positive	Negative	% Positive	 	Positive	Negative	% Positive	 	
Overall	32	15	68.1%		1	31	3.1%		
EUHM	13	5	72.2%		0	13	0.0%	1	
Grady	13	7	65.0%		1	12	3.1%	1	
Northside	6	3	66.7%		0	6	0.0%	1	

^{*}Done only if combo test is positive

Table 7: Study Status Overall and by Site

Site	LBWI On Study n/N(%)	LBWI Completed Study n/N(%)	Number of MOC/LBWI Withdrawn n	All CRFs Received n/N(%)
Overall	15/54(28)	39/54(72)	2	29/39 (74)
EUHM	3/19(16)	16/19(84)	1	10/16 (63)
Grady	3/23(13)	20/23(87)		17/20 (85)
Northside	9/12(75)	3/12(25)	1	2/3 (67)

Table 8: Weekly Summary Status Update for Discharged LBWIs

Site	LBWI Any pRBC TX n/N(%)	LBWI Breast Milk Collected n/N(%)	LBWI Ever on Mechanical Vent n/N(%)	LBWI Transferred To Another Hospital n/N(%)	LBWI with Tx Reaction n/N(%)	LBWI with Unexpected SAEs n/N(%)
Overall	23/37(62)	30/37(81)	29/37(78)	4/37(11)	0/37(0)	0/37(0)
EUHM	7/15(47)	14/15(93)	9/15(60)	1/15(7)	0/15(0)	0/15(0)
Grady	16/20(80)	14/20(70)	18/20(90)	3/20(15)	0/20(0)	0/20(0)
Northside	./2(.)	2/2(100)	2/2(100)	0/2(0)	0/2(0)	0/2(0)

Table 9 a: Expected and Received forms (CRFs) by Site and Overall

CRF Name	Expected CRF	Received CRF	Percent Received CRF	>25% Data Missing(%)	Out-of-window n(%)	Sample not Collected n(%)
MOC Demographics	50	48	96	n/a	n/a	n/a
MOC Blood Collection DOL 0	49	47	96	n/a	n/a	0(0)
MOC Sero Status	49	47	96	n/a	2(4.3)	n/a
MOC Blood Collection (end of study, seroneg)	13	11	85	n/a	n/a	2(18.2)
MOC NAT result (end of study, seroneg)	11	9	82	n/a	n/a	n/a
LBWI Demographics	58	55	95	n/a	n/a	n/a
LBWI Medical Review and Lab Results (Longitudinal)	399	374	94	n/a	22(5.9)	n/a
Anthropometric section	•		•	2	n/a	n/a
Lab section	•		•	5	n/a	n/a
LBWI SNAP on DOL 0	58	55	95	29	0(0)	n/a
LBWI SNAP II (Longitudinal)	339	316	93	6	1(0.3)	n/a
LBWI Urine Collection DOL 0	58	54	93	n/a	7(13.0)	1(1.9)
LBWI Urine NAT result DOL 0	57	53	93	n/a	n/a	n/a
LBWI Blood Collection DOL 0	58	55	95	n/a	0(0)	2(3.6)
LBWI Blood NAT result DOL 0	56	51	91	n/a	n/a	n/a
LBWI Blood Collection DOL 21	52	48	92	n/a	2(4.2)	12(25.0)
LBWI Blood NAT result DOL 21	40	37	90	n/a	n/a	n/a
LBWI Blood Collection DOL 40	44	41	93	n/a	1(2.4)	10(24.4)
LBWI Blood NAT result DOL 40	34	34	89	n/a	n/a	n/a
LBWI Blood Collection DOL 60	29	26	90	n/a	2(7.7)	6(23.1)
LBWI Blood NAT result DOL 60	23	23	88	n/a	n/a	n/a
LBWI Blood/Urine Collection DOL 90/EOS	38	35	92	n/a	0(0)	5(14.3)
LBWI Blood/Urine NAT result DOL 90/EOS	33	27	90	n/a	n/a	n/a
Concomitant Meds Log*	38	29	76	n/a	n/a	n/a
Mechanical Vent Log**	33	28	85	n/a	n/a	n/a

^{*:} Only for LBWI who completed study

^{**:} Only for LBWI who completed study and indicated use of ventilator on summary form.

Outside target window definitions: blood collection(>+/- 4days); SNAP-DOB (> 5days); SNAP2 (>+/- 2days); Lab review (>+/- 2days); MOC sero(>+/- 5 days) Urine Collection (>+/- 4 days)

Table 9 b: Expected and Received forms (CRFs) for EUHM Hospital

CRF Name	Expected CRF	Received CRF	Percent Received CRF	>25% Data Missing(%)	Out-of-window n(%)	Sample not Collected n(%)
MOC Demographics	18	18	100	n/a	n/a	n/a
MOC Blood Collection DOL 0	18	17	94	n/a	n/a	0(0)
MOC Sero Status	18	18	100	n/a	1(5.6)	n/a
MOC Blood Collection (end of study, seroneg)	6	5	83	n/a	n/a	1(20.0)
MOC NAT result (end of study, seroneg)	11	4	36	n/a	n/a	n/a
LBWI Demographics	21	20	95	n/a	n/a	n/a
LBWI Medical Review and Lab Results (Longitudinal)	145	141	97	n/a	0(0)	n/a
Anthropometric section				3	n/a	n/a
Lab section				3	n/a	n/a
LBWI SNAP on DOL 0	21	20	95	39	0(0)	n/a
LBWI SNAP II (Longitudinal)	122	118	97	2	1(0.8)	n/a
LBWI Urine Collection DOL 0	21	20	95	n/a	4(20.0)	1(5.0)
LBWI Urine NAT result DOL 0	57	19	33	n/a	n/a	n/a
LBWI Blood Collection DOL 0	21	20	95	n/a	0(0)	2(10.0)
LBWI Blood NAT result DOL 0	56	17	89	n/a	n/a	n/a
LBWI Blood Collection DOL 21	18	16	89	n/a	1(6.3)	12(75.0)
LBWI Blood NAT result DOL 21	40	7	78	n/a	n/a	n/a
LBWI Blood Collection DOL 40	17	16	94	n/a	0(0)	10(62.5)
LBWI Blood NAT result DOL 40	34	9	82	n/a	n/a	n/a
LBWI Blood Collection DOL 60	10	9	90	n/a	0(0)	6(66.7)
LBWI Blood NAT result DOL 60	23	7	88	n/a	n/a	n/a
LBWI Blood/Urine Collection DOL 90/EOS	16	15	94	n/a	0(0)	0(0)
LBWI Blood/Urine NAT result DOL 90/EOS	33	13	93	n/a	n/a	n/a
Concomitant Meds Log*	16	9	56	n/a	n/a	n/a
Mechanical Vent Log**	12	9	75	n/a	n/a	n/a

^{*:} Only for LBWI who completed study

**: Only for LBWI who completed study and indicated use of ventilator on summary form.

Outside target window definitions: blood collection(>+/- 4days); SNAP-DOB (> 5days); SNAP2 (>+/- 2days); Lab review (>+/- 2days); MOC sero(>+/- 5 days) Urine Collection (>+/- 4 days)

Table 9 c: Expected and Received forms (CRFs) for Grady Hospital

CRF Name	Expected CRF	Received CRF	Percent Received CRF	>25% Data Missing(%)	Out-of-window n(%)	Sample not Collected n(%)
MOC Demographics	20	19	95	n/a	n/a	n/a
MOC Blood Collection DOL 0	20	20	100	n/a	n/a	0(0)
MOC Sero Status	20	20	100	n/a	0(0)	n/a
MOC Blood Collection (end of study, seroneg)	6	6	100	n/a	n/a	1(16.7)
MOC NAT result (end of study, seroneg)	11	5	45	n/a	n/a	n/a
LBWI Demographics	23	23	100	n/a	n/a	n/a
LBWI Medical Review and Lab Results (Longitudinal)	168	168	100	n/a	4(2.4)	n/a
Anthropometric section				0	n/a	n/a
Lab section				7	n/a	n/a
LBWI SNAP on DOL 0	23	23	100	4	0(0)	n/a
LBWI SNAP II (Longitudinal)	145	145	100	2	0(0)	n/a
LBWI Urine Collection DOL 0	23	23	100	n/a	2(8.7)	0(0)
LBWI Urine NAT result DOL 0	57	23	40	n/a	n/a	n/a
LBWI Blood Collection DOL 0	23	23	100	n/a	0(0)	0(0)
LBWI Blood NAT result DOL 0	56	23	100	n/a	n/a	n/a
LBWI Blood Collection DOL 21	22	22	100	n/a	1(4.5)	0(0)
LBWI Blood NAT result DOL 21	40	21	100	n/a	n/a	n/a
LBWI Blood Collection DOL 40	20	20	100	n/a	1(5.0)	0(0)
LBWI Blood NAT result DOL 40	34	20	100	n/a	n/a	n/a
LBWI Blood Collection DOL 60	14	14	100	n/a	2(14.3)	0(0)
LBWI Blood NAT result DOL 60	23	16	100	n/a	n/a	n/a
LBWI Blood/Urine Collection DOL 90/EOS	18	18	100	n/a	0(0)	3(16.7)
LBWI Blood/Urine NAT result DOL 90/EOS	33	14	100	n/a	n/a	n/a
Concomitant Meds Log*	19	18	95	n/a	n/a	n/a
Mechanical Vent Log**	18	17	94	n/a	n/a	n/a

^{*:} Only for LBWI who completed study

**: Only for LBWI who completed study and indicated use of ventilator on summary form.

Outside target window definitions: blood collection(>+/- 4days); SNAP-DOB (> 5days); SNAP2 (>+/- 2days); Lab review (>+/- 2days); MOC sero(>+/- 5 days) Urine Collection (>+/- 4 days)

Table 9 d: Expected and Received forms (CRFs) for Northside hospital

CRF Name	Expected CRF	Received CRF	Percent Received CRF	>25% Data Missing(%)	Out-of-window n(%)	Sample not Collected n(%)
MOC Demographics	12	11	92	n/a	n/a	n/a
MOC Blood Collection DOL 0	11	10	91	n/a	n/a	0(0)
MOC Sero Status	11	9	82	n/a	1(11.1)	n/a
MOC Blood Collection (end of study, seroneg)	1			n/a	n/a	n/a
MOC NAT result (end of study, seroneg)	11			n/a	n/a	n/a
LBWI Demographics	14	12	86	n/a	n/a	n/a
LBWI Medical Review and Lab Results (Longitudinal)	86	65	76	n/a	18(27.7)	n/a
Anthropometric section				3	n/a	n/a
Lab section				5	n/a	n/a
LBWI SNAP on DOL 0	14	12	86	58	0(0)	n/a
LBWI SNAP II (Longitudinal)	72	53	74	28	0(0)	n/a
LBWI Urine Collection DOL 0	14	11	79	n/a	1(9.1)	0(0)
LBWI Urine NAT result DOL 0	57	11	19	n/a	n/a	n/a
LBWI Blood Collection DOL 0	14	12	86	n/a	0(0)	0(0)
LBWI Blood NAT result DOL 0	56	11	79	n/a	n/a	n/a
LBWI Blood Collection DOL 21	12	10	83	n/a	0(0)	0(0)
LBWI Blood NAT result DOL 21	40	9	82	n/a	n/a	n/a
LBWI Blood Collection DOL 40	7	5	71	n/a	0(0)	0(0)
LBWI Blood NAT result DOL 40	34	5	71	n/a	n/a	n/a
LBWI Blood Collection DOL 60	5	3	60	n/a	0(0)	0(0)
LBWI Blood NAT result DOL 60	23			n/a	n/a	n/a
LBWI Blood/Urine Collection DOL 90/EOS	4	2	50	n/a	0(0)	2(100)
LBWI Blood/Urine NAT result DOL 90/EOS	33			n/a	n/a	n/a
Concomitant Meds Log*	3	2	67	n/a	n/a	n/a
Mechanical Vent Log**	3	2	67	n/a	n/a	n/a

*: Only for LBWI who completed study

Outside target window definitions: blood collection(>+/- 4days); SNAP-DOB (> 5days); SNAP2 (>+/- 2days); Lab review (>+/- 2days); MOC sero(>+/- 5 days) Urine Collection (>+/- 4 days)

Table 10: LBWI Race/Ethnic Characteristics by Center

Is LBWI Hispanic=No

Center	Black M	Black F	White M	White F	American Indian M	American Indian F	Native Hawaiian M	Native Hawaiian F	Asian M	Asian F	Other M	Other F
EUHM	8	9	2	1	0	0	0	0	0	0	0	0
Grady	9	8	3	1	0	0	0	0	0	0	0	0
Northside	3	3	2	3	0	0	0	0	0	0	0	1

Is LBWI Hispanic=Yes

Center	Black M	Black F	White M	White F	Indian	American Indian F		Native Hawaiian F	Asian M	Asian F	Other M	Other F
Grady	0	0	2	0	0	0	0	0	0	0	0	0

Table 11: pRBC Transfusions for LBWI who completed study

	Overall (N = 39)	EUHM (N = 16)	Grady (N = 20)	Northside (N=3)
Num of pRBC Txs				
No red-cell transfusion - num of LBWI (%)	16 / 39(41.0)	9 / 16(56.3)	4 / 20(20.0)	3 / 3(100.0)
1 or more Tx - num of LBWI (%)	23 / 39(59.0)	7 / 16(43.8)	16 / 20(80.0)	
1 Tx - num of LBWI (%)	5 / 39(12.8)	3 / 16(18.8)	2/20(10.0)	
2 Tx - num of LBWI (%)	3 / 39(7.7)	2 / 16(12.5)	1 / 20(5.0)	
3 Tx - num of LBWI (%)	3 / 39(7.7)	1 / 16(6.3)	2/20(10.0)	
4 Tx - num of LBWI (%)	2/39(5.1)		2/20(10.0)	
5-6 Tx - num of LBWI (%)	3 / 39(7.7)		3 / 20(15.0)	
7-10 Tx - num of LBWI (%)	4/39(10.3)	1 / 16(6.3)	3 / 20(15.0)	
>10 Tx - num of LBWI (%)	3 / 39(7.7)		3 / 20(15.0)	

Table 12: Platelet Transfusions for LBWI who completed study

	Overall (N = 39)	EUHM (N = 16)	Grady (N = 20)	Northside (N=3)
Num of Platelet Txs				
N. 1. 1. 1. (1. DW) (6)	00 (00 (00 0)	4= (40(000)	40 (00 (00 0)	0 (0 (100 0)
No platelet transfusion - num of LBWI (%)	36 / 39(92.3)	15 / 16(93.8)	18 / 20(90.0)	3 / 3(100.0)
1 or more Platelet Tx - num of LBWI (%)	3 / 39(7.7)	1 / 16(6.3)	2 / 20(10.0)	
1 - 2 Platelet Tx - num of LBWI (%)	1 / 39(2.6)		1 / 20(5.0)	
3 - 5 Platelet Tx - num of LBWI (%)	1 / 39(2.6)	1 / 16(6.3)		
6 - 10 Platelet Tx - num of LBWI (%)	1 / 39(2.6)		1 / 20(5.0)	

Table 13: FFP Transfusions for LBWI who completed study

	Overall (N = 39)	EUHM (N = 16)	Grady (N = 20)	Northside (N = 3)
Num of FFP Txs				
No FFP transfusion - num of LBWI (%)	34 / 39(87.2)	15 / 16(93.8)	16 / 20(80.0)	3 / 3(100.0)
1 or more FFP Tx - num of LBWI (%)	5 / 39(12.8)	1 / 16(6.3)	4 / 20(20.0)	
1 - 2 FFP Tx - num of LBWI (%)	3/39(7.7)	1 / 16(6.3)	2/20(10.0)	
3 - 5 FFP Tx - num of LBWI (%)	1/39(2.6)		1 / 20(5.0)	
>10 FFP Tx - num of LBWI (%)	1/39(2.6)		1 / 20(5.0)	