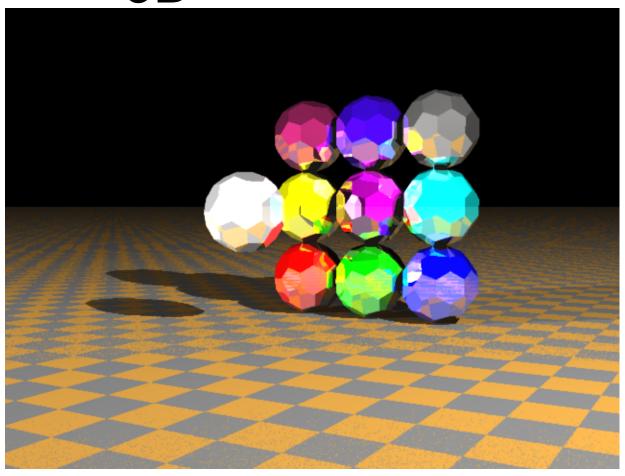
3D Geometric Transformations 3D



© 2005 James K. Hahn 2010 Robert Falk

3D Translation

- Simple extension of the 2D translation
- Transformation T defined in 4D homogeneous coordinate system

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- Or
$$P' = T \cdot P$$

3D Rotation

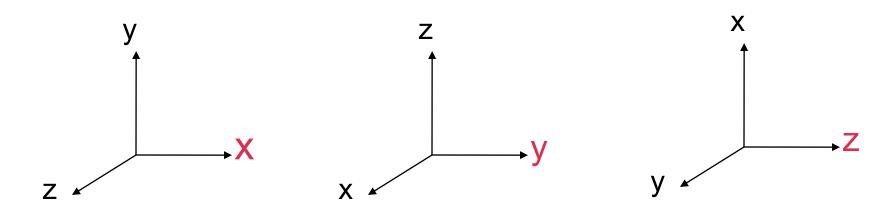
- Extend 2D by defining rotation about the three principal axis
- For rotations about the z-axis

$$x' = x \cos \theta - y \sin \theta$$
 $y' = x \sin \theta + y \cos \theta$ $z' = z$

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- Or
$$P' = R_z(\theta) \cdot P$$

– For rotations about x and y axes note the cyclic permutations $(x \rightarrow y \rightarrow z \rightarrow x)$ present in the following diagrams



• x and y rotation matrices can be derived from previous slide by replacing $(x \rightarrow y \rightarrow z \rightarrow x)$

Rotation about x-axis

$$y' = y \cos \theta - z \sin \theta$$

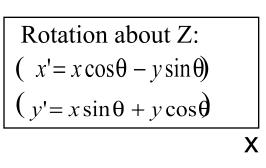
$$z' = y \sin \theta + z \cos \theta$$

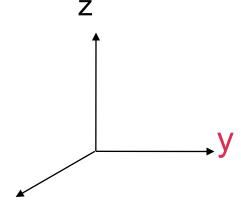
$$x' = x$$

$$(x' = x \cos \theta - y \sin \theta)$$

$$(y' = x \sin \theta + y \cos \theta)$$

$$x' = x$$





$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Rotation about y-axis

$$z' = z \cos \theta - x \sin \theta$$

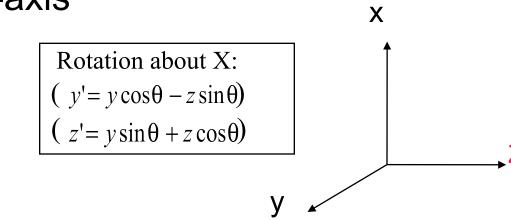
$$x' = z \sin \theta + x \cos \theta$$

$$y' = y$$

$$(y' = y \cos \theta - z \sin \theta)$$

$$(z' = y \sin \theta + z \cos \theta)$$

$$y' = y$$



$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- Inverse of a rotation gotten by replacing θ by – θ
- Inverse of a rotation matrix is its transpose
 - only sine function affected by the change in sign
 - sine function occurs on the off diagonal and is symmetric

$$\begin{bmatrix} \cos(-\theta) & 0 & \sin(-\theta) & 0 \\ 0 & 1 & 0 & 0 \\ -\sin(-\theta) & 0 & \cos(-\theta) & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \cos\theta & 0 & -\sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ \sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

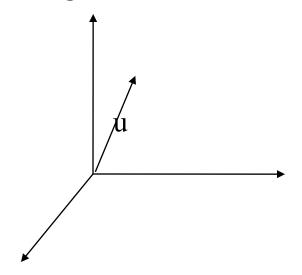
General 3D rotations

 p_2 : (x_2,y_2,z_2) u: (a,b,c) p_1 : (x_1,y_1,z_1)

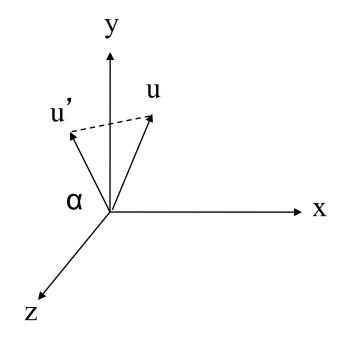
- Strategy(rotate about unit rotation vector u)
 - Translate one of the points to the origin
 - Rotate so that the vector is along one of the axes
 - Perform the rotation
 - Rotate back
 - Translate back

Translate axis of rotation to origin

$$T = \begin{bmatrix} 1 & 0 & 0 & -x_1 \\ 0 & 1 & 0 & -y_1 \\ 0 & 0 & 1 & -z_1 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



- Rotate about x so that u = (a, b, c) lies on the x-z plane
 - need cosine and sine of α for matrix
 - u' is projection of u onto the y-z plane: u' = (0, b, c)
 - x=(1,0,0), z=(0,0,1)
 - dot product of u' with the unit vector z
 - Equate algebraic definition of cross product: with parallelogram area definition:



$$\cos \alpha = \frac{\mathbf{u}' \cdot \mathbf{z}}{|\mathbf{u}'|} = \frac{c}{\sqrt{b^2 + c^2}} \equiv \frac{c}{d}$$

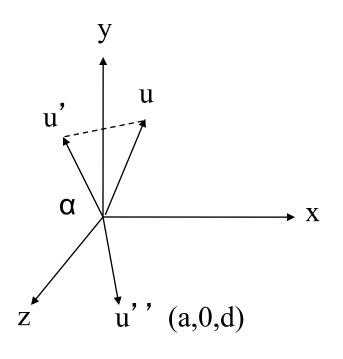
$$\mathbf{u}' \times \mathbf{z} = \mathbf{x} |\mathbf{u}'| \sin \alpha$$

$$\mathbf{u}' \times \mathbf{z} = \mathbf{x}b * 1 \quad (height * base)$$

$$\sin\alpha = \frac{b}{d}$$

 therefore, the rotation about the x axis so that u lies in the x-z plane is:

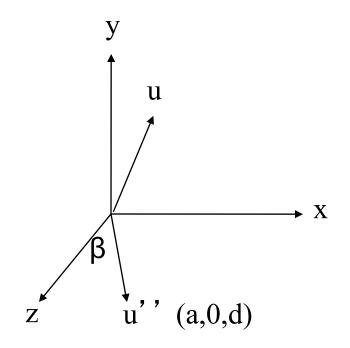
$$R_{x}(\alpha) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{c}{d} & -\frac{b}{d} & 0 \\ 0 & \frac{b}{d} & \frac{c}{d} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \qquad \cos \alpha = \frac{c}{d}$$



 rotation about x leaves x component (a) unchanged and z component is length of u' (d=sqrt(b²+c²))

Rotate β about y axis so that u" lie on the z axis

- again, need sine and cosine of β
- dot product of u" with the unit vector z
 (|u"| = |u| = 1.0)
- cross product of u" with z is:
- which is also equal to:
- equate equations get sine:



$$\cos \beta = \frac{\mathbf{u}'' \cdot \mathbf{z}}{|\mathbf{u}''|} = d$$

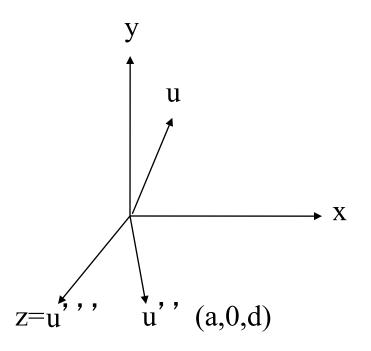
$$\mathbf{u}'' \times \mathbf{z} = \mathbf{y} |\mathbf{u}''| \sin \beta$$

$$\mathbf{u}'' \times \mathbf{z} = \mathbf{y}(-a)$$

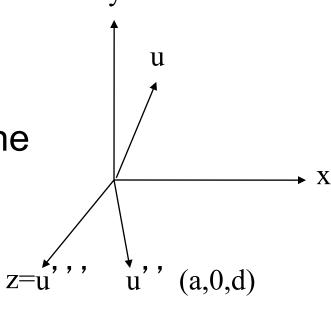
$$\sin\beta = -a$$

 therefore, the rotation about the y axis so that u" lie along the z axis is

$$R_{y}(\beta) = \begin{bmatrix} d & 0 & -a & 0 \\ 0 & 1 & 0 & 0 \\ a & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



– Now rotate about the z axis by the desired amount of rotation θ



$$R_{z}(\theta) = \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0\\ \sin\theta & \cos\theta & 0 & 0\\ 0 & 0 & 1 & 0\\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- Do inverse rotations and translation to get back to the starting postion.
- Transformation matrix to rotate about an arbitrary axis

$$R(\theta) = T^{-1}R_x^{-1}(\alpha)R_y^{-1}(\beta)R_z(\theta)R_y(\beta)R_x(\alpha)T$$

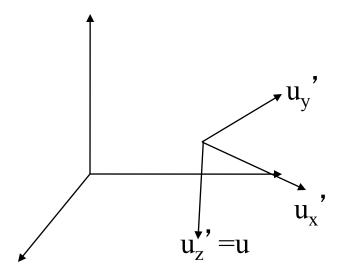
- Rotation matrix $R_y(\beta)R_x(\alpha)$ could have been derived by noting that rotation matrices are orthogonal
 - · rows and columns are orthonormal
 - form new orthogonal axis

$$u'_z = u$$
 $u'_y = \frac{u \times x}{|u \times x|}$ $u'_x = u'_y \times u'_z$

- and use their components as the rows of the rotation matrix
- i.e. you want a matrix that will rotate the primed into the unprimed coordinate system

 Coordinate System

 16



3D Scale

- Simple extension of 2D scale

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- The lower right matrix component can be used to do a uniform scale
- Scale about a specific point can be accomplished by first translating that point to the origin then scaling

3D Reflections

- Extension of 2D reflections
- One usage is to change from right-handed coordinate system to the left-handed coordinate system (common in computer graphics)

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

3D Shear

- z-axis shear relative to a reference position
 - leave z axis unchanged and move x and y by an amount proportional to z $z_{\rm ref}$

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & sh_{zx} & -sh_{zx} \cdot z_{ref} \\ 0 & 1 & sh_{zy} & -sh_{zy} \cdot z_{ref} \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Transformations between 3D coordinate systems

- Transformation matrix that will transform a vector p from the unprimed to the primed coordinate system is concatenation of
 - Translation that will make the origin
 of the primed coordinate system coincident with the origin of
 the unprimed coordinate system
 T(-x₀,-y₀,-z₀)
 - Rotation that will make the axes of the primed coordinate system coincident with the unprimed coordinate system

$$R = \begin{bmatrix} u'_{x1} & u'_{x2} & u'_{x3} & 0 \\ u'_{y1} & u'_{y2} & u'_{y3} & 0 \\ u'_{z1} & u'_{z2} & u'_{z3} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

 (x_0, y_0, z_0)

Affine transformations

Transformed coordinates are a linear function of the untransformed coordinates

$$x' = a_{xx} x + a_{xy} y + a_{xz} z + b_{x}$$

 $y' = a_{yx} x + a_{yy} y + a_{yz} z + b_{y}$
 $z' = a_{zx} x + a_{zy} y + a_{zz} z + b_{z}$

- Parallel lines transform to parallel lines
- Finite points map to finite points

- Examples: translation, rotation, scaling, reflection, shear
- Scaling and shear do not necessarily preserve angles and lengths
- Translation, rotation, reflection do preserve angles and lengths

Constructing transformation matrix in OpenGL

- translation matrix (4x4)

```
glTranslate*( tx, ty, tz );
```

- *: float or double
- rotation matrix

```
glRotate*( thetadeg, vx, vy, vz );
```

scaling matrix

```
glScale*( sx, sy, sz );
```

To set geometric transformation mode

```
glMatrixMode( GL_MODELVIEW );
```

- This sets a 4x4 modelview matrix as the current matrix
- Any subsequent transformation routines from previous slide multiplied by the current matrix
- To assign an identity matrix as the current matrix
 glLoadIdentity();

OpenGL Matrix Stack

- For each matrix mode set by glMatrixMode function (modelview, projection, texture, and color)
 - OpenGL maintains a matrix stack
 - Initially contains a single identity matrix
 - At any time, the top of each stack is the current matrix for that mode

To load an arbitrary 4x4 matrix as the current matrix

```
glLoadMatrix*( elements16 );
```

– To multiply current matrix by an arbitrary matrix:

```
glMultMatrix*( elements16 );
```

- current matrix is post multiplied by the specified matrix and the result becomes the new current matrix
 e.g. if M is the current matrix, the operation with M' as the argument will put M·M' as the current modelview matrix
- can have any number of these multiplication operations
- the last matrix specified is the first one that is applied

 We can copy the current top of the stack onto the second stack position

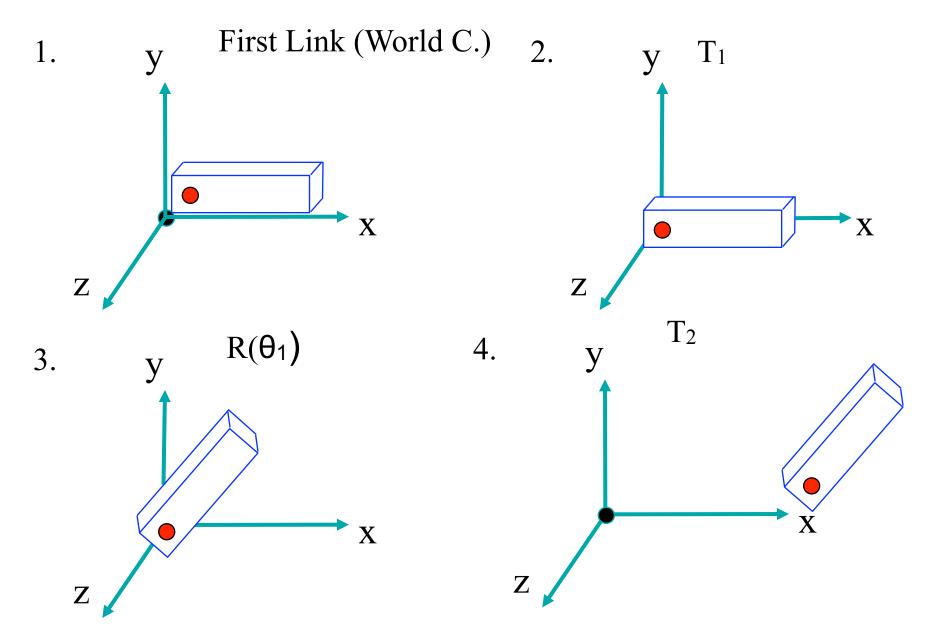
```
glPushMatrix*();
```

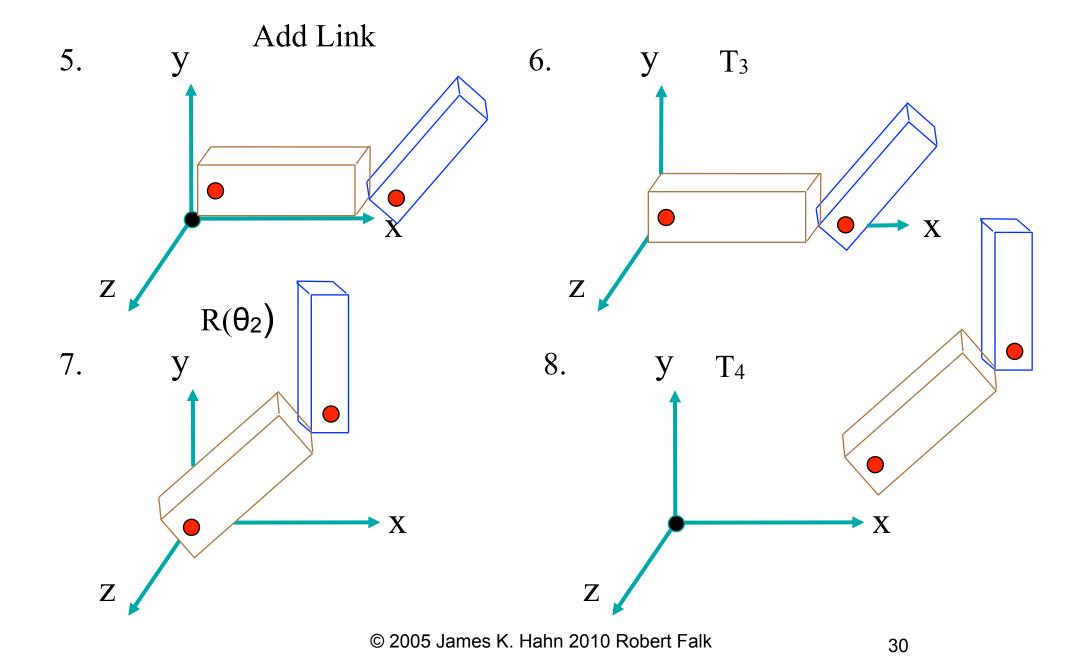
- gives duplicate matrix on top two positions on the stack
- allow storing of a composite matrix for later re-use
- To pop off the top of the stack

```
glPopMatrix*();
```

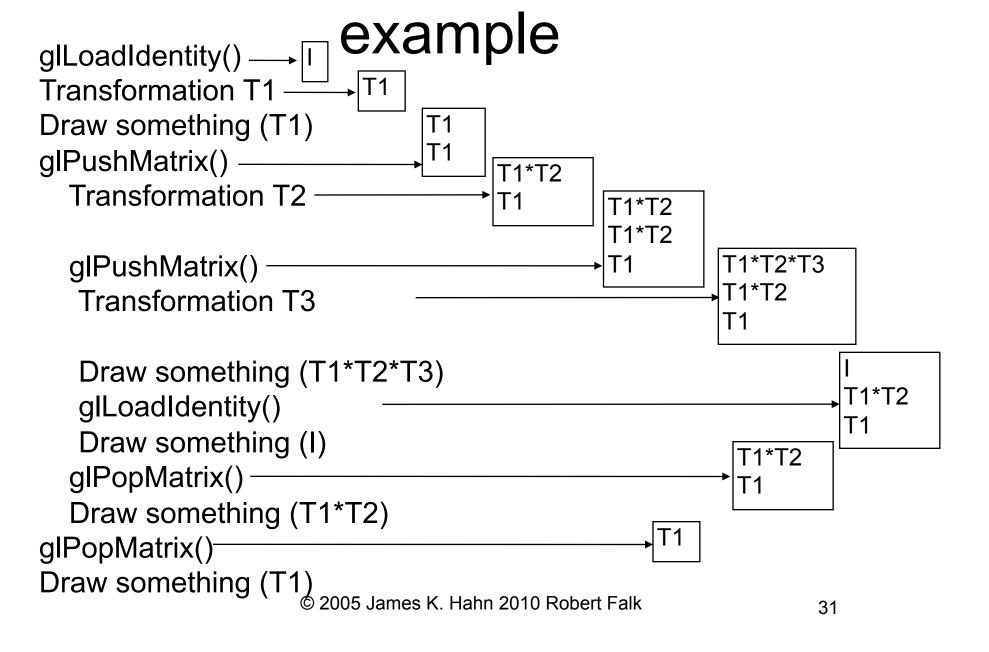
Hierarchical Modeling

- More complex objects composed of simpler ones
- Transformations connect objects
- Links keep parts together
- When you move a shoulder, the forearm should move with it.
- Important for animation

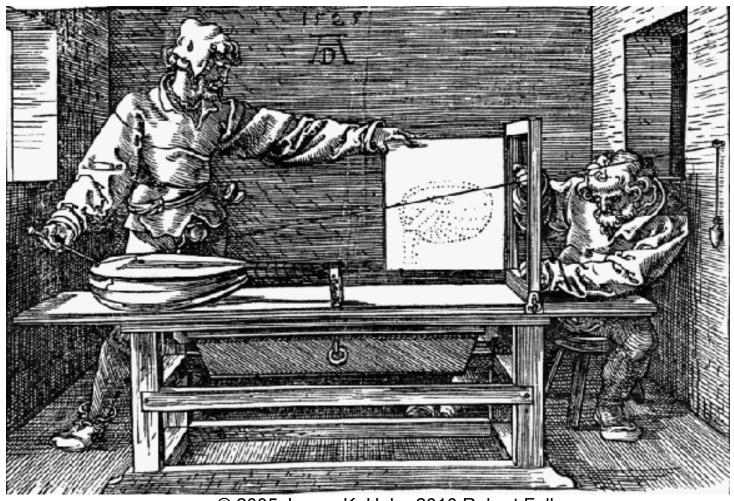




Transformation hierarchy



Next: 3D Viewing Transformations



© 2005 James K. Hahn 2010 Robert Falk