# 1.

### i.

我設計的 Neural network 共為三層, input layer、hidden layer、output layer, input layer 與 output layer 固定為 784 個 neurons 與 10 個 neurons, 調整中間的 hidden layer 的 neurons 數,分別記錄下 20、40、80、160、320的 neurons 數結果。

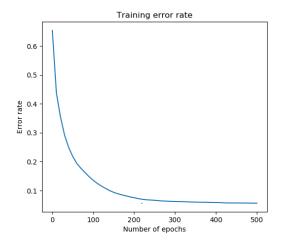
Weight 初始值為 Random initialization 讓每一層的 weight 都是用常態分佈 Bias 初始值為 0

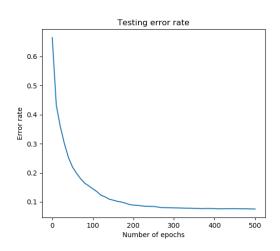
超參數設定 batch size = 10、number of epochs = 500、learning rate = 0.001

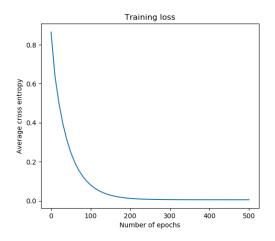
當 neural network layer 架構為[784 20 10]:

===== Epoch: 500/500 ======

train error rate: 0.0567 test error rate: 0.0756



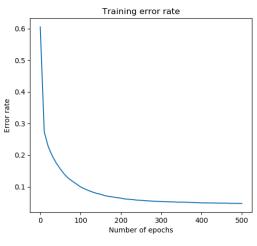


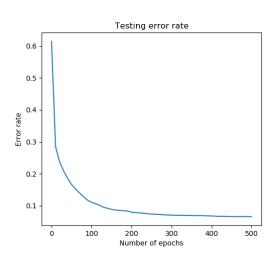


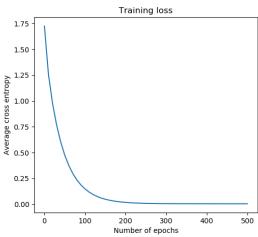
當 neural network layer 架構為[784 40 10]

===== Epoch: 500/500 ======

train error rate: 0.0472 test error rate: 0.0659



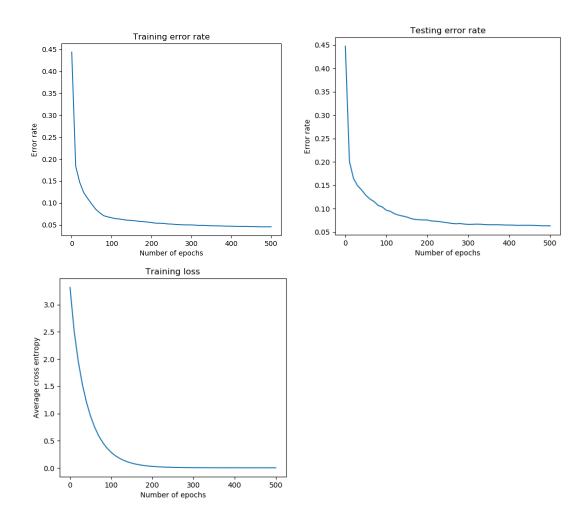




當 neural network layer 架構為[784 80 10]

===== Epoch: 500/500 ======

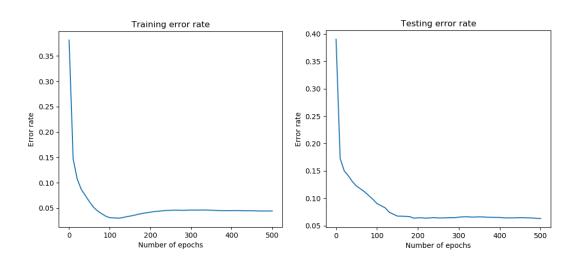
train error rate: 0.0457 test error rate: 0.0633

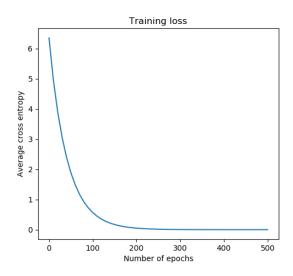


當 neural network layer 架構為[784 160 10]

===== Epoch: 500/500 =====

train error rate: 0.0444 test error rate: 0.0631





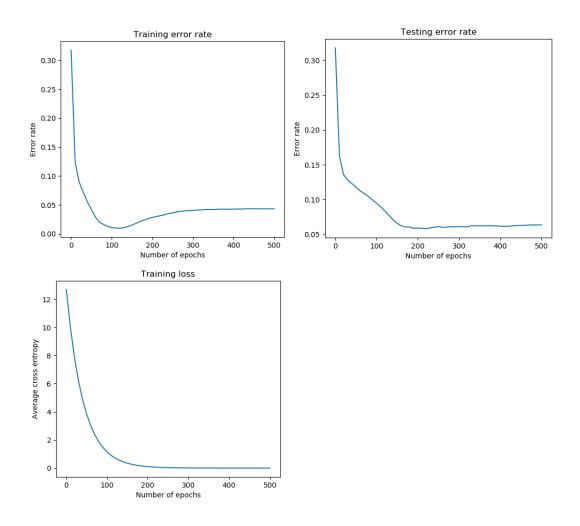
當 neural network layer 架構為[784 320 10]

===== Epoch: 220/500 ======

train error rate: 0.0317 test error rate: 0.0581

===== Epoch: 500/500 ======

train error rate: 0.0433 test error rate: 0.0635



# 討論

模型越是複雜中間 hidden layer neurons 數量越多, 模型練訓的 training error rate 與 testing error rate 下降得就越快,但各模型最後都只會快速下降到某些值後,就呈現極度緩慢下降的 error rate,從實驗結果也發現最複雜的模型[784 320 10]中,最後訓練出的結果反而不是最佳解答,而是在 Epoch: 220/500 時有最低的training error rate 與 testing error rate,之後更新參數的模型反而越是 overfitting,由此可知調整超參數對於深度學習是非常重要的一環,不同模型因複雜程度的不同、餵入的資料的不同,應調整適合的參數,才可得到最佳的模型。

## ii.

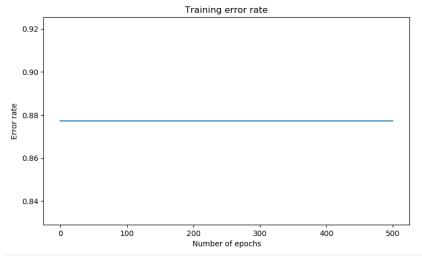
上題實驗結果為 weights 設置為 random initializations, 本題測試調整 weights 為  $\mathbf{0}$  。

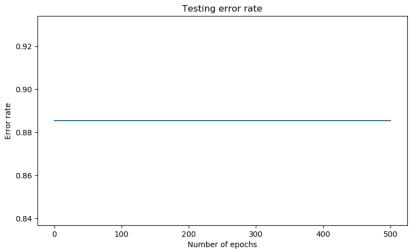
Weight 初始值為 0 Bias 初始值為 0

超參數設定 batch size = 10、number of epochs = 500、learning rate = 0.001

neural network layer 架構為[784 20 10]

#### 實驗結果:





# 討論

以基礎公式為主的討論:

當 weight 初始值是 0 時,在做 forward 的結果,不管你訓練資料怎麼丟進模型中都會是 0 (W1 = W2 = 0)。

$$\hat{y} = w_1 x_1 + w_2 x_2 = 0$$

神經網路的 weight 是利用 Stochastic Gradient Descent 更新。

$$\mathbf{w}^{(\tau+1)} = \mathbf{w}^{(\tau)} - \eta \nabla E(\mathbf{w}^{(\tau)})$$

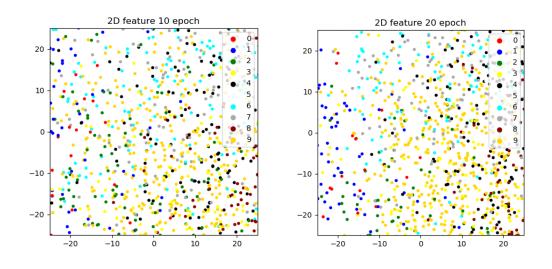
由上可知 Gradient Descent 不管怎麼做都是 0, weight 的 更新不管更新幾次結果都一樣,如實驗結果 training error rate 與 testing error rate 都沒有變化。

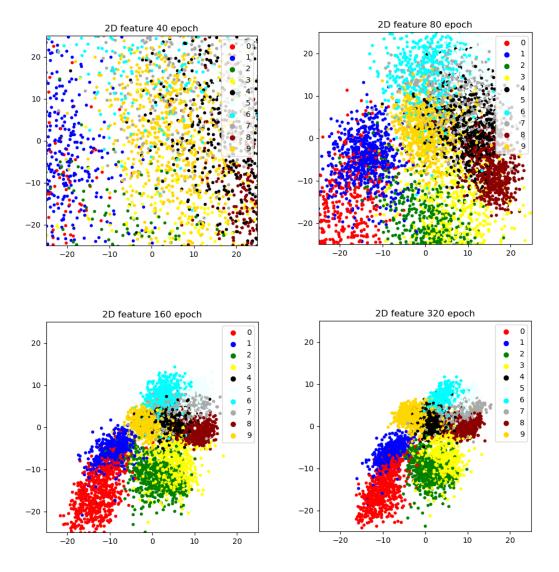
# iii.

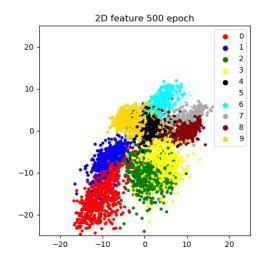
超參數設定 batch size = 10、number of epochs = 500、learning rate = 0.001

Weight 初始值為 Random initialization 讓每一層的 weight 都是用常態分佈 Bias 初始值為 0

這題我使用的 neural network layer 架構為[784 40 2 10]並取出第二層 hidden layer(兩個 neurons)的值,當作平面 x,y 兩座標繪圖,並記錄 10、20、40、80、160、320、500 epochs 時的狀態。







# 討論

前面提到模型在訓練初期時,training error rate 與testing error rate 會快速下降,我們也可以從圖上發現epoch 在 10~160 的圖中,圖上的 10 種點快速變化,各值間明顯的分類出來,而 160~500 後的圖形,變化較不明顯,training error rate 與 testing error rate 下降的幅度變小。

另外也可以發現圖上各個群聚的點代表如 2、3 有部分可視 的點重疊率很高,可以從講義上提供的藏文數值上

2 3

9 9

發現兩值手寫確實蠻相似的,所以特徵值上有些許接近,

越接近的兩類群,在肉眼視手寫值上,也確實有部分筆畫 特徵相似



# iv.

分別記錄下不同 neural network 架構的模型,對 train data 與 test data 的 crosstab

當 neural network layer 架構為[784 20 10]:

train error rate: 0.0567 test error rate: 0.0756

==== te	st dat	a cros	stab =							
predict	0	1	2	3 4	5	6	7	8	9	
labels	642	16	A	0 0	0	1	0	0	0	
0.0	643 4	652		0 0	0	1	0	Ö	3	
1.0 2.0	7	18 5	116 4		ŏ	ŏ	ŏ	ŏ	ő	
3.ŏ		ŤŠ Ť	42 52	6 2	3 1	Ž	š	11	Ŏ	
3.0 4.0 5.0	1 1 0	0	42 52 0		1	15	3 1	35	21	
5.0		0	0	5 2	382	3	10	3	2	
6.0	0 2 0	0	Ŏ	1 4 2 8 4 17	10	474	9	3 3 3	1 3 0	
7.0 8.0	2	0	0	Z 8	9	13 1	409 6	541	<u>خ</u> 0	
9.0	Ö	3	0 0 0 0 0	5 43	9 3 3	4	3	3	613	
tr		tacro			,	7		,	013	
predict	o o	1	2	3	4	5	6	7	8	9
labels										-
0.0	1291	35	6 3	0	0	0	Ŏ	0	Õ	0
1.0	6	1460	1105	- l	0	0	0	0	0	3
2.0	10	28 4	1185 47	63 1123	0 5	0	0 1	1 16	0 17	0 3 1 1
3.0 4.0	0 1 0	0	0		1213	2	11	10	48	
5.ŏ	Ô	ŏ		š	7	88Ŏ	21	16	, , , , , , , , , , , , , , , , , , ,	77
6.0	Ŏ	Ŏ	0 0 2 0 1	0 3 2 5 9 7	11	20	1061	ii	9 7 3	42 7 4 7
7.0		0	2	5	12	7	23	794	3	
8.0	0 0 0	0 1 2	0	9	28	4	3	10	1015	0
9.0	0	2	1	7	67	4	3	6	5	1298

當 neural network layer 架構為[784 40 10]:

train error rate: 0.0472 test error rate: 0.0659

==== te	st dat	a cros	sstab =								
predict	0	1	2	3 4	5	6	7	8	9		
labels											
0.0	644	16		0 0	0	2	0	0	0		
1.0	2	655	2	0 0	0	0	0	0	2		
2.0	7	13	529 3	5 0	0	0 2	0 5 0	0 9	0 0		
3.0	1	4	37 53	9 2	1 0	2	5	9	0		
4.0	1	0	1 0	1 585		12	0	28	23		
2.0 3.0 4.0 5.0	0	0	0	1 585 3 2 2 2	386	1	11 7	3	1		
6.0 7.0	0 2 0	0	0	5 0 9 2 1 585 3 2 2 2 0 8 6 17	8	481	7		1		
7.0	2	0	1	0 8	9 3 2	8 2 3	415	4	2		
8.0		0		6 17	3	2	4 2	540	0		
9.0	0	4	4	4 43	2	3	2	2	614		
===== tr			osstab								
predict	0	1	2	3	4	5	6	7	8	9	
labels											
0.0	1297	30	3	Ō	0	0	1	0	0	1	
1.0	5	1461		_1	0	0	0	0 2 16	0	3	
2.0	4	25	1207	50	0	0	0	_2	0	0	
2.0 3.0 4.0	0	3	45	1134	. 0	0	1 4	16	15	1 3 0 2 39	
4.0	1	0	0	0	1229	0		1	42	39	
5.0	0	0	0	4	4	894	13	14	8	6	
6.0	0	0	0	2	4	9	1083	11	8 5 3	6 2 4	
7.0	0	0 1 1	1	4 2 4 7	29	9 8 3 1	12	812	3		
8.0	0	1	0	7	29	3	4	9	1017	0	
9.0	0	2	0	6	73	1	5	3	3	1300	

當 neural network layer 架構為[784 80 10]:

train error rate: 0.0457 test error rate: 0.0633

==== te		a cros			regard)				V-2-1	
predict	0	1	2	3 4	5	6	7	8	9	
labels	642	19	1	0 0	0	2	0	0	0	
0.0 1.0	042	654		0 0	ő	2 0	ŏ	ŏ	2	
2.0	2 7		531 3	š ŏ	ŏ	ŏ		ŏ	ő	
3.ŏ	i	4	35 53	9 3	ľ	0 5	ž	1Ŏ	Ŏ	
3.0 4.0	1	0 0	1	0 588	0	11	0	28	22	
5.0	0	0	0	2 2	390	1	0 2 0 9 6	3 2 3	0	
6.0	ŏ	0	0	0 2	7	484		2	1 3	
7.0 8.0	1 0 0 2 0	0	1	2 2 0 2 1 6 5 17	9	ŏ	416	543	0	
o.u 9.0	0	3	3	4 42	9 1 3	8 3 2	3	2	616	
		ta cro		<del>- 4</del> 2	,	2	,	4	010	
predict	ŭ	1	2	3	4	5	6	7	8	9
labels										
0.0	1298	30	4	0	0	0	0	0	0	0
1.0	5 6	1461	4	1	Ŏ	Ŏ	0	0	Ŏ	2
2.0 3.0	b	26	1207	46	0	0	2 1	16	0	0
4.0	0	4 0	37 0	1143	1233	Ö	6	16 0	14 40	37
5.0	0	ŏ	ŏ	š	4	897	17	11	75	6
6.0	ŏ	ŏ	ŏ	0 3 2 3 6	5	10	1081	10	5 6	ž
7.0	0	1	0 3	3	9	7	14	808	2	2 6
8.0	0	1	0	6	31	1	4 3	7	1020	0
9.0	0	1	0	4	71	1	3	6	3	1304

當 neural network layer 架構為[784 160 10]:

train error rate: 0.0444 test error rate: 0.0631

==== te:	st dat	a cro	sstab =		1.72	6			-	
predict	0	1	2	3 4	5	6	7	8	9	
labels										
0.0	643	17	2	0 0	Ŏ	2	0	Ŏ	0	
1.0	2	655	- 2	0 0	Ŏ	Ŏ	Ŏ	Ŏ	2	
2.0	7		530 [3	34 0	0	ñ	0 3 0	Ŏ	Ŏ	
3.0	ļ	3 0	36 54	1 500	1	Š	3	٥,	0 23	
4.0	1	V	1 0	0 588	0	0 5 9 1		29	23	
1.0 2.0 3.0 4.0 5.0 6.0	ň	0 0	ň	3 2 1 2	388	480	9 7	2	1	
7.0	0 0 2 0	ň	0 2 0	1 2 2 6 4 18	8 9 2 2	400	415	8 29 3 3	i _	
8.0	ń	0 0	ń	4 18	5	4	415	540	ó	
9.Ŏ	ŏ	š	ď.	3 36	2	2	4 3	ž	623	
			osstab		_		_	_		
predict	0	1	2	3	4	5	6	7	8	9
labels										
0.0	1301	26	4	0	0	0	0	0	0	1
1.0 2.0	5	1463	2	.1	0	0	0	0 1	0	2 0
2.0	6	23	1210	47	0	0	ļ	1	.0	0
3.0	0	3 0	39	1148	0	0	1	13	11	1
4.0 5.0	0	Ŏ	1	0	1231	0	.5	.0	41 6	38
5.0	Ň	0	0	4	4	894	14	15	þ	b
6.0 7.0	0 0 0	0	Ü	4	5 9	12	1078	11 810	6 2	6 2 5
7.0 8.0	Ů	0	0 2 0	4 2 4 7	28	5 2	16	7	1020	0
0.0 9.0	Ö	1	Ŏ	4	20 64	1	5 3	ś	3	1312
9.0	U	1	V	4	04	1	ر		5	1312

當 neural network layer 架構為[784 320 10]:

train error rate: 0.0433 test error rate: 0.0635

==== te	st dat	a cros	sstab =							
predict	0	1	2	3 4	5	6	7	8	9	
labels										
0.0	643	18		0 0	0	2	0	0	0	
1.0	2	654		0 0	0	0	0	0	2	
2.0	6	12		7 0 1 3	0	0	0	0	0	
3.0	1	2 0	36 54	1 3	1	4	0 3 0	8	1	
4.0	ĺ	0	1	0 587	. 0	10		29	23	
1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0	0	0 0	0 0 1	2 2 1 2 1 8 5 17	389	1	9 6	4 2 2	0	
6.0	0 2 0	0	Q.	1 2	8 9 2 2	482		2	1	
7.0	2	0 0 3	1	1 8	9	9	416		1	
8.0		0		5 17	2	4 2	4 3	540	0	
	. 0.		4	4 37	2	2	3	2	621	
==== tr		ita cro	osstab	=		-	,			_
predict	0	1	2	3	4	5	6	7	8	9
labels	1200	0.0		_	^	^		^	^	
0.0	1300	26	4	Ō.	Ŏ	Ŏ	1	Ŏ	Ŏ	1
1.0 2.0	4	1464	2	1	Ŏ	Ŏ	0	0	0	2 0
2.0	6	22	1210	48	Ŏ	Ŏ	Ţ	1.4	.0	Ų
3.0 4.0 5.0	0	3	40	1146	1025	0	1 4	14	11	1
4.0	1	0	0	0	1235	0		0	40	36
5.0	0	0	0	3	3	900	13	12	6	0
6.0	0	0	0 2 0	3 2 4 7 5	3 5 9	9	1082	10	6	6 2 4
7.0	0	0 1	2	4 7	22	6	15	811	2 1022	0
8.0	0	2	U		27 63	1	5 3	7 5	1022	1310
9.0	U	2	1	2	03	1	د	)	3	1510

## 討論

實驗數據發現,模型在辨識 2、3 時的出錯率較高,由上一題的繪圖座標也能發現 2、3 有較相近的特徵值,部分的點也有些重疊。

	0	1	2	3	4	5	6	7	8	9
0	659	2	1	0	0	0	2	0	0	0
1	2	657	2	0	0	0	0	0	0	0
2	2	8	548	26	0	0	0	0	0	0
3	2	1	33	550	2	1	4	4	3	0
4	1	0	1	2	611	0	6	0	9	21
5	0	0	0	2	1	398	2	4	0	0
6	1	0	0	1	1	3	489	6	1	0
7	2	0	0	1	4	5	2	431	1	3
8	0	0	0	1	7	1	3	0	560	0
9	0	2	1	0	18	3	1	3	1	649

跟講義上的 crosstab 表比對後,我模型生成的 crosstab 在辨識結果出錯較多的也和講義上差不多。

2與3,4與9的預測上,出錯最高

## 2 與 3:

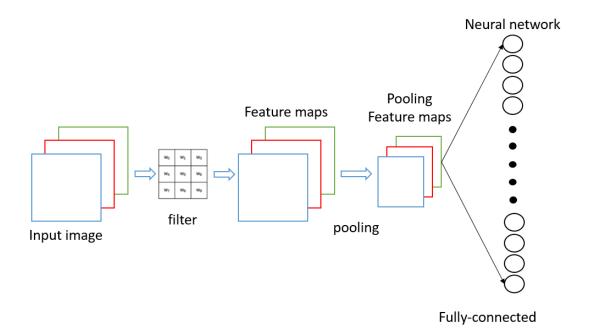


## 4與9



兩組值,也確實在部分特徵筆畫上有些相似。

i. 首先根據 train. csv 與 test. csv 檔案中各張圖片的臉部座標去裁減 image 中的各張臉,由於每張裁減的臉部圖片大小不一樣,所以需要做 resize 把圖片大小統一,我統一的大小為 64\*64,我使用的工具為 python cv2。根據 csv 檔的標籤(good, bad, none),給各張圖一個label,接著再做 Convolutional。



Input image 64\*64 經過 3x3 filter 後,形成 62\*62 的 feature maps,再做 pooling (每 2\*2 中,選出最大值),成 31\*31 的 Pooling feature maps 再 reshape 成 1\*961 對神經網路做全連結,因為圖片有 rgb 性質,所以第一層的 Neural network 有 961\*3 = 2883 個 nodes。

# ii.

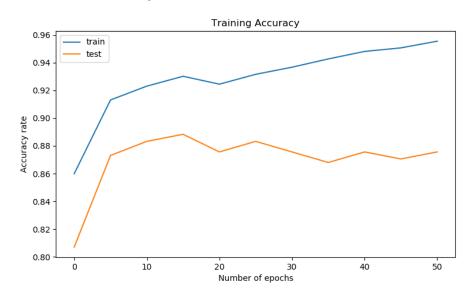
Weight 初始值為 Random initialization 讓每一層的 weight 都是用常態分佈 Bias 初始值為  $\mathbf{0}$ 

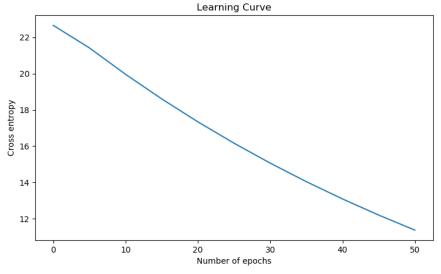
超參數設定 batch size = 5、number of epochs = 50、learning rate = 0.001

#### Filter:

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

#### neural network layer 架構為[2883 160 3]:





===== Epoch: 1/50 =====

Loss: 22.668

train accuracy rate: 0.8599 test accuracy rate: 0.8071 ===== Epoch: 10/50 ======

Loss: 19.966

train accuracy rate: 0.9230 test accuracy rate: 0.8832 ===== Epoch: 20/50 ======

Loss: 17.342

train accuracy rate: 0.9244 test accuracy rate: 0.8756 ===== Epoch: 30/50 ======

Loss: 15.064

train accuracy rate: 0.9366 test accuracy rate: 0.8756 ===== Epoch: 40/50 ======

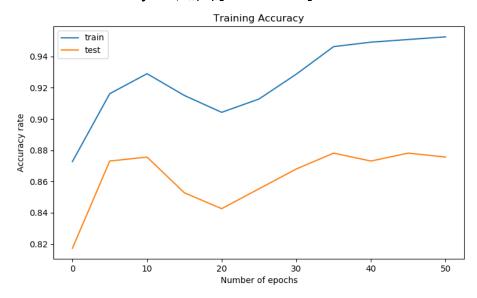
Loss: 13.085

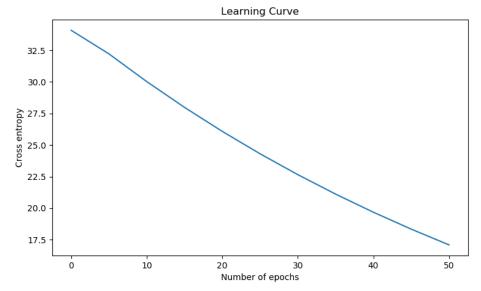
train accuracy rate: 0.9480 test accuracy rate: 0.8756 ===== Epoch: 50/50 =====

Loss: 11.366

train accuracy rate: 0.9554 test accuracy rate: 0.8756

#### neural network layer 架構為[2883 240 3]:





===== Epoch: 1/50 =====

Loss: 34.093

train accuracy rate: 0.8727 test accuracy rate: 0.8173 ===== Epoch: 10/50 ======

Loss: 30.030

train accuracy rate: 0.9290 test accuracy rate: 0.8756 ===== Epoch: 20/50 =====

Loss: 26.084

train accuracy rate: 0.9043 test accuracy rate: 0.8426 ===== Epoch: 30/50 ======

Loss: 22.657

train accuracy rate: 0.9287 test accuracy rate: 0.8680 ===== Epoch: 40/50 =====

Loss: 19.681

train accuracy rate: 0.9491 test accuracy rate: 0.8731 ===== Epoch: 50/50 ======

Loss: 17.096

train accuracy rate: 0.9526 test accuracy rate: 0.8756

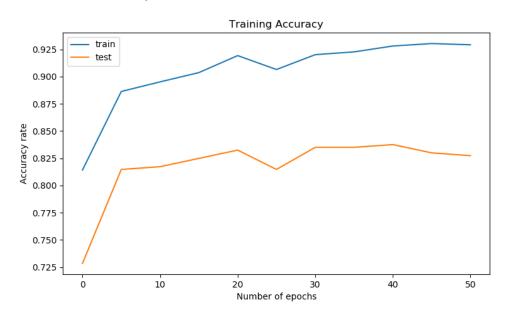
Weight 初始值為 Random initialization 讓每一層的 weight 都是用常態分佈 Bias 初始值為 0

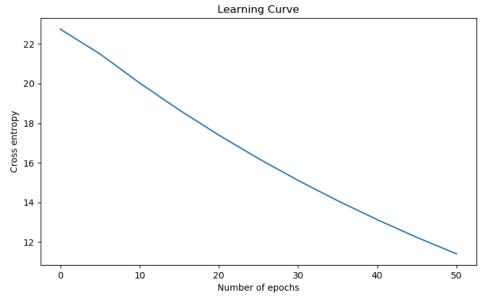
超參數設定 batch size = 5、number of epochs = 50、learning rate = 0.001

Filter:

$$\left[ egin{array}{cccc} 0 & -1 & 0 \ -1 & 5 & -1 \ 0 & -1 & 0 \ \end{array} 
ight]$$

neural network layer 架構為[2883 160 3]:





===== Epoch: 1/50 =====

Loss: 22.748

train accuracy rate: 0.8142 test accuracy rate: 0.7284 ===== Epoch: 10/50 =====

Loss: 20.034

train accuracy rate: 0.8952 test accuracy rate: 0.8173 ===== Epoch: 20/50 ======

Loss: 17.401

train accuracy rate: 0.9193 test accuracy rate: 0.8325 ===== Epoch: 30/50 ======

Loss: 15.116

train accuracy rate: 0.9202 test accuracy rate: 0.8350 ===== Epoch: 40/50 ======

Loss: 13.131

train accuracy rate: 0.9281 test accuracy rate: 0.8376 ===== Epoch: 50/50 ======

Loss: 11.407

train accuracy rate: 0.9293 test accuracy rate: 0.8274

## 討論

本題我嘗試使用兩種不同 filter 與調整 hidden layer 的 nodes 數量觀察結果,使用 sharpen 的 filter

$$\left[ egin{array}{ccc} 0 & -1 & 0 \ -1 & 5 & -1 \ 0 & -1 & 0 \ \end{array} 
ight]$$

訓練結果較使用

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

來的差,我想是因為銳化後的圖片,特徵的保留較原圖來 得少,使得訓練結果較差。

這次的模型,沒有因為 epochs 數量的增加使 accuracy rate 增加,應該是我的圖片前處理沒有找到最佳的方式,讓特徵更好的表現出來。

## iii.

Weight 初始值為 Random initialization 讓每一層的 weight 都是用常態分佈 Bias 初始值為 0

超參數設定 batch size = 5、number of epochs = 50、learning rate = 0.001

Filter:

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

neural network layer 架構為[2883 160 3]:

===== Epoch: 1/50 =====

train accuracy of good: 93.08%

train accuracy of bad: 64.71%

train accuracy of none: 3.85%

test accuracy of good: 91.87%

test accuracy of bad: 65.17%

test accuracy of none: 0.00%

===== Epoch: 10/50 =====

train accuracy of good: 94.73%

train accuracy of bad: 92.04%

train accuracy of none: 20.19%

test accuracy of good: 94.70%

test accuracy of bad: 87.64%

test accuracy of none: 9.09%

test decuracy of florie. 5.0570

===== Epoch: 30/50 =====

train accuracy of good: 94.98%

train accuracy of bad: 94.81%

train accuracy of none: 44.23%

test accuracy of good: 93.29%

test accuracy of bad: 85.39%

test accuracy of none: 22.73%

===== Epoch: 50/50 =====

train accuracy of good: 97.12%

train accuracy of bad: 92.04%

train accuracy of none: 64.42%

test accuracy of good: 93.29%

test accuracy of bad: 82.02%

test accuracy of none: 36.36%

test decardey of horie. 30.307

#### neural network layer 架構為[2883 240 3]:

===== Epoch: 1/50 =====

train accuracy of good: 95.22%

train accuracy of bad: 61.76%

train accuracy of none: 4.81%

test accuracy of good: 93.29%

test accuracy of bad: 61.80%

test accuracy of none: 13.64%

===== Epoch: 10/50 =====

train accuracy of good: 95.92%

train accuracy of bad: 89.27%

train accuracy of none: 23.08% test accuracy of good: 93.99% test accuracy of bad: 83.15% test accuracy of none: 22.73% ===== Epoch: 30/50 ===== train accuracy of good: 95.01% train accuracy of bad: 85.29% train accuracy of none: 69.23% test accuracy of good: 91.17% test accuracy of bad: 83.15% test accuracy of none: 45.45% ===== Epoch: 50/50 ===== train accuracy of good: 96.13% train accuracy of bad: 96.02% train accuracy of none: 59.62% test accuracy of good: 91.52% test accuracy of bad: 91.01% test accuracy of none: 22.73%

Weight 初始值為 Random initialization 讓每一層的 weight 都是用常態分佈 Bias 初始值為 0

超參數設定 batch size = 5、number of epochs = 50、learning rate = 0.001

#### Filter:

$$\left[ egin{array}{ccc} 0 & -1 & 0 \ -1 & 5 & -1 \ 0 & -1 & 0 \ \end{array} 
ight]$$

neural network layer 架構為[2883 160 3]:

===== Epoch: 1/50 =====

train accuracy of good: 95.75% train accuracy of bad: 23.70% train accuracy of none: 3.85% test accuracy of good: 96.11%

test accuracy of bad: 14.61% test accuracy of none: 9.09% ===== Epoch: 10/50 ===== train accuracy of good: 92.94% train accuracy of bad: 81.14% train accuracy of none: 35.58% test accuracy of good: 88.69% test accuracy of bad: 74.16% test accuracy of none: 22.73% ===== Epoch: 30/50 ===== train accuracy of good: 94.45% train accuracy of bad: 86.51% train accuracy of none: 49.04% test accuracy of good: 89.75% test accuracy of bad: 77.53% test accuracy of none: 27.27% ===== Epoch: 50/50 ===== train accuracy of good: 94.38% train accuracy of bad: 93.94% train accuracy of none: 40.38% test accuracy of good: 88.69% test accuracy of bad: 83.15%

test accuracy of none: 4.55%

### (1)

根據數據, train accuracy of none 的辨識正確率最差, 我想可能原因有 none 的 train data 本身比較少,無法訓 練出好的模型。

根據圖片分析, none 是沒將口罩戴好的樣本,這樣的樣本 特徵部位較小,使再做前處理時,更容易把特徵縮小,最 後可能辨識成 bad(沒口罩)。

- 1. 增加 train data 數量
- 2. 選擇出更好的 filter 與 pooling 方式
- 3. 可嘗試更深層的 Neural network

(3)

這次模型訓練結果 overfitting 比第一題(藏文辨識)的結果更加嚴重, test accuracy rate 都比 train accuracy rate 來得低,我想是因為圖片轉換後為 3 維矩陣,高維的數據會增加模型訓練的難度,須更顧及前處裡與 neural network 的調整,