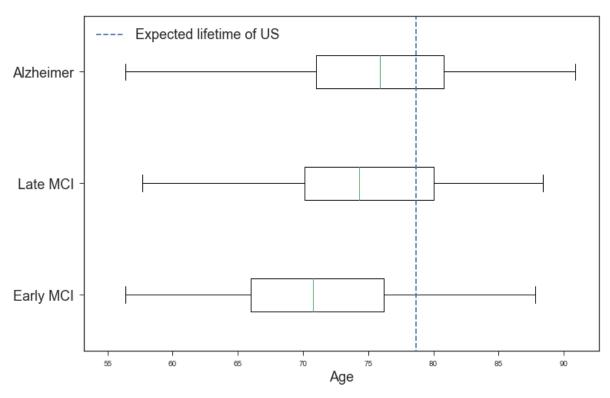
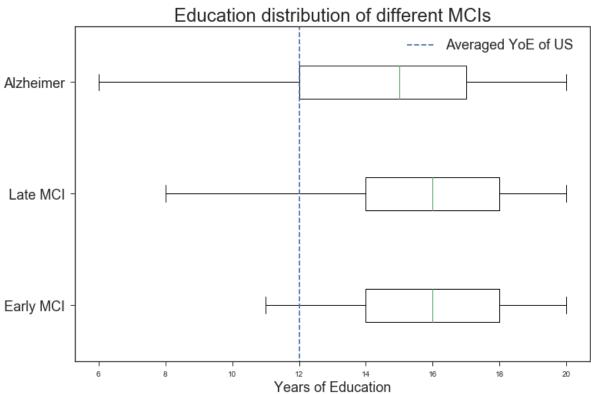
The calculation is based on the following code, firstly, we import data from the database, and conduct EDA to check the correlation of given predictors.

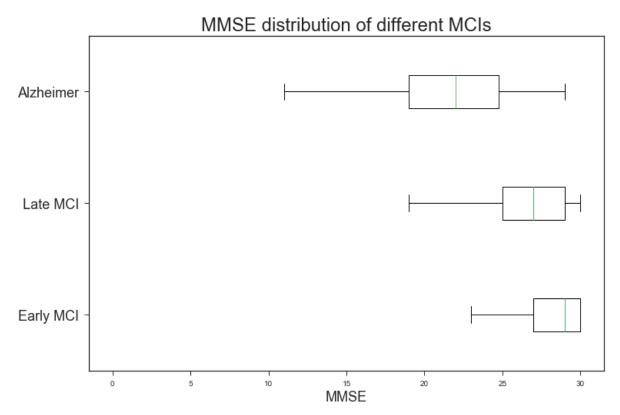
```
In [167]: import pandas as pd
          import sys
          import numpy as np
          import scipy as sp
          import matplotlib.pyplot as plt
          import statsmodels.api as sm
          from statsmodels.tools import add constant
          from statsmodels.regression.linear model import RegressionResults
          import seaborn as sns
          import sklearn as sk
          from sklearn.preprocessing import MinMaxScaler
          from sklearn.model selection import KFold
          from sklearn.linear model import LinearRegression
          from sklearn.linear model import Ridge
          from sklearn.linear_model import Lasso
          from sklearn.preprocessing import PolynomialFeatures
          from sklearn.neighbors import KNeighborsRegressor
          from sklearn.decomposition import PCA
          from sklearn.model selection import GridSearchCV
          from sklearn import tree
          from sklearn import ensemble
          import warnings
          warnings.filterwarnings('ignore')
          sns.set(style="ticks")
          %matplotlib inline
          # Read data
          np.random.seed(9001)
          df train = pd.read csv('ADNI Training Q1 APOE July22.2014.csv')
          df train.head()
          #Plot Age
          fig=plt.figure(1,figsize=(12, 8) )
          ax = fig.add_subplot(111)
          data=[]
          Age2=df_train.loc[df_train['DX.bl']=='EMCI','AGE'].values
          Age3=df train.loc[df train['DX.bl']=='LMCI', 'AGE'].values
          Age4=df train.loc[df train['DX.bl']=='AD','AGE'].values
          data=[Age2,Age3,Age4]
          plt.boxplot(data, '', vert=0)
          ax.set yticklabels(['Early MCI', 'Late MCI', 'Alzheimer'], fontsize=18)
          ax.plot([78.7,78.7],[0,5],'--',label='Expected lifetime of US')
          plt.xlabel('Age', fontsize=18)
          plt.legend( fontsize=18)
          #Plot Years of education
          fig=plt.figure(2,figsize=(12, 8) )
          Edu1=df train.loc[df train['DX.bl']=='EMCI','PTEDUCAT'].values
```

```
Edu2=df train.loc(df train('DX.bl')=='LMCI','PTEDUCAT').values
Edu3=df train.loc[df train['DX.bl']=='AD','PTEDUCAT'].values
ax = fig.add subplot(111)
data=[Edu1,Edu2,Edu3]
plt.boxplot(data,'',vert=0)
ax.set_yticklabels(['Early MCI', 'Late MCI', 'Alzheimer'], fontsize=18)
plt.xlabel('Years of Education', fontsize=18)
plt.legend( fontsize=18)
plt.title('Education distribution of different MCIs', fontsize=24)
plt.plot([12,12],[0,5],'--',label='Averaged YoE of US ')
plt.legend(fontsize=18)
ax = fig.add subplot(111)
#Plot MMSE
fig=plt.figure(3,figsize=(12, 8) )
ax = fig.add subplot(111)
data=[]
Edu1=df_train.loc[df_train['DX.bl']=='EMCI','MMSE'].values
Edu2=df train.loc[df train['DX.bl']=='LMCI','MMSE'].values
Edu3=df train.loc[df train['DX.bl']=='AD','MMSE'].values
data=[Edu1,Edu2,Edu3]
plt.boxplot(data, '', vert=0)
ax.set_yticklabels(['Early MCI', 'Late MCI', 'Alzheimer'], fontsize=18)
plt.xlabel('MMSE', fontsize=18)
plt.legend( fontsize=18)
plt.title('MMSE distribution of different MCIs', fontsize=24)
```

Out[167]: <matplotlib.text.Text at 0x1c21a2ad30>





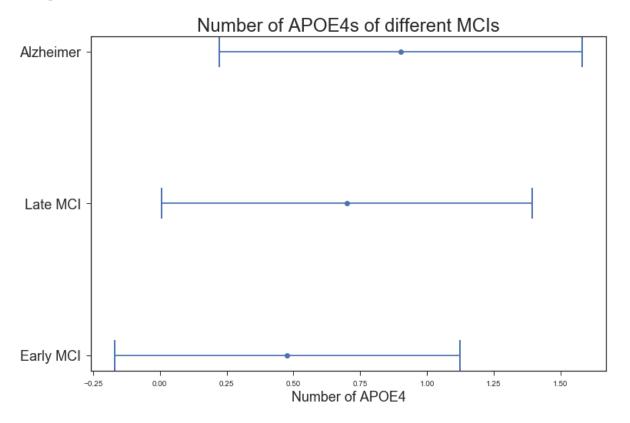


We take a look at the APOE4 predictor

```
In [156]: fig=plt.figure(1,figsize=(12, 8) )
    ax = fig.add_subplot(111)
    data=[]
    Edul=df_train.loc[df_train['DX.bl']=='EMCI','APOE4'].values
    Edu2=df_train.loc[df_train['DX.bl']=='LMCI','APOE4'].values
    Edu3=df_train.loc[df_train['DX.bl']=='AD','APOE4'].values

    data=[Edu1.mean(),Edu2.mean(),Edu3.mean()]
    Err=[Edu1.std(),Edu2.std(),Edu3.std()]
    ax.errorbar(data,[0,1,2], xerr=Err, fmt='o',capsize=20,capthick=2)
    ax.set_yticklabels(['Early MCI', 'Late MCI', 'Alzheimer'], fontsize=18)
    ax.set_yticks([0,1,2])
    plt.xlabel('Number of APOE4', fontsize=18)
    plt.legend( fontsize=18)
    plt.title('Number of APOE4s of different MCIs', fontsize=24)
```

Out[156]: <matplotlib.text.Text at 0x1c22ae7908>



APOE4 is the most well-known mutation related to AD. This mutation is directly linked with Alzheimer. Those with this mutation has significant higher chance of having AD.

In this study, we start with a benchmark model with elementary clinical information. Then we will try to improve it in two ways, firstly we try to use SVM method to boost the performance. Secondly we try to add gene data into the model.

```
In [157]: import pandas as pd
import sys
import numpy as np
import scipy as sp
```

```
import matplotlib.pyplot as plt
import seaborn as sns
from sklearn.preprocessing import MinMaxScaler
from sklearn.model selection import KFold
from sklearn.linear model import LinearRegression
from sklearn.linear_model import Ridge
from sklearn.linear model import LogisticRegression
from sklearn.linear model import Lasso
from sklearn.preprocessing import PolynomialFeatures
from sklearn.neighbors import KNeighborsRegressor
from sklearn.decomposition import PCA
from sklearn import tree
from sklearn import ensemble
from sklearn.tree import DecisionTreeClassifier
from sklearn import svm
sns.set(style="ticks")
%matplotlib inline
import itertools
import numpy as np
import matplotlib.pyplot as plt
from sklearn import svm, datasets
from sklearn.model selection import train test split
from sklearn.metrics import confusion_matrix
def plot confusion matrix(cm, classes,
                          normalize=False,
                          title='Confusion matrix',
                          cmap=plt.cm.Blues):
    This function prints and plots the confusion matrix.
    Normalization can be applied by setting `normalize=True`.
    if normalize:
        cm = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
        print("Normalized confusion matrix")
    else:
        print('Confusion matrix, without normalization')
    print(cm)
    plt.imshow(cm, interpolation='nearest', cmap=cmap)
    plt.title(title)
    plt.colorbar()
    tick marks = np.arange(len(classes))
    plt.xticks(tick marks, classes, rotation=45)
   plt.yticks(tick_marks, classes)
    fmt = '.2f' if normalize else 'd'
    thresh = cm.max() / 2.
    for i, j in itertools.product(range(cm.shape[0]), range(cm.shape[1
])):
        plt.text(j, i, format(cm[i, j], fmt),
                 horizontalalignment="center",
                 color="white" if cm[i, j] > thresh else "black")
    plt.tight_layout()
```

```
plt.ylabel('True label')
plt.xlabel('Predicted label')
```

Each patient visit the hospital twice, only the first visit result is kept as we are intereted in long term prediction. And the development of MRI is going to be influenced by medication after the first visit. So second visits data are aborted

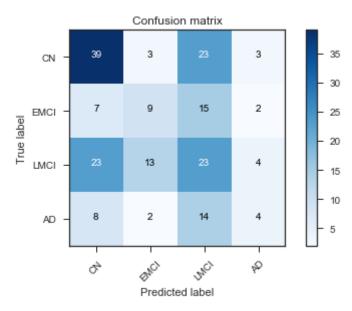
```
In [158]: #Convert catagoritic predictors into numbers
          from sklearn.neighbors import KNeighborsClassifier as KNN
          from sklearn import discriminant analysis
          from sklearn import preprocessing
          firstvisit=np.arange(0,df_train.shape[0],2)
          x=df train.iloc[firstvisit,5:-2].copy()
          y=df train.iloc[firstvisit,4].copy()
          x['PTGENDER'][x['PTGENDER']=='Male'
          x['PTGENDER'][x['PTGENDER']=='Female']=1
          y[y=='CN']=0
          y[y=='EMCI']=1
          y[y=='LMCI']=2
          y[y=='AD']=3
          from sklearn.model selection import train test split
          from sklearn.linear model import LogisticRegression
          np.random.seed(9001)
          itrain, itest = train test split(range(x.shape[0]), train size=0.75)
          set1={}
          set1['Xtrain'] = x.iloc[itrain].values
          set1['Xtest'] = x.iloc[itest].values
          set1['ytrain'] = y.iloc[itrain].values
          set1['ytest'] = y.iloc[itest].values
```

We use kNN method as benchmark. The best number of neibourhood is determined via cross validation.

```
In [164]: nfolds=10
knn = KNN()
gs_knn = GridSearchCV(knn, param_grid={'n_neighbors': np.arange(2,25,2)}, cv=nfolds)
gs_knn.fit(set1['Xtrain'],list(set1['ytrain']))
print("BEST PARAMS", gs_knn.best_params_)
training_score = gs_knn.score(set1['Xtrain'],list(set1['ytrain']))
test_score = gs_knn.score(set1['Xtest'], list(set1['ytest']))
print("Score on training data knn: %0.5f" % training_score)
print("Score on test data knn: %0.5f" % test_score)

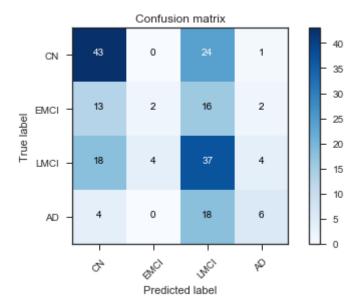
from sklearn.metrics import confusion_matrix
a=confusion_matrix(list(set1['ytest']), gs_knn.predict(set1['Xtest']))
plot_confusion_matrix(a,['CN','EMCI','LMCI','AD'])
```

```
BEST PARAMS {'n_neighbors': 14}
Score on training data knn: 0.48174
Score on test data knn: 0.39062
Confusion matrix, without normalization
[[39  3  23  3]
[ 7  9  15  2]
[23  13  23  4]
[ 8  2  14  4]]
```



Here we can see that the accuracy is 39%. Next we try to look into SVM method, as this method can deal with multiple predictors and nonlinear classification.

```
BEST PARAMS {'C': 1000}
Score on training data knn: 0.42957
Score on test data knn:
                             0.45833
Confusion matrix, without normalization
[[43
     0 24
            11
      2 16
 [13
            21
 [18
      4 37
            4]
 [ 4
            6]]
      0 18
```



The accuracy is boosted to 45%, with C=1000 as regulator.

Then we try to add gene expression data into the model, the predictors are found in the papers.

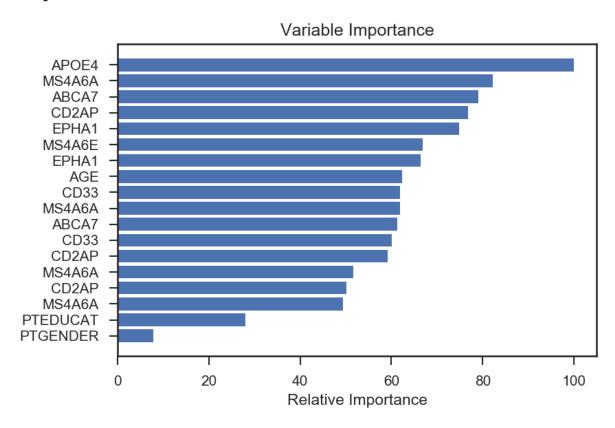
```
In [184]: df = pd.read_csv('ADNI_Gene_Expression_Profile.csv', header=2, low_memor
y=False)
A=df.loc[df['Unnamed: 2'].isin(['ABCA7','MS4A6A','MS4A4E','EPHA1','CD33'
,'CD2AP','MS4A4','MS4A6E'])].copy()
del(df)
B=A.iloc[:,3:-1].transpose()
B.columns=A.iloc[:,2]
name=A.columns.values[3:-1]
B['name']=name
```

We use random forest method to evaluate the quality of the predictors. Half of the predictors are aborted with 300 repitations. The result is summarized below.

In [107]: #Align Gene data to the clinical data New=x.merge(B, left on='name', right on='name', how='left') New['diagnosis']=y New.drop(['name'], axis=1, inplace=True) Clean=New.dropna(axis=0, how='any')#Eliminate the NaNs itrain, itest = train_test_split(range(Clean.shape[0]), train_size=0.75) set2['Xtrain'] = Clean.iloc[itrain,0:-1].values set2['Xtest'] = Clean.iloc[itest,0:-1].values set2['ytrain'] = Clean.iloc[itrain,-1].values set2['ytest'] = Clean.iloc[itest,-1].values rf = ensemble.RandomForestClassifier(n_estimators=300, oob_score=True,m ax features=8) rf.fit(set2['Xtrain'] , list(set2['ytrain'])) feature_importance = rf.feature_importances_ feature_importance = 100.0 * (feature_importance / feature_importance.ma x()) sorted idx = np.argsort(feature importance) pos = np.arange(sorted_idx.shape[0]) + .5 plt.rcParams['figure.dpi'] = 150 plt.barh(pos, feature_importance[sorted_idx], align='center') plt.yticks(pos, Clean.iloc[itrain,0:-1] .columns[sorted_idx]) plt.xlabel('Relative Importance') plt.title('Variable Importance')

/Users/sijie/anaconda3/lib/python3.6/site-packages/sklearn/model_select ion/_split.py:2010: FutureWarning: From version 0.21, test_size will al ways complement train_size unless both are specified.
FutureWarning)

Out[107]: <matplotlib.text.Text at 0x1c1e9a67f0>



```
In [110]: # SVM method with more predictors
    parameters = { 'C':[1, 10,100, 10000, 10000]}
    nfolds=5

svc = svm.SVC(kernel='linear')
    clf = GridSearchCV(svc, parameters, cv=nfolds)
    clf.fit(set2['Xtrain'],list(set2['ytrain']))

print("BEST PARAMS", clf.best_params_)
    training_score = clf.score(set2['Xtrain'],list(set2['ytrain']))
    test_score = clf.score(set2['Xtest'], list(set2['ytrain']))
    print("Score on training data SVM: %0.5f" % training_score)
    print("Score on test data SVM: %0.5f" % test_score)

confusion_matrix(list(set2['ytest']), clf.predict(set2['Xtest']))

BEST PARAMS {'C': 1}
```

```
0.45455
         Score on test data SVM:
Out[110]: array([[17,
                      5,
                          7,
                              0],
                [ 3,
                      3,
                          0,
                              0],
                      3, 5,
                [7,
                              0],
                [4,
                      0,
                         1,
                              0]])
```

Score on training data SVM: 0.57317

```
In [105]: #Random forest with 200 trees and increased number of predictors
          rf = ensemble.RandomForestClassifier(n estimators=200)
          rf.fit(set2['Xtrain'],list(set2['ytrain']))
          training_score = rf.score(set2['Xtrain'],list(set2['ytrain']))
                         = rf.score(set2['Xtest'], list(set2['ytest']))
          test score
          print("Score on training data RF: %0.5f" % training score)
                                            %0.5f" % test_score)
          print("Score on test data RF:
          confusion matrix(list(set2['ytest']), rf.predict(set2['Xtest']))
          Score on training data RF: 1.00000
          Score on test data RF:
                                     0.40000
Out[105]: array([[14,
                               0],
                       3,
                       2, 2,
                               0],
                 [6,
                               0],
                 [ 8,
                       1,
                           6,
                 [5,
                       0, 2,
                               0]])
In [183]: #LDA and QDA are also adapted for recreation
          lda = discriminant analysis.LinearDiscriminantAnalysis()
          lda.fit(set2['Xtrain'],list(set2['ytrain']))
          training_score = lda.score(set2['Xtrain'],list(set2['ytrain']))
          test score = lda.score(set2['Xtest'], list(set2['ytest']))
          print("Score on training data: %0.5f, lda" % training_score)
          print("Score on test data:
                                         %0.5f, lda" % test score)
          qda = discriminant analysis.QuadraticDiscriminantAnalysis()
          qda.fit(set2['Xtrain'],list(set2['ytrain']))
          training score = qda.score(set2['Xtrain'],list(set2['ytrain']))
          test_score = qda.score(set2['Xtest'], list(set2['ytest']))
          print("Score on training data: %0.5f, qda" % training score)
          print("Score on test data:
                                         %0.5f, qda" % test score)
          confusion matrix(list(set2['ytest']), qda.predict(set2['Xtest']))
          Score on training data: 0.56707, lda
          Score on test data:
                                  0.47273, lda
          Score on training data: 0.89634, qda
          Score on test data:
                                  0.29091, qda
Out[183]: array([[ 1,
                       0, 28,
                               0],
                 [ 0, 0, 6,
                               0],
                 [ 0, 0, 15,
                               0],
                 [ 0,
                       0, 5,
                               0]])
```

Here we can see that the additional predictors has high quality. But they does not yield better performance, as we only have less than 200 samples left as training sample, the overfitting is severe. Here we adapt PCA to decrease the number of predictors to prevent overfitting

```
In [179]: x0=set2['Xtrain'].astype('float32')
          x1=set2['Xtest'].astype('float32')
          from sklearn.decomposition import PCA
          #Normorlization
          for i in range(x0.shape[1]):
              Mean=x0[:,i].mean()
              std=x0[:,i].std()
              if std ==0:
                  std=1
              x0[:,i]=(x0[:,i]-Mean)/std
              x1[:,i]=(x1[:,i]-Mean)/std
          pca = PCA(n_components=8)
          pca.fit(x0)
          x0 pca = pca.transform(x0)
          x1_pca = pca.transform(x1)
          print('Explained variance ratio:', pca.explained variance ratio_)
          Explained variance ratio: [ 0.23052159  0.13512515  0.08727197  0.07294
          347 0.06260256 0.05437085
            0.05072539 0.04785819]
```

Then we use random forest to predict based on lumped predictor set.

```
In [180]: rf = ensemble.RandomForestClassifier(n estimators=200)
          rf.fit(x0 pca,list(set2['ytrain']))
          training score = rf.score(x0 pca,list(set2['ytrain']))
                     = rf.score(x1 pca, list(set2['ytest']))
          test score
          print("Score on training data RF: %0.5f" % training score)
          print("Score on test data RF:
                                           %0.5f" % test score)
          confusion matrix(list(set2['ytest']), rf.predict(x1 pca))
          Score on training data RF: 1.00000
          Score on test data RF:
                                    0.58182
                              0],
Out[180]: array([[23,
                      0,
                          6,
                 [ 3,
                      0,
                          3,
                              0],
                 [6, 0, 9, 0],
                 [2, 0, 3, 0]])
```

The accuracy is boosted to 58%, which is sigficant higher than the previous data.