NLP and the Web - WS 2024/2025

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Lecture 1 Introduction

Dr. Thomas Arnold Hovhannes Tamoyan Kexin Wang







Ubiquitous Knowledge Processing Lab Technische Universität Darmstadt

Introduction: Teaching Staff

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Dr. Thomas Arnold Lectures



Hovhannes Tamoyan Practice Class



Kexin Wang Practice Class

Outline



UKP Lab: profile and projects

Administrative course issues

NLP 4 Web Introduction

NLP Basics / Linguistic Analysis

Who Are We?



- 1 Professor, ~5 Postdocs, ~35 Doctoral Researchers
- We mainly work in natural language processing (NLP)
- Research areas (growing every day!)

Deep Learning for NLP

Argument Mining

Content Analytics for the Social Good

Knowledge Graphs

Interactive AI and NLP

Writing Assistance and Language Learning

Teaching Concept – UKP (Lectures)

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Winter Term

Summer Term

Introductory

Information Management

Application Oriented

NLP and the Web

Ethics in NLP

Advanced

Deep Learning for NLP

Teaching Concept – UKP (Seminars & Projects)

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Software Project (irregular schedule)

Data Analysis Software Project for Natural Language

Winter 2023/24: Various Projects Winter 2024/25: Various Projects

Regular Seminar

Text Analytics / Large Language Models

Winter 2023/24: Generative Al

Summer 2024: LLMs for Mental Health Winter 2024/25: Understanding LLMs

Complementary Lectures and Seminars

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Machine Learning

- Einführung in die künstliche Intelligenz (Kersting)
- Data Mining und maschinelles Lernen (Kersting)
- Deep Learning (Kersting)

Computer Vision

Computer Vision 1 and 2 (Roth)

Natural Language Processing

- Deep Learning for NLP
- Ethics in NLP

Teaching Concept – UKP (PhD)

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Get involved early (HiWi, B.Sc. thesis, M.Sc. thesis)









WWW.PHDCOMICS.COM

More information





- Website: www.ukp.tu-darmstadt.de
- GitHub: www.github.com/UKPLab
- Social Media:











Outline



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NLP Basics / Linguistic Analysis



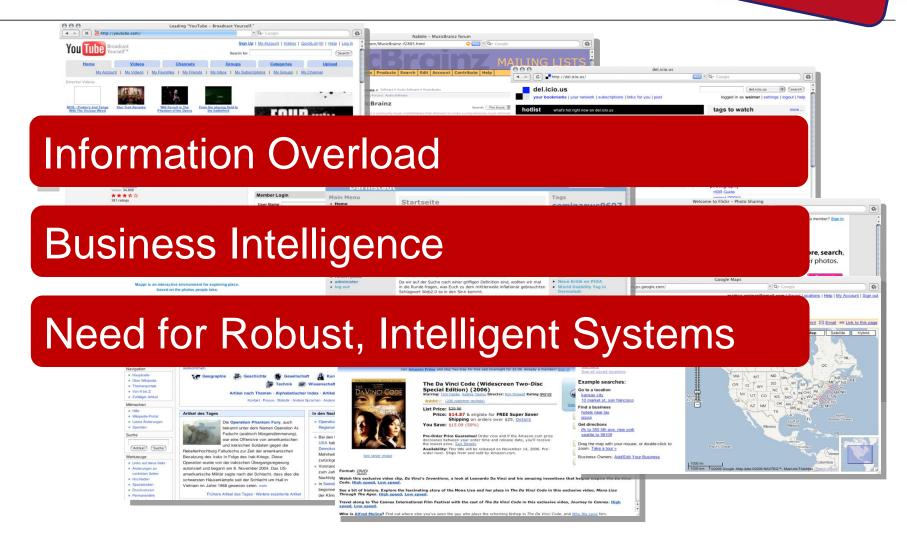
Course Goals



- Learn the basic principles underlying NLP systems
- Two big NLP topics:
 - Information Retrieval (IR)
 - Large Language Model (LLM) Applications
- Gain insight into open research problems in natural language processing

Why Care?





Textbook



Constantly updated:

- Speech and Language Processing. An Introduction to Natural Language Processing, Computational Linguistics, and Speech Recognition. Daniel Jurafsky and James H. Martin. 3nd edition, 2023 (draft).
 - afsky and James H. Martin. 3nd edition, 2023 (draft).

 https://web.stanford.edu/~jurafsky/slp3/



General Information



• All lectures and practice classes will be in person

Lectures: Tuesdays 13:30 - 15:10, S306 / 051

Practice Class: Thursdays 16:15 – 17:55, S103 / 221

 All slides, handouts, readings etc. can be found on the Moodle e-Learning platform

We also use Moodle as a central point for announcements and questions

Please use the Moodle forum!

General Information – Practice Class



- In the **practice classes**, you will work on programming exercises
 - Programming language is Python
 - First practice session will include a brief introduction to Python
 - This will give you some practical experience in NLP
 - Practice class topics are relevant for the exam! (including Python)
- In addition, there are **homework assignments** for an exam bonus:
 - Assignments will be bi-weekly 6 exercises in total
 - Each assignment is worth a maximum of 20 points
 - If you get >= 75% of the points (>= 90 points), you get a bonus
 - You can improve your grade by 0.3/0.4 IFF you pass the exam without bonus

General Information – Practice Class



- First class: October 24th (no practice class this week)
- Details will be announced in moodle
- If you need additional help regarding the practice class, use the Moodle forum

The assignments will require a significant amount of time, so start earlier than the day before submission.

Final exam



Tuesday, 25.02.2025, 15:00 More info be announced in Moodle

- Allowed: Non-programmable calculator, no other material
- Content: lecture, readings, practice class

Syllabus (tentative)

| <u>Nr.</u> | <u>Lecture</u> | | |
|------------|---|--|--|
| 01 | Introduction / NLP basics | | |
| 02 | Foundations of Text Classification | | |
| 03 | IR – Introduction, Evaluation | | |
| 04 | IR – Word Representation, Data Collection | | |
| 05 | IR – Re-Ranking Methods | | |
| 06 | IR – Language Domain Shifts, Dense / Sparse Retrieval | | |
| 07 | LLM – Language Modeling Foundations | | |
| 80 | LLM - Neural LLM, Tokenization | | |
| 09 | LLM – Transformers, Self-Attention | | |
| 10 | LLM - Adaption, LoRa, Prompting | | |
| 11 | LLM – Alignment, Instruction Tuning | | |
| 12 | LLM – Long Contexts, RAG | | |
| 13 | LLM – Scaling, Computation Cost | | |
| 14 | Review & Preparation for the Exam | | |

Warm up



Now it is your turn:

Which degree programme are you studying?

- Computer Science?
- Bachelor?
- Master?
- Other disciplines?

Warm up



Now it is your turn:

Which other UKP courses did you already attend?

- FoLT
- Ethics in Natural Language Processing
- Deep Learning for NLP
- Data Analysis Software Project
- Text Analytics / LLM Seminar

Outline



UKP Lab: profile and projects

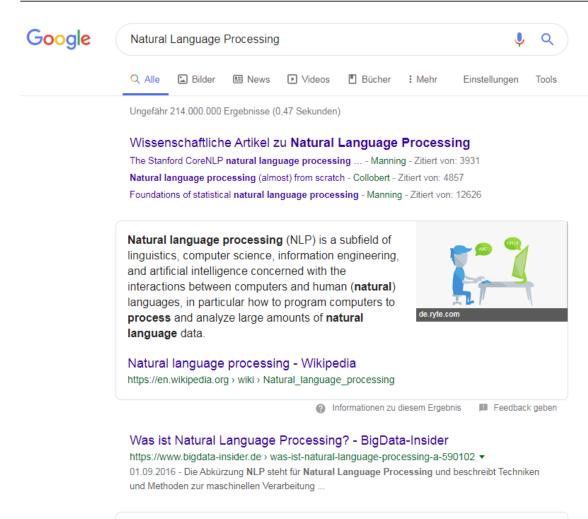
Administrative course issues

NLP 4 Web Introduction

NLP Basics / Linguistic Analysis

NLP in the Web – Search Engines

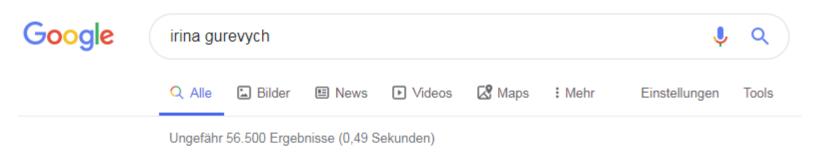






NLP in the Web – Spelling Correction





Meintest du: iryna gurevych

Staff – UKP – Technische Universität Darmstadt

https://www.informatik.tu-darmstadt.de > staff_ukp > index.en.jsp ▼ Diese Seite übersetzen Head. Prof. Dr. Iryna Gurevych Director, Ubiquitous Knowledge Processing (UKP) Lab, S2|02 B110, +49 6151 16-25290 · gurevych@ukp.informatik.tu-.

Prof. Dr. Iryna Gurevych

Prof. Dr. Iryna Gurevych. Name: Iryna Gurevych, Full Professor ...

Weitere Ergebnisse von tu-darmstadt.de »

Nadezhda Smirnova, B.A.

Nadezhda Smirnova, B.A.. Office assistant. fax +49 6151 16-25295.

Iryna Gurevych - Google Scholar Citations

scholar.google.com > citations ▼ Diese Seite übersetzen

Extracting opinion targets in a single-and cross-domain setting with conditional random fields. N Jakob, I Gurevych. Proceedings of the 2010 conference on ...







NLP in the Web – Machine Translation

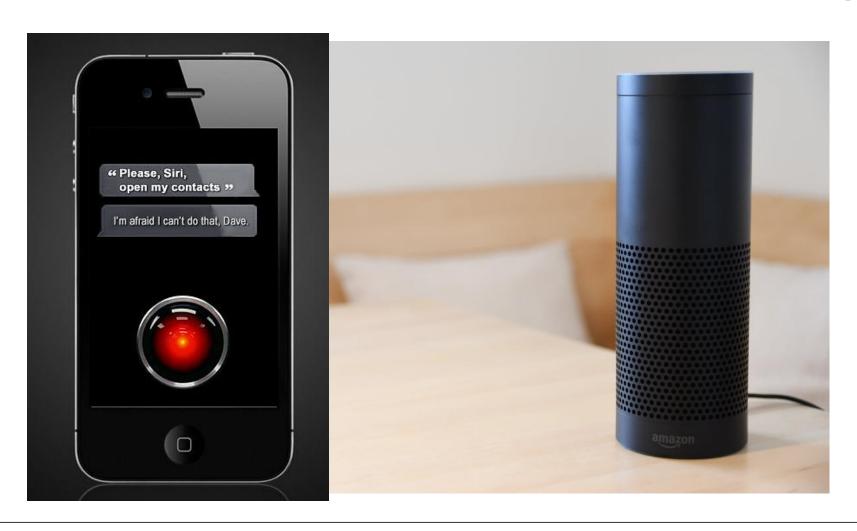


| Translate | From: English 🕶 | To: Chinese (Traditional) ▼ | Translate | | |
|---|---------------------|-----------------------------|-----------|----|--|
| English Chinese Turkish | | | | | |
| I'm selling these fine leather jackets. | | | | | |
| | | | | | |
| | | | | | |
| | | | | 9) | |
| | | | | | |
| Turkish Chinese (T | raditional) English | | | | |
| 我賣的這些 | 纤美的皮夾克 | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

New! Hold down the shift key, click, and drag the words above to reorder. Dismiss

NLP in the Web – Speech Recognition

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NLP in the Web – Plagiarism Detection



http://de.guttenplag.wikia.com/



NLP in the Web – Summarization

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News

Bereich hinzufügen

Deutschland 0

Schlagzeilen International

THE CHILLETONICA

Deutschland

Wirtschaft

Wissen/Technik

Unterhaltung

Sport

Gesundheit

Panorama

Meistgeklickt

Nachrichtenübersicht

Schlagzeilen

Schlagzeilen

Berlusconi bleibt im Amt - und ist erpressbar

tagesschau.de - vor 41 Minuten

Berlusconi hat es wieder einmal geschafft: Zum immerhin 51. Mal in drei Jahren überstand Italiens Ministerpräsident die Vertrauensfrage im Parlament. Allerdings verliert der umstrittene Politiker zunehmend Rückhalt - und ist dadurch jetzt erpressbar. ...

➡ Video: Berlusconis 51. Vertrauensfrage
➡ euronews

Italien: Parlament spricht Berlusconi Vertrauen aus FOCUS Online

ZEIT ONLINE - FAZ - Frankfurter Allgemeine Zeitung - Spiegel Online - STERN.DE

Alle 664 Artikel » ⊠ Per E-Mail senden

Euro-Länder schießen sich auf die Banken ein

Reuters Deutschland - vor 44 Minuten

Berlin/Karlsruhe (Reuters) - Im Kampf gegen die Schuldenkrise nehmen die Euro-Länder die Banken in die Zange. Sie drängen auf eine größere Beteiligung an der Rettung des Pleitekandidaten Griechenland und bestehen auf Kapitalspritzen für die ...

Fitch droht Großbanken mit Abstufung sueddeutsche.de

Drohende Herabstufung: Anleger meiden Bankaktien FOCUS Online

Hamburger Abendblatt - WELT ONLINE - Spiegel Online - AFP

Alle 461 Artikel » ⊠ Per E-Mail senden

New Yorker Protestbewegung Demonstranten dürfen im Park bleiben

Spiegel Online - vor 19 Minuten

Jubel im Zuccotti-Park: Die Demonstranten der Protestbewegung "Occupy Wall Street" dürfen vorerst bleiben. Das teilte der stellvertretende Bürgermeister von New York mit. Offenbar fürchten die Parkbetreiber die Folgen einer gewaltsamen Zwangsräumung. ...



FOCUS Online - RP ONLINE - tagesschau.de - Frankfurter Rundschau



wochenbiatt.d



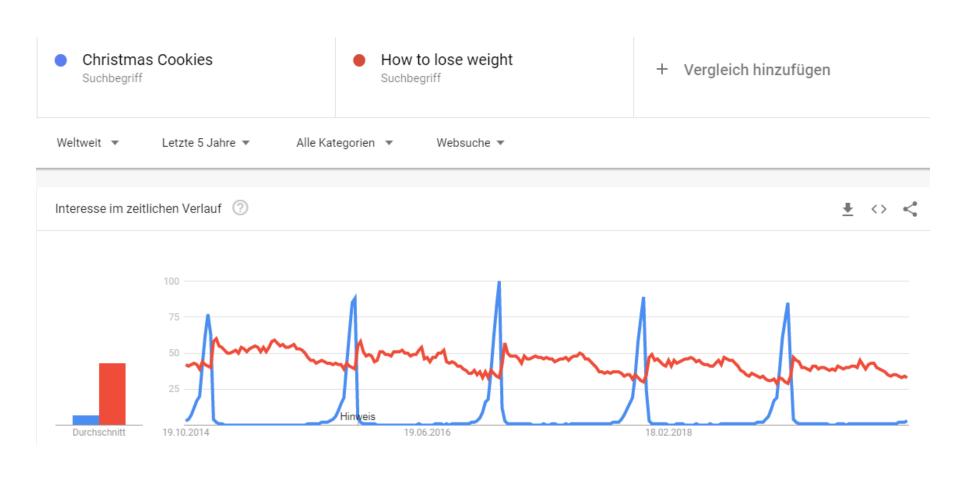
euronew



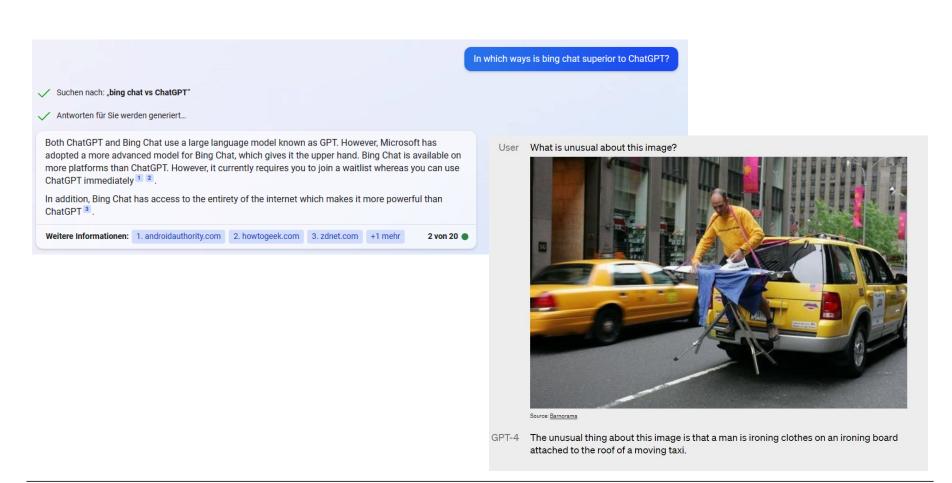
donaukurier.de

NLP in the Web – Diachronic Analysis





NLP in the Web – Text Generators



Natural Language Processing and the Web



- The web is an **application area** for NLP, e.g.:
 - Information retrieval:
 - Search engines
 - Question answering
 - News aggregation
 - Recommender Systems
 - Chatbots...
- Web is a **resource** to improve the quality of NLP, e.g.:
 - Web as a corpus
 - Analyzing web-based knowledge repositories
 - Wikipedia
 - Wiktionary
 - Recognizing synonyms, paraphrases and the like

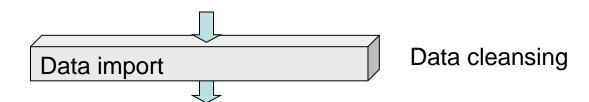
Challenges for NLP



- How to remove noise, e.g. duplicates?
- How to assess the quality of content?
- How to integrate the content of heterogeneous and scattered nature?
- How to deal with errors, e.g. spelling or grammar errors?
- How to "clean" the data?

User-generated content contains errors, smileys, abbreviations, etc.

```
Hi
Micheal,
have u seen my
posting, last week u said that u
will look in to my problem thsi week.can i ask u
now?
```



Outline

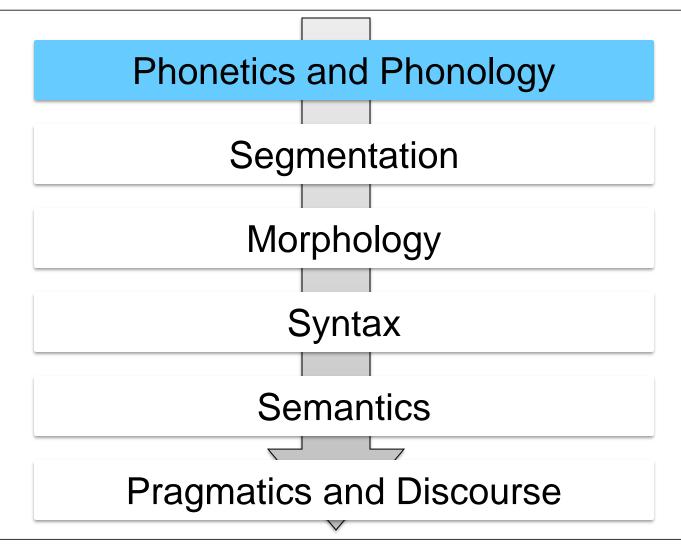
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UKP Lab: profile and projects

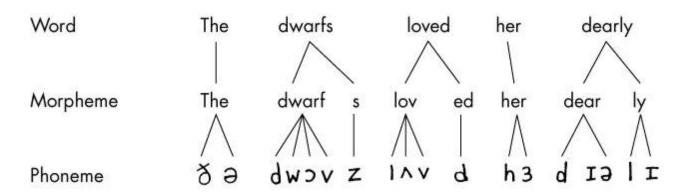
Administrative course issues

NLP 4 Web Introduction

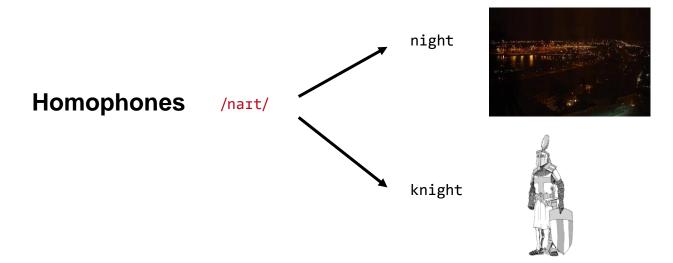
NLP Basics / Linguistic Analysis

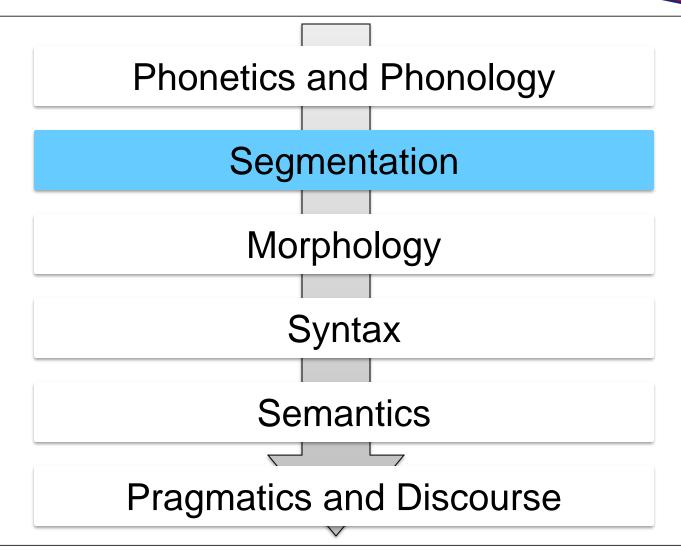


Phonetics and Phonology

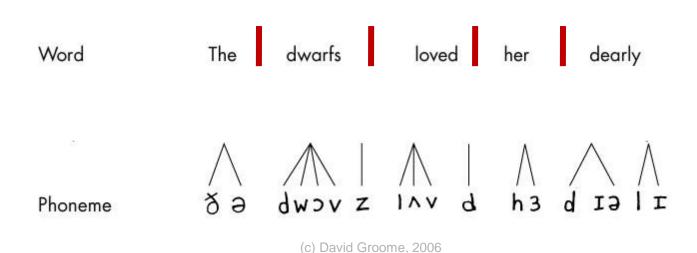


(c) David Groome, 2006





Segmentation



Tokenization



- Segmenting an input stream into an ordered sequence of units is called tokenization.
- A token can correspond to an inflected word form or sub-word units, and may be subject to a subsequent morphological analysis.
- Tokens include punctuation!
- A system which splits texts into tokens is called a tokenizer

A very simple example:

- Input text: John likes Mary and Mary likes John.
- Tokens: {"John", "likes", "Mary", "and", "Mary", "likes", "John", "."}

Tokenization



English Example

• Mr. Sherwood said, reaction to Sea Containers' proposal has been "very positive." In New York Stock Exchange composite trading yesterday, Sea Containers closed at \$62.625, up 62.5 cents.

Where could be problems for a tokenizer?

Tokenization



English Example

• Mr. Sherwood said, reaction to Sea Containers' proposal has been "very positive." In New York Stock Exchange composite trading yesterday, Sea Containers closed at \$62.625, up 62.5 cents.

Split at whitespace characters?

cents. said, positive." \$62.625,

Tokenization Ambiguities



Period

- In most of the cases: Final sentence punctuation symbol
- Part of an abbreviation, e.g. F.D.P.
- Numbers, ordinal numbers, e.g.: 21., numbers with fractions, e.g. 1.543
- References to resources locators, e.g.: www.apple.com
- To complicate things, if a sentence ends with an abbreviation which ends with a period, only one period is written. "I go to Apple, Inc."

- . . .

Whitespace character

- Part of numbers, e.g. "1 543"
- No segmentation character in multi-word expressions
 - "New York"

Ambiguities



Comma

■ Part of numbers, e.g. 1,543

Single quote

- Within tokens to mark contractions and elisions, e.g. English: don't, won't, you've, James' new hat; German: lch hab's!
- Part of a token in French, e.g. *aujourd hui*
- But in most cases: Enclosing quoted groups of words

Dash

- A delimiter, if it connects strings of digits, e.g. "see pages 100-101"
- In French: Signal a close connection between two tokens, e.g. verb and personal pronoun: donne-le
- In most cases, however, it is part of the token, e.g. multi-word

Tokenization in Other Languages



Chinese



- No spaces
- Two possible segmentations, both of them are syntactically and semantically correct
- Disambiguation can only be done with contextual information

爱国/人

country-loving person



love country-person

Bird et al., NLP with Python, p.113

German Compounds



German

STAUBECKEN

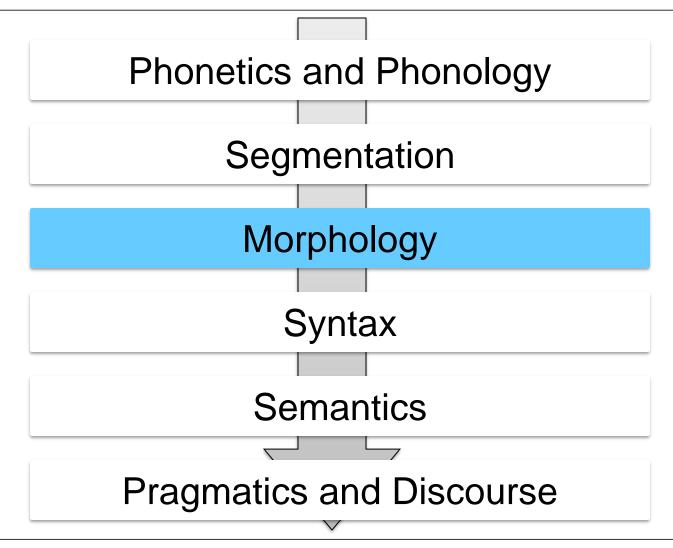
- No spaces within noun compounds
- Two possible segmentations, both of them are syntactically and semantically correct
- Disambiguation can only be done with contextual information

STAU BECKEN

water reservoir

STAUB ECKEN

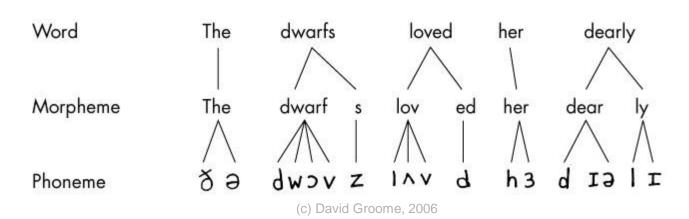
dusty corners



Morphology



- Morphology is the branch of linguistics that studies word forms and word formation
- Words are composed of morphemes
- Morphemes are the smallest meaning-bearing units



Morphology



Words can be further decomposed into smaller units:

"pneumonoultramicroscopicsilicovolcanoconiosis"

lung disease caused by the inhalation of very fine silica dust found in volcanoes

Bases and Affixes



- Remember: Morphemes are the smallest meaning-bearing units
- Examples:
 - cats → cat (noun) + s (plural)
 - unknowingly → un + know + ing + ly
 - bedenken → be + denk + en
- Both cat and cats can be uttered in isolation but s cannot:
 - -s is a **bound** morpheme
- Minimal free morphemes = stems
 - cat is a free morpheme
- Stems carry the main meaning of the word
- Affixes are bound morphemes

Types of Affixes



Suffixes: appear after the base

cat + s, nice + ly

Prefixes: appear before the base

un + true

Infixes: appear inside the base

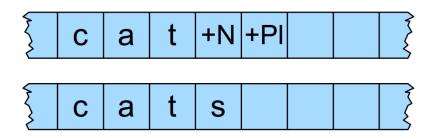
■ fan + bloody + tastic

Circumfixes: appear on both sides of the base

• ge + sag + t

Morphological Normalization





 Morphological normalization consists in identifying a single canonical representative for morphologically related wordforms

Methods

- Stemming
- Lemmatization

Stemming



Stemming is an algorithmic approach to strip off the endings of words sitting → sitt anarchism, anarchy, anarchistic → anarchi

Objective: group words belonging to the same morphological family by transforming them into the same stemmed representation

- stemming does not distinguish between inflection and derivation
- the stems obtained do not necessarily correspond to a real word form

Well-known stemming algorithms for English have been developed by Lovins and Porter

Algorithmic Stemming Method

Stemming is rule-based. Example rules from Porter:

```
*ATIONAL -> *ATE (relational -> relate)

*[> 0 vowels] + ING -> * (monitoring -> monitor)

*SSES -> *SS (grasses -> grass)
```

Rule-based stemming methods are hard to create, often yield arbitrary distinctions, but can be executed very quickly at runtime.

Porter's Stemmer



Original Word Stemmed Word

vision vision

visible visibl

visibility visibl

visionary visionari

visioner vision

visual visual

Stemming Errors



Under-stemming: remove too little

- adhere → adher
- adhesion → adhes

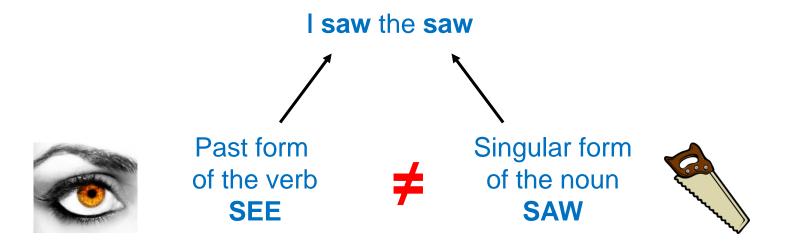
Over-stemming: remove too much

- appendicitis → append
- append → append

Problem with Stemming: Syntactic Ambiguity



Homographs: words which have the same spelling but different meanings



Such cases **cannot** be properly dealt with by stemming only, the word's grammatical category also has to be identified

Lemmatization



- "undo" the inflectional changes of a base form
- Usually needs lexical resources and part-of-speech tagging
 - cats (NOUN) → cat
 - ■left (VERB) → leave
 - ■left (ADJ) → left
- Has to deal with Irregularities
 - sing, sang, sung → sing
 - indices → index
 - Bäume → Baum

Stemming vs. Lemmatization



| Original | Stemmed | Lemmatized |
|----------|---------|------------|
| | | |

visibilities visibl visibility

adhere adher adhere

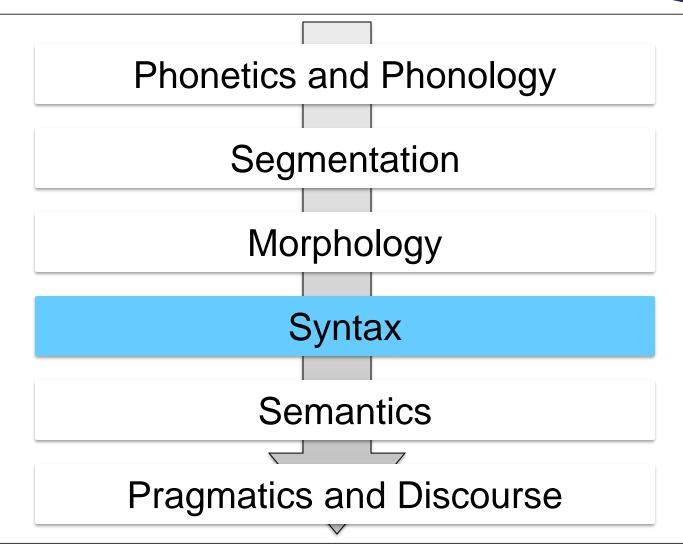
adhesion adhesion

appendicitis appendicitis

oxen oxen ox

indices indic index

swum swim



Syntax

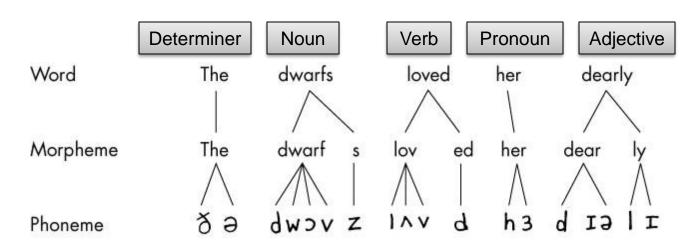


- Syntax refers to the way words are arranged together
- "Syntax is the study of the regularities and constraints of word order and phrase structure"
 (Manning & Schütze, 2003, p. 93)
- There is an infinite number of ways in which words can be arranged together to form sentences
- Yet, we can understand sentences we have never heard or read before

POS Tagging



- The process of assigning a part of speech or lexical class marker to each word in a corpus
- The input to a tagging algorithm is a sequence of words and a tagset, and the output is a sequence of tags, a single best tag for each word



(c) David Groome, 2006

Parts of Speech

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In English we traditionally have 8 parts of speech

| ■ N Noun <i>chair, bandwidth, pa</i> | acıng |
|--------------------------------------|-------|
|--------------------------------------|-------|

Penn Treebank Tagset

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- 1. CC Coord. conjunc.
- 2. CD Cardinal number
- 3. DT Determiner
- 4. EX Existential there
- 5. FW Foreign word
- 6. IN Prep./subord. conj.
- 7. JJ Adject.
- 8. JJR Adject., comp.
- 9. JJS Adject., superl.
- 10. LS List item marker
- 11. MD Modal
- 12. NN Noun, sing. or mass
- 13. NNS Noun, plural
- 14. NNP Proper noun, sing.
- 15. NNPS Proper noun, plural
- 16. PDT Predeterminer
- 17. POS Possessive ending
- 18. PRP Personal pronoun
- 19. PP\$ Poss. pronoun
- 20. RB Adverb
- 21. RBR Adverb, comp.
- 22. RBS Adverb, superl.
- 23. RP Particle
- 24. SYM Symbol

- 25. TO to
- 26. UH Interjection
- 27. VB V, base form
- 28. VBD V, past tense
- 29. VBG V, gerund/pres. part.
- 30. VBN V, past part.
- 31. VBP V, non-3rd ps. sing. pres.
- 32. VBZ V, 3rd ps. sing. pres.
- 33. WDT wh-det.
- 34. WP wh-pronoun
- 35. WP\$ Poss. wh-pronoun
- 36. WRB wh-adverb
- 37. # Pound sign
- 38. \$ Dollar sign
- 39. . Sent.-final punct.
- 40., Comma
- 41.: Colon, semi-colon
- 42. (L. bracket char.
- 43.) R. bracket char.
- 44." Straight dbl. quote
- 45. 'L. open sngl. quote
- 46. "L. open dbl. quote
- 47. 'R. close sngl. quote
- 48. "R. close dbl. quote

| Language | Tagset Size |
|-----------|-------------|
| English | 139 |
| Czech | 970 |
| Estonian | 476 |
| Hungarian | 401 |
| Romanian | 486 |
| Slovene | 1033 |

(Hajič, 2000)

An Example



| LEMMA | TAG |
|--------|---|
| the | +DET |
| host | +NOUN |
| kiss | +VPAST |
| the | +DET |
| friend | +NOUN |
| on | +PREP |
| the | +DET |
| cheek | +NOUN |
| | the host kiss the friend on the |

Ambiguities

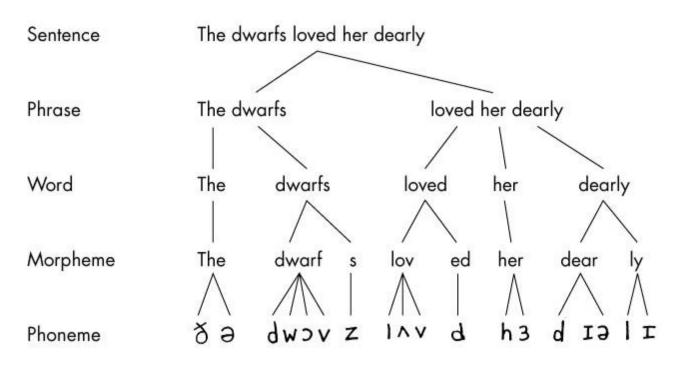


- POS Tagging is a disambiguation task
 - Words are ambiguous—have more than one possible part-of-speech
 - The word "book":
 - book that flight: verb
 - hand me that book: noun
 - The word "that":
 - Does that flight serve dinner? : determiner
 - I thought that your flight was earlier: complementizer
- POS Tagging: resolves ambiguities, choosing the proper tag for the context
 - Baseline: Most Frequent Class (accuracy 92.34% [Jurafsky & Martin])
 - Outdated: Rule-based tagging, probabilistic tagging
 - State of the art: Neural approaches, accuracy ~ 98%

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Parsing

The process of determining the grammatical structure with respect to a given grammar.



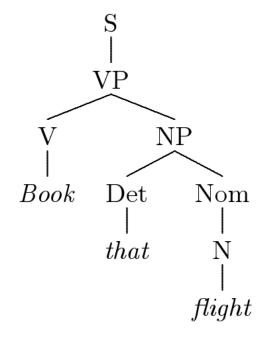
(c) David Groome, 2006

Alternative representations

Bracketed notation:

Parenthesized notation:

Parse Tree:



Syntactic Ambiguity



- If you love money problems show up
 - If you love, money problems show up.
 - If you love money, problems show up.
 - If you love money problems, show up.
- "I made her duck."
- "We're eating grandpa!" vs. "We're eating, grandpa!"
- "Weil er drei Monate verfallene Medikamente nahm, ..."

 Different interpretations are mainly caused by syntactic ambiguity.

Syntactic Ambiguities: Two Possible Parsing Possibilities

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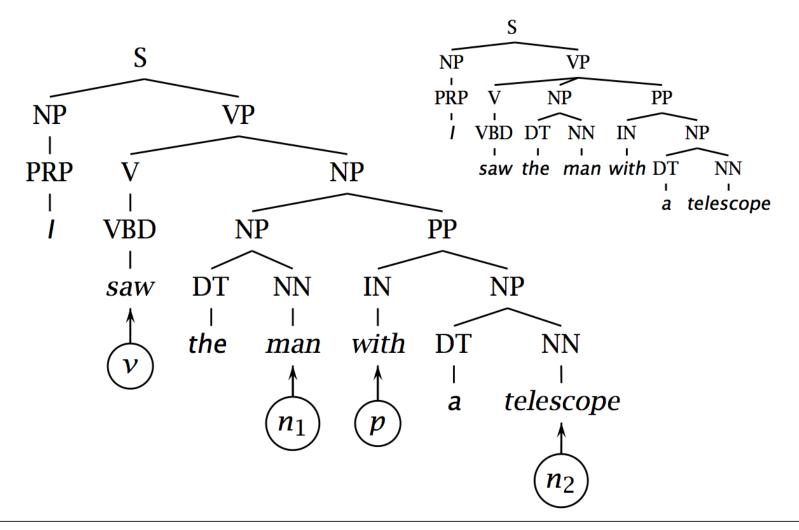
"I saw the man with a telescope."

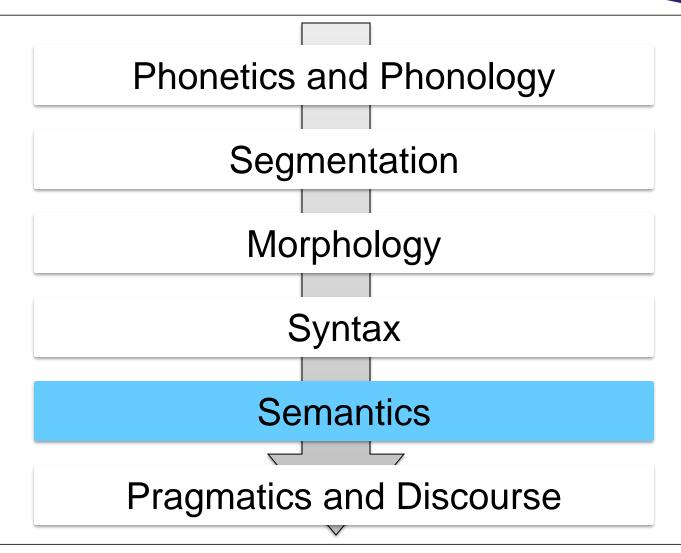




Syntactic Ambiguities: Two Possible Parsing Possibilities







Definition



Semantics:

Study of the meaning of words, phrases, sentences, or documents

Lexical Semantics

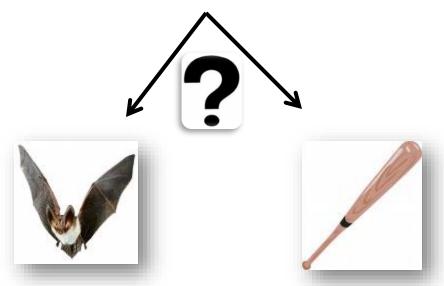
Study of the meaning of lexical units, i.e. words.

Lexical Ambiguity

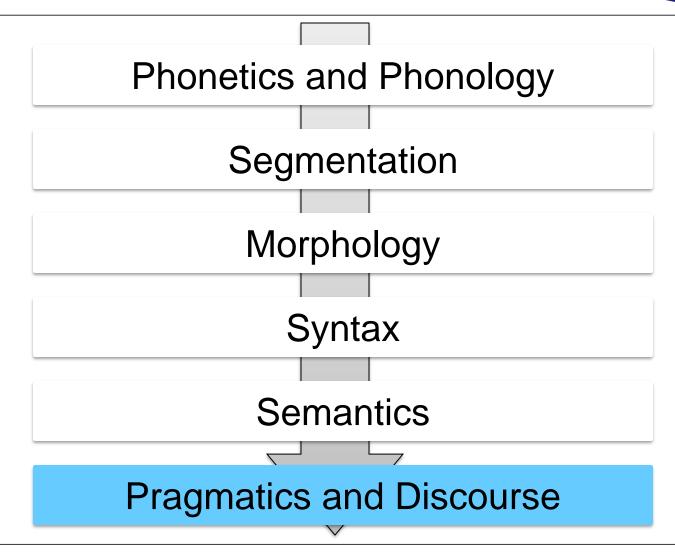


He hit the ball with the bat.

Chuck Norris can hit a bat with a ball.



Different interpretations are caused by lexical ambiguity.



Pragmatics



What is the purpose of an utterance?

- "I **never** said she stole my money"
- "I never said she stole my money"
- "I never <u>said</u> she stole my money"
- "I never said <u>she</u> stole my money"
- "I never said she <u>stole</u> my money"
- "I never said she stole my money"
- "I never said she stole my money"

I simply didn't ever say it.

Someone else said it, but I didn't.

I might have implied it in some way, but I never explicitly said it.

I said someone took it; I didn't say it was she.

I just said she probably borrowed it.

I said she stole someone else's money.

I said she stole something of mine, but not my money.

Pragmatics



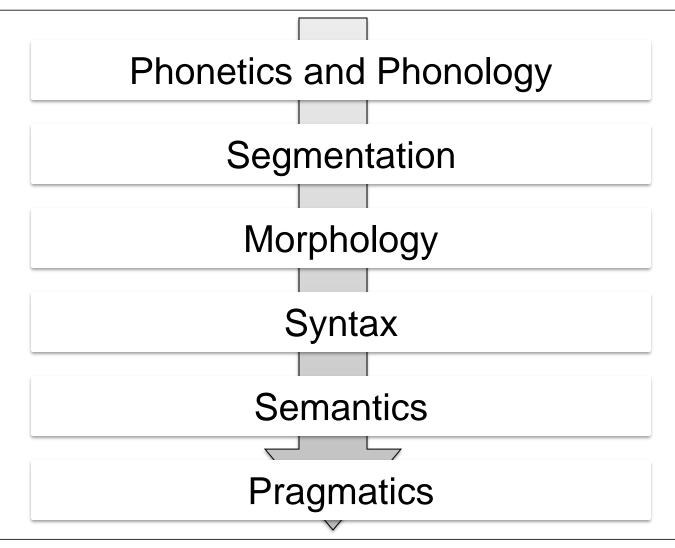
What is the purpose of an utterance?

Utterance: "Is it cold in here or is it just me?

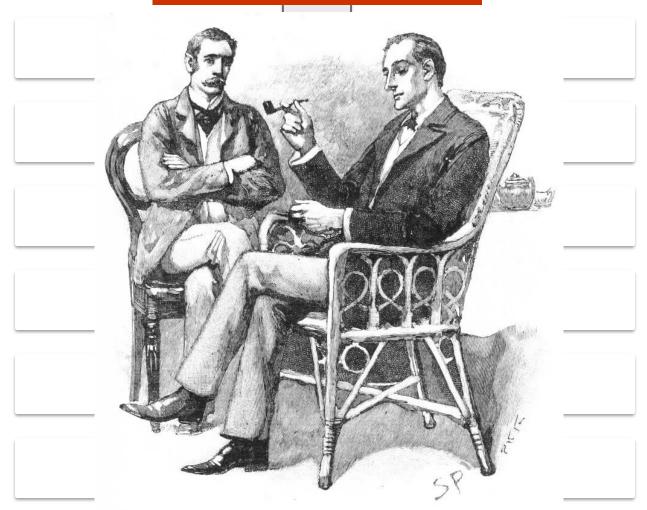
Intended meaning: "Please close the window!"

Utterance: "Oh, great! Another meeting."

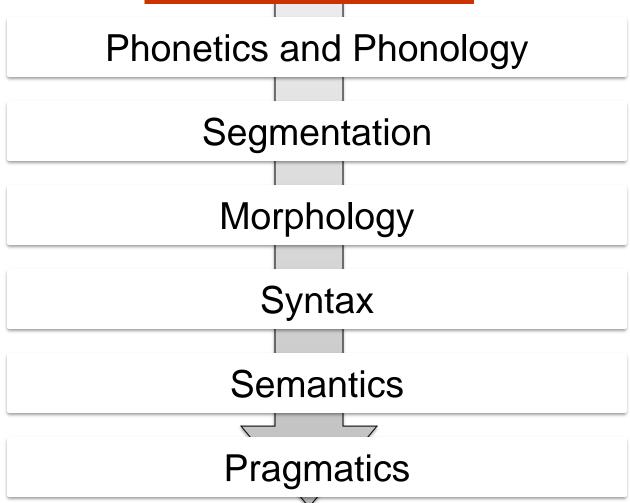
Intended meaning: The speaker likely means the opposite of what they are literally saying—meetings might be something they dislike, despite the positive tone.



Elementary, my dear Watson



Elementary, my dear Watson



Elementary, my dear Watson

[ɛlɪˈmɛntəri, maɪ dɪə ˈwɒtsən] Segmentation Morphology **Syntax Semantics Pragmatics**

Elementary, my dear Watson

[ɛlɪˈmɛntəri, maɪ dɪə ˈwɒtsən] ["Elementary", ",", "my", "dear", "Watson"] Morphology **Syntax Semantics**

Elementary, my dear Watson

[ɛlɪˈmɛntəri, maɪ dɪə ˈwɒtsən]

["Elementary", ",", "my", "dear", "Watson"]

Base: Element, Suffix: -ary

Syntax

Semantics

Elementary, my dear Watson

[ɛlɪˈmɛntəri, maɪ dɪə ˈwɒtsən]

["Elementary", ",", "my", "dear", "Watson"]

Base: Element, Suffix: -ary

ADJ, PRP\$ ADJ NNP

Semantics

Elementary, my dear Watson

[ɛlɪˈmɛntəri, maɪ dɪə ˈwɒtsən]

["Elementary", ",", "my", "dear", "Watson"]

Base: Element, Suffix: -ary

ADJ, PRP\$ ADJ NNP

Watson: Dr. John H. Watson (not IBM)

Elementary, my dear Watson

[ɛlɪˈmɛntəri, maɪ dɪə ˈwɒtsən]

["Elementary", ",", "my", "dear", "Watson"]

Base: Element, Suffix: -ary

ADJ, PRP\$ ADJ NNP

Watson: Dr. John H. Watson (not IBM)

"You are so stupid..."

Take-Home-Messages



- Natural language processing is an interesting topic ©
- There are a lot of challenges ⊗
- Typical preprocessing steps:
 - Tokenization for splitting texts into tokens
 - Stemming / Lemmatization to normalize tokens
 - PoS-Tagging and parsing analyze syntactic features
 - PoS-tags roughly represent word classes
 - Phrases group words to function as a single unit
- Ambiguity in language makes analysis a hard problem

Next Lecture

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Text Classification