# **Exam: Comparing Approaches for Finding a Maximum Cut in Graphs**

## Abstract

I implemented and compared various algorithms that tackle the problem of finding a maximum cut in a finite, simple, undirected graph, that is, a partition of the vertices into two sets, such that the weight of the edges between them is as great as possible. You can find a heuristic, an approximation, and an exact solution in this paper.

I describe the problem's characteristics, the ideas behind the different approaches, and the consequences of the implementation decisions in this report.

Furthermore, I performed various test cases on a range of different graphs whose results I provide as well to show how the algorithms are affected by parameters and graph characteristics.

### 1 Introduction

First of all, it is necessary to clarify the terminology. The term maximum cut problem is often used synonymous with weighted maximum cut problem. The difference is, that for the maximum cut problem, the input graph has no edge weights, whereas for the weighted maximum cut problem, the input graph is weighted and the goal is not to optimize the number of edges that are cut, but instead to maximize the weight of the cut edges.

Both problems are NP-complete [4, 8], but since the maximum cut problem can be emulated using an algorithm for a weighted maximum cut problem by setting all weights to 1, I will focus on the more general version and refer to it as **Max-Cut** from now on.

As for real-world scenarios, this problem is particularly interesting for finding ground states of spin glasses with exterior magnetic field, which is relevant for the field of physics, as well as planning of layouts of integrated circuits by minimizing the number of

holes on a printed circuit board, or contacts on a chip [1].

Max-Cut is usually demonstrated visually by drawing an actual cutting line between the vertices and looking at which edges are crossed by it. The only requirement is that the drawn line does not cross any edge multiple times. An example can be seen below.

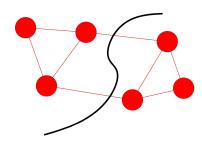


Figure 1: Example of a 2-edge cut [public domain]

As for formulas and algorithms, it is easier to work with two colors, denoting all the edges that connect vertices of a different color as being cut. This definition is equivalent but easier to express mathematically or implement into code. The decision problem formulation is determining whether the following is true or false for some graph G(V, E) and given x:

 $\begin{array}{l} \exists A,B\subset V \text{ with } A\cap B=\varnothing \wedge A\cup B=V, \forall \{u,v\}\in Z\subset E \text{ with } (u\in A\wedge v\in B)\vee (v\in A\wedge u\in B):\\ \sum w(\{u,v\}\in Z)\geq x \end{array}$ 

Where w denotes the weight function.

Here is an overview of what the rest of this report consists of:

Section 2 introduces the two algorithms, their characteristics and correctness and explains how they work, while in Section 3 I write about the used algorithm engineering techniques and give imple-

mentation details. **Section 4** includes information about the experimental setup and provides empirical results. **Section 5** draws further conclusions from the gained knowledge. Finally, **Section 6** explains how the reader can execute the test cases that are provided.

## 2 Preliminaries

As said, there are three algorithms I will have a look at. The first one is a heuristic that is simple and quick, especially for large graphs. However, it might calculate an arbitrarily bad result for some inputs, though it is always a valid one. The second algorithm is a 0.5-approximation, meaning the result is always at least half as good as the optimal one. In most cases, it takes longer than the heuristic, but also provides a better result. The last algorithm is an Integer Linear Programming approach which can find the optimal solution but as the problem is NP-hard, it takes a lot of time, especially for medium-sized or large graphs.

The goal of all these algorithms is to find a partition into two subsets of V, such that there is no partition where the sum of all weights of edges between these subsets would be higher.

## 2.1 Heuristic

The idea that I had was that we always take the heaviest edge and add its two vertices to different subsets. Then we remove all edges adjacent to nodes which are already in one of the subsets, because else we would in many cases subtract cut cost, by putting two neighboring vertices into the same subset. This leads to the problem that in a complete graph, we would remove all edges after the first step, which on an arbitrarily large (unweighted) graph leads to an arbitrarily bad solution.

**Correctness:** I only have to prove that  $A \cap B = \emptyset$  and  $A \cup B = E$ , since there is no quality guarantee. This is indeed true since in line 12 we add the difference of V and A to B so it matters not how many elements are in A, though in practice it can be up to half of all.

### **Algorithm 1** Heuristic G(V, E)

```
1: sort E descendingly by weights
 2: A := \emptyset
 3: while E \neq \emptyset do
        \{u_0, v_0\} := E.get(0)
        A.add(u_0)
 5:
        for \{u, v\} \in E do
 6:
            if u = u_0 \lor v = u_0 \lor u = v_0 \lor v = v_0 then
 7:
                E.\text{remove}(\{u,v\})
 8:
 9:
            end if
        end for
10:
11: end while
12: B := V - A
13: return A, B
```

It is also important that the algorithm terminates, which can be ensured by removing every edge sharing a vertex with the one added to A from E and that must be at least be one, which is the one added to A itself. By reducing the remaining edges in E by at least 1 per loop, E will at some point be empty.

**Complexity**: As we have just seen, the while-loop can run at most |E| times whereas the inner for-loop, in the n-th run, can at most run |E| - n times. Therefore the complexity is technically  $\mathcal{O}(|E|^2)$ .

Nevertheless, there is one interesting observation to be made: In every while-loop run, there is one vertex added to A. As we cannot have more than half of the total number of vertices in A (since we dismiss all neighboring vertices), the outer while-loop can in fact only run  $\frac{|V|}{2}$  times, giving us a  $\mathcal{O}(|V||E|)$  complexity. In practice, vertices without edges do not matter, as we can see in the loop conditions, so this is always better. There exist sorting algorithms in  $\mathcal{O}(|E|\log|E|)$  [9], so the sorting part would only dominate if  $|V| < \log |E|$  This can never be the case, as there cannot exist a simple graph with  $2^{|V|}$  or more edges [2].

## 2.2 Approximation

For the approximation algorithm, I decided to implement Sahni et al.'s idea of a 0.5-approximation [12]. This is a greedy best-in algorithm. Such an algorithm usually starts with an empty graph, while members of the original graph are considered to be candidates for inclusion in the constructed feasible solution. The algorithm successively adds a candidate, which provides the best contribution to the objective. In this case, our objective is to maximize edge cut costs. Therefore we start with A=E and for each vertex, we evaluate how much we would profit by transferring it from A into B.

### **Algorithm 2** Approximation G(V, E)

```
1: Let w be the weight function
2: B := \emptyset
3: A := V
 4: repeat
       \max := 0
 5:
        Vertex heaviest := null
 6:
        for u \in V do
 7:
 8:
           if u \notin B then
               weight := 0
9:
               for \{u,v\} \in E do
10:
                  if v \in A then
11:
                      weight += w(\{u,v\})
12:
13:
                   else
                      weight -= w(\{u, v\})
14:
                   end if
15:
               end for
16:
               if weight > \max then
17:
                   \max = \text{weight}
18:
                   heaviest = u
19:
               end if
20:
21:
           end if
        end for
22:
        B.add(heaviest)
23:
24:
        A.remove(heaviest)
25: until no improvement is possible
26: return A, B
```

**Correctness**: Again, it is obvious that  $A \cap B = \emptyset$  and  $A \cup B = E$  are fulfilled with the same argument as before. Though it does not follow easily how this

produces a 0.5-approximation, which can be found as a Lemma 2.3 in Sahni et al.'s paper [12], and there is a more recent description from Kahruman et al. [5].

The algorithm terminates because there cannot be improvement possible forever as there has to exist an optimal solution for every finite graph. It is also impossible, that the algorithm makes the solution worse and better again forever since max is set as 0, so only improvements are allowed.

Complexity: If we have a closer look, we can see that the outermost loop can run at most |V| times since every time an improvement is possible, a vertex gets added to B. if every vertex would be added to B, the if-condition in line 7 would never be true and we would not add anything to B in that run, therefore not making an improvement. In practice, we would of course stop way before that. The inner for-loop runs exactly |V| times, and the innermost one |E| times, which is how we get a running time complexity of  $\mathcal{O}(|V|^2|E|)$ .

Note: You can also find a discussion of a parallelized version of this algorithm in **Section 3.2**.

#### 2.3 Exact Solution

I decided to state Max-Cut as an Integer Linear Programming (**ILP**) problem, which can be solved optimally. For that matter, it is important to find an expression of the problem with the variables, which in this case are in which subset a vertex is, as conditions. We can use the following:

Let n = |V|, u < v and  $u, v, \{u, v\} \in \{0, 1\}$ .

$$\max \sum_{u,v=1}^{n} w(\{u,v\}) \cdot \{u,v\}$$
$$\{u,v\} - u - v \le 0$$
$$\{u,v\} + u + v \le 2$$

Note:  $\{v, w\} = 1$  if the edge is cut and 0 else. Note: u = 1 if  $u \in A$ , v = 1 if  $v \in A$  w.l.o.g.

To give some quick example for this rather confusing formula let us assume, u and v are in the same subset, say they are both in A. Then u = v = 1.

Therefore, for the formula  $\{u,v\} + u + v \leq 2$  to be true,  $\{u,v\}$  needs to be 0. That means, that the edge is not cut, just as we expected, when we put both vertices u and v into the same subset. Let us now instead assume u and v are both in B. Then u=v=0.  $\{u,v\}-u-v\leq 0$  can then only be true if  $\{u,v\}$  is 0, again the edge is not cut. Only if u=1,v=0 or vice versa  $\{u,v\}$  can be 1, meaning the edge can be cut. Of course, the naming of A and B does not matter.

Since we want to maximize the sum of weights of cut edges, we can assume the solving algorithm will ensure that the right edges are cut. After that, we can get the result of which edges are 0 and which ones are 1 and assign them to their respective subsets.

### **Algorithm 3** ILP G(V, E)

```
1: A, B = \emptyset
2: List cond := \{\}
3: for u \in V do
       for v > u \in V do
4:
           if \{u,v\} \in E then
5:
               cond.add(\{u, v\} - u - v \le 0)
6:
               cond.add(\{u, v\} + u + v \le 2)
7:
           end if
8:
       end for
9:
10: end for
11: solveBinary(cond, \max Sum(\{u, v\} * w(\{u, v\})))
12: for u \in V do
       if u = 1 then
13:
           A.add(u)
14:
       else
15:
           B.add(u)
16:
       end if
17:
   end for
19: return A, B
```

Correctness: It is difficult to argue about the correctness of the ILP-solver, since there are many different ones, so I will treat it as a black box. The used formula is rather intuitive once understood and has been proven to be correct [5].

With unlimited time, we can always get a perfect result. Concerning the result quality in limited time, my findings are in **Section 4**.

**Complexity**: Creating the conditions takes  $\mathcal{O}(|V|^2)$  steps, and |E| many conditions are created. Even though there are some very sophisticated approaches as to how to make solving these equations easier, there can never exist an ILP-solver solving this in under  $\mathcal{O}(2^{|E|})$  if  $P \neq NP$ , since Max-Cut is NP-Complete.

## 3 Algorithm & Implementation

To compare the actual performances of these presented algorithms, it is necessary to convert the ideas into code that works efficiently. In this chapter, I will describe the details of how that has been done and what changes I deemed necessary to improve practical running times. Specifically, you can find pseudocode of a parallelized approach for Algorithm 2 at the end of this section.

## 3.1 Algorithm Engineering

Formally, a Max-Cut is a partition of all vertices of a graph into two subsets which is why for all pseudocode implementation the return value was two sets of vertices. However, for the results, I am not interested that much in what vertices are in which subset, but much rather in the total weight of the edges. This is why instead of adding vertices to lists and removing them as well as calculating the difference or if an element is contained in a list, which is a rather expensive operation, I avoided all of this by enabling nodes to be marked. The subsets are then all nodes that are marked, and those that are not. I implemented functions for displaying the total weight of cut edges, the subsets, and the cut edges individually.

For the exact ILP solution, we would technically create  $|V|^2$  conditions, but a lot of them would not include an edge in the equation, meaning that it would only be  $u+v \leq 2$  and  $-u-v \leq 0$  which is not an actual restriction for  $u,v \in \{0,1\}$ . Though the library immediately sorts these entries out, it still takes some time to create them, which is why I of

course did not do so.

## 3.2 Implementation Details

I implemented all algorithms in Java (Version 15.0.1), using the included standard libraries and data structures as well as the library LpSolve. I decided to create separate Graph, Node, and Edge classes since those Objects are used very often and make abstraction easier. Every mentioned algorithm is a method taking the graph on which Max-Cut is to be done as an argument and as mentioned earlier does not return a partition of the graph but instead directly marks nodes in the graph. These marks can then be evaluated by separate functions which determine the weight, number of cut edges, etc. Before running a different algorithm, all nodes are unmarked first.

As promised in the beginning, every problem on unweighted graphs can also be solved with weighted ones, which is why I included the possibility to use both weighted as well as unweighted graphs as input files.

Something that might be confusing is what format the ILP-solver uses for its conditions, which is an array of coefficients, where the positions encode the variable names, which is why the code is way more extensive than the pseudo-code provided in **Section** 2

Now, I will be discussing the parallelization part that I omitted earlier. Specifically, I parallelized the most work-intensive part of the approximation algorithm which is evaluating the possible improvement in the sum of cut edge weights, if one vertex was transferred to subset B. For that purpose, I split the list of vertices into as many parts as there are cores and calculate a local maximum. The maximum of these is determined sequentially.

Of course this is just as correct as the non-parallelized approach making use of  $\max(a, b, c) = \max(\max(a, b), c)$ .

#### **Algorithm 4** Parallel Approximation G(V, E)

```
1: Let w be the weight function
 2: B := \emptyset
3: A := V
 4: repeat
       i := 0
       List results = \{\}
 6:
       for core in cores do
 7:
 8:
           X = Sublist from i/|cores| * |V| to (i +
    1)/|cores| * |V| \text{ of } V
9:
           i++
10:
           results.add(core.\mathbf{Task}(X, A, B))
11:
       end for
       heaviest := max(results.max)
12:
        B.add(heaviest)
13:
        A.remove(heaviest)
15: until no improvement is possible
16: A := V
17: return A, B
```

### $\overline{\mathbf{Algorithm}}$ 5 Task (X, A, B)

```
1: for u \in X do
       if u \notin B then
 2:
3:
           weight := 0
           for \{u,v\} \in E do
 4:
               if v \in A then
 5:
                   weight += w(\{u, v\})
 6:
 7:
                   weight -= w(\{u, v\})
 8:
               end if
9:
10:
           end for
           if weight > \max then
11:
               \max = \text{weight}
12:
               heaviest = u
13:
           end if
14:
       end if
15:
16: end for
17: return [heaviest, max]
```

## 4 Experimental Evaluation

#### 4.1 Data and Hardware

I ran all the tests on the following hardware: Intel Core i7-6700K CPU, 4x4.4 GHz (Hyper-Threading enabled) 16 GB RAM, 3200 MHz

## 4.2 Test Graph Sources

Most graphs I used are from  $SteinLib^1$  Additionally, I used datasets from the US road network [10], Bitcoin OTC trust [6, 7], and Last.fm's social network [11].

#### 4.3 Results

I decided to use a time limit of 180 seconds for the ILP-solver so I could do all the tests at once. The results are consistent with what was expected from the theoretical analysis of the algorithms. The only surprises were slightly increased running times in the first run, which might be attributed to the Java Runtime Environment.

The results of the tests can be found on the following pages consisting of a table and the acquired insights into the algorithms' performances in practice. Moreover, I included three charts on the last page.

An interesting thought for future work would be allowing the approximation algorithm to make small losses in the objective function to avoid getting stuck in a local optimum, perhaps by removing vertices from the subsets at random. Depending on the implementation, this would however make proving its correctness more difficult.

For **Table 1**, consider the following legend and explanation:

 ${f H}$  - Heuristic

**A** - Approximation

AP - Parallelized Approximation

- $^2$  Odd cycle
- <sup>3</sup> Odd wheel
- $^{4}$  Root = 7/8n for n = 3
- <sup>5</sup> Composition of odd wheels as an odd cycle
- <sup>6</sup> Goemans design 4, 3, 2 facett
- $^7$  Odd antiwheel
- $^8$  Real life VLSI
- $^9$  BTC network
- <sup>10</sup> Last.fm social network
- <sup>11</sup> US road map

The ILP-solver is considered timed out after 180 seconds. Since it produces intermediate results, the last one will be used as the final result in the table. Be aware that such an intermediate result is not necessarily optimal. For larger graphs, the ILP was not able to find any feasible solution which is noted in the results. Graphs with even more edges than those for which no feasible solution was found have not been tested, as they would not be solved either.

 $m{H}$  Miss and  $m{A}$  Miss denote the percentage by which the corresponding algorithms result was worse than the optimum, while  $m{H}$  vs.  $m{A}$  Miss indicates how much better the result of  $m{A}$  was in comparison to  $m{H}$ . Lastly,  $m{AP}$  Speed Change indicates the difference in time it took to execute  $m{A}$  and  $m{AP}$ .

<sup>1</sup>http://steinlib.zib.de/

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48   313   592   0.2   26388   0.8   34143   4.8   timeout   35805   23%   527%   527%   3485   3485   3490   1.4   68826   6.9   92180   15.3   timeout   94855   25%   121%   440%   518   34802   14.4   68844   6.9   92182   15.2   timeout   94855   25%   121%   12								- /							
48   321   540   0.2   20700   0.8   33790   4.3   timeout   34302   21%   440%   440%   540   816   6.9   92180   15.2   timeout   94855   25%   121%   550   818   1462   1.4   68644   6.9   92182   15.2   timeout   94854   26%   110%   551   522   1466   1.4   68738   7.1   99164   15.7   timeout   94733   25%   122%   122%   122%   122%   1466   1.4   68738   7.1   99246   15.7   timeout   94730   25%   110															
\$\frac{9}{50}   \$816   \$1460   \$1.4   \$68826   \$6.9   \$92180   \$15.3   \$\text{timeout}\$   \$94824   \$26\%   \$119\%   \$\frac{11}{50}\$   \$188   \$1462   \$1.4   \$68644   \$6.9   \$91282   \$15.2   \$\text{timeout}\$   \$94824   \$26\%   \$119\%   \$\frac{11}{50}\$   \$1585   \$129\%   \$122\%   \$1606   \$1.4   \$68644   \$7.1   \$9374   \$15.2   \$\text{timeout}\$   \$94733   \$25\%   \$122\%   \$16665   \$1525   \$1665   \$1445   \$1.4   \$69014   \$7.1   \$9374   \$15.2   \$\text{timeout}\$   \$94733   \$25\%   \$116\%   \$1535   \$1646   \$1.4   \$1.5   \$1.6   \$															
50   818   1462															
52   822   1466															
S28   1472															
54   1981   4344   1,4   69678   7,3   92446   15,3   timeout   91299   25%   110%   110%   54   1981   3631   9,9   15380   64,4   207952   57,4   timeout   216121   26%   511%   55   1998   3641   9,7   154158   63,9   207746   55,4   timeout   214377   26%   37%   3860   398   154328   63,9   207746   55,3   timeout   21597   26%   39%   39%   3978   39															-
55   1981   3633   9.9   153896   64.4   207952   57.4   timeout   216121   20%   -113%     55   1989   3646   9.8   154328   63.9   207776   58.3   timeout   215197   20%   -9%     57   2010   3662   10.0   153543   67.3   207771   65.2   timeout   215197   20%   -9%     58   3675   6709   41.9   280880   270.4   370329   143.7   timeout   379971   24%   4.7%     59   3683   67.17   33.4   280978   232.6   370434   138.9   timeout   379971   24%   4.47%     60   3692   6726   42.9   280991   274.9   370061   147.7   timeout   378734   24%   -46%     61   3716   6750   42.3   281140   276.4   370178   138.6   timeout   378734   24%   -46%     62   7998   14734   234.6   616357   1440.5   811862   468.8   timeout   378734   24%   -67%     63   8007   14743   240.8   615963   1674.5   811862   468.8   timeout   infeasible solution   24%   -67%     64   8013   14749   227.9   616282   1355.5   812275   647.9   timeout   infeasible solution   24%   -67%     65   8017   14753   215.9   615929   1535.5   812275   647.9   timeout   infeasible solution   24%   -67%     66   8062   14798   230.3   615710   1937579   1838.8   timeout   infeasible solution   24%   -70%     67   1903   35636   1142.7   1443131   7411.7   1937539   1838.8   tort un   20%   -82%     68   91901   35644   1455.6   1443479   12941.0   1938552   2083.2   tort un   20%   -82%     719   19112   35665   1598.1   144379   12941.0   1938552   2083.2   tort un   20%   -82%     719   3379   71546   6349.3   278053   3804066   82361   tort un   27%   -80%     719   3379   71546   6349.3   278053   3804066   82361   tort un   27%   -80%     719   3379   71546   6349.3   278053   50483   80492   tort un   100 trun   27%   -80%     719   3379   71546   6349.3   278053   3894066   82361   tort un   100 trun   27%   -80%     719   3379   71546   6349.3   278053   389486   62361   tort un   100 trun   27%   -80%     719   3397   71546   6349.3   278053   389486   63231   tort un   100 trun															
55   1989   3641   9.7   154188   63.9   207376   55.4   timeout   214377   29%   -13%   -13%   -135   -134   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -134   -135   -1															
1994   3646   9.8   154328   63.9   207776   58.3   timeout   215197   26%   9%   9%   575   2010   3662   10.0   15543   67.3   207741   65.2   timeout   215195   268%   35%   35%   3675   6709   41.9   280880   270.4   370329   143.7   timeout   379971   24%   47%   47%   59   3683   6717   33.4   280978   232.6   370344   138.9   timeout   379971   24%   440%   440%   46%   66   3692   6726   42.9   280991   274.9   37061   147.7   timeout   379735   24%   46															
55   3675   6799   419   28080   270.4   370329   143,7   timeout   214925   24%   -47%   -															
Section   Sect			3662							214925					
59   3683   6717   33.4   280978   232.6   370434   138.9   timeout   3787516   24%   40%   40%   60   3692   6726   42.9   280991   274.9   370061   147.7   timeout   3787534   24%   46%   46%   46%   46%   4787   48.0   48	58	3675	6709	41,9	280880	270,4	370329	143,7	timeout	379971			24%	-47%	
61   3716   6750   42.3   281140   276.4   370178   138.6   timeout   379735   24.9   5.6   5.6   6.2   798   14734   234.6   616557   1441.5   811862   468.8   timeout   tinfeasible solution   24.9   6.7   6.7   6.8   6174   474.3   240.8   615963   1674.5   811597   475.9   timeout   tinfeasible solution   24.9   6.7   6.8   617   14753   215.9   616282   1426.3   811940   474.7   timeout   tinfeasible solution   24.9   6.6   6.8   61.0   2.4   6.6   6.8   61.0   2.4   6.6   6.8   61.0   2.4   6.6   6.8   61.0   2.4   6.6   6.8   61.0   2.4   6.6   61.0   61.	59	3683	6717	33,4	280978		370434	138,9	timeout	378516			24%	-40%	
63   8097   14743   234,6   616357   1440,5   811862   468.8   timeout   infeasible solution   24%   467%   468.8	60	3692	6726	42,9	280991	274,9	370061	147,7	timeout	378734			24%	-46%	
63   8007   14743   240.8   615963   1674.5   811597   475.9   timeout infeasible solution   24%   -72%   65   8017   14753   215.9   615929   1535.5   812275   547.9   timeout infeasible solution   24%   -67%   66   8062   14798   230.3   615710   1507.0   811674   448.0   timeout infeasible solution   24%   -67%   67   19083   35636   1142.7   143131   7411.7   1337539   1883.8   not run   not run   26%   -75%   -75%   68   19091   35644   1557.6   1443479   11728.7   1937771   2123.7   not run   not run   26%   -85%   -85%   -85%   19101   35655   1598.1   1443179   12941.0   1938552   2083.2   not run   not run   26%   -85%   -85%   -85%   19117   35730   1583.8   1443179   12941.0   1938552   2083.2   not run   not run   26%   -84%   -85%															
64   8013   14749   227,9   616282   1426,3   811940   474,7   timeout infeasible solution   24%   .67%     65   8017   14753   215,9   615929   1535,5   812275   547,9   timeout infeasible solution   24%   .64%     66   8062   14798   230,3   615710   1507,0   811674   448,0   timeout infeasible solution   24%   .70%     67   19083   35536   1142,7   1443131   7411,7   1937539   1883,8   not run   not run   26%   .75%     68   19091   35644   1457,6   1443131   7411,7   1937539   1883,8   not run   not run   26%   .75%     69   19100   35653   1805,4   1443520   12661,5   1938260   1943,7   not run   not run   26%   .85%     70   19112   35665   1598,1   1443179   12941,0   1938552   2083,2   not run   not run   26%   .85%     71   19177   35730   1763,8   1443293   12567,0   1937075   2349,9   not run   not run   26%   .84%     72   38282   71521   11647,7   2780439   72887,5   3804838   7803,1   not run   not run   27%   .89%     73   38294   71533   7039,1   2780487   50463,9   3804066   8236,1   not run   not run   27%   .89%     74   38307   71546   6349,3   2780533   43667,4   3801218   8550,2   not run   not run   27%   .80%     75   38418   71657   11722,0   2781881   6717,1   3802893   9108,2   not run   not run   27%   .80%     76   6   9   0,1   6   0,0   6   0,7   1,7   6   0,0   0,7   27%   .86%     77   7   9   0,0   6   0,0   9   0,5   1,2   9   33%   0%   33%   35550%     78   13   21   0,0   16   0,0   33   0,6   1,4   33   52%   0%   52%   19023%     79   3997   10278   55,6   7994   193,3   10278   147,6   3889,2   262   55%   0%   52%   19023%     78   18   18   1374   4,2   3082   12,8   3174   19,9   337,8   3174   3%   0%   33%   35550%     81   181   3174   4,2   3082   12,8   3174   19,9   337,8   3174   3%   0%   33%   35550%     81   181   3174   4,2   3082   12,8   3174   19,9   337,8   3174   3%   0%   3%   56%     81   181   3174   4,2   3082   12,8   3174   19,9   337,8   3174   3%   0%   3%   56%   388   388   388   388   388   388   388   388   388   388   388   388   388   3															
65   8017   14753   215.9   615929   1535.5   812275   547.9   timeout infeasible solution   24%   4-64%   66   8062   14798   230.3   615710   15170   8157															
66   8062   14798   230.3   615710   1507.0   811674   448.0   timeout infeasible solution   24%   -70%     77   908   35536   1142.7   1443131   7411.7   1937771   2123.7   not run   not run   26%   -85%     78   19091   35644   1557.6   1443479   11728.7   1937771   2123.7   not run   not run   26%   -82%     70   19112   35665   1805.4   1443520   12661.5   1938260   1943.7   not run   not run   26%   -85%     70   19112   35665   1508.1   1443179   1291.0   1938552   2083.2   not run   not run   26%   -84%     71   19177   35730   1763.8   1443293   12567.0   1937075   2349.9   not run   not run   25%   -81%     72   38282   71521   11647.7   2780487   59463.9   3804066   8236.1   not run   not run   27%   -89%     73   38294   71533   7039.1   2780487   59463.9   3804066   8236.1   not run   not run   27%   -84%     74   38307   71546   6349.3   2780553   3667.4   3804218   8550.2   not run   not run   27%   -84%     75   38418   71657   11722,0   2781681   67171.1   3802893   9108.2   not run   not run   27%   -86%     78   3   21   0.0   6   0.0   6   0.7   1.7   6   0.0   0.7   0.7   0.7   0.0   0.7   0.7   0.0   0.7   0.7   0.0   0.0   0.7   0.0												-			
68   1990   35644   143479   11728,7   1937539   1883.8   not run   not run   26%   -75%   68   1990   35644   1443479   11728,7   1937771   2123,7   not run   not run   26%   -82%   -82%   69   19100   35653   1805.4   1443379   12941.0   1938552   2083.2   not run   not run   26%   -88%   -88%   70   19112   35665   1598.1   1443179   12941.0   1938552   2083.2   not run   not run   25%   -84%											-	-			$\vdash$
68   1999   35644   1557.6   1443479   11728.7   1937771   2123.7   not run   not run   26%   82%   85%   70   19112   35665   1598.1   1443179   12941.0   1938552   2983.2   not run   not run   26%   84%   85%   848%   71   19177   35730   1763.8   1443293   12667.0   1937075   2349.9   not run   not run   25%   -81%															$\vdash$
69   19100   35653   1895,4   1443520   12661,5   1938260   1943,7   not run   not run     26%   -85%       70   19112   35665   1598,1   1443179   12941,0   1938552   2083,2   not run   not run     26%   -84%     71   19177   35730   1763,8   1443293   12567,0   1937075   2349,9   not run   not run     25%   -81%     72   38282   71521   11647,7   2780439   72887,5   3804883   7803,1   not run   not run     27%   -89%     73   38294   71533   7039,1   2780487   50463,9   3804066   8236,1   not run   not run     27%   -84%     74   38307   71546   6349,3   2780553   43667,4   3804218   8550,2   not run   not run     27%   -80%     75   38418   71657   11722,0   2781681   6717,1,1   3802893   9108,2   not run   not run     27%   -86%     76   6   9   0,1   6   0,0   6   0,7   1,7   6   0,0   0%   0%   48050%     77   7   9   0,0   6   0,0   9   0,5   1,2   9   33%   0%   33%   35550%     78   13   21   0,0   16   0,0   33   0,6   1,4   33   52%   0%   52%   19023%     79   3997   10278   55,6   7994   193,3   10278   147,6   3889,2   262   55%   0%   52%   19023%     81   1081   3174   4,2   3082   12,8   3174   19,9   337,8   3174   3%   0%   3%   56%     82   8   20   0,0   32   0,0   32   0,6   1,6   32   0%   0%   0%   0%   28805%     83   10   15   0,0   10   0,0   12   0,6   1,9   12   17%   0%   17%   23322%     84   666   221445   1974,2   1001545679   90,9   106261489   413,8   not run   not run   not run   18%   -86%     85   640   40896   73,5   3095773   78,9   3375566   36,2   not run   not run   not run   19%   -78%     86   17127   27352   884,3   309065   575,3   488818   2893,7   not run   not run   not run   19%   -78%     87   27019   39407   2773,7   1221574848   20620,9   1483648581   2893,7   not run   not run   not run   11%   -89%     88   1728   28512   79,4   1562187   299,9   177010   101,9   not run   not run   not run   12%   -85%     90   3763   24186   71,5   15668   318.7,5   28989   28989   not run   not run   10 run   46%   -64%     91   3783   24186   71,5   15668   318.7,5				1557.6								<b>-</b>			
To   19112   35665   1598.1   1443179   12941.0   1938552   2083.2   not run   not run     26%   84%   71   19177   35730   1763.8   1443293   12567.0   1937075   2349.9   not run   not run     27%   -89%   -81%   -89				1805.4											
Texas															
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$					1443293		1937075							-81%	
73   38294   71533   7039.1   2780487   50463.9   3804066   8236.1   not run   not run				11647,7		72687,5								-89%	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$		38294	71533	7039,1	2780487	50463,9		8236,1		not run					
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$															
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$															
78         13         21         0.0         16         0.0         33         0.6         1.4         33         52%         0%         52%         19023%           79         3997         10278         55.6         7994         193.3         10278         147.6         3889.2         10278         22%         0%         22%         -24%           80         783         2262         2,2         2146         7,0         2262         13.5         182.2         2262         5%         0%         5%         93%           81         1081         3174         4.2         3082         10.0         32         0.6         1.6         32         0%         0%         3%         56%           82         8         20         0.0         32         0.0         32         0.6         1.6         32         0%         0%         0%         28805%           83         10         15         0.0         10         0.0         12         1.6         1.1         12         17%         0%         17%         233222%           84         666         221445         1943.8         305737         78.9         3375566<															2
79   3997   10278   55,6   7994   193.3   10278   147,6   3889.2   10278   22%   0%   22%   24%     80   783   2262   2,2   2146   7,0   2262   13,5   182,2   2262   5%   0%   5%   93%     81   1081   3174   4,2   3082   12,8   3174   19,9   337,8   3174   3%   0%   3%   56%     82   8   20   0,0   32   0,0   32   0,6   1,6   32   0%   0%   0%   0%   28805%     83   10   15   0,0   10   0,0   12   0,6   1,9   12   17%   0%   17%   23322%     84   666   221445   1974,2   1001545679   901,9   1062614849   413.8   not run   not run   6%   -54%     85   640   40896   73,5   3905773   78,9   3375566   36,2   not run   not run   8%   -54%     86   17127   27352   894,3   399055   5752,3   489847   1279,1   not run   not run   19%   -78%     87   27019   39407   2773,7   1221574848   2062,9   148364581   2893,7   not run   not run   18%   -86%     88   1728   28512   79,4   1562187   229,9   1777010   101,9   not run   not run   12%   -56%     89   36711   68117   9775,4   487778   40444,3   550923   4399,2   not run   not run   11%   -89%     90   34479   55494   616,2   319289   30798,0   443419   3872,4   not run   not run   12%   -86%     91   5880   35592   161,9   21218   6283,8   39027   39027   not run   not run   12%   -87%     93   7624   27806   516,4   13183   999,9   10087   10087   not run   not run   100							-		-,-						3
80         783         2262         2.2         2146         7.0         2262         13.5         182.2         2262         5%         0%         5%         93%           81         1081         3174         4.2         3082         12.8         3174         19.9         337.8         3174         3%         0%         0%         3%         56%           82         8         20         0.0         32         0.0         32         0.6         1.6         32         0%         0%         0%         0%         28805%           83         10         15         0.0         10         0.0         12         0.6         1.9         12         17%         0%         0%         0%         17%         28805%           84         666         221445         1974.2         1001545679         90.9         19.0         1062614849         413.8         not run         not run         17%         0%         17%         233222%           85         640         40896         73.5         3095773         78.9         3375566         36.2         not run         not run         not run         19%         -54%         86         17127 <td></td> <td>-</td>															-
81         1081         3174         4.2         3082         12.8         3174         19.9         337.8         3174         3%         0%         3%         56%           82         8         20         0,0         32         0,6         1,6         32         0%         0%         0%         28805%           83         10         15         0,0         10         10         12         0,6         1,9         12         17%         0%         0%         28805%           84         666         221445         1974,2         100154679         90,9         1062614849         413,8         not run         not run         6%         -54%           85         640         40896         73,5         3995773         78,9         3375566         36,2         not run         not run         not run         8%         -54%           86         17127         27352         894,3         399065         5752,3         489847         1279,1         not run         not run         not run         119%         -78%           87         2719         39407         2773,7         1221574848         20020,9         148364851         2893,7         n															5
82         8         20         0.0         32         0.0         32         0.6         1.6         32         0%         0%         0%         28805%           83         10         15         0.0         10         0.0         12         0.6         1.9         12         17%         0%         0%         0%         28805%           84         666         221445         1974,2         1001545679         901,9         1062614849         413,8         not run         not run         6%         -54%           85         640         40896         73,5         3095773         78,9         3375566         36,2         not run         not run         not run         8%         -54%           86         17127         27352         894,3         399065         5752,3         489847         1279,1         not run         not run         19%         -78%           87         27019         39407         2773,7         1221574848         26020,9         1483648581         2893,7         not run         not run         not run         18%         -86%           88         1728         28512         794         1562187         229,9         1777010<															5
83         10         15         0,0         10         0,0         12         0,6         1,9         12         17%         0%         17%         23322%           84         666         221445         1974,2         1001545679         901,9         1062614849         413.8         not run         not run         6%         -54%           85         640         40896         73,5         399573         78.9         3375566         36.2         not run         not run         not run         8%         -54%           86         17127         27352         894,3         399065         5752,3         489847         1279.1         not run         not run         not run         19%         -78%           87         27019         39407         2273.7         1221574848         2062.0         143846851         2893.7         not run         not run         not run         118%         -86%           88         1728         28512         79.4         1562187         229.9         1777010         101.9         not run         not run         10 run         11%         -89%           90         34479         55494         4016.2         3979.9         143419															6
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Table 1: All Test Results. Do not hesitate to zoom in.

## 5 Discussion and Conclusion

## 5.1 Findings

#### 5.1.1 Average Time

Excluding the test #94 on US roads, **H** took an average of 0.76 s, **A** 4.65 s, and **AP** 0.78 s to finish. The average time for ILP, where we could get an optimal result was 1.622 s, whereas if also counting tests, where we got an intermediate result, but no guarantee that this is an optimum, the average would be at 89.28 s instead.

### 5.1.2 Largest Input Graph Size

The largest graphs that can be efficiently handled on average hardware for each algorithm are approximately |E| = 250000 for  $\mathbf{H}$ , |E| = 130000 for  $\mathbf{AP}$ , |E| = 90000 for  $\mathbf{A}$ , and |E| = 280 for  $\mathbf{ILP}$ . Though for  $\mathbf{A}$  and  $\mathbf{AP}$ , it depends more on |V| as well, the above numbers are valid for an average degree of about 10.

#### 5.1.3 H Worst Result

Since **H** vs. **A** Miss was at most 46% in #91 for graphs where we could not find the optimal solution, and knowing that **A** provides a 0.5-approximation, we can conclude that at worst, **H** only found a 23%-approximation, which is nice, considering that in theory, it could be arbitrarily bad. The worst solution we can actually prove was a 48%-approximation in #78

#### 5.1.4 A Worst Result

The optimum solution is only known for a few graphs, but there  $\bf A$  could deliver a 92%-approximation at worst, meaning that it takes a very special graph to worsen the quality to 0.5 of the optimum.

#### 5.1.5 Parallelization Speedup

**AP** managed to complete runs #72 and #89 in 89% less time than **AP** which means that it can fully use all 8 (virtual) cores on large graphs. Interestingly, an 8x speedup would only result in -87.5% running

time, so there might be some further Java, Windows, or hardware optimization techniques at work, or it was just a coincidence.

The average compared running time for graphs with |E| > 1000 was -40%, while the global average is a terrible 1967% because of the outliers for tiny graphs.

#### 5.2 Overview

What I conclude from this experiment data for the different algorithms is the following:

#### 5.2.1 H - Heuristic

- Only suitable if a quality guarantee is not required
- An average quality of about 78% of the optimum
- Quality worse on denser graphs and graphs with very similar weights
- Often the fastest in comparison, especially for graphs with |E| > 100000

### 5.2.2 A - Approximation

- Offers a quality guarantee of 0.5
- Very good average quality of about 97% of the optimum
- Quality similar on all graphs
- Almost as fast as **H** for dense graphs with |E| < 90000 on modern hardware

### 5.2.3 AP - Parallelized Approximation

- Same quality as A
- Parallelization overhead makes it slower than **A** for |E| < 3000 (see #1)
- Performs especially well on real life instances of Max-Cut problems (see #89 and #90)
- Faster than **H** for some large ( $|E| \approx 30000$ ), dense graphs

### 5.2.4 ILP - Integer Linear Programming

- Only discussed algorithm which guarantees an optimal solution
- Performance for graphs with |E| < 130 barely distinguishable on modern hardware if only doing one run
- Offers intermediate results of very good quality for graphs with |E| < 5000
- Unable to completely solve most graphs with |E| > 500 in a realistic time span (exception see #79)

#### 5.3 Discussion

It is possible to adjust the heuristic in a way that the list of edges will not be sorted. That does not improve theoretical running time in big O notation, but in practice, especially for small, dense graphs, this does have quite some impact (**A** sometimes performed better for tiny graphs as it did not need a sort function), though it worsens the average result quality.

An even simpler heuristic would be flipping a coin for each vertex which determines to which subset it belongs, here as well the result is 'just' arbitrarily bad as well.

However, these approaches are not so useful for actual applications.

It has been proven, that it is NP-hard to approximate a Max-Cut to more than  $\frac{16}{17}$  of the optimal solution [3], therefore, considering our implementation on average gives a 97%-approximation and in the worst observed case a  $\frac{23}{25}$  one, I can be glad about the algorithm choice.

An alternative to the ILP method would be a bounded search tree. One could modify the heuristic to serve as a base for a BST algorithm: instead of always choosing (deterministically or at random) vertex u or v of the heaviest edge, we could branch on that decision, thereby creating every possible

subset if we go deep enough. With the employment of appropriate algorithm engineering techniques, this could very well lead to another efficient solving method.

### 5.4 Algorithm Engineering Success

The idea of marking nodes instead of creating subsets made the code easier to read and stopped the process of finding elements in lists from having an impact on run time analysis. Instead, the subsets are added to lists after stopping the timer.

The idea of reducing ILP constraints was essential to even run LpSolve on larger graphs as it stopped the library from crashing, though it had no real effect on run times since the process of removing unnecessary entries takes less than a millisecond.

## 6 Test-case Instructions

I included a guide for installing the LpSolve library in readme.txt.

In the folder 'Resources' you can find the table and graphs I used in this document as well as 5 pictures of the graphs I used for the test cases, including optimal solutions. All installation files for LpSolve are included in the folder 'Installation Files'.

I did not include any JRE or JDK installation files since they are large and I assume the reader to have those installed already, but you can find a link to them in the LpSolve installation guide.

First, you have to compile the files. For that matter, navigate to the 'Code' folder and run:

```
javac exam/*.java
```

There might be a warning message for deprecated API and unsafe operations which come from the library, but this should not be an issue. To execute all of the 5 test cases, run:

```
java exam.Test 1
java exam.Test 2
java exam.Test 3
java exam.Test 4
java exam.Test 5
```

If you want to do even more testing, you can also use:

#### java exam. Test <filename>

You can find all graph files in the 'Code' subfolder titled 'files'. A good example would be 'b04.stp', which is a rather small graph that can be solved with ILP.

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