



## Synthesis of ZnO nanoparticles from microemulsions in a flow type microreactor

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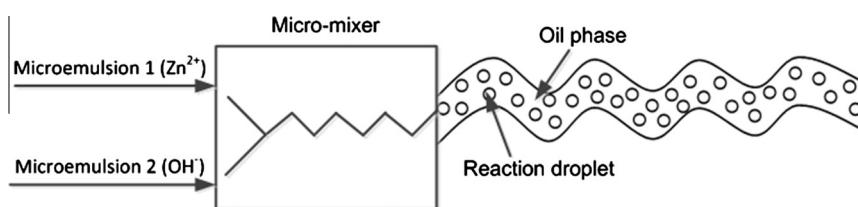
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### HIGHLIGHTS

- ZnO nanoparticles were synthesized from microemulsions in a microreactor.
- The microemulsion approach avoids the deposition of particles in the microchannels.
- $Zn(NO_3)_2$  was superior to  $ZnSO_4$  and  $ZnCl_2$  as the  $Zn^{2+}$  source.

### GRAPHICAL ABSTRACT



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### ABSTRACT

Zinc oxide ( $ZnO$ ) nanoparticles were synthesized from microemulsions in a microchannel reactor system. The microemulsions provide confined space for the reactants, which is favorable for controllable reaction and nucleation, thus avoiding the formation of large particles. In addition, the microemulsions prevent the deposition of  $ZnO$  particles on the wall of the microchannels of the reactor. Three  $Zn^{2+}$  sources ( $Zn(NO_3)_2$ ,  $ZnSO_4$ , and  $ZnCl_2$ ) were tested in the synthesis of  $ZnO$  nanoparticles. Among them,  $Zn(NO_3)_2$  showed best performance, yielding  $ZnO$  particles with the smallest average grain size. The effects of  $Zn^{2+}$  concentration, reaction temperature, and feed flow rate on the average particle size of  $ZnO$  nanoparticles were investigated. At optimal conditions,  $ZnO$  nanoparticles with average size of 16 nm were obtained. The synthesized  $ZnO$  nanoparticles were characterized by scanning electron microscope (SEM), X-ray diffraction (XRD), UV-vis absorption spectroscopy, and a laser particle size analyzer.

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### 1. Introduction

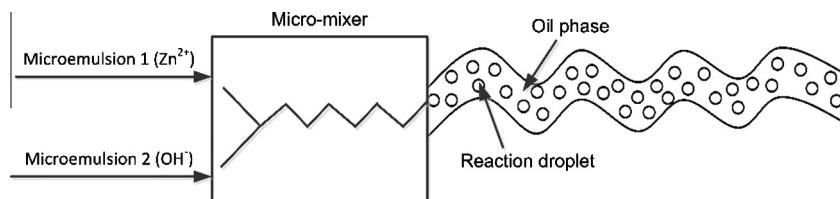
$ZnO$  is an important semiconductor material with extensive applications in electronics, photoelectronics, sensors, and optical devices [1–4]. The physical properties of  $ZnO$  nanoparticles are strongly dependent on the particle dimensions, including morphology and grain size distribution. Two types of synthetic approaches, vapor-phase synthesis and solution-phase synthesis, have been developed to fabricate  $ZnO$  nanoparticles. The vapor-phase approaches, such as vapor–liquid–solid growth [5], chemical vapor deposition [6], thermal decomposition [7], and thermal evaporation [8], have the advantage of simple operation and high-quality products, but generally require high temperatures and expensive

equipments. Solution-phase approaches are more promising due to the low reaction temperature, low cost, and high efficiency. However, in the later approach,  $ZnO$  flowers and whiskers with large size ( $>100$  nm) are often obtained, and the subsequent sedimentation or calcination leads to the aggregation of  $ZnO$  particles. In addition, the synthesis in a batch reactor is not effective in a large scale production. Therefore, new methods which facilitate the nucleation, growth, and particle size distribution in the synthesis of  $ZnO$  nanoparticles are highly desirable [9].

The microemulsion has found great applications in the synthesis of nanomaterials [10–12]. In the microemulsion approach, the reactants in aqueous solution are confined in the extremely small droplets, in which a uniform nucleation occurs. Additionally, the microemulsion helps to control the size and shape of the particles, preventing the nanoparticles from aggregation. Nevertheless, the microemulsion method suffers from low yield of nanoparticles and the difficulty of de-emulsification. As a consequence, the

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**Fig. 1.** Schematic diagram for the synthesis of nanoparticles by microemulsion in a microreactor.

reactor performance is generally low when the synthesis takes place in a batch reactor.

Recently, microchannel reactors have been utilized for producing nano-sized particles, including metals and alloys [13–18], metal salts [19,20], metal oxides [21], polymers [22], mesoporous materials [23], and zeolites [24]. The flow type microchannel reactors are able to intensify the mass and heat transfers as well as the mixing. The high surface-to-volume ratio in the microchannel reactors is favorable to enhance the response time and maintain isothermal conditions. Because the concentrations of reactants and temperature are homogeneous in the reaction zone, the obtained particles are uniform and reproducible.

When a single phase is involved, the velocity distribution in a microchannel is substantially broadened along the flow direction. Gunther et al. [25] compared the well mixing efficiency chaotic mixer with a liquid–liquid two phase mixer, and found that, when the fluid was mixed completely ( $\geq 95\%$ ), the length of the channel required for the two-phase flow was 2–3 times shorter than for the single-phase flow. The computational fluid dynamics (CFD) simulations indicate that the enhancement of mass transfer can be interpreted in terms of an internal circulation flow within the plugs. As a consequence, narrow particle size distribution could be obtained in the synthesis of nanoparticles due to the enhanced mixing and the narrow residence time distribution in the segmented liquid–liquid flow [26]. Another important issue in the synthesis of solid materials in a microchannel is that the formed particles may nucleate and deposit on the microchannel walls, leading to runaway growth, clogging, and unstable reactor conditions. Jongen et al. [27] designed a complex liquid–liquid two phase slug-flow microreactor, which included an aqueous phase of the two reactants and an oil phase. The immiscible oil phase isolated the aqueous phase in droplets. Nanoparticles nucleate and grow in the isolated droplets, whereas the droplets will not contact with the microchannel walls when the oil-to-water volume ratio is carefully adjusted in a suitable range, preventing the solid particles from depositing on the walls.

In the present paper, ZnO nanoparticles were synthesized by mixing the  $Zn^{2+}$ -containing water-in-oil microemulsion with the NaOH-containing one in a micromixer followed by subsequent reaction in the relay tube (Fig. 1). The synthesis conditions were optimized, and the obtained ZnO nanoparticles were characterized.

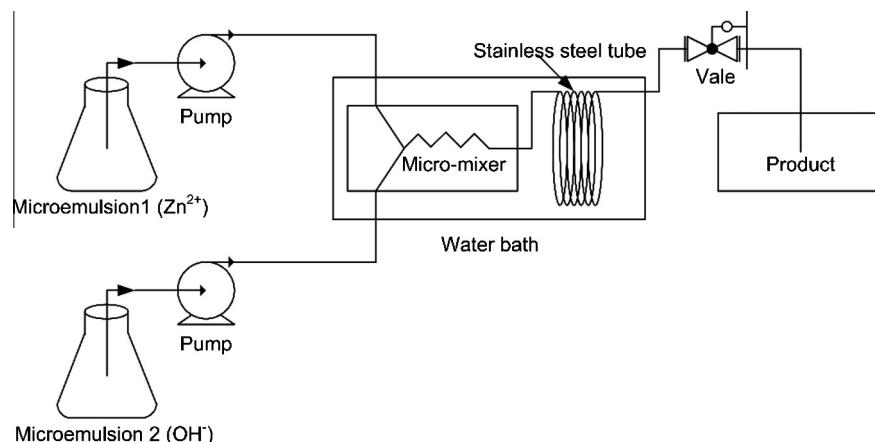
## 2. Experimental

### 2.1. Synthesis

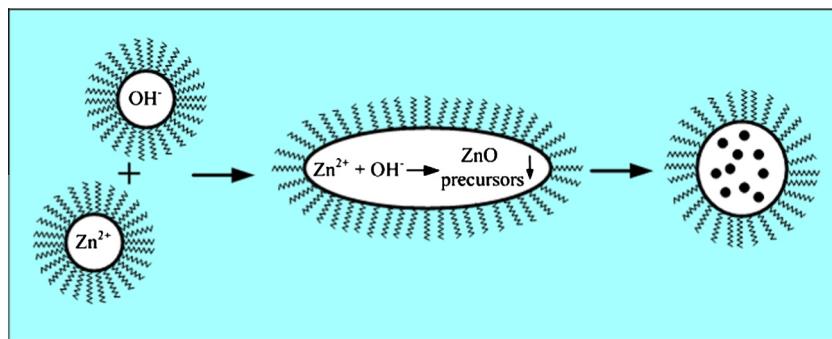
All of the chemicals were of analytical grade, and used without further purification (Tianjin Kermel Chemical Reagent Co. Ltd.). Deionized water was obtained from a water purification system.

The microemulsions were prepared in the following way. N-butanol, cetyltrimethyl ammonium bromide (CTAB), and n-octane were mixed at a mass ratio of 1.0:1.2:4.4 to form an organic phase. CTAB served as the surfactant, whereas n-butanol as the co-surfactant. An aqueous solution of  $Zn^{2+}$  ( $Zn(NO_3)_2$ ,  $ZnSO_4$ , and  $ZnCl_2$ ) were prepared by dissolving the salt in water under stirring. The solution of NaOH was prepared in a similar way. The microemulsion of  $Zn^{2+}$  (denoted as  $M(Zn^{2+})$ ) were obtained by adding the aqueous solution of  $Zn^{2+}$  into the above organic phase under vigorous stirring with an aqueous mass fraction of 15%, and the mixture was stirred until it became transparent. The microemulsion of NaOH (denoted as  $M(NaOH)$ ) was prepared by the same procedure with the same aqueous mass fraction.

The microreactor for the synthesis of ZnO nanoparticles is illustrated in Fig. 2. The micromixer (CPMM-R 300, microchannel size:  $300 \times 300 \mu m$ , Mainz, Germany) and the relay tube (stainless steel, i.d.  $6.35 mm \times 1.0 m$ ) were immersed in an oil thermostat, whose temperature was adjusted by a temperature controller.  $M(Zn^{2+})$  and  $M(NaOH)$  were fed separately to the two inlets of the micromixer by two syringe HPLC pumps. At a steady state, a white suspension was obtained at the outlet of the relay tube. The precipitates were collected by centrifugation at  $4000 r/min$  for 10 min, and washed with ethanol, acetone, and water sequentially for three



**Fig. 2.** The experimental setup for the flow type synthesis of ZnO nanoparticles.



**Fig. 3.** Synthesis of ZnO precursor by microemulsions dispersed in an oil phase.

times. ZnO nanoparticles were obtained by drying the solid product at 130 °C for 2 h, followed by calcination at 550 °C for 3 h.

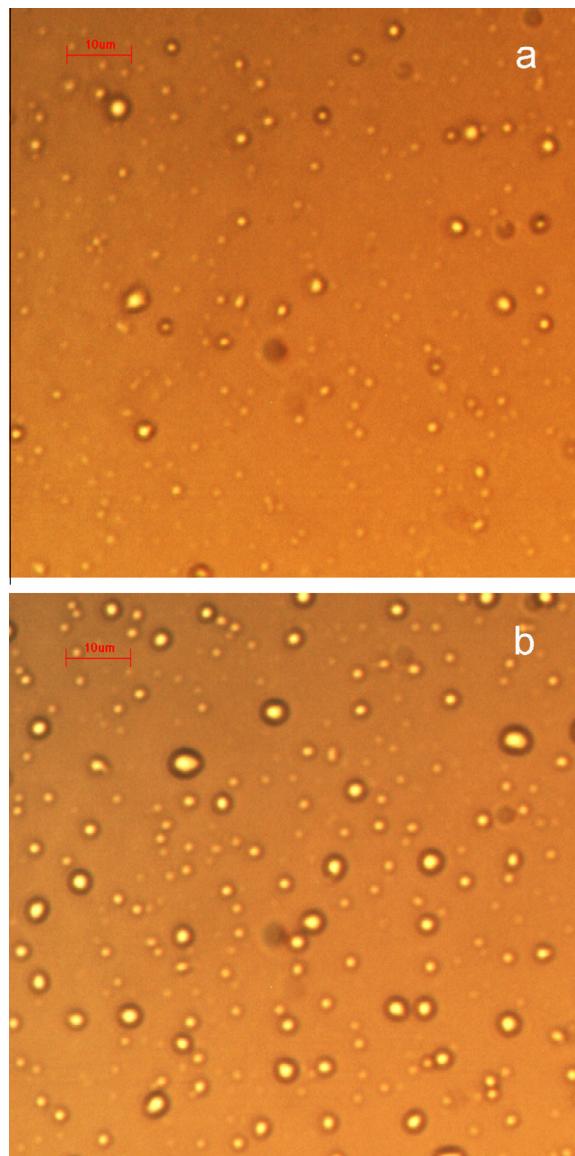
For comparison, ZnO nanoparticles were synthesized in a flask in a batch operation from M(Zn<sup>2+</sup>) and M(NaOH). M(Zn<sup>2+</sup>) was weighed into a flask, and then M(NaOH) was added under vigorous stirring. The resultant white precipitates were separated, dried, and calcined in a similar way.

## 2.2. Characterization

The morphologies of the synthesized ZnO nanoparticles were observed on a field emission scanning electron microscope (Nova NanoSEM 450, FEI Company, USA, acceleration voltage of 3.0 kV). The average particle sizes were measured by a laser particle size analyzer (Jinan Winner Particles Instruments Co.). The powder X-ray diffraction patterns were recorded on a Rigaku RAD-2X instrument with Cu K $\alpha$  radiation at 40 kV. The photos of droplets in microemulsions were taken on an optical microscope (EC300, Shanghai Optical Instruments Co.).

## 3. Results and discussion

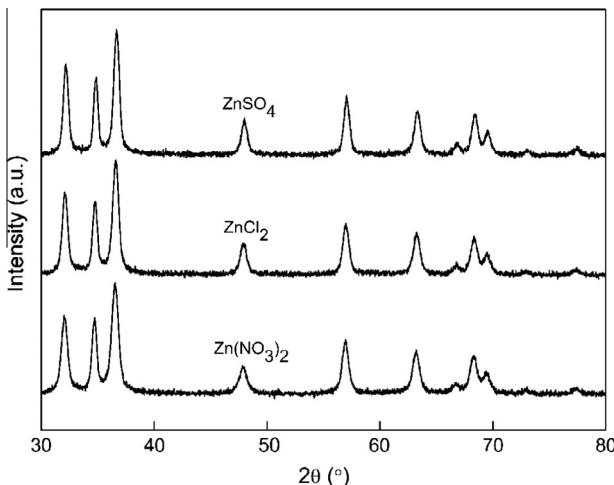
In the microchannel reaction system, three phases are involved in the reaction: droplets of M(Zn<sup>2+</sup>) and droplets of M(NaOH) dispersed in the organic phase (Fig. 3). A prerequisite in the synthesis reaction is the merging of one droplet of M(Zn<sup>2+</sup>) with the one of M(NaOH). In the present study, M(Zn<sup>2+</sup>) and M(NaOH) were prepared under identical conditions with the same aqueous solution content. Fig. 4 shows the photos of the aqueous droplets in M(NaOH) and in M(Zn(NO<sub>3</sub>)<sub>2</sub>). The droplets in both M(NaOH) and M(Zn(NO<sub>3</sub>)<sub>2</sub>) were well dispersed in the oil phases. The diameters of the droplets were measured in the photos, and the average sizes of droplets were calculated. The average size of the droplets in M(NaOH) (1.2  $\mu$ m) was comparable to that in M(Zn(NO<sub>3</sub>)<sub>2</sub>) (1.4  $\mu$ m). When M(NaOH) and M(Zn(NO<sub>3</sub>)<sub>2</sub>) were fed by two separate syringe pumps, the droplets of M(Zn<sup>2+</sup>) and M(NaOH) were passed through the microchannels of the micromixer alternatingly in most cases. When the droplet of M(Zn<sup>2+</sup>) collides with the one of M(NaOH), a merging of the droplets may take place, leading to the formation of a larger droplet. It is in this merged droplet that Zn<sup>2+</sup> reacts with OH<sup>-</sup> to generate Zn-containing precipitates, which are the precursor of ZnO nanoparticles. This merged droplet serves as a reaction cell, and, as a result, the synthesis reaction occurs in a confined space. Therefore, the synthesis reaction will terminate when no Zn<sup>2+</sup> source is available, thus making the fast reaction controllable and preventing the formation of substantially larger particles. Another favorable advantage of this approach is that the resultant precipitates are entrapped in the droplet, which is dispersed in the organic phase, thus avoiding the deposition of solid product on the microchannel walls.



**Fig. 4.** Photos of the aqueous droplets in M(NaOH) (a) and M(Zn(NO<sub>3</sub>)<sub>2</sub>) (b).

### 3.1. Effect of Zn<sup>2+</sup> source

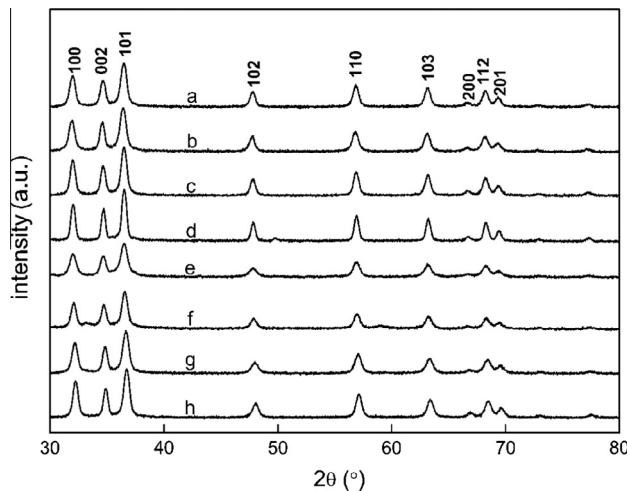
Three Zn<sup>2+</sup> sources were used in the investigation and the synthesis was conducted under the following conditions: 50 °C, 2.0 MPa, feed flow rate 2.0 mL/min, respectively, NaOH



**Fig. 5.** XRD patterns of ZnO nanoparticles synthesized from different  $Zn^{2+}$  sources.

concentration 1.0 mol/L,  $Zn^{2+}$  concentration 0.5 mol/L. Fig. 5 shows the XRD patterns of the ZnO nanoparticles synthesized from  $ZnSO_4$ ,  $Zn(NO_3)_2$ , and  $ZnCl_2$ , respectively. Only the diffraction peaks characteristic of hexagonal ZnO structure were observed, indicating that pure ZnO crystals were obtained from the different  $Zn^{2+}$  sources. The particle diameter ( $D$ ) was calculated according to the Debye–Scherrer equation ( $D = 0.89 \lambda / \beta \cos \theta$ ). It is found that the crystallite sizes were 13.0, 27.0, and 10.4 nm for  $ZnCl_2$ ,  $ZnSO_4$ , and  $Zn(NO_3)_2$ , respectively. It suggests that the  $Zn^{2+}$  source markedly affects the formation and crystallization of  $Zn(OH)_2$  in the microreactor system. Among them,  $Zn(NO_3)_2$  was the most suitable  $Zn^{2+}$  source in the synthesis of ZnO nanoparticles.

Srikanth and Jeevanandam investigated the effect of anions ( $Cl^-$ ,  $SO_4^{2-}$ ,  $NO_3^-$ , and  $CH_3COO^-$ ) on the size and morphology of synthesized ZnO particles in urea-induced homogeneous precipitation [28]. They also found that the anion affected the morphology and size of the synthesized ZnO particles. They proposed that the anions serve as the surface modifiers, influencing the nucleation and the growth of the crystallites.



**Fig. 6.** XRD patterns of ZnO nanoparticles synthesized with different  $Zn^{2+}$  source and various concentrations. (a)  $C_{NaOH} = 1.2$  M,  $C_{ZnSO_4} = 0.6$  M; (b)  $C_{NaOH} = 1.0$  M,  $C_{ZnSO_4} = 0.5$  M; (c)  $C_{NaOH} = 0.8$  M,  $C_{ZnSO_4} = 0.4$  M; (d)  $C_{NaOH} = 0.6$  M,  $C_{ZnSO_4} = 0.3$  M; (e)  $C_{NaOH} = 1.2$  M,  $C_{Zn(NO_3)_2} = 0.6$  M; (f)  $C_{NaOH} = 1.0$  M,  $C_{Zn(NO_3)_2} = 0.5$  M; (g)  $C_{NaOH} = 0.8$  M,  $C_{Zn(NO_3)_2} = 0.4$  M; (h)  $C_{NaOH} = 0.6$  M,  $C_{Zn(NO_3)_2} = 0.3$  M.

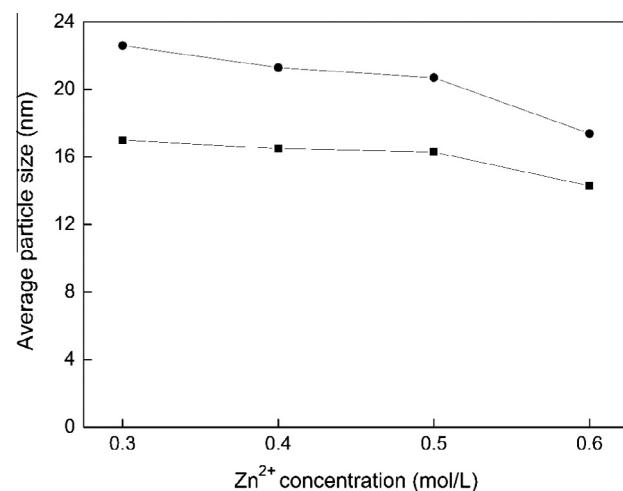
### 3.2. Effect of $Zn^{2+}$ concentration

In the precipitation of  $Zn(OH)_2$ , the reaction rate depends on both the  $Zn^{2+}$  concentration and reaction temperature from the viewpoint of reaction kinetics. The effects of  $Zn^{2+}$  concentration were investigated in the synthesis of ZnO nanoparticles from  $ZnSO_4$  and  $Zn(NO_3)_2$ . The reaction conditions were as follows: feed flow rates of  $M(Zn^{2+})$  and  $M(NaOH)$ , 2.0 mL/min, respectively; temperature, 50 °C;  $NaOH/Zn^{2+}$  molar ratio, 2.0; pressure, 2.0 MPa. The  $Zn^{2+}$  concentration was varied in the range of 0.3–0.8 mol/L. Fig. 6 illustrates the XRD patterns of ZnO nanoparticles synthesized at various concentrations of  $ZnSO_4$  and  $Zn(NO_3)_2$ . Only hexagonal crystalline phase was detectable in each sample, regardless of the  $Zn^{2+}$  sources and their concentrations. The dependence of the average particle size on the  $Zn^{2+}$  source and concentration is illustrated in Fig. 7. As shown in Fig. 5, the  $Zn^{2+}$  source significantly affected the particle size of the obtained ZnO nanoparticles. The average sizes of ZnO nanoparticles synthesized from  $ZnSO_4$  were 1.2–1.3 times those of the nanoparticles from  $Zn(NO_3)_2$  at the same  $Zn^{2+}$  concentrations. The difference may relate to the different rate constants of  $ZnSO_4$  and  $Zn(NO_3)_2$  with NaOH. Because the anion valence of  $SO_4^{2-}$  is higher than that of  $NO_3^-$ , the ionic strength of  $SO_4^{2-}$  is larger, resulting in a decline in  $Zn^{2+}$  activity of  $ZnSO_4$ . It is indicated that the average size of ZnO nanoparticles also depended on the  $Zn^{2+}$  concentration, decreasing with increasing the  $Zn^{2+}$  concentration for both  $ZnSO_4$  and  $Zn(NO_3)_2$ . According to the crystallization kinetics [29], the crystal average size ( $D$ ) and nucleation rate ( $B$ ) are determined by:

$$D = \frac{4E_s V_m}{vRT \ln S} \quad (1)$$

$$B = Z_c \exp \left[ -\frac{16\pi E_s^3 V_m^2 N_a}{3v^2 (RT)^3 \ln^2 S} \right] \quad (2)$$

where  $N_a$  is Avogadro's number;  $R$  is the gas constant;  $Z_c$  is the frequency factor;  $E_s$  is the surface energy;  $S$  is the supersaturation degree;  $V_m$  is the molar volume of the molecule;  $v$  is the number of particles per molecule of solute. Both  $D$  and  $B$  are a function of the saturation  $S$ , which is linked with the solute concentration. The saturation  $S$  of ZnO precursors in the present synthesis system is highly related with the reaction rate of  $Zn^{2+}$  with  $OH^-$ . The reaction depends on the concentrations of both  $Zn^{2+}$  and  $OH^-$ . At higher concentrations of  $Zn^{2+}$  and  $OH^-$ , the saturation of ZnO precursors is



**Fig. 7.** Dependence of the average size of ZnO particles on the  $Zn^{2+}$  source and concentration. (●)  $ZnSO_4$ , (■)  $Zn(NO_3)_2$ .

more quickly established and the supersaturation degree is higher, leading to faster nucleation and smaller particle size.

### 3.3. Effect of temperature

$\text{Zn}(\text{NO}_3)_2$  was used as the  $\text{Zn}^{2+}$  source, and the concentrations of  $\text{Zn}(\text{NO}_3)_2$  and  $\text{NaOH}$  were 0.5 and 1.0 mol/L, respectively. The synthesis was conducted at a flow rate of 2.0 mL/min and various temperatures (40, 50, 60, and 70 °C). It is known that high temperature may lead to de-emulsification. To ensure that no de-emulsification occurred in the temperature range,  $\text{M}(\text{NaOH})$  and  $\text{M}(\text{Zn}(\text{NO}_3)_2)$  were fed separately into the microreactor system at the same flow-rate as in the synthesis runs. No de-emulsification of either  $\text{M}(\text{NaOH})$  and  $\text{M}(\text{Zn}(\text{NO}_3)_2)$  was observed, indicating that both microemulsions were stable under the synthesis conditions. The XRD patterns of  $\text{ZnO}$  nanoparticles synthesized at different temperatures are shown in Fig. 8. Pure  $\text{ZnO}$  nanocrystals were obtained in the temperature range investigated. Fig. 9 displays the variation of the average particle size with reaction temperature. A minimum average particle size (10.4 nm) was observed at 50 °C when increasing the reaction temperature from 40 to 70 °C. At low temperatures, the formation rate of the precipitated precursor was low, and thus the supersaturation degree was low, which was unfavorable to the nucleation but favorable to the growth of the

particles. Although the formation rate of the precipitates was accelerated at high temperatures, the nucleation rate might not be significantly increased due to the reduced saturation degree. On the other hand, the growth of the particles might be enhanced at high temperatures, leading to larger  $\text{Zn}(\text{OH})_2$  nanoparticles.

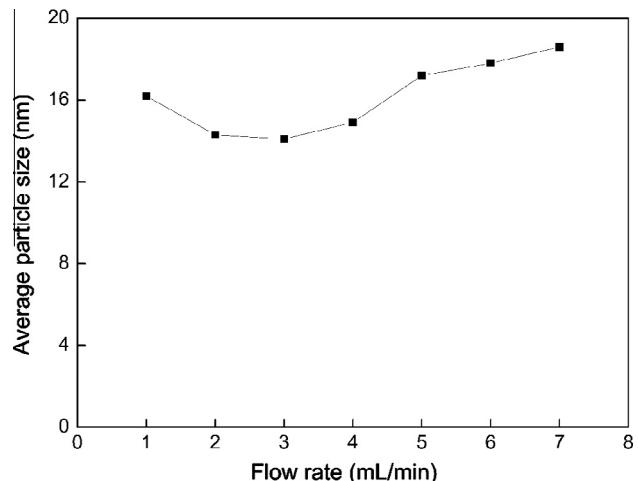


Fig. 10. The average size of  $\text{ZnO}$  particles as a function of feed flow rate in the synthesis from  $\text{Zn}(\text{NO}_3)_2$  at 50 °C.

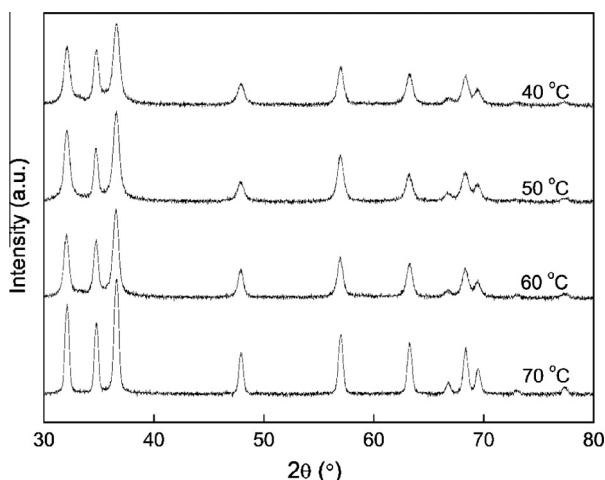


Fig. 8. XRD patterns of  $\text{ZnO}$  nanoparticles synthesized at different temperatures.

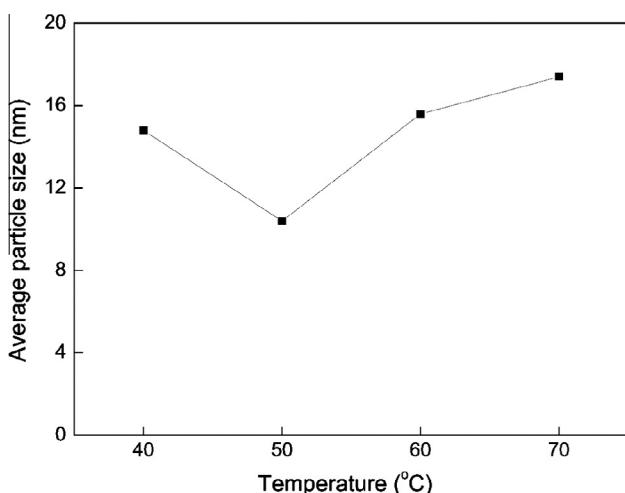


Fig. 9. The average size of  $\text{ZnO}$  particles synthesized at different temperatures.

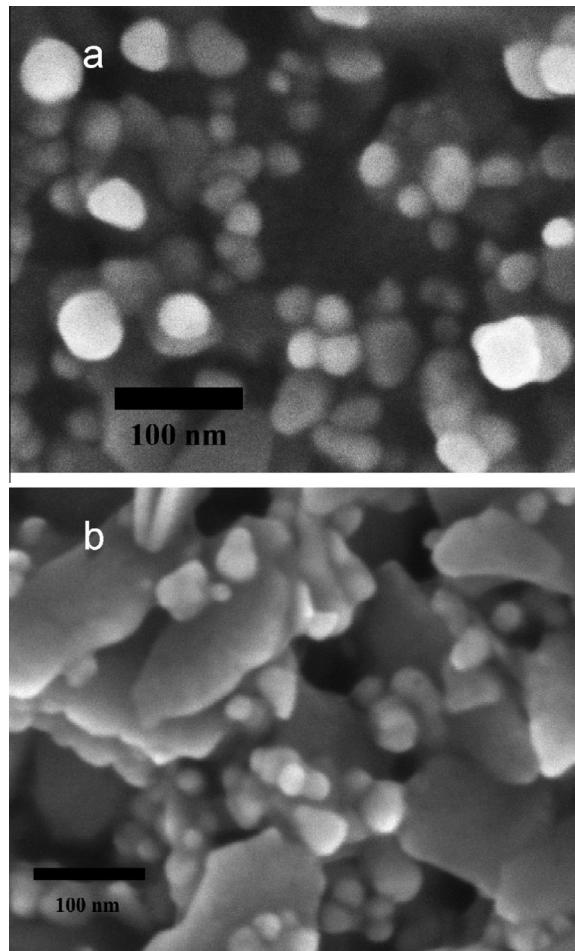
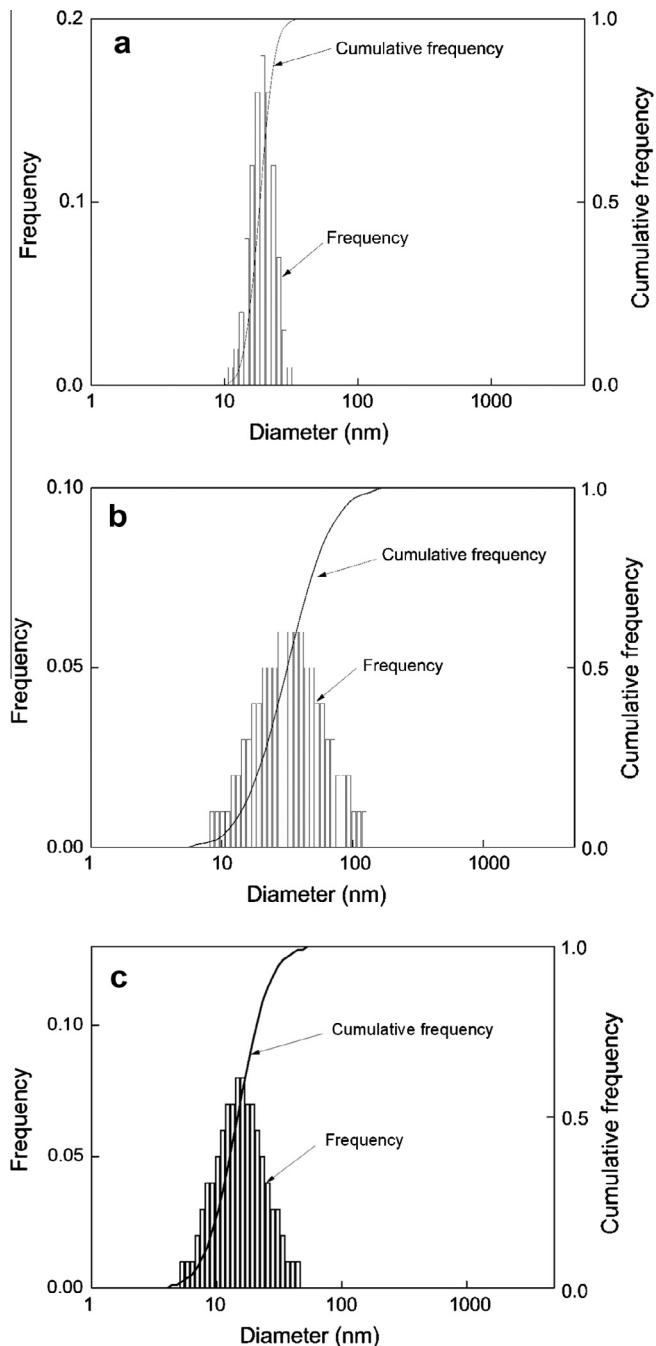


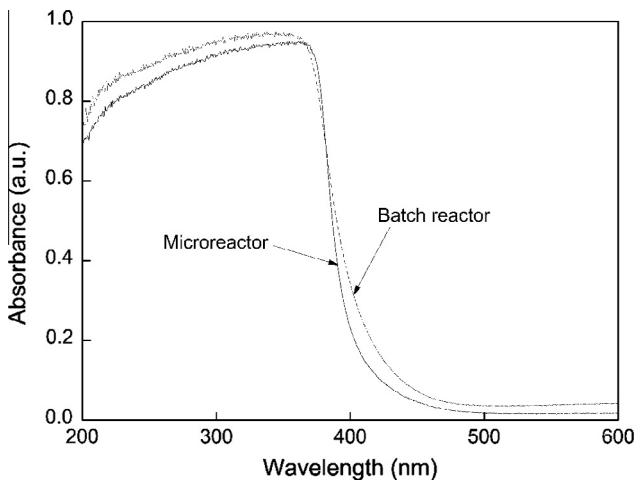
Fig. 11. SEM images of  $\text{ZnO}$  particles synthesized in the microreactor (a) and in the batch reactor (b).



**Fig. 12.** Size distributions of ZnO nanoparticles synthesized in different reactors. (a) Microreactor. (b) Batch reactor. (c) Mixing by T-joint.

#### 3.4. Effect of feed flow rate

$Zn(NO_3)_2$  was used as the  $Zn^{2+}$  source, and the concentrations of  $Zn(NO_3)_2$  and NaOH were 0.5 and 1.0 mol/L, respectively. The synthesis reaction was conducted at 50 °C and 2.0 MPa, and the feed flow rate was varied from 2.0 to 6.0 mL/min. The variation of the average size of ZnO nanoparticles with the feed flow rate is shown in Fig. 10. The average particle size of ZnO did not change markedly with feed flow rate. At low flow rates (2.0–4.0 mL/min), the average particle size was reduced slightly with the feed flow rate. At low flow rates, the increased residence time allowed for the completion of both synthesis reaction and crystallization in the reaction system. At high flow rates, the residence time was probably long enough for the completion of synthesis reaction, because



**Fig. 13.** UV-visible absorption spectra of ZnO synthesized in different reactors.

the synthesis reaction is substantially fast. However, the reduced residence time at high flow rate might be not long enough for the completion of the precipitate crystallization. The crystallization might continue to take place in the collection container at the outlet of the reaction system, leading to the formation of larger particles.

#### 3.5. Comparison of the microreactor with a batch reactor

For comparison, a batch reactor was used to synthesize ZnO nanoparticles from the two emulsions. The synthesis reaction conditions were as follows: temperature 50 °C;  $Zn(NO_3)_2$  concentration 0.5 mol/L; NaOH concentration 1.0 mol/L, pressure 0.1 MPa, and time 3 h. The synthesis was conducted in a flask under stirring. The post-treatment was the same as that in the microreactor. The obtained ZnO nanoparticles synthesized from different reactors were characterized by means of scanning electron microscopy (SEM) observation, measurement of particle size distribution and UV-visible absorption spectroscopy. Both the SEM images (Fig. 11) and particle size distribution curves (Fig. 12a and b) indicated that the ZnO nanoparticles synthesized in the microreactor were smaller and more narrowly distributed in size than those synthesized in the batch reactor. The UV-visible absorption spectra (Fig. 13) indicated that the absorption edge for the ZnO nanoparticles synthesized in the microreactor was slightly blue-shifted, compared with those synthesized in a batch reactor, probably due to the size effects [30].

To clarify the role of the micromixer in the synthesis, the micromixer was replaced by a T-joint (inner diameter of the pipes: 2 mm) in the continuous synthesis system. The size distribution of the obtained ZnO nanoparticles was compared with those of the particles synthesized in the microreactor and in the batch reactor (Fig. 12). It is apparent that the micromixer significantly reduced the particle size and improved the size distribution of ZnO nanoparticles.

#### 4. Conclusions

ZnO nanoparticles synthesized from microemulsions in a continuous microreactor were smaller in grain size and more narrowly distributed than those in a batch reactor. The microemulsions provide confined space for the reactants, which is favorable for controllable reaction and nucleation, avoiding the formation of large particles. In addition, the microemulsions prevent the deposition of ZnO particles on the wall of the microchannels of the reactor,

and no clogging of the microchannels occurred in the investigation. Three Zn<sup>2+</sup> sources (Zn(NO<sub>3</sub>)<sub>2</sub>, ZnSO<sub>4</sub>, and ZnCl<sub>2</sub>) were tested in the synthesis of ZnO nanoparticles. It is found that Zn(NO<sub>3</sub>)<sub>2</sub> showed best performance in the synthesis. Both Zn<sup>2+</sup> concentration and reaction temperature significantly affected the average particle sizes of the synthesized ZnO nanoparticles, probably due to their effects on the kinetics of the synthesis reaction and nucleation. The average particle size was reduced with increasing the Zn<sup>2+</sup> concentration, whereas a minimum average particle size was observed 50 °C when the reaction temperature was increased from 40 to 70 °C. The feed flow rate did not affect considerably the average particle size of ZnO nanoparticles. However, at high flow rates, larger particles were obtained, probably because the crystallization might continue after the droplets had left the reaction system. This may imply that the crystallization step was slower than the synthesis reaction.

## Acknowledgments

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