GNU/Linux Application Programming

Lecture Handouts

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August 28, 2020

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[8]		2010.
[9] [10]		e=File
[11]	Wikipedia. <i>Inode</i> — <i>Wikipedia</i> , <i>The Free Encyclopedia</i> . 2015. http://en.wikipedia.org/w/index.php?title=Inode&oldid: 36522.	=6477
[12]		&oldi
[13]	Wikipedia. <i>Assembly language</i> — <i>Wikipedia</i> , <i>The Free Encyclopedia</i> . 2015. http://en.wikipedia.org/w/index.php?title mbly%5C_language&oldid=661185928.	=Asse
[14]	Wikipedia. <i>Linker (computing)</i> — <i>Wikipedia, The Free Encyclopedia</i> . 2015. http://en.wikipedia.org/w/index.php?titleer%5C_(computing)&oldid=652892136.	=Link
[15]	Wikipedia. Loader (computing) — Wikipedia, The Free Encyclopedia. 2012. http://en.wikipedia.org/w/index.php?titleder%5C_(computing)&oldid=520743198.	e=Loa

- [16] Wikipedia. *Dynamic linker Wikipedia*, *The Free Encyclopedia*. 2012. http://en.wikipedia.org/w/index.php?title=Dynamic %5C_linker&oldid=517400345.
- [17] LEVINE J. Linkers and Loaders. Morgan Kaufmann, 2000.

Course Web Links

- https://cs6.swfu.edu.cn/moodle
- https://cs6.swfu.edu.cn/~wx672/lecture_notes/linux-app/slides/
- https://cs6.swfu.edu.cn/~wx672/lecture_notes/linux-app/src/
- https://cs6.swfu.edu.cn/calibre

System Programming https://github.com/angrave/SystemProgramming/wiki

Beej's Guides http://beej.us/guide/

BLP4e http://www.wrox.com/WileyCDA/WroxTitle/productCd-0470147628,descCd-DOWNLOAD.html

TLPI http://www.man7.org/tlpi/



Weekly tech question

- 1. What was I trying to do?
- 2. How did I do it? (steps)
- 3. The expected output? The real output?
- 4. How did I try to solve it? (steps, books, web links)
- 5. How many hours did I struggle on it?
- https://cs6.swfu.edu.cn/moodle/mod/forum/view.php?id=75
- \boxtimes wx672ster+linux@qmail.com
- \mathbb{E} Preferably in English
- in stackoverflow style
- OR simply show me the tech questions you asked on any website
- Oversimplifed programs ahead!

Part I

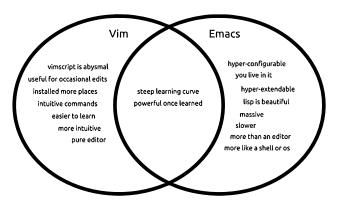
Getting Started

Linux Commands Where to find them? /bin, /usr/bin, /usr/local/bin, ~/bin, ... \$ echo \$PATH How to find them? which, whereis, type Command not found? First double check your spelling Then try: o aptitude search xxx apt-cache search xxx o apt-file search xxx o sudo apt install packagename G Google "linux command xxx"

Text Editors

V_m v_s. €





uemacs Linus Torvalds' editor

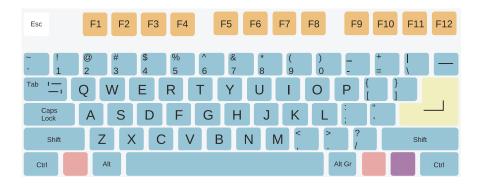
https://github.com/torvalds/uemacs

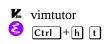
Help Your Editor

Suffix matters

```
$ vim X
$ vim hello 🗶
$ vim hello.c ✓
$ vim hello.py 
$ emacs X
$ emacs hello X
$ emacsclient hello.c ✓
$ emacsclient hello.py ✓
```

Keyboard





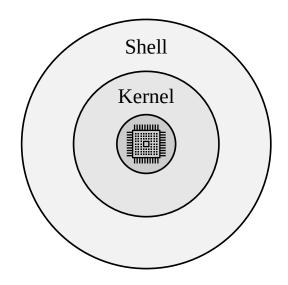
Part II

Shell Basics

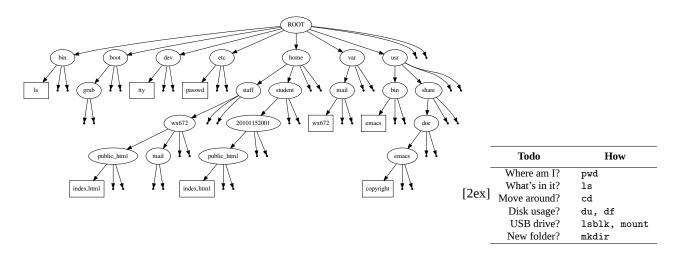
1 Shell Basics

Shell

- **►** A command line interpreter
- ► A programming language



Directory Structure



File Operations

Ways to create a file

- **W** Using an editor (vim, emacs, nano...), or
- \$ cat > filename
- \$ echo "hello, world" > filename
- \$ touch filename

More file operations:

Todo	How	Todo	How
Copy?	ср	Move/Rename?	mv
Delete?	rm	What's it?	file
Link?	ln	Permission?	chmod, chown
Count?	WC	Archive?	tar, gzip, 7z,
Sort?	sort, uniq	Search?	find, grep

Redirection

Redirecting output

\$ ls -l > output.txt
\$ ps aux >> output.txt

Redirecting input

\$ more < output.txt</pre>

Process Operations

Todo	How	Todo	How
Kill?	kill, Ctrl-c	suspend?	Ctrl-z
background?	bg, &	forground?	fg, jobs
status?	ps, top		

System Info

Todo	How	Todo	How
who?	w, who, whoami	how long?	uptime
software?	apt, aptitude, dpkg	kernel?	uname, 1smod
hardware?	lspci, lsusb, lscpu	memory?	free, 1smem

APT — Opackage management

Todo	How	
upgrading?	apt update && apt upgrade	
install?	apt install xxx	
remove?	apt purge xxx	
search?	apt search xxx	
details?	apt show xxx	
friendly UI?	aptitude	

CLI Shortcuts

Ctrl +a:	beginning of line	Ctrl +e:	end of line
Ctrl +f:	forward	Ctrl +b:	backward
Ctrl +n:	next	Ctrl +p:	previous
Ctrl +r:	reverse search	Ctrl +u:	cut to beginning
Ctrl +k:	kill (cut to end)	Ctrl +y:	yank (paste)
Ctrl +d:	delete a character	! ←	completion

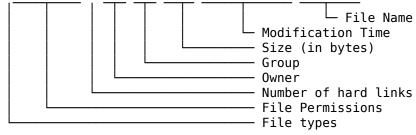
Tmux

Ctrl +ac:	create window	Ctrl + a Ctrl + a:	switch window
Ctrl +an:	next window	Ctrl +ap:	previous window
Ctrl +a -:	split window	Ctrl +a :	split widnow
Ctrl +a j:	go down	Ctrl +ak:	go up
Ctrl +a l:	go right	Ctrl +ah:	go left

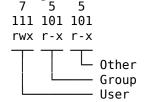
Understanding "ls -1"

```
-rw----- 1 sam sam 57 Apr 17 1998 weather.txt drwxr-xr-x 6 sam sam 102 Oct 9 1999 web_page -rw-rw-r-- 1 sam sam 7648 Feb 11 20:41 web_site.tar
```

-rw----- 1 sam sam 574 Dec 16 1998 file.txt



9-bit permission



- \$ chmod 000 foo \$ chmod 777 foo

Wildcard Expansion

Character	Meaning	Example
?	any one	\$ ls ???.txt
*	zero or more	\$ ls *.c
[]	or	\$ ls *.[ch]
{}	and	\$ ls *.{c,h,cpp}

d - directory - - regular file

l - soft link

s - socket

c - character device b - block device

p - named pipe (FIFO)

Example

\$ touch {2,3,4,234}.{jpg,png} && ls

output: 2.jpg 234.jpg 3.jpg 4.jpg 234.png 3.png 4.png

Everything Is A File

- \$ cat /dev/null > /var/log/messages # empty a file \$: > /var/log/messages # no new process
- \$ ls > /dev/null
- \$ dd if=/dev/zero of=/tmp/clean bs=1k count=1k
- \$ dd if=/dev/urandom of=/tmp/random bs=1k count=1k

Generating random numbers

- \$ echo \$RANDOM # 0 ~ 32767; pseudorandom!
- \$ r=\$((RANDOM % 100)) # 0 ~ 100
- \$ RANDOM=8; for i in \$(seq 10); do echo \$RANDOM; done
- \$ od -A n -N1 -t d /dev/urandom

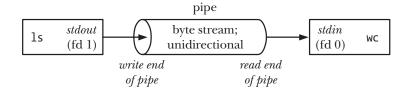
/proc

Allow higher-level access to driver and kernel information

- \$ cat /proc/cpuinfo
- \$ cat /proc/meminfo
- \$ cat /proc/version
- \$ cat /proc/1/status
- # echo 100000 > /proc/sys/kernel/pid_max

Pipe — Chain Processes Together

\$ ls | wc -1



Unnamed pipe

\$ unicode skull | head -1 | cut -f1 -d' ' | sm -

Named pipe

- 1. \$ mkfifo mypipe
- 2. \$gzip -9 -c < mypipe > out.gz
- 3. \$ cat file > mypipe
- https://en.wikipedia.org/wiki/Named_pipe

2 Shell Programming

\$ — Give Me The Value Of ...

\$var Give me the value of variable "var"

\$(echo hello) Give me the value (output) of command "echo hello"

- \$((1+1)) Give me the value (result) of "1+1"
- **\$\$** Give me the value of special variable "\$"
- **\$?** Give me the value of special variable "?"
- \$0 Give me the value of special variable "0"
- **\$0** Give me the value of special variable "0"

Variables

Positional Parameters

```
#include <stdio.h>
                                                 int main(int argc, char *argv[])
1 #!/bin/bash
                                                   int i;
                                                   puts("You said:\n\t");
  echo "You said:"
                                                   for(i=1; i<argc; i++)</pre>
5 echo -e "\t$@"
                                                     printf("%s ",argv[i]);
6 echo
7 echo -e "\targc = $#"
                                                   printf("\n\n\targc = %d\n", argc);
8 echo -e "\targv[0] = $0"
                                                   for(i=0; i<argc; i++)</pre>
                                                     printf("\targv[%d] = %s\n",i,argv[i]);
10 i=1
11 for arg in $0; do
     echo -e "\targv[$i] = $arg"
                                                   return 0;
    let i++
                                                 }
14 done
                                              18
                                                 /* Local Variables: */
                                                 /* compile-command: "gcc -Wall -Wextra isay.c -o
                                                 \hookrightarrow /tmp/isay" */
                                              21 /* End: */
```

Parameter Substitution

Default value

Example

```
#!/bin/bash

echo Hello, ${1:-world}!

Re-write it in C
$ sudo apt install abs-guide
• file://usr/share/doc/abs-guide/html/parameter-substitution.html
```

Parameter Substitution

Substring removal

```
$ for f in *.pbm; do ppm2tiff $f ${f%pbm}tif; done
```

Substring replacement

```
$ for f in *.jpg; do mv $f ${f/jpg/JPG}; done
```

Environmental Variables

Each process has an environment

```
$PATH $PWD $HOME $UID $USER
$GROUPS $SHELL $TERM $DISPLAY $TEMP
$HOSTNAME $HOSTTYPE $IFS $EDITOR $BROWSER
$HISTSIZE $FUNCNAME $TMOUT ...
```

```
$ export HISTSIZE=2000
```

^{\$} export BROWSER='/usr/bin/x-www-browser'

^{\$} export EDITOR='vim'

```
$ export ALTERNATE_EDITOR="vim"
   $ export PDFVIEWER='/usr/bin/zathura'
   $ env
   $ declare
Tests
   $ ((5 < 6)) \&\& echo should be
   $ [[ 1 < 2 ]] && echo of course
   $ [[ $a -lt $b ]] && echo yes || echo no
   $ if [[ $a -lt $b ]]; then echo yes; else echo no; fi
   $ if test $a -lt $b; then echo of course; fi
   $ if test $a = 5; then echo a=$a; fi ✓
   $ if test a = 5; then echo a=$a; fi X
   $ if test a=5; then echo a=$a; fi 🙁 # test ls,cd,...
   $ if a = 5; then echo a=$a; fi * # whitespace matters
   $ if a=5; then echo a=$a; fi 😑
   $ test $a = 5 && echo a=$a ✔
   $ [[ $a = 5 ]] && echo a=$a 🗸
   $ if cmp a b; then echo same file; fi ✓
   $ [[ cmp a b ]] && echo same file X
   $ if test cmp a b; then echo same file; fi X
   $ [[ -f ~/.bash_aliases ]] && . ~/.bash_aliases
   $ [[ -x /usr/bin/xterm ]] && /usr/bin/xterm -e tmux &
   $ [[ "$pass" != "$MYPASS" ]] && echo 'Wrong password!' && exit 1
   $ help test
1 #!/bin/bash
3 words=$@
4 string=linux
if echo "$words" | grep -q "$string"
6 then
    echo "<$string> found in <$words>"
8 else
    echo "<$string> not found in <$words>"
10 fi
Loops
for ARG in LIST; do COMMAND(s); done
   $ for i in 1 2 3; do echo -n i="$i "; done
   $ for i in {1..10}; do echo $i; done
   $ for i in $(seq 10); do echo $i; done
   $ for ((i=1; i<=10; i++)); do echo $i; done
   for((i=1, j=1; i<=10; i++, j++)); do
     echo $((i-j)) ©
     echo $(($i-$j)) 😊
     echo $i-$j 🙁
   $ for ((i=1; i<=10; i++)) { echo $i; } # C style
   $ for i in hello world; do echo -n "$i "; done
Loops
while CONDITION; do COMMAND(s); done
   $ a=0;
   $ while [[ $a -lt 10 ]]; do echo $a; ((a++)); done 
   $ while [ $a -lt 10 ]; do echo $a; ((a++)); done 
✓
   $ while [[ a < 10 ]]; do echo $a; ((a++)); done *
   $ while [[ a < 10 ]]; do echo $a; ((a++)); done *
   $ while (( a < 10 )); do echo $a; ((a++)); done </pre>
```

```
$ until (( a == 10 )); do echo a; ((a++)); done \checkmark
   $ until (( a = 10 )); do echo $a; ((a++)); done 😌
   $ while read n; do n2 $n; done
   $ while read n; do n2 $n; done < datafile</pre>
   $ until (( n == 0 )); do read n; n2 $n; done
case
 1 #!/bin/bash
 3 [ -z "$1" ] && echo "Usage: `basename $0` [dhb] < number>" && exit 1;
 5 case "$1" in
     0[xX]*)
         NUM=$(echo $1 | cut -b 3-)
         NUM=$(echo $NUM | tr [:lower:] [:upper:])
 8
9
         printf "\tHex\t\tDec\t\tBin\n"
         printf "t0x%stt%st" $NUM \
                $(bc <<< "ibase=16;obase=A;$NUM") \</pre>
                $(bc <<< "ibase=16;obase=2;$NUM") ;;</pre>
     [bB]*)
         NUM=$(echo $1 | cut -b 2-)
         printf "\tBin\t\tHex\t\tDec\n"
         printf "t%s\t\t0x%s\t\t%s\n" $NUM \
                $(bc <<< "ibase=2;obase=10000;$NUM") \</pre>
                $(bc <<< "ibase=2;obase=1010;$NUM") ;;</pre>
         *)
         printf "Dec\tHex\t\tBin\n"
         printf "%d\t0x%08X\t%08d\n" $1 $1 $(bc <<< "obase=2;$1") ;;</pre>
   esac
select
 1 #!/bin/bash
 3 PS3='Your favorite OS? '
select OS in "Linux" "Mac OSX" "Windows"
6 do
    [[ "SOS" = "Linux" ]] && echo wise guy.
   [[ "SOS" = "Mac OSX" ]] && echo rich guy.
     [[ "$OS" = "Windows" ]] && echo patient guy.
     break
11 done
Functions
 1 #!/bin/bash
3 function screencapture(){
     ffmpeg -f x11grab -s 1920x1080 -r 30 -i :0.0 \
            -c:v libx264 -crf 0 -preset ultrafast screen.mkv
6 }
8 w2pdf(){
   libreoffice --convert-to pdf:writer_pdf_Export "$1"
10 }
12 rfc(){
       [[ -n "$1" ]] || {
```

cat <<EOF

Array

```
#!/bin/bash

IMGDIR="$HOME/Pics/2009Summer/wallpapers/2009summer-1280x768"

files=($IMGDIR/*.jpg)

# get the length of array ${files[@]}
n=${#files[@]}

# get a random array element
wallpaper="${files[RANDOM % n]}"

# set it as wallpaper
qiv -z $wallpaper
```

Change wallpaper every 5 mins?

• https://www.tutorialspoint.com/unix/unix-using-arrays.htm

Subshells

```
$ read first second
hello world
$ echo $first $second

$ read first second <<<'hello world'
$ echo $first $second

Subshell examples
$ echo hello world | (read f s; echo $f $s)
$ echo $f $s

$ x=out; (x=in; echo $x)</pre>
```

- file:///usr/share/doc/abs-guide/html/subshells.html
- file:///usr/share/doc/abs-guide/html/process-sub.html

Part III

Linux Programming Environment

3 C Programming Environment

Languages

Languages is a way to express yourself. In another word,

- If nothing to express, you don't need any languages.
- if nothing to do, you don't need any programming languages.

Program Languages

Machine code

The binary numbers that the CPUs can understand.

```
100111000011101111001111 ... and so on ...
```

People don't think in numbers.

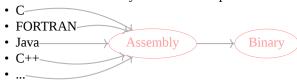
Assembly language — friendly to humans

```
1 MOV A,47 ;1010 1111
2 ADD A,B ;0011 0111
3 HALT ;0111 0110
```

Assemblers translate the ASM programs to machine code

High level languages

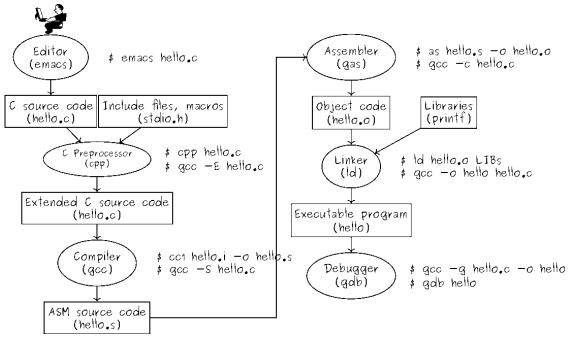
Even easier to understand by humans. Examples:



Compilers do the translation work

3.1 The Tool Chain

Compilation



Source code written by programmer in high-level language, in our case in C. We write c source code with a *text editor*, such as emacs, vim, etc.

Preprocessing is the first pass of any C compilation. It processes include-files, conditional compilation instructions and macros.

cpp The GNU C preprocessor

\$ gcc -E hello.c

Compilation is the second pass. It takes the output of the preprocessor, and the source code, and generates assembly source code.

gcc/g++ GNU C/C++ compiler

\$ gcc -S hello.c

Assembly is the third stage of compilation. It takes the assembly source code and produces an assembly listing with offsets. The assembler output is stored in an object file.

as the portable GNU assembler

\$ gcc -c hello.c

Linking is the final stage of compilation. It combines object code with predefined routines from libraries and produces the executable program.

ld The GNU linker

\$ gcc hello.c -lm

Wrapper The whole compilation process is usually not done 'by hand', but using a wrapper program that combines the functions of preprocessor(cpp), compiler(gcc/g++), assembler(as) and linker(ld).

\$ gcc -Wall hello.c -lm -o hello

Compiler vs. Interpreter

hello.c

```
1 #include <stdio.h>
3 int main(void)
   puts("Hello, world!");
    return 0;
                                         $ gcc -o hello hello.c
                                         $ ./hello
9 /* Local Variables: */
/* compile-command: "gcc -Wall
   → -Wextra hello.c -o /tmp/hello"
   → */
11 /* End: */
hello.sh
1 #!/bin/bash
                                         $ chmod +x hello.sh
 2 echo Hello, world!
                                         $ ./hello.sh
hello.py
                                         $ chmod +x hello.py
1 #!/usr/bin/python
print "Hello, world!"
                                         $ ./hello.py
```

3.2 Header Files And Macros

Header Files Why?

```
#include "add.h"

int add(int, int);

int triple(int x)

{
   return add(x, add(x,x));
   }

int triple(int x)

{
   return add(x, add(x,x));
   }
```

- · Ensure everyone use the same code
- Easy to share, upgrade, reuse

In the header files...

• function declarations, e.g.:

```
int myfunc(int fd, void *buf, int nbyte);
```

• macro definitions, e.g.:

```
#define SQR(x) ((x) * (x))
```

- constants
- system wide global variables

The #include Instruction

```
#include <stdio.h>
#include "defs.h"
```

Why not?

Standard header files: define data structures, macros, and function prototypes used by library routines, e.g. printf(). \$ ls /usr/include

Local include files: self-defined data structures, macros, and function prototypes.

```
$ gcc -E hello.c
```

The #define Instruction

Always put {} around all multi-statement macros!

```
#include<stdio.h>
#include<stdlib.h>

#define DIE \
printf("Fatal Error! Abort\n"); exit(8);

int main(void)

int i = 1;
if (i<0) DIE
printf("Still alive!\n");
return 0;

#define DIE \
printf("Fatal error! Abort\n"); exit(8);}</pre>
```

Why? gcc -E

Always put () around the parameters of a macro!

```
#include<stdio.h>
                            #define SQR(x) (x * x)
                            #define N 5
                            int main(void)
                            {
                                int i = 0;
                                for (i = 0; i < N; ++i) {
                         10
                                    printf("x = %d, SQR(x) = %d\n", i+1, SQR(i+1));
                         11
                         12
                         13
                                return 0;
                         14
✓ #define SQR(x) ((x) * (x))
$ gcc -E
```

3.2.1 A small software project

Makefile

```
triple: triple.o add3.o

gcc $(CFLAGS) -Wall triple.o

→ add3.o -o triple

triple.o: triple.c add.h

gcc $(CFLAGS) -Wall -c triple.c

add3.o: add3.c add.h

gcc $(CFLAGS) -Wall -c add3.c

clean:

rm -f triple *.o
```

triple.c

```
add.h
                                                    add3.c
      #define add(x,y) ((x)+(y))
                                                     1 /* add() is defined as a macro in add.h
      /* int add(int,int); */
                                                         * int add(int x, int y)
    4 int triple(int);
                                                        * {
                                                                   return x+y;
                                                         * }
                                                       #include "add.h"
                                                     int triple(int x)
                                                                return add(x,add(x,x));
                                                     14 }
triple.c — the main source file.
add.h — macros and function prototypes. Like stdio.h, can be used by anyone.
add3.c — function implementations. It's like a black box. Any changes made inside a block box are transparent to
     the calling functions.
Makefile — managing the project.
    Library Files
Library Files
A library is a collection of pre-compiled object files which can be linked into programs.
Static libraries . a files. Very old ones, but still alive.
        $ find /usr/lib -name "*.a"
Shared libraries . so files. The preferred ones.
        $ find /usr/lib -name "*.so.*"
Example
calc.c
 1 #include <math.h>
 2 #include <stdio.h>
4 int main (void)
     double x = sqrt(2.0);
     printf ("The square root of 2.0 is %f\n", x);
    return 0;
9 }
11 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra calc.c -lm -o /tmp/calc" */
13 /* End: */
   $ gcc -o calc calc.c $(find /usr/lib -name libm.a) ✔
   $ gcc -o calc calc.c -lm ✓
```

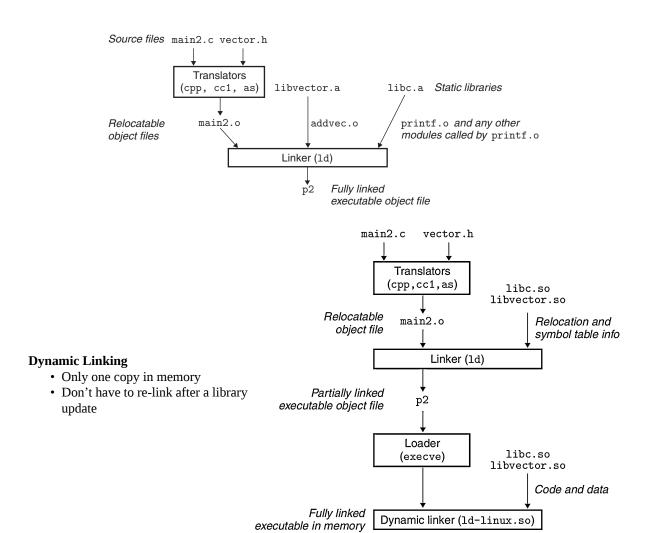
Static Linking

\$ gcc -o calc -lm calc.c 🙁

• The entire program and all data of a process must be in physical memory for the process to execute

https://www.linuxtopia.org/online books/an introduction to gcc/gccintro 18.html

• The size of a process is thus limited to the size of physical memory



Build A Static Library

Source codes

```
main.c
                                   hello.c
 #include "lib.h"
                                    #include <stdio.h>
 3 int main(int argc, char*
                                    void hello(char *arg)
  → argv[])
                                    printf("Hello, %s!\n", arg);
5 int i=1;
 6
   for (; i<argc; i++)
                                   hi.c
     hello(argv[i]);
      hi(argv[i]);
                                     1 #include <stdio.h>
   return 0;
                                     3 void hi(char *arg)
13 }
                                       printf("Hi, %s!\n", arg);
lib.h
 1 #include <stdio.h>
 void hello(char *);
 4 void hi(char *);
```

Build A Static Library

Step by step

```
1. Get hello.o and hi.o
$ gcc -c hello.c hi.c
2. Put *.o into libhi.a
$ ar crv libhi.a hello.o hi.o
3. Use libhi.a
$ gcc main.c libhi.a
```

Build A Static Library

Makefile

```
main: main.c lib.h libhi.a

gcc -Wall -o main main.c libhi.a

libhi.a: hello.o hi.o

ar crv libhi.a hello.o hi.o

hello.o: hello.c

gcc -Wall -c hello.c

hi.o: hi.c

gcc -Wall -c hi.c

clean:

rm -f *.o *.a main
```

Build A Shared Library

Source codes

hello.h

```
1 #include <stdio.h>
hello.c
                                                                        #include <stdlib.h>
   #include "hello.h"
                                                                       int hi(char*);
   int main(int argc, char *argv[])
 4
                                                                    hi.c
     if (argc != 2)
       printf ("Usage: %s needs an argument. \n", argv[0]);
                                                                        #include "hello.h"
       hi(argv[1]);
                                                                        int hi(char* s)
     return 0;
10 }
                                                                         printf ("Hi, %s\n",s);
                                                                          return 0;
```

Build A Shared Library

```
Step by step
1. Get hi.o
$ gcc -fPIC -c hi.c
2. Get libhi.so
$ gcc -shared -o libhi.so hi.o
3. Use libhi.so
$ gcc -L. -Wl,-rpath=. hello.c -lhi
4. Check it
$ ldd a.out
```

Build A Shared Library

Makefile

```
# http://www.cprogramming.com/tutorial/shared-libraries-linux-gcc.html
2 # http://tldp.org/HOWTO/Program-Library-HOWTO/shared-libraries.html
3 #
4 # gcc -fPIC -c hi.c
5 # gcc -shared -o libhi.so hi.o
6 # gcc -L/current/dir -Wl,option -Wall -o hello hello.c -lhi
7 #
               - tells ld where to search libraries
9 # -Wl, option - pass option as an option to the linker (ld)
# -rpath=dir - Add a directory to the runtime library search path
hello: hello.c hello.h libhi.so
          gcc -L. -Wl,-rpath=. -Wall -o hello hello.c -lhi
14 libhi.so: hi.o hello.h
         gcc -shared -o libhi.so hi.o
16 hi.o: hi.c hello.h
         gcc -fPIC -c hi.c
18 clean:
          rm *.o *.so hello
```

Position Independent Code (PIC) Unlike executables, when shared libraries are being built, the linker can't assume a known load address for their code. When translated to x86 assembly, this will involve lots of mov instruction to pull the value of some variable from its location in memory into a register. mov requires an absolute address - so how does the linker know which address to place in it? The answer is - it doesn't. As I mentioned above, shared libraries have no pre-defined load address - it will be decided at runtime.

In Linux, the dynamic loader is a piece of code responsible for preparing programs for running. One of its tasks is to load shared libraries from disk into memory, when the running executable requests them. When a shared

library is loaded into memory, it is then adjusted for its newly determined load location. It is the job of the dynamic loader to solve the problem presented in the previous paragraph.

The idea behind PIC is simple - add an additional level of indirection to all global data and function references in the code. By cleverly utilizing some artifacts of the linking and loading processes, it's possible to make the text section of the shared library truly *position independent*, in the sense that it can be easily mapped into different memory addresses without needing to change one bit.

- https://eli.thegreenplace.net/2011/08/25/load-time-relocation-of-shared-libraries/
- https://eli.thegreenplace.net/2011/11/03/position-independent-code-pic-in-shared-libraries/
- https://en.wikipedia.org/wiki/Position-independent_code
- https://en.wikipedia.org/wiki/Relocation_(computing)#Load-time
- https://stackoverflow.com/questions/813980/why-isnt-all-code-compiled-position-independent
- https://eklitzke.org/position-independent-executables
- http://davidad.github.io/blog/2014/02/19/relocatable-vs-position-independent-code-or/
- https://www.codeproject.com/Articles/1032231/What-is-the-Symbol-Table-and-What-is-the-Global-Of
- https://www.technovelty.org/linux/plt-and-got-the-key-to-code-sharing-and-dynamic-libraries.html

GNU C Library

```
Linux API > POSIX API

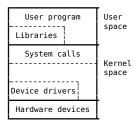
$ man 7 libc

$ man 3 intro

$ man gcc

$ info gcc

O sudo apt install gcc-doc
```



3.4 Error Handling

errno.h

```
1 #include <stdio.h>
  #include <stdlib.h>
  #include <sys/stat.h>
  #include <fcntl.h>
  int main(int argc, char *argv[])
    if( open(argv[1], O_RDONLY) == -1 ){
      perror("open");
      exit(EXIT_FAILURE);
    return 0;
13 }
  /* Local Variables: */
16 /* compile-command: "gcc -Wall -Wextra perror.c -o /tmp/perror" */
17 /* End: */
  $ man errno
  $ man errno.h
  $ man perror
   • [Advanced programming in the UNIX environment, Sec. 1.7]
```

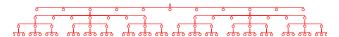
https://stackoverflow.com/questions/30078281/raise-error-in-a-bash-script

3.5 The Make Utility

The Make Utility

```
To compile a single C program:
$ gcc hello.c -o hello ✓<sub>OK.But...</sub>
```

What if you have a large project with 1000+ files?



Linux 4.9 source tree: 3799 directories, 55877 files

make: help you maintain your programs

Makefile

```
1 target: dependencies
2 | → TAB → command
```

Example

\$ info make makefiles

Makefile

```
edit: main.o kbd.o command.o display.o \
                  insert.o search.o files.o utils.o
          gcc -Wall -o edit main.o kbd.o command.o display.o \
                  insert.o search.o files.o utils.o
  main.o: main.c defs.h
          gcc -c -Wall main.c
  kbd.o : kbd.c defs.h command.h
          gcc -c -Wall kbd.c
   command.o: command.c defs.h command.h
          gcc -c -Wall command.c
  display.o : display.c defs.h buffer.h
          gcc -c -Wall display.c
insert.o: insert.c defs.h buffer.h
          gcc -c -Wall insert.c
  search.o: search.c defs.h buffer.h
          gcc -c -Wall search.c
24 files.o: files.c defs.h buffer.h command.h
          gcc -c -Wall files.c
   utils.o: utils.c defs.h
          gcc -c -Wall utils.c
31 clean:
          rm edit main.o kbd.o command.o display.o \
             insert.o search.o files.o utils.o
```

- command.c - display.c - files.c - insert.c - kbd.c - main.c - search.c - utils.c - buffer.h - command.h - defs.h - Makefile

3.6 Version Control

git

To create a new local git repo

In your source code directory, do:

```
$ git init
$ git add .
$ git commit -m "something to say..."
```

To clone a remote repo

Example:

```
$ git clone https://github.com/wx672/lecture-notes.git
$ git clone https://github.com/wx672/dotfile.git
```

Most commonly used git Commands

```
$ git add filename[s]
$ git rm filename[s]
$ git commit
$ git status $ git log $ git diff
$ git push $ git pull
$ git help {add,rm,commit,...}
```

\$ man gittutorial\$ man gittutorial-2

sudo apt install githttps://github.com

3.7 Manual Pages

Man page

Layout

```
NAME
       A one-line description of the command.
       A formal description of how to run it and what
       command line options it takes.
   DESCRIPTION
       A description of the functioning of the command.
   EXAMPLES
       Some examples of common usage.
   SEE ALSO
10
       A list of related commands or functions.
12
13
       List known bugs.
   AUTHOR
14
      Specify your contact information.
15
   COPYRIGHT
       Specify your copyright information.
```

Man Page

Groff source code

```
_{\scriptscriptstyle 1} .\" Text automatically generated by txt2man
   .TH untitled "06 August 2019" "" '
   .SH NAME
   \fint fBA one-line description of the command.
   .SH SYNOPSIS
   .nf
   .fam C
     \fint fBA formal description of how to run it and what command line options it takes.
a fam T
10 .fi
11 .fam T
12 .fi
.SH DESCRIPTION
   \fint fBA description of the functioning of the command.
14
   .SH EXAMPLES
16 Some examples of common usage.
   .SH SEE ALSO
^{18} \fBA list of related commands or functions.
   .SH BUGS
20 List known bugs.
                                                                                            $ man 7 groff
   .SH AUTHOR
                                                                                            $ man txt2man
22 Specify your contact information.
                                                                                            $ man a2x
   .SH COPYRIGHT
24 Specify your copyright information.
                                                                                            $ ls /usr/share/man
```

3.8 A Sample GNU Package

How to "Do one thing, and do it well"?

\$ apt source hello

3.9 Pointers in C

Pointers

```
#include<stdio.h>
3 int main(void)
4 {
    int a = 1966;
     char b = 'A';
     float c = 3.1415926;
         *a_ptr = &a; /* a pointer to an integer */
     char *b_ptr = &b; /* a pointer to a char
                                                     */
    float *c_ptr = &c; /* a pointer to a float
    printf("\mathcal{B}a = p, sizeof(a) = ld n", a_ptr, sizeof(a));
    printf("@b = %p, sizeof(b) = %ld\n", b_ptr, sizeof(b));
    printf("&c = %p, sizeof(c) = %ld\n", c_ptr, sizeof(c));
    return 0;
16 }
18 /* Local Variables: */
19 /* compile-command: "gcc -Wall -Wextra ptr1-code.c -o /tmp/ptr1-code" */
20 /* End: */
                    c_ptr = &c;
                                                    b_ptr = \&b; a_ptr = \&a;
                     addr: 25ec 25ed 25ee 25ef 25f0 25f1 25f2 25f3 25f4 25f5 25f6 25f7
                               3.1415926
                                                                      1966
                                                            Α
                               float c;
                                                         char b;
                                                                     int a;
```

Pointer Operators

- & returns the *address* of a thing
- * return the *object (thing)* to which a pointer points at

int thing; int *thing_ptr;

C Code	Description	
thing	the variable named 'thing'	
&thing	address of 'thing' (a pointer)	
*thing	✗ thing is not a pointer	
thing_ptr	pointer to an int	
$*thing_ptr$	the int variable at the address thing_ptr points to	
$\& thing_ptr$	odd, a pointer to a pointer	

Example

```
1 #include<stdio.h>
3 int main(void)
4 {
    int i = 5;
6
   int *p;
   p = &i; /* now p pointing to i */
    *p = 6; /* i = 6 */
   printf("\&i = \printf("\&i = \printf(" &i, i = \printf(" &i, i, *p);
   printf("\&p = %p, p = %p \ ", \&p, p);
   return 0;
13 }
15 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra ptr2-code.c -o /tmp/ptr2-code" */
17 /* End: */
                                    &р
                                                    int *p = \&i;
                                                            3bcc 3bcd 3bce 3bcf
                                    3bc0 3bc1 3bc2 3bc3
                                                              5 - 6
                                       3bcc
                                                            int i = 5; *p = 6;
                             [2ex]
```

Invalid operation

```
#include<stdio.h>

int main(void)
{
    int i = 5;
    /* int *i = (int *)5; */ /* segfault */
    printf("*i = %d\n", *i); /* Wrong! */

return 0;
}

/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra ptr2-wrong1.c -o /tmp/ptr2-wrong1" */
/* End: */
```

In the printf() statement, it's trying to treat i as a pointer.

Invalid memory access

```
#include<stdio.h>
int main(void)
{
```

```
int *p = 5; /* should be (int *)5 */

printf(" p = %p\n", p); /* p = 0x5 */
printf("&p = %p\n", &p); /* &p = 0x7ffda48a2068 */
printf("*p = %c\n", *p); /* Invalid memory access */
return 0;

/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra ptr2-wrong2.c -o /tmp/ptr2-wrong2" */
/* End: */
```

This is trying to treat the value of p as a memory address. But the memory address 5 is not accessible by this program.

Call By Value

```
#include <stdio.h>

void inc_count(int count){
    ++count;
    printf("inc_count: &count = %p\n", &count);
    printf("inc_count: count = %d\n", count);
}

int main(){
    int count = 0;
    printf("main: &count = %p\n", &count);

inc_count(count);
    printf("main: count = %d\n", count);

return 0;
}

/* Local Variables: */
/* compile-command: "gcc -Wall ptr4-wrong.c -o ptr4-wrong -o /tmp/ptr4-wrong" */
/* End: */
```

Call by value: only the value of 'count' is handed to the function inc_count()

Solution 1: return

```
#include <stdio.h>

int inc_count(int count)
{
    return ++count;
}

int main()

int count = 0;

count = inc_count(count);
    printf("%d\n", count);

return 0;
}

*/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra ptr4-ok.c -o /tmp/ptr4-ok" */
/* End: */
```

- 1. read the *value* of count, and pass it to inc_count();
- 2. inc_count() uses the *value* of count to do the calculations;
- 3. return the result to main().

Solution 2: Call by reference

- 1. pass the address of count to inc_count();
- 2. inc_count() operates directly on count.

More efficient than solution 1 Imagining you are operating on a large data structure rather than an int

3.10 Pointers and Arrays

```
#include<stdio.h>
   #include<stdio.h>
                                                                         int main(void)
   int main(void)
                                                                           int a[] = {9,8,0,1};
     int a[] = \{9,8,0,1\};
                                                                           int *pa = a;
     int i = 0;
                                                                           while ((*pa) != 0)
     while (a[i] != 0)
                                                                             ++pa;
                                                                           printf("ZERO at a[%ld].\n", pa - a);
     printf("ZERO at a[%d].\n", i);
                                                                           printf("pa = %p; a = %p\n",pa,a);
12
                                                                           return 0;
     return 0;
16 /* Local Variables: */
                                                                         /* Local Variables: */
   /* compile-command: "gcc -Wall -Wextra array2.c -o /tmp/a.out" */
                                                                         /* compile-command: "gcc -Wall -Wextra array2-2.c -o /tmp/a.out" */
18 /* End: */
                                                                         /* End: */
```

C automatically scales pointer arithmetic so that it works correctly, by incrementing/decrementing by the correct number of bytes. For example, in above program, the value of pa (&a[2]) is 1008, and the value of a (&a[0]) is 1000. But the result of "pa - a" is 2 rather than 8. This means pa is *two ints* ahead of a.

Passing Arrays to Functions

When passing an array to a function, C will automatically change the array into a pointer.

```
1 #include <stdio.h>
3 #define MAX 5
  void init_array_1(int*);
5 void init_array_2(int*);
  int main(void)
8 {
    int a[MAX], i;
    init_array_1(a);
    printf("init_array_1: ");
    for(i=0; i<MAX; i++)</pre>
      printf("a[%d]=%d, ", i, a[i]);
    puts("");
    init_array_2(a);
    printf("init_array_2: ");
    for(i=0; i<MAX; i++)</pre>
       printf("a[%d]=%d, ", i, a[i]);
    puts("");
    return 0;
24 }
void init_array_1(int a[])
27 {
    int i;
    for (i=0; i<MAX; ++i)
         a[i] = 0;
34 void init_array_2(int *ptr)
35 {
36
    int i;
    for (i=0; i<MAX; ++i)
         *(ptr + i) = i;
40 }
41
42 /* Local Variables: */
43 /* compile-command: "gcc -Wall -Wextra array3.c -o /tmp/array3" */
44 /* End: */
```

Strings — **Arrays Of Characters**

Strings are *character arrays* with the additional special character "\0" (NUL) at the end, e.g.:

The most common string functions

```
5 strcmp(string1, string2) /* 0 if string1 equals string2,
6 otherwise nonzero */
```

Arrays Of Pointers

```
#include<stdio.h>
  void print_msg(char *ptr_a[], int n)
    int i;
    for (i = 0; i < n; i++)
      printf(" %s", ptr_a[i]);
    puts(".");
10 }
12 int main()
13 {
    char *message[9] =
      {"Dennis", "Ritchie", "designed",
          "the", "C", "language",
           "in",
                    "the",
                             "1970s"};
    print_msg(message, 9);
    return 0;
20 }
22 /* Local Variables: */
23 /* compile-command: "gcc -Wall -Wextra array4.c -o /tmp/array4" */
24 /* End: */
```

Once you've declared an array, you can't reassign it. Why? Consider an assignment like

```
char *my_str = "foo"; // Declare and initialize a char pointer.
my_str = "bar"; // Change its value.
```

The first line declares a char pointer and "aims" it at the first letter in foo. Since foo is a string constant, it resides somewhere in memory with all the other constants. When you reassign the pointer, you're assigning a new value to it: the address of bar. But the original string, foo, remains unchanged. You've moved the pointer, but haven't altered the data.

When you declare an array, however, you aren't declaring a pointer at all. You're reserving a certain amount of memory and giving it a name. So the line

```
char c[5] = "data";
```

starts with the string constant data, then allocates 5 new bytes, calls them c, and copies the string into them. You can access the elements of the array exactly as if you'd declared a pointer to them; arrays and pointers are (for most purposes) interchangeable in that way.

But since arrays are not pointers, you cannot reassign them. You can't make c "point" anywhere else, because it's not a pointer; it's the name of an area of memory. For example,

```
char c[5] = "data";
char b[5] = "beta";
b = c; /* Wrong! 'b[]' cannot be reassigned (pointing to elsewhere). */
```

• https://stackoverflow.com/questions/17077505/string-pointer-and-array-of-chars-in-c

4 The Linux Environment

Command Line Options

```
#include <stdio.h>
```

```
int main(int argc, char *argv[])
4 {
     int arg;
6
     for(arg = 0; arg < argc; arg++) {</pre>
       if(argv[arg][0] == '-')
         printf("option: %s\n", argv[arg]);
       else
         printf("argv[%d]: %s\n", arg, argv[arg]);
     }
     return 0;
14 }
16 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra args.c -o /tmp/args" */
18 /* End: */
   $ ./a.out -a -bc hello 'holy world'
getopt — The Standard Way
$ man 3 getopt
#include <stdio.h>
 #include <unistd.h>
4 int main(int argc, char* argv[])
5 {
6
     int opt;
     while ( (opt = getopt(argc, argv, "hf:l")) != -1 ) {
       switch (opt) {
       case 'h':
        printf("Usage: %s [-h] [-f file] [-l]\n", argv[0]);
        break;
       case 'l':
         printf("option: %c\n", opt);
         break;
       case 'f':
         printf("filename: %s\n", optarg);
         break;
       }
     }
     return 0;
22 }
24 /* Local Variables: */
25 /* compile-command: "gcc -Wall -Wextra getopt.c -o /tmp/getopt" */
26 /* End: */
   $ ./a.out -h -l -fhello
$ help getopts
 1 #!/bin/bash
while getopts hf:l OPT; do
    case $0PT in
      h) echo "usage: `basename $0` [-h] [-f file] [-l]"
         exit 1 ;;
       1) echo "option: l" ;;
       f) echo "filename: $OPTARG" ;;
     esac
10 done
```

```
$ ./getopt.sh -h
  $ ./getopt.sh -lf filename
  $ ./getopt.sh -l -f filename
  $ ./getopt.sh -f filename -l
Environment Variable
    1 #include <stdio.h>
      extern char** environ;
   5 int main()
   6 {
       char** env = environ;
   8
                                                        $ env
      while (*env) {
   9
                                                        $ man 3 getenv
        printf("%s\n", *env);
         env++;
                                                        $ man 3 putenv
       return 0;
   14 }
   16 /* Local Variables: */
   /* compile-command: "gcc -Wall -Wextra env.c

→ -o /tmp/env" */
   18 /* End: */
```

[Beginning linux programming, p.147, Sec 4.2 The environ Variable]

Time and Date

```
1 #include <time.h>
2 #include <stdio.h>
4 int main(void)
5 {
    time_t t = time(NULL); /* long int */
6
  printf("epoch time:\t%ld\n",t);
   printf("calendar time:\t%s", ctime(&t));
    return 0;
12 }
/* Local Variables: */
/* compile-command: "gcc -Wall -Wextra time.c -o /tmp/time" */
16 /* End: */
   • January 1 1970 — start of the Unix epoch
  $ man 3 time
  $ man 3 ctime
```

Temporary Files

```
mkstemp.c
                                                             mktemp.sh
    1 #define _GNU_SOURCE
                                                              1 #!/bin/bash
    #include <stdlib.h>
    3 #include <unistd.h>
                                                                tmp=$(mktemp)
    4 #include <stdio.h>
                                                              5 while read LINE; do
    int main(int argc, char *argv[])
                                                                 echo $LINE >> $tmp
    7 {
                                                              7 done
       char c, *f;
    8
                                                                $ man 3 mkstemp
       asprintf(&f, "%sXXXXXX", argv[1]);
                                                                $ man 3 tmpfile
       int tmp = mkstemp(f);
                                                                $ man 3 asprintf
       while ( read(0, &c, 1) == 1)
        write(tmp, &c, 1);
      unlink(f);
       free(f);
      return 0;
   19 }
   21 /* Local Variables: */
   22 /* compile-command: "gcc -Wall -Wextra mkstemp.c -o

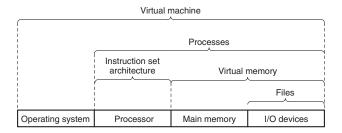
    /tmp/mkstemp" */

   23 /* End: */
Logging
syslog.c
#include <syslog.h>
#include <sys/stat.h>
3 #include <fcntl.h>
int main(int argc, char *argv[])
6 {
    if( open(argv[1], O_RDONLY) < 0 )</pre>
       syslog(LOG_ERR | LOG_USER, "%s - %m\n", argv[1]);
       syslog(LOG_INFO | LOG_USER, "%s - %m\n", argv[1]);
    return 0;
12 }
14 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra syslog.c -o /tmp/syslog" */
16 /* End: */
logger.sh
 1 #!/bin/bash
 3 [[ -f "$1" ]] && logger "$1 exists." || logger "$1 not found."
```

5 OS Basics

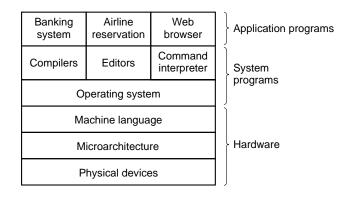
Abstractions

To hide the complexity of the actual implementations



See also: [Computer Systems: A Programmer's Perspective, Sec. 1.9.2, The Importance of Abstractions in Computer Systems].

A Computer System



5.1 Hardware

CPU Working Cycle



- 1. Fetch the first instruction from memory
- 2. Decode it to determine its type and operands
- 3. execute it

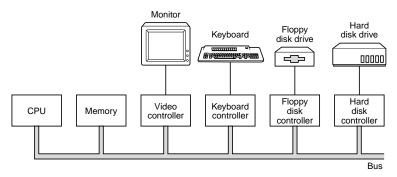
Special CPU Registers

Program counter (PC): keeps the memory address of the next instruction to be fetched **Stack pointer (SP):** • the top of the current stack in memory

Program status (PS): holds

- condition code bits
- processor state

System Bus



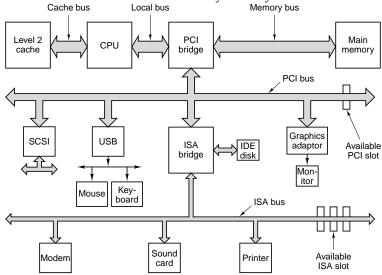
Address Bus: specifies the memory locations (addresses) for the data transfers

Data Bus: holds the data transfered. Bidirectional

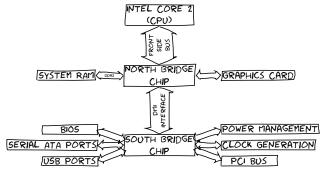
Control Bus: contains various lines used to route timing and control signals throughout the system

Controllers and Peripherals

- · Peripherals are real devices controlled by controller chips
- Controllers are processors like the CPU itself, have control registers
- Device driver writes to the registers, thus control it
- Controllers are connected to the CPU and to each other by a variety of buses



Motherboard Chipsets



See also: *Motherboard Chipsets And The Memory Map* ¹.

- The CPU doesn't know what it's connected to
 - CPU test bench? network router? toaster? brain implant?
- The CPU talks to the outside world through its pins
 - some pins to transmit the physical memory address
 - other pins to transmit the values
- The CPU's gateway to the world is the front-side bus

Intel Core 2 QX6600

- 33 pins to transmit the physical memory address
 - so there are 2^{33} choices of memory locations
- 64 pins to send or receive data
 - so data path is 64-bit wide, or 8-byte chunks

This allows the CPU to physically address 64GB of memory ($2^{33} \times 8B$)

¹http://duartes.org/gustavo/blog/post/motherboard-chipsets-memory-map

See also: Datasheet for Intel Core 2 Quad-Core Q6000 Sequence ² 4GB 0xFFFFFFF Reset vector JUMP to 0xF0000 0xFFFFFF0 4GB-16B Unaddressable Some physical memory addresses are mapped away! memory, real mode is limited to 1MB. • only the addresses, not the spaces 0×100000 1MB · Memory holes System BIOS - 640 KiB ~ 1 MiB 0xF0000 960KB - /proc/iomem Ext. System BIOS 0xE0000 896KB Expansion Area Memory-mapped I/O (maps ROMs for old · BIOS ROM peripheral cards) · video cards 0xC0000 768KB Legacy Video Card · PCI cards Memory Access 0×A0000 640KB This is why 32-bit OSes have problems using 4 GiB of Accessible RAM RAM. (640KB is enough for anyone - old

DOS area)

0

0

the northbridge

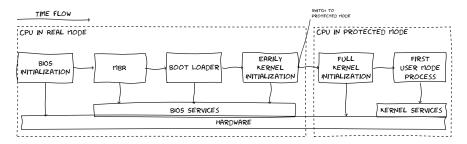
- 1. receives a physical memory request
- 2. decides where to route it
 - to RAM? to video card? to …?
 - decision made via the memory address map
- When is the memory address map built? setup().

5.2 Bootstrapping

Bootstrapping

Can you pull yourself up by your own bootstraps?

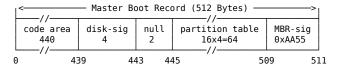
A computer cannot run without first loading software but must be running before any software can be loaded.



Intel x86 Bootstrapping

- 1. BIOS (0xfffffff0)
 - POST → HW init → Find a boot device (FD,CD,HD...) → Copy sector zero (MBR) to RAM (0x00007c00)
- 2. MBR the first 512 bytes, contains
 - Small code (< 446 B), e.g. GRUB stage 1, for loading GRUB stage 2
 - the primary partition table ($16 \times 4 = 64 B$)
 - its job is to load the second-stage boot loader.
- 3. GRUB stage 2 load the OS kernel into RAM
- 4. \triangle startup
- 5. init the first user-space process

²http://download.intel.com/design/processor/datashts/31559205.pdf



- \$ sudo hd -n512 /dev/sda
- https://en.wikipedia.org/wiki/Unified_Extensible_Firmware_Interface

5.3 Interrupt

Why Interrupt?

While a process is reading a disk file, can we do...

```
while(!done_reading_a_file())
{
    let_CPU_wait();
    // or...
    lend_CPU_to_others();
}
operate_on_the_file();
```

Modern OS are Interrupt Driven

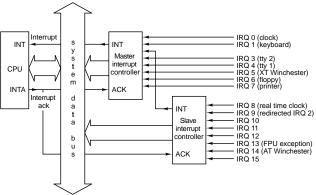
HW INT by sending a signal to CPU

SW INT by executing a *system call*

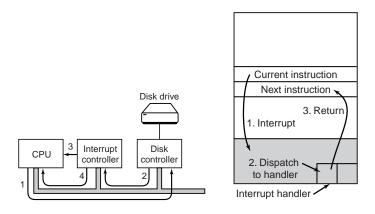
Trap (exception) is a software-generated INT coursed by an error or by a specific request from an user program **Interrupt vector** is an array of pointers **☞** the memory addresses of *interrupt handlers*. This array is indexed by a unique device number

- \$ less /proc/devices
- \$ less /proc/interrupts

Programmable Interrupt Controllers

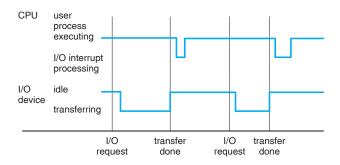


Interrupt Processing



• [Modern Operating Systems, Sec. 1.3.5, I/O Devices]

Interrupt Timeline



5.4 System Calls

System Calls

A System Call

- is how a program requests a service from an OS kernel
- provides the interface between a process and the OS
- \$ man 2 intro
- \$ man 2 syscalls

Process management

1 100000 managomont		
Call	Description	
pid = fork()	Create a child process identical to the parent	
pid = waitpid(pid, &statloc, options)	Wait for a child to terminate	
s = execve(name, argv, environp)	Replace a process' core image	
exit(status)	Terminate process execution and return status	

File management

: y y y		
Call	Description	
fd = open(file, how,)	Open a file for reading, writing or both	
s = close(fd)	Close an open file	
n = read(fd, buffer, nbytes)	Read data from a file into a buffer	
n = write(fd, buffer, nbytes)	Write data from a buffer into a file	
position = lseek(fd, offset, whence)	Move the file pointer	
s = stat(name, &buf)	Get a file's status information	

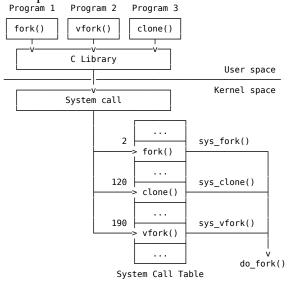
Directory and file system management

Call	Description
s = mkdir(name, mode)	Create a new directory
s = rmdir(name)	Remove an empty directory
s = link(name1, name2)	Create a new entry, name2, pointing to name1
s = unlink(name)	Remove a directory entry
s = mount(special, name, flag)	Mount a file system
s = umount(special)	Unmount a file system

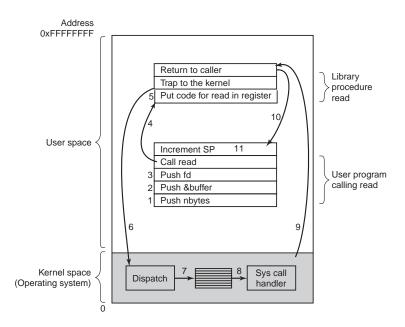
Miscellaneous

Call	Description
s = chdir(dirname)	Change the working directory
s = chmod(name, mode)	Change a file's protection bits
s = kill(pid, signal)	Send a signal to a process
seconds = time(&seconds)	Get the elapsed time since Jan. 1, 1970

Fig. 1-18. Some of the major POSIX system calls. The return code s is -1 if an error has occurred. The return codes are as follows: pid is a process id, fd is a file descriptor, n is a byte count, position is an offset within the file, and seconds is the elapsed time. The parameters are explained in the text.



The 11 steps in making a system call read(fd, buffer, nbytes)



Example

Linux INT 80h

Interrupt Vector Table: The very first 1KiB of x86 memory.

- 256 entries \times 4B = 1KiB
- Each entry is a complete memory address (segment:offset)
- It's populated by Linux and BIOS
- Slot 80h: address of the kernel services dispatcher (sys-call table)

Example

```
; https://www.devdungeon.com/content/hello-world-nasm-assembler
          ; Compile: nasm -f elf hello32.asm -o hello.o
               Link: ld hello.o -o hello -m elf_i386
                Run: ./hello
          ; strace issue:
                  ; https://github.com/strace/strace/issues/103 (need kernel 5.3+)
                   ; https://stackoverflow.com/questions/57850588/confused-by-strace-output-of-a-
                   \rightarrow simple-helloworld-nasm-program/57884132#57884132
11 SECTION .DATA
           db 'Hello, world!',10 ; 10 = ascii for LF
13 MsgLen: equ $-Msg
  SECTION .TEXT
  GLOBAL _start
   _start:
          mov eax, 4
                           ; write(
                          ; STDOUT_FILENO,
          mov ebx, 1
          mov ecx, Msg
                               "Hello, world!\n",
                          ;
          mov edx, MsgLen ; sizeof("Hello, world! \n")
          int 80h
                          ; );
          mov eax, 1
                           ; exit(
26
          mov ebx, 0
                           ; EXIT_SUCCESS
          int 80h
```

```
$ nasm -f elf64 hello.asm -o hello.o
        $ ld hello.o -o hello
        $ less /usr/include/asm/unistd_32.h
        $ less /usr/include/asm/unistd_64.h
        64-bits version:
                              ; https://jameshfisher.\,com/2018/03/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-world/10/linux-assembly-hello-worl
                             ; $ nasm -f elf64 -o hello64.o hello64.s
                             ; $ ld -o hello64 hello64.o
                             ; $ ./hello64
  7 global _start
  9 section .text
 11 _start:
         mov rax, 1
                                                            ; write(
                                                           ; STDOUT_FILENO,
         mov rdi, 1
         mov rdi, i , SIDOOI_FILENO,
mov rsi, msg ; "Hello, world!\n",
mov rdx, msglen ; sizeof("Hello, world!\n")
 14
          syscall
          mov rax, 60
                                                            ; exit(
                                                       ; EXIT_SUCCESS
          mov rdi, 0
                                                         ;);
          syscall
 22 section .rodata
        msg: db "Hello, world!", 10
         msglen: equ $ - msg
System Call Examples
           #include <unistd.h>
           3 int main(void)
           4 {
                write(1, "Hello, world!\n", 14);
                                                                                                                                                          • Actually, write() is a wrapper function in
                                                                                                                                                               glibc.
                    return 0;
                                                                                                                                                          $ man 2 write
                                                                                                                                                          $ man 3 write
         10 /* Local Variables: */
         /* compile-command: "gcc -Wall -Wextra write.c

→ -o /tmp/write" */
         12 /* End: */
Don't invoke syscall directly whenever possible
   1 /* Calling sys_write using inline assembly code */
   2 /* https://jameshfisher.com/2018/02/20/c-inline-assembly-hello-world/ */
  3 int main(void) {
          register char* arg2 asm("rsi") = "hello, world!\n";
          /* rax: sys_write; rdi: STDOUT; */
           asm("mov $1, %rax; mov $1, %rdi; mov $14, %rdx; syscall;");
          return 0;
 10 }
12 /* Local Variables: */
```

13 /* compile-command: "gcc -Wall write-inlineasm.c -o /tmp/write-inlineasm" */

14 /* End: */

- https://jameshfisher.com/2018/02/20/c-inline-assembly-hello-world/
- https://cs.lmu.edu/~ray/notes/gasexamples/
- https://montcs.bloomu.edu/Information/LowLevel/Assembly/assembly-tutorial.html

System Call Examples

```
$ man 2 fork
```

```
1 /* Basically, the fork() call, inside a process, creates an exact copy of that process somewhere
      else in the memory (meaning it'll copy variable values, etc...), and runs the copy from the
   \hookrightarrow point
      the call was made (for the assembly kids: it means that the relative value of the next
      instruction pointer is also copied) */
   /* When we launch this program, it first goes through the first puts(). Then, the fork() makes a
      copy of this program. Finally, each one of this program and its copy goes through the second
      puts(). */
10 #include <stdio.h>
11 #include <unistd.h>
int main ()
14 {
    puts("Hello World!");
     fork();
     puts("Goodbye Cruel World!");
     return 0;
19 }
20 /* Local Variables: */
21 /* compile-command: "gcc -Wall fork.c -o /tmp/fork" */
22 /* End: */
execve()
                1 #include <stdio.h>
                  #include <unistd.h>
                4
                  int main()
                    printf("Hello World!\n");
                6
                    if( fork() != 0 )
                8
                      printf("I am the parent process.\n");
                    else {
                      printf("A child is listing the directory contents...\n");
                      execl("/bin/ls", "ls", "-al", NULL);
                    }
                    return 0;
               14 }
   $ man 2 execve
   $ man 3 exec
```

Quoted from stackoverflow: What is the difference between the functions of the *exec* family of system calls:

There is no *exec* system call — this is usually used to refer to all the *execXX* calls as a group. They all do essentially the same thing: loading a new program into the current process, and provide it with arguments and environment variables. The differences are in how the program is found, how the arguments are specified, and where the environment comes from.

• The calls with *v* in the name take an array parameter to specify the argv[] array (*vector*) of the new program.

- The calls with *l* in the name take the arguments of the new program as a variable-length argument *list* to the function itself.
- The calls with *e* in the name take an extra argument to provide the *environment* of the new program; otherwise, the program inherits the current process's environment.
- The calls with *p* in the name search the *PATH* environment variable to find the program if it doesn't have a directory in it (i.e. it doesn't contain a / character). Otherwise, the program name is always treated as a path to the executable.

Part IV

Working With Files

6 Files

File

A logical view of information storage

User's view

A file is the smallest storage unit on disk.

• Data cannot be written to disk unless they are within a file

UNIX view

Each file is a sequence of 8-bit bytes

• It's up to the application program to interpret this byte stream.

File

What is stored in a file?

Source code, object files, executable files, shell scripts, PostScript...

Different type of files have different structure

• UNIX looks at contents to determine type

Shell scripts start with "#!" **PDF** start with "%PDF..."

Executables start with magic number

Windows uses file naming conventions

executables end with ".exe" and ".com"

MS-Word end with ".doc"

MS-Excel end with ".xls"

File Types

Regular files: ASCII, binary

Directories: Maintaining the structure of the FS

In UNIX, everything is a file

Character special files: I/O related, such as terminals, printers ... **Block special files:** Devices that can contain file systems, i.e. disks

Disks — logically, linear collections of blocks; disk driver translates them into physical block addresses

File Operations

POSIX file system calls

```
read(fd, buffer, byte_count)
 creat(name, mode)
 open(name, flags)
                           write(fd, buffer, byte_count)
 close(fd)
                           lseek(fd, offset, whence)
 link(oldname, newname)
                           chown(name, owner, group
                           fchown(fd, owner, group)
 unlink(name)
                           chmod(name, mode
 truncate(name, size)
 ftruncate(fd, size)
                           fchmod(fd, mode)
 stat(name, buffer)
                           utimes(name, times)
 fstat(fd, buffer)
write()
                                                      read()
   #include <unistd.h>
                                                          #include <unistd.h>
 3 int main(void)
                                                         int main(void)
 4 {
                                                        4 {
    write(1, "Hello, world!\n", 14);
                                                            char buffer[10];
                                                           read(0, buffer, 10);
    return 0;
  }
                                                           write(1, buffer, 10);
10 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra write.c -o
                                                           return 0;

    /tmp/write" */

12 /* End: */
                                                       14 /* Local Variables: */
                                                       15 /* compile-command: "qcc -Wall
   $ man 2 write
                                                          → -Wextra read.c -o /tmp/read"
   $ man 3 write
                                                          → */
                                                       16 /* End: */
                                                          $ man 2 read
                                                          $ man 3 read
```

• No need to open() STDIN, STDOUT, and STDERR

```
ср
```

```
#include <sys/types.h> /* include necessary header files */
2 #include <fcntl.h>
3 #include <stdlib.h>
4 #include <unistd.h>
6 #define BUF_SIZE 4096
7 #define OUTPUT_MODE 0700
9 int main(int argc, char *argv[])
10 {
    int in, out, rbytes, wbytes;
    char buf[BUF_SIZE];
    if (argc != 3) exit(1);
    if ((in = open(argv[1], O_RDONLY)) < 0) exit(2);</pre>
    if ( (out = creat(argv[2], OUTPUT_MODE)) < 0 ) exit(3);</pre>
    while (1) { /* Copy loop */
       if ( (rbytes = read(in, buf, BUF_SIZE)) <= 0 ) break;</pre>
       if ( (wbytes = write(out, buf, rbytes)) <= 0 ) exit(4);</pre>
    }
```

```
close(in); close(out);
     if (rbytes == 0) exit(0); /* no error on last read */
     else exit(5);
                               /* error on last read */
28 }
30 /* Local Variables: */
31 /* compile-command: "gcc -Wall -Wextra cp-syscall.c -o /tmp/cp-syscall" */
32 /* End: */
stdio - The Standard I/O Library
System calls: open(), read(), write(), close()...
Library functions: fopen(), fread(), fwrite, fclose()...
Avoid calling syscalls directly as much as you can
                                                           User program
                                                                        User
                                                                        space
                                                         Libraries
                                                           System calls
   · Portability
                                                                        Kernel
   · Buffered I/O
                                                         Device drivers
                                                         Hardware devices
open() vs. fopen()
open()
                                                     fopen() — Buffered I/O
  1 #include <unistd.h>
                                                        #include <stdio.h>
  #include <sys/stat.h>
  3 #include <fcntl.h>
                                                        int main(void)
  4 #include <stdio.h>
                                                      4
                                                        {
                                                          FILE *stream;
 6 int main()
                                                      6
                                                          stream = fopen("/tmp/1m.test", "r");
      char c;
      int in;
                                                          while ( fgetc(stream) != EOF );
      in = open("/tmp/1m.test", O_RDONLY);
                                                         fclose(stream);
      while (read(in, &c, 1) == 1);
                                                          return 0;
      return 0;
                                                     14 }
 15 }
                                                     16 /* Local Variables: */
 17 /* Local Variables: */
                                                     /* compile-command: "gcc -Wall -Wextra
 /* compile-command: "gcc -Wall -Wextra

    fopen.c -o /tmp/fopen" */

    → open.c -o /tmp/open" */
                                                     18 /* End: */
 19 /* End: */
                                                     $ strace -c ./fopen
$ strace -c ./open
   $ dd if=/dev/zero of=/tmp/1m.test bs=1k count=1024

    https://stackoverflow.com/questions/1658476/c-fopen-vs-open

cp - With stdio
```

```
#include <stdio.h>
#include <stdlib.h>

int main(int argc, char *argv[])
```

```
{
    FILE *in, *out;
    int c=0;

    if (argc != 3) exit(1);

    in = fopen(argv[1], "r");
    out = fopen(argv[2], "w");

    while( (c = fgetc(in)) != EOF)
        fputc(c, out);

    return 0;

}

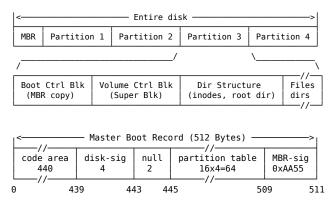
/* Local Variables: */
    /* compile-command: "gcc -Wall -Wextra cp-libc.c -o /tmp/cp-libc" */
    /* End: */
```

Try fread()/fwrite() instead.

- https://stackoverflow.com/questions/32742430/is-getc-a-macro-or-a-function
- https://stackoverflow.com/questions/9104568/macro-vs-function-in-c

File System Implementation

A typical file system layout



On-Disk Information Structure

Boot block a MBR copy

Superblock Contains volume details

number of blocks free-block count free FCB count free FCB pointers

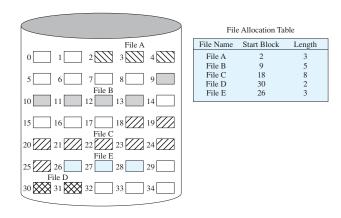
I-node Organizes the files *FCB* (*File Control Block*), contains file details (metadata).

Superblock

Keeps information about the file system

- Type ext2, ext3, ext4...
- Size
- Status how it's mounted, free blocks, free inodes, ...
- · Information about other metadata structures
- \$ sudo dumpe2fs /dev/sda1 | less

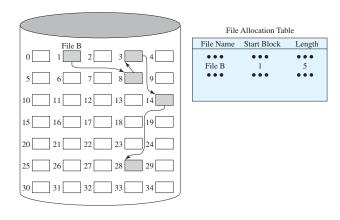
Implementing Files



Contiguous Allocation

- © simple
- © good for read only

(S) fragmentation



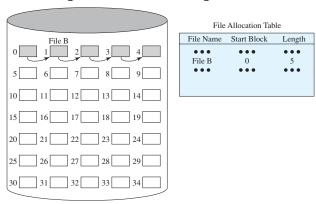
Linked List (Chained) Allocation

A pointer in each disk block

- in o waste block
- Slow random access

 \odot not 2^n

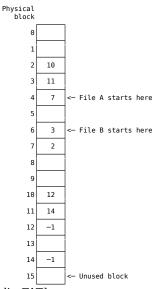
Linked List (Chained) Allocation Though there is no external fragmentation, consolidation is still preferred.



FAT: Linked list allocation with a table in RAM

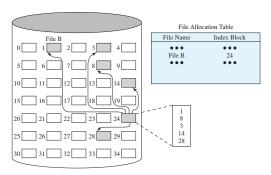
- Taking the pointer out of each disk block, and putting it into a table in memory
- fast random access (chain is in RAM)
- is 2^n
- the entire table must be in RAM

$$disk \nearrow \Rightarrow FAT \nearrow \Rightarrow RAM_{used} \nearrow$$



See also: [File Allocation Table — Wikipedia, The Free Encyclopedia, Wikipedia:FAT].

Indexed Allocation

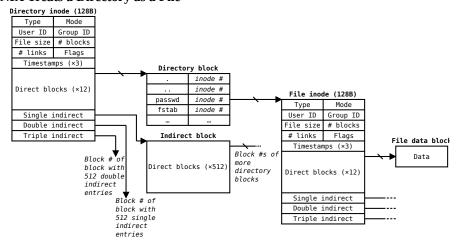


I-node A data structure for each file. An i-node is in memory *only if* the file is open

$$files_{opened} \nearrow \Rightarrow RAM_{used} \nearrow$$

See also: [*Inode* — *Wikipedia*, *The Free Encyclopedia*, *Wikipedia:inode*].

UNIX Treats a Directory as a File

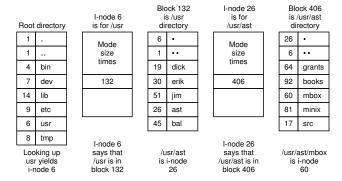


open()

Why? To avoid constant searching

• Without open(), every file operation involves searching the directory for the file.

The steps in looking up /usr/ast/mbox



fd open(pathname, flags)

A per-process open-file table is kept in the OS

- upon a successful open() syscall, a new entry is added into this table
- indexed by file descriptor (fd)
- close() to remove an entry from the table

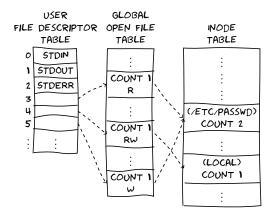
To see files opened by a process, e.g. init

\$ lsof -p 1

\$ man 2 open

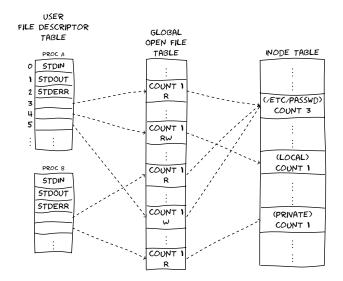
A process executes the following code:

```
fd1 = open("/etc/passwd", O_RDONLY);
fd2 = open("local", O_RDWR);
fd3 = open("/etc/passwd", O_WRONLY);
```



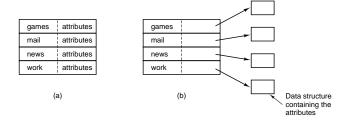
One more process B:

```
fd1 = open("/etc/passwd", O_RDONLY);
fd2 = open("private", O_RDONLY);
```



7 Directories

Implementing Directories



- (a) A simple directory (Windows)
 - fixed size entries
 - disk addresses and attributes in directory entry
- (b) Directory in which each entry just refers to an i-node (UNIX)

Directory entry in glibc

- \$ man readdir
- \$ view /usr/include/x86_64-linux-gnu/bits/dirent.h

Ext2 Directories



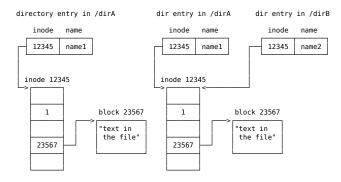
```
-> inode number
                -> record length
                    -> name length
                     -> file type
                           -> name
           12 | 1 | 2
    21
           12 2 2 . .
    22
12
              5 2 h e l l o
    53
           16
24
               3 2 u s r
    67
           28
40
    Ó
           16 7 1 o'l'd'f'ile
52
           12
              4 2 s b i n
68
    34
```

- Directories are special files
- "." and ".." first

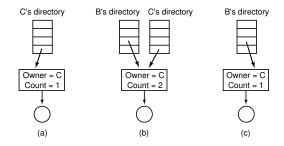
```
• Padding to 4 \times
                                             • inode number is 0 — deleted file
ls
    # #include <sys/types.h>
    2 #include <dirent.h>
    3 #include <stddef.h>
      #include <stdio.h>
      int main(int argc, char *argv[])
      {
        DIR *dp;
        struct dirent *entry;
                                                                   The real ls.c?
        dp = opendir(argv[1]);
                                                                      • 116 A4 pages
        while ( (entry = readdir(dp)) != NULL ){
                                                                      • 5308 lines
          printf("%s\n", entry->d_name);
                                                                   Do one thing, and do it really well.
                                                                      $ apt source coreutils
        closedir(dp);
        return 0;
   22 /* Local Variables: */
   23 /* compile-command: "gcc -Wall -Wextra ls.c -o /tmp/ls"
          */
   24 /* End: */
mkdir(), chdir(), rmdir(), getcwd()
 1 #include <sys/stat.h>
 2 #include <sys/types.h>
 3 #include <unistd.h>
 4 #include <stdio.h>
 6 int main(int argc, char *argv[])
 7 {
     char s[100];
     if( mkdir(argv[1], S_IRUSR|S_IXUSR) == 0 )
       chdir(argv[1]);
     printf("PWD = %s\n", getcwd(s,100));
     rmdir(argv[1]);
     return 0;
14 }
16 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra mkdir.c -o /tmp/mkdir" */
18 /* End: */
```

Hard Links

Hard links • the same inode

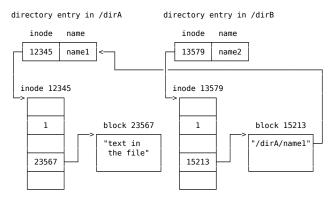


Drawback



Symbolic Links

A symbolic link has its own inode - a directory entry



Fast symbolic link: Short path name (< 60 *chars*) needs no data block. Can be stored in the 15 pointer fields

link(), unlink(), symlink()

```
#include <unistd.h>
#include <stdio.h>

int main(int argc, char *argv[])

{
   symlink(argv[1], argv[2]);
   /* link(argv[1], argv[2]); */
   /* unlink(argv[1]); */

perror(argv[0]);
return 0;
```

```
12 }
13
14
15 /* Local Variables: */
16 /* compile-command: "gcc -Wall -Wextra link.c -o /tmp/ln" */
17 /* End: */
```

Part V

Processes and Threads

8 Virtual Memory

Programs

A program is a file sitting in your hard disk. Two forms:

- Source code, e.g. hello.c, human readable
- Executable code, e.g. a.out, machine readable

Binary format identification Usually ELF

Machine-language instructions Program algorithm

Entry-point address Where to find main()?

Data Initialized variables

Symbol and relocation tables Address of variables, functions...

Shared-library Where to find printf()?

More ...

Process

A process is an instance of a program in execution

Processes are like human beings:

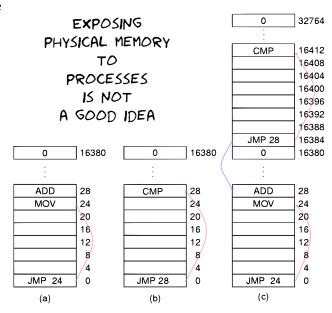
- **>>>** they are generated
- **>>>** they have a life
- they optionally generate one or more child processes, and
- **>>>** eventually they die

A small difference:

- · sex is not really common among processes
- · each process has just one parent

STACK FFFF GAP DATA TEXT 0000

Problem With Real Mode



Protected mode

We need

- Protect the OS from access by user programs
- Protect user programs from one another

Protected mode is an operational mode of x86-compatible CPU.

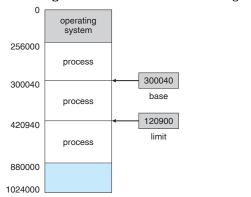
• The purpose is to protect everyone else (including the OS) from your program.

Memory Protection

Logical Address Space

Base register holds the smallest legal physical memory address

Limit register contains the size of the range

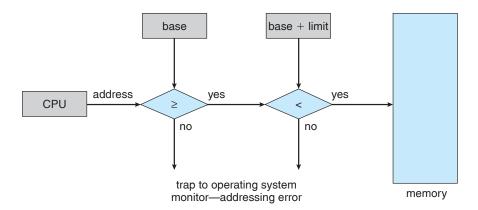


 \boldsymbol{A} pair of base and limit registers define the logical address space

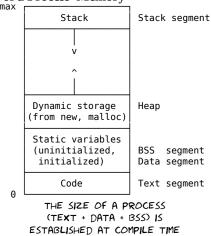


Memory Protection

Base and limit registers



UNIX View of a Process' Memory



text: program code

data: initialized global and static data bss: uninitialized global and static data

heap: dynamically allocated with malloc, new

stack: local variables

Stack vs. Heap

Stack	Неар
compile-time allocation	run-time allocation
auto clean-up	you clean-up
inflexible	flexible
smaller	bigger
quicker	slower

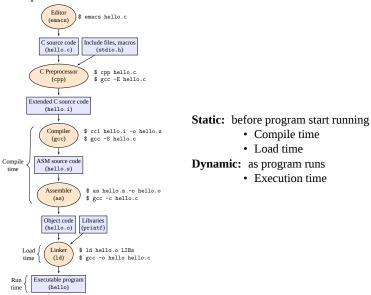
How large is the ... stack: ulimit -s

heap: could be as large as your virtual memory

text|data|bss: size a.out

Multi-step Processing of a User Program

When is space allocated?



Compiler The name "compiler" is primarily used for programs that translate source code from a high-level programming language to a lower level language (e.g., assembly language or machine code)[Compiler — Wikipedia, The Free Encyclopedia].

Assembler An assembler creates object code by translating assembly instruction mnemonics into opcodes, and by resolving symbolic names for memory locations and other entities[Assembly language — Wikipedia, The Free Encyclopedia].

Linker Computer programs typically comprise several parts or modules; all these parts/modules need not be contained within a single object file, and in such case refer to each other by means of symbols[*Linker (computing) — Wikipedia, The Free Encyclopedia*].

When a program comprises multiple object files, the linker combines these files into a unified executable program, resolving the symbols as it goes along.

Linkers can take objects from a collection called a library. Some linkers do not include the whole library in the output; they only include its symbols that are referenced from other object files or libraries. Libraries exist for diverse purposes, and one or more system libraries are usually linked in by default.

The linker also takes care of arranging the objects in a program's address space. This may involve relocating code that assumes a specific base address to another base. Since a compiler seldom knows where an object will reside, it often assumes a fixed base location (for example,zero).

Loader An assembler creates object code by translating assembly instruction mnemonics into opcodes, and by resolving symbolic names for memory locations and other entities. ... Loading a program involves reading the contents of executable file, the file containing the program text, into memory, and then carrying out other required preparatory tasks to prepare the executable for running. Once loading is complete, the operating system starts the program by passing control to the loaded program code[*Loader (computing) — Wikipedia, The Free Encyclopedia*]

Dynamic linker A dynamic linker is the part of an operating system (OS) that loads (copies from persistent storage to RAM) and links (fills jump tables and relocates pointers) the shared libraries needed by an executable at run time, that is, when it is executed. The specific operating system and executable format determine how the dynamic linker functions and how it is implemented. Linking is often referred to as a process that is performed at compile time of the executable while a dynamic linker is in actuality a special loader that loads external shared libraries into a running process and then binds those shared libraries dynamically to the running process. The specifics of how a dynamic linker functions is operating-system dependent[Dynamic linker — Wikipedia, The Free Encyclopedia]

Linkers and Loaders allow programs to be built from modules rather than as one big monolith.

See also:

- [Computer Systems: A Programmer's Perspective, Chap. 7, Linking].
- COMPILER, ASSEMBLER, LINKER AND LOADER: A BRIEF STORY³.
- Linkers and Loaders⁴.
- [Linkers and Loaders, Links and loaders].
- Linux Journal: Linkers and Loaders⁵. Discussing how compilers, links and loaders work and the benefits of shared libraries

Address Binding

Who assigns memory to segments?

Static-binding: before a program starts running

Compile time: *Compiler* and *assembler* generate an object file for each source file

Load time:

- Linker combines all the object files into a single executable object file
- Loader (part of OS) loads an executable object file into memory at location(s) determined by the OS
 - invoked via the execve system call

Dynamic-binding: as program runs

- Execution time:
 - uses new and malloc to dynamically allocate memory
 - gets space on stack during function calls
- Address binding has nothing to do with physical memory (RAM). It determines the addresses of objects in the address space (virtual memory) of a process.

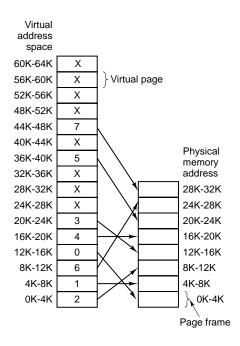
Virtual Memory

Logical memory can be much larger than physical memory

³http://www.tenouk.com/ModuleW.html

⁴http://www.iecc.com/linker/

⁵http://www.linuxjournal.com/article/6463



Address translation

 $\begin{array}{c} \begin{array}{c} \hline virtual \\ address \end{array} \xrightarrow{page\,table} \begin{array}{c} physical \\ address \end{array}$

$$Page \ 0 \xrightarrow{map \ to} Frame \ 2$$

$$0_{virtual} \xrightarrow{map \, to} 8192_{physical}$$

$$(20500_{vir} \xrightarrow{map \ to} 12308_{phy}$$

$$(20k + 20)_{vir} \xrightarrow{map \ to} (12k + 20)_{phy}$$

Paging

Address Translation Scheme

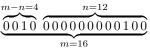
Address generated by CPU is divided into:

Page number(p): an index into a page table
Page offset(d): to be copied into memory

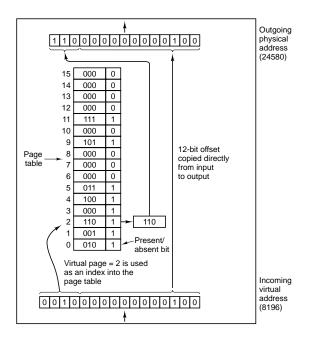
Given logical address space (2^m) and page size (2^n) ,

$$\text{number of pages} = \frac{2^m}{2^n} = 2^{m-n}$$

Example: addressing to 0010000000000100



page number = 0010 = 2, page offset = 000000000100



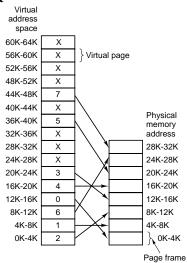
Virtual pages: 16

Page size: 4k Virtual memory: 64K

Physical frames: 8
Physical memory: 32K

r nysicai memory. 521

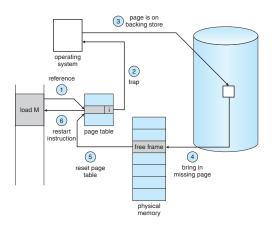
Page Fault



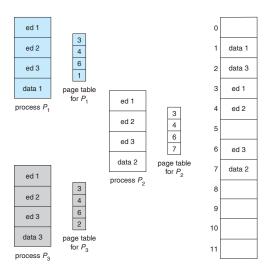
MOV REG, 32780?

Page fault & swapping

Page Fault Handling



Shared Pages



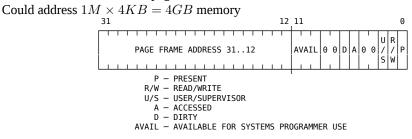
Page Table Entry

Intel i386 Page Table Entry

- Commonly 4 bytes (32 bits) long
- Page size is usually 4k (2^{12} bytes). OS dependent

\$ getconf PAGESIZE

• Could have $2^{32-12} = 2^{20} = 1M$ pages



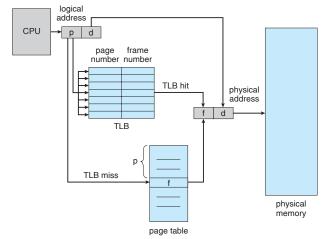
NOTE: 0 INDICATES INTEL RESERVED. DO NOT DEFINE.

Page Table

- · Page table is kept in main memory
- Usually one page table for each process
- Page-table base register (PTBR): A pointer to the page table is stored in PCB
- Page-table length register (PRLR): indicates size of the page table
- · Slow
 - Requires two memory accesses. One for the page table and one for the data/instruction.
- TLB

Translation Lookaside Buffer (TLB)

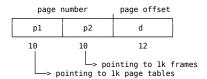
80-20 rule Only a small fraction of the PTEs are heavily read; the rest are barely used at all



Multilevel Page Tables

- a 1M-entry page table eats 4M memory
- while 100 processes running, 400M memory is gone for page tables
- · avoid keeping all the page tables in memory all the time

A two-level scheme



p1: is an index into the outer page table

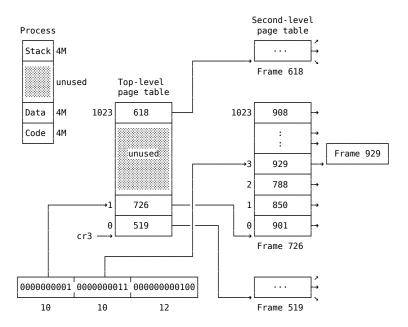
p2: is the displacement within the page of the outer page table

- Split one huge page table into 1k small page tables
 - i.e. the huge page table has 1k entries.

- Each entry keeps a page frame number of a small page table.
- Each small page table has 1k entries
 - Each entry keeps a page frame number of a physical frame.

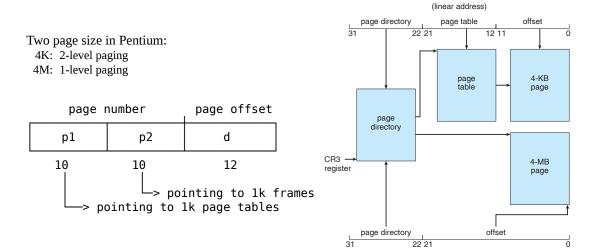
Two-Level Page Tables

Example



Pentium Paging

 $Linear\ Address \Rightarrow Physical\ Address$



Problem With 64-bit Systems

Given:

- virtual address space $=64\,bits$
- page size = $4 \, KB = 2^{12} \, B$
- ? How much space would a simple single-level page table take?
 - if Each page table entry takes $4\,Bytes$

then The whole page table (2^{64-12} entries) will take

$$2^{64-12}\times 4\,B = 2^{54}\,B = 16\,PB \quad \textit{(peta \Rightarrow tera \Rightarrow giga)!}$$

And this is for ONE process!

Multi-level?

if $10 \, bits$ for each level

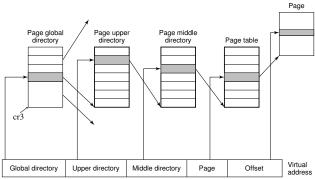
then $\frac{64-12}{10} = 5$ levels are required

5 memory accress for each address translation!

• The CR3 register • the top level page table for the current process.

Paging In Linux

4-level paging for both 32-bit and 64-bit



4-level paging for both 32-bit and 64-bit

- 64-bit: four-level paging
 - 1. Page Global Directory
 - 2. Page Upper Directory
 - 3. Page Middle Directory
 - 4. Page Table
- 32-bit: two-level paging
 - 1. Page Global Directory
 - 2. Page Upper Directory 0 bits; 1 entry
 - 3. Page Middle Directory 0 bits; 1 entry
 - 4. Page Table

The same code can work on 32-bit and 64-bit architectures

Arch	Page size	Ad- dress bits	Paging levels	Address splitting
x86	4KB(12bits)	32	2	10 + 0 + 0 + 10 + 12
x86-PAE	4KB(12bits)	32	3	2+0+9+9+12
x86-64	4KB(12bits)	48	4	9 + 9 + 9 + 9 + 12

9 Process

From kernel's point of view

A process consists of

User-space memory program code, variable...

Kernel data structures keep the state of the process

Process Control Block (PCB)

Implementation

A process is *the collection of data structures* that fully describes how far the execution of the program has progressed.

- Each process is represented by a *PCB*
- task_struct in 🐧

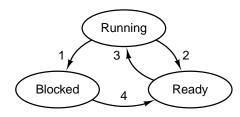
process state		
PID		
program counter		
registers		
memory limits		
list of open files		

Process Creation

cess Creation fork()
$$\xrightarrow{parent}$$
 whatever() \xrightarrow{parent} wait() $\xrightarrow{exec()}$ $\xrightarrow{exit()}$

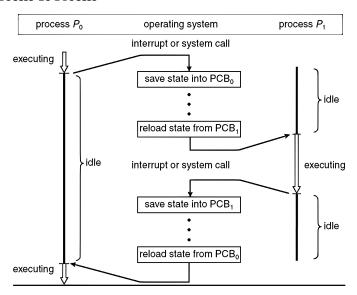
- When a process is created, it is almost identical to its parent
 - It receives a (logical) copy of the parent's address space, and
 - executes the same code as the parent
- The parent and child have separate copies of the data (stack and heap)

Process State Transition



- 1. Process blocks for input
- 2. Scheduler picks another process
- 3. Scheduler picks this process
- 4. Input becomes available

CPU Switch From Process To Process



Forking in C

```
/* Basically, the fork() call, inside a process, creates an exact copy of that process somewhere
     else in the memory (meaning it'll copy variable values, etc...), and runs the copy from the
     the call was made (for the assembly kids : it means that the relative value of the next
     instruction pointer is also copied) */
  /* When we launch this program, it first goes through the first puts(). Then, the fork() makes a
      copy of this program. Finally, each one of this program and its copy goes through the second
     puts(). */
10 #include <stdio.h>
#include <unistd.h>
int main ()
14 {
    puts("Hello World!");
```

```
fork();
     puts("Goodbye Cruel World!");
     return 0;
19 }
20 /* Local Variables: */
   /* compile-command: "qcc -Wall fork.c -o /tmp/fork" */
22 /* End: */
exec()
                                  int main()
                                    {
                                      pid_t pid;
                                      /* fork another process */
                                      pid = fork();
                                      if (pid < 0) { /* error occurred */
                                        fprintf(stderr, "Fork Failed");
                                        exit(-1);
                                      else if (pid == 0) { /* child process */
                                        execlp("/bin/ls", "ls", NULL);
                                      else { /* parent process */
                                        /* wait for the child to complete */
                                        wait(NULL);
                                        printf ("Child Complete");
                                        exit(0);
                                      return 0;
                                  20 }
```

More about argv[0] int execve(const char *pathname, char *const argv[], char *const envp[]);

- pathname should be the binary image of a program. Or it can be a script (man 2 execve);
- argv[0] is the new process name, usually the same as the basename of pathname, though it can be any other string. It can even be NULL (see Figure 3 for example).

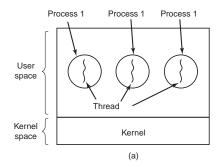
The fact that argv [0] contains the name used to invoke the program can be employed to perform a useful trick. We can create multiple links to (i.e., names for) the same program, and then have the program look at argv [0] and take different actions depending on the name used to invoke it. An example of this technique is provided by the gzip(1), gunzip(1), and zcat(1) commands, all of which are links to the same executable file. [The Linux Programming Interface: A Linux and UNIX System Programming Handbook, Sec. 6.6]

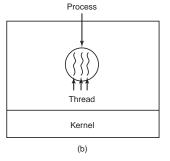
- https://stackoverflow.com/questions/2794150/when-can-argv0-have-null
- https://stackoverflow.com/questions/36673765/why-can-the-execve-system-call-run-bin-sh-without-any-arguments-but-not

10 Thread

Process vs. Thread

a single-threaded process = resource + execution a multi-threaded process = resource + executions





A process = a unit of resource ownership, used to group resources together;

```
1 #include <unistd.h>
                                           1 #include <stdio.h>
3 int main(void) {
                                           int main(int argc, char **argv) {
   char *argv[] = {NULL};
                                               if (argc == 0 && argv[0] == NULL)
    char *envp[] = {NULL};
                                                   puts("yup");
    execve("callee.out", argv, envp);
                                           8 /* Local Variables: */
  /* Local Variables: */
                                           9 /* compile-command: "gcc -Wall
10 /* compile-command: "gcc -Wall
                                             → -Wextra callee.c -o /tmp/callee"
  → -Wextra caller.c -o /tmp/caller"
  → */
                                          10 /* End: */
11 /* End: */
```

Fig. 1: caller.c

Fig. 2: callee.c

```
$ gcc -Wall caller.c -o caller.out
$ gcc -Wall callee.c -o callee.out
$ ./caller.out ./callee.out
```

Fig. 3: argv[0] can be NULL

A thread = a unit of scheduling, scheduled for execution on the CPU.

Threads

code, data, open files, signals		
thread ID	thread ID	thread ID
program counter	program counter	program counter
register set	register set	register set
stack	stack	stack

POSIX Threads

IEEE 1003.1c The standard for writing portable threaded programs. The threads package it defines is called *Pthreads*, including over 60 function calls, supported by most UNIX systems.

Some of the Pthreads function calls

Thread call	Description
pthread_create	Create a new thread
pthread_exit	Terminate the calling thread
pthread_join	Wait for a specific thread to exit
pthread_yield	Release the CPU to let another thread run
pthread_attr_init	Create and initialize a thread's attribute structure
pthread_attr_destroy	Remove a thread's attribute structure

Pthreads

Example 1

```
#include <pthread.h>
#include <stdlib.h>
#include <unistd.h>
```

```
4 #include <stdio.h>
6 void *thread_function(void *arg) {
    int i;
    for ( i=0; i<10; i++ ) {
      printf("Thread says hi!, %d\n",i);
       sleep(1);
    return NULL;
13 }
  int main(void)
16 {
    pthread_t mythread;
    if( pthread_create(&mythread, NULL, thread_function, NULL) ) {
       printf("error creating thread.");
       abort();
    printf("Can you see my thread working?\n");
    if( pthread_join ( mythread, NULL ) ) {
26
      printf("error joining thread.");
       abort();
     exit(0);
32 }
34 /* Local Variables: */
35 /* compile-command: "qcc -Wall -Wextra thread1.c -o /tmp/thread1 -pthread" */
36 /* End: */
```

Pthreads

pthread_t defined in pthread.h, is often called a "thread id" (tid); pthread_create() returns zero on success and a non-zero value on failure; pthread_join() returns zero on success and a non-zero value on failure;

How to use pthread?

- #include<pthread.h> \$ gcc thread1.c -o thread1 -pthread \$./thread1
- **Pthreads**

Example 2

```
1 #include <pthread.h>
2 #include <stdio.h>
3 #include <stdlib.h>
4 #include <unistd.h>
6 #define NUMBER_OF_THREADS 5
8 void *hello(void *tid)
    printf ("Hello from thread %d\n", *(int*)tid);
    pthread_exit(NULL);
12 }
14 int main(void)
```

```
15 {
     pthread_t t[NUMBER_OF_THREADS];
     int status, i;
     for (i=0; i<NUMBER_OF_THREADS; i++){</pre>
           printf("Main: creating thread %d ...", i);
       if( (status = pthread_create(&t[i], NULL, hello, (void *)&i)) ){
             perror("pthread_create");
         exit(-1);
26
       puts("done.");
     for (i=0; i<NUMBER_OF_THREADS; i++){</pre>
       printf("Joining thread %d ...",i);
       if( pthread_join(t[i], NULL) ){
         perror("pthread_join");
         abort();
       puts("done.");
     exit(0);
41
  /* Local Variables: */
42
  /* compile-command: "gcc -Wall -Wextra thread2.c -o /tmp/thread2 -pthread" */
44 /* End: */
```

Linux Threads

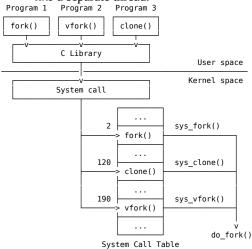
To the Linux kernel, there is no concept of a thread

- Linux implements all threads as standard processes
- · To Linux, a thread is merely a process that shares certain resources with other processes
- Some OS (MS Windows, Sun Solaris) have cheap threads and expensive processes.
- Linux processes are already quite lightweight

```
On a 75MHz Pentium thread: 1.7\mu s fork: 1.8\mu s
```

Linux Threads

clone() creates a separate process that shares the address space of the calling process. The cloned task behaves much like a separate thread.



clone()

```
#include <sched.h>
int clone(int (*fn) (void *), void *child_stack,
int flags, void *arg, ...);

arg 1 the function to be executed, i.e. fn(arg), which returns an int;
arg 2 a pointer range a (usually malloced) memory space to be used as the stack for the new thread;
arg 3 a set of flags used to indicate how much the calling process is to be shared. In fact,
clone(0) == fork()

arg 4 the arguments passed to the function.

It returns the PID of the child process or -1 on failure.

$ man clone
```

The clone() System Call

Some flags:

flag	Shared
CLONE_FS	File-system info
CLONE_VM	Same memory space
CLONE_SIGHAND	Signal handlers
CLONE_FILES	The set of open files

In practice, one should try to avoid calling clone() directly

Instead, use a threading library (such as pthreads) which use clone() when starting a thread (such as during a call to pthread_create())

clone() Example

```
1 /* http://stackoverflow.com/questions/5255320/reason-for-segmentation-fault */
2 #define GNU SOURCE
3 #include <unistd.h>
4 #include <sched.h>
5 #include <sys/types.h>
6 #include <stdlib.h>
7 #include <string.h>
8 #include <stdio.h>
9 #include <fcntl.h>
int variable;
int do_something() {
     variable = 42;
      _exit(0);
16 }
int main(void) {
     void *child_stack;
     variable = 9;
     child_stack = (void *) malloc(8192); // WRONG!
     // child_stack = (void **) malloc(8192) + 8192 / sizeof(*child_stack); // Right!
     printf("The variable was %d\n", variable);
      clone(do_something, child_stack, CLONE_FS | CLONE_VM | CLONE_FILES, NULL);
     sleep(1);
     printf("The variable is now %d\n", variable);
```

```
30    return 0;
31 }
32
33  /* Local Variables: */
34  /* compile-command: "gcc -Wall clone.c -o /tmp/a.out" */
35  /* End: */
```

11 Signals

- Singals are software interrupts. Every signal has a name (SIGXXXX). Signals are classic examples of asynchronous events. They occur at what appear to be random times to the process. The process can't simply test a variable (such as errno) to see whether a signal has occurred; instead, the process has to tell the kernel "if and when this signal occurs, do the following." [Advanced programming in the UNIX environment, chap. 10]
- Signals are software interrupts sent to a program to indicate that an important event has occurred. The events can vary from user requests to illegal memory access errors. Some signals, such as the interrupt signal, indicate that a user has asked the program to do something that is not in the usual flow of control. (https://www.tutorialspoint.com/unix/unix-signals-traps)
- Signals are similar to interrupts, the difference being that interrupts are mediated by the processor and handled by the kernel while signals are mediated by the kernel (possibly via system calls) and handled by processes. The kernel may pass an interrupt as a signal to the process that caused it (typical examples are SIGSEGV, SIGBUS, SIGILL and SIGFPE). (https://en.wikipedia.org/wiki/Signal_(IPC))
- signal(7)
- \$ trap -1

Signals

- · Singals are software interrupts
- Every signal has a name (SIGXXXX)
- One process can send a signal to another process

Sending signals

```
$ Ctrl + c, Ctrl + z, ...
$ kill -signal <pid>
```

Trapping signals

```
#! trap <command> <signals>
```

Trap

```
#!/bin/bash

sigint(){
   echo -e "Why Ctrl-c?\n-> "
   trap sigint SIGINT

echo -n "-> "

while read CMD; do
   $CMD
   echo -n "-> "

done
```

#! trap "rm -rf \$tmpfiles" EXIT

Example SIGINT

```
1 #include <stdio.h>
                                  /* for strlen() */
2 #include <string.h>
3 #include <stdlib.h>
                                   /* for fork() */
4 #include <unistd.h>
5 #include <sys/wait.h>
                                   /* for waitpid() */
6 #include <signal.h>
8 #define MAXLINE 4096
void sig_int(int signo)
11 {
    printf("Why Ctrl-c?\n-> ");
13 }
int main(void)
16 {
    char buf[MAXLINE];
   pid_t pid;
   int status;
    if (signal(SIGINT, sig_int) == SIG_ERR){
      perror("signal");
      exit(EXIT_FAILURE);
    }
    printf("-> ");
26
    while( fgets(buf, MAXLINE, stdin) != NULL ) {
       buf[strlen(buf) - 1] = ' \setminus 0'; /* null */
       if ( (pid = fork()) == 0 ) { /* child */
30
           execlp(buf, buf, (char*)0);
           perror("execlp");
           exit(127);
       if( (pid = waitpid(pid, &status, 0)) < 0 ) perror("waitpid");</pre>
      printf("-> ");
38
     exit(EXIT_SUCCESS);
40 }
41
42 /* Local Variables: */
43 /* compile-command: "gcc -Wall -Wextra shell2.c -o /tmp/shell2" */
44 /* End: */
```

• https://stackoverflow.com/questions/840501/how-do-function-pointers-in-c-work

Example

SIGUSR1

```
#include <signal.h>
#include <stdio.h>
#include <unistd.h>
#include <stdlib.h>

void sig_usr(int);

int main(void)
{
```

```
printf("PID = %d\n", getpid());
     if( signal(SIGUSR1, sig_usr) == SIG_ERR ){
       perror("signal<SIGUSR1>");
           exit(EXIT_FAILURE);
     for(;;) pause();
18 }
   void sig_usr(int signo)
21 {
     if (signo == SIGUSR1)
           puts("received SIGUSR1.");
     else{
       perror("sig_usr");
           exit(EXIT_FAILURE);
28 }
30 /* Local Variables: */
/* compile-command: "gcc -Wall -Wextra sigusr.c -o /tmp/sigusr" */
32 /* End: */
   $ kill -USR1 <PID>
Example
SIGALRM
#include <signal.h>
2 #include <stdio.h>
3 #include <unistd.h>
4 #include <stdlib.h>
6 void cry(int sig)
7 {
    puts("C: I'm crying...");
     kill(getppid(),sig);
10 }
void complain(int sig)
13 {
     puts("P: You're noisy.");
15 }
int main()
18 {
     if ( fork() == 0 ){
       signal(SIGALRM, cry);
       alarm(2);
      pause();
     }
     signal(SIGALRM, complain);
     pause();
     exit(0);
28 }
```

/* compile-command: "gcc -Wall -Wextra alarm.c -o /tmp/alarm" */

30 /* Local Variables: */

32 /* End: */

Part VI

Interprocess Communication

- https://stackoverflow.com/questions/2281204/which-linux-ipc-technique-to-use
- https://stackoverflow.com/questions/404604/comparing-unix-linux-ipc
- https://www.thegeekstuff.com/2010/08/ipcs-command-examples/

Interprocess Communication

Example:

```
$ unicode skull | head -1 | cut -f1 -d' ' | sm -
```

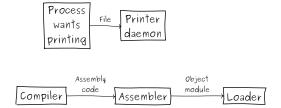
IPC issues:

- 1. How one process can pass information to another
- 2. Be sure processes do not get into each other's way e.g. in an airline reservation system, two processes compete for the last seat
- 3. Proper sequencing when dependencies are present e.g. if A produces data and B prints them, B has to wait until A has produced some data

Two models of IPC:

- · Shared memory
- Message passing (e.g. sockets)

Producer-Consumer Problem



Producer-Consumer Problem

- Consumers don't try to remove objects from Buffer when it is empty.
- Producers don't try to add objects to the Buffer when it is full.

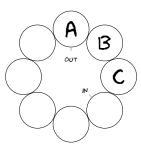
```
while(TRUE){
    while(FULL);
    item = produceItem();
    insertItem(item);
}

while(TRUE){
    while(EMPTY);
    item = removeItem();
    consumeItem(item);
}
```

How to define full/empty?

Bounded-Buffer Problem (Circular Array)

Front(out): the first full position **Rear(in):** the next free position



Full or empty when "front == rear"?

Common solution:

Full: when "(in + 1)%BUFFER_SIZE == out"

```
Actually, this is "full -1" 
 Empty: when "in == out" 
 Can only use "BUFFER_SIZE -1" elements
```

Shared data:

```
#define BUFFER_SIZE 6
typedef struct {
    ...
} item;
item buffer[BUFFER_SIZE];
int in = 0; //the next free position
int out = 0;//the first full position
```

Bounded-Buffer Problem

```
Producer:
```

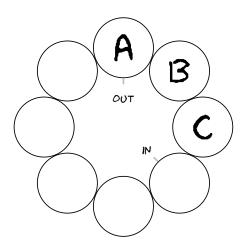
```
while (true) {
/* do nothing -- no free buffers */
while (((in + 1) % BUFFER_SIZE) == out);

produce(buffer[in]);

in = (in + 1) % BUFFER_SIZE;
}

Consumer:
while (true) {
while (true) {
while (in == out); /* do nothing */
consume(buffer[out]);

out = (out + 1) % BUFFER_SIZE;
```

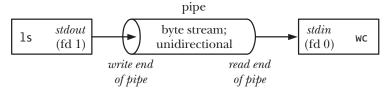


12 Pipes and FIFOs

• [The Linux Programming Interface: A Linux and UNIX System Programming Handbook, chap. 44]

Pipe

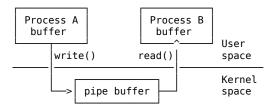
\$ ls | wc -1



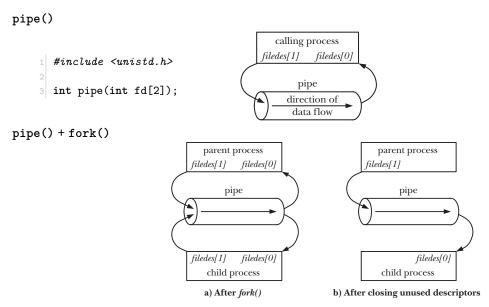
- A pipe is a byte stream
- · Unidirectional
- read() would be blocked if nothing written at the other end



• When we say that a pipe is a byte stream, we mean that there is no concept of messages or message boundaries when using a pipe. The process reading from a pipe can read blocks of data of any size, regardless of the size of blocks written by the writing process. Furthermore, the data passes through the pipe sequentially — bytes are read from a pipe in exactly the order they were written. It is not possible to randomly access the data in a pipe using <code>lseek()</code>. [The Linux Programming Interface: A Linux and UNIX System Programming Handbook, chap. 44]



- No direct link between A and B (need system calls)
- A pipe is simply a buffer maintained in kernel memory
 \$ cat /proc/sys/fs/pipe-max-size



- Pipes must have a reader and a writer. If a process tries to write to a pipe that has no reader, it will be sent the SIGPIPE signal from the kernel. This is imperative when more than two processes are involved in a pipeline. (http://www.tldp.org/LDP/lpg/node20.html)
- While it is possible for the parent and child to both read from and write to the pipe, this is not usual. Therefore, immediately after the fork(), one process closes its descriptor for the write end of the pipe, and the other closes its descriptor for the read end. For example, if the parent is to send data to the child, then it would close its read descriptor for the pipe, filedes[0], while the child would close its write descriptor for the pipe, filedes[1]. One reason that it is not usual to have both the parent and child reading from a single pipe is that if two processes try to simultaneously read from a pipe, we can't be sure which process will be the first to succeed the two processes race for data. Preventing such races would require the use of some synchronization mechanism. However, if we require bidirectional communication, there is a simpler way: just create two pipes, one for sending data in each direction between the two processes. (If employing this technique, then we need to be wary of deadlocks that may occur if both processes block while trying to read from empty pipes or while trying to write to pipes that are already full.) [The Linux Programming Interface: A Linux and UNIX System Programming Handbook, chap. 44, p. 893]
- Pipes can be used for communication between any two (or more) related processes, as long as the pipe was created
 by a common ancestor before the series of fork() calls that led to the existence of the processes. For example,
 a pipe could be used for communication between a process and its grandchild. The first process creates the pipe,
 and then forks a child that in turn forks to yield the grandchild. A common scenario is that a pipe is used for
 communication between two siblings their parent creates the pipe, and then creates the two children. This is
 what the shell does when building a pipeline.
- Closing unused pipe file descriptors. The process reading from the pipe closes its write descriptor for the pipe, so that, when the other process completes its output and closes its write descriptor, the reader sees end-of-file (once it has read any outstanding data in the pipe). If the reading process doesn't close the write end of the pipe, then, after the other process closes its write descriptor, the reader won't see end-of-file, even after it has read all data from the pipe. Instead, a read() would block waiting for data, because the kernel knows that there is still at least one write descriptor open for the pipe. That this descriptor is held open by the reading process itself is

irrelevant; in theory, that process could still write to the pipe, even if it is blocked trying to read. For example, the read() might be interrupted by a signal handler that writes data to the pipe.

The writing process closes its read descriptor for the pipe for a different reason. When a process tries to write to a pipe for which no process has an open read descriptor, the kernel sends the SIGPIPE signal to the writing process. By default, this signal kills a process. A process can instead arrange to catch or ignore this signal, in which case the write() on the pipe fails with the error EPIPE (broken pipe). Receiving the SIGPIPE signal or getting the EPIPE error is a useful indication about the status of the pipe, and this is why unused read descriptors for the pipe should be closed.

If the writing process doesn't close the read end of the pipe, then, even after the other process closes the read end of the pipe, the writing process will still be able to write to the pipe. Eventually, the writing process will fill the pipe, and a further attempt to write will block indefinitely.

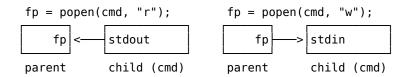
One final reason for closing unused file descriptors is that it is only after all file descriptors in all processes that refer to a pipe are closed that the pipe is destroyed and its resources released for reuse by other processes. At this point, any unread data in the pipe is lost.

```
1 #include <sys/wait.h>
  #include <unistd.h>
  #include <string.h>
  #include <stdlib.h>
  #include <stdio.h>
  #define BUF_SIZE 10
  int main(int argc, char *argv[]) /* Over-simplified! */
10 €
    int pfd[2]; /* Pipe file descriptors */
    char buf[BUF_SIZE];
    ssize_t numRead;
    pipe(pfd); /* Create the pipe */
     switch (fork()) {
    case 0: /* Child - reads from pipe */
       close(pfd[1]); /* Write end is unused */
      for(;;) { /* Read data from pipe, echo on stdout */
         if( (numRead = read(pfd[0], buf, BUF_SIZE)) == 0 )
           break; /* End-of-file */
        if( write(1, buf, numRead) != numRead ){
           perror("child - partial/failed write");
                   exit(EXIT_FAILURE);
      puts(""); /* newline */
       close(pfd[0]); _exit(EXIT_SUCCESS);
    default: /* Parent - writes to pipe */
       close(pfd[0]); /* Read end is unused */
       if( (size_t)write(pfd[1], argv[1], strlen(argv[1])) != strlen(argv[1]) ){
36
        perror("parent - partial/failed write");
             exit(EXIT_FAILURE);
           }
       close(pfd[1]); /* Child will see EOF */
       wait(NULL); /* Wait for child to finish */
       exit(EXIT_SUCCESS);
    }
45
46 }
```

```
47
48 /* Local Variables: */
49 /* compile-command: "gcc -Wall -Wextra simple_pipe.c -o /tmp/simple_pipe" */
50 /* End: */
```

- https://stackoverflow.com/questions/5422831/what-is-the-difference-between-using-exit-exit-in-a-conventional -linux-fo
- _exit(2)

popen()



 ${\tt popen()}$ does a ${\tt fork()}$ and ${\tt exec()}$ to execute the cmd and returns STD I/O file pointer.

```
r fp is readable (stdout)
```

- w fp is writable (stdin)
- [Advanced programming in the UNIX environment, Sec. 15.3]
- [Beginning linux programming, Sec. 13.2]

Example

```
1 #include <unistd.h>
2 #include <stdlib.h>
3 #include <stdio.h>
4 #include <string.h>
6 int main()
  {
    FILE *fp;
     char buf[1025];
     int rc;
    memset(buf, '\0', sizeof(buf));
    if( (fp = popen("ps ax", "r")) != NULL ) {
       rc = fread(buf, sizeof(char), 1024, fp);
       while (rc > 0) {
         buf [rc - 1] = ' \setminus O';
         printf("Reading %d:-\n %s\n", 1024, buf);
         rc = fread(buf, sizeof(char), 1024, fp);
       pclose(fp);
       exit(EXIT_SUCCESS);
     exit(EXIT_FAILURE);
28 /* Local Variables: */
29 /* compile-command: "qcc -Wall -Wextra popen3.c -o /tmp/popen3" */
30 /* End: */
  $ ps ax | cat
```

Example

```
#include <unistd.h>
2 #include <stdlib.h>
3 #include <stdio.h>
4 #include <string.h>
6 int main(int argc, char *argv[])
7 {
    FILE *fp;
    char buf[BUFSIZ + 1];
    sprintf(buf, argv[1]);
    if( (fp = popen("od -c", "w")) != NULL ) {
       fwrite(buf, sizeof(char), strlen(buf), fp);
      pclose(fp);
       exit(EXIT_SUCCESS);
    exit(EXIT_FAILURE);
19 }
21 /* Local Variables: */
22 /* compile-command: "gcc -Wall -Wextra popen2.c -o /tmp/popen2" */
23 /* End: */
   $ echo -n hello | od -c
```

Named Pipe (FIFO)

 $\mbox{\bf PIPEs}\;$ pass data between related processes.

FIFOs pass data between any processes.

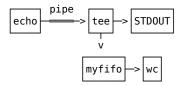
```
$ mkfifo myfifo
```

```
$ echo hello > myfifo
$ cat myfifo
```



tee

```
$ echo hello | tee myfifo
$ wc myfifo
```



• https://en.wikipedia.org/wiki/Named_pipe

IPC With FIFO

```
#include <unistd.h>
#include <stdlib.h>
#include <stdio.h>
#include <string.h>
#include <fcntl.h>
#include <sys/types.h>
#include <sys/types.h>
#include <sys/stat.h>

#define FIFO_NAME "/tmp/myfifo"

int main(int argc, char *argv[]) /* Oversimplified */
int fd, i, mode = 0;
```

```
char c;
    if (argc < 2) {
      fprintf(stderr, "Usage: %s <0_RDONLY | O_WRONLY | O_NONBLOCK>\n", argv[0]);
       exit(EXIT_FAILURE);
    for(i = 1; i < argc; i++) {
       if (strncmp(*++argv, "O_RDONLY", 8) == 0) mode |= O_RDONLY;
      if (strncmp(*argv, "O_WRONLY", 8) == 0) mode |= O_WRONLY;
      if (strncmp(*argv, "O_NONBLOCK", 10) == 0) mode |= O_NONBLOCK;
    if (access(FIFO_NAME, F_OK) == -1) mkfifo(FIFO_NAME, 0777);
    printf("Process %d: FIFO(fd %d, mode %d) opened.\n",
           getpid(), fd = open(FIFO_NAME, mode), mode);
    if( (mode == 0) | (mode == 2048) )
        while (read(fd,&c,1) == 1) putchar(c);
    if( (mode == 1) | (mode == 2049) )
        while( (c = getchar()) != EOF ) write(fd,&c,1);
36
    exit(EXIT_SUCCESS);
40
41 /* Local Variables: */
42 /* compile-command: "gcc -Wall -Wextra fifo2.c -o /tmp/fifo2" */
43 /* End: */
  $ watch 'lsof -n.1 /tmp/myfifo'
  $ ./a.out O_RDONLY
  $ ./a.out O_WRONLY
  $ ./a.out O_RDONLY O_NONBLOCK
  $ ./a.out O_WRONLY O_NONBLOCK
```

O NONBLOCK

- A read()/write() will wait on an empty blocking FIFO
- A read() on an empty nonblocking FIFO will return 0 bytes
- open(const char *path, O_WRONLY | O_NONBLOCK);
 - Returns an error (-1) if FIFO not open
 - Okay if someone's reading the FIFO
- If opened with O_RDWR, the result is undefined
- If opened with O_RDWR, the result is undefined. If you do want to pass data in both directions, it's much better to use a pair of FIFOs or pipes, one for each direction.
- There are four legal combinations of O_RDONLY, O_WRONLY, and the O_NONBLOCK flag.

```
open(const char *path, O_RDONLY);
/* In this case, the open call will block; it will not return until a process opens the
same FIFO for writing. */

open(const char *path, O_RDONLY | O_NONBLOCK);
/* The open call will now succeed and return immediately, even if the FIFO has not been
opened for writing by any process. */

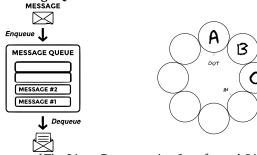
open(const char *path, O_WRONLY);
/* In this case, the open call will block until a process opens the same FIFO for
reading. */
```

```
open(const char *path, O_WRONLY | O_NONBLOCK);

/* This will always return immediately, but if no process has the FIFO open for reading,
open will return an error, - 1, and the FIFO won't be opened. If a process does have the
FIFO open for reading, the file descriptor returned can be used for writing to the
FIFO. */
```

13 Message Queues

Message Queues



- [The Linux Programming Interface: A Linux and UNIX System Programming Handbook, Sec. 52.3]
- mq_overview(7)
- sem_overview(7)
- shm_overview(7)
- https://www.uninformativ.de/blog/postings/2016-05-16/0/POSTING-en.html

Message Queues

Send

```
#include <fcntl.h>
2 #include <limits.h>
3 #include <mqueue.h>
4 #include <stdio.h>
5 #include <stdlib.h>
6 #include <string.h>
7 #include <sys/stat.h>
  int main(int argc, char **argv)
10 {
    mqd_t queue;
    struct mq_attr attrs;
    size_t msg_len;
    if (argc < 3){
      fprintf(stderr, "Usage: %s <queuename> <message>\n", argv[0]);
      return 1;
    }
    queue = mq_open(argv[1], O_WRONLY | O_CREAT, S_IRUSR | S_IWUSR, NULL);
    if (queue == (mqd_t)-1){
          perror("mq_open");
          return 1;
    }
26
    if (mq_getattr(queue, &attrs) == -1){
      perror("mq_getattr");
      mq_close(queue);
      return 1;
    }
```

```
msg_len = strlen(argv[2]);
     if (msg_len > LONG_MAX || (long)msg_len > attrs.mq_msgsize){
       fprintf(stderr, "Your message is too long for the queue.\n");
       mq_close(queue);
36
       return 1;
    }
     if (mq_send(queue, argv[2], strlen(argv[2]), 0) == -1){
       perror("mq_send");
41
       mq_close(queue);
       return 1;
44
45
    return 0;
46 }
47
48 /* Local Variables: */
49 /* compile-command: "qcc -Wall -Wextra mq-send.c -o /tmp/mq-send -lrt" */
50 /* End: */
```

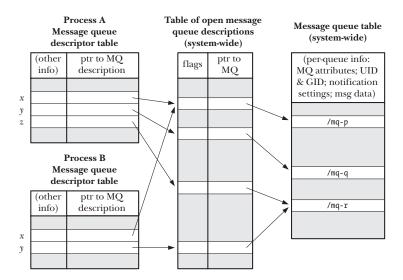
Message Queues

Receive

```
#include <fcntl.h>
2 #include <mqueue.h>
3 #include <stdio.h>
4 #include <stdlib.h>
5 #include <sys/stat.h>
  int main(int argc, char **argv)
8 {
9
   mqd_t queue;
   struct mq_attr attrs;
   char *msg_ptr;
    ssize_t recvd;
    size_t i;
    if (argc < 2){
      fprintf(stderr, "Usage: %s <queuename>\n", argv[0]);
      return 1;
    queue = mq_open(argv[1], O_RDONLY | O_CREAT, S_IRUSR | S_IWUSR, NULL);
    if (queue == (mqd_t)-1){
      perror("mq_open");
      return 1;
    }
    if (mq_getattr(queue, &attrs) == -1){
      perror("mq_getattr");
      mq_close(queue);
      return 1;
30
    msg_ptr = calloc(1, attrs.mq_msgsize);
    if (msg_ptr == NULL){
      perror("calloc for msg_ptr");
      mq_close(queue);
36
      return 1;
    }
```

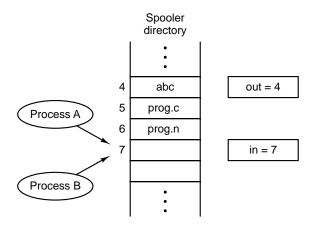
```
recvd = mq_receive(queue, msg_ptr, attrs.mq_msgsize, NULL);
    if (recvd == -1){
40
       perror("mq_receive");
41
42
       return 1;
43
    }
44
    printf("Message: ");
    for (i = 0; i < (size_t)recvd; i++)</pre>
46
       putchar(msg_ptr[i]);
47
    puts("");
48
49 }
  /* Local Variables: */
  /* compile-command: "gcc -Wall -Wextra mq-recv.c -o /tmp/mq-recv -lrt" */
53 /* End: */
```

Relationship Between Kernel Data Structures



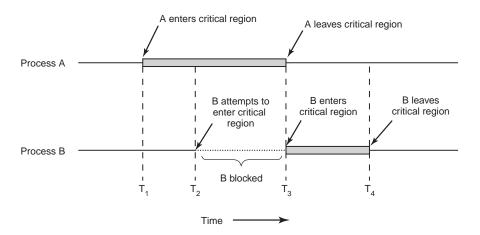
14 Semaphores

Race Conditions



Mutual Exclusion

Critical Region is a piece of code accessing a common resource.



A solution to the critical region problem must satisfy three conditions

Mutual Exclusion: No two processes may be simultaneously inside their critical regions. **Progress:** No process running outside its critical region may block other processes. **Bounded Waiting:** No process should have to wait forever to enter its critical region.

Mutual Exclusion With Busy Waiting

Strict Alternation

```
while(TRUE){
while(turn != 0);
critical_region();
turn = 1;
noncritical_region();
}
while(TRUE){
while(turn != 1);
critical_region();
turn = 0;
noncritical_region();
}
```

② One process can be blocked by another not in its critical region

Mutual Exclusion With Busy Waiting

Peterson's Solution

```
int interest[0] = 0;
int interest[1] = 0;
int turn;
```

P0 P1

[1] Wikipedia. *Peterson's algorithm* — *Wikipedia*, *The Free Encyclopedia*. 2015. http://en.wikipedia.org/w/index.php?title=Peterson%27s%5C_algorithm&oldid=646078826.

Mutual Exclusion With Busy Waiting

Lock file

```
#include <unistd.h>
#include <stdlib.h>
#include <stdio.h>
#include <fcntl.h>
#include <errno.h>
```

```
7 const char *mylock = "/tmp/LCK.test2";
8
9 int main() {
    int fd;
    for(;;){
      while ((fd = open(mylock, O_RDWR \mid O_CREAT \mid O_EXCL, 0444)) != -1) {
        printf("Process(%d) - Working in critical region...\n", getpid());
        sleep(2);
                             /* working */
        close(fd);
        if ( unlink(mylock) == 0 ) puts("Done.\nResource unlocked.");
                                 /* non-critical region */
        sleep(3);
      printf("Process(%d) - Waiting for lock...\n", getpid());
     exit(EXIT_SUCCESS);
24 }
26 /* Local Variables: */
27 /* compile-command: "gcc -Wall -Wextra lock2.c -o /tmp/lock2" */
28 /* End: */
 ② Lock file could be left in system after Ctrl + c
1 #include <unistd.h>
2 #include <stdlib.h>
3 #include <stdio.h>
4 #include <fcntl.h>
5 #include <errno.h>
6 #include <signal.h>
8 const char *mylock = "/tmp/LCK.test2";
void sigint(int signo){
    if ( unlink(mylock) == 0 ) puts("Quit. Lock released.");
     exit(EXIT_SUCCESS);
13 }
int main() {
    int fd;
    signal(SIGINT, sigint);
    for(;;){
      while( (fd = open(mylock, O_RDWR \mid O_CREAT \mid O_EXCL, 0444)) != -1 ) {
        printf("Process(%d) - Working in critical region...\n", getpid());
         sleep(2);
                             /* working */
        close(fd);
        if ( unlink(mylock) == 0 ) puts("Done.\nResource unlocked.");
        sleep(3);
                             /* non-critical region */
       printf("Process(%d) - Waiting for lock...\n", getpid());
     exit(EXIT_SUCCESS);
31 }
33 /* Local Variables: */
34 /* compile-command: "gcc -Wall -Wextra lock2-sigint.c -o /tmp/lock2-sigint" */
35 /* End: */
```

😊 sigint() is too crude to be reliable. Need a more sophisticated design.

What is a Semaphore?

- A locking mechanism
- · An integer or ADT

${\it Atomic}$ Operations		
P()	V()	
Wait()	Signal()	
Down()	Up()	
Decrement()	<pre>Increment()</pre>	

```
down(S){
    while(S<=0);
    S--;
}</pre>
```

More meaningful names:

- increment_and_wake_a_waiting_process_if_any()
- decrement_and_block_if_the_result_is_negative()

Using Semaphore For Signaling

- One thread sends a signal to another to indicate that something has happened
- It solves the serialization problem

Signaling makes it possible to guarantee that a section of code in one thread will run before that in another

```
statement a1 sem.wait()
sem.signal() statement b1
```

What's the initial value of sem?

Example

```
#include <stdio.h>
#include <unistd.h>
3 #include <stdlib.h>
4 #include <string.h>
5 #include <pthread.h>
6 #include <semaphore.h>
8 void *func(void *arg);
9 sem_t sem;
#define BUFSIZE 1024
12 char buf[BUFSIZE];
14 int main() {
   pthread_t t;
    if( sem_init(&sem, 0, 0) != 0 ) {
      perror("Semaphore initialization failed");
       exit(EXIT_FAILURE);
    }
    if( pthread_create(&t, NULL, func, NULL) != 0 ) {
       perror("Thread creation failed");
       exit(EXIT_FAILURE);
    puts("Please input some text. Ctrl-d to quit.");
    while( fgets(buf, BUFSIZE, stdin) )
          sem_post(&sem);
    sem_post(&sem);
                                                    /* in case of Ctrl-d */
```

```
if( pthread_join(t, NULL) != 0) {
       perror("Thread join failed");
       exit(EXIT_FAILURE);
     }
     sem_destroy(&sem);
40
     exit(EXIT_SUCCESS);
41
42 }
43
44 void *func(void *arg) {
45
     sem_wait(&sem);
     while( buf[0] != ' \setminus O' ) {
       printf("You input %ld characters\n", strlen(buf)-1);
47
           buf[0] = ' \setminus 0';
                                                             /* in case of Ctrl-d */
49
       sem_wait(&sem);
     }
     pthread_exit(NULL);
52 }
54 /* Local Variables: */
55 /* compile-command: "gcc -Wall -Wextra thread3.c -o /tmp/thread3 -pthread" */
56 /* End: */
```

- [Beginning linux programming, Sec. 12.5]
- https://stackoverflow.com/questions/368322/differences-between-system-v-and-posix-semaphores

i++ can go wrong!

```
#include <pthread.h>
#include <stdlib.h>
3 #include <stdio.h>
5 static int glob = 0;
  static void *threadFunc(void *arg) /* loop 'arg' times */
8 {
    int j;
    for (j = 0; j < *((int *) arg); j++)
                                                                 /* not atomic! */
      glob++;
    return NULL;
15 }
int main(int argc, char *argv[])
18 {
    pthread_t t1, t2;
    int loops;
    loops = (argc > 1) ? atoi(argv[1]) : 10000000;
    if( pthread_create(&t1, NULL, threadFunc, &loops) != 0 ){
            perror("pthread_create 1");
    }
    if( pthread_create(&t2, NULL, threadFunc, &loops) != 0 ){
       perror("pthread_create 2");
    if( pthread_join(t1, NULL) != 0 ){
      perror("pthread_join 1");
```

```
34   }
35
36   if( pthread_join(t2, NULL) != 0 ){
37      perror("pthread_join 2");
38   }
39
40      printf("glob = %d\n", glob);
41      exit(EXIT_SUCCESS);
42   }
43
44   /* Local Variables: */
45   /* compile-command: "gcc -Wall -Wextra atomic-non.c -o /tmp/atomic-non -pthread" */
46   /* End: */
```

Atomic

i++ is not atomic in assembly language

```
LOAD [i], r0 ; load the value of 'i' into ; a register from memory

ADD r0, 1 ; increment the value ; in the register

STORE r0, [i] ; write the updated ; value back to memory
```

Interrupts might occur in between. So, i++ needs to be protected with a mutex.

Mutex A semaphore that is initialized to 1. In case of:

- 1: A thread may proceed and access the shared variable
- 0: It has to wait for another thread to release the mutex

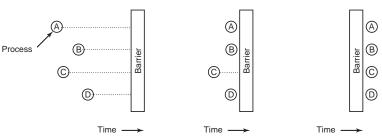
```
mutex.wait()
i mutex.wait()
i++
mutex.wait()
mutex.wait()
mutex.signal()
mutex.signal()
```

```
1 #include <pthread.h>
2 #include <stdlib.h>
3 #include <stdio.h>
5 static int glob = 0;
6 static pthread_mutex_t mtx = PTHREAD_MUTEX_INITIALIZER;
  static void *threadFunc(void *arg)
9 {
    int j;
    for (j = 0; j < *((int *) arg); j++) {
      if ( pthread_mutex_lock(&mtx) != 0 ){
          perror("pthread_mutex_lock");
      }
      if ( pthread_mutex_unlock(&mtx) != 0){
          perror("pthread_mutex_unlock");
    }
    return NULL;
int main(int argc, char *argv[])
```

```
26 {
     pthread_t t1, t2;
     int loops;
     loops = (argc > 1) ? atoi(argv[1]) : 10000000;
     if( pthread_create(&t1, NULL, threadFunc, &loops) != 0 ){
        perror("pthread_create");
     }
     if( pthread_create(&t2, NULL, threadFunc, &loops) != 0 ){
36
         perror("pthread_create");
     if( pthread_join(t1, NULL) != 0 ){
40
         perror("pthread_join");
41
42
44
     if( pthread_join(t2, NULL) != 0 ){
        perror("pthread_join");
46
47
     printf("glob = %d n", glob);
48
49
     exit(EXIT_SUCCESS);
50 }
52 /* Local Variables: */
53 /* compile-command: "gcc -Wall -Wextra atomic-mutex.c -o /tmp/atomic-mutex -pthread" */
54 /* End: */
#include <semaphore.h>
2 #include <pthread.h>
3 #include <stdio.h>
4 #include <stdlib.h>
6 static int glob = 0;
7 static sem_t sem;
9 static void *threadFunc(void *arg)
10 {
    int j;
    for (j = 0; j < *((int *) arg); j++) {
       if (sem_wait(\&sem) == -1){
        perror("sem_wait");
       }
       glob++;
       if (sem_post(\&sem) == -1){
        perror("sem_post");
    }
    return NULL;
24 }
  int main(int argc, char *argv[])
    pthread_t t1, t2;
     int loops;
30
     loops = (argc > 1) ? atoi(argv[1]) : 10000000;
```

```
if( sem_init(&sem, 0, 1) == -1 ){
        perror("sem_init");
     }
36
     if( pthread_create(&t1, NULL, threadFunc, &loops) != 0 ){
        perror("pthread_create");
     }
40
     if( pthread_create(&t2, NULL, threadFunc, &loops) != 0 ){
41
        perror("pthread_create");
    }
43
44
     if( pthread_join(t1, NULL) != 0 ){
        perror("pthread_join");
    }
47
48
     if( pthread_join(t2, NULL) != 0 ){
        perror("pthread_join");
    printf("glob = %d n", glob);
     exit(EXIT_SUCCESS);
  /* Local Variables: */
  /* compile-command: "gcc -Wall -Wextra mutex.c -o /tmp/mutex -pthread" */
59 /* End: */
```

Barrier



- 1. Processes approaching a barrier
- 2. All processes but one blocked at the barrier
- 3. When the last process arrives at the barrier, all of them are let through

Synchronization requirement:

```
specific_task()
critical_point()
```

No thread executes critical_point() until after all threads have executed specific_task().

Barrier Solution

1 n = the number of threads 2 count = 0 3 mutex = Semaphore(1) 4 barrier = Semaphore(0) count: keeps track of how many threads have arrived mutex: provides exclusive access to count
barrier: is locked (≤ 0) until all threads arrive

When barrier.value < 0,

barrier.value == Number of queueing processes

```
1 #define CHAIRS 5
                              1 void customer(void)
 semaphore customers = 0;
                                  if (waiting == CHAIRS)
 semaphore bber = ?;
 semaphore mutex = 1;
                                   goHome();
5 int waiting = 0;
                                  else {
                                   wait(&mutex);
 void barber(void)
                                    waiting++;
                                   signal(&mutex);
8 {
   while (TRUE) {
                                   signal(&customers);
     wait(&customers);
                                   wait(&bber);
     cutHair();
                                   getHairCut();
                                   signal(&bber);
                                    wait(&mutex);
                                      waiting--;
                                    signal(&mutex);
```

Only one thread can pass the barrier!

Barrier Solution

```
specific_task();
                                specific_task();
  mutex.wait();
                                mutex.wait();
    count++;
                                   count++;
5 mutex.signal();
                                   if (count == n)
  if (count == n)
                                      barrier.signal();
    barrier.signal();
                                   barrier.wait();
barrier.wait();
                                   barrier.signal();
barrier.signal();
                             mutex.signal();
critical_point();
                             13 critical_point();
 🙎 Blocking on a semaphore while holding a mutex! 🙎
```

barrier.wait();
barrier.signal();

Turnstile

This pattern, a wait and a signal in rapid succession, occurs often enough that it has a name called a turnstile, because

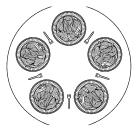
- it allows one thread to pass at a time, and
- it can be locked to bar all threads

15 Classical IPC Problems

15.1 The Dining Philosophers Problem

The Dining Philosophers Problem

```
while True:
think()
get_forks()
eat()
put_forks()
```



How to implement get_forks() and put_forks() to ensure

- 1. No deadlock
- 2. No starvation
- 3. Allow more than one philosopher to eat at the same time

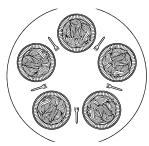
The Dining Philosophers Problem

Deadlock

```
#define N 5
                                      /* number of philosophers */
void philosopher(int i)
                                      /* i: philosopher number, from 0 to 4 */
    while (TRUE) {
        think();
                                      /* philosopher is thinking */
                                      /* take left fork */
        take_fork(i);
                                     /* take right fork; % is modulo operator */
         take_fork((i+1) % N);
                                     /* yum-yum, spaghetti */
         eat();
                                     /* put left fork back on the table */
         put_fork(i);
        put_fork((i+1) % N);
                                     /* put right fork back on the table */
```

• Put down the left fork and wait for a while if the right one is not available? Similar to CSMA/CD — Starvation

The Dining Philosophers Problem



Only one philosopher can eat at a time.How about 2 mutexes, 5 mutexes?

The Dining Philosophers Problem

AST Solution (Part 1)

A philosopher may only move into eating state if neither neighbor is eating

```
1 #define N 5
                          /* number of philosophers */
2 #define LEFT (i+N-1)%N
                          /* number of i's left neighbor */
3 #define RIGHT (i+1)%N
                          /* number of i's right neighbor */
4 #define THINKING O
                          /* philosopher is thinking */
5 #define HUNGRY 1
                          /* philosopher is trying to get forks */
6 #define EATING 2
                          /* philosopher is eating */
  typedef int semaphore;
                          /* state of everyone */
  int state[N];
                          /* for critical regions */
  semaphore mutex = 1;
semaphore s[N];
                          /* one semaphore per philosopher */
void philosopher(int i) /* i: philosopher number, from 0 to N-1 */
13 {
       while (TRUE) {
14
            think();
            take_forks(i); /* acquire two forks or block */
            eat();
            put_forks(i); /* put both forks back on table */
       }
20 }
```

The Dining Philosophers Problem

AST Solution (Part 2)

```
void take_forks(int i)
                                   /* i: philosopher number, from 0 to N-1 */
      down(&mutex);
                                   /* enter critical region */
      state[i] = HUNGRY;
                                   /* record fact that philosopher i is hungry */
      test(i);
                                   /* try to acquire 2 forks */
      up(&mutex);
                                   /* exit critical region */
                                   /* block if forks were not acquired */
      down(&s[i]);
8
  }
  void put_forks(i)
                                    /* i: philosopher number, from 0 to N-1 */
9
10 {
                                   /* enter critical region */
      down(&mutex);
      state[i] = THINKING;
                                   /* philosopher has finished eating */
                                   /* see if left neighbor can now eat */
      test(LEFT);
      test(RIGHT);
                                   /* see if right neighbor can now eat */
                                   /* exit critical region */
      up(&mutex);
16 }
  void test(i)
                                    /* i: philosopher number, from 0 to N-1 */
      if (state[i] == HUNGRY && state[LEFT] != EATING && state[RIGHT] != EATING) {
            state[i] = EATING;
            up(&s[i]);
      }
23 }
```

Starvation can happen!

15.1.1 Step by step

- 1. If 5 philosophers take_forks(i) at the same time, only one can get mutex.
- 2. The one who gets mutex sets his state to HUNGRY. And then,
- 3. test(i); try to get 2 forks.

If his LEFT and RIGHT are not EATING, success to get 2 forks.

i. sets his state to EATING

```
ii. up(&s[i]); The initial value of s(i) is 0.
```

Now, his LEFT and RIGHT will fail to get 2 forks, even if they could grab mutex.

If either LEFT or RIGHT are EATING, fail to get 2 forks.

- 4. release mutex
- 5. down(&s[i]);
 - (a) block if forks are not acquired
 - (b) eat() if 2 forks are acquired
- 6. After eat()ing, the philosopher doing put_forks(i) has to get mutex first.
 - because state[i] can be changed by more than one philosopher.
- 7. After getting mutex, set his state to THINKING
- 8. | test(LEFT); | see if LEFT can now eat?

If LEFT is HUNGRY, and LEFT's LEFT is not EATING, and LEFT's RIGHT (me) is not EATING

- i. set LEFT's state to EATING
- ii. up(&s[LEFT]);

If LEFT is not HUNGRY, or LEFT's LEFT is EATING, or LEFT's RIGHT (me) is EATING, LEFT fails to get 2 forks.

- 9. | test(RIGHT); | see if RIGHT can now eat?
- 10. release mutex

The Dining Philosophers Problem

More Solutions

- If there is at least one leftie and at least one rightie, then deadlock is not possible
- Wikipedia: Dining philosophers problem

15.2 The Readers-Writers Problem

The Readers-Writers Problem

Constraint: no process may access the shared data for reading or writing while another process is writing to it.

```
void reader(void)
                                    while (TRUE) {
semaphore mutex = 1;
                                      wait(&mutex);
  semaphore noOther = 1;
                                        readers++;
  int readers = 0;
                                        if (readers == 1)
                               6
                                           wait(&noOther);
  void writer(void)
                                      signal(&mutex);
  {
                               9
                                      reading();
    while (TRUE) {
                                      wait(&mutex);
      wait(&noOther);
8
                                        readers--;
         writing();
                                        if (readers == 0)
       signal(&noOther);
                                          signal(&noOther);
    }
                                      signal(&mutex);
12 }
                                      anything();
                                    }
                               16
                               17 }
```

Starvation The writer could be blocked forever if there are always someone reading.

The Readers-Writers Problem

No starvation

```
void reader(void)
                                   while (TRUE) {
semaphore mutex = 1;
                                     turnstile.wait();
   semaphore noOther = 1;
                                     turnstile.signal();
   semaphore turnstile = 1;
   int readers = 0;
                                     wait(&mutex);
                                       readers++;
   void writer(void)
                                       if (readers == 1)
                                          wait(&noOther);
    while (TRUE) {
                                     signal(&mutex);
      turnstile.wait();
                                     reading();
         wait(&noOther);
                                     wait(&mutex);
           writing();
                                       readers--;
                              14
         signal(&noOther);
                                       if (readers == 0)
       turnstile.signal();
                                         signal(&noOther);
                                     signal(&mutex);
15 }
                                     anything();
                              18
                              20 }
```

15.3 The Sleeping Barber Problem

The Sleeping Barber Problem



Where's the problem?

- the barber saw an empty room right before a customer arrives the waiting room
- Several customer could race for a single chair

Solution

```
#define CHAIRS 5
  semaphore customers = 0; // any customers or not?
                          // barber is busy
  semaphore bber = 0;
  semaphore mutex = 1;
  int waiting = 0;
                            // queueing customers
  void barber(void)
                                void customer(void)
   {
    while (TRUE) {
                                  if(waiting == CHAIRS)
       wait(&customers);
                                    goHome();
       wait(&mutex);
                                  else {
          waiting--;
                                    wait(&mutex);
       signal(&mutex);
                                    waiting++;
       cutHair();
                                    signal(&mutex);
       signal(&bber);
                                    signal(&customers);
                                    wait(&bber);
                                    getHairCut();
11 }
                             13 }
```

Solution2

```
#define CHAIRS 5
                             void customer(void)
semaphore customers = 0;
                             2 {
  semaphore bber = ???;
                                 wait(&mutex);
  semaphore mutex = 1;
                                 if (waiting == CHAIRS){
  int waiting = 0;
                                      signal(&mutex);
                                      goHome();
void barber(void)
                                 } else {
                                      waiting++;
    while (TRUE) {
                                      signal(&customers);
      wait(&customers);
                                      signal(&mutex);
                                      wait(&bber);
      cutHair();
    }
                                      getHairCut();
13 }
                                      wait(&mutex);
                                         waiting--;
                             14
                                      signal(&mutex);
                                      signal(&bber);
                                 }
                             18 }
```

16 Shared Memory

Write

```
int main(int argc, char *argv[])
 int fd;
 size_t len;
                            /* Size of shared memory object */
 char *addr;
 if (argc != 3 \mid | strcmp(argv[1], "--help") == 0){ printf("%s shm-name string\n", argv[0]);
 }
 if ( (fd = shm_open(argv[1], O_RDWR | O_CREAT, S_IRUSR | S_IWUSR)) == -1 ){
     perror("shm_open");
 perror("ftruncate");
 printf("Resized to %ld bytes\n", (long)len);
 addr = mmap(NULL, len, PROT_READ | PROT_WRITE, MAP_SHARED, fd, 0);
if (addr == MAP_FAILED) perror("mmap");
 if (close(fd) == -1) perror("close");
 memcpy(addr, argv[2], len);
exit(EXIT_SUCCESS);
```

Read

```
int main(int argc, char *argv[])
{
  int fd;
  char *addr;
  struct stat sb;

  if (argc != 2 || strcmp(argv[1], "--help") == 0) {
     printf("%s shm-name\n", argv[0]);
  }

  if ( (fd = shm_open(argv[1], O_RDONLY, 0)) == -1 ) {
     perror("shm_open");
  }

  if (fstat(fd, &sb) == -1) perror("fstat"); /* Get object size */
  addr = mmap(NULL, sb.st_size, PROT_READ, MAP_SHARED, fd, 0);
  if (addr == MAP_FAILED) perror("mmap");

  if (close(fd) == -1) perror("close");

  write(STDOUT_FILENO, addr, sb.st_size);
  printf("\n");
  exit(EXIT_SUCCESS);
}
```

17 Sockets

Message Passing

Problem with semaphores

- · Too low level
- · Not suitable for distributed systems

Message passing

- No conflicts, easier to implement
- Uses two primitives, send() and receive() system calls:
 - send(destination, &message);
 - receive(source, &message);

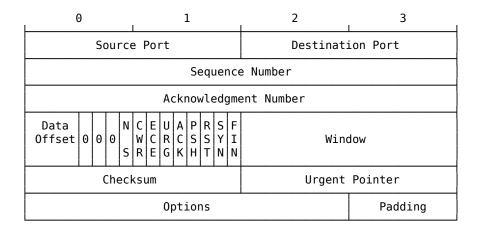
Message Passing

Design issues

- Message can be lost by network; ACK
- What if the ACK is lost? SEQ
- What if two processes have the same name? socket
- Am I talking with the right guy? Or maybe a MIM? authentication
- What if the sender and the receiver on the same machine? Copying messages is always slower than doing a semaphore operation.

Message Passing

TCP Header Format



Message Passing

The producer-consumer problem

```
#define N 100 /* number of slots in the buffer */
  void producer(void)
  {
      int item;
      message m;
                                    /* message buffer */
      while (TRUE) {
          item = produce_item();
                                    /* generate something to put in buffer */
          receive(consumer, &m);
                                    /* wait for an empty to arrive */
          build_message(&m, item); /* construct a message to send */
                                    /* send item to consumer */
          send(consumer, &m);
  }
  void consumer(void)
  {
16
      int item, i;
      message m;
      for (i=0; i<N; i++) send(producer, &m); /* send N empties */
      while (TRUE) {
19
          receive(producer, &m);
                                    /* get message containing item */
          item = extract_item(&m); /* extract item from message */
          send(producer, &m);
                                    /* send back empty reply */
          consume_item(item);
                                    /* do something with the item */
25 }
```

ATCP Connection

 wx672@cs3:~\$ netstat -at | grep http | grep ESTAB

 tcp 0 0 cs3.swfu.edu.cn: http address port
 220.163.96.3:47179 address port
 ESTABLISHED

 socket

 a pair of sockets form a TCP connection

Port numbers

Port range: $0 \sim 65535$ **Well-known ports:** $0 \sim 1023$

Telnet 23 FTP 20/21 SSH 22 SMTP 25 DNS 53 DHCP 67/68 HTTP POP3 **HTTPS** 80 110 443 IMAP4 143

Sockets

To create a socket:

fd = socket(domain, type, protocol)

Domain Determines address format and the range of communication (local or remote). The most commonly used domains are:

Domain	Addr structure	Addr format	
AF_UNIX	sockaddr_un	/path/name	
AF_INET	sockaddr_in	ip:port	
AF_INET6	sockaddr_in6	ip6:port	

```
Type SOCK_STREAM(\textcircled{a}), SOCK_DGRAM (\boxtimes) Protocol always 0
```

Address Structure

- · Different socket domain, different address format, different structure type
- · One set of socket syscalls supports all socket domains

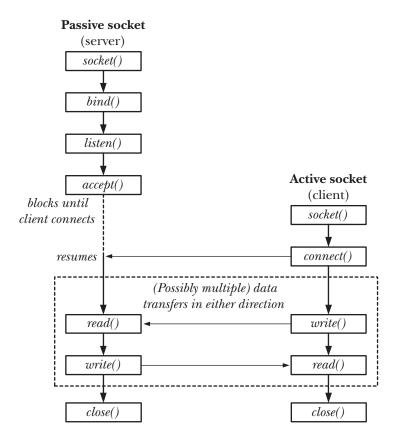
Example

```
struct sockaddr_un addr;
```

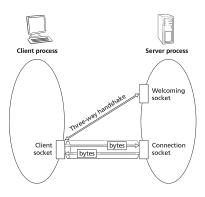
- ✓ bind(sfd, (struct sockaddr *)&addr, sizeof(struct sockaddr_un));
- bind(sfd, &addr, sizeof(struct sockaddr_un));
- Why does a cast from sockaddr_in to sockaddr work? sockaddr is defined the way it is to maintain size-compatibility with sockaddr_in, which is 16 bytes, and is what sockaddr used to be in the days when AF_INET was the only address family. But newer families are NOT limited to 14 bytes of data. For instance, sockaddr_in6 has 26 bytes (the sin6_addr field alone is 16 bytes). The ONLY requirement is ALL sockaddr_* types MUST start with a 2-byte family member, whose value dictates the size of the remaining data.
 Presumably, the bind function knows what kind of socket descriptor it has as the address family is part of the
 - socket's internal info. bind() (and connect()) validates the passed in sockaddr matches the socket's address family, and converts the struct sockaddr * back to a struct sockaddr_in *. (For AF_INET) after checking that the passed sockaddr is the correct family AND byte size (which is why bind(), connect(), and accept() have a parameter to receive the size of the sockaddr). If a mismatch occurs, bind() (and connect() and accept()) fails. https://stackoverflow.com/questions/51287930/why-does-a-cast-from-sockaddr-in-to-sockaddr-work
- Why do we cast sockaddr_in to sockaddr when calling bind()? https://stackoverflow.com/questions/2109 9041/why-do-we-cast-sockaddr-in-to-sockaddr-when-calling-bind
- sa_data is an array of 14 bytes that contains the transport address data. The SOCKADDR structure is large enough
 to contain a transport address for most address families. A WSK application typically does not access the sa_data
 member directly. Instead, a pointer to a SOCKADDR structure is normally cast to a pointer to the specific SOCKADDR
 structure type that corresponds to a particular address family. (https://docs.microsoft.com/en-us/windows/win32
 /api/ws2def/ns-ws2def-sockaddr)

17.1 Stream Sockets

Stream Sockets



Two Sockets at the Server



Socket System Calls

socket() creates a new socket

bind() binds a socket to an address (usually a well-known address on server side)

listen() waits for incoming connection requests

connect() sends a connection request to peer

accept() accepts a connection request

send()/recv() data transfer

[The Linux Programming Interface: A Linux and UNIX System Programming Handbook, Sec. 56.5]

int listen(int sockfd, int backlog); To understand the purpose of the backlog argument, we first observe
 that the client may call connect() before the server calls accept(). This could happen, for example, be cause the server is busy handling some other client(s). This results in a pending connection. The kernel must
 record some information about each pending connection request so that a subsequent accept() can be processed.
 The backlog argument allows us to limit the number of such pending connections. Connection requests up to
 this limit succeed immediately. Further connection requests block until a pending connection is accepted (via
 accept()), and thus removed from the queue of pending connections.

int accept(int sockfd, struct sockaddr *addr, socklen_t *addrlen); If there are no pending connections when accept() is called, the call blocks until a connection request arrives. The key point to understand
about accept() is that it creates a new socket, and it is this new socket that is connected to the peer socket
that performed the connect(). A file descriptor for the connected socket is returned as the function result of
the accept() call. The listening socket (sockfd) remains open, and can be used to accept further connections.
A typical server application creates one listening socket, binds it to a well-known address, and then handles all
client requests by accepting connections via that socket.

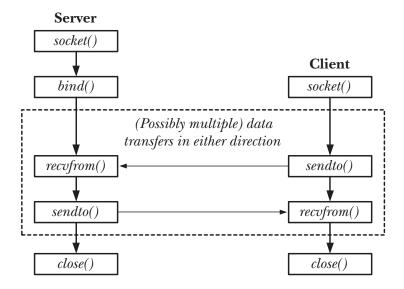
The remaining arguments to accept() return the address of the peer socket. The addr argument points to a structure that is used to return the socket address. The type of this argument depends on the socket domain (as for bind()).

The addrlen argument is a value-result argument. It points to an integer that, prior to the call, must be initialized to the size of the buffer pointed to by addr, so that the kernel knows how much space is available to return the socket address. Upon return from accept(), this integer is set to indicate the number of bytes of data actually copied into the buffer.

If we are not interested in the address of the peer socket, then addr and addrlen should be specified as NULL and 0, respectively.

17.2 Datagram Sockets

Datagram Sockets



17.3 Unix Domain Sockets

Unix Domain Sockets

```
struct sockaddr_un {
  sa_family_t sun_family; /* Always AF_UNIX */
  char sun_path[108]; /* Null-terminated socket pathname */
};
```

Stream Server

```
#include <sys/un.h>
#include <sys/socket.h>
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>

#define SV_SOCK_PATH "/tmp/us_xfr"
#define BUF_SIZE 100
#define BACKLOG 5
```

```
int main(void)
12 {
     struct sockaddr_un addr;
     int sfd, cfd;
     ssize_t numRead;
     char buf[BUF_SIZE];
     if( (sfd = socket(AF_UNIX, SOCK_STREAM, 0)) == -1 ){
         perror("socket");
             exit(EXIT_FAILURE);
     }
     memset(&addr, 0, sizeof(struct sockaddr_un));
     addr.sun_family = AF_UNIX;
     strncpy(addr.sun_path, SV_SOCK_PATH, sizeof(addr.sun_path) - 1);
26
     if( bind(sfd, (struct sockaddr *)&addr, sizeof(struct sockaddr_un)) == -1 ){
         perror("bind");
             exit(EXIT_FAILURE);
     }
     if(listen(sfd, BACKLOG) == -1){
         perror("listen");
             exit(EXIT_FAILURE);
     for(;;) {
         if( (cfd = accept(sfd, NULL, NULL)) == -1 ){
                     perror("accept");
                     exit(EXIT_FAILURE);
         while((numRead = read(cfd, buf, BUF_SIZE)) > 0)
             if(write(STDOUT_FILENO, buf, numRead) != numRead){
                 perror("partial/failed write");
45
                              exit(EXIT_FAILURE);
47
         if(numRead == -1){
49
                     perror("read");
                     exit(EXIT_FAILURE);
             }
         if(close(cfd) == -1){
                     perror("close");
                     exit(EXIT_FAILURE);
             }
     }
59 }
61 /* Local Variables: */
62 /* compile-command: "gcc -Wall -Wextra us_xfr_sv.c -o /tmp/us-xfr-sv" */
63 /* End: */
Stream Client
 1 #include <sys/un.h>
2 #include <sys/socket.h>
3 #include <stdio.h>
4 #include <stdlib.h>
 5 #include <unistd.h>
```

```
#define SV_SOCK_PATH "/tmp/us_xfr"
8 #define BUF_SIZE 100
int main(void)
11 {
    struct sockaddr_un addr;
    int sfd;
    ssize_t numRead;
     char buf[BUF_SIZE];
     if( (sfd = socket(AF_UNIX, SOCK_STREAM, 0)) == -1 ){
         perror("socket");
         exit(EXIT_FAILURE);
    }
    memset(&addr, 0, sizeof(struct sockaddr_un));
     addr.sun_family = AF_UNIX;
     strncpy(addr.sun_path, SV_SOCK_PATH, sizeof(addr.sun_path) - 1);
     if (connect(sfd, (struct sockaddr *) &addr, sizeof(struct sockaddr_un)) == -1){
        perror("connect");
                   exit(EXIT_FAILURE);
     }
     while ((numRead = read(STDIN_FILENO, buf, BUF_SIZE)) > 0)
         if (write(sfd, buf, numRead) != numRead){
            perror("partial/failed write");
                           exit(EXIT_FAILURE);
                   }
     if (numRead == -1){
        perror("read");
                   exit(EXIT_FAILURE);
40
41
     exit(EXIT_SUCCESS); /* Closes our socket; server sees EOF */
42
43 }
44
45 /* Local Variables: */
46 /* compile-command: "gcc -Wall -Wextra us_xfr_cl.c -o /tmp/us-xfr-cl" */
47 /* End: */
```

Datagram Server

Datagram Client

17.4 Internet Sockets

Network Byte Order

Big endian The most significant byte comes first **Little endian** The least significant byte comes first

Big endian

0x100 0x101 0x102 0x103 01 23 45 67 ...

int $i = 0 \times 01234567$;

Little endian

0x100 0x101 0x102 0x103

67 45	23	01	
-------	----	----	--

Network byte order is big endian

Host byte order Most architectures are big endian. x86 is an exception.

Convert int between host and network byte order

```
#include <arpa/inet.h>
uint32_t htonl(uint32_t hostlong);
uint16_t htons(uint16_t hostshort);
uint32_t ntohl(uint32_t netlong);
uint16_t ntohs(uint16_t netshort);
```

Strictly speaking, the use of these four functions is necessary only on systems where the host byte order differs from network byte order. However, these functions should always be used, so that programs are portable to different hardware architectures. On systems where the host byte order is the same as network byte order, these functions simply return their arguments unchanged. [*The Linux Programming Interface: A Linux and UNIX System Programming Handbook*, Sec. 59.2]

Socket Addresses

IPv4

IPv6

```
struct in6_addr {
                                /* IPv6 address structure */
      uint8_t s6_addr[16];
                               /* 16 bytes == 128 bits */
3 };
                                /* IPv6 socket address */
  struct sockaddr_in6 {
                                /* Address family (AF_INET6) */
      sa_family_t sin6_family;
                               /* Port number */
      in_port_t sin6_port;
               sin6_flowinfo; /* IPv6 flow information */
      uint32_t
      struct in6_addr sin6_addr; /* IPv6 address */
      uint32_t sin6__scope_id; /* Scope ID (new in kernel 2.4) */
11 };
```