



Message integrity

Message Auth. Codes

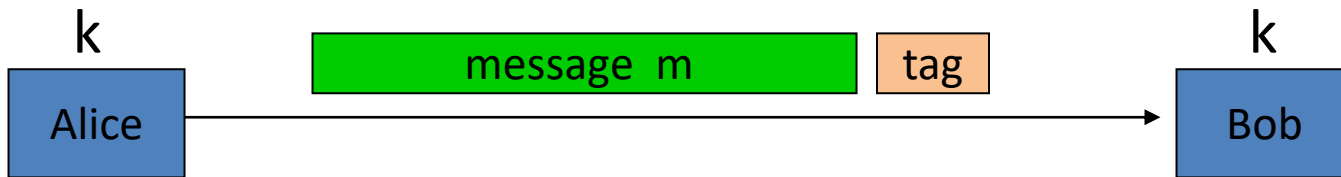
Message Integrity

Goal: **integrity**, no confidentiality.

Examples:

- Protecting public binaries on disk.
- Protecting banner ads on web pages.

Message integrity: MACs



Generate tag:

$$\text{tag} \leftarrow \underline{S(k, m)}$$

Sign

Verify tag:

$$V(k, m, \text{tag}) \stackrel{?}{=} \text{'yes'}$$

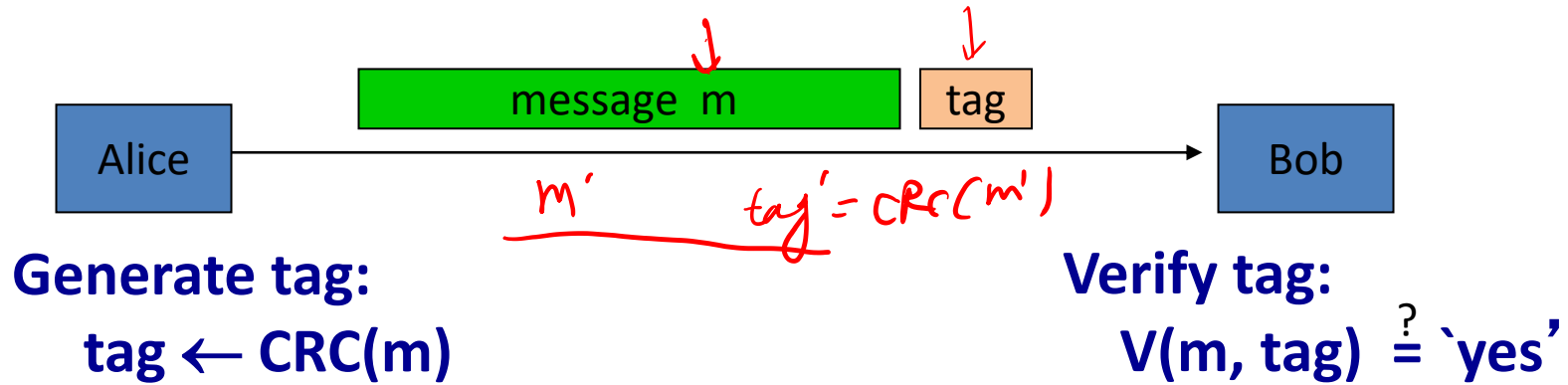
Verify

Def: **MAC** $I = (\underline{S}, \underline{V})$ defined over $(\underline{K}, \underline{M}, \underline{T})$ is a pair of algs:

- $\underline{S(k, m)}$ outputs t in T
- $\underline{V(k, m, t)}$ outputs 'yes' or 'no'

$$\checkmark (k, m, \underline{S(k, m)}) = \text{Yes}$$

Integrity requires a secret key



- Attacker can easily modify message m and re-compute CRC.
- CRC designed to detect random, not ~~malicious~~ errors.

Secure MACs

Attacker's power: **chosen message attack**

- for m_1, m_2, \dots, m_q attacker is given $t_i \leftarrow S(k, m_i)$

Attacker's goal: **existential forgery**

- produce some **new** valid message/tag pair (m, t) .

$$\underline{(m, t) \notin \{(m_1, t_1), \dots, (m_q, t_q)\}} \quad m \notin \{m_1, \dots, m_q\}$$

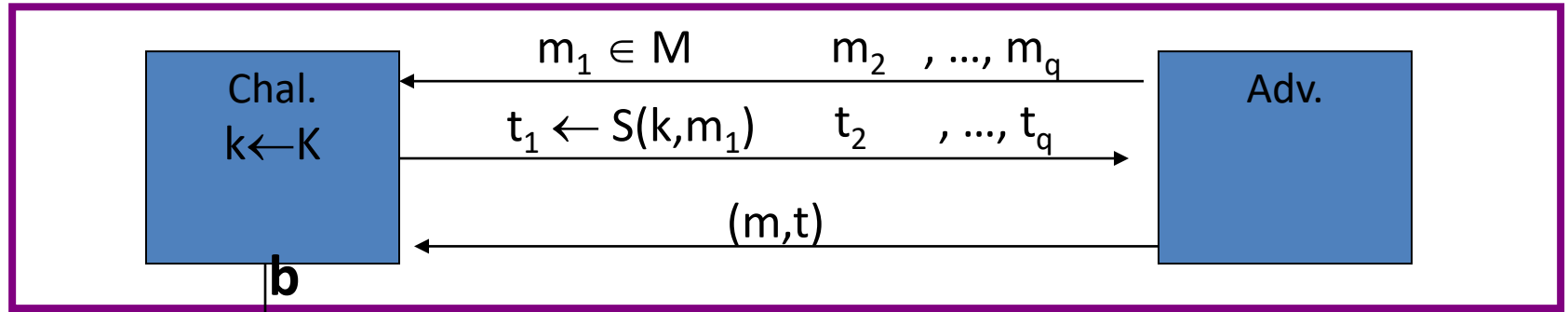
$$m = m_i \quad (m_i, t)$$

\Rightarrow attacker cannot produce a valid tag for a new message $\neq (m_i, t)$

\Rightarrow given (m, t) attacker cannot even produce (m, t') for $t' \neq t$

Secure MACs

- For a MAC $I=(S,V)$ and adv. A define a MAC game as:



$$\begin{cases} b=1 & \text{if } \underline{V(k,m,t) = \text{'yes'}} \text{ and } \underline{(m,t) \notin \{(m_1,t_1), \dots, (m_q,t_q)\}} \\ b=0 & \text{otherwise} \end{cases}$$

Def: $I=(S,V)$ is a secure MAC if for all “efficient” A .

$$\text{Adv}_{\text{MAC}}[A,I] = \Pr[\text{Chal. outputs } 1] \text{ is “negligible.”}$$

Let $I = (S, V)$ be a MAC.

Suppose an attacker is able to find $m_0 \neq m_1$ such that

$$S(k, m_0) = S(k, m_1) \quad \text{for } \frac{1}{2} \text{ of the keys } k \text{ in } K$$

Can this MAC be secure?

$\checkmark (m, t)$

- ☒ A Yes, the attacker cannot generate a valid tag for m_0 or m_1
- ☒ B No, this MAC can be broken using a chosen msg attack
- ☒ C It depends on the details of the MAC
- ☐

$$\text{adv}[\text{MAC}] = \frac{1}{2}$$

Let $I = (S, V)$ be a MAC.

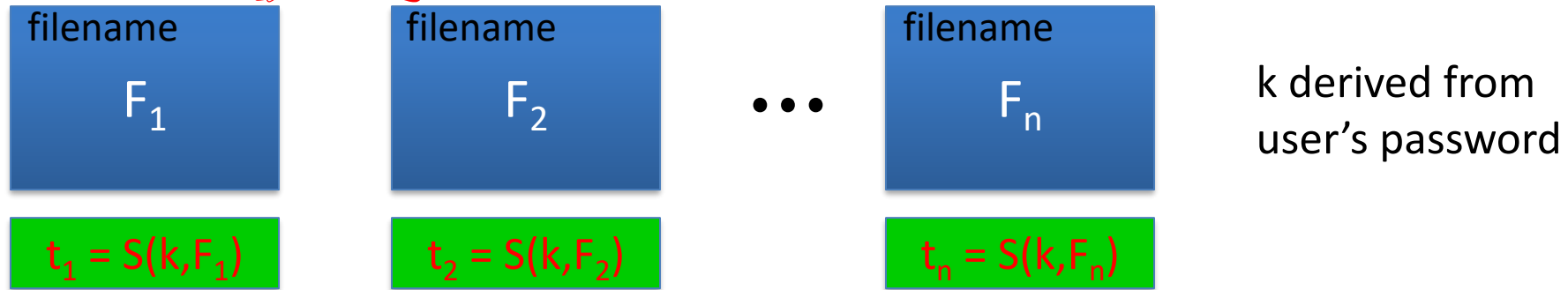
Suppose $S(k, m)$ is always 5 bits long

Can this MAC be secure? $P_{I, V}[(m, t) = \text{yes}] = \frac{1}{2^5}$

- ☐ **A** No, an attacker can simply guess the tag for messages neg. neg.
- ☐ **B** It depends on the details of the MAC
- ☐ **C** Yes, the attacker cannot generate a valid tag for any message
- ☐

Example: protecting system files

Suppose at install time the system computes:



Later a virus infects system and modifies system files

User reboots into clean OS and supplies his password

– Then: secure MAC \Rightarrow all modified files will be detected



Message Integrity

MACs based on PRFs

Review: Secure MACs

MAC: signing alg. $S(\underline{k}, \underline{m}) \rightarrow \underline{t}$ and verification alg. $V(\underline{k}, \underline{m}, \underline{t}) \rightarrow \underline{0,1}$

Attacker's power: **chosen message attack**

- for m_1, m_2, \dots, m_q attacker is given $t_i \leftarrow S(k, m_i)$

Attacker's goal: **existential forgery**

- produce some **new** valid message/tag pair (m, t) .

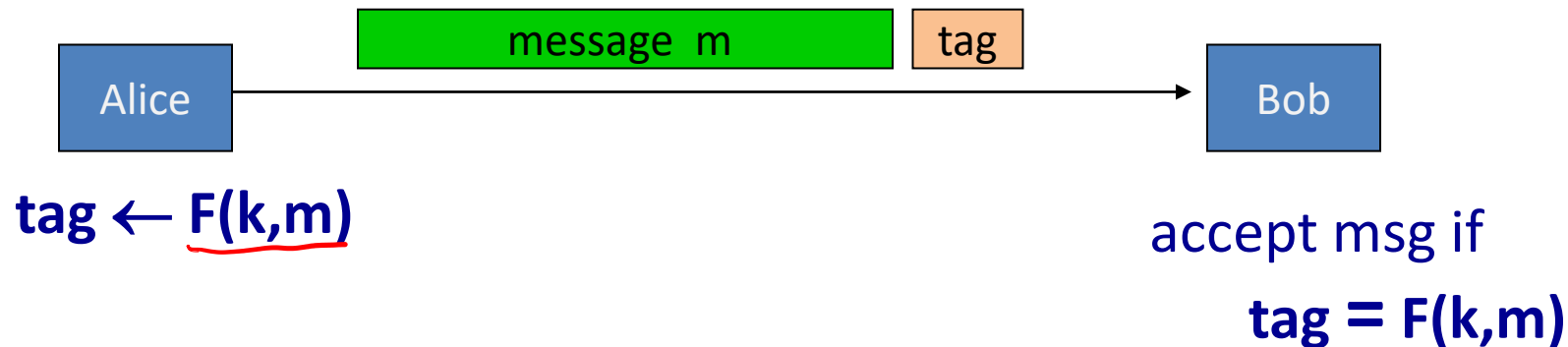
$$(\underline{m}, t) \notin \{ (m_1, t_1), \dots, (m_q, t_q) \}$$

\Rightarrow attacker cannot produce a valid tag for a new message

Secure PRF \Rightarrow Secure MAC

For a PRF $\underline{F}: \underline{K} \times \underline{X} \rightarrow \underline{Y}$ define a MAC $I_F = (S,V)$ as:

- $S(k,m) := F(k,m)$
- $\underline{V(k,m,t)}$: output 'yes' if $t = \underline{F(k,m)}$ and 'no' otherwise.



A bad example

Suppose $F: K \times X \rightarrow Y$ is a secure PRF with $Y = \{0,1\}^{10}$

Is the derived MAC I_F a secure MAC system?

- ☐ Yes, the MAC is secure because the PRF is secure
- ☒ No tags are too short: anyone can guess the tag for any msg
- ☐ It depends on the function F
- ☐

2^{10}

$\frac{1}{2^{10}}$

$\frac{1}{1024}$

Security

Thm: If $F: K \times X \rightarrow Y$ is a secure PRF and $1/|Y|$ is negligible (i.e. $|Y|$ is large) then I_F is a secure MAC.

In particular, for every eff. MAC adversary A attacking I_F there exists an eff. PRF adversary B attacking F s.t.:

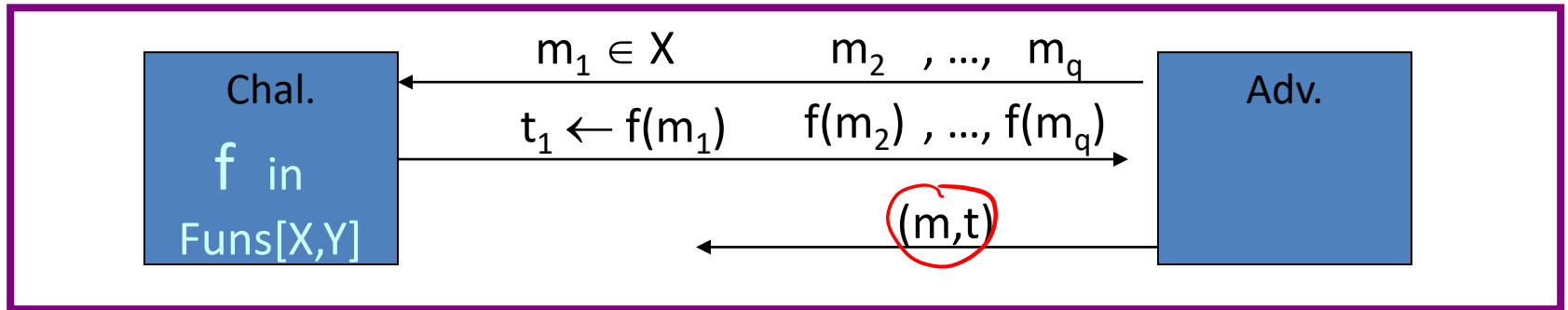
$$\text{Adv}_{\text{MAC}}[A, I_F] \leq \text{Adv}_{\text{PRF}}[B, F] + 1/|Y|$$

$\Rightarrow I_F$ is secure as long as $|Y|$ is large, say $|Y| = 2^{80}$.

Proof Sketch

Suppose $f: X \rightarrow Y$ is a truly random function

Then MAC adversary A must win the following game:



A wins if $t = f(m)$ and

$m \notin \{m_1, \dots, m_q\}$

$f(m) =$
 $t \xleftarrow{A} Y$

$\Rightarrow \Pr[A \text{ wins}] = 1/|Y|$

same must hold for $F(k,x)$

Examples

- AES: a MAC for 16-byte messages.

$$m \in M \quad |M| = 2^{128}$$

- Main question: how to convert Small-MAC into a Big-MAC ?
- Two main constructions used in practice:
 - **CBC-MAC** (banking – ANSI X9.9, X9.19, FIPS 186-3)
 - **HMAC** (Internet protocols: SSL, IPsec, SSH, ...)
- Both convert a small-PRF into a big-PRF.

Truncating MACs based on PRFs

截断.

Easy lemma: suppose $F: K \times X \rightarrow \{0,1\}^n$ is a secure PRF.

Then so is $F_t(k,m) = \underbrace{F(k,m)[1...t]}_{\text{MAC}}$ for all $1 \leq t \leq n$

MAC

$\ell(m_i, t_i)$

$\frac{1}{2^w}$ $\frac{1}{|Y|}$ $\frac{1}{|Y|}$ PRF

\Rightarrow if (S,V) is a MAC based on a secure PRF outputting n -bit tags
the truncated MAC outputting w bits is secure

... as long as $1/2^w$ is still negligible (say $w \geq 64$)



Message Integrity

CBC-MAC and NMAC

Review: Secure MACs

MAC: signing alg. $S(k,m) \rightarrow t$ and verification alg. $V(k,m,t) \rightarrow 0,1$

Attacker's power: chosen message attack

- for m_1, m_2, \dots, m_q attacker is given $t_i \leftarrow S(k, m_i)$ - 询问

Attacker's goal: **existential forgery**

- produce some new valid message/tag pair (m, t) . - 伪造

$$(m, t) \notin \{ (m_1, t_1), \dots, (m_q, t_q) \}$$

\Rightarrow attacker cannot produce a valid tag for a new message

MACs and PRFs

Recall: secure PRF $F \Rightarrow$ secure MAC, as long as $|Y|$ is large

$$\underline{S(k, m)} = F(k, m)$$

$$F(k, x) = Y$$

$$|Y|$$

Our goal:

given a PRF for short messages (AES)

128 bit.

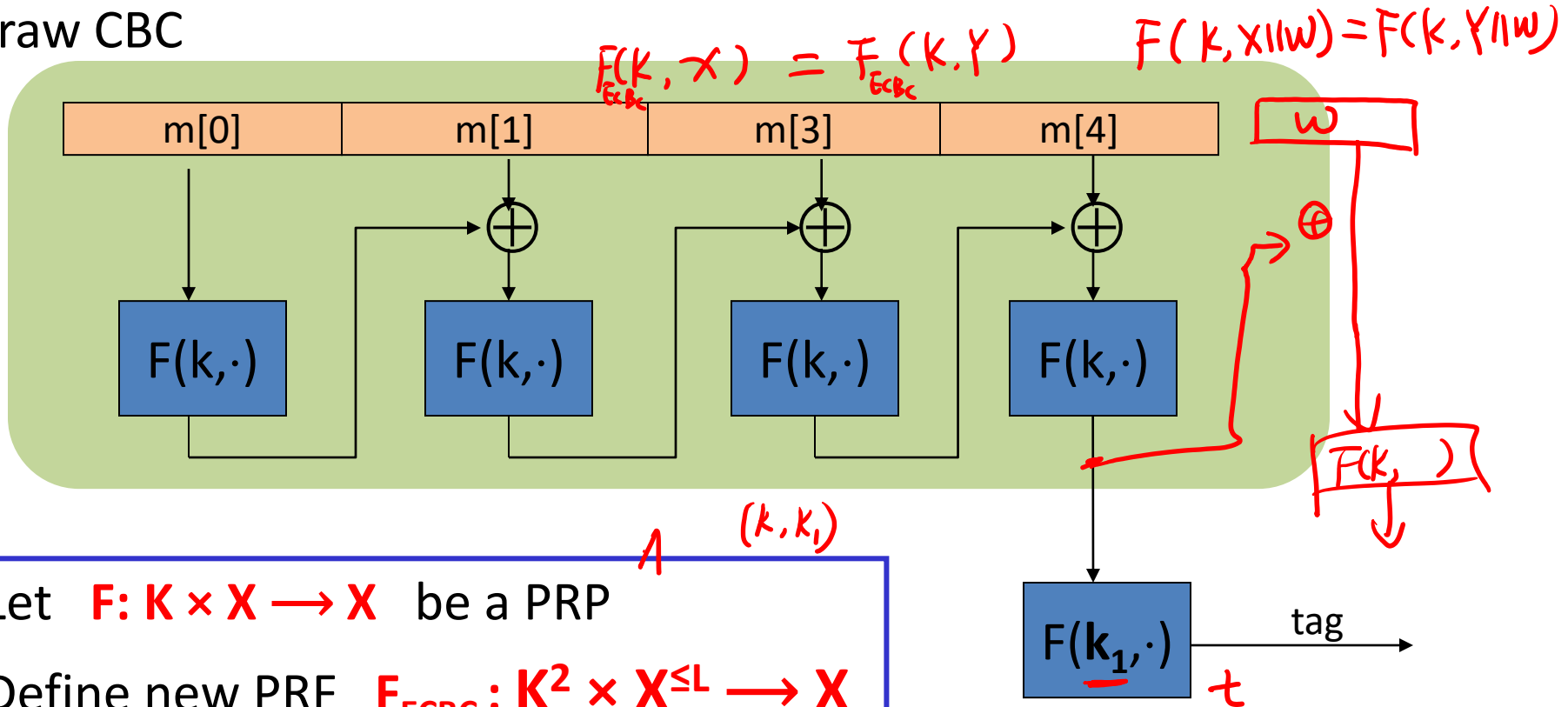
construct a PRF for long messages

.T

From here on let $X = \{0,1\}^n$ (e.g. $n=128$)

Construction 1: encrypted CBC-MAC

raw CBC



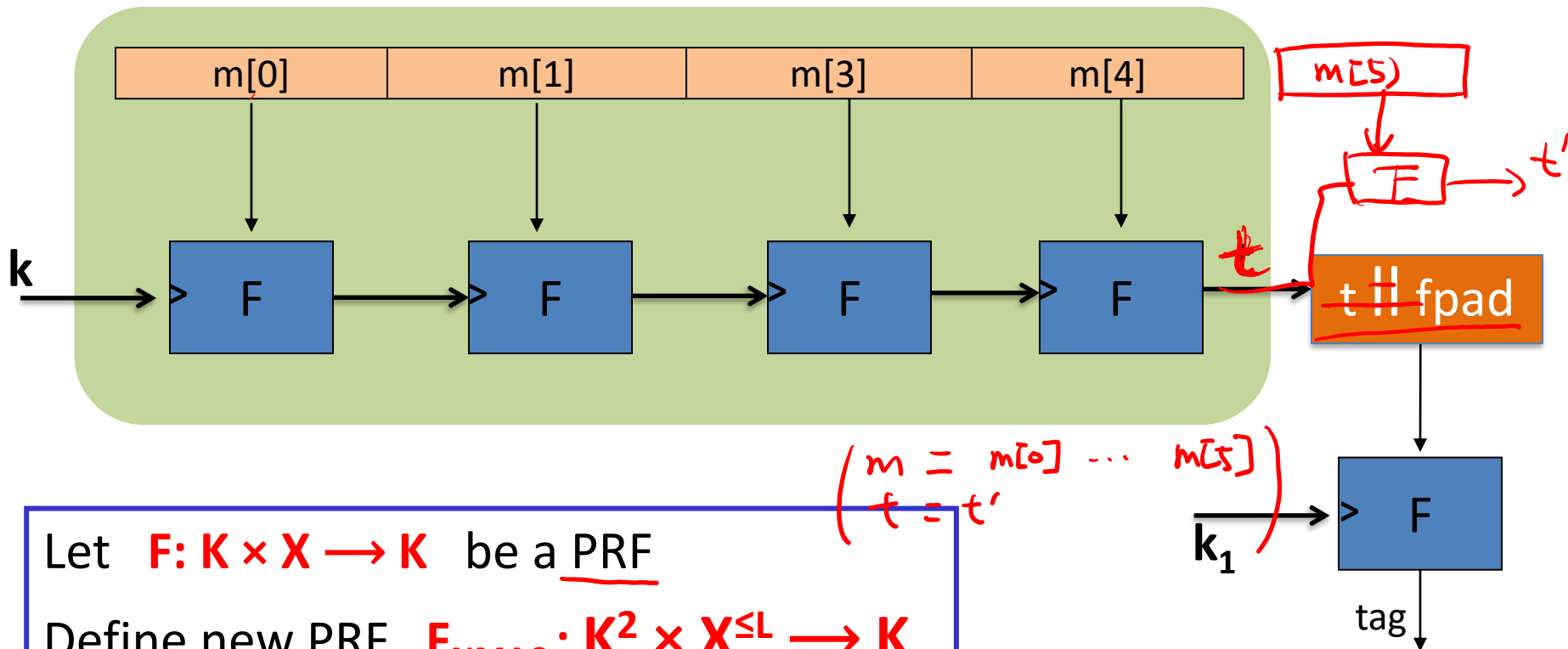
Let $F: K \times X \rightarrow X$ be a PRP

Define new PRF $F_{ECBC}: K^2 \times X^{\leq L} \rightarrow X$

$\Rightarrow (m[0] \dots m[s], t')$

Construction 2: NMAC (nested MAC)

cascade



Why the last encryption step in ECBC-MAC and NMAC?

NMAC: suppose we define a MAC $I = (S, V)$ where

$$S(k, m) = \text{cascade}(k, m)$$

- ☐ ~~This MAC is secure~~
- ☐ This MAC can be forged without any chosen msg queries
- ☒ This MAC can be forged with one chosen msg query
- ☐ This MAC can be forged, but only with two msg queries

Why the last encryption step in ECBC-MAC?

Suppose we define a MAC $I_{\text{RAW}} = (S, V)$ where

$$S(k, m) = \text{rawCBC}(k, m)$$

Then I_{RAW} is easily broken using a 1-chosen msg attack.

$m_0 \dots m_t \rightarrow x$
 x

Adversary works as follows:

- Choose an arbitrary one-block message $m \in X$
- Request tag for m . Get $t = F(k, m)$
- Output t as MAC forgery for the 2-block message $(m, t \oplus m)$

Indeed: $\text{rawCBC}(k, (m, t \oplus m)) = F(k, F(k, m) \oplus (t \oplus m)) = F(k, t \oplus (t \oplus m)) = t$

ECBC-MAC and NMAC analysis

Theorem: For any $L>0$,

For every eff. q-query PRF adv. A attacking F_{ECBC} or F_{NMAC}
there exists an eff. adversary B s.t.:

$$\text{Adv}_{\text{PRF}}[A, F_{\text{ECBC}}] \leq \text{Adv}_{\text{PRP}}[B, F] + 2q^2 / |X|$$

$$\text{Adv}_{\text{PRF}}[A, \underline{F_{\text{NMAC}}}] \leq q \cdot L \cdot \text{Adv}_{\text{PRF}}[\underline{B, F}] + q^2 / 2|K|$$

CBC-MAC is secure as long as $q \ll |X|^{1/2}$

NMAC is secure as long as $q \ll |K|^{1/2}$ (2^{64} for AES-128)

An example

$$\text{Adv}_{\text{PRF}}[A, F_{\text{ECBC}}] \leq \text{Adv}_{\text{PRP}}[B, F] + 2q^2 / |X|$$

q = # messages MAC-ed with k

Suppose we want $\text{Adv}_{\text{PRF}}[A, F_{\text{ECBC}}] \leq 1/2^{32} \quad \Leftarrow \quad \underline{q^2 / |X| < 1/2^{32}}$

- AES: $|X| = 2^{128} \Rightarrow q < 2^{48}$

So, after 2^{48} messages must, must change key

- 3DES: $|X| = 2^{64} \Rightarrow q < 2^{16}$

The security bounds are tight: an attack

After signing $|X|^{1/2}$ messages with ECBC-MAC or
 $|K|^{1/2}$ messages with NMAC

$$|X| = 2^{128} \\ q < 2^{40} \\ \boxed{2^{64}}$$

the MACs become insecure

Suppose the underlying PRF F is a PRP (e.g. AES)

- Then both PRFs (ECBC and NMAC) have the following extension property:

$$\forall x, y, w: F_{\text{BIG}}(k, x) \overset{x||w}{=} F_{\text{BIG}}(k, y) \overset{y||w}{=} F_{\text{BIG}}(k, x||w) = F_{\text{BIG}}(k, y||w)$$

The security bounds are tight: an attack

Let $F_{\text{BIG}}: \mathbf{K} \times \mathbf{X} \rightarrow \mathbf{Y}$ be a PRF that has the extension property

$$F_{\text{BIG}}(k, x) = F_{\text{BIG}}(k, y) \Rightarrow \underline{F_{\text{BIG}}(k, \mathbf{x} \parallel \mathbf{w})} = \underline{F_{\text{BIG}}(k, \mathbf{y} \parallel \mathbf{w})}$$

Generic attack on the derived MAC:

$\sqrt{|\mathbf{Y}|}$ AES 2^{64} $\rightarrow 2^{68}$

step 1: issue $|\mathbf{Y}|^{1/2}$ message queries for rand. messages in \mathbf{X} .

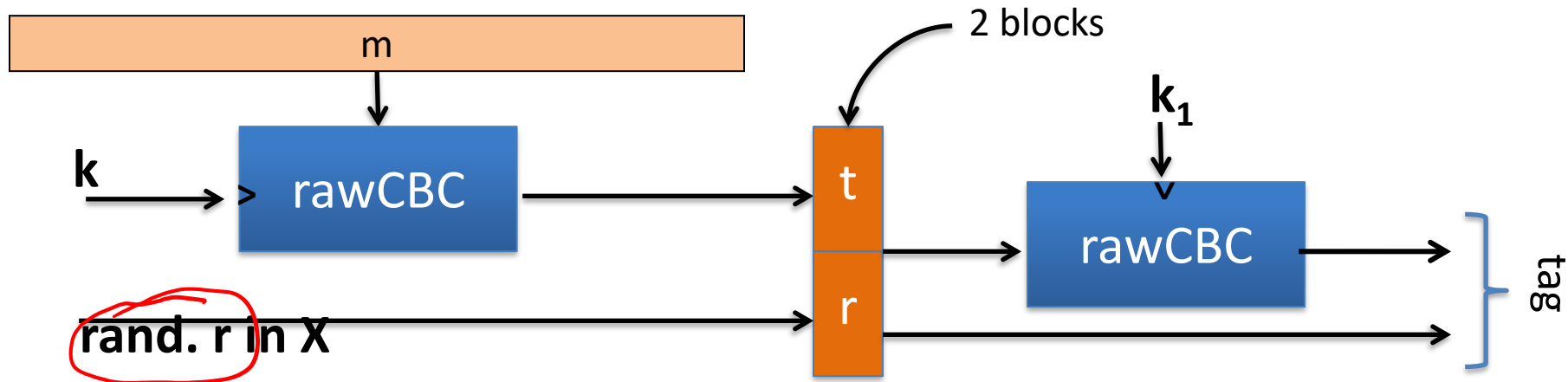
obtain (m_i, t_i) for $i = 1, \dots, |\mathbf{Y}|^{1/2}$

step 2: find a collision $t_u = t_v$ for $u \neq v$ (one exists w.h.p by b-day paradox)

step 3: choose some w and query for $t := F_{\text{BIG}}(k, \mathbf{m}_u \parallel \mathbf{w})$

step 4: output forgery $(\mathbf{m}_v \parallel \mathbf{w}, t)$. Indeed $t := F_{\text{BIG}}(k, \mathbf{m}_v \parallel \mathbf{w})$

Better security: a rand. construction



Let $F: K \times X \rightarrow X$ be a PRF. Result: MAC with tags in X^2

Security: $\text{Adv}_{\text{MAC}}[A, I_{\text{RCBC}}] \leq \text{Adv}_{\text{PRP}}[B, F] \cdot (1 + 2q^2 / |X|) 2^{16}$

\Rightarrow For 3DES: can sign $q=2^{32}$ msgs with one key 2^{32}

Comparison

ECBC-MAC is commonly used as an AES-based MAC

- CCM encryption mode (used in 802.11i)
- NIST standard called CMAC

~~NMAC~~ not usually used with AES or 3DES

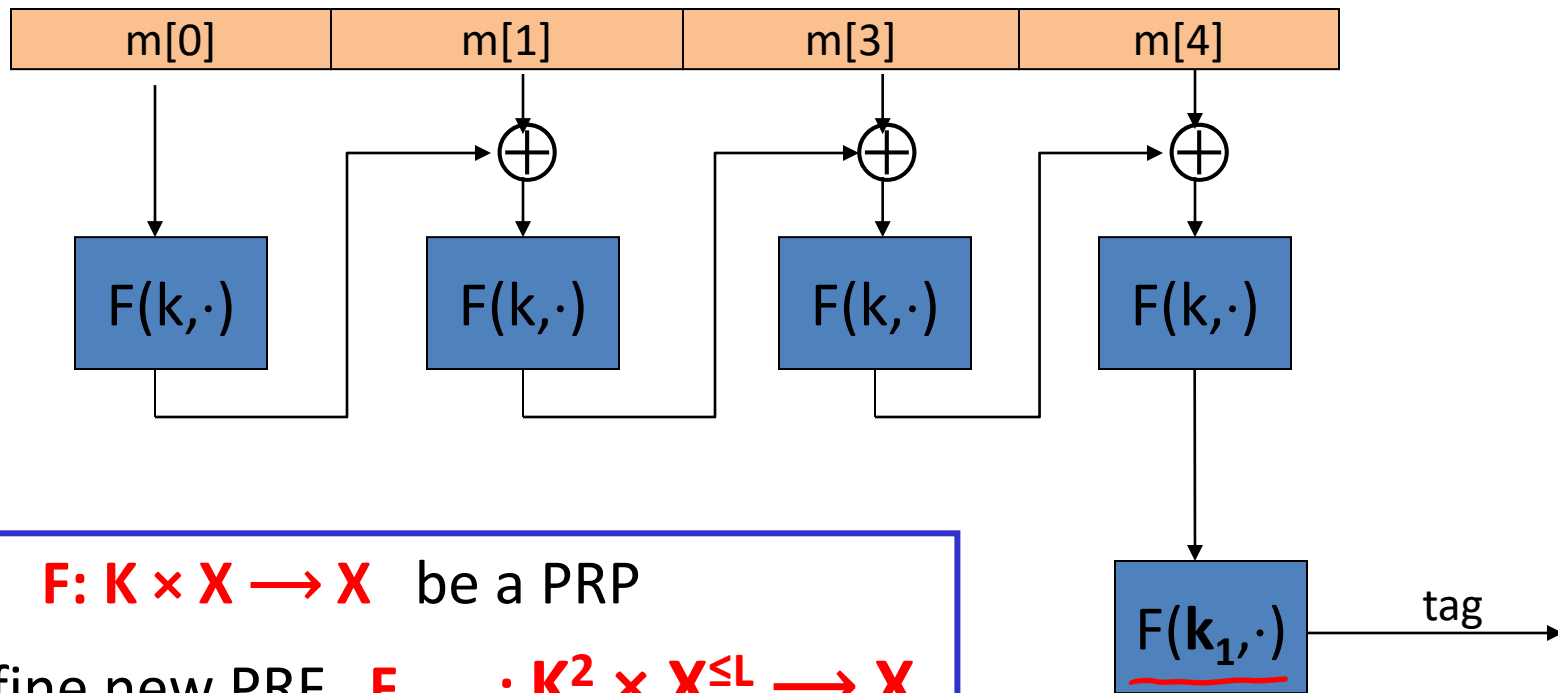
- Main reason: need to change AES key on every block
requires re-computing AES key expansion
- But NMAC is the basis for a popular MAC called HMAC (next)



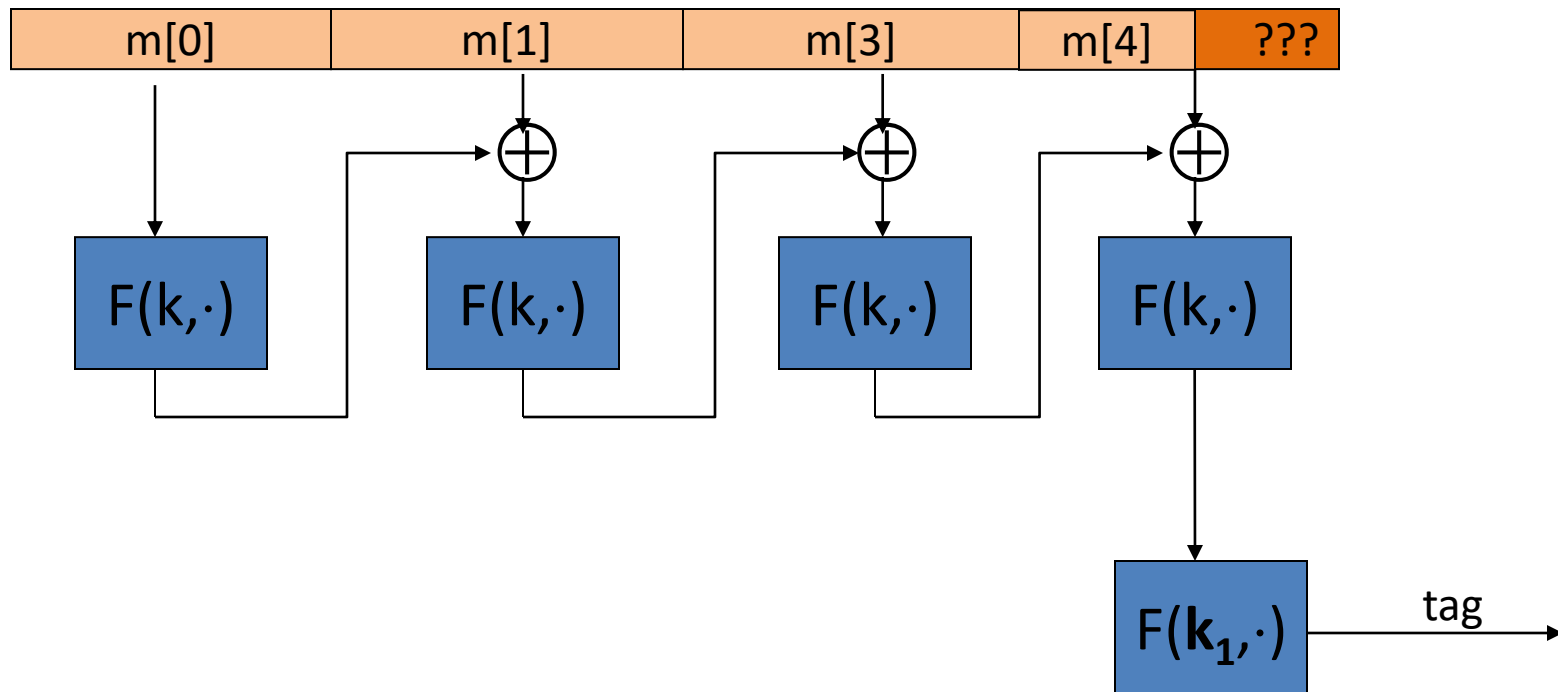
Message Integrity

MAC padding

Recall: ECBC-MAC



What if msg. len. is not multiple of block-size?



CBC MAC padding

Bad idea: pad m with 0's



Is the resulting MAC secure?

- ☒ **A** Yes, the MAC is secure
- ☐ **B** It depends on the underlying MAC
- ☐ **C** No, given tag on msg **m** attacker obtains tag on **m||0**

问题. $MAC(m) = t$

问题. Problem: $(m||0, t)$
 $pad(m) = pad(m||0)$

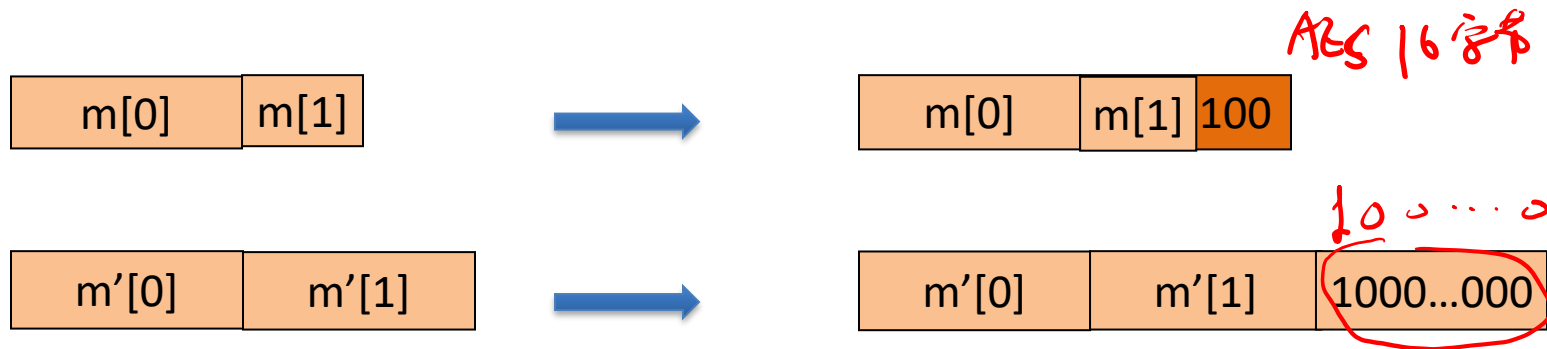
CBC MAC padding

For security, padding must be invertible !

$$m_0 \neq m_1 \Rightarrow \text{pad}(m_0) \neq \text{pad}(m_1)$$

ISO: pad with “1000...00”. Add new dummy block if needed.

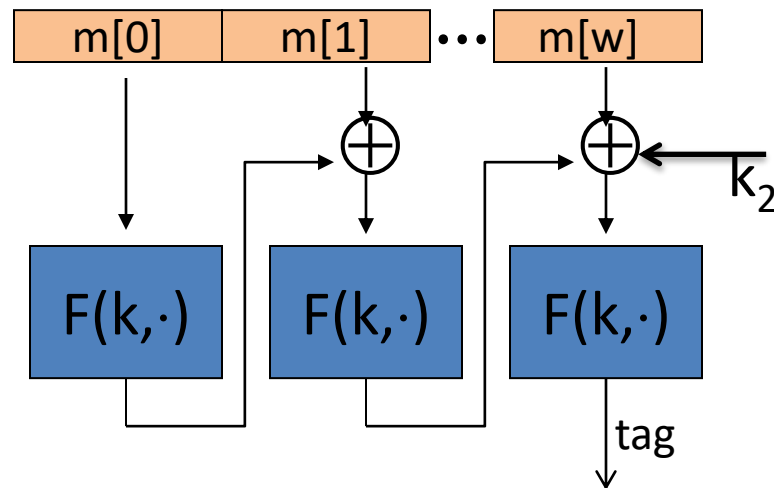
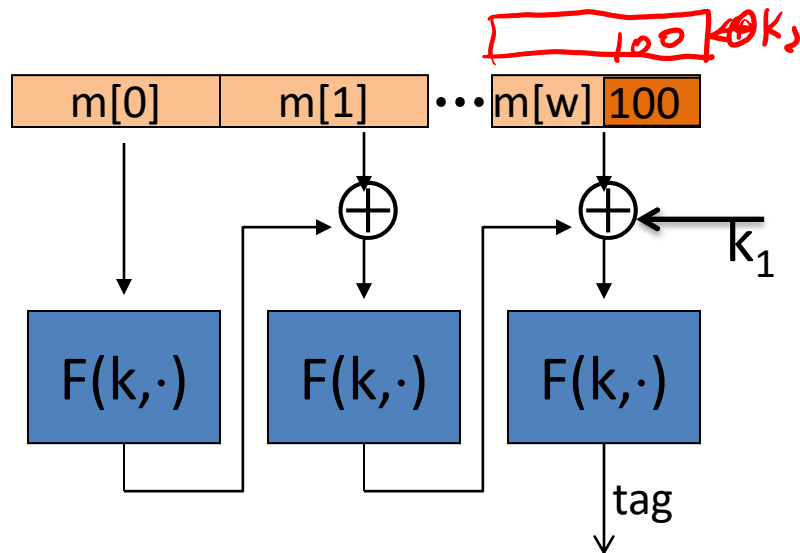
– The “1” indicates beginning of pad.



CMAC (NIST standard)

Variant of CBC-MAC where $\text{key} = (\underline{k}, \underline{k_1}, \underline{k_2})$ *(k, k₁)*

- No final encryption step (extension attack thwarted by last keyed xor)
- No dummy block (ambiguity resolved by use of k_1 or k_2)





Message Integrity

PMAC and
Carter-Wegman MAC

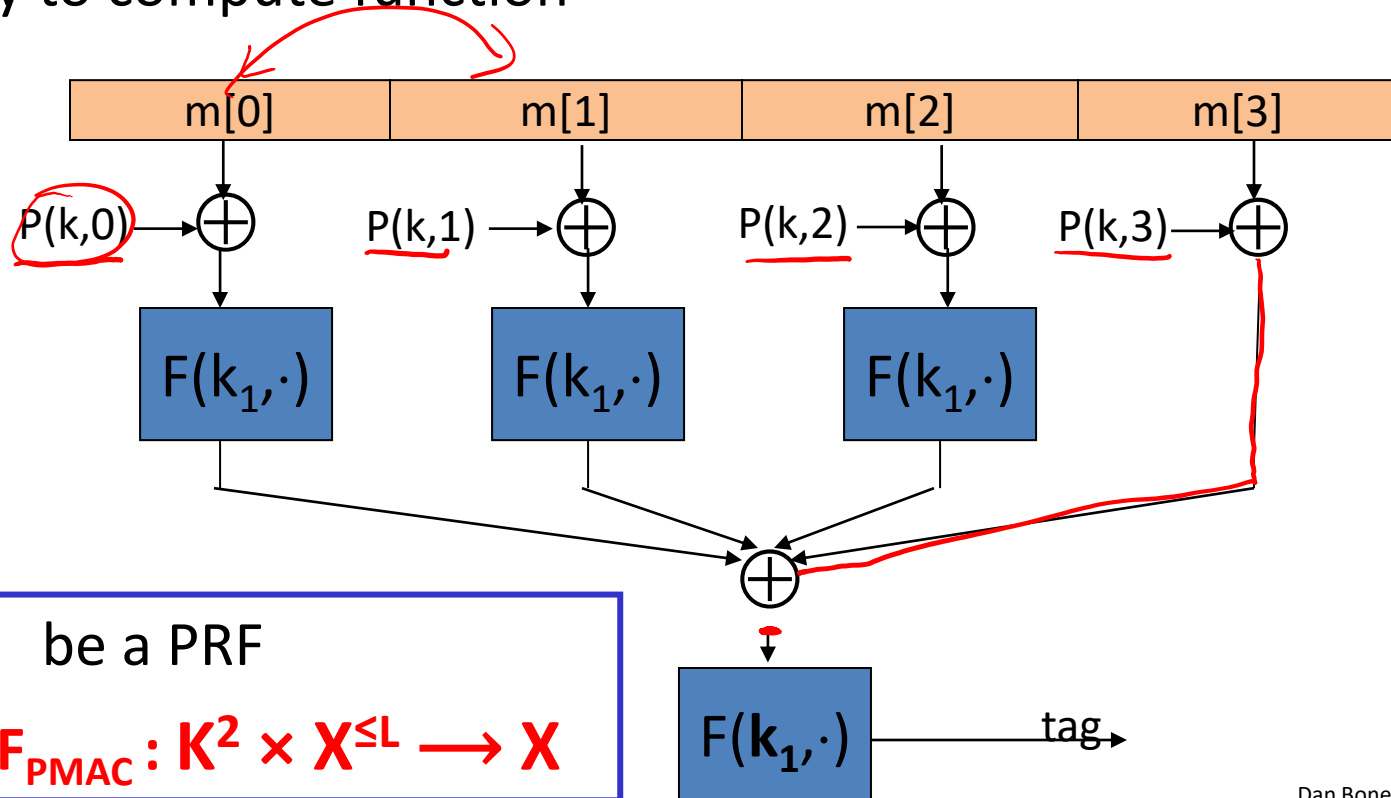
- ECBC and NMAC are sequential.
- Can we build a parallel MAC from a small PRF ??

Construction 3: PMAC – parallel MAC

$P(k, i)$: an easy to compute function

key = (k, k_1)

Padding similar
to CMAC



Let $F: K \times X \rightarrow X$ be a PRF

Define new PRF $F_{\text{PMAC}}: K^2 \times X^{\leq L} \rightarrow X$

PMAC: Analysis

PMAC Theorem: For any $L > 0$,

If F is a secure PRF over (K, X, X) then

F_{PMAC} is a secure PRF over $(K, X^{\leq L}, X)$.

For every eff. q -query PRF adv. A attacking F_{PMAC}
there exists an eff. PRF adversary B s.t.:

$$\text{Adv}_{\text{PRF}}[A, F_{\text{PMAC}}] \leq \text{Adv}_{\text{PRF}}[B, F] + \frac{2 q^2 L^2}{|X|}$$

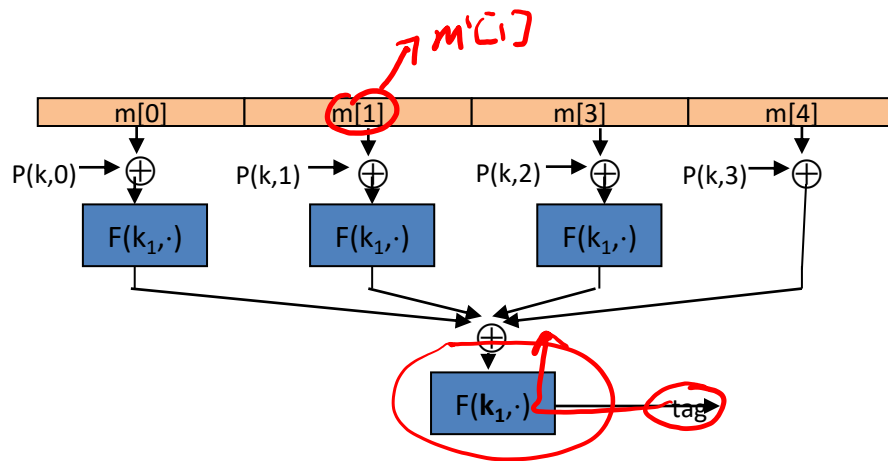
L

PMAC is secure as long as $qL \ll |X|^{1/2}$

PMAC is incremental

Suppose F is a PRP.

When $m[1] \rightarrow m'[1]$
can we quickly update tag?



☒ A no, it can't be done ~~no~~

☒ B ~~do $F^{-1}(k_1, \text{tag}) \oplus F(k_1, m'[1] \oplus P(k, 1))$~~

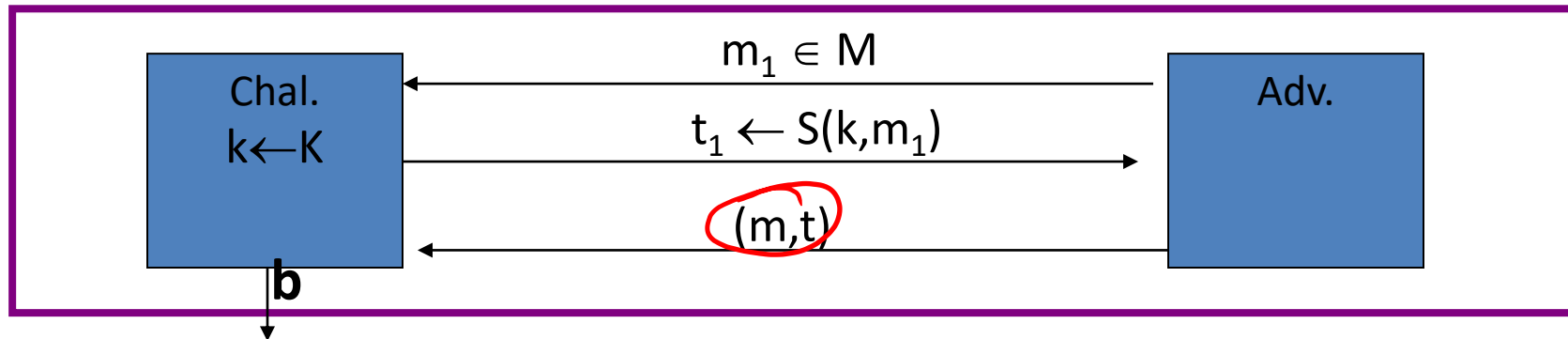
☒ C do $F^{-1}(k_1, \text{tag}) \oplus F(k_1, m[1] \oplus P(k, 1)) \oplus F(k_1, m'[1] \oplus P(k, 1))$

☒ D do $\text{tag} \oplus F(k_1, m[1] \oplus P(k, 1)) \oplus F(k_1, m'[1] \oplus P(k, 1))$

Then apply $F(k_1, \cdot)$

One time MAC (analog of one time pad)

- For a MAC $I=(S,V)$ and adv. A define a MAC game as:



$$\begin{cases} b=1 & \text{if } V(k, m, t) = \text{'yes'} \text{ and } (m, t) \neq (m_1, t_1) \\ b=0 & \text{otherwise} \end{cases}$$

Def: $I=(S,V)$ is a secure MAC if for all "efficient" A :

$$\text{Adv}_{1\text{MAC}}[A, I] = \Pr[\text{Chal. outputs } 1] \text{ is "negligible."}$$

One-time MAC: an example

Can be secure against all adversaries and faster than PRF-based MACs

Let q be a large prime (e.g. $q = 2^{128} + 51$)

key = (a , b) $\in \{1, \dots, q\}^2$ (two random ints. in $[1, q]$)

msg = ($m[1], \dots, m[L]$) where each block is 128 bit int.

$$S(\text{key}, \text{msg}) = P_{\text{msg}}(a) + b \pmod{q}$$

where $P_{\text{msg}}(x) = x^{L+1} + m[L] \cdot x^L + \dots + m[1] \cdot x$ is a poly. of deg $L+1$

We show: given $S(\text{key}, \text{msg}_1)$ adv. has no info about $S(\text{key}, \text{msg}_2)$

One-time security (unconditional)

Thm: the one-time MAC on the previous slide satisfies (L=msg-len)

$$\forall m_1 \neq m_2, t_1, t_2: \Pr_{a,b} [S(a,b, m_1) = t_1 \mid S(a,b, m_2) = t_2] \leq L/q$$

Proof: $\forall m_1 \neq m_2, t_1, t_2:$

$$(1) \Pr_{a,b} [S(a,b, m_2) = t_2] = \Pr_{a,b} [P_{m_2}(a) + b = t_2] = 1/q$$

$$(2) \Pr_{a,b} [S(a,b, m_1) = t_1 \text{ and } S(a,b, m_2) = t_2] =$$

$$\Pr_{a,b} [P_{m_1}(a) - P_{m_2}(a) = t_1 - t_2 \text{ and } P_{m_2}(a) + b = t_2] \leq L/q^2$$



\Rightarrow given valid (m_2, t_2) , adv. outputs (m_1, t_1) and is right with prob. $\leq L/q$

One-time MAC \Rightarrow Many-time MAC

Let (S,V) be a secure one-time MAC over $(K_1, M, \{0,1\}^n)$.

Let $F: K_F \times \{0,1\}^n \rightarrow \{0,1\}^n$ be a secure PRF.

Carter-Wegman MAC: $CW((k_1, k_2), m) = (r, \underbrace{F(k_1, r)}_{\text{slow but short inp}} \oplus \underbrace{S(k_2, m)}_{\text{fast long inp}})$

for random $r \leftarrow \{0,1\}^n$.

Thm: If (S,V) is a secure one-time MAC and F a secure PRF then CW is a secure MAC outputting tags in $\{0,1\}^{2n}$.

$$\underline{CW((k_1, k_2), m) = (r, F(k_1, r) \oplus S(k_2, m))}$$

How would you verify a CW tag **(r, t)** on message **m** ?

Recall that $V(k_2, m, .)$ is the verification alg. for the one time MAC.

- ☐ Run $V(k_2, m, F(k_1, t) \oplus r)$ $\checkmark (k_2, m, F(k_1, r) \oplus t)$
- ☐ Run $V(k_2, m, r)$
- ☐ Run $V(k_2, m, t)$
- ☒ ➔ Run $V(k_2, m, F(k_1, r) \oplus t)$

Construction 4: HMAC (Hash-MAC)

NMAC
nested MAC

Most widely used MAC on the Internet.

... but, we first we need to discuss hash function.

Summary: message integrity


So far, four MAC constructions:

PRFs { **ECBC-MAC, CMAC** : commonly used with AES (e.g. 802.11i)
NMAC : basis of HMAC (this segment)
PMAC: a parallel MAC

randomized
MAC { **Carter-Wegman MAC**: built from a fast one-time MAC

This module: MACs from collision resistance.

Further reading

- J. Black, P. Rogaway: CBC MACs for Arbitrary-Length Messages: The Three-Key Constructions. J. Cryptology 18(2): 111-131 (2005)
- K. Pietrzak: A Tight Bound for EMAC. ICALP (2) 2006: 168-179 
- J. Black, P. Rogaway: A Block-Cipher Mode of Operation for Parallelizable Message Authentication. EUROCRYPT 2002: 384-397
- M. Bellare: New Proofs for NMAC and HMAC: Security Without Collision-Resistance. CRYPTO 2006: 602-619
- Y. Dodis, K. Pietrzak, P. Puniya: A New Mode of Operation for Block Ciphers and Length-Preserving MACs. EUROCRYPT 2008: 198-219