SLOTS 04 CHAPTER 3 (CONT.)

DESIGN THEORY FOR RELATIONAL DATABASES



II. DECOMPOSITION

2.1. DECOMPOSITION

- The accepted way to eliminate anomalies is the decomposition of relations
- Decomposition of a relation R involves splitting the attributes of R to make the schemas of 2 new relations

2.1. DECOMPOSITION

Definition:

```
Given a relation R(A_1,...,A_n), we say R is
decomposed into S(B<sub>1</sub>,...,B<sub>m</sub>) and
T(C_1,...,C_k) if:
+ \{A_1,...,A_n\} = \{B_1,...,B_m\} \cup \{C_1,...,C_k\}
+ S = \prod_{B1...Bm} (R)
+ T = \prod_{C1...Ck}(R)
```

Example: Decomposition

title	year	length	genre	studioName	starName
Star Wars	1977	124	SciFi	Fox	Carrie Fisher
Star Wars	1977	124	SciFi	Fox	Mark Hamill
Star Wars	1977	124	SciFi	Fox	Harrison Ford
Gone With The Wind	1939	231	drama	MGM	Vivien Leigh
Wayne's World	1992	95	comedy	Paramount	Dana Carvey
Wayne's World	1992	95	comedy	Paramount	Mike Meyers

title	year	length	genre	studioName
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	V	
title	year	starName
Star Wars	1977	Carrie Fisher
Star Wars	1977	Mark Hamill
Star Wars	1977	Harrison Ford
Gone With	1939	Vivien Leigh
Wayne's W	1992	Dana Carvey
Wayne's W	1992	Mike Meyers

Discuss

title	year	length	genre	studioName
Star Wars	1977	124	SciFi	Fox
Gone With The Wind	1939	231	drama	MGM
Wayne's World	1992	95	comedy	Paramount

title	year	starName
Star Wars	1977	Carrie Fisher
Star Wars	1977	Mark Hamill
Star Wars	1977	Harrison Ford
Gone With	1939	Vivien Leigh
Wayne's W	1992	Dana Carvey
Wayne's W	1992	Mike Meyers

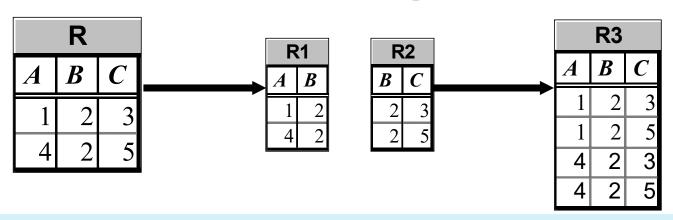
- The redundancy is eliminated (the length of each film appears only once)
- The risk of an update anomaly is gone (we only have to change the length of Star Wars in one tuple)
- The risk of a deletion anomaly is gone (if we delete all the stars for Gone with the wind, that deletion makes the movie disappear from the right but still be found in the left)

2.1. DECOMPOSITION

The Good & Bad

- We observed that before we decompose a relation schema into BCNF, it can exhibit anomalies; That's the "Good"
- However, decomposition can also have some bad:
 - Maybe we can't recovery the original information; OR
 - ■After reconstruction, the FDs maybe not hold

Example: Loss of information after decomposition



- ■Suppose we have R(A,B,C) but neither of the FD's B->A nor B->C holds.
- ■R is decomposed into R1 and R2 as above
- When we try to re-construct R by Natural Join of R1 and R2, we have: R3 = R1 X R2 (but R3 <> R1 => We lost information)

Example: Dependency Loss

If we check the projected FD's in the relations of the decomposition, can we can be sure that when we reconstruct the original relation from the decomposition by joining, the result will satisfy the original FD's?

NORMALIZATION

III.1. DEFINITIONS

- ☐ Multivalued Attributes (thuộc tính đa trị)
- ☐ Atomic values (thuộc tính nguyên tố)
- □ non-key attribute (thuộc tính không khoá)
- □ Partial Dependency (phụ thuộc bộ phận)
- ☐ Transitive Dependency (phụ thuộc bắc cầu)

1. Multivalued Attributes

• Multivalued Attributes (or repeating groups): non-key attributes or groups of non-key attributes the values of which are not uniquely identified by (directly or indirectly) (not functionally dependent on) the value of the Primary Key (or its part).

Multivalued Attributes

Multi Value Or repeating groups

StudentID	StudentName	Address	HouseName	HouseColor	Su e	t SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	Englis	sh \$50	В
7.10					Maths	\$50	A
					Info T	Tech \$100	B+

FDs = { StudentID→StudentName, Address, HouseName, HouseColor, Subject→SubjectCost, StudentID, subject →Grade}

2. Partial Dependency

Partial Dependency – when a non-key
 attribute is determined by a part, but not the
 whole, of a COMPOSITE primary key.

3. Transitive Dependency

 Transitive Dependency – when a non-key attribute determines another non-key attribute.

III.2. NORMAL FORMS

- First Normal Form
 - Second Normal Form
 - Third Normal Form
- Boyce-Codd Normal Form
- Fourth Normal Form
- Fifth Normal Form
- Domain-Key Normal Form

First normal form 1 NF

■ 1NF A relation R is in first normal form (1NF)

if and only if

all underlying domains contain atomic values only.



StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English Maths Info Tech	\$50 \$50 \$100	B A B+

No 1NF. There are repeating groups (subject, subjectcost, grade)

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English Maths Info Tech	\$50 \$50 \$100	B A B+

How can you make it 1NF?

Create new rows so each cell contains only one value

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
					Maths	\$50	A
					Info Tech	\$100	B+



StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

But now look – is the *studentID* primary key still valid?

No – the studentID no longer uniquely identifies each row

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

You now need to declare studentID and subject together to uniquely identify each row.

So the new key is **StudentID** and **Subject**.

So. We now have 1NF.

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

Is it 2NF?

Second normal form 2NF

A relation R is in 2NF if and only if

it is in 1NF and every non-key attribute is fully dependent on the primary key

StudentName & Address are dependent on studentID (which is part of the key)

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

But they are not dependent on **Subject** (the other part of the key)

And 2NF requires...

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

All non-key fields are dependent on the ENTIRE key (studentID + subject)

So it's not 2NF

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

How can we fix it?

Convert to 2NF

Make new tables

- Make a new table for each primary key field
- Give each new table its own primary key
- Move columns from the original table to the new table that matches their primary key...

Step 1

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

Step 2

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

SUBJECTS TABLE (key = Subject)

Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

Step 3

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English	\$50	В
19594332X	Mary Watson	10 Charles Street	Bob	Red	Maths	\$50	A
19594332X	Mary Watson	10 Charles Street	Bob	Red	Info Tech	\$100	B+

STUDENT TABLE (key = StudentID)					
StudentID StudentName Address HouseName HouseColor					
19594332X	Mary Watson	10 Charles Street	Bob	Red	

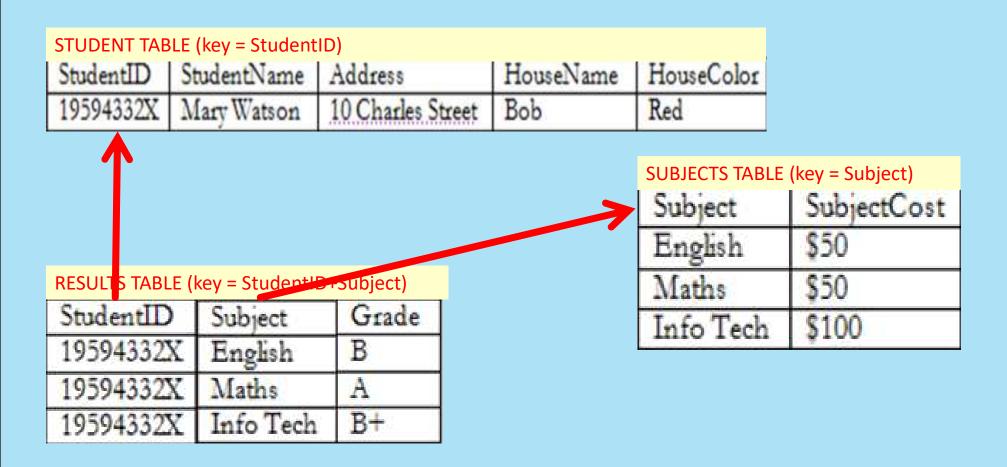
RESULTS TABLE (key = StudentID+Subject)

StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

SUBJECTS TABLE (key = Subject)

Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

Step 4 - relationships



Each student can only appear ONCE in the student table

STUDENT TABLE (key = StudentID)					
StudentID	StudentName	Address	HouseName	HouseColor	
19594332X	Mary Watson	10 Charles Street	Bob	Red	

RESULT	TABLE (key = StudentID+Sabject)
--------	--------------------------------	---

StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

SUBJECTS TABLE	(key = Subject)
----------------	-----------------

Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

Each subject can only appear ONCE in the subjects table

STUDENT TABLE (key = StudentID)					
StudentID	StudentName	Address	HouseName	HouseColor	
19594332X	Mary Watson	10 Charles Street	Bob	Red	

BECLUT	TABLE //	y = StudentID+Sabject)
RECHILI		$V = \langle f (d \cap f) \rangle$
IVESUEL	I ADLL INC	y - Studentille abjects

StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

CLIDIECTS TABLE	(1/0)	, _ Ch	i
SUBJECTS TABLE	I K 🖰 I	/ = Suu	ICCI.
		, 0	, – – –

Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

A subject can be listed MANY times in the results table (for different students)

STUDENT TABLE (key = StudentID)				
StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

RESULT	TABLE (key = StudentID+Sabject	E)
--------	--------------------------------	----

StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

A student can be listed MANY times in the results table (for different subjects)

STUDENT TABLE (key = StudentID)				
StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

RESULT	TABLE (key = StudentID+Sabject)
--------	---------------------------------

StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

SUBJECTS TABLE	(key = Subject)
----------------	-----------------

Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseNam
19594332X	Mary Watson	10 Charles Street	Bob

RESULT TABLE (key = StudentID+Sabject)

StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

SubjectCost is only dependent on the primary key,
Subject

SUBJECTS TABLE (key = Subject)

Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

1

SUBJECTS TABLE (key = Subject)

Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

StudentID	Sweject	Goade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

Grade is only dependent on the primary key (studentID + subject)

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

SUBJECTS TABLE (key = Subject)

/		
\1	Name, Address are only	
	dependent on the	
\	primary key (o o)	1
	(StudentID)	<u>_</u>
\		

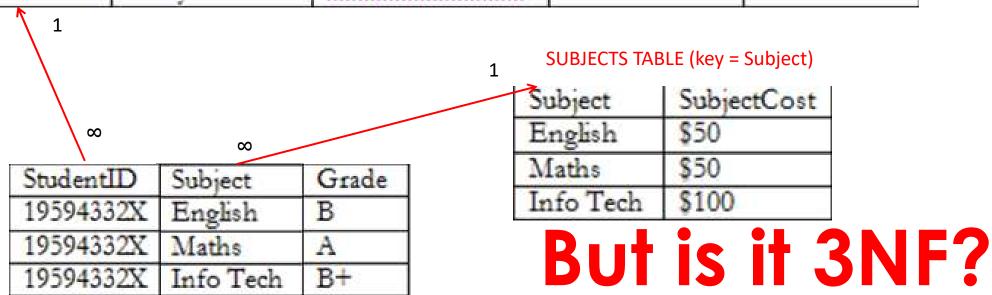
Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

		_ ~ ~
StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

So it is 2NF!

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red



Third normal form 3NF

A relation R is in 3NF if and only if

it is in 2NF and every non-key attribute is non-transitively dependent on the primary key

Third normal form 3NF

An attribute **C** is **transitively dependent** on attribute **A** if there exists an attribute B such that:

A->B and **B->C**

3NF

Note that

- ☐ 3NF is concerned with transitive dependencies (which do not involve candidate keys).
- A relation with more than one candidate key will clearly have transitive dependencies of the form:

primary_key -> other_candidate_key ->
any_non-key_column

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

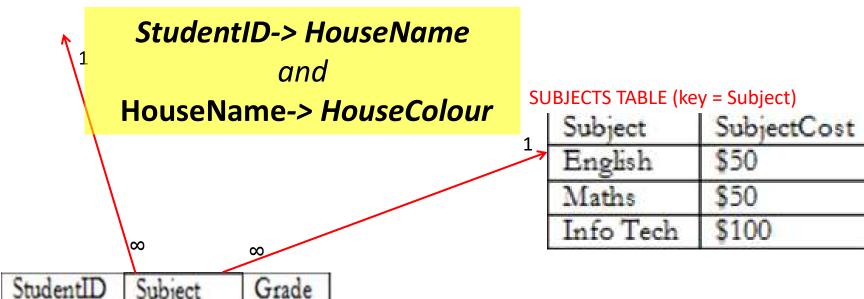
SUBJECTS TABLE (key = Subject)

StudentID	Subject	Grade
19594332X	English	B ∞
19594332X	Maths	A
19594332X	Info Tech	B+

7	Subject	SubjectCost
	English	\$50
1	Maths	\$50
	Info Tech	\$100

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red



StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red
1.	But eithe	er way,		
	non-key fi	elds are		
\	depende	ent on	SUBJECTS TABLE (ke	•
	MORE TH	AN THE	Subject	SubjectCost
			English	\$50
	PRIMAR	RYKEY	Maths	\$50
	_ (stude	ntlD	Info Tech	\$100

	\	
StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

And 3NF says that non-key fields must depend on nothing but the key

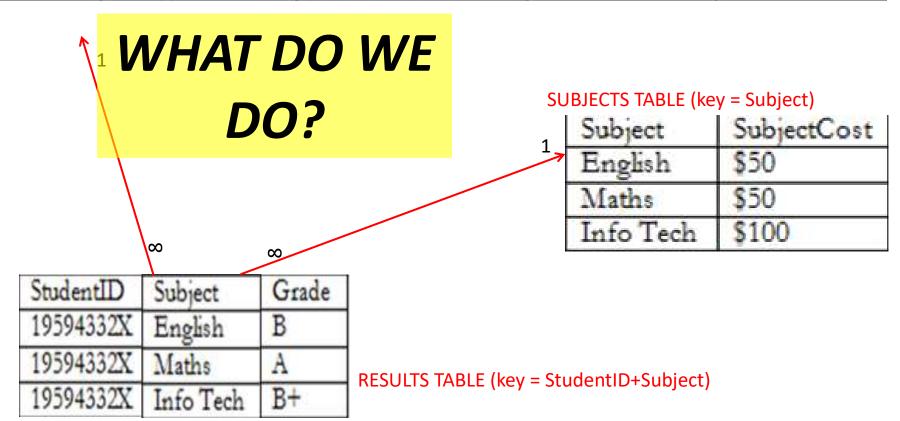
SUBJECTS TABLE (key = Subject)

	Subject	SubjectCost
7	English	\$50
	Maths	\$50
	Info Tech	\$100

StudentID Subject Grade
19594332X English B
19594332X Maths A
19594332X Info Tech B+

STUDENT TABLE (key = StudentID)

StudentID	StudentName	Address	HouseName	HouseColor
19594332X	Mary Watson	10 Charles Street	Bob	Red

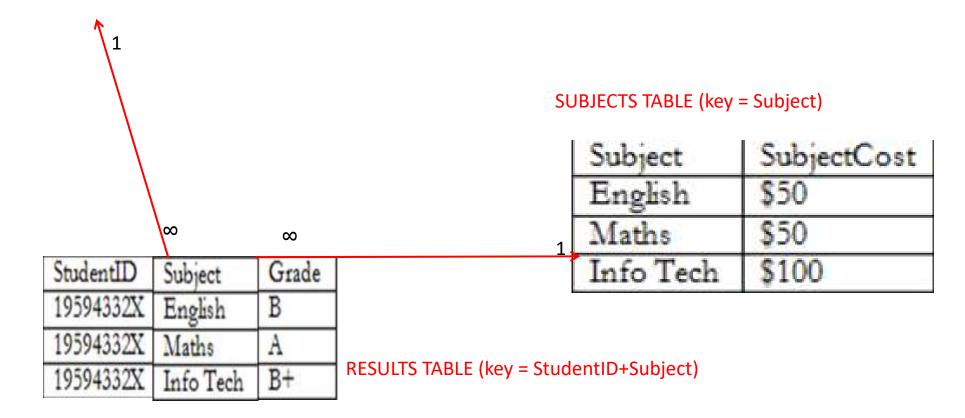


Again, carve off the offending fields

Student Table

StudentID	StudentName	Address	HouseName
19594332X	Mary Watson	10 Charles Street	Bob

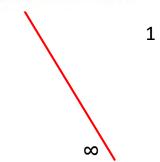
Primary key: StudentID



StudentTable

StudentID	StudentName	Address	HouseName
19594332X	Mary Watson	10 Charles Street	Bob

Primary key: StudentID



HouseTable

HouseName	HouseColor	
Bob	Red	

Primary key: HouseName

StudentID	Subject	Grade
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+ [∞]

RESULTS TABLE (key = StudentID+Subject)

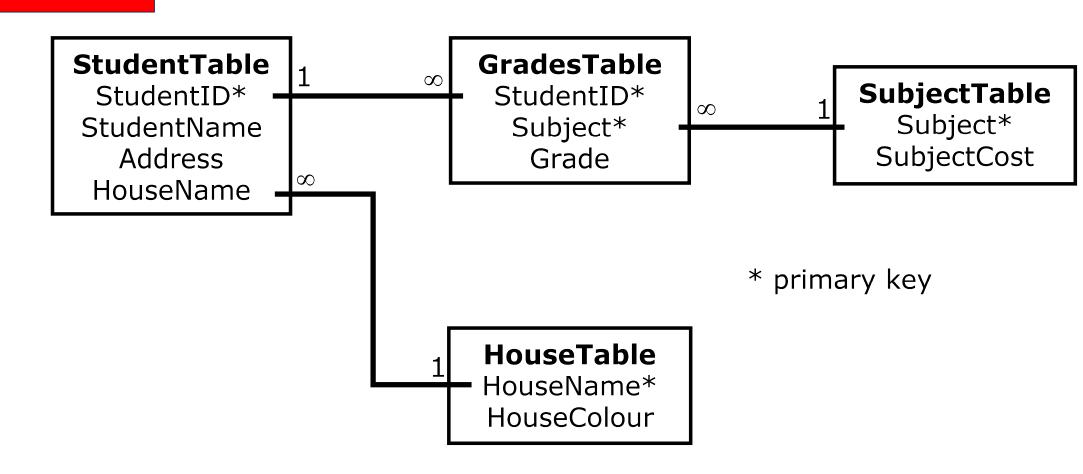
SUBJECTS TABLE (key = Subject)

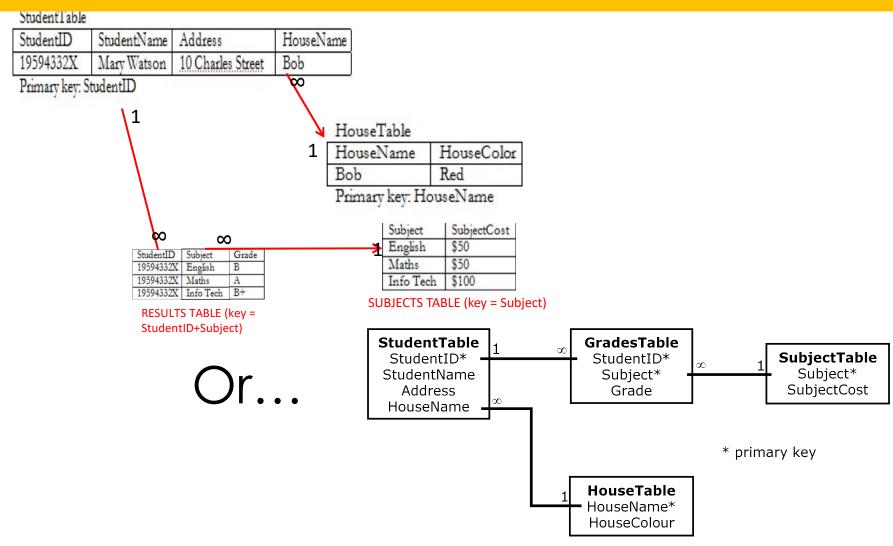
Subject	SubjectCost
English	\$50
Maths	\$50
Info Tech	\$100

StudentTable

	SCHOOL SECTION AND ASSESSMENT OF THE SECTION OF THE	an ingazina and a second		Acceptable to the second second		
StudentID	StudentName	Address	/~	HouseName		
19594332X	Mary Watson	10 Charl	les Street	Bob		
Primary key: Stu	dentID	111				
			`			
\			1			
\				HouseTable	SAME TO A SPECIAL PROPERTY.	
\				HouseName	HouseColor	
\				Bob	Red	
\				Primary key: H	louseName	
\						
\				SU	JBJECTS TABLE (key	= Subject)
\				ı		
\∞	∞			1	Subject	SubjectCost
StudentII	C. Line	Grade			English	\$50
					Linguisti	200
19594332	0	В		Ī	Maths	\$50
19594332		A			Mauis	200
19594332	X Info Tech	B+			Info Tech	\$100
RESUITS	TABLE (key = 5	StudentID-	+Subject)	L		4.00

Or...





Before...

The Reveal

StudentID	StudentName	Address	HouseName	HouseColor	Subject	SubjectCost	Grade
19594332X	Mary Watson	10 Charles Street	Bob	Red	English Maths Info Tech	\$50 \$50 \$100	B A B+

After...

StudentTable

StudentID	StudentName	Address	HouseName
19594332X	Mary Watson	10 Charles Street	Bob

Primary key: StudentID

HouseTable

HouseName	HouseColor
Bob	Red

Primary key: HouseName

1		
StudentID	Subject	Grade -
19594332X	English	В
19594332X	Maths	A
19594332X	Info Tech	B+

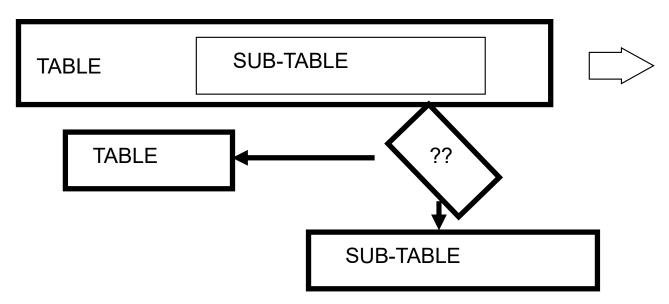
RESULTS TABLE (key = StudentID+Subject)

ւ	Subject	SubjectCost
→	English	\$50
	Maths	\$50
	Info Tech	\$100

SUBJECTS TABLE (key = Subject)

3NF No transitive dependencies

Table contains data from an embedded entity with non-key attributes.



BCNF is the same, but the embedded table may involve key attributes.

BCNF

A relation R is in BCNF if and only if:
Whenever there is a Non-Trivial FD
A₁A₂...A_n -> B₁B₂...B_m for R, it is the case that:
{A₁,...,A_n} is a super-key for R

■That is: the left side of every Non-Trivial FD must be a super-key

BCNF decomposition algorithm (self studying)

- Input: A relation R with a set of FD's F
- Output: A BCNF decomposition of R with lossless join
- **→** Method:
 - At each step compute the key for the sub-relation R
 - ■if not in BCNF, pick any FD X->Y which violates
 - break the relation into 2 sub-relations
 - **■**R1(XY)
 - **■**R2(S Y)
 - this has a lossless join
 - project FD's onto each sub-relation
 - continue until no more offending FD's

3NF decomposition algorithm – self studying

- Input: A relation R with a set of FD's F
- Output: A decomposition of R into a collection of relations, all of which are in 3NF. This decomposition has a lossless join and dependency-preservation.

■ Method:

- Find minimal basic for F, say G.
- ightharpoonup
 ig
- ■If none of the sets of relations from Step 2 is a super key for R, add another relation whose schema is a key for R.

Summary 1

- Decompose a relation into BCNF is a solution for eliminating anomalies
- But BCNF can cause information loss and dependency loss
- ■3NF is a relax solution of BCNF that keep loss-less join and dependency-preservation properties

Summary 2:

2NF	3NF	Boyce-Codd
every nonprime attribute <i>A</i> in <i>R</i> is not partially	a <i>nontrivial</i> functional dependency: <i>X</i> => <i>A</i> holds in <i>R</i> , either	a <i>nontrivial</i> functional dependency <i>X</i> => <i>A</i> holds in <i>R</i> , then:
dependent on <i>any</i> key of R	(a) X is a superkey of R, or(b) (b) A is a prime attribute of R.	a) X is a superkey of R

Note:A functional dependency X => Y is a **full functional dependency** if removal of any attribute A from X means that the dependency does not hold any more; A **partial functional dependency** is not a **full functional dependency**

EXERCISE 1

Exercise 3.5.2: Consider the relation Courses(C, T, H, R, S, G), whose attributes may be thought of informally as course, teacher, hour, room, student, and grade. Let the set of FD's for Courses be $C \to T$, $HR \to C$, $HT \to R$, $HS \to R$, and $CS \to G$. Intuitively, the first says that a course has a unique teacher, and the second says that only one course can meet in a given room at a given hour. The third says that a teacher can be in only one room at a given hour, and the fourth says the same about students. The last says that students get only one grade in a course.

- a) What are all the keys for Courses?
- b) Verify that the given FD's are their own minimal basis.
- c) Use the 3NF synthesis algorithm to find a lossless-join, dependency-preserving decomposition of R into 3NF relations. Are any of the relations not in BCNF?

EXERCISE 2

Exercise 3.5.3: Consider a relation Stocks(B, O, I, S, Q, D), whose attributes may be thought of informally as broker, office (of the broker), investor, stock, quantity (of the stock owned by the investor), and dividend (of the stock). Let the set of FD's for Stocks be $S \to D$, $I \to B$, $IS \to Q$, and $B \to O$. Repeat Exercise 3.5.2 for the relation Stocks.