GNU/Linux Application Programming

Lecture Handouts

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| [12 | Wikipedia. <i>Compiler</i> — <i>Wikipedia</i> , <i>The Free Encyclopedia</i> . 2015. http://en.wikipedia.org/w/index.php?title=Compiler&d=661266598. | &oldi |
| [13 | Wikipedia. <i>Assembly language</i> — <i>Wikipedia</i> , <i>The Free Encyclopedia</i> . 2015. http://en.wikipedia.org/w/index.php?title=mbly_language&oldid=661185928. | -Asse |
| [14 | Wikipedia. <i>Linker (computing)</i> — <i>Wikipedia</i> , <i>The Free Encyclopedia</i> . 2015. http://en.wikipedia.org/w/index.php?title=er_(computing)&oldid=652892136. | =Link |

 $[15] Wikipedia.\ Loader\ (computing) - Wikipedia,\ The\ Free\ Encyclopedia.\ 2012.\ http://en.wikipedia.org/w/index.php?title=Loader_(computing) \& oldid=520743198.$

- [16] Wikipedia. *Dynamic linker Wikipedia*, *The Free Encyclopedia*. 2012. http://en.wikipedia.org/w/index.php?title=Dynamic_linker&oldid=517400345.
- [17] LEVINE J. Linkers and Loaders. Morgan Kaufmann, 2000.

Course Web Links

- fn https://cs6.swfu.edu.cn/moodle
- https://cs2.swfu.edu.cn/~wx672/lecture_notes/linux-app/slides/
- https://cs2.swfu.edu.cn/~wx672/lecture_notes/linux-app/src/
- https://cs3.swfu.edu.cn/tech

/etc/hosts

```
202.203.132.241 cs6.swfu.edu.cn
202.203.132.242 cs2.swfu.edu.cn
202.203.132.245 cs3.swfu.edu.cn
```

System Programming https://github.com/angrave/SystemProgramming/wiki

Beej's Guides http://beej.us/guide/

BLP4e http://www.wrox.com/WileyCDA/WroxTitle/productCd-0470147628,descCd-DOWNLOAD.html

TLPI http://www.man7.org/tlpi/

☐ Homework

Weekly tech question

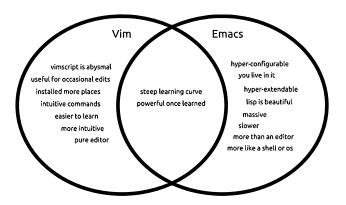
- 1. What was I trying to do?
- 2. How did I do it? (steps)
- 3. The expected output? The real output?
- 4. How did I try to solve it? (steps, books, web links)
- 5. How many hours did I struggle on it?
- ⊠ wx672ster+linux@gmail.com
- \mathbb{E} Preferably in English
- in stackoverflow style
- OR simply show me the tech questions you asked on any website
- Oversimplifed programs ahead!

Part I

Getting Started

Text Editors

₩ vs. 🥞



uemacs Linus Torvalds' editor

https://github.com/torvalds/uemacs

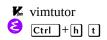
Help Your Editor

Suffix matters

```
$ vim X
$ vim hello X
$ vim hello.c 
$ vim hello.py 
$ emacs X
$ emacs hello X
$ emacsclient hello.c 
$ emacsclient hello.py
```

Keyboard





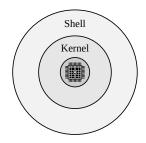
Part II

Shell Basics

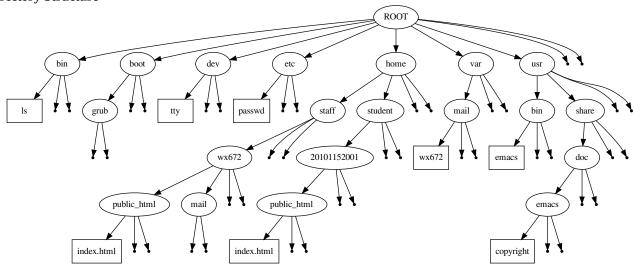
1 Shell Basics

Shell

- **►** A command line interpreter
- □ A programming language



Directory Structure



| Todo | How |
|---------------|--------------|
| Where am I? | pwd |
| What's in it? | ls |
| Move around? | cd |
| Disk usage? | du, df |
| USB drive? | lsblk, mount |
| New folder? | mkdir |

File Operations

Ways to create a file

- ✓ Using an editor (vim, emacs, nano…), or
- \$ cat > filename
- \$ echo "hello, world" > filename
- \$ touch filename

More file operations:

| Todo | How | Todo | How |
|---------|------------|--------------|----------------|
| Copy? | ср | Move/Rename? | mv |
| Delete? | rm | What's it? | file |
| Link? | ln | Permission? | chmod, chown |
| Count? | WC | Archive? | tar, gzip, 7z, |
| Sort? | sort, uniq | Search? | find, grep |

Redirection

Redirecting output

\$ ls -l > output.txt
\$ ps aux >> output.txt

Redirecting input

\$ more < output.txt</pre>

Process Operations

| Todo | How | Todo | How |
|-------------|--------------|------------|----------|
| Kill? | kill, Ctrl-c | suspend? | Ctrl-z |
| background? | bg, & | forground? | fg, jobs |
| status? | ps, top | | |

System Info

| Todo | How | Todo | How |
|-----------|---------------------|-----------|--------------|
| who? | w, who, whoami | how long? | uptime |
| software? | apt, aptitude, dpkg | kernel? | uname, 1smod |
| hardware? | lspci, lsusb, lscpu | memory? | free, lsmem |

APT — O package management

| Todo | How |
|--------------|---------------------------|
| upgrading? | apt update && apt upgrade |
| install? | apt install xxx |
| remove? | apt purge xxx |
| search? | apt search xxx |
| details? | apt show xxx |
| friendly UI? | aptitude |

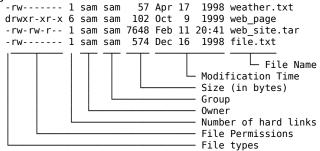
CLI Shortcuts

| Ctrl +a: | beginning of line | Ctrl + e: | end of line |
|----------|--------------------|-----------|------------------|
| Ctrl +f: | forward | Ctrl +b: | backward |
| Ctrl +n: | next | Ctrl +p: | previous |
| Ctrl +r: | reverse search | Ctrl +u: | cut to beginning |
| Ctrl +k: | kill (cut to end) | Ctrl +y: | yank (paste) |
| Ctrl +d: | delete a character | ₩. | completion |

Tmux

| Ctrl +ac: | create window | Ctrl + a Ctrl + a: | switch window |
|------------|---------------|--------------------|-----------------|
| Ctrl +an: | next window | Ctrl +ap: | previous window |
| Ctrl +a -: | split window | Ctrl + a : | split widnow |
| Ctrl +a j: | go down | Ctrl +ak: | go up |
| Ctrl +a l: | go right | Ctrl +ah: | go left |

Understanding "ls -1"



d - directory

- - regular file

l - soft link

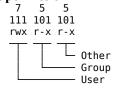
c - character device

b - block device

s - socket

p - named pipe (FIFO)

9-bit permission



\$ chmod g+w foo \$ chmod go=rx foo

Wildcard Expansion

| Character | Meaning | Example |
|-----------|--------------|-------------------|
| ? | any one | \$ ls ???.txt |
| * | zero or more | \$ ls *.c |
| [] | or | \$ ls *.[ch] |
| {} | and | \$ ls *.{c,h,cpp} |

Example

\$ touch {2,3,4,234}.{jpg,png} && ls

output: 2.jpg 234.jpg 3.jpg 4.jpg 2.png 234.png 3.png 4.png

Everything Is A File

\$ cat /dev/null > /var/log/messages # empty a file \$: > /var/log/messages # no new process

\$ ls > /dev/null

\$ dd if=/dev/zero of=/tmp/clean bs=1k count=1k

\$ dd if=/dev/urandom of=/tmp/random bs=1k count=1k

/proc

Allow higher-level access to driver and kernel information

\$ cat /proc/cpuinfo

\$ cat /proc/meminfo

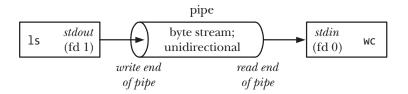
\$ cat /proc/version

\$ cat /proc/1/status

echo 100000 > /proc/sys/kernel/pid_max

Pipe — Chain Processes Together

\$ ls | wc -1



Unnamed pipe

 $\$ unicode skull | head -1 | cut -f1 -d' ' | sm - Named pipe

- 1. \$ mkfifo mypipe
- 2. \$gzip -9 -c < mypipe > out.gz
- 3. \$ cat file > mypipe
- https://en.wikipedia.org/wiki/Named_pipe

2 Shell Programming

\$ — Give Me The Value Of ...

\$var Give me the value of variable "var"

- \$(echo hello) Give me the value (output) of command "echo hello"
- **\$((1+1))** Give me the value (result) of "1+1"
- **\$\$** Give me the value of special variable "\$"
- **\$?** Give me the value of special variable "?"
- **\$0** Give me the value of special variable "0"
- **\$0** Give me the value of special variable "0"

Variables

```
$ a=8; b=2
$ a=a+5; a=$a+5 \(\infty\)
$ let a=a+5; let a+=5; a=$((a+5)) \(\infty\)
$ let b=b+a; let b+=a; b=$((b+a)) \(\infty\)
$ echo a; echo $a
$ ((a=5, b=6, a+=b)) \(\infty\)
$ ((b = a<5 ? 8 : 9 )) \(\infty\)
$ r=$((RANDOM%100)) \(\infty\)
$ echo "$a" # partial quoting
$ echo '$a' # full quoting
$ a=$(ls -l); echo $a; echo "$a"
$ a=hello; b=world; let a+=b \(\infty\)</pre>
```

Positional Parameters

```
#include <stdio.h>
                                                 int main(int argc, char *argv[])
1 #!/bin/bash
                                                   int i;
                                                   printf("You said:\n\t");
  echo "You said:"
                                                   for(i=1; i<argc; i++)</pre>
4
5 echo -e "\t$@"
                                               9
                                                     printf("%s ",argv[i]);
6 echo
7 echo -e "\targc = $#"
                                                   printf("\n = %d\n", argc);
8 echo -e "\targv[0] = $0"
                                                   for(i=0; i<argc; i++)</pre>
10 i=1
                                                     printf("\targv[%d] = %s\n",i,argv[i]);
11 for arg in $0; do
    echo -e "\targv[$i] = $arg"
                                                   return 0;
   let i++
                                                 }
14 done
                                               19 /* Local Variables: */
                                               20 /* compile-command: "gcc -Wall -Wextra isay.c -o
                                                 → isay" */
                                               21 /* End: */
```

Parameter Substitution

Default value

Example

```
#!/bin/bash
chapter = #:/bin/bash
echo Hello, ${1:-world}!
```

Re-write it in C

- \$ sudo apt install abs-guide
- file:///usr/share/doc/abs-guide/html/parameter-substitution.html

Parameter Substitution

Substring removal

```
for f in *.pbm; do ppm2tiff $f ${f%pbm}tif; done
```

Substring replacement

```
$ for f in *.jpg; do mv $f ${f/jpg/JPG}; done
```

Environmental Variables

Each process has an environment

```
$PATH $PWD $HOME $UID $USER
$GROUPS $SHELL $TERM $DISPLAY $TEMP
$HOSTNAME $HOSTTYPE $IFS $EDITOR $BROWSER
$HISTSIZE $FUNCNAME $TMOUT ...
```

```
$ export HISTSIZE=2000
```

^{\$} export BROWSER='/usr/bin/x-www-browser'

```
$ export EDITOR='vim'
   $ export ALTERNATE_EDITOR="vim"
   $ export PDFVIEWER='/usr/bin/zathura'
   $ declare
Tests
   $ ((5 < 6)) \&\& echo should be
   $ [[ 1 < 2 ]] && echo of course
   $ [[ $a -lt $b ]] && echo yes || echo no
   $ if [[ $a -lt $b ]]; then echo yes; else echo no; fi
   $ if test $a -lt $b; then echo of course; fi
   $ if test $a = 5; then echo a=$a; fi ✓
   $ if test a = 5; then echo a=$a; fi X
   $ if test a=5; then echo a=$a; fi 🙁 # test ls,cd,...
   $ if a = 5; then echo a=$a; fi * # whitespace matters
   $ if a=5; then echo a=$a; fi 😑
   $ test $a = 5 && echo a=$a 🗸
   $ [[ $a = 5 ]] && echo a=$a ✔
   $ if cmp a b; then echo same file; fi
   $ [[ cmp a b ]] && echo same file X
   $ if test cmp a b; then echo same file; fi X
   $ [[ -f ~/.bash_aliases ]] && . ~/.bash_aliases
   $ [[ -x /usr/bin/xterm ]] && /usr/bin/xterm -e tmux &
   $ [[ "$pass" != "$MYPASS" ]] && echo 'Wrong password!' && exit 1
   $ help test
1 #!/bin/bash
3 words=$@
4 string=linux
  if echo "$words" | grep -q "$string"
    echo "<$string> found in <$words>"
8 else
   echo "<$string> not found in <$words>"
10 fi
Loops
for ARG in LIST; do COMMAND(s); done
   $ for i in 1 2 3; do echo -n i="$i "; done
   $ for i in {1..10}; do echo $i; done
   $ for i in $(seq 10); do echo $i; done
   $ for ((i=1; i<=10; i++)); do echo $i; done
   $ for ((i=1, j=1; i<=10; i++, j++)); do
     echo $((i-j)) ③
     echo $(($i-$j)) 🙂
     echo $i-$j 🙁
     done
   $ for ((i=1; i<=10; i++)) { echo $i; } # C style
   $ for i in hello world; do echo -n "$i "; done
Loops
while CONDITION; do COMMAND(s); done
   $ a=0;
   $ while [[ $a -lt 10 ]]; do echo $a; ((a++)); done 
   $ while [ $a -lt 10 ]; do echo $a; ((a++)); done ✓
   \ while [[ a < 10 ]]; do echo a; ((a++)); done \
```

```
$ while [[ a < 10 ]]; do echo $a; ((a++)); done x
   $ while (( a < 10 )); do echo $a; ((a++)); done </pre>
   $ until (( a == 10 )); do echo $a; ((a++)); done 	
   $ until (( a = 10 )); do echo $a; ((a++)); done 🙁
   $ while read n; do n2 $n; done
   $ while read n; do n2 $n; done < datafile</pre>
   $ until (( n == 0 )); do read n; n2 $n; done
case
 1 #!/bin/bash
   [ -z "$1" ] && echo "Usage: `basename $0` [dhb]<number>" && exit 0;
  case "$1" in
           [dD]*)
                  NUM=$(echo $1 | cut -b 2-)
                  printf "\tDec\tHex\tBin\tn"
                  printf "t\%dt0x\%02Xt\%sn" $NUM $NUM $(bc <<< "obase=2;$NUM")
           [hH]*)
                  NUM=$(echo $1 | cut -b 2-)
                  NUM=$(echo $NUM | tr [:lower:] [:upper:])
                  printf "\tHex\t\tDec\t\tBin\n"
                  printf "t0x%sttkshn" $NUM $(bc <<< "ibase=16;obase=A;$NUM") \
                          $(bc <<< "ibase=16;obase=2;$NUM")
16
          0[xX]*)
19
                   NUM=$(echo $1 | cut -b 3-)
                  NUM=$(echo $NUM | tr [:lower:] [:upper:])
                  printf "\tHex\t\tDec\t\tBin\n"
                  $(bc <<< "ibase=16;obase=2;$NUM")
                   ;;
           [bB]*)
                  NUM=$(echo $1 | cut -b 2-)
26
                  \verb|printf| " \ tBin \ t \ tHex \ t \ tDec \ "
                  printf "\t%\t\t0x%s\t\t%s\n" $NUM $(bc <<< "ibase=2;obase=10000;$NUM") \
                          $(bc <<< "ibase=2;obase=1010;$NUM")
30
                   ;;
               *)
                  printf "Dec\tHex\tBin\n"
                  printf "%d\t0x%08X\t%08d\n" $1 $1 $(bc <<< "obase=2;$1")</pre>
                   ;;
35 esac
select
 1 #!/bin/bash
  PS3='Your favorite OS? '
5 select OS in "Linux" "Mac OSX" "Windows"
6 do
     [[ "$OS" = "Linux" ]] && echo wise guy.
     [[ "$0S" = "Mac OSX" ]] && echo rich guy.
     [[ "$OS" = "Windows" ]] && echo patient guy.
     break
11 done
```

Functions

```
1 #!/bin/bash
3 function screencapture(){
    ffmpeg -f x11grab -s 1920x1080 -r 30 -i :0.0 \
           -c:v libx264 -crf 0 -preset ultrafast screen.mkv
6 }
8 w2pdf(){
   libreoffice --convert-to pdf:writer_pdf_Export "$1"
10 }
12 rfc(){
      [[ -n "$1" ]] || {
                  cat <<EOF
    rfc - Command line RFC viewer
    Usage: rfc <index>
17 EOF
                  return 1
          }
          find /usr/share/doc/RFC/ -type f -iname "rfc$1.*" | xargs less
21 }
```

Array

```
#!/bin/bash

IMGDIR="$HOME/Pics/2009Summer/wallpapers/2009summer-1280x768"

files=($IMGDIR/*.jpg)

# get the length of array ${files[@]}
n=${#files[@]}

# get a random array element
wallpaper="${files[RANDOM % n]}"

# set it as wallpaper
qiv -z $wallpaper
```

Change wallpaper every 5 mins?

• https://www.tutorialspoint.com/unix/unix-using-arrays.htm

Subshells

```
$ read first second
hello world
$ echo $first $second

$ read first second <<<'hello world'
$ echo $first $second

Subshell examples
$ echo hello world | (read f s; echo $f $s)
$ echo $f $s

$ x=out; (x=in; echo $x)</pre>
```

- file:///usr/share/doc/abs-guide/html/subshells.html
- file:///usr/share/doc/abs-guide/html/process-sub.html

Part III

Linux Programming Environment

3 C Programming Environment

Program Languages

Machine code

The binary numbers that the CPUs can understand.

```
100111000011101111001111 ... and so on ...
```

People don't think in numbers.

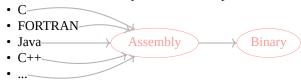
Assembly language — friendly to humans

```
MOV A,47 ;1010 1111
ADD A,B ;0011 0111
HALT ;0111 0110
```

Assemblers translate the ASM programs to machine code

High level languages

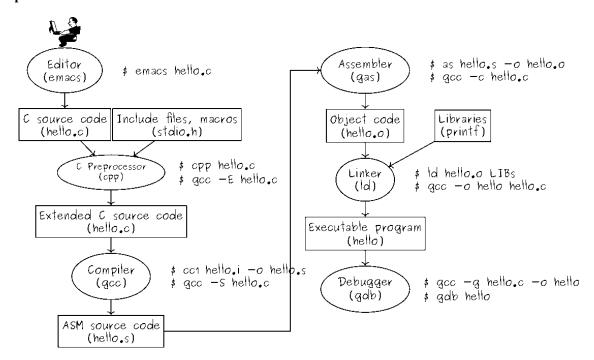
Even easier to understand by humans. Examples:



Compilers do the translation work

3.1 The Tool Chain

Compilation



Source code written by programmer in high-level language, in our case in C. We write c source code with a *text editor*, such as emacs, vim, etc.

Preprocessing is the first pass of any C compilation. It processes include-files, conditional compilation instructions and macros.

Compilation is the second pass. It takes the output of the preprocessor, and the source code, and generates assembly source code.

```
gcc/g++ GNU C/C++ compiler
$ gcc -S hello.c
```

Assembly is the third stage of compilation. It takes the assembly source code and produces an assembly listing with offsets. The assembler output is stored in an object file.

```
as the portable GNU assembler
```

```
$ gcc -c hello.c
```

Linking is the final stage of compilation. It combines object code with predefined routines from libraries and produces the executable program.

ld The GNU linker

```
$ gcc hello.c -lm
```

Wrapper The whole compilation process is usually not done 'by hand', but using a wrapper program that combines the functions of preprocessor(cpp), compiler(gcc/g++), assembler(as) and linker(ld).

```
$ gcc -Wall hello.c -lm -o hello
```

Compiler vs. Interpreter

```
hello.c
 1 #include <stdio.h>
3 int main(void)
    puts("Hello, world!");
                                          $ gcc -o hello hello.c
    return 0;
                                          $ ./hello
9 /* Local Variables: */
10 /* compile-command: "gcc -Wall
   → -Wextra hello.c" */
11 /* End: */
hello.sh
 1 #!/bin/bash
                                          $ chmod +x hello.sh
2 echo Hello, world!
                                          $ ./hello.sh
hello.pv
                                          $ chmod +x hello.py
1 #!/usr/bin/python
print "Hello, world!"
                                          $ ./hello.py
```

3.2 Header Files

Header Files Why?

```
#include "add.h"

int triple(int x)
{
   return add(x, add(x,x));
}
```

Why not?

```
int add(int, int);

int triple(int x)

{
   return add(x, add(x,x));
}
```

• Ensure everyone use the same code

· Easy to share, upgrade, reuse

In the header files...

- function declarations
- · macro definitions
- \$ ls /usr/include/

- · constants
- · system wide global variables

3.2.1 A small software project

Makefile

add.h

```
#define add(x,y) ((x)+(y))

/* int add(int,int); */
int triple(int);
```

triple.c

add3.c

```
triple.c — the main source file.
```

add.h — macros and function prototypes. Like stdio.h, can be used by anyone.

add3.c — function implementations. It's like a black box. Any changes made inside a block box are transparent to the calling functions.

Makefile — managing the project.

3.3 Library Files

Library Files

A library is a collection of pre-compiled object files which can be linked into programs. **Static libraries** . *a* files. Very old ones, but still alive.

```
$ find /usr/lib -name "*.a"
Shared libraries .so files. The preferred ones.
$ find /usr/lib -name "*.so.*"
```

Example

```
calc.c
```

```
#include <math.h>
#include <stdio.h>

int main (void)

double x = sqrt (2.0);
printf ("The square root of 2.0 is %f\n", x);
return 0;

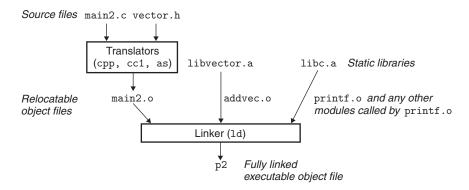
/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra calc.c -lm -o calc" */
* End: */

$ gcc -o calc calc.c $(find /usr/lib -name libm.a) $
$ gcc -o calc calc.c -lm $
$ gcc -o calc calc.c -lm $
$ gcc -o calc calc.c -lm $
$ gcc -o calc -lm calc.c $
```

• https://www.linuxtopia.org/online_books/an_introduction_to_gcc/gccintro_18.html

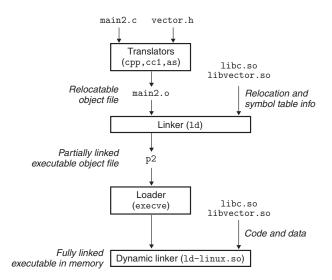
Static Linking

- · The entire program and all data of a process must be in physical memory for the process to execute
- The size of a process is thus limited to the size of physical memory



Dynamic Linking

- · Only one copy in memory
- Don't have to re-link after a library update



Build A Static Library

Source codes

```
main.c
                                                    hello.c
   #include "lib.h"
                                                       #include <stdio.h>
   int main(int argc, char* argv[])
                                                       void hello(char *arg)
 4 {
                                                       {
     int i=1;
                                                         printf("Hello, %s!\n", arg);
                                                     6 }
     for (; i<argc; i++)</pre>
         hello(argv[i]);
                                                    hi.c
         hi(argv[i]);
                                                       #include <stdio.h>
     return 0;
                                                       void hi(char *arg)
13 }
                                                       {
                                                         printf("Hi, %s!\n", arg);
lib.h
 1 #include <stdio.h>
 void hello(char *);
 4 void hi(char *);
```

Build A Static Library

Step by step

```
    Get hello.o and hi.o
        $ gcc -c hello.c hi.c
    Put *.o into libhi.a
        $ ar crv libhi.a hello.o hi.o
    Use libhi.a
        $ gcc main.c libhi.a
```

Build A Static Library

Makefile

```
main: main.c lib.h libhi.a

gcc -Wall -o main main.c libhi.a

libhi.a: hello.o hi.o

ar crv libhi.a hello.o hi.o

hello.o: hello.c

gcc -Wall -c hello.c

hi.o: hi.c

gcc -Wall -c hi.c

clean:

rm -f *.o *.a main
```

Build A Shared Library

Source codes

```
hello.c
```

```
#include "hello.h"

int main(int argc, char *argv[])

{
   if (argc != 2)
      printf ("Usage: %s needs an argument.\n", argv[0]);
   else
      hi(argv[1]);
   return 0;
}
```

hi.c

```
hello.h

#include <stdio.h>
#include <stdib.h>

#include <stdlib.h>

int hi(char* s)

for printf ("Hi, %s\n",s);
return 0;
}
```

Build A Shared Library

```
Step by step
```

```
    Get hi.o
        $ gcc -fPIC -c hi.c
    Get libhi.so
        $ gcc -shared -o libhi.so hi.o
    Use libhi.so
        $ gcc -L. -Wl,-rpath=. hello.c -lhi
    Check it
```

Build A Shared Library

\$ 1dd a.out

Makefile

```
# http://www.cprogramming.com/tutorial/shared-libraries-linux-gcc.html
# http://tldp.org/HOWTO/Program-Library-HOWTO/shared-libraries.html
# gcc -fPIC -c hi.c
```

Position Independent Code (PIC) Unlike executables, when shared libraries are being built, the linker can't assume a known load address for their code. When translated to x86 assembly, this will involve lots of mov instruction to pull the value of some variable from its location in memory into a register. mov requires an absolute address - so how does the linker know which address to place in it? The answer is - it doesn't. As I mentioned above, shared libraries have no pre-defined load address - it will be decided at runtime.

In Linux, the dynamic loader is a piece of code responsible for preparing programs for running. One of its tasks is to load shared libraries from disk into memory, when the running executable requests them. When a shared library is loaded into memory, it is then adjusted for its newly determined load location. It is the job of the dynamic loader to solve the problem presented in the previous paragraph.

The idea behind PIC is simple - add an additional level of indirection to all global data and function references in the code. By cleverly utilizing some artifacts of the linking and loading processes, it's possible to make the text section of the shared library truly *position independent*, in the sense that it can be easily mapped into different memory addresses without needing to change one bit.

- https://eli.thegreenplace.net/2011/08/25/load-time-relocation-of-shared-libraries/
- https://eli.thegreenplace.net/2011/11/03/position-independent-code-pic-in-shared-libraries/
- https://en.wikipedia.org/wiki/Position-independent_code
- https://en.wikipedia.org/wiki/Relocation_(computing)#Load-time
- $•\ https://stackoverflow.com/questions/813980/why-isnt-all-code-compiled-position-independent$
- https://eklitzke.org/position-independent-executables
- http://davidad.github.io/blog/2014/02/19/relocatable-vs-position-independent-code-or/
- https://www.codeproject.com/Articles/1032231/What-is-the-Symbol-Table-and-What-is-the-Global-Of
- https://www.technovelty.org/linux/plt-and-got-the-key-to-code-sharing-and-dynamic-libraries.html

GNU C Library

```
Linux API > POSIX API

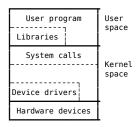
$ man 7 libc

$ man 3 intro

$ man gcc

$ info gcc

O sudo apt install gcc-doc
```



3.4 Error Handling

errno.h

```
#include <stdio.h>
#include <stdlib.h>
#include <sys/stat.h>
#include <fcntl.h>

int main(int argc, char *argv[])
{
```

```
if( open(argv[1], O_RDONLY) == -1 ){
    perror("open");
    exit(EXIT_FAILURE);
}
return 0;

/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra perror.c -o perror" */
/* End: */

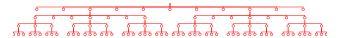
man errno
man errno.h
man perror
    [Advanced programming in the UNIX environment, Sec. 1.7]
    https://stackoverflow.com/questions/30078281/raise-error-in-a-bash-script
```

3.5 The Make Utility

The Make Utility

```
To compile a single C program: $ gcc hello.c -o hello ✓<sub>OK.But...</sub>
```

What if you have a large project with 1000+ files?



Linux 4.9 source tree: 3799 directories, 55877 files

make: help you maintain your programs.

Makefile

Example

```
hello: hello.c | \xrightarrow{TAB}  gcc -o hello hello.c
```

\$ info make makefiles

Makefile

```
edit: main.o kbd.o command.o display.o \
                 insert.o search.o files.o utils.o
          gcc -Wall -o edit main.o kbd.o command.o display.o \
                   insert.o search.o files.o utils.o
  main.o: main.c defs.h
          gcc -c -Wall main.c
  kbd.o : kbd.c defs.h command.h
          gcc -c -Wall kbd.c
  command.o: command.c defs.h command.h
                                                                                 command.c
          gcc -c -Wall command.c
                                                                                 - display.c
                                                                                  files.c
  display.o : display.c defs.h buffer.h
                                                                                 - insert.c
          gcc -c -Wall display.c
                                                                                  kbd.c
                                                                                 - main.c
  insert.o: insert.c defs.h buffer.h
                                                                                 - search.c
                                                                                  utils.c
          gcc -c -Wall insert.c
                                                                                 - buffer.h
                                                                                  command.h
21 search.o: search.c defs.h buffer.h
                                                                                  defs.h
          gcc -c -Wall search.c

    Makefile

  files.o: files.c defs.h buffer.h command.h
          gcc -c -Wall files.c
27 utils.o: utils.c defs.h
          gcc -c -Wall utils.c
  clean:
          rm edit main.o kbd.o command.o display.o \
              insert.o search.o files.o utils.o
```

3.6 Version Control

git

To create a new local git repo

In your source code directory, do:

```
$ git init
$ git add .
$ git commit -m "something to say..."
```

To clone a remote repo

Example:

```
$ git clone https://github.com/wx672/lecture-notes.git
$ git clone https://github.com/wx672/dotfile.git
```

Most commonly used git Commands

```
$ git add filename[s]
$ git rm filename[s]
$ git commit
$ git status $ git log $ git diff
$ git push $ git pull
$ git help {add,rm,commit,...}
$ man gittutorial
```

\$ man gittutorial-2

sudo apt install githttps://github.com

3.7 Manual Pages

Man page

Layout

```
1 NAME
        A one-line description of the command.
2
   SYNOPSIS
        A formal description of how to run it and what
        command line options it takes.
   DESCRIPTION
        \ensuremath{\mathtt{A}} description of the functioning of the command.
8 EXAMPLES
        Some examples of common usage.
10 SEE ALSO
       A list of related commands or functions.
11
12 BUGS
        List known bugs.
14 AUTHOR
      Specify your contact information.
15
   COPYRIGHT
16
        Specify your copyright information.
```

Man Page

Groff source code

```
1 .\" Text automatically generated by txt2man
2 .TH untitled "06 August 2019" "" ""
   .SH NAME
_4 \fBA one-line description of the command.
5 .SH SYNOPSIS
6 .nf
_{7} .fam \it C
   \fBA formal description of how to run it and what command line options it takes.
_{9} .fam _{T}
11 .fam T
12 .fi
13 .SH DESCRIPTION
_{14} \fBA description of the functioning of the command.
15 .SH EXAMPLES
_{\rm 16} \, Some examples of common usage.
   .SH SEE ALSO
_{18} \fBA list of related commands or functions.
19 .SH BUGS
20 List known bugs.
                                                                           $ man 7 groff
21 .SH AUTHOR
                                                                           $ man txt2man
22 Specify your contact information.
                                                                           $ man a2x
23 .SH COPYRIGHT
24 Specify your copyright information.
                                                                           $ ls /usr/share/man
```

3.8 A Sample GNU Package

How to "Do one thing, and do it well"?

```
$ apt source hello
```

3.9 Pointers in C

Pointers

```
#include<stdio.h>

int main(void)
{
  int a = 1966;
  char b = 'A';
  float c = 3.1415926;
  int *a_ptr = &a; /* a pointer to an integer */
```

```
char *b_ptr = &b; /* a pointer to a char
     float *c_ptr = &c; /* a pointer to a float
     printf("&a = %p, sizeof(a) = %ld\n", a_ptr, sizeof(a));
     printf("\mathcal{C}b = p, sizeof(b) = ld\n", b_ptr, sizeof(b));
     printf("&c = %p, sizeof(c) = %ld\n", c_ptr, sizeof(c));
     return 0;
16 }
18 /* Local Variables: */
19 /* compile-command: "gcc -Wall -Wextra ptr1-code.c -o /tmp/a.out" */
20 /* End: */
                                                     b_ptr = &b; a_ptr = &a;
                    c_ptr = &c;
                     addr: 25ec 25ed 25ee 25ef 25f0 25f1 25f2 25f3 25f4 25f5 25f6 25f7
                                3.1415926
                                                                       1966
                                float c;
                                                          char b;
                                                                      int a;
```

Pointer Operators

- & returns the *address* of a thing
- * return the *object (thing)* to which a pointer points at

int thing; int *thing_ptr;

| C Code | C Code Description | |
|--------------|---|--|
| thing | the variable named 'thing' | |
| &thing | &thing address of 'thing' (a pointer) | |
| *thing | g 🗶 thing is not a pointer | |
| thing_ptr | pointer to an int | |
| $*thing_ptr$ | the int variable at the address thing_ptr points to | |
| &thing_ptr | odd, a pointer to a pointer | |

Example

```
#include<stdio.h>
3 int main(void)
4 {
     int i = 5;
     int *p;
     p = &i; /* now p pointing to i */
     *p = 6; /* i = 6 */
     printf("&i = \%p, i = \%d, *p = \%d\n", &i, i, *p);
     printf("\mathscr{G}p = \mathscr{N}p, p = \mathscr{N}p \setminus n", &p, p);
     return 0;
13 }
15 /* Local Variables: */
16 /* compile-command: "gcc -Wall -Wextra ptr2-code.c -o /tmp/a.out" */
17 /* End: */
                                                     int *p = \&i;
                                    &p
                                    3bc0 3bc1 3bc2 3bc3
                                                             3bcc 3bcd 3bce 3bcf
                                       3bcc
                                                             int i = 5; *p = 6;
```

Invalid operation

```
#include<stdio.h>

int main(void)
{
    int i = 5;
    /* int *i = (int *)5; */ /* segfault */
    printf("*i = %d\n", *i); /* Wrong! */

return 0;
}

/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra ptr2-wrong1.c -o /tmp/a.out" */
/* End: */
```

In the printf() statement, it's trying to treat i as a pointer.

Invalid memory access

```
#include<stdio.h>
int main(void)
{
    int *p = 5; /* should be (int *)5 */

    printf(" p = %p\n", p); /* p = 0x5 */
    printf("&p = %p\n", &p); /* &p = 0x7ffda48a2068 */
    printf("*p = %c\n", *p); /* Invalid memory access */
    return 0;
}

/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra ptr2-wrong2.c -o /tmp/a.out" */
/* End: */
```

This is trying to treat the value of p as a memory address. But the memory address 5 is not accessible by this program.

Call By Value

```
#include <stdio.h>

void inc_count(int count){
    ++count;
    printf("inc_count: &count = %p\n", &count);
    printf("inc_count: count = %d\n", count);

}

int main(){
    int count = 0;
    printf("main: &count = %p\n", &count);

inc_count(count);
    printf("main: count = %d\n", count);

return 0;
}

/* Local Variables: */
    /* compile-command: "gcc -Wall ptr4-wrong.c -o ptr4-wrong -o /tmp/a.out" */
    /* End: */
```

Call by value: only the value of 'count' is handed to the function inc_count()

Solution 1: return

```
1 #include <stdio.h>
  int inc_count(int count)
       return ++count;
6 }
8 int main()
9
       int count = 0;
          count = inc_count(count);
          printf("%d\n", count);
       return 0;
16 }
18 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra ptr4-ok.c -o /tmp/a.out" */
20 /* End: */
  1. read the value of count, and pass it to inc_count();
  2. inc_count() uses the value of count to do the calculations;
```

- 3. return the result to main().

Solution 2: Call by reference

```
1 #include <stdio.h>
  void inc_count(int *count_ptr)
       ++(*count_ptr);
6 }
8 int main()
9 {
       int count = 0;
           inc_count(&count);
          printf("%d\n", count);
      return 0;
16 }
18 /* Local Variables: */
19 /* compile-command: "gcc -Wall -Wextra ptr4.c -o /tmp/a.out" */
20 /* End: */
```

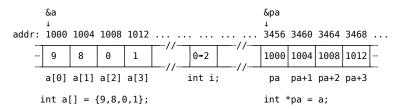
- 1. pass the address of count to inc_count();
- 2. inc_count() operates directly on count.

More efficient than solution 1 Imagining you are operating on a large data structure rather than an int

3.10 Pointers and Arrays

```
int main(void)
                                      int main(void)
  int a[] = \{9, 8, 0, 1\};
                                        int a[] = {9,8,0,1};
  int i = 0;
                                        int *pa = a;
  while (a[i] != 0)
                                        while ((*pa) != 0)
    ++i;
                                           ++pa;
  printf("ZERO at a[%d].\n", i);
                                        printf("ZERO at a[%ld].\n", pa - a);
                                        printf("pa = p; a = p \setminus n", pa, a);
  return 0;
                                        return 0;
}
```

C automatically scales pointer arithmetic so that it works correctly, by incrementing/decrementing by the correct number of bytes. For example, in above program, the value of pa (&a[2]) is 1008, and the value of a (&a[0]) is 1000. But the result of "pa - a" is 2 rather than 8. This means pa is *two ints* ahead of a.



Passing Arrays to Functions

When passing an array to a function, C will automatically change the array into a pointer.

```
#include <stdio.h>
  #define MAX 5
  void init_array_1(int*);
  void init_array_2(int*);
  int main(void)
  {
     int a[MAX], i;
     init_array_1(a);
     printf("init_array_1: ");
     for(i=0; i<MAX; i++)</pre>
       printf("a[%d]=%d, ", i, a[i]);
     puts("");
     init_array_2(a);
     printf("init_array_2: ");
    for(i=0; i<MAX; i++)</pre>
       printf("a[%d]=%d, ", i, a[i]);
     puts("");
     return 0;
void init_array_1(int a[])
```

```
27 {
     int i;
     for (i=0; i<MAX; ++i)</pre>
         a[i] = 0;
32 }
34 void init_array_2(int *ptr)
35 {
36
     int i;
     for (i=0; i<MAX; ++i)
         *(ptr + i) = i;
40 }
41
42 /* Local Variables: */
43 /* compile-command: "gcc -Wall -Wextra array3.c -o /tmp/a.out" */
44 /* End: */
```

Strings — Arrays Of Characters

Strings are *character arrays* with the additional special character "\0" (NUL) at the end, e.g.:

```
char system[] = "Linux";
    L | i | n | u | x | \0
```

The most common string functions

Arrays Of Pointers

```
1 #include<stdio.h>
void print_msg(char *ptr_a[], int n)
4 {
   int i;
   for (i = 0; i < n; i++)
      printf(" %s", ptr_a[i]);
8
    puts(".");
10 }
12 int main()
13 {
     char *message[9] =
       {"Dennis", "Ritchie", "designed", "the", "C", "language",
            "in",
                      "the",
                               "1970s"};
     print_msg(message, 9);
     return 0;
20 }
22 /* Local Variables: */
23 /* compile-command: "gcc -Wall -Wextra array4.c -o /tmp/a.out" */
24 /* End: */
```

Once you've declared an array, you can't reassign it. Why? Consider an assignment like

```
char *my_str = "foo"; // Declare and initialize a char pointer.
my str = "bar"; // Change its value.
```

The first line declares a char pointer and "aims" it at the first letter in foo. Since foo is a string constant, it resides somewhere in memory with all the other constants. When you reassign the pointer, you're assigning a new value to it: the address of bar. But the original string, foo, remains unchanged. You've moved the pointer, but haven't altered the data.

When you declare an array, however, you aren't declaring a pointer at all. You're reserving a certain amount of memory and giving it a name. So the line

```
1 | char c[5] = "data";
```

starts with the string constant data, then allocates 5 new bytes, calls them c, and copies the string into them. You can access the elements of the array exactly as if you'd declared a pointer to them; arrays and pointers are (for most purposes) interchangeable in that way.

But since arrays are not pointers, you cannot reassign them. You can't make c "point" anywhere else, because it's not a pointer; it's the name of an area of memory. For example,

```
char c[5] = "data";
char b[5] = "beta";
b = c; /* Wrong! 'b[]' cannot be reassigned (pointing to elsewhere). */
```

• https://stackoverflow.com/questions/17077505/string-pointer-and-array-of-chars-in-c

4 The Linux Environment

Command Line Options

getopt.c

```
#include <stdio.h>
   #include <unistd.h>
  int main(int argc, char* argv[]) {
    int opt;
     while ((opt = getopt(argc, argv, "hf:l")) != -1) {
      switch (opt) {
       case 'h':
        printf("Usage: %s [-h] [-f file] [-l]\n", argv[0]);
      case 'l':
        printf("option: %c\n", opt);
        break;
       case 'f':
        printf("filename: %s\n", optarg);
       }
    return 0;
21 }
23 /* Local Variables: */
24 /* compile-command: "gcc -Wall -Wextra getopt.c -o getopt" */
25 /* End: */
        $ man 3 getopt
```

Command Line Options

```
getopt.sh
```

```
1 #!/bin/bash
3 while getopts hf:1 OPT; do
    case $0PT in
     h) echo "usage: `basename $0` [-h] [-f file] [-l]"
        exit 1 ;;
      1) echo "option: l" ;;
      f) echo "filename: $OPTARG" ;;
    esac
10 done
  $ ./getopt.sh -h
  $ ./getopt.sh -lf filename
  $ ./getopt.sh -l -f filename
   $ ./getopt.sh -f filename -l
Environment Variable
   #include <stdlib.h>
    2 #include <stdio.h>
    4 extern char** environ;
    6 int main() {
       char** env = environ;
      while (*env) {
                                                        $ env
        printf("%s\n", *env);
                                                        $ man 3 getenv
         env++;
                                                        $ man 3 putenv
       return 0;
   15 }
   17 /* Local Variables: */
   /* compile-command: "gcc -Wall -Wextra env.c
      → -o env" */
   19 /* End: */
```

[Beginning linux programming, p.147, Sec. The environ Variable]

Time and Date

```
#include <time.h>
#include <stdio.h>

int main(void)
{
    time_t t = time(NULL); /* long int */

    printf("epoch time:\t%ld\n",t);
    printf("calendar time:\t%s", ctime(&t));

return 0;
}

/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra time.c -o time" */
/* End: */

January 1 1970 — start of the Unix epoch
    $ man 3 time
    $ man 3 ctime
```

Temporary Files

```
mkstemp.c
                                                              mktemp.sh
    1 #define _GNU_SOURCE
                                                               1 #!/bin/bash
    2 #include <stdlib.h>
    3 #include <unistd.h>
                                                                 tmp=$(mktemp)
    4 #include <stdio.h>
                                                                 while read LINE; do
    6 int main(int argc, char *argv[])
                                                                   echo $LINE >> $tmp
    7 {
                                                                 done
        char c, *f;
                                                                 $ man 3 mkstemp
       asprintf(&f, "%sXXXXXX", argv[1]);
                                                                 $ man 3 tmpfile
       int tmp = mkstemp(f);
                                                                 $ man 3 asprintf
       while ( read(0, &c, 1) == 1)
         write(tmp, &c, 1);
       unlink(f);
       free(f);
        return 0;
   19 }
   21 /* Local Variables: */
   22 /* compile-command: "gcc -Wall -Wextra mkstemp.c -o

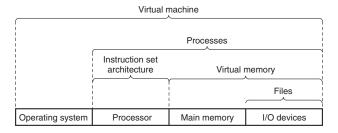
→ mkstemp" */

   23 /* End: */
Logging
syslog.c
| #include <syslog.h>
#include <sys/stat.h>
3 #include <fcntl.h>
int main(int argc, char *argv[])
6 {
    if( open(argv[1], O_RDONLY) < 0 )</pre>
       syslog(LOG\_ERR \ | \ LOG\_USER, \ "%s - %m\n", \ argv[1]);
8
9
       syslog(LOG_INFO | LOG_USER, "%s - %m\n", argv[1]);
    return 0;
12 }
14 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra syslog.c -o syslog" */
16 /* End: */
logger.sh
 1 #!/bin/bash
3 [[ -f "$1" ]] && logger "$1 exists." || logger "$1 not found."
```

5 OS Basics

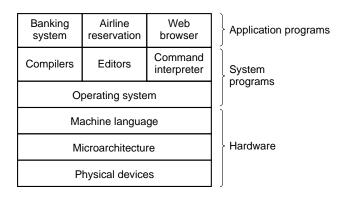
Abstractions

To hide the complexity of the actual implementations



See also: [Computer Systems: A Programmer's Perspective, Sec. 1.9.2, The Importance of Abstractions in Computer Systems].

A Computer System



5.1 Hardware

CPU Working Cycle



- 1. Fetch the first instruction from memory
- 2. Decode it to determine its type and operands
- 3. execute it

Special CPU Registers

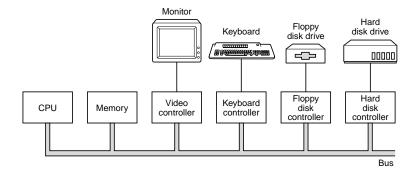
Program counter (PC): keeps the memory address of the next instruction to be fetched

Stack pointer (SP): • the top of the current stack in memory

Program status (PS): holds
- condition code bits

- processor state

System Bus



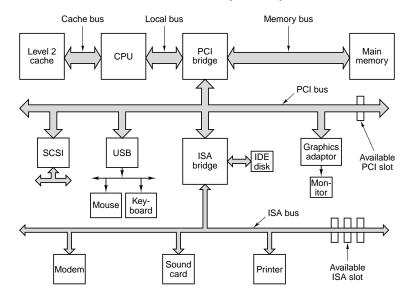
Address Bus: specifies the memory locations (addresses) for the data transfers

Data Bus: holds the data transfered. Bidirectional

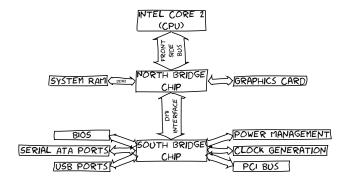
Control Bus: contains various lines used to route timing and control signals throughout the system

Controllers and Peripherals

- · Peripherals are real devices controlled by controller chips
- Controllers are processors like the CPU itself, have control registers
- Device driver writes to the registers, thus control it
- Controllers are connected to the CPU and to each other by a variety of buses



Motherboard Chipsets



See also: *Motherboard Chipsets And The Memory Map* ¹.

- · The CPU doesn't know what it's connected to
 - CPU test bench? network router? toaster? brain implant?
- The CPU talks to the outside world through its pins
 - some pins to transmit the physical memory address
 - other pins to transmit the values
- The CPU's gateway to the world is the *front-side bus*

Intel Core 2 QX6600

- 33 pins to transmit the physical memory address
 - so there are 2^{33} choices of memory locations
- 64 pins to send or receive data
 - so data path is 64-bit wide, or 8-byte chunks

¹http://duartes.org/gustavo/blog/post/motherboard-chipsets-memory-map

This allows the CPU to physically address 64GB of memory ($2^{33} \times 8B$)

See also: Datasheet for Intel Core 2 Quad-Core Q6000 Sequence ².

Some physical memory addresses are mapped away!

- only the addresses, not the spaces
- · Memory holes
 - 640 KiB ~ 1 MiB
 - /proc/iomem

Memory-mapped I/O

- · BIOS ROM
- · video cards
- · PCI cards
- ..

This is why 32-bit OSes have problems using 4 GiB of RAM.

the northbridge

- 1. receives a physical memory request
- 2. decides where to route it
 - to RAM? to video card? to ...?
 - decision made via the memory address map
- When is the memory address map built? setup().

0xFFFFFF0 4GB-16B Unaddressable memory, real mode is limited to 1MB 0×100000 1MR System BIOS 0xF0000 960KB Ext. System BIOS 0×E0000 896KB Expansion Area (maps ROMs for old peripheral cards) 0×C0000 768KB Legacy Video Card Memory Access 0×A0000 640KB Accessible RAM (640KB is enough for anyone — old DOS area)

JUMP to 0xF0000

4GB

0xFFFFFFF

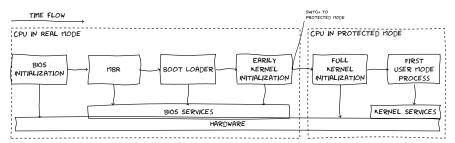
Reset vector

5.2 Bootstrapping

Bootstrapping

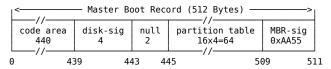
Can you pull yourself up by your own bootstraps?

A computer cannot run without first loading software but must be running before any software can be loaded.



Intel x86 Bootstrapping

- 1. BIOS (0xfffffff0)
 - POST → HW init → Find a boot device (FD,CD,HD...) → Copy sector zero (MBR) to RAM (0x00007c00)
- 2. MBR the first 512 bytes, contains
 - Small code ($<446\,B$), e.g. GRUB stage 1, for loading GRUB stage 2
 - the primary partition table ($16 \times 4 = 64 B$)
 - its job is to load the second-stage boot loader.
- 3. GRUB stage 2 load the OS kernel into RAM
- 4. 🐧 startup
- 5. init the first user-space process



- \$ sudo hd -n512 /dev/sda
- https://en.wikipedia.org/wiki/Unified_Extensible_Firmware_Interface

²http://download.intel.com/design/processor/datashts/31559205.pdf

5.3 Interrupt

Why Interrupt?

While a process is reading a disk file, can we do...

```
while(!done_reading_a_file())
{
    let_CPU_wait();
    // or...
    lend_CPU_to_others();
}
operate_on_the_file();
```

Modern OS are Interrupt Driven

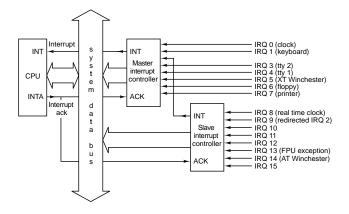
HW INT by sending a signal to CPU **SW INT** by executing a *system call*

Trap (exception) is a software-generated INT coursed by an error or by a specific request from an user program **Interrupt vector** is an array of pointers **→** the memory addresses of *interrupt handlers*. This array is indexed by a unique device number

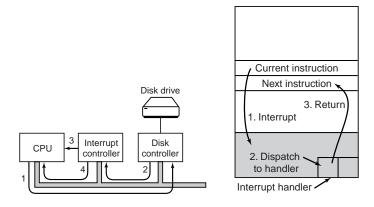
\$ less /proc/devices

\$ less /proc/interrupts

Programmable Interrupt Controllers

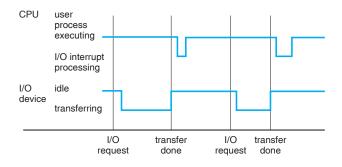


Interrupt Processing



• [Modern Operating Systems, Sec. 1.3.5, I/O Devices]

Interrupt Timeline



5.4 System Calls

System Calls

A System Call

- is how a program requests a service from an OS kernel
- provides the interface between a process and the OS

\$ man 2 intro

\$ man 2 syscalls

Process management

| Call | Description |
|---------------------------------------|--|
| pid = fork() | Create a child process identical to the parent |
| pid = waitpid(pid, &statloc, options) | Wait for a child to terminate |
| s = execve(name, argv, environp) | Replace a process' core image |
| exit(status) | Terminate process execution and return status |

File management

| Call | Description | |
|--------------------------------------|--|--|
| fd = open(file, how,) | Open a file for reading, writing or both | |
| s = close(fd) | Close an open file | |
| n = read(fd, buffer, nbytes) | Read data from a file into a buffer | |
| n = write(fd, buffer, nbytes) | Write data from a buffer into a file | |
| position = lseek(fd, offset, whence) | Move the file pointer | |
| s = stat(name, &buf) | Get a file's status information | |

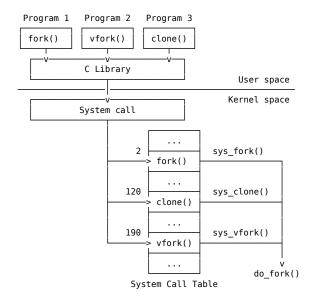
Directory and file system management

| Call | Description |
|--------------------------------|--|
| s = mkdir(name, mode) | Create a new directory |
| s = rmdir(name) | Remove an empty directory |
| s = link(name1, name2) | Create a new entry, name2, pointing to name1 |
| s = unlink(name) | Remove a directory entry |
| s = mount(special, name, flag) | Mount a file system |
| s = umount(special) | Unmount a file system |

Miscellaneous

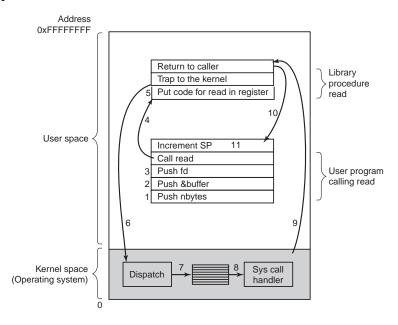
| Call | Description |
|--------------------------|---|
| s = chdir(dirname) | Change the working directory |
| s = chmod(name, mode) | Change a file's protection bits |
| s = kill(pid, signal) | Send a signal to a process |
| seconds = time(&seconds) | Get the elapsed time since Jan. 1, 1970 |

Fig. 1-18. Some of the major POSIX system calls. The return code s is -1 if an error has occurred. The return codes are as follows: pid is a process id, fd is a file descriptor, n is a byte count, position is an offset within the file, and seconds is the elapsed time. The parameters are explained in the text.



The 11 steps in making a system call

read(fd, buffer, nbytes)



Example

Linux INT 80h

Interrupt Vector Table: The very first 1KiB of x86 memory.

- 256 entries \times 4B = 1KiB
- Each entry is a complete memory address (segment:offset)
- It's populated by Linux and BIOS
- Slot 80h: address of the kernel services dispatcher (sys-call table)

Example

```
; https://www.devdungeon.com/content/hello-world-nasm-assembler
; Compile: nasm -f elf hello32.asm -o hello.o
; Link: ld hello.o -o hello -m elf_i386
; Run: ./hello
;
; strace issue:
```

```
; https://github.com/strace/strace/issues/103 (need kernel 5.3+)
                   ; https://stackoverflow.com/questions/57850588/
                   \  \, \hookrightarrow \  \, \textit{confused-by-strace-output-of-a-simple-helloworld-nasm-program/}
                   → 57884132#57884132
  SECTION .DATA
          db 'Hello, world!',10 ; 10 = ascii for LF
12 Msg:
13 MsgLen: equ $-Msg
15 SECTION .TEXT
16 GLOBAL _start
  _start:
                          ; write(
          mov eax, 4
                          ; STDOUT_FILENO,
          mov ebx, 1
          mov ecx, Msg ; "Hello, world!\n",
          mov edx, MsgLen ; sizeof("Hello, world!\n")
                          ;);
          int 80h
          mov eax, 1
                          ; exit(
                           ; EXIT_SUCCESS
           mov ebx, 0
           int 80h
   $ nasm -f elf64 hello.asm -o hello.o
   $ ld hello.o -o hello
   $ less /usr/include/asm/unistd_32.h
   $ less /usr/include/asm/unistd_64.h
  64-bits version:
           ; https://jameshfisher.com/2018/03/10/linux-assembly-hello-world/
           ; $ nasm -f elf64 -o hello64.o hello64.s
           ; $ ld -o hello64 hello64.o
          ; $ ./hello64
  global _start
9 section .text
  _start:
    mov rax, 1
                     ; write(
                      ; STDOUT_FILENO,
; "Hello, world!\n",
    mov rdi, 1
   mov rsi, msg
   mov rdx, msglen
                       ; sizeof("Hello, world! \n")
                       ;);
    syscall
   mov rax, 60
                    ; exit(
                     ; EXIT_SUCCESS
    mov rdi, 0
    syscall
                       ; );
22 section .rodata
     msg: db "Hello, world!", 10
    msglen: equ $ - msg
```

System Call Examples

```
#include <unistd.h>
int main(void)
{
    write(1, "Hello, world!\n", 14);
    return 0;
}

/* Local Variables: */
// compile-command: "gcc -Wall -Wextra write.c -o
    write" */
/* End: */
Actually, write() is a wrapper function in glibc.

## man 2 write
## man 3 write

** man 2 write
## man 3 write

** ma
```

Don't invoke syscall directly whenever possible

```
/* Calling sys_write using inline assembly code */
/* https://jameshfisher.com/2018/02/20/c-inline-assembly-hello-world/ */
int main(void) {
   register char* arg2 asm("rsi") = "hello, world!\n";

   /* rax: sys_write; rdi: STDOUT; */
   asm("mov $1, %rax; mov $1, %rdi; mov $14, %rdx; syscall;");

   return 0;
}

return 0;

/* Local Variables: */
/* compile-command: "gcc -Wall write-inlineasm.c -o w-inline" */
/* End: */
```

- https://jameshfisher.com/2018/02/20/c-inline-assembly-hello-world/
- https://cs.lmu.edu/~ray/notes/gasexamples/
- https://montcs.bloomu.edu/Information/LowLevel/Assembly/assembly-tutorial.html

System Call Examples

\$ man 2 fork

```
_{
m 1} /* Basically, the fork() call, inside a process, creates an exact copy of that process somewhere
      else in the memory (meaning it'll copy variable values, etc...), and runs the copy from the
   \hookrightarrow point
     the call was made (for the assembly kids: it means that the relative value of the next
      instruction pointer is also copied) */
6 /* When we launch this program, it first goes through the first puts(). Then, the fork() makes a
     copy of this program. Finally, each one of this program and its copy goes through the second
     puts(). */
10 #include <stdio.h>
#include <unistd.h>
int main ()
14 {
   puts("Hello World!");
   fork();
   puts("Goodbye Cruel World!");
   return 0;
19 }
20 /* Local Variables: */
21 /* compile-command: "gcc -Wall fork.c" */
22 /* End: */
```

execve()

```
#include <stdio.h>
#include <unistd.h>

int main()

from printf("Hello World!\n");

if( fork() != 0 )

printf("I am the parent process.\n");

else {

printf("A child is listing the directory contents...\n");

execl("/bin/ls", "ls", "-al", NULL);

return 0;

return 0;

}
```

\$ man 2 execve

\$ man 3 exec

Quoted from stackoverflow: What is the difference between the functions of the *exec* family of system calls:

There is no *exec* system call — this is usually used to refer to all the *execXX* calls as a group. They all do essentially the same thing: loading a new program into the current process, and provide it with arguments and environment variables. The differences are in how the program is found, how the arguments are specified, and where the environment comes from.

- The calls with *v* in the name take an array parameter to specify the argv[] array (*vector*) of the new program.
- The calls with *l* in the name take the arguments of the new program as a variable-length argument *list* to the function itself.
- The calls with *e* in the name take an extra argument to provide the *environment* of the new program; otherwise, the program inherits the current process's environment.
- The calls with *p* in the name search the *PATH* environment variable to find the program if it doesn't have a directory in it (i.e. it doesn't contain a / character). Otherwise, the program name is always treated as a path to the executable.

Part IV

Working With Files

6 Files

File

A logical view of information storage

User's view

A file is the smallest storage unit on disk.

• Data cannot be written to disk unless they are within a file

UNIX view

Each file is a sequence of 8-bit bytes

• It's up to the application program to interpret this byte stream.

File

What is stored in a file?

Source code, object files, executable files, shell scripts, PostScript...

Different type of files have different structure

· UNIX looks at contents to determine type

Shell scripts start with "#!" **PDF** start with "%PDF..."

Executables start with *magic number*

• Windows uses file naming conventions

executables end with ".exe" and ".com"

MS-Word end with ".doc" MS-Excel end with ".xls"

File Types

Regular files: ASCII, binary

Directories: Maintaining the structure of the FS

In UNIX, everything is a file

Character special files: I/O related, such as terminals, printers ... **Block special files:** Devices that can contain file systems, i.e. disks

Disks — logically, linear collections of blocks; disk driver translates them into physical block addresses

File Operations

POSIX file system calls

```
creat(name, mode)
                           read(fd, buffer, byte_count)
 open(name, flags)
                           write(fd, buffer, byte_count)
 close(fd)
                           lseek(fd, offset, whence)
 link(oldname, newname)
                           chown(name, owner, group
                           fchown(fd, owner, group)
 unlink(name)
 truncate(name, size)
                           chmod(name, mode
 ftruncate(fd, size)
                           fchmod(fd, mode)
 stat(name, buffer)
                           utimes(name, times)
 fstat(fd, buffer)
write()
                                                      read()
   #include <unistd.h>
                                                          #include <unistd.h>
 3 int main(void)
                                                         int main(void)
 4 {
                                                       4 {
    write(1, "Hello, world!\n", 14);
                                                           char buffer[10];
                                                           read(0, buffer, 10);
    return 0;
  }
                                                           write(1, buffer, 10);
10 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra write.c -o
                                                           return 0;

    write" */

12 /* End: */
                                                       14 /* Local Variables: */
                                                       /* compile-command: "gcc -Wall
   $ man 2 write
                                                          → -Wextra read.c -o read" */
   $ man 3 write
                                                       16 /* End: */
                                                          $ man 2 read
                                                          $ man 3 read
```

- No need to ${\tt open()}$ STDIN, STDOUT, and STDERR

```
ср
```

```
#include <sys/types.h>
                           /* include necessary header files */
2 #include <fcntl.h>
3 #include <stdlib.h>
4 #include <unistd.h>
6 #define BUF_SIZE 4096
7 #define OUTPUT_MODE 0700
9 int main(int argc, char *argv[])
10 {
    int in, out, rbytes, wbytes;
    char buf[BUF_SIZE];
    if (argc != 3) exit(1);
    if ( (in = open(argv[1], O_RDONLY)) < 0 ) exit(2);</pre>
    if ( (out = creat(argv[2], OUTPUT_MODE)) < 0 ) exit(3);</pre>
    while (1) { /* Copy loop */
       if ( (rbytes = read(in, buf, BUF_SIZE)) <= 0 ) break;</pre>
       if ( (wbytes = write(out, buf, rbytes)) \leq 0 ) exit(4);
    }
```

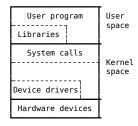
```
close(in); close(out);
if (rbytes == 0) exit(0); /* no error on last read */
else exit(5); /* error on last read */

/* Else exit(5); /* error on last read */

/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra cp-syscall.c -o cp-syscall" */
/* End: */

stdio — The Standard I/O Library
System calls: open(), read(), write(), close()...
Library functions: fopen(), fread(), fwrite, fclose()...
```

- Avoid calling syscalls directly as much as you can
 - · Portability
 - · Buffered I/O



```
open() vs. fopen()
open()
```

fopen() — Buffered I/O

```
1 #include <unistd.h>
 2 #include <sys/stat.h>
                                                  1 #include <stdio.h>
3 #include <fcntl.h>
4 #include <stdio.h>
                                                    int main(void)
                                                  4
6 int main()
                                                      FILE *stream;
                                                  6
                                                      stream = fopen("/tmp/1m.test", "r");
8
    char c;
    int in;
    in = open("/tmp/1m.test", O_RDONLY);
                                                      while ( fgetc(stream) != EOF );
    while (read(in, &c, 1) == 1);
                                                      fclose(stream);
                                                      return 0;
    return 0;
15 }
                                                 14 }
                                                  16 /* Local Variables: */
18 /* Local Variables: */
                                                 /* compile-command: "gcc -Wall -Wextra
/* compile-command: "gcc -Wall -Wextra

→ fopen.c -o fopen" */

→ open.c -o open" */
                                                 18 /* End: */
20 /* End: */
                                                 $ strace -c ./fopen
```

- \$ strace -c ./open
 - $\ dd \ if=/dev/zero \ of=/tmp/1m.test bs=1k count=1024$
 - https://stackoverflow.com/questions/1658476/c-fopen-vs-open

cp - With stdio

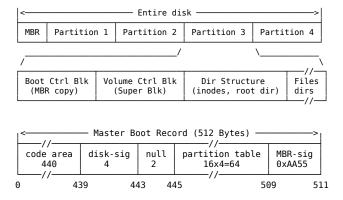
```
#include <stdio.h>
#include <stdlib.h>

int main(int argc, char *argv[])
```

- ☐ Try fread()/fwrite() instead.
 - https://stackoverflow.com/questions/32742430/is-getc-a-macro-or-a-function
 - https://stackoverflow.com/questions/9104568/macro-vs-function-in-c

File System Implementation

A typical file system layout



On-Disk Information Structure

Boot block a MBR copy

Superblock Contains volume details

number of blocks free-block count free FCB count free FCB pointers

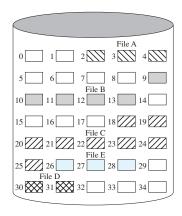
I-node Organizes the files *FCB* (*File Control Block*), contains file details (metadata).

Superblock

Keeps information about the file system

- Type ext2, ext3, ext4...
- Size
- Status how it's mounted, free blocks, free inodes, ...
- · Information about other metadata structures
- \$ sudo dumpe2fs /dev/sda1 | less

Implementing Files

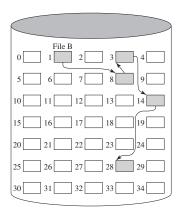


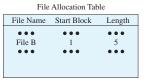
| File Allocation Table | | |
|-----------------------|-------------|--------|
| ile Name | Start Block | Length |
| File A | 2 | 3 |
| File B | 9 | 5 |
| File C | 18 | 8 |
| File D | 30 | 2 |
| File E | 26 | 3 |
| | | |

Contiguous Allocation

- © simple
- © good for read only

(3) fragmentation





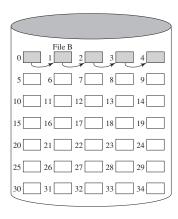
Linked List (Chained) Allocation

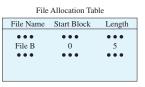
A pointer in each disk block

- in o waste block
- Slow random access

 $\ \ \,$ not 2^n

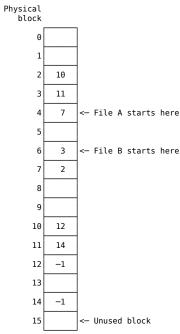
Linked List (Chained) Allocation Though there is no external fragmentation, consolidation is still preferred.





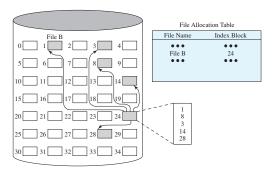
- Taking the pointer out of each disk block, and putting it into a table in memory
- · fast random access (chain is in RAM)
- is 2^n
- · the entire table must be in RAM

$$disk \nearrow \Rightarrow FAT \nearrow \Rightarrow RAM_{used} \nearrow$$



See also: [File Allocation Table — Wikipedia, The Free Encyclopedia, Wikipedia:FAT].

Indexed Allocation

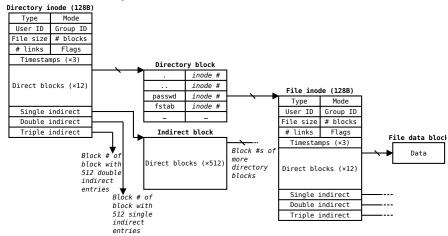


I-node A data structure for each file. An i-node is in memory *only if* the file is open

$$files_{opened} \nearrow \Rightarrow RAM_{used} \nearrow$$

See also: [Inode — Wikipedia, The Free Encyclopedia, Wikipedia:inode].

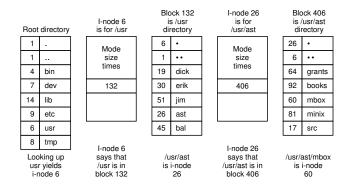
UNIX Treats a Directory as a File



open()

Why? To avoid constant searching

• Without open(), every file operation involves searching the directory for the file. The steps in looking up /usr/ast/mbox



fd open(pathname, flags)

A per-process open-file table is kept in the OS

- upon a successful open() syscall, a new entry is added into this table
- indexed by file descriptor (fd)
- close() to remove an entry from the table

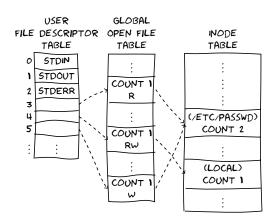
To see files opened by a process, e.g. init

\$ lsof -p 1

\$ man 2 open

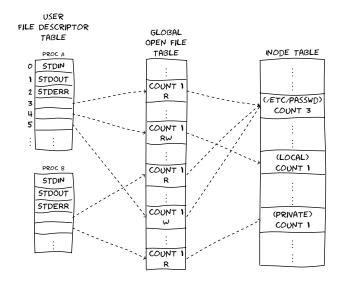
A process executes the following code:

```
fd1 = open("/etc/passwd", O_RDONLY);
fd2 = open("local", O_RDWR);
fd3 = open("/etc/passwd", O_WRONLY);
```



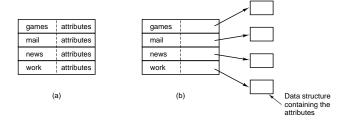
One more process B:

```
fd1 = open("/etc/passwd", O_RDONLY);
fd2 = open("private", O_RDONLY);
```



7 Directories

Implementing Directories

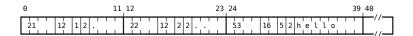


- (a) A simple directory (Windows)
 - fixed size entries
 - disk addresses and attributes in directory entry
- (b) Directory in which each entry just refers to an i-node (UNIX)

Directory entry in glibc

- \$ man readdir
- \$ view /usr/include/x86_64-linux-gnu/bits/dirent.h

Ext2 Directories



```
-> inode number
                    -> record length
                      -> name length
                        -> file type
                             -> name
                12 | 1 | 2
         21
                                                 • Directories are special files
                                                 • "." and ".." first
                12 2 2 . .
         22
      12
                                                 • Padding to 4 \times
                  5 2 h e l l o
          53
                16
      24
                                                 • inode number is 0 — deleted file
                  3 2 u s r
          67
                28
      40
                16 7 1 0 l d f i l e
         Ó
      52
                12 | 4 | 2 | s | b | i | n
      68
          34
ls
     1 #include <sys/types.h>
     2 #include <dirent.h>
    3 #include <stddef.h>
       #include <stdio.h>
       int main(int argc, char *argv[])
       {
         DIR *dp;
         struct dirent *entry;
                                                                       The real ls.c?
         dp = opendir(argv[1]);

    116 A4 pages

                                                                           • 5308 lines
         while ( (entry = readdir(dp)) != NULL ){
                                                                        Do one thing, and do it really well.
           printf("%s\n", entry->d_name);
                                                                           $ apt source coreutils
         closedir(dp);
         return 0;
    20 }
    22 /* Local Variables: */
    23 /* compile-command: "gcc -Wall -Wextra ls.c -o ls" */
    24 /* End: */
mkdir(), chdir(), rmdir(), getcwd()
 | #include <sys/stat.h>
 2 #include <sys/types.h>
 3 #include <unistd.h>
 4 #include <stdio.h>
 6 int main(int argc, char *argv[])
 7 {
     char s[100];
     if ( mkdir(argv[1], S_IRUSR|S_IXUSR) == 0 )
        chdir(argv[1]);
     printf("PWD = %s\n", getcwd(s,100));
     rmdir(argv[1]);
     return 0;
14 }
```

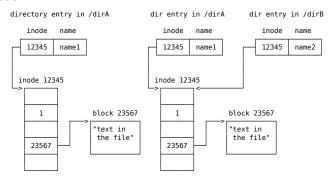
Hard Links

18 /* End: */

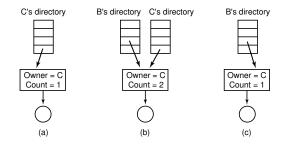
16 /* Local Variables: */

/* compile-command: "gcc -Wall -Wextra mkdir.c -o mkdir" */

Hard links • the same inode

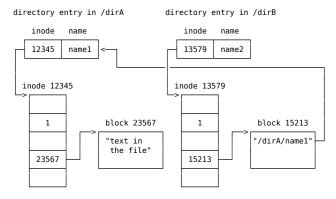


Drawback



Symbolic Links

A symbolic link has its own inode - a directory entry



Fast symbolic link: Short path name (< 60 *chars*) needs no data block. Can be stored in the 15 pointer fields

link(), unlink(), symlink()

```
#include <unistd.h>
#include <stdio.h>

int main(int argc, char *argv[])

{
    /* link(argv[1], argv[2]); */
    /* symlink(argv[1], argv[2]); */
    unlink(argv[1]);
    perror(argv[0]);
    return 0;
}
```

```
/* Local Variables: */
15 /* compile-command: "gcc -Wall -Wextra link.c -o ln" */
16 /* End: */
```

Part V

Processes and Threads

8 Virtual Memory

Programs

A program is a file sitting in your hard disk. Two forms:

- Source code, e.g. hello.c, human readable
- Executable code, e.g. a.out, machine readable

Binary format identification Usually ELF

Machine-language instructions Program algorithm

Entry-point address Where to find main()?

Data Initialized variables

Symbol and relocation tables Address of variables, functions...

Shared-library Where to find printf()?

More ...

Process

A process is an instance of a program in execution

Processes are like human beings:

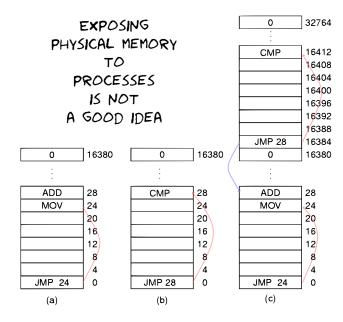
- they are generated
 they are generated they are generated
 they are generated they are
- **>>>** they have a life
- they optionally generate one or more child processes, and
- **>>>** eventually they die

A small difference:

- sex is not really common among processes
- each process has just one parent

STACK FFFF GAP DATA TEXT 0000

Problem With Real Mode



Protected mode

We need

- Protect the OS from access by user programs
- Protect user programs from one another

Protected mode is an operational mode of x86-compatible CPU.

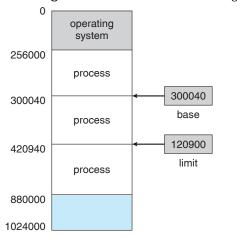
• The purpose is to protect everyone else (including the OS) from your program.

Memory Protection

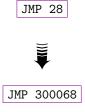
Logical Address Space

Base register holds the smallest legal physical memory address

Limit register contains the size of the range

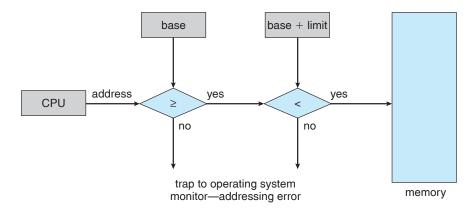


A pair of base and limit registers define the logical address space

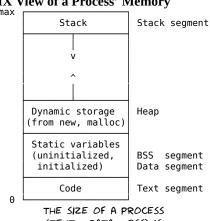


Memory Protection

Base and limit registers



UNIX View of a Process' Memory



(TEXT + DATA + BSS) IS ESTABLISHED AT COMPILE TIME text: program code

data: initialized global and static data bss: uninitialized global and static data

heap: dynamically allocated with malloc, new

stack: local variables

Stack vs. Heap

| Stack | Неар |
|-------------------------|---------------------|
| compile-time allocation | run-time allocation |
| auto clean-up | you clean-up |
| inflexible | flexible |
| smaller | bigger |
| quicker | slower |

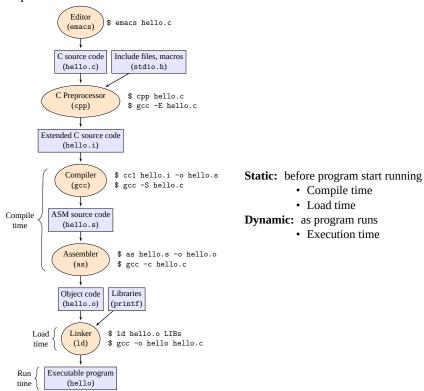
How large is the ... stack: ulimit -s

heap: could be as large as your virtual memory

text|data|bss: size a.out

Multi-step Processing of a User Program

When is space allocated?



Compiler The name "compiler" is primarily used for programs that translate source code from a high-level programming language to a lower level language (e.g., assembly language or machine code)[Compiler — Wikipedia, The Free Encyclopedia].

Assembler An assembler creates object code by translating assembly instruction mnemonics into opcodes, and by resolving symbolic names for memory locations and other entities[Assembly language — Wikipedia, The Free Encyclopedia].

Linker Computer programs typically comprise several parts or modules; all these parts/modules need not be contained within a single object file, and in such case refer to each other by means of symbols[*Linker (computing) — Wikipedia, The Free Encyclopedia*].

When a program comprises multiple object files, the linker combines these files into a unified executable program, resolving the symbols as it goes along.

Linkers can take objects from a collection called a library. Some linkers do not include the whole library in the output; they only include its symbols that are referenced from other object files or libraries. Libraries exist for diverse purposes, and one or more system libraries are usually linked in by default.

The linker also takes care of arranging the objects in a program's address space. This may involve relocating code that assumes a specific base address to another base. Since a compiler seldom knows where an object will reside, it often assumes a fixed base location (for example,zero).

Loader An assembler creates object code by translating assembly instruction mnemonics into opcodes, and by resolving symbolic names for memory locations and other entities. ... Loading a program involves reading the contents of executable file, the file containing the program text, into memory, and then carrying out other required preparatory tasks to prepare the executable for running. Once loading is complete, the operating system starts the program by passing control to the loaded program code[Loader (computing) — Wikipedia, The Free Encyclopedia]

Dynamic linker A dynamic linker is the part of an operating system (OS) that loads (copies from persistent storage to RAM) and links (fills jump tables and relocates pointers) the shared libraries needed by an executable at run time, that is, when it is executed. The specific operating system and executable format determine how the dynamic linker functions and how it is implemented. Linking is often referred to as a process that is performed at compile time of the executable while a dynamic linker is in actuality a special loader that loads external shared libraries into a running process and then binds those shared libraries dynamically to the running process. The specifics of how a dynamic linker functions is operating-system dependent[*Dynamic linker — Wikipedia*, *The Free Encyclopedia*]

Linkers and Loaders allow programs to be built from modules rather than as one big monolith. See also:

- [Computer Systems: A Programmer's Perspective, Chap. 7, Linking].
- COMPILER, ASSEMBLER, LINKER AND LOADER: A BRIEF STORY³.
- Linkers and Loaders⁴.
- [Linkers and Loaders, Links and loaders].
- Linux Journal: Linkers and Loaders⁵. Discussing how compilers, links and loaders work and the benefits of shared libraries.

Address Binding

Who assigns memory to segments?

Static-binding: before a program starts running

Compile time: *Compiler* and *assembler* generate an object file for each source file

Load time:

- Linker combines all the object files into a single executable object file
- Loader (part of OS) loads an executable object file into memory at location(s) determined by the OS
 - invoked via the execve system call

Dynamic-binding: as program runs

- Execution time:
 - uses new and malloc to dynamically allocate memory
 - gets space on stack during function calls
- Address binding has nothing to do with physical memory (RAM). It determines the addresses of objects in the address space (virtual memory) of a process.

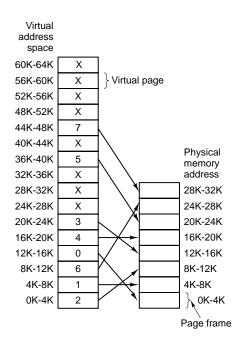
Virtual Memory

Logical memory can be much larger than physical memory

³http://www.tenouk.com/ModuleW.html

⁴http://www.iecc.com/linker/

⁵http://www.linuxjournal.com/article/6463



Address translation

 $\begin{array}{c|c} virtual & page \ table \\ address & & \\ \end{array} \begin{array}{c} physical \\ address \end{array}$

$$Page \; 0 \xrightarrow{map \; to} Frame \; 2$$

$$0_{virtual} \xrightarrow{map\,to} 8192_{physical}$$

$$\begin{array}{c} 20500_{vir} \\ (20k + 20)_{vir} \end{array} \xrightarrow{map \, to} \begin{array}{c} 12308_{phy} \\ (12k + 20)_{phy} \end{array}$$

Paging

Address Translation Scheme

Address generated by CPU is divided into: Page number(p): an index into a page table

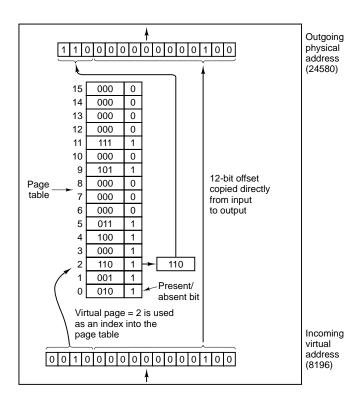
Page offset(d): to be copied into memory

Given logical address space (2^m) and page size (2^n) ,

number of pages
$$=\frac{2^m}{2^n}=2^{m-n}$$

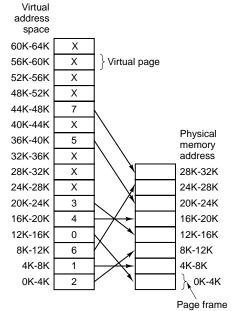
Example: addressing to 0010000000000100

page number = 0010 = 2, page offset = 000000000100



Virtual pages: 16
Page size: 4k
Virtual memory: 64K
Physical frames: 8
Physical memory: 32K

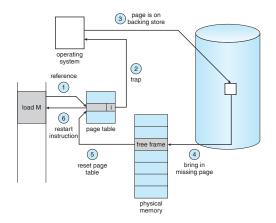
Page Fault



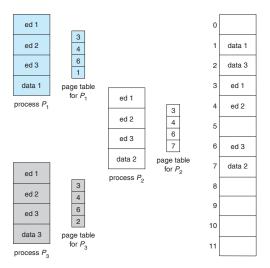
MOV REG, 32780?

→ Page fault & swapping

Page Fault Handling



Shared Pages



Page Table Entry

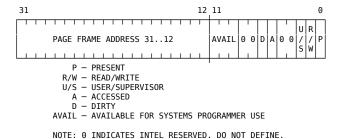
Intel i386 Page Table Entry

- Commonly 4 bytes (32 bits) long
- Page size is usually 4k (2^{12} bytes). OS dependent

\$ getconf PAGESIZE

• Could have $2^{32-12} = 2^{20} = 1M$ pages

Could address $1M \times 4KB = 4GB$ memory



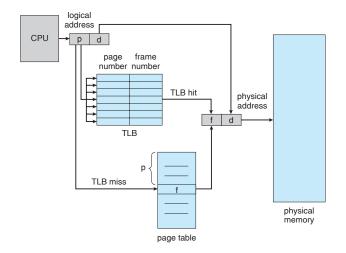
Page Table

- · Page table is kept in main memory
- Usually one page table for each process
- Page-table base register (PTBR): A pointer to the page table is stored in PCB
- Page-table length register (PRLR): indicates size of the page table
- Slow

- Requires two memory accesses. One for the page table and one for the data/instruction.
- TLB

Translation Lookaside Buffer (TLB)

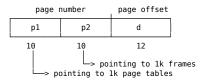
80-20 rule Only a small fraction of the PTEs are heavily read; the rest are barely used at all



Multilevel Page Tables

- a 1M-entry page table eats 4M memory
- while 100 processes running, 400M memory is gone for page tables
- avoid keeping all the page tables in memory all the time

A two-level scheme



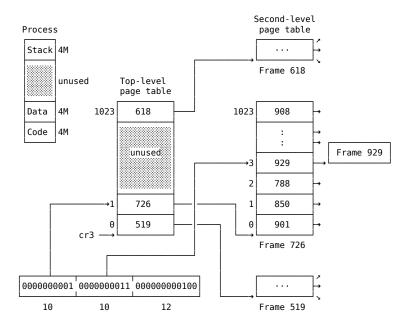
p1: is an index into the outer page table

p2: is the displacement within the page of the outer page table

- Split one huge page table into 1k small page tables
 - i.e. the huge page table has 1k entries.
 - Each entry keeps a page frame number of a small page table.
- Each small page table has 1k entries
 - Each entry keeps a page frame number of a physical frame.

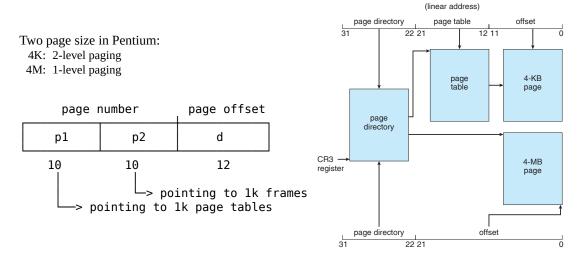
Two-Level Page Tables

Example



Pentium Paging

 $Linear\ Address \Rightarrow Physical\ Address$



Problem With 64-bit Systems Given:

- virtual address space $= 64 \, bits$
- page size = $4 \, KB = 2^{12} \, B$
- ? How much space would a simple single-level page table take?
 - if Each page table entry takes $4\,Bytes$

then The whole page table (2^{64-12} entries) will take

$$2^{64-12} \times 4\,B = 2^{54}\,B = 16\,PB \quad \textit{(peta \Rightarrow tera \Rightarrow giga)!}$$

And this is for ONE process!

Multi-level?

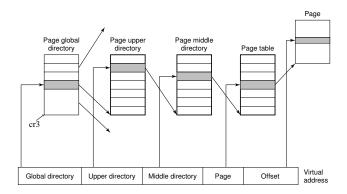
 $\begin{array}{ll} & 10\ bits\ {\rm for\ each\ level}\\ & {\rm then}\ \ \frac{64-12}{10}=5\ {\rm levels\ are\ required} \end{array}$

5 memory accress for each address translation!

• The CR3 register • the top level page table for the current process.

Paging In Linux

4-level paging for both 32-bit and 64-bit



4-level paging for both 32-bit and 64-bit

- 64-bit: four-level paging
 - 1. Page Global Directory
 - 2. Page Upper Directory
 - 3. Page Middle Directory
 - 4. Page Table
- 32-bit: two-level paging
 - 1. Page Global Directory
 - 2. Page Upper Directory 0 bits; 1 entry
 - 3. Page Middle Directory 0 bits; 1 entry
 - 4. Page Table

The same code can work on 32-bit and 64-bit architectures

| Arch | Page size | Address bits | Paging levels | Address splitting |
|---------|-------------|-----------------|------------------|----------------------|
| x86 | 4KB(12bits) | 32 | 2 | 10 + 0 + 0 + 10 + 12 |
| x86-PAE | 4KB(12bits) | 32 | 3 | 2+0+9+9+12 |
| x86-64 | 4KB(12bits) | 48 | 4 | 9 + 9 + 9 + 9 + 12 |

9 Process

From kernel's point of view

A process consists of

User-space memory program code, variable...

Kernel data structures keep the state of the process

Process Control Block (PCB)

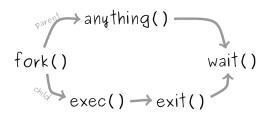
Implementation

A process is *the collection of data structures* that fully describes how far the execution of the program has progressed.

- Each process is represented by a *PCB*
- task_struct in 🐧

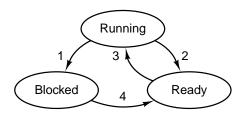
| process state | |
|--------------------|--|
| PID | |
| program counter | |
| registers | |
| memory limits | |
| list of open files | |
| | |

Process Creation



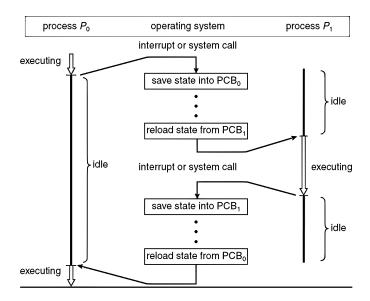
- When a process is created, it is almost identical to its parent
 - It receives a (logical) copy of the parent's address space, and
 - executes the same code as the parent
- The parent and child have separate copies of the data (stack and heap)

Process State Transition



- 1. Process blocks for input
- 2. Scheduler picks another process
- 3. Scheduler picks this process
- 4. Input becomes available

CPU Switch From Process To Process



Forking in C

/* Basically, the fork() call, inside a process, creates an exact copy of that process somewhere else in the memory (meaning it'll copy variable values, etc...), and runs the copy from the point the call was made (for the assembly kids: it means that the relative value of the next instruction pointer is also copied) */

/* When we launch this program, it first goes through the first puts(). Then, the fork() makes a copy of this program. Finally, each one of this program and its copy goes through the second puts(). */

#include <stdio.h>
#include <unistd.h>

```
int main ()
14 {
     puts("Hello World!");
     puts("Goodbye Cruel World!");
     return 0;
19 }
20 /* Local Variables: */
   /* compile-command: "gcc -Wall fork.c" */
22 /* End: */
exec()
                                  1 int main()
                                  2 {
                                     pid_t pid;
                                      /* fork another process */
                                      pid = fork();
                                      if (pid < 0) { /* error occurred */
                                       fprintf(stderr, "Fork Failed");
                                        exit(-1);
                                      else if (pid == 0) { /* child process */
                                        execlp("/bin/ls", "ls", NULL);
                                      else { /* parent process */
                                       /* wait for the child to complete */
                                       wait(NULL);
                                       printf ("Child Complete");
                                       exit(0);
                                      return 0;
```

More about argv[0] int execve(const char *pathname, char *const argv[], char *const envp[]);

- pathname should be the binary image of a program. Or it can be a script (man 2 execve);
- argv[0] is the new process name, usually the same as the basename of pathname, though it can be any other string. It can even be NULL (see Figure 3 for example).

The fact that argv[0] contains the name used to invoke the program can be employed to perform a useful trick. We can create multiple links to (i.e., names for) the same program, and then have the program look at argv[0] and take different actions depending on the name used to invoke it. An example of this technique is provided by the gzip(1), gunzip(1), and zcat(1) commands, all of which are links to the same executable file. [*The Linux Programming Interface: A Linux and UNIX System Programming Handbook*, Sec. 6.6]

- https://stackoverflow.com/questions/2794150/when-can-argv0-have-null
- https://stackoverflow.com/questions/36673765/why-can-the-execve-system-call-run-bin-sh-without-any-arguments-but-not

10 Thread

Process vs. Thread a single-threaded process = resource + execution a multi-threaded process = resource + executions

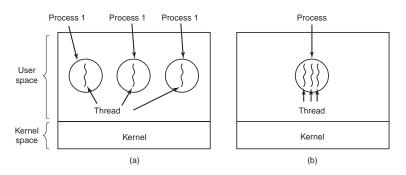
```
#include <unistd.h>
                                               #include <stdio.h>
  int main(void) {
                                               int main(int argc, char **argv) {
    char *argv[] = {NULL};
                                                 if (argc == 0 && argv[0] == NULL)
    char *envp[] = {NULL};
                                                     puts("yup");
    execve("callee.out", argv, envp);
                                               }
                                               /* Local Variables: */
  /* Local Variables: */
                                               /* compile-command: "gcc -Wall
10 /* compile-command: "gcc -Wall
                                                   -Wextra callee.c -o callee" */
   → -Wextra caller.c -o caller" */
                                            10 /* End: */
11 /* End: */
```

Fig. 1: caller.c

Fig. 2: callee.c

```
$ gcc -Wall caller.c -o caller.out
$ gcc -Wall callee.c -o callee.out
$ ./caller.out ./callee.out
```

Fig. 3: argv[0] can be NULL



A process = a unit of resource ownership, used to group resources together; **A thread** = a unit of scheduling, scheduled for execution on the CPU.

Threads

| code, data, open files, signals | | |
|---------------------------------|--------------------|--------------------|
| thread ID | thread ID | thread ID |
| program counter | program counter | program counter |
| register set | register set | register set |
| stack | stack | stack |

POSIX Threads

IEEE 1003.1c The standard for writing portable threaded programs. The threads package it defines is called *Pthreads*, including over 60 function calls, supported by most UNIX systems.

Some of the Pthreads function calls

| Thread call | Description |
|----------------------|--|
| pthread_create | Create a new thread |
| pthread_exit | Terminate the calling thread |
| pthread_join | Wait for a specific thread to exit |
| pthread_yield | Release the CPU to let another thread run |
| pthread_attr_init | Create and initialize a thread's attribute structure |
| pthread_attr_destroy | Remove a thread's attribute structure |

Pthreads

Example 1

```
#include <pthread.h>
2 #include <stdlib.h>
3 #include <unistd.h>
4 #include <stdio.h>
6 void *thread_function(void *arg) {
    int i;
    for ( i=0; i<10; i++ ) {
      printf("Thread says hi!, %d\n",i);
      sleep(1);
    return NULL;
13 }
  int main(void)
16 {
    pthread_t mythread;
    if( pthread_create(&mythread, NULL, thread_function, NULL) ) {
      printf("error creating thread.");
      abort();
    }
    printf("Can you see my thread working?\n");
    if( pthread_join ( mythread, NULL ) ) {
      printf("error joining thread.");
       abort();
    }
    exit(0);
32 }
34 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra thread1.c -o thread1 -pthread" */
36 /* End: */
```

Pthreads

pthread_t defined in pthread.h, is often called a "thread id" (tid);
pthread_create() returns zero on success and a non-zero value on failure;
pthread_join() returns zero on success and a non-zero value on failure;

How to use pthread?

```
• #include<pthread.h>
$ gcc thread1.c -o thread1 -pthread
$ ./thread1
```

Pthreads

Example 2

```
1 #include <pthread.h>
2 #include <stdio.h>
3 #include <stdlib.h>
4 #include <unistd.h>
6 #define NUMBER_OF_THREADS 5
8 void *hello(void *tid)
9 {
    printf ("Hello from thread %d\n", *(int*)tid);
    pthread_exit(NULL);
14 int main(void)
15 {
    pthread_t t[NUMBER_OF_THREADS];
    int status, i;
    for (i=0; i<NUMBER_OF_THREADS; i++){</pre>
           printf("Main: creating thread %d ...", i);
       if( (status = pthread_create(&t[i], NULL, hello, (void *)&i)) ){
             perror("pthread_create");
         exit(-1);
26
      puts("done.");
     for (i=0; i<NUMBER_OF_THREADS; i++){</pre>
30
       printf("Joining thread %d ...",i);
       if( pthread_join(t[i], NULL) ){
         perror("pthread_join");
         abort();
36
      puts("done.");
     exit(0);
40 }
41
  /* Local Variables: */
43 /* compile-command: "gcc -Wall -Wextra thread3.c -o thread3 -pthread" */
44 /* End: */
```

Linux Threads

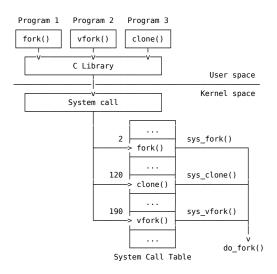
To the Linux kernel, there is no concept of a thread

- · Linux implements all threads as standard processes
- To Linux, a thread is merely a process that shares certain resources with other processes
- Some OS (MS Windows, Sun Solaris) have cheap threads and expensive processes.
- · Linux processes are already quite lightweight

```
On a 75MHz Pentium thread: 1.7\mu s fork: 1.8\mu s
```

Linux Threads

clone() creates a separate process that shares the address space of the calling process. The cloned task behaves much like a separate thread.



clone()

arg 1 the function to be executed, i.e. fn(arg), which returns an int;

arg 2 a pointer **→** a (usually malloced) memory space to be used as the stack for the new thread;

 ${f arg} \; {f 3} \;$ a set of flags used to indicate how much the calling process is to be shared. In fact,

arg 4 the arguments passed to the function.

It returns the PID of the child process or -1 on failure.

\$ man clone

The clone() System Call

Some flags:

| flag | Shared |
|---------------|-----------------------|
| CLONE_FS | File-system info |
| CLONE_VM | Same memory space |
| CLONE_SIGHAND | Signal handlers |
| CLONE_FILES | The set of open files |

In practice, one should try to avoid calling clone() directly

Instead, use a threading library (such as pthreads) which use clone() when starting a thread (such as during a call to pthread_create())

clone() Example

```
/* http://stackoverflow.com/questions/5255320/reason-for-segmentation-fault */
#define _GNU_SOURCE
#include <unistd.h>
#include <sched.h>
#include <sys/types.h>
#include <stdlib.h>
#include <string.h>
#include <stdio.h>
#include <fcntl.h>

int variable;
```

```
int do_something() {
     variable = 42;
      _exit(0);
16 }
  int main(void) {
     void *child_stack;
     variable = 9;
     child_stack = (void *) malloc(8192); // WRONG!
     // child_stack = (void **) malloc(8192) + 8192 / sizeof(*child_stack); // Right!
     printf("The variable was %d\n", variable);
     clone(do_something, child_stack, CLONE_FS | CLONE_VM | CLONE_FILES, NULL);
     sleep(1);
     printf("The variable is now %d\n", variable);
     return 0;
  /* Local Variables: */
34 /* compile-command: "gcc -Wall clone.c" */
35 /* End: */
```

11 Signals

- Singals are software interrupts. Every signal has a name (SIGXXXX). Signals are classic examples of asynchronous events. They occur at what appear to be random times to the process. The process can't simply test a variable (such as errno) to see whether a signal has occurred; instead, the process has to tell the kernel "if and when this signal occurs, do the following." [Advanced programming in the UNIX environment, chap. 10]
- Signals are software interrupts sent to a program to indicate that an important event has occurred. The events can vary from user requests to illegal memory access errors. Some signals, such as the interrupt signal, indicate that a user has asked the program to do something that is not in the usual flow of control. (https://www.tutorialspoint.com/unix/unix-signals-traps)
- Signals are similar to interrupts, the difference being that interrupts are mediated by the processor and handled by the kernel while signals are mediated by the kernel (possibly via system calls) and handled by processes. The kernel may pass an interrupt as a signal to the process that caused it (typical examples are SIGSEGV, SIGBUS, SIGILL and SIGFPE). (https://en.wikipedia.org/wiki/Signal_(IPC))
- signal(7)
- \$ trap -1

Signals

- · Singals are software interrupts
- Every signal has a name (SIGXXXX)
- · One process can send a signal to another process

Sending signals

```
$ Ctrl + c, Ctrl + z, ...
$ kill -signal <pid>
```

Trapping signals

```
#! trap <command> <signals>
```

Trap

```
1 #!/bin/bash
2
```

```
3 sigint(){
   echo -e "Why Ctrl-c?\n->"
5 }
6
7 trap sigint SIGINT
9 echo -n "-> "
11 while read CMD; do
     $CMD
    echo -n "-> "
14 done
 #! trap "rm -rf $tmpfiles" EXIT
Example
SIGINT
#include <stdio.h>
2 #include <string.h>
                                  /* for strlen() */
3 #include <stdlib.h>
                                   /* for fork() */
4 #include <unistd.h>
5 #include <sys/wait.h>
                                   /* for waitpid() */
6 #include <signal.h>
8 #define MAXLINE 4096
void sig_int(int signo)
11 {
    printf("Why Ctrl-c?\n-> ");
13 }
int main(void)
16 {
     char buf[MAXLINE];
     pid_t pid;
     int status;
     if (signal(SIGINT, sig_int) == SIG_ERR){
       perror("signal");
       exit(EXIT_FAILURE);
    printf("-> ");
26
     while( fgets(buf, MAXLINE, stdin) != NULL ) {
       buf[strlen(buf) - 1] = ' \setminus 0'; /* null */
       if ( (pid = fork()) == 0 ) { /* child */
           execlp(buf, buf, (char*)0);
           perror("execlp");
           exit(127);
       if( (pid = waitpid(pid, &status, 0)) < 0 ) perror("waitpid");</pre>
       printf("-> ");
     exit(EXIT_SUCCESS);
40 }
41
42 /* Local Variables: */
43 /* compile-command: "gcc -Wall -Wextra shell2.c -o shell2" */
44 /* End: */
```

https://stackoverflow.com/questions/840501/how-do-function-pointers-in-c-work

Example

SIGUSR1

```
#include <signal.h>
2 #include <stdio.h>
3 #include <unistd.h>
4 #include <stdlib.h>
6 void sig_usr(int);
8 int main(void)
9 {
    printf("PID = %d n", getpid());
    if( signal(SIGUSR1, sig_usr) == SIG_ERR ){
      perror("signal<SIGUSR1>");
          exit(EXIT_FAILURE);
    for(;;) pause();
18 }
  void sig_usr(int signo)
21 {
    if (signo == SIGUSR1)
          puts("received SIGUSR1.");
      perror("sig_usr");
          exit(EXIT_FAILURE);
28 }
30 /* Local Variables: */
31 /* compile-command: "gcc -Wall -Wextra sigusr.c -o sigusr" */
32 /* End: */
  $ kill -USR1 <PID>
```

Example

SIGALRM

```
#include <signal.h>
#include <stdio.h>
#include <unistd.h>
#include <stdlib.h>

void cry(int sig)

puts("C: I'm crying...");
kill(getppid(),sig);

void complain(int sig)

puts("P: You're noisy.");

int main()
```

```
18 {
19     if ( fork() == 0 ) {
20         signal(SIGALRM, cry);
21         alarm(2);
22         pause();
23     }
24
25     signal(SIGALRM, complain);
26     pause();
27         exit(0);
28 }
29
30     /* Local Variables: */
31     /* compile-command: "gcc -Wall -Wextra alarm.c -o alarm" */
32     /* End: */
```

Part VI

Interprocess Communication

- https://stackoverflow.com/questions/2281204/which-linux-ipc-technique-to-use
- https://stackoverflow.com/questions/404604/comparing-unix-linux-ipc
- https://www.thegeekstuff.com/2010/08/ipcs-command-examples/

Interprocess Communication

Example:

```
$ unicode skull | head -1 | cut -f1 -d' ' | sm -
```

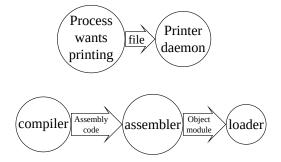
IPC issues:

- 1. How one process can pass information to another
- 2. Be sure processes do not get into each other's way e.g. in an airline reservation system, two processes compete for the last seat
- 3. Proper sequencing when dependencies are present e.g. if A produces data and B prints them, B has to wait until A has produced some data

Two models of IPC:

- · Shared memory
- Message passing (e.g. sockets)

Producer-Consumer Problem



Producer-Consumer Problem

- Consumers don't try to remove objects from Buffer when it is empty.
- Producers don't try to add objects to the Buffer when it is full.

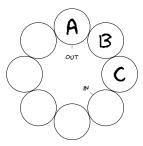
```
while(TRUE){
    while(FULL);
    item = produceItem();
    insertItem(item);
}

while(TRUE){
    while(EMPTY);
    item = removeItem();
    consumeItem(item);
}
```

How to define full/empty?

Bounded-Buffer Problem (Circular Array)

Front(out): the first full position **Rear(in):** the next free position



```
Full or empty when "front == rear"?
```

Common solution:

```
Full: when "(in + 1)%BUFFER_SIZE == out"
Actually, this is "full -1"
Empty: when "in == out"
Can only use "BUFFER_SIZE -1" elements
```

Shared data:

```
#define BUFFER_SIZE 6
typedef struct {
    ...
} item;
item buffer[BUFFER_SIZE];
int in = 0; //the next free position
int out = 0;//the first full position
```

Bounded-Buffer Problem

Producer:

```
while (true) {
/* do nothing -- no free buffers */
while (((in + 1) % BUFFER_SIZE) == out);

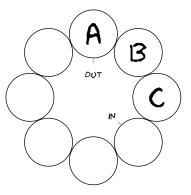
produce(buffer[in]);

in = (in + 1) % BUFFER_SIZE;
}
```

Consumer:

```
while (true) {
while (in == out); /* do nothing */
consume(buffer[out]);

out = (out + 1) % BUFFER_SIZE;
}
```

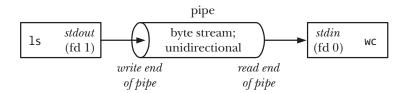


12 Pipes and FIFOs

• [The Linux Programming Interface: A Linux and UNIX System Programming Handbook, chap. 44]

Pipe

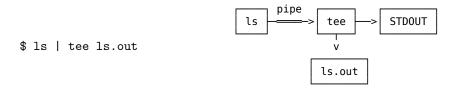
```
$ ls | wc -1
```



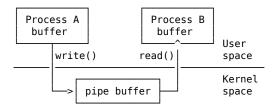
- A pipe is a byte stream
- Unidirectional

• read() would be blocked if nothing written at the other end

tee

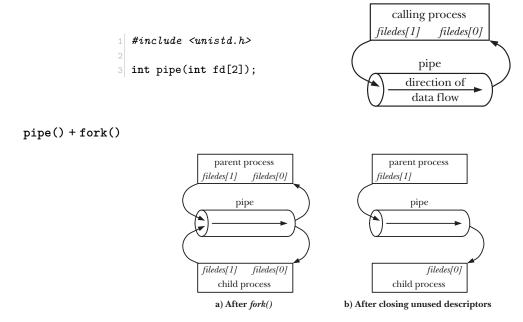


When we say that a pipe is a byte stream, we mean that there is no concept of messages or message boundaries when using a pipe. The process reading from a pipe can read blocks of data of any size, regardless of the size of blocks written by the writing process. Furthermore, the data passes through the pipe sequentially — bytes are read from a pipe in exactly the order they were written. It is not possible to randomly access the data in a pipe using lseek(). [The Linux Programming Interface: A Linux and UNIX System Programming Handbook, chap. 44]



- No direct link between A and B (need system calls)
- A pipe is simply a buffer maintained in kernel memory
 \$ cat /proc/sys/fs/pipe-max-size

pipe()



- Pipes must have a reader and a writer. If a process tries to write to a pipe that has no reader, it will be sent the SIGPIPE signal from the kernel. This is imperative when more than two processes are involved in a pipeline. (http://www.tldp.org/LDP/lpg/node20.html)
- While it is possible for the parent and child to both read from and write to the pipe, this is not usual. Therefore, immediately after the fork(), one process closes its descriptor for the write end of the pipe, and the other closes its descriptor for the read end. For example, if the parent is to send data to the child, then it would close its read descriptor for the pipe, filedes[0], while the child would close its write descriptor for the pipe, filedes[1].

One reason that it is not usual to have both the parent and child reading from a single pipe is that if two processes try to simultaneously read from a pipe, we can't be sure which process will be the first to succeed—the two processes race for data. Preventing such races would require the use of some synchronization mechanism. However, if we require bidirectional communication, there is a simpler way: just create two pipes, one for sending data in each direction between the two processes. (If employing this technique, then we need to be wary of deadlocks that may occur if both processes block while trying to read from empty pipes or while trying to write to pipes that are already full.) [*The Linux Programming Interface: A Linux and UNIX System Programming Handbook*, chap. 44, p. 893]

- Pipes can be used for communication between any two (or more) related processes, as long as the pipe was created by a common ancestor before the series of fork() calls that led to the existence of the processes. For example, a pipe could be used for communication between a process and its grandchild. The first process creates the pipe, and then forks a child that in turn forks to yield the grandchild. A common scenario is that a pipe is used for communication between two siblings their parent creates the pipe, and then creates the two children. This is what the shell does when building a pipeline.
- Closing unused pipe file descriptors. The process reading from the pipe closes its write descriptor for the pipe, so that, when the other process completes its output and closes its write descriptor, the reader sees end-of-file (once it has read any outstanding data in the pipe). If the reading process doesn't close the write end of the pipe, then, after the other process closes its write descriptor, the reader won't see end-of-file, even after it has read all data from the pipe. Instead, a read() would block waiting for data, because the kernel knows that there is still at least one write descriptor open for the pipe. That this descriptor is held open by the reading process itself is irrelevant; in theory, that process could still write to the pipe, even if it is blocked trying to read. For example, the read() might be interrupted by a signal handler that writes data to the pipe.

The writing process closes its read descriptor for the pipe for a different reason. When a process tries to write to a pipe for which no process has an open read descriptor, the kernel sends the SIGPIPE signal to the writing process. By default, this signal kills a process. A process can instead arrange to catch or ignore this signal, in which case the write() on the pipe fails with the error EPIPE (broken pipe). Receiving the SIGPIPE signal or getting the EPIPE error is a useful indication about the status of the pipe, and this is why unused read descriptors for the pipe should be closed.

If the writing process doesn't close the read end of the pipe, then, even after the other process closes the read end of the pipe, the writing process will still be able to write to the pipe. Eventually, the writing process will fill the pipe, and a further attempt to write will block indefinitely.

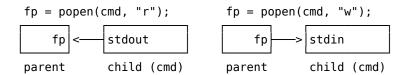
One final reason for closing unused file descriptors is that it is only after all file descriptors in all processes that refer to a pipe are closed that the pipe is destroyed and its resources released for reuse by other processes. At this point, any unread data in the pipe is lost.

```
1 #include <sys/wait.h>
  #include <unistd.h>
  #include <string.h>
  #include <stdlib.h>
  #include <stdio.h>
  #define BUF_SIZE 10
  int main(int argc, char *argv[]) /* Over-simplified! */
10 {
    int pfd[2]; /* Pipe file descriptors */
    char buf[BUF_SIZE];
    ssize_t numRead;
14
    pipe(pfd); /* Create the pipe */
     switch (fork()) {
    case 0: /* Child - reads from pipe */
       close(pfd[1]); /* Write end is unused */
      for(;;) { /* Read data from pipe, echo on stdout */
        if( (numRead = read(pfd[0], buf, BUF_SIZE)) == 0 )
           break; /* End-of-file */
         if( write(1, buf, numRead) != numRead ){
```

```
perror("child - partial/failed write");
                   exit(EXIT_FAILURE);
             }
      }
      puts(""); /* newline */
       close(pfd[0]); _exit(EXIT_SUCCESS);
    default: /* Parent - writes to pipe */
       close(pfd[0]); /* Read end is unused */
       if( (size_t)write(pfd[1], argv[1], strlen(argv[1])) != strlen(argv[1]) ){
36
        perror("parent - partial/failed write");
             exit(EXIT_FAILURE);
       close(pfd[1]); /* Child will see EOF */
41
      wait(NULL); /* Wait for child to finish */
       exit(EXIT_SUCCESS);
    }
  }
47
  /* Local Variables: */
49 /* compile-command: "qcc -Wall -Wextra simple_pipe.c -o simple-pipe" */
50 /* End: */
```

- https://stackoverflow.com/questions/5422831/what-is-the-difference-between-using-exit-exit-in-a-conventional-linux-fo
- _exit(2)

popen()



popen() does a fork() and exec() to execute the cmd and returns STD I/O file pointer.

- r fp is readable (stdout)
- w fp is writable (stdin)
- [Advanced programming in the UNIX environment, Sec. 15.3]
- [Beginning linux programming, Sec. 13.2]

Example

```
#include <unistd.h>
#include <stdlib.h>
#include <stdlib.h>
#include <stdio.h>
#include <string.h>

int main()
{
FILE *fp;
char buf[1025];
int rc;

memset(buf, '\0', sizeof(buf));

if( (fp = popen("ps ax", "r")) != NULL ) {
```

```
rc = fread(buf, sizeof(char), 1024, fp);
       while (rc > 0) {
         buf[rc - 1] = ' \setminus O';
         printf("Reading %d:-\n %s\n", 1024, buf);
         rc = fread(buf, sizeof(char), 1024, fp);
       pclose(fp);
       exit(EXIT_SUCCESS);
     }
     exit(EXIT_FAILURE);
26
28 /* Local Variables: */
29 /* compile-command: "gcc -Wall -Wextra popen3.c -o popen3" */
30 /* End: */
   $ ps ax | cat
Example
 1 #include <unistd.h>
 2 #include <stdlib.h>
 3 #include <stdio.h>
 4 #include <string.h>
6 int main(int argc, char *argv[])
7 {
     FILE *fp;
     char buf[BUFSIZ + 1];
     sprintf(buf, argv[1]);
     if ((fp = popen("od -c", "w")) != NULL) {
       fwrite(buf, sizeof(char), strlen(buf), fp);
       pclose(fp);
       exit(EXIT_SUCCESS);
     exit(EXIT_FAILURE);
19 }
21 /* Local Variables: */
22 /* compile-command: "gcc -Wall -Wextra popen2.c -o popen2" */
23 /* End: */
   $ echo -n hello | od -c
Named Pipe (FIFO)
PIPEs pass data between related processes.
FIFOs pass data between any processes.
$ mkfifo myfifo
    $ echo hello > myfifo
                                                       write()
                                                                      read()
                                                               myfifo
                                                                             cat
                                                  echo
    $ cat myfifo
tee
                                                                          STDOUT
                                                      echo
   $ echo hello | tee myfifo
   $ wc myfifo
                                                                  myfifo
```

https://en.wikipedia.org/wiki/Named_pipe

IPC With FIFO

```
#include <unistd.h>
2 #include <stdlib.h>
3 #include <stdio.h>
4 #include <string.h>
5 #include <fcntl.h>
6 #include <sys/types.h>
 7 #include <sys/stat.h>
9 #define FIFO_NAME "/tmp/myfifo"
int main(int argc, char *argv[]) /* Oversimplified */
12 {
     int fd, i, mode = 0;
     char c;
     if (argc < 2) {
       fprintf(stderr, "Usage: %s <0_RDONLY | O_WRONLY | O_NONBLOCK>\n", argv[0]);
       exit(EXIT_FAILURE);
     for(i = 1; i < argc; i++) {</pre>
       if (strncmp(*++argv, "O_RDONLY", 8) == 0) mode |= O_RDONLY;
       if (strncmp(*argv, "O_WRONLY", 8) == 0) mode |= O_WRONLY;
       if (strncmp(*argv, "O_NONBLOCK", 10) == 0) mode |= O_NONBLOCK;
     if (access(FIFO_NAME, F_OK) == -1) mkfifo(FIFO_NAME, 0777);
     printf("Process %d: FIFO(fd %d, mode %d) opened.\n",
            getpid(), fd = open(FIFO_NAME, mode), mode);
     if((mode == 0) | (mode == 2048))
         while( read(fd,&c,1) == 1 ) putchar(c);
     if( (mode == 1) | (mode == 2049) )
         while( (c = getchar()) != EOF ) write(fd,&c,1);
36
     exit(EXIT_SUCCESS);
38
39 }
40
41 /* Local Variables: */
42 /* compile-command: "gcc -Wall -Wextra fifo2.c -o fifo2" */
43 /* End: */
   $ watch 'lsof -n.1 /tmp/myfifo'
   $ ./a.out O_RDONLY
   $ ./a.out O WRONLY
   $ ./a.out O_RDONLY O_NONBLOCK
   $ ./a.out O_WRONLY O_NONBLOCK
O_NONBLOCK

    A read()/write() will wait on an empty blocking FIFO

   • A read() on an empty nonblocking FIFO will return 0 bytes

    open(const char *path, O_WRONLY | O_NONBLOCK);

       - Returns an error (-1) if FIFO not open
```

- Okay if someone's reading the FIFO
- If opened with O_RDWR, the result is undefined
- If opened with O_RDWR, the result is undefined. If you do want to pass data in both directions, it's much better to use a pair of FIFOs or pipes, one for each direction.
- There are four legal combinations of O_RDONLY, O_WRONLY, and the O_NONBLOCK flag.

```
open(const char *path, O_RDONLY);

/* In this case, the open call will block; it will not return until a process opens the same FIFO for writing. */

open(const char *path, O_RDONLY | O_NONBLOCK);

/* The open call will now succeed and return immediately, even if the FIFO has not been opened for writing by any process. */

open(const char *path, O_WRONLY);

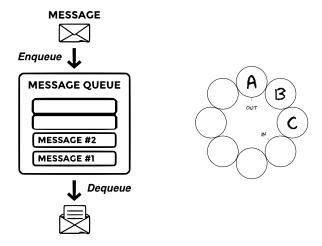
/* In this case, the open call will block until a process opens the same FIFO for reading. */

open(const char *path, O_WRONLY | O_NONBLOCK);

/* This will always return immediately, but if no process has the FIFO open for reading, open will return an error, -1, and the FIFO won't be opened. If a process does have the FIFO open for reading, the file descriptor returned can be used for writing to the FIFO. */
```

13 Message Queues

Message Queues



- [The Linux Programming Interface: A Linux and UNIX System Programming Handbook, Sec. 52.3]
- mq_overview(7)
- sem_overview(7)
- shm overview(7)
- https://www.uninformativ.de/blog/postings/2016-05-16/0/POSTING-en.html

Message Queues

Send

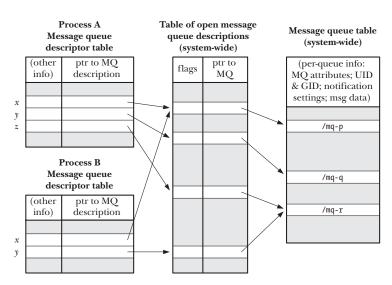
```
#include <fcntl.h>
#include <limits.h>
#include <mqueue.h>
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
```

```
7 #include <sys/stat.h>
9 int main(int argc, char **argv)
10 {
     mqd_t queue;
     struct mq_attr attrs;
     size_t msg_len;
     if (argc < 3){
       fprintf(stderr, "Usage: %s <queuename> <message>\n", argv[0]);
       return 1;
     queue = mq_open(argv[1], O_WRONLY | O_CREAT, S_IRUSR | S_IWUSR, NULL);
     if (queue == (mqd_t)-1){}
           perror("mq_open");
           return 1;
     }
     if (mq_getattr(queue, &attrs) == -1){
       perror("mq_getattr");
       mq_close(queue);
       return 1;
     }
     msg_len = strlen(argv[2]);
     if (msg_len > LONG_MAX || (long)msg_len > attrs.mq_msgsize){
       fprintf(stderr, "Your message is too long for the queue.\n");
       mq_close(queue);
       return 1;
36
     }
     if (mq_send(queue, argv[2], strlen(argv[2]), 0) == -1){
40
       perror("mq_send");
       mq_close(queue);
41
       return 1;
42
44
     return 0;
46 }
47
48 /* Local Variables: */
49 /* compile-command: "qcc -Wall -Wextra mq-send.c -o mq-send -lrt" */
50 /* End: */
Message Queues
Receive
#include <fcntl.h>
```

```
2 #include <mqueue.h>
3 #include <stdio.h>
4 #include <stdlib.h>
5 #include <sys/stat.h>
  int main(int argc, char **argv)
8 {
9
  mqd_t queue;
  struct mq_attr attrs;
  char *msg_ptr;
    ssize_t recvd;
    size_t i;
```

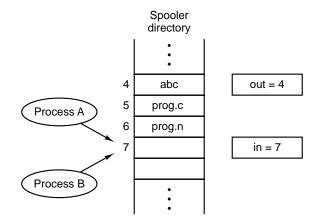
```
if (argc < 2){
       fprintf(stderr, "Usage: %s <queuename>\n", argv[0]);
    }
     queue = mq_open(argv[1], O_RDONLY | O_CREAT, S_IRUSR | S_IWUSR, NULL);
     if (queue == (mqd_t)-1){
       perror("mq_open");
       return 1;
    }
24
     if (mq_getattr(queue, &attrs) == -1){
       perror("mq_getattr");
       mq_close(queue);
       return 1;
    }
    msg_ptr = calloc(1, attrs.mq_msgsize);
    if (msg_ptr == NULL){
       perror("calloc for msg_ptr");
       mq_close(queue);
       return 1;
36
    }
    recvd = mq_receive(queue, msg_ptr, attrs.mq_msgsize, NULL);
     if (recvd == -1){
40
       perror("mq_receive");
41
       return 1;
42
    }
43
    printf("Message: ");
    for (i = 0; i < (size_t)recvd; i++)</pre>
       putchar(msg_ptr[i]);
47
    puts("");
49 }
  /* Local Variables: */
52 /* compile-command: "qcc -Wall -Wextra mq-recv.c -o mq-recv -lrt" */
53 /* End: */
```

Relationship Between Kernel Data Structures



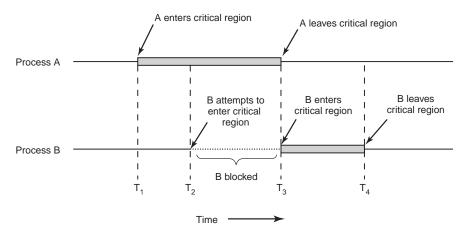
14 Semaphores

Race Conditions



Mutual Exclusion

Critical Region is a piece of code accessing a common resource.



A solution to the critical region problem must satisfy three conditions

Mutual Exclusion: No two processes may be simultaneously inside their critical regions. **Progress:** No process running outside its critical region may block other processes. **Bounded Waiting:** No process should have to wait forever to enter its critical region.

Mutual Exclusion With Busy Waiting

Strict Alternation

```
while(TRUE){
while(turn != 0);
critical_region();
turn = 1;
noncritical_region();
}
while(TRUE){
while(turn != 1);
critical_region();
turn = 0;
noncritical_region();
}
```

② One process can be blocked by another not in its critical region

Mutual Exclusion With Busy Waiting

Peterson's Solution

```
int interest[0] = 0;
            int interest[1] = 0;
            3 int turn;
P0
                          P1
 interest[0] = 1;
                           interest[1] = 1;
 2 turn = 1;
                           2 turn = 0;
 while(interest[1] == 1
                          while(interest[0] == 1
            && turn == 1); 4
                                      && turn == 0);
 5 critical_section();
                          5 critical_section();
 6 interest[0] = 0;
                          6 interest[1] = 0;
```

[1] Wikipedia. Peterson's algorithm — Wikipedia, The Free Encyclopedia. 2015.

Mutual Exclusion With Busy Waiting

Lock file

```
#include <unistd.h>
2 #include <stdlib.h>
3 #include <stdio.h>
4 #include <fcntl.h>
5 #include <errno.h>
7 const char *mylock = "/tmp/LCK.test2";
9 int main() {
   int fd;
    for(;;){
      while( (fd = open(mylock, O_RDWR \mid O_CREAT \mid O_EXCL, 0444)) != -1 ) {
        printf("Process(%d) - Working in critical region...\n", getpid());
        sleep(2);
                            /* working */
        close(fd);
        if ( unlink(mylock) == 0 ) puts("Done.\nResource unlocked.");
                                 /* non-critical region */
        sleep(3);
      printf("Process(%d) - Waiting for lock...\n", getpid());
     exit(EXIT_SUCCESS);
24 }
26 /* Local Variables: */
27 /* compile-command: "gcc -Wall -Wextra lock2.c -o lock2" */
28 /* End: */
```

② Lock file could be left in system after Ctrl +c

X

```
#include <unistd.h>
#include <stdlib.h>
#include <stdio.h>
#include <fcntl.h>
#include <errno.h>
#include <signal.h>

const char *mylock = "/tmp/LCK.test2";
```

```
void sigint(int signo){
    if ( unlink(mylock) == 0 ) puts("Quit. Lock released.");
    exit(EXIT_SUCCESS);
13 }
  int main() {
    int fd;
    signal(SIGINT, sigint);
    for(;;){
      while ((fd = open(mylock, O_RDWR | O_CREAT | O_EXCL, 0444)) != -1) {
        printf("Process(%d) - Working in critical region...\n", getpid());
        sleep(2);
                            /* working */
        close(fd);
        if ( unlink(mylock) == 0 ) puts("Done.\nResource unlocked.");
                            /* non-critical region */
26
      printf("Process(%d) - Waiting for lock...\n", getpid());
    exit(EXIT_SUCCESS);
31 }
33 /* Local Variables: */
34 /* compile-command: "qcc -Wall -Wextra lock2-sigint.c -o lock2-sigint" */
35 /* End: */
```

• The sigint() function is too crude to be reliable. Need a more sophisticated design.

What is a Semaphore?

| Atomic O | perations | | | |
|-------------|------------------------|--|--|--|
| P() | V() | | | |
| Wait() | Signal() | | | |
| Down() | Up() | | | |
| Decrement() | <pre>Increment()</pre> | | | |
| | | | | |

More meaningful names:

- increment_and_wake_a_waiting_process_if_any()
- decrement_and_block_if_the_result_is_negative()

Using Semaphore For Signaling

- · One thread sends a signal to another to indicate that something has happened
- It solves the serialization problem

Signaling makes it possible to guarantee that a section of code in one thread will run before that in another

```
statement a1 sem.wait() sem.signal() statement b1
```

What's the initial value of sem?

Example

```
1 #include <stdio.h>
2 #include <unistd.h>
3 #include <stdlib.h>
4 #include <string.h>
5 #include <pthread.h>
6 #include <semaphore.h>
8 void *func(void *arg);
9 sem_t sem;
#define BUFSIZE 1024
12 char buf [BUFSIZE];
14 int main() {
    pthread_t t;
    if( sem_init(&sem, 0, 0) != 0 ) {
       perror("Semaphore initialization failed");
       exit(EXIT_FAILURE);
     if( pthread_create(&t, NULL, func, NULL) != 0 ) {
       perror("Thread creation failed");
       exit(EXIT_FAILURE);
26
     puts("Please input some text. Ctrl-d to quit.");
     while( fgets(buf, BUFSIZE, stdin) )
           sem_post(&sem);
     sem_post(&sem);
                                                      /* in case of Ctrl-d */
     if( pthread_join(t, NULL) != 0) {
       perror("Thread join failed");
       exit(EXIT_FAILURE);
38
     sem_destroy(&sem);
40
     exit(EXIT_SUCCESS);
41
42
43
44 void *func(void *arg) {
45
    sem_wait(&sem);
    while( buf[0] != ' \setminus O' ) {
46
       printf("You input %ld characters \n", strlen(buf)-1);
47
           buf[0] = ' \setminus 0';
                                                           /* in case of Ctrl-d */
       sem_wait(&sem);
    }
51
    pthread_exit(NULL);
52 }
54 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra thread3.c -o thread3 -pthread" */
56 /* End: */
```

- [Beginning linux programming, Sec. 12.5]
- https://stackoverflow.com/questions/368322/differences-between-system-v-and-posix-semaphores

i++ can go wrong!

```
| #include <pthread.h>
2 #include <stdlib.h>
3 #include <stdio.h>
5 static int glob = 0;
7 static void *threadFunc(void *arg) /* loop 'arg' times */
8 {
    int j;
    for (j = 0; j < *((int *) arg); j++)
      glob++;
                                                                  /* not atomic! */
    return NULL;
  int main(int argc, char *argv[])
18 {
    pthread_t t1, t2;
    int loops;
    loops = (argc > 1) ? atoi(argv[1]) : 10000000;
     if( pthread_create(&t1, NULL, threadFunc, &loops) != 0 ){
            perror("pthread_create 1");
26
     if( pthread_create(&t2, NULL, threadFunc, &loops) != 0 ){
      perror("pthread_create 2");
     if( pthread_join(t1, NULL) != 0 ){
      perror("pthread_join 1");
    if( pthread_join(t2, NULL) != 0 ){
      perror("pthread_join 2");
38
    printf("glob = %d\n", glob);
40
    exit(EXIT_SUCCESS);
41
42 }
44 /* Local Variables: */
45 /* compile-command: "gcc -Wall -Wextra atomic-non.c -o atomic-non -pthread" */
46 /* End: */
```

Atomic

i++ is not atomic in assembly language

```
LOAD [i], ro ; load the value of 'i' into
; a register from memory

ADD ro, 1 ; increment the value
; in the register

STORE ro, [i] ; write the updated
; value back to memory
```

Interrupts might occur in between. So, i++ needs to be protected with a mutex.

Mutex A semaphore that is initialized to 1. In case of:

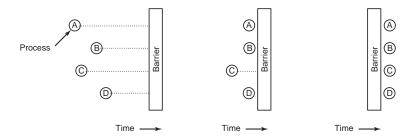
- 1: A thread may proceed and access the shared variable
- 0: It has to wait for another thread to release the mutex

```
mutex.wait()
i++
mutex.wait()
mutex.wait()
i++
mutex.signal()
mutex.signal()
```

```
#include <pthread.h>
2 #include <stdlib.h>
3 #include <stdio.h>
5 static int glob = 0;
  static pthread_mutex_t mtx = PTHREAD_MUTEX_INITIALIZER;
static void *threadFunc(void *arg)
9 {
    int j;
    for (j = 0; j < *((int *) arg); j++) {
       if ( pthread_mutex_lock(&mtx) != 0 ){
           perror("pthread_mutex_lock");
       glob++;
       if ( pthread_mutex_unlock(&mtx) != 0){
           perror("pthread_mutex_unlock");
       }
    }
    return NULL;
23 }
  int main(int argc, char *argv[])
     pthread_t t1, t2;
     int loops;
     loops = (argc > 1) ? atoi(argv[1]) : 10000000;
     if( pthread_create(&t1, NULL, threadFunc, &loops) != 0 ){
         perror("pthread_create");
     if( pthread_create(&t2, NULL, threadFunc, &loops) != 0 ){
36
        perror("pthread_create");
     }
     if( pthread_join(t1, NULL) != 0 ){
41
        perror("pthread_join");
42
     if( pthread_join(t2, NULL) != 0 ){
44
        perror("pthread_join");
47
48
     printf("glob = %d\n", glob);
     exit(EXIT_SUCCESS);
49
50 }
52 /* Local Variables: */
```

```
53 /* compile-command: "gcc -Wall -Wextra atomic-mutex.c -o atomic-mutex -pthread" */
54 /* End: */
#include <semaphore.h>
2 #include <pthread.h>
3 #include <stdio.h>
4 #include <stdlib.h>
6 static int glob = 0;
7 static sem_t sem;
9 static void *threadFunc(void *arg)
10 {
     int j;
     for (j = 0; j < *((int *) arg); j++) {
       if (sem_wait(\&sem) == -1){
         perror("sem_wait");
       glob++;
       if (sem_post(\&sem) == -1){
         perror("sem_post");
     }
     return NULL;
24 }
int main(int argc, char *argv[])
27 {
     pthread_t t1, t2;
     int loops;
30
     loops = (argc > 1) ? atoi(argv[1]) : 10000000;
     if( sem_init(&sem, 0, 1) == -1 ){
         perror("sem_init");
     }
36
     if( pthread_create(&t1, NULL, threadFunc, &loops) != 0 ){
38
        perror("pthread_create");
40
     if( pthread_create(&t2, NULL, threadFunc, &loops) != 0 ){
41
        perror("pthread_create");
42
43
44
     if( pthread_join(t1, NULL) != 0 ){
45
        perror("pthread_join");
47
48
     if( pthread_join(t2, NULL) != 0 ){
49
         perror("pthread_join");
     printf("glob = %d n", glob);
     exit(EXIT_SUCCESS);
55 }
57 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra mutex.c -o mutex -pthread" */
59 /* End: */
```

Barrier



- 1. Processes approaching a barrier
- 2. All processes but one blocked at the barrier
- 3. When the last process arrives at the barrier, all of them are let through

Synchronization requirement:

```
specific_task()
critical_point()
```

No thread executes critical_point() until after all threads have executed specific_task().

Barrier Solution

```
n = the number of threads
count = 0
mutex = Semaphore(1)
barrier = Semaphore(0)
```

count: keeps track of how many threads have arrived

mutex: provides exclusive access to count **barrier:** is locked (≤ 0) until all threads arrive

When barrier.value < 0,

barrier.value == Number of queueing processes

```
#define CHAIRS 5
                                   void customer(void)
   semaphore customers = 0;
                                     if (waiting == CHAIRS)
   semaphore bber = ?;
   semaphore mutex = 1;
                                       goHome();
   int waiting = 0;
                                     else {
                                       wait(&mutex);
   void barber(void)
                                       waiting++;
                                       signal(&mutex);
     while (TRUE) {
                                       signal(&customers);
       wait(&customers);
                                       wait(&bber);
       cutHair();
                                       getHairCut();
12
13 }
    }
                                       signal(&bber);
                                       wait(&mutex);
                                         waiting--;
                                       signal(&mutex);
                                17 }
```

Only one thread can pass the barrier!

Barrier Solution

```
specific_task();
                                 specific_task();
  mutex.wait():
                                 mutex.wait():
     count++;
                                    count++;
  mutex.signal();
                                    if (count == n)
  if (count == n)
                                        barrier.signal();
     barrier.signal();
                                    barrier.wait();
barrier.wait();
                                    barrier.signal();
  barrier.signal();
                                 mutex.signal();
13 critical_point();
                               13 critical_point();
```

🙎 Blocking on a semaphore while holding a mutex!🕰

```
barrier.wait();
barrier.signal();
```

Turnstile

This pattern, a wait and a signal in rapid succession, occurs often enough that it has a name called a turnstile, because

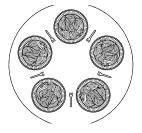
- it allows one thread to pass at a time, and
- it can be locked to bar all threads

15 Classical IPC Problems

15.1 The Dining Philosophers Problem

The Dining Philosophers Problem

```
while True:
think()
get_forks()
eat()
put_forks()
```



How to implement get_forks() and put_forks() to ensure

- 1. No deadlock
- 2. No starvation
- 3. Allow more than one philosopher to eat at the same time

The Dining Philosophers Problem

Deadlock

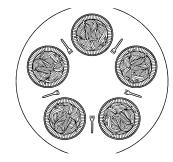
```
#define N 5
                                      /* number of philosophers */
void philosopher(int i)
                                      /* i: philosopher number, from 0 to 4 */
     while (TRUE) {
                                      /* philosopher is thinking */
         think();
                                      /* take left fork */
         take_fork(i);
         take_fork((i+1) % N);
                                      /* take right fork; % is modulo operator */
         eat();
                                      /* yum-yum, spaghetti */
         put_fork(i);
                                      /* put left fork back on the table */
         put_fork((i+1) % N);
                                      /* put right fork back on the table */
    }
}
```

• Put down the left fork and wait for a while if the right one is not available? Similar to CSMA/CD — Starvation

The Dining Philosophers Problem

```
With One Mutex
```

```
1 #define N 5
2 semaphore mutex=1;
3
4 void philosopher(int i)
5 {
6     while (TRUE) {
7         think();
8         wait(&mutex);
9         take_fork(i);
10         take_fork((i+1) % N);
11         eat();
12         put_fork(i);
13         put_fork((i+1) % N);
14         signal(&mutex);
15     }
16 }
```



- Only one philosopher can eat at a time.
- How about 2 mutexes? 5 mutexes?

The Dining Philosophers Problem

AST Solution (Part 1)

A philosopher may only move into eating state if neither neighbor is eating

```
1 #define N 5
                           /* number of philosophers */
                          /* number of i's left neighbor */
2 #define LEFT (i+N-1)%N
                           /* number of i's right neighbor */
3 #define RIGHT (i+1)%N
4 #define THINKING O
                           /* philosopher is thinking */
5 #define HUNGRY 1
                           /* philosopher is trying to get forks */
  #define EATING 2
                           /* philosopher is eating */
  typedef int semaphore;
8 int state[N];
                          /* state of everyone */
  semaphore mutex = 1;
                          /* for critical regions */
  semaphore s[N];
                           /* one semaphore per philosopher */
void philosopher(int i) /* i: philosopher number, from 0 to N-1 */
       while (TRUE) {
            think();
            take_forks(i); /* acquire two forks or block */
16
            eat();
            put forks(i); /* put both forks back on table */
       }
20 }
```

The Dining Philosophers Problem

AST Solution (Part 2)

```
void take_forks(int i)
                                    /* i: philosopher number, from 0 to N-1 */
                                    /* enter critical region */
      down(&mutex);
      state[i] = HUNGRY;
                                    /* record fact that philosopher i is hungry */
      test(i);
                                    /* try to acquire 2 forks */
      up(&mutex);
                                    /* exit critical region */
                                    /* block if forks were not acquired */
      down(&s[i]);
  }
  void put_forks(i)
                                    /* i: philosopher number, from 0 to N-1 */
                                    /* enter critical region */
      down(&mutex);
      state[i] = THINKING;
                                    /* philosopher has finished eating */
                                    /* see if left neighbor can now eat */
      test(LEFT);
      test(RIGHT);
                                    /* see if right neighbor can now eat */
      up(&mutex);
                                    /* exit critical region */
16 }
                                    /* i: philosopher number, from 0 to N-1 */
  void test(i)
      if (state[i] == HUNGRY && state[LEFT] != EATING && state[RIGHT] != EATING) {
            state[i] = EATING;
            up(&s[i]);
      }
23 }
```

Starvation can happen!

15.1.1 Step by step

- 1. If 5 philosophers take_forks(i) at the same time, only one can get mutex.
- 2. The one who gets mutex sets his state to HUNGRY. And then,
- 3. test(i); try to get 2 forks.

If his LEFT and RIGHT are not EATING, success to get 2 forks.

- i. sets his state to EATING
- ii. up(&s[i]); The initial value of s(i) is 0.

Now, his LEFT and RIGHT will fail to get 2 forks, even if they could grab mutex.

If either LEFT or RIGHT are EATING, fail to get 2 forks.

- 4. release mutex
- 5. down(&s[i]);
 - (a) block if forks are not acquired
 - (b) eat() if 2 forks are acquired
- 6. After eat()ing, the philosopher doing put forks(i) has to get mutex first.
 - because state[i] can be changed by more than one philosopher.
- 7. After getting mutex, set his state to THINKING
- 8. | test(LEFT); | see if LEFT can now eat?

If LEFT is HUNGRY, and LEFT's LEFT is not EATING, and LEFT's RIGHT (me) is not EATING

- i. set LEFT's state to EATING
- ii. up(&s[LEFT]);

If LEFT is not HUNGRY, or LEFT's LEFT is EATING, or LEFT's RIGHT (me) is EATING, LEFT fails to get 2 forks.

- 9. test(RIGHT); see if RIGHT can now eat?
- 10. release mutex

The Dining Philosophers Problem

More Solutions

- If there is at least one leftie and at least one rightie, then deadlock is not possible
- · Wikipedia: Dining philosophers problem

15.2 The Readers-Writers Problem

The Readers-Writers Problem

Constraint: no process may access the shared data for reading or writing while another process is writing to it.

```
void reader(void)
                                 {
                                   while (TRUE) {
semaphore mutex = 1;
                                     wait(&mutex);
 semaphore noOther = 1;
                                       readers++;
 int readers = 0;
                                       if (readers == 1)
                               6
                                          wait(&noOther);
 void writer(void)
                                     signal(&mutex);
 {
                                     reading();
                              9
    while (TRUE) {
7
                                     wait(&mutex);
      wait(&noOther);
                                       readers--;
        writing();
9
                                       if (readers == 0)
      signal(&noOther);
                                         signal(&noOther);
    }
                                     signal(&mutex);
 }
                                     anything();
                              17 }
```

Starvation The writer could be blocked forever if there are always someone reading.

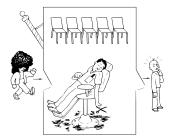
The Readers-Writers Problem

No starvation

```
void reader(void)
                                {
                                  while (TRUE) {
semaphore mutex = 1;
                                    turnstile.wait();
2 semaphore noOther = 1;
                                    turnstile.signal();
  semaphore turnstile = 1;
  int readers = 0;
                                    wait(&mutex);
                                     readers++;
  void writer(void)
                                      if (readers == 1)
                                         wait(&noOther);
    while (TRUE) {
                                    signal(&mutex);
      turnstile.wait();
                                    reading();
        wait(&noOther);
                                    wait(&mutex);
          writing();
                                      readers--;
        signal(&noOther);
                                      if (readers == 0)
      turnstile.signal();
                                        signal(&noOther);
    }
                                    signal(&mutex);
15 }
                              18
                                     anything();
                              20 }
```

15.3 The Sleeping Barber Problem

The Sleeping Barber Problem



Where's the problem?

- the barber saw an empty room right before a customer arrives the waiting room;
- Several customer could race for a single chair;

Solution

```
#define CHAIRS 5
  semaphore customers = 0; // any customers or not?
  semaphore bber = 0;
                          // barber is busy
  semaphore mutex = 1;
5 int waiting = 0;
                           // queueing customers
void barber(void)
                             void customer(void)
                               {
    while (TRUE) {
                                  if(waiting == CHAIRS)
      wait(&customers);
                                    goHome();
      wait(&mutex);
                                  else {
                                   wait(&mutex);
         waiting--;
      signal(&mutex);
                                   waiting++;
      cutHair();
                                    signal(&mutex);
                                    signal(&customers);
      signal(&bber);
    }
                                   wait(&bber);
11 }
                                    getHairCut();
                             13 }
```

Solution2

```
#define CHAIRS 5
                              void customer(void)
   semaphore customers = 0;
   semaphore bber = ???;
                                  wait(&mutex);
  semaphore mutex = 1;
                                   if (waiting == CHAIRS){
                                       signal(&mutex);
   int waiting = 0;
                                       goHome();
  void barber(void)
                                   } else {
                                       waiting++;
    while (TRUE) {
                                       signal(&customers);
      wait(&customers);
                                       signal(&mutex);
       cutHair();
                                       wait(&bber);
                                       getHairCut();
13 }
                                       wait(&mutex);
                                          waiting--;
                                       signal(&mutex);
                                       signal(&bber);
                              18 }
```

16 Shared Memory

Write

```
int main(int argc, char *argv[])
 int fd;
 size_t len;
                             /* Size of shared memory object */
 char *addr;
 if (argc != 3 \mid | strcmp(argv[1], "--help") == 0){
     printf("%s shm-name string\n", argv[0]);
 if ( (fd = shm_open(argv[1], O_RDWR | O_CREAT, S_IRUSR | S_IWUSR)) == -1 ){
     perror("shm_open");
 len = strlen(argv[2]);
 if (ftruncate(fd, len) == -1) { /* Resize object to hold string */
     perror("ftruncate");
 printf("Resized to %ld bytes\n", (long)len);
 addr = mmap(NULL, len, PROT_READ | PROT_WRITE, MAP_SHARED, fd, 0);
if (addr == MAP_FAILED) perror("mmap");
 if (close(fd) == -1) perror("close");
 memcpy(addr, argv[2], len);
exit(EXIT_SUCCESS);
```

Read

```
int main(int argc, char *argv[])
{
  int fd;
  char *addr;
  struct stat sb;

  if (argc != 2 || strcmp(argv[1], "--help") == 0) {
     printf("%s shm-name\n", argv[0]);
  }

  if ( (fd = shm_open(argv[1], O_RDONLY, 0)) == -1 ) {
     perror("shm_open");
  }

  if (fstat(fd, &sb) == -1) perror("fstat"); /* Get object size */
  addr = mmap(NULL, sb.st_size, PROT_READ, MAP_SHARED, fd, 0);
  if (addr == MAP_FAILED) perror("mmap");

  if (close(fd) == -1) perror("close");

  write(STDOUT_FILENO, addr, sb.st_size);
  printf("\n");
  exit(EXIT_SUCCESS);
}
```

17 Sockets

Message Passing

Problem with semaphores

- · Too low level
- · Not suitable for distributed systems

Message passing

- No conflicts, easier to implement
- Uses two primitives, send() and receive() system calls:
 - send(destination, &message);
 - receive(source, &message);

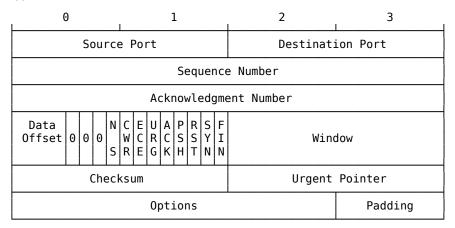
Message Passing

Design issues

- Message can be lost by network; ACK
- What if the ACK is lost? SEQ
- What if two processes have the same name? socket
- Am I talking with the right guy? Or maybe a MIM? authentication
- What if the sender and the receiver on the same machine? Copying messages is always slower than doing a semaphore operation.

Message Passing

TCP Header Format



Message Passing

The producer-consumer problem

```
void producer(void)
     int item:
                              /* message buffer */
     message m;
     while (TRUE) {
         item = produce_item();
                              /* generate something to put in buffer */
         receive(consumer, &m);
                             /* wait for an empty to arrive */
         send(consumer, &m);
                              /* send item to consumer */
12 }
  void consumer(void)
  {
16
     int item, i;
     message m;
     for (i=0; i<N; i++) send(producer, &m); /* send N empties */
     while (TRUE) {
         receive(producer, &m);
                              /* get message containing item */
         item = extract_item(&m); /* extract item from message */
                             /* send back empty reply */
         send(producer, &m);
         consume_item(item);
                              /* do something with the item */
     }
25 }
```

A TCP Connection

Port numbers

Port range: $0 \sim 65535$

Well-known ports: $0 \sim 1023$

| FTP | 20/21 | SSH | 22 | Telnet | 23 |
|-------------|-------|------|-----|--------|-------|
| SMTP | 25 | DNS | 53 | DHCP | 67/68 |
| HTTP | 80 | POP3 | 110 | HTTPS | 443 |
| IMAP4 | 143 | | | | |

Sockets

To create a socket:

```
fd = socket(domain, type, protocol)
```

Domain Determines address format and the range of communication (local or remote). The most commonly used domains are:

| Domain | Addr structure | Addr format | |
|----------|----------------|-------------|--|
| AF_UNIX | sockaddr_un | /path/name | |
| AF_INET | sockaddr_in | ip:port | |
| AF_INET6 | sockaddr_in6 | ip6:port | |

Type SOCK_STREAM (☎), SOCK_DGRAM (☒) Protocol always 0

Address Structure

- · Different socket domain, different address format, different structure type
- · One set of socket syscalls supports all socket domains

```
struct sockaddr {
  sa_family_t sa_family; /* Address family (AF_* constant) */
char sa_data[14]; /* Socket address (size varies
                                          according to socket domain) */
};
```

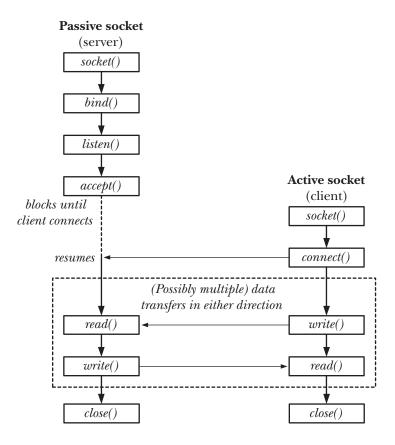
Example

struct sockaddr_un addr;

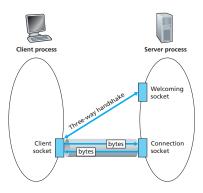
- ✓ bind(sfd, (struct sockaddr *)&addr, sizeof(struct sockaddr_un));
- bind(sfd, &addr, sizeof(struct sockaddr_un));
- Why does a cast from sockaddr_in to sockaddr work? sockaddr is defined the way it is to maintain sizecompatibility with sockaddr_in, which is 16 bytes, and is what sockaddr used to be in the days when AF_INET was the only address family. But newer families are NOT limited to 14 bytes of data. For instance, sockaddr_in6 has 26 bytes (the sin6_addr field alone is 16 bytes). The ONLY requirement is ALL sockaddr_* types MUST start with a 2-byte family member, whose value dictates the size of the remaining data. Presumably, the bind function knows what kind of socket descriptor it has as the address family is part of the socket's internal info. bind() (and connect()) validates the passed in sockaddr matches the socket's address family, and converts the struct sockaddr * back to a struct sockaddr_in *. (For AF_INET) after
 - checking that the passed sockaddr is the correct family AND byte size (which is why bind(), connect(), and accept() have a parameter to receive the size of the sockaddr). If a mismatch occurs, bind() (and connect() and accept()) fails. https://stackoverflow.com/questions/51287930/why-does-a-cast-from-sockaddr-in-to-sockaddr-
- Why do we cast sockaddr_in to sockaddr when calling bind()? https://stackoverflow.com/questions/21099041/ why-do-we-cast-sockaddr-in-to-sockaddr-when-calling-bind
- sa_data is an array of 14 bytes that contains the transport address data. The SOCKADDR structure is large enough to contain a transport address for most address families. A WSK application typically does not access the sa_data member directly. Instead, a pointer to a SOCKADDR structure is normally cast to a pointer to the specific SOCKADDR structure type that corresponds to a particular address family. (https://docs.microsoft.com/enus/windows/win32/api/ws2def/ns-ws2def-sockaddr)

17.1 Stream Sockets

Stream Sockets



Two Sockets at the Server



Socket System Calls

socket() creates a new socket

bind() binds a socket to an address (usually a well-known address on server side)

listen() waits for incoming connection requests

connect() sends a connection request to peer

accept() accepts a connection request

send()/recv() data transfer

[The Linux Programming Interface: A Linux and UNIX System Programming Handbook, Sec. 56.5]

int listen(int sockfd, int backlog); To understand the purpose of the backlog argument, we first observe
 that the client may call connect() before the server calls accept(). This could happen, for example, be cause the server is busy handling some other client(s). This results in a pending connection. The kernel must
 record some information about each pending connection request so that a subsequent accept() can be processed.

The backlog argument allows us to limit the number of such pending connections. Connection requests up to this limit succeed immediately. Further connection requests block until a pending connection is accepted (via accept()), and thus removed from the queue of pending connections.

int accept(int sockfd, struct sockaddr *addr, socklen_t *addrlen); If there are no pending connections when accept() is called, the call blocks until a connection request arrives. The key point to understand about accept() is that it creates a new socket, and it is this new socket that is connected to the peer socket that performed the connect(). A file descriptor for the connected socket is returned as the function result of the accept() call. The listening socket (sockfd) remains open, and can be used to accept further connections. A typical server application creates one listening socket, binds it to a well-known address, and then handles all client requests by accepting connections via that socket.

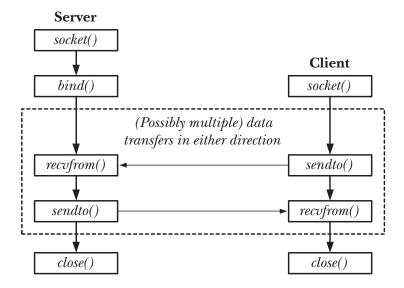
The remaining arguments to accept() return the address of the peer socket. The addr argument points to a structure that is used to return the socket address. The type of this argument depends on the socket domain (as for bind()).

The addrlen argument is a value-result argument. It points to an integer that, prior to the call, must be initialized to the size of the buffer pointed to by addr, so that the kernel knows how much space is available to return the socket address. Upon return from accept(), this integer is set to indicate the number of bytes of data actually copied into the buffer.

If we are not interested in the address of the peer socket, then addr and addrlen should be specified as NULL and 0, respectively.

17.2 Datagram Sockets

Datagram Sockets



17.3 Unix Domain Sockets

Unix Domain Sockets

```
struct sockaddr_un {
  sa_family_t sun_family; /* Always AF_UNIX */
  char sun_path[108]; /* Null-terminated socket pathname */
}:
```

Stream server

```
#include <sys/un.h>
#include <sys/socket.h>
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
```

```
#define SV_SOCK_PATH "/tmp/us_xfr"
8 #define BUF_SIZE 100
9 #define BACKLOG 5
int main(void)
12 {
     struct sockaddr_un addr;
     int sfd, cfd;
     ssize_t numRead;
     char buf[BUF_SIZE];
     if( (sfd = socket(AF_UNIX, SOCK_STREAM, 0)) == -1 ){
         perror("socket");
             exit(EXIT_FAILURE);
     }
     memset(&addr, 0, sizeof(struct sockaddr_un));
     addr.sun_family = AF_UNIX;
     strncpy(addr.sun_path, SV_SOCK_PATH, sizeof(addr.sun_path) - 1);
     if( bind(sfd, (struct sockaddr *)&addr, sizeof(struct sockaddr_un)) == -1 ){
         perror("bind");
             exit(EXIT_FAILURE);
     }
     if(listen(sfd, BACKLOG) == -1){
         perror("listen");
             exit(EXIT_FAILURE);
     }
     for(;;) {
         if( (cfd = accept(sfd, NULL, NULL)) == -1 ){
                     perror("accept");
                     exit(EXIT_FAILURE);
40
41
         while((numRead = read(cfd, buf, BUF_SIZE)) > 0)
             if(write(STDOUT_FILENO, buf, numRead) != numRead){
                 perror("partial/failed write");
45
                             exit(EXIT_FAILURE);
47
         if(numRead == -1){
                     perror("read");
                     exit(EXIT_FAILURE);
             }
         if(close(cfd) == -1){
                     perror("close");
                     exit(EXIT_FAILURE);
             }
     }
59 }
61 /* Local Variables: */
62 /* compile-command: "gcc -Wall -Wextra us_xfr_sv.c -o us-xfr-sv" */
63 /* End: */
Stream client
 1 #include <sys/un.h>
 # #include <sys/socket.h>
```

```
3 #include <stdio.h>
4 #include <stdlib.h>
5 #include <unistd.h>
  #define SV_SOCK_PATH "/tmp/us_xfr"
8 #define BUF SIZE 100
int main(void)
11 {
     struct sockaddr_un addr;
    int sfd;
     ssize_t numRead;
     char buf[BUF_SIZE];
    if( (sfd = socket(AF_UNIX, SOCK_STREAM, 0)) == -1 ){
         perror("socket");
         exit(EXIT_FAILURE);
    }
    memset(&addr, 0, sizeof(struct sockaddr_un));
     addr.sun_family = AF_UNIX;
     strncpy(addr.sun_path, SV_SOCK_PATH, sizeof(addr.sun_path) - 1);
     if (connect(sfd, (struct sockaddr *) &addr, sizeof(struct sockaddr_un)) == -1){
        perror("connect");
                   exit(EXIT_FAILURE);
     while ((numRead = read(STDIN_FILENO, buf, BUF_SIZE)) > 0)
         if (write(sfd, buf, numRead) != numRead){
             perror("partial/failed write");
                           exit(EXIT_FAILURE);
     if (numRead == -1){
        perror("read");
                   exit(EXIT_FAILURE);
    }
40
41
     exit(EXIT_SUCCESS); /* Closes our socket; server sees EOF */
42
43 }
45 /* Local Variables: */
46 /* compile-command: "gcc -Wall -Wextra us_xfr_cl.c -o us-xfr-cl" */
47 /* End: */
```

Datagram server Datagram client

17.4 Internet Sockets

Network Byte Order

Big endian The most significant byte comes first **Little endian** The least significant byte comes first

Big endian

0x100 0x101 0x102 0x103 01 23 45 67 ...

| in | t i | . = | 0x01234567; |
|----|-----|-----|-------------|

Little endian

0x100 0x101 0x102 0x103

| | | | | |
|--------|----|----|----|--|
| 67 | 45 | 23 | 01 | |

Network byte order is big endian

Host byte order Most architectures are big endian. x86 is an exception.

Convert int between host and network byte order

```
#include <arpa/inet.h>
uint32_t htonl(uint32_t hostlong);
uint16_t htons(uint16_t hostshort);
uint32_t ntohl(uint32_t netlong);
uint16_t ntohs(uint16_t netshort);
```

Strictly speaking, the use of these four functions is necessary only on systems where the host byte order differs from network byte order. However, these functions should always be used, so that programs are portable to different hardware architectures. On systems where the host byte order is the same as network byte order, these functions simply return their arguments unchanged. [*The Linux Programming Interface: A Linux and UNIX System Programming Handbook*, Sec. 59.2]

Socket Addresses

IPv4

IPv6