## Groups, Analysis, and Geometry Seminars:

Harmonic Analysis of SU(2)

Tim Gou

20th August, 2020

## 1 Introduction

Suppose f is  $2\pi$ -periodic, complex valued, integrable over  $[0, 2\pi)$ , then

$$\widehat{f}(n) = \frac{1}{2\pi} \int_0^{2\pi} f(x)e^{-inx} dx$$
 (1)

with  $n \in \mathbb{Z}$ , is the Fourier transform of f. Question: What is  $e^{inx}$ ? Why is  $n \in \mathbb{Z}$ ? Answer:  $e^{inx}$  is the character of circle group, denoted by  $\mathbb{T}$  (i.e.  $e^{ix} \in \mathbb{T}$ ).

A character is a continuous homomorphism from a locally compact Abelian group G to  $\mathbb{T}$ :  $\chi:G\to\mathbb{T}$  where

$$\chi(gh) = \chi(g)\chi(h) \tag{2}$$

for  $g, h \in G$ . Let's work out  $\chi : \mathbb{R} \to \mathbb{T}$  first, where  $\mathbb{R}$  is the group  $(\mathbb{R}, +)$ . Since  $\chi(0) = 1$  (identity to identity) and  $\chi$  is continuous, then  $\exists a > 0$  such that

$$\int_0^a \chi(y) \ dy. \tag{3}$$

Let  $\xi = \int_0^a \chi(y) \ dy$ , then

$$\chi(x)\xi = \int_0^a \chi(x+y) \ dy = \int_x^{a+x} \chi(t) \ dt \tag{4}$$

so

$$\chi(x) = \xi^{-1} \int_{x}^{a+x} \chi(t) dt \tag{5}$$

and

$$\chi'(x) = \xi^{-1} (\chi(a+x) - \chi(x))$$

$$= \xi^{-1} \chi(x) (\chi(a) - 1)$$

$$= c\chi(x).$$
(6)

We have an ODE

$$\chi'(x) = c\chi(x) \tag{7}$$

where solving the equation gives us

$$\chi(x) = e^{cx}. (8)$$

Solving it gives us  $\chi(x) = e^{cx}$ . Since  $|\chi| = 1$ , then  $c = i\lambda$  with  $\lambda \in \mathbb{R}$ . Thus  $\chi(x) = e^{i\lambda x}$  and we have characters of  $\mathbb{R}$ , all the  $\chi_{\lambda}$  form a dual group of  $\mathbb{R}$ , denoted by  $\widehat{\mathbb{R}}$ .

Since we identify each  $\chi_{\lambda}$  with  $\lambda \in \mathbb{R}$ , then

$$\widehat{\mathbb{R}} \cong \mathbb{R}. \tag{9}$$

To work out  $\widehat{\mathbb{T}}$ , notice that

$$\mathbb{T} \cong \mathbb{R}/2\pi\mathbb{Z} \tag{10}$$

i.e. each element in  $[0, 2\pi)$  is a representative of the cosets of  $\mathbb{R}/2\pi\mathbb{Z}$ . Suppose  $x, y \in \mathbb{R}/2\pi\mathbb{Z}$  and  $x + y = 2\pi$ , then

$$\chi(x+y) = \chi(0) = 1 = e^{i\lambda(x+y)}$$
 (11)

we know  $\lambda \in \mathbb{R}$ , but the only way  $e^{i\lambda(x+y)} = 1$  is that  $\lambda \in \mathbb{Z}$ . So all the  $\chi_n(x) = e^{inx}$  for the dual group  $\widehat{\mathbb{T}}$ , and

$$\widehat{\mathbb{T}} \cong \mathbb{Z}.\tag{12}$$

Similarly, we have  $\mathbb{R}^n \cong \mathbb{R}^n$  and  $\widehat{\mathbb{T}} \cong \mathbb{Z}^n$ .

## **Theorem 1.1**: If G is compact, $\widehat{G}$ is discrete.

In addition,  $\{e^{inx}: n \in \mathbb{Z}\}$  form an orthonormal basis for the Hilbert space  $L^2(\mathbb{T})$ , with respect to its inner product, i.e.

$$\langle e^{imx}, e^{inx} \rangle = \frac{1}{2\pi} \int_0^{2\pi} e^{imx} e^{-inx} dx$$

$$= \delta_{mn} = \begin{cases} 1, & m = n \\ 0, & m \neq n \end{cases}$$
(13)

If G is non-Abelian, the analogy generalising the characters is called the *irreducible unitary* representation of G:

$$\sigma: G \to U(\mathcal{H}) \tag{14}$$

for  $\mathcal{H}$ , some Hilbert space.

$$\sigma(gh) = \sigma(g)\sigma(h)$$

$$\sigma(e) = \sigma(gg^{-1}) = \sigma(g)\sigma(g^{-1}) = \sigma(g)\sigma(g)^*$$

$$\langle \sigma(g)u, \sigma(g)v \rangle = \langle u, v \rangle$$
(15)

for  $u, v \in \mathcal{H}$ . We'll look at the irreducible representations of  $\mathcal{SU}(2)$ .  $\mathcal{SU}(2)$  is the first compacy and non-abelian group we normally look at in Harmonic Analysis.

## 2 Aspects of SU(2)

We begin by looking at  $\mathcal{U}(2)$ , a group of unitary transformations of  $\mathbb{C}^2$ .

$$AA^* = A^*A = I, A \in \mathcal{U}(2) \tag{16}$$

 $\mathcal{SU}(2) \subset \mathcal{U}(2)$  where elements of  $\mathcal{SU}(2)$  have determinant 1.

$$\begin{cases}
\det(AB) = \det(A)\det(B), \ A, B \in \mathcal{SU}(2) \\
\det(I) = \det(AA^{-1}) = \det(A)\det(A^{-1})
\end{cases}$$
(17)

suppose

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \eta \end{pmatrix} \in \mathcal{U}(2) \tag{18}$$

then,  $|\alpha|^2 + |\beta|^2 = |\gamma|^2 + |\eta|^2 = 1$  and  $\alpha \overline{\gamma} + \beta \overline{\eta} = 0$ . So,

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \begin{pmatrix} \gamma \\ \eta \end{pmatrix} \tag{19}$$

are unit vectors and orthogonal. Thus,

$$\begin{pmatrix} \gamma \\ \eta \end{pmatrix} = e^{i\theta} \begin{pmatrix} -\overline{\beta} \\ \overline{\alpha} \end{pmatrix}, \ \theta \in \mathbb{R}. \tag{20}$$

Hence we have

$$A = \begin{pmatrix} \alpha & \beta \\ -e^{i\theta}\overline{\beta} & e^{i\theta}\overline{\alpha} \end{pmatrix} \in \mathcal{U}(2)$$
 (21)

with  $\det\left(A\right)=e^{i\theta}$  and  $\det\left(A^{*}\right)=e^{-i\theta}.$  If  $A\in\mathcal{SU}(2),$  then

$$A = \begin{pmatrix} \alpha & \beta \\ -\overline{\beta} & \overline{\alpha} \end{pmatrix} \in \mathcal{SU}(2). \tag{22}$$

$$\mathcal{SU}(2) = \left\{ \begin{pmatrix} \alpha & \beta \\ -\overline{\beta} & \overline{\alpha} \end{pmatrix} : |\alpha|^2 + |\beta|^2 = 1 \right\}$$
 (23)

where  $\alpha, \beta \in \mathbb{C}$ . If  $\alpha = a + ib$  and  $\beta = c + id$  then

$$|\alpha|^{2} + |\beta|^{2} = 1$$

$$\left|\sqrt{a^{2} + b^{2}}\right|^{2} + \left|\sqrt{c^{2} + d^{2}}\right|^{2} = 1$$

$$a^{2} + b^{2} + c^{2} + d^{2} = 1$$
(24)

and so  $\mathcal{SU}(2) \cong S^3$ , the 3-sphere.  $\mathbb{T} \subset \mathcal{SU}(2)$ 

$$\mathbb{T} = \left\{ \begin{pmatrix} \alpha & 0 \\ 0 & \overline{\alpha} \end{pmatrix} : |\alpha| = 1 \right\} \tag{25}$$

**Theorem 2.1**: Given  $A \in \mathcal{SU}(2)$ ,  $\exists V \in \mathcal{SU}(2)$ , such that

$$VAV^{-1} = T (26)$$

for some  $T \in \mathbb{T}$ .

**Proof 2.2**: If  $A \in \mathcal{U}(2)$ , A is normal, then by the spectral theorem,  $\exists V \in \mathcal{U}(2)$  such that

$$VAv^{-1} = \begin{pmatrix} \alpha & 0\\ 0 & \beta \end{pmatrix} \tag{27}$$

with  $|\alpha| = |\beta| = 1$ .

If  $A \in \mathcal{SU}(2)$ , then  $\beta = \overline{\alpha}$ . So,  $V \in \mathcal{U}(2)$ ,  $A \in \mathcal{SU}(2)$ , we have

$$VAV^{-1} = \begin{pmatrix} \alpha & 0 \\ 0 & \overline{\alpha} \end{pmatrix}.$$

To make V special unitary, let  $\tilde{V} = \det(V)^{1/2} V$  such that  $\det(\tilde{V}) = 1$  and we have  $\tilde{V} A \tilde{V}^{-1} = T$ .  $\mathcal{SU}(2)$  is a compact, connected, Lie group, (?) we have a theorem to generalise this conjugation relation.

**Theorem 2.3**: If G is a compact, connected, Lie group,  $\mathbb{T}$  is its maximal torus, then for  $\chi \in G$ ,  $\exists g \in G$ , such that

$$g\chi g^{-1} = t \quad : \quad t \in \mathbb{T}. \tag{28}$$

**Theorem 2.4**: (proposition?) Define  $O_x = \{g\chi g^{-1} : g \in G\}$ , then for  $\mathcal{SU}(2)$ , every  $O_x$  intersects  $\mathbb T$  at exactly two points.

**Proof 2.5**: We have  $g\chi g^{-1} = t$ , let

$$g_1 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \Rightarrow g^{-1} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

so

$$(g_1 g) \chi(g_1 g)^{-1} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$
$$= \begin{pmatrix} e^{-i\theta} & 0 \\ 0 & e^{i\theta} \end{pmatrix}$$

Now, we describe the irreducible representations of SU(2). SU(2) naturally acts on  $\mathbb{C}^2$  ( $\sigma$  is just the identity mapping) i.e.

$$\begin{pmatrix} \alpha & \beta \\ -\overline{\beta} & \overline{\alpha} \end{pmatrix} \begin{pmatrix} z \\ w \end{pmatrix} = \begin{pmatrix} \alpha z + \beta w \\ -\overline{\beta}z + \overline{\alpha}w \end{pmatrix}$$
 (29)

We are interested in SU(2) acting on the vector spaces which are built out of  $\mathbb{C}^2$ , e.g.

$$L^2(\mathcal{SU}(2)) \cong L^2(S^3) \tag{30}$$

made up of functions

$$f: \mathbb{C}^2 \to \mathbb{C} \tag{31}$$

and let P be the space of all polynomials with two complex variables, i.e.  $f \in P$  such that

$$f(z,w) = \sum_{j,k} a_{jk} z^j w^k \tag{32}$$

and

$$P \subset L^2(S^3) \tag{33}$$

where both spaces are infinite dimensional. But SU(2) is compact, so  $d_{\sigma} < \infty$ . This means we want to find subspaces of P which are:

- $\bullet$  finite dimensional
- invariant under the action of SU(2)

Thus, we pick  $P_m$ , the space of homogeneous polynomials of degree m. For  $f \in P_m$ 

$$f(z,w) = \sum_{j=0}^{m} a_j z^{m-j} w^j$$
 (34)

and let

$$A_{\alpha,\beta} := \begin{pmatrix} \alpha & \beta \\ -\overline{\beta} & \overline{\alpha} \end{pmatrix}, \tag{35}$$

we define the regular representation of  $\sigma(A_{\alpha,\beta})$  on f:

$$\sigma(A_{\alpha,\beta})f(z,w) = f(A_{\alpha,\beta}(z,w))$$

$$= f(\overline{\alpha}z - \beta w, \overline{\beta}z + \alpha w)$$
(36)

**Theorem 2.6**:  $P_m$  is invariant under  $\sigma(A_{\alpha,\beta})$ .

**Proof 2.7**: Suppose monomials  $z^{m-j}w^j \in P_m$  then

$$f(\overline{\alpha}z - \beta w, \overline{\beta}z + \alpha w) = (\overline{\alpha}z - \beta w)^{m-j}(\overline{\beta}z + \alpha w)^{j} \in P_{m}.$$
(37)

So,  $P_m$  is invariant under  $\sigma$ .

Now, we want to define an inner product, i.e.

$$\langle f, g \rangle = \int_{S^3} f \overline{g} \ d\mu \tag{38}$$

where  $\mu(S^3) = 1$  is the surface measure of  $S^3$  which is normalised to 1.

So, we'll show monomials  $z^{m-j}w^j$  are orthogonal in P with respect to this inner product. To achieve this, we use polar coordinates.

Suppose  $Z=(z,w)\in C^2$  and  $Z=r\tilde{z}$ , where  $\tilde{z}\in S^3$ , and  $r=|z|=\sqrt{|z|^2+|w|^2}$ . We define Lebesgue measure on  $\mathbb{C}^2$  as

$$dz = dz \ dw$$

$$= d_a \ d_b \ d_c \ d_d$$

$$= r^3 \ dr \ d\tilde{\mu}(\tilde{z}) : \text{ (un-normalised)}.$$

$$= 2\pi^2 r^3 \ dr \ d\mu(\tilde{z}) : \text{ (normalised)}$$

To see this, we have  $\varphi_1, \varphi_2 \in [0, \pi], \theta \in [0, 2\pi)$ .

$$a = r \cos(\varphi_1)$$

$$b = r \sin(\varphi_1) \sin(\varphi_2)$$

$$c = r \sin(\varphi_1) \sin(\varphi_2) \cos(\theta)$$

$$d = r \sin(\varphi_1) \sin(\varphi_2) \sin(\theta).$$
(40)

The Jacobian matrix is then

$$J = \begin{bmatrix} \frac{\partial a}{\partial r} & \dots & * \\ \vdots & \ddots & \vdots \\ * & \dots & \frac{\partial d}{\partial a} \end{bmatrix}$$

$$\tag{41}$$

giving

$$\det(J) = r^3 \sin^2(\varphi_1) \sin(\varphi_2). \tag{42}$$

The surface measure of  $S^3$  is exactly

$$\mu(S^3) = \int_0^{2\pi} \int_0^{\pi} \int_0^{\pi} \sin^2(\varphi_1) \sin(\varphi_2) d\varphi_1 d\varphi_2 d\theta(?)$$

$$= 2\pi^2$$
(43)

**Theorem 2.8**: If  $f: \mathbb{C}^2 \to \mathbb{C}$  satisfies  $f(aZ) = a^m f(z)$  for a > 0, then

$$\int_{S^3} f(\tilde{z}) \ d\mu(\tilde{z}) = \frac{1}{\pi^2 \Gamma(\frac{m+4}{2})} \int_{\mathbb{C}^2} f(Z) e^{-|Z|^2} \ dZ. \tag{44}$$

**Proof 2.9**: We integrate in polar coordinates:

$$\int_{\mathbb{C}^{2}} f(Z)e^{-|Z|^{2}} dZ = 2\pi^{2} \int_{0}^{\infty} \int_{S^{3}} f(r\tilde{z})e^{-r^{3}}r^{3} d\mu(\tilde{z}) dr$$

$$= 2\pi^{2} \int_{0}^{\infty} e^{-r^{3}}(?)r^{3} \int_{S^{3}} f(r\tilde{z}) d\mu(\tilde{z}) dr$$

$$= 2\pi^{2} \frac{1}{2} \Gamma(\frac{m+4}{2}) \int_{S^{3}} f(\tilde{z}) d\mu(\tilde{z})$$
(45)