Cluster for microbiome count data via K-means

Weijia Xiong

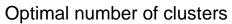
6/25/2020

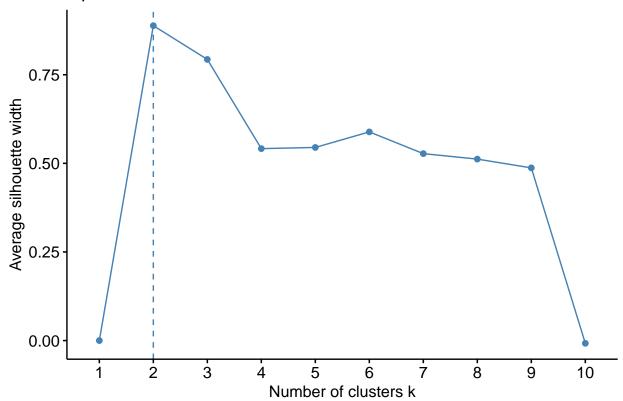
```
load("data/DiGiulio.RData")
otu_data = as.data.frame(DiGiulio$OTU) # 927 samples, 1271 OTU
taxonomy = DiGiulio$Taxonomy # 1271
sampledata = DiGiulio$SampleData # 927 samples, other covariates
otu_data_all=
  cbind(sampledata, otu_data) %>%
  mutate(
    Preg = as.factor(Preg),
    Subject = as.factor(Subject)
  ) %>%
  na.omit()
rownames(otu_data_all) = sampledata$SampleID
term =
  otu_data_all %>%
  filter(preterm == "Term")
preterm =
  otu_data_all %>%
  filter(preterm != "Term")
```

Term

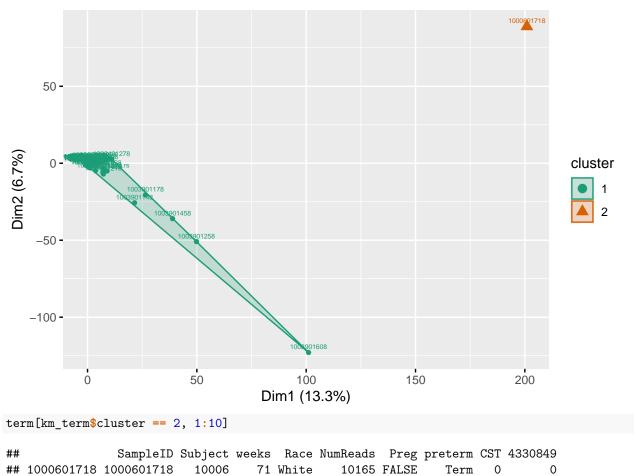
```
term_count =
  term %>%
  select(-SampleID, -Subject, -weeks, -Race, -NumReads, -Preg, -preterm, -CST)
ncol(term_count)
## [1] 1271
term_filter = term_count[,colSums(term_count) > 0] %>% scale()
ncol(term_filter)
## [1] 623
```

K-means cluster









Hierarchical clustering

4400869

##

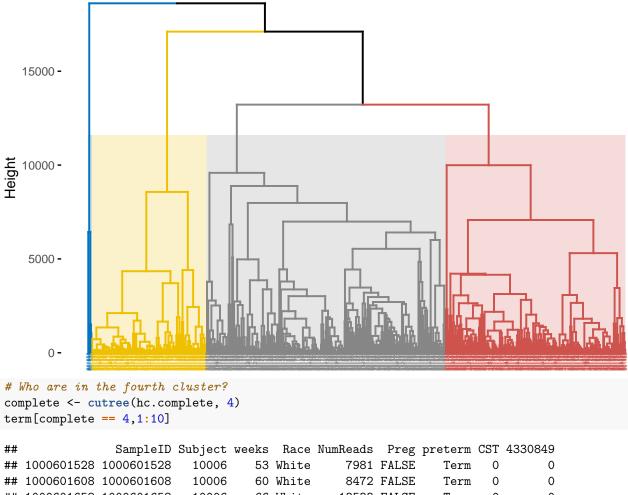
1000601718

We can also apply hierarchical clustering on this data. Here we use the Euclidean distance and different types of linkage.

```
dat1 = term_count
hc.complete <- hclust(dist(dat1), method = "complete")

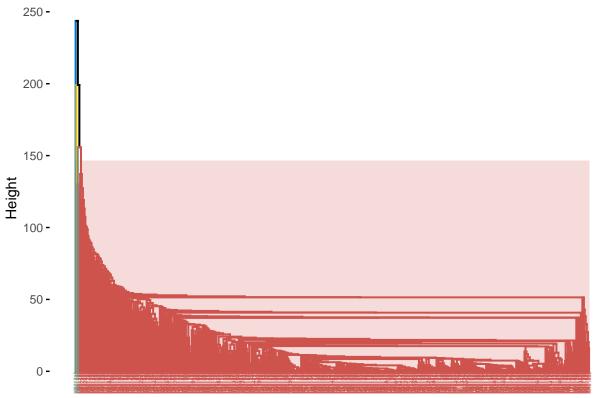
# distance.bray<-vegdist(dat1,method="bray",na.rm=TRUE)
# hc.bray<- hclust(distance.bray,method="complete")</pre>
```

The function fviz_dend() can be applied to visualize the dendrogram.



```
## 1000601658 1000601658
                           10006
                                     66 White
                                                                 Term
                                                                        0
                                                                                0
                                                 13533 FALSE
## 1000601718 1000601718
                                                                                0
                           10006
                                     71 White
                                                 10165 FALSE
                                                                 Term
                                                                        0
## 1004501308 1004501308
                           10045
                                     31 White
                                                  7152 TRUE
                                                                 Term
                                                                        0
##
              4400869
## 1000601528
                    0
## 1000601608
                    0
## 1000601658
                    0
## 1000601718
                    0
## 1004501308
                    0
```

After scaling and filtering



```
complete <- cutree(hc.complete, 4)</pre>
preterm[complete == 4,1:10]
      SampleID Subject weeks Race NumReads Preg preterm CST 4330849 4400869
          <NA>
                   <NA>
                           NA <NA>
                                          NA <NA>
```

<NA> NA

NA

NA

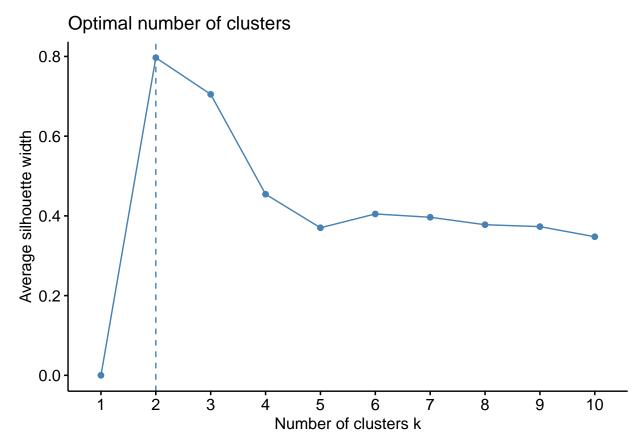
Preterm

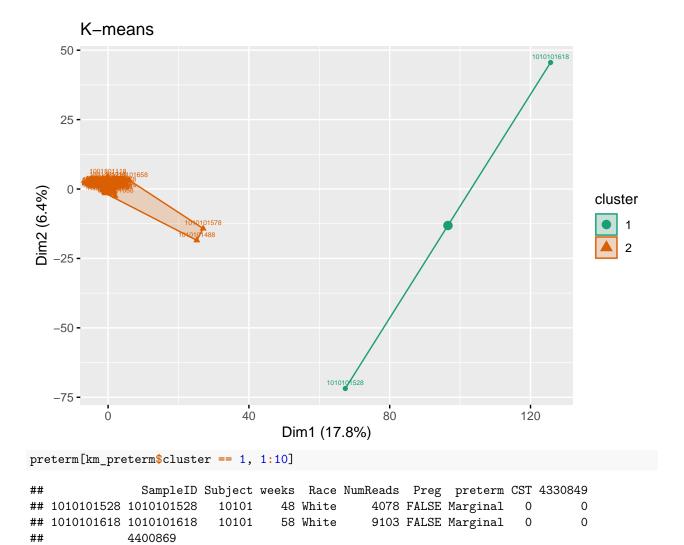
NA

```
preterm_count =
  preterm %>%
  select(-SampleID,-Subject,-weeks,-Race,-NumReads,-Preg,-preterm,-CST)
ncol(preterm_count)
## [1] 1271
preterm_filter = preterm_count[,colSums(preterm_count) > 0] %>% scale()
ncol(preterm_filter)
## [1] 514
```

K-means cluster

```
fviz_nbclust(preterm_filter,
             FUNcluster = kmeans,
             method = "silhouette")
```





Hierarchical clustering

0

0

1010101528

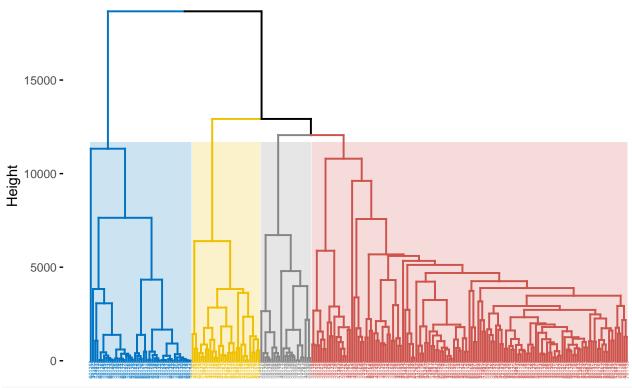
1010101618

We can also apply hierarchical clustering on this data. Here we use the Euclidean distance and different types of linkage.

```
dat1 = preterm_count
hc.complete <- hclust(dist(dat1), method = "complete")

# distance.bray<-vegdist(dat1,method="bray",na.rm=TRUE)
# hc.bray<- hclust(distance.bray,method="complete")</pre>
```

The function fviz_dend() can be applied to visualize the dendrogram.

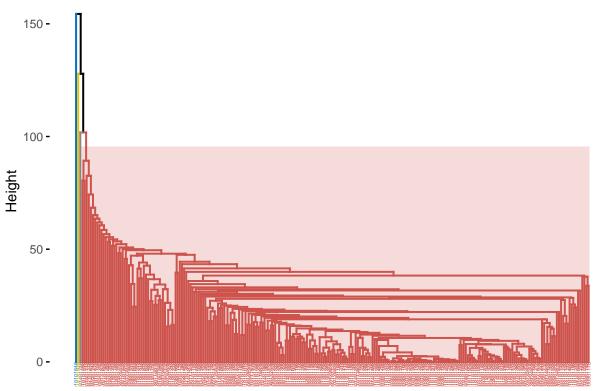


Who are in the fourth cluster?
complete <- cutree(hc.complete, 4)
preterm[complete == 4,1:10]</pre>

##		SampleID	Subject	weeks			Race	NumReads	Preg
##	1001401718	1001401718	10014	68	Asian-Unspecified			10311	FALSE
##	1001401898	1001401898	10014	86	Asian-Unspecified				FALSE
##	1002701278	1002701278	10027	28	Other	(Specify	below)	11515	TRUE
##	1002701308	1002701308	10027	30	Other	(Specify	below)	3218	TRUE
##	1010101018	1010101018	10101	-7			White	4580	FALSE
##	1010101028	1010101028	10101	-7			White	6229	FALSE
##	1010101038	1010101038	10101	-5			White	5697	FALSE
##	1010101048	1010101048	10101	-5			White	6938	FALSE
##	1010101058	1010101058	10101	-3			White	3182	FALSE
##	1010101068	1010101068	10101	-2			White	7660	FALSE
##	1010101078	1010101078	10101	-2			White	6293	FALSE
##	1010101088	1010101088	10101	-1			White	7165	FALSE
##	1010101098	1010101098	10101	0			White	5386	FALSE
##	1010101108	1010101108	10101	1			White	6356	TRUE
##	1010101118.rs	1010101118.rs	10101	1			White	5389	TRUE
##	1010101128	1010101128	10101	2			White	6854	TRUE
##	1010101158	1010101158	10101	6			White	8581	TRUE
##	1010101168	1010101168	10101	7			White	6283	TRUE
##	1010101178.rs	1010101178.rs	10101	7			White	4826	TRUE
##	1010101188	1010101188	10101	8			White	8354	TRUE
##	1010101198	1010101198	10101	10			White	7579	TRUE
##	1010101208	1010101208	10101	11			White	8262	TRUE
##	1010101218	1010101218	10101	11			White	8370	TRUE

```
preterm CST 4330849 4400869
##
## 1001401718
              Marginal
                        0
                               0
## 1001401898 Marginal
                        0
                               0
## 1002701278 Marginal 0
                               0
                                      0
## 1002701308 Marginal 0
                               0
                                      0
## 1010101018 Marginal 0
                               0
                                      0
## 1010101028 Marginal 0
                               0
                                      0
## 1010101038 Marginal 0
                               0
                                      0
## 1010101048 Marginal
                       0
                               0
                                      0
## 1010101058 Marginal
                       0
                               0
                                      0
## 1010101068 Marginal
                       0
                               0
## 1010101078
              Marginal
                       0
                               0
                                      0
## 1010101088
              Marginal
                       0
                               0
                                      0
                               0
                                      0
## 1010101098
              Marginal
                       0
## 1010101108
              Marginal
                       0
                               0
                                      0
## 1010101118.rs Marginal
                        0
                               0
                                      0
## 1010101128
              Marginal
                       0
                               0
                                      0
                       0
                               0
                                      0
## 1010101158
              Marginal
## 1010101168
              Marginal
                       0
                               0
                                      0
## 1010101178.rs Marginal
                       0
                               0
                                      0
## 1010101188
              Marginal
                       0
                               0
                                      0
## 1010101198
              Marginal 0
                               0
                                      0
## 1010101208
              Marginal 0
                               0
                                      0
## 1010101218
              Marginal
                               0
                                      0
```

After scaling and filtering



```
complete <- cutree(hc.complete, 4)
preterm[complete == 4,1:10]</pre>
```

SampleID Subject weeks Race NumReads Preg preterm CST 4330849
1010101618 1010101618 10101 58 White 9103 FALSE Marginal 0 0
4400869
1010101618 0

cluster using phyloseq

Weijia Xiong

6/30/2020

Load data

```
otu_file <- "data/PregnancyClosed15.RData"
load(otu_file)</pre>
```

Transform the data (proportions):

```
site <- "Vaginal_Swab"
ps <- PSPreg[[site]]
tt <- data.frame(tax_table(ps))
ps <- transform_sample_counts(ps, function(OTU) OTU/sum(OTU))</pre>
```

We are not doing differential abundance analysis here, so the proportion transformation is used for exploratory analyses only.

```
summary(sample_data(ps)$Outcome)

## Marginal Preterm Term VeryPreterm
## 83 64 571 43

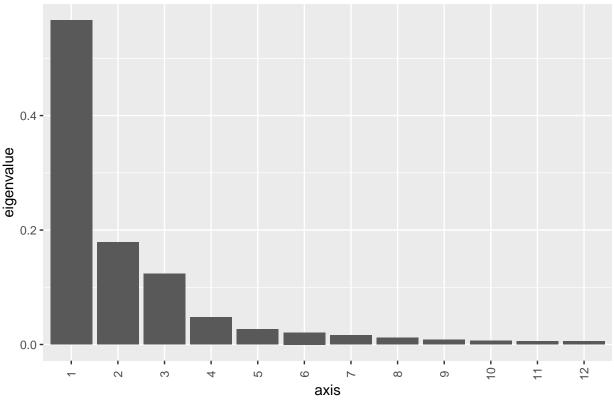
ps_preterm = subset_samples(ps, Outcome %in% c("Preterm","VeryPreterm"))
ps_term = subset_samples(ps, Outcome %in% c("Term","Marginal"))
```

Term data cluster

The vaginal community is dominated by closely related, but functionally distinct, Lactobacillus species. Therefore it is better to use a non-phylogenetically aware distance measure so as to be able to separate these species. Start with an MDS (or PCoA) ordination:

```
braydist <- phyloseq::distance(ps_term, method="bray")
ord = ordinate(ps, method = "MDS", distance = braydist)
## based in some fashion on the abundance table ultimately stored as a contingency matrix (otu_table-cl
# MDS: Performs principal coordinate analysis (also called principle coordinate decomposition, multidim
# Need a distance matrix, here use bray-curtis disctance
plot_scree(ord) + xlim(as.character(seq(1,12))) + ggtitle("MDS-bray ordination eigenvalues")</pre>
```

MDS-bray ordination eigenvalues



```
# p1 = plot_ordination(ps, ord, type="taxa", color="Phylum", title="taxa")
# print(p1)
evs <- ord$value$Eigenvalues
print(evs[1:20])
    [1] 116.6689774
                     36.8329781
                                  25.4839268
                                                9.8136771
                                                            5.4647095
                                                                         4.3200964
##
    [7]
          3.3399353
                       2.4345698
                                   1.6683111
                                                1.3444952
                                                            1.2280786
                                                                         1.2082681
## [13]
          0.8565684
                       0.7421970
                                   0.7047971
                                                0.6730503
                                                            0.6214064
                                                                         0.5451675
## [19]
          0.5306053
                       0.5036866
print(tail(evs))
```

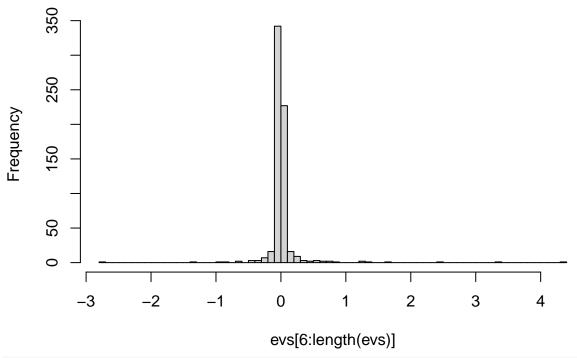
[1] -0.6061663 -0.6389676 -0.8712937 -0.9785011 -1.3789373 -2.7454736

Denoise distance matrix

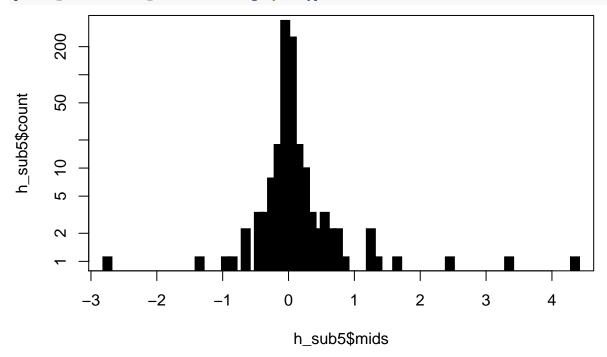
We would like to clean some of the noise from the data by restricting this to the truly significant dimensions. The top 5 eigenvalues are clearly very significant, but let's keep all the positive eigenvalues that clearly exceed the magnitude of the smallest negative eigenvalues:

```
h_sub5 <- hist(evs[6:length(evs)], 100)</pre>
```

Histogram of evs[6:length(evs)]



plot(h_sub5\$mids, h_sub5\$count, log="y", type='h', lwd=10, lend=2)



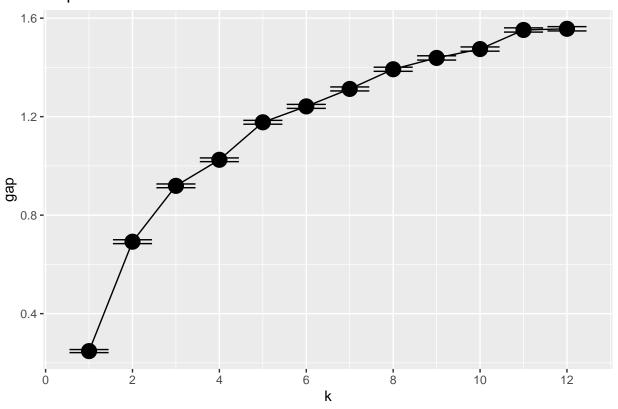
Looks like eigenvalues 6 and 7 still stand out, so we'll go with 7 MDS dimensions.

Determine number of clusters

We will use the gap statistic to indicate the number of clusters in this data:

```
NDIM <- 7
x <- ord$vectors[,1:NDIM] # rows=sample, cols=MDS axes, entries = value
pamPCoA = function(x, k) {
    list(cluster = pam(x[,1:2], k, cluster.only = TRUE))
}
gs = clusGap(x, FUN = pamPCoA, K.max = 12, B = 50)
plot_clusgap(gs) + scale_x_continuous(breaks=c(seq(0, 12, 2)))</pre>
```

Gap Statistic results



The gap statistic strongly suggests at least three clusters, but makes another big jump at K=5 before the slope gets a lot smaller. So, K=5 it is.

Cluster into CSTs

Perform PAM 5-fold clusters:

```
K <- 5
x <- ord$vectors[,1:NDIM]
clust <- as.factor(pam(x, k=K, cluster.only=T))
# SWAPPING THE ASSIGNMENT OF 2 AND 3 TO MATCH RAVEL CST ENUMERATION
clust[clust==2] <- NA
clust[clust==3] <- 2
clust[is.na(clust)] <- 3
sample_data(ps_term)$CST <- clust
CSTs <- as.character(seq(K))</pre>
```

Evaluate clustering

Inspect the results in MDS and NMDS ordinations:

```
CSTColors <- brewer.pal(6,"Paired")[c(1,3,2,5,4,6)] # Length 6 for consistency with pre-revision CST+ c names(CSTColors) <- CSTs

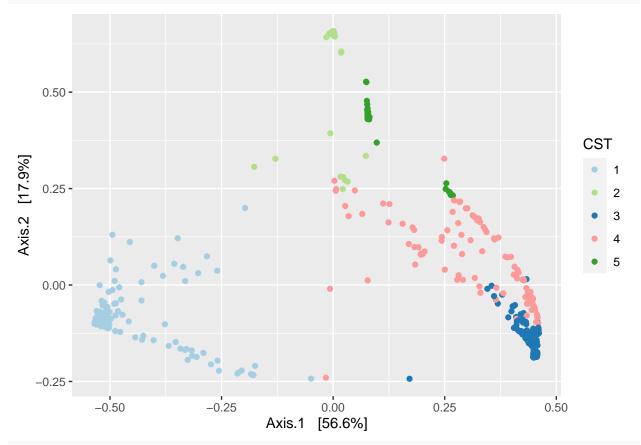
CSTColorScale <- scale_colour_manual(name = "CST", values = CSTColors[1:5])

CSTFillScale <- scale_fill_manual(name = "CST", values = CSTColors[1:5])

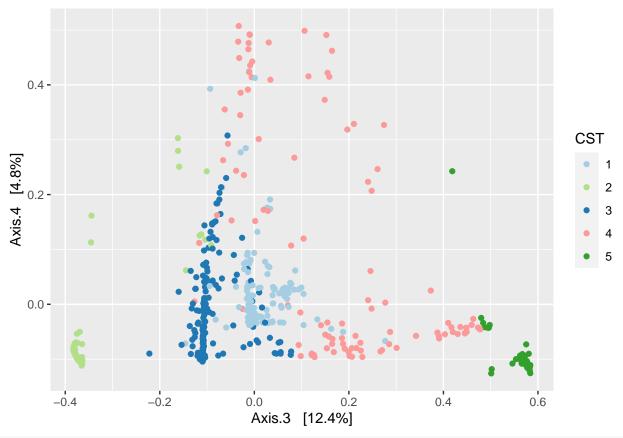
# grid.arrange(plot_ordination(ps, ord, color="CST") + CSTColorScale,

# plot_ordination(ps, ord, axes=c(3,4), color="CST") + CSTColorScale, main="Ordination by

plot_ordination(ps_term, ord, color="CST") + CSTColorScale
```



plot_ordination(ps_term, ord, axes=c(3,4), color="CST") + CSTColorScale



nmds = ordinate(ps_term, method="NMDS", distance=braydist)

```
## Run 0 stress 0.1430354
## Run 1 stress 0.1919882
## Run 2 stress 0.1922407
## Run 3 stress 0.1946097
## Run 4 stress 0.1865393
## Run 5 stress 0.1918076
## Run 6 stress 0.1857592
## Run 7 stress 0.193173
## Run 8 stress 0.1759438
## Run 9 stress 0.1932515
## Run 10 stress 0.1952003
## Run 11 stress 0.1758556
## Run 12 stress 0.1933778
## Run 13 stress 0.1902203
## Run 14 stress 0.1808392
## Run 15 stress 0.1846196
## Run 16 stress 0.1630381
## Run 17 stress 0.1927165
## Run 18 stress 0.189357
## Run 19 stress 0.1940379
## Run 20 stress 0.1837754
## *** No convergence -- monoMDS stopping criteria:
       19: stress ratio > sratmax
##
        1: scale factor of the gradient < sfgrmin
```

```
plot_NMDS_bray_by_cluster = plot_ordination(ps,nmds, color="CST") + CSTColorScale + ggtitle("NMDS -- br
sample_data(ps_term)$clust <- clust
samdf <- data.frame(sample_data(ps_term))
table(samdf$clust)

##
## 1 2 3 4 5
## 256 57 202 105 34</pre>
```

Cluster for whole data via Gower distance

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6/30/2020

```
load("data/DiGiulio.RData")
otu_data = as.data.frame(DiGiulio$OTU)  # 927 samples, 1271 OTU
taxonomy = DiGiulio$Taxonomy  # 1271
sampledata = DiGiulio$SampleData  # 927 samples, other covariates

otu_data_all=
    cbind(sampledata, otu_data) %>%
    mutate(
    Preg = as.factor(Preg),
    Subject = as.factor(Subject)
) %>%
    na.omit()
```

Term data

```
term =
  otu_data_all %>%
  filter(preterm == "Term")

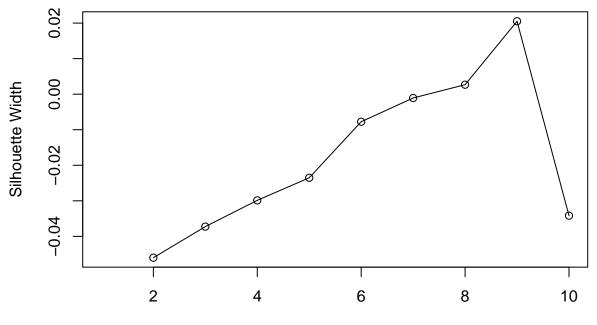
term_data =
  term %>%
  dplyr::select(-SampleID,-Subject)
```

Gower distance for mixed variables

```
gower_dist <- daisy(term_data, metric = "gower")</pre>
gower_mat <- as.matrix(gower_dist)</pre>
#' Print most similar
term[which(gower_mat == min(gower_mat[gower_mat != min(gower_mat)]), arr.ind = TRUE)[1, ], 1:10]
        SampleID Subject weeks Race NumReads Preg preterm CST 4330849 4400869
## 27 1000601208
                   10006
                                          2193 TRUE
                            20 White
                                                       Term
                                                                               0
## 26 1000601198
                   10006
                            19 White
                                          2385 TRUE
                                                       Term
#' Print most dissimilar
term[which(gower_mat == max(gower_mat[gower_mat != max(gower_mat)]), arr.ind = TRUE)[1, ], 1:10]
##
            SampleID Subject weeks Race NumReads Preg preterm CST 4330849
## 458 1004301328.rs
                       10043
                                32 White
                                             5708 TRUE
                                                            Term
          1000601718
                       10006
                                71 White
                                             10165 FALSE
                                                            Term
                                                                            0
## 51
##
       4400869
```

```
## 458 0
## 51 0
```

Calculate silhouette width for many k using PAM

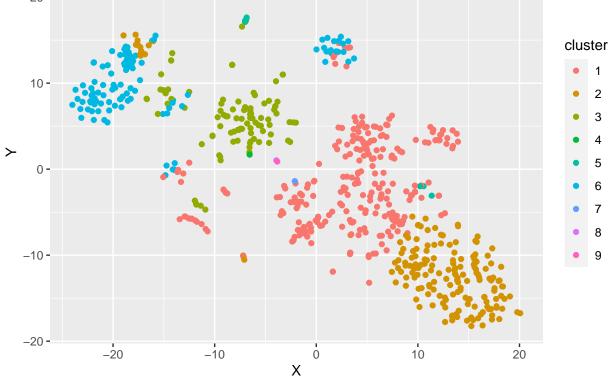


```
k <- 9
pam_fit <- pam(gower_dist, diss = TRUE, k)
pam_results <- term_data %>%
    mutate(cluster = pam_fit$clustering) %>%
    group_by(cluster) %>%
    do(the_summary = summary(.))
result = pam_results$the_summary
term[pam_fit$medoids, 1:10]
```

Number of clusters

```
SampleID Subject weeks
                                                   Race NumReads
                                                                   Preg preterm CST
                     10021
## 137 1002101308
                              30
                                                  White
                                                             3408
                                                                   TRUE
                                                                           Term
                                                                                   0
## 159 1002201268
                     10022
                              27
                                                  White
                                                             5668 TRUE
                                                                            Term
                                                                                   0
                                                             3820 FALSE
## 534 1004501618
                     10045
                                                  White
                                                                           Term
                              61
                                                                                   0
## 51
       1000601718
                     10006
                              71
                                                  White
                                                            10165 FALSE
                                                                           Term
                                                                                   0
                                                                                   0
## 424 1004001338
                     10040
                              33
                                                 Indian
                                                             4335
                                                                  TRUE
                                                                           Term
## 630 1900501178
                     19005
                              18 Other (Specify below)
                                                             6134
                                                                   TRUE
                                                                           Term
                                                                                   0
## 389 1003901258
                     10039
                                                             8045
                                                                   TRUE
                              26
                                                  White
                                                                           Term
                                                                                   0
```

```
## 404 1003901458
                     10039
                              46
                                                  White
                                                             2218 FALSE
                                                                                   0
                                                                           Term
## 408 1003901608
                     10039
                              61
                                                  White
                                                             5415 FALSE
                                                                           Term
                                                                                   0
##
       4330849 4400869
## 137
             0
                      0
                      0
## 159
             0
## 534
             0
                      0
## 51
             0
                      0
## 424
             0
                      0
## 630
             0
                      0
## 389
             0
                      0
## 404
             0
                      0
## 408
tsne_obj <- Rtsne(gower_dist, is_distance = TRUE)</pre>
tsne_data <- tsne_obj$Y %>%
  data.frame() %>%
  setNames(c("X", "Y")) %>%
  mutate(cluster = factor(pam_fit$clustering))
ggplot(aes(x = X, y = Y), data = tsne_data) +
  geom_point(aes(color = cluster))
   20 -
                                                                                    cluster
```



Preterm data

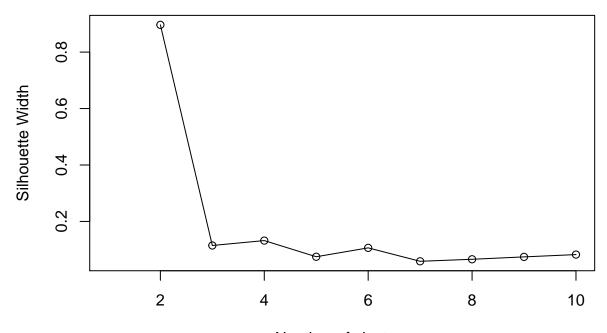
```
preterm =
  otu_data_all %>%
  filter(preterm != "Term")
```

```
preterm_data =
  preterm %>%
  dplyr::select(-SampleID,-Subject)
```

Gower distance for mixed variables

```
gower_dist <- daisy(preterm_data, metric = "gower")</pre>
gower mat <- as.matrix(gower dist)</pre>
#' Print most similar
preterm[which(gower_mat == min(gower_mat[gower_mat != min(gower_mat)]), arr.ind = TRUE)[1, ], 1:10]
         SampleID Subject weeks Race NumReads Preg preterm CST 4330849 4400869
## 195 1010101248
                    10101
                                          8382 TRUE Marginal
                             14 White
                                                                0
## 194 1010101238
                    10101
                             14 White
                                          8348 TRUE Marginal
                                                                        0
                                                                                0
#' Print most dissimilar
preterm[which(gower_mat == max(gower_mat[gower_mat != max(gower_mat)]), arr.ind = TRUE)[1, ], 1:10]
         SampleID Subject weeks
                                           Race NumReads Preg preterm CST
                                                    9103 FALSE Marginal
## 220 1010101618
                    10101
                             58
                                          White
## 45 1001801118
                    10018
                             12 American Indian
                                                     3599 TRUE Preterm
##
       4330849 4400869
## 220
             0
                     0
                     0
## 45
             0
```

Calculate silhouette width for many k using PAM



Number of clusters

```
k <- 2
pam_fit <- pam(gower_dist, diss = TRUE, k)</pre>
pam_results <- preterm_data %>%
  mutate(cluster = pam_fit$clustering) %>%
  group_by(cluster) %>%
  do(the_summary = summary(.))
result = pam_results$the_summary
term[pam_fit$medoids, 1:10]
         SampleID Subject weeks Race NumReads Preg preterm CST 4330849 4400869
## 212 1002301618
                    10023
                              62 White
                                           7341 FALSE
                                                         Term
                                                                         0
## 220 1002401138
                    10024
                                                          Term
                              14 White
                                           5934 TRUE
                                                                 0
                                                                         0
                                                                                 0
tsne_obj <- Rtsne(gower_dist, is_distance = TRUE)</pre>
tsne_data <- tsne_obj$Y %>%
  data.frame() %>%
  setNames(c("X", "Y")) %>%
  mutate(cluster = factor(pam_fit$clustering))
ggplot(aes(x = X, y = Y), data = tsne_data) +
  geom_point(aes(color = cluster))
```

