

Chap 17 – Amortized Analysis

17.1 Aggregate analysis

17.2 The accounting method

17.3 The potential method

17.4 Dynamic tables

Amortized Analysis

- Amortized analysis
 - Analyze a *sequence* of operations on a data structure.
 - ***Goal***
Show that although some individual operations may be expensive, *on average* the cost per operation is small.
 - *Average* in this context means we are averaging over a sequence of operations, rather than over a distribution of inputs.
 - No probability is involved.
 - We're talking about *average cost per operation in the worst case*.

17.1 Aggregate analysis

- Aggregate analysis
 - Show that a sequence of n operations takes worst-case time $T(n)$ in total.
In the worst case, the average cost, or **amortized cost**, per operation is therefore $T(n)/n$.
 - The amortized cost applies to each operation, regardless of the type of the operation.

17.1 Aggregate analysis

- Aggregate analysis: Stack operations

 - $\text{PUSH}(S, x)$ Cost: $O(1)$

 - $\text{POP}(S)$ Cost: $O(1)$

A sequence of n PUSH and POP operations takes $O(n)$ time in total.

Amortized cost per operation is $O(1)$ – No INTEREST!

 - Let's add a new operation:

 - $\text{MULTIPOP}(S, k)$

 - **while** S is not empty and $k > 0$

 - $\text{POP}(S)$

 - $k = k - 1$

Cost: $O(\min(s, k))$, where $s = \#$ of objects on stack S

17.1 Aggregate analysis

- Aggregate analysis: Stack operations
 - In a sequence of n PUSH, POP and MULTIPOP operations:
 - PUSH $O(1)$ cost
 - POP $O(1)$ cost
 - MULTIPOP $O(n)$ worst-case cost
 - PUSH, POP, PUSH, POP, PUSH, POP, ...
Total cost: n

PUSH, PUSH, MULTIPOP($S, 2$), PUSH, PUSH, MULTIPOP($S, 2$), ...

Total cost: $4n/3$

PUSH, PUSH, ..., PUSH, MULTIPOP($S, n - 1$)

Total cost: $2n - 2$

17.1 Aggregate analysis

- Aggregate analysis: Stack operations
 - The amortized cost per operation is $O(1)$.
 - Have $\leq n$ PUSHes
 $\Rightarrow \leq n$ POPS, including those in MULTIPOP
 - Therefore, total cost $\leq 2n = O(n)$
 - Average over the n operations
 $\Rightarrow O(n)/n = O(1)$ per operation on average

17.1 Aggregate analysis

- Aggregate analysis: Binary counter
 - k -bit binary counter $A[0..k - 1]$ of bits
 - Values of counter: $0..2^k - 1$
 - Count upward from 0; $A[0..k - 1] = 0$ initially
 - To increment, add 1 ($\text{mod } 2^k$)

$\text{INCREMENT}(A, k)$

$i = 0$

while $i < k$ and $A[i] == 1$

$A[i] = 0$

$i = i + 1$

if $i < k$

$A[i] = 1$

Cost of INCREMENT : $\Theta(\# \text{ of bits flipped})$

17.1 Aggregate analysis

- Aggregate analysis: Binary counter

counter value	A	cost of each INCREMENT	total cost
0	0 0 <u>0</u>		0
1	0 0 <u>1</u>	1	1
2	0 1 <u>0</u>	2	3
3	<u>0 1 1</u>	1	4
4	1 0 <u>0</u>	3	7
5	1 0 <u>1</u>	1	8
6	1 1 <u>0</u>	2	10
7	<u>1 1 1</u>	1	11
0	0 0 <u>0</u>	3	14

17.1 Aggregate analysis

- Aggregate analysis: Binary counter
 - In a sequence of n INCREMENTS on an initially zero k -bit counter, a single INCREMENT operation takes $O(k)$ time in the worst case (when the value of the counter is $2^k - 1$).
 - However, not every bit flips every time.

<u>bit</u>	<u>flips how often</u>	<u>times in n INCREMENTS</u>
0	every time	n
1	$1/2$ the time	$\lfloor n/2 \rfloor$
2	$1/4$ the time	$\lfloor n/4 \rfloor$
:	:	:
i	$1/2^i$ the time	$\lfloor n/2^i \rfloor$
:	:	:

17.1 Aggregate analysis

- Aggregate analysis: Binary counter

- For $i > \lfloor \lg n \rfloor$, bit $A[i]$ never flips at all.

$$\therefore \lfloor n/2^i \rfloor = 0 \Rightarrow 2^i > n \Rightarrow i > \lg n \geq \lfloor \lg n \rfloor$$

Thus,

$$\text{total # of flips} = \sum_{i=0}^{\lfloor \lg n \rfloor} \lfloor n/2^i \rfloor < n \sum_{i=0}^{\infty} 1/2^i = 2n$$

- Therefore, total cost = $O(n)$.

Amortized cost per operation = $O(1)$

17.2 The accounting method

- Accounting method
 - Assign different charges to different operations.
 - Some are charged more than actual cost.
 - Some are charged less.
 - Differs from aggregate analysis: In aggregate analysis, all operations have the same cost.
 - **Amortized cost** = amount we charge.
 - When amortized cost > actual cost, store the difference *on specific objects* in the data structure as **credit**.
 - When actual cost > amortized cost, use credit to pay for the difference.

17.2 The accounting method

- Accounting method
 - Need credit to never go negative.
 - Otherwise, the amortized cost of such a sequence of operations is not an upper bound on the actual cost.
 - Amortized cost would tell us *nothing*.
 - Let c_i = actual cost of i^{th} operation
 \hat{c}_i = amortized cost of i^{th} operation

Then, for every sequence of n operations, we need

$$\sum_{i=1}^n \hat{c}_i \geq \sum_{i=1}^n c_i \Rightarrow \text{credit} = \sum_{i=1}^n \hat{c}_i - \sum_{i=1}^n c_i \geq 0$$

17.2 The accounting method

- Accounting method: Stack operations

- | operation | actual cost | amortized cost |
|-----------|--------------|----------------|
| PUSH | 1 | 2 |
| POP | 1 | 0 |
| MULTIPOP | $\min(k, s)$ | 0 |

$O(1)$ amortized cost

- **Intuition:** When pushing an object, pay \$2.

- \$1 pays for the PUSH
- \$1 is prepayment for it being popped by POP or MULTIPOP
- Each object has \$1 of credit
 - ⇒ credit ≥ 0
 - ⇒ total amortized cost $O(n)$ is an upper bound on total actual cost

17.2 The accounting method

- Accounting method: Binary counter
 - Charge \$2 to set a bit to 1.
 - \$1 pays for setting a bit to 1.
 - \$1 is prepayment for flipping it back to 0.
 - Have \$1 of credit for every 1 in the counter
 $\Rightarrow \text{credit} \geq 0$
 - Amortized cost of INCREMENT = $O(1)$
 - Cost of resetting bits to 0 is paid by credit.
 - At most 1 bit is set to 1.
 - Therefore, amortized cost of INCREMENT $\leq \$2$.
 - For n operations, amortized cost = $O(n)$

17.2 The accounting method

- Aggregate analysis: Binary counter

- counter A

value	2 1 0	c_i	\hat{c}_i
0	0 0 <u>0</u>		
1	0 0 <u>1</u>	1	2
2	0 1 <u>0</u>	2	2
3	<u>0 1 1</u>	1	2
4	1 0 <u>0</u>	3	2
5	1 0 <u>1</u>	1	2
6	1 1 <u>0</u>	2	2
7	<u>1 1 1</u>	1	2
0	0 0 <u>0</u>	3	0

Credit stored in each bit

17.3 The potential method

- Potential method
 - Like the accounting method, but think of the credit as ***potential*** stored with the entire data structure.
 - Accounting method stores credit with specific objects.
 - Potential method stores potential in the data structure as a whole.
 - Potential can be released to pay for future operations
 - Most flexible of the amortized analysis methods

17.3 The potential method

- Potential method
 - Let D_0 = initial data structure
 D_i = data structure after i^{th} operation
 c_i = actual cost of i^{th} operation
 \hat{c}_i = amortized cost of i^{th} operation
 - **Potential function**
 $\Phi : \{D_i \mid i = 0, 1, 2, \dots\} \rightarrow \mathbb{R}$
 $\Phi(D_i)$ is the *potential* associated with data structure D_i
 - $\hat{c}_i = c_i + \underbrace{\Phi(D_i) - \Phi(D_{i-1})}_{\Delta\Phi(D_i)}$
 $\Delta\Phi(D_i)$ = in- or de-crease in potential due to i^{th} operation

17.3 The potential method

- Potential method

- Total amortized cost

$$\begin{aligned} &= \sum_{i=1}^n \widehat{c}_i = \sum_{i=1}^n (c_i + \Phi(D_i) - \Phi(D_{i-1})) \\ &= \sum_{i=1}^n c_i + \Phi(D_n) - \Phi(D_0) \end{aligned}$$

- If we require that $\Phi(D_i) \geq \Phi(D_0)$ for all i , the amortized cost is always an upper bound on the actual cost.

In practice

$$\Phi(D_0) = 0, \Phi(D_i) \geq 0 \text{ for all } i$$

17.3 The potential method

- Potential method: Stack operations
 - $\Phi = \# \text{ of objects in stack}$
= # of \$1 bills in accounting method
 - $D_0 = \text{empty stack} \Rightarrow \Phi(D_0) = 0$
 - # of objects in stack is always $\geq 0 \Rightarrow \Phi(D_i) \geq 0$ for all i
 - Cost of PUSH operation
 $c_i = 1$
 $\Delta\Phi(D_i) = \Phi(D_i) - \Phi(D_{i-1})$
 $= (s + 1) - s = 1 \quad \text{where } s = \Phi(D_{i-1})$
 $\hat{c}_i = c_i + \Delta\Phi(D_i) = 1 + 1 = 2$

17.3 The potential method

- Potential method: Stack operations

- Cost of POP operation

$$c_i = 1$$

$$\Delta\Phi(D_i) = (s - 1) - s = -1$$

$$\hat{c}_i = c_i + \Delta\Phi(D_i) = 1 - 1 = 0$$

- Cost of MULTIPOP operation

$$c_i = \min(s, k) = k'$$

$$\Delta\Phi(D_i) = (s - k') - s = -k'$$

$$\hat{c}_i = c_i + \Delta\Phi(D_i) = k' - k' = 0$$

- So, amortized cost of each operation = $O(1)$

- amortized cost of a sequence of n operations = $O(n)$

17.3 The potential method

- Potential method: Binary counter
 - $\Phi = \# \text{ of } 1\text{'s in counter}$
 - If counter starts at 0, $D_0 = \text{all bits are } 0\text{'s} \Rightarrow \Phi(D_0) = 0$
 - # of 1's in counter is always $\geq 0 \Rightarrow \Phi(D_i) \geq 0$ for all i
 - Suppose the i^{th} operation resets t_i bits to 0
Since it sets at most one bit to 1, $c_i \leq 1 + t_i \quad (1)$
 - **CLAIM** $\Delta\Phi(D_i) \leq 1 - t_i \quad (2)$
By (1) and (2), we have
$$\hat{c}_i = c_i + \Delta\Phi(D_i) \leq (1 + t_i) + (1 - t_i) = 2$$
 - So, amortized cost of INCREMENT = $O(1)$
amortized cost of a sequence of n INCREMENT's = $O(n)$

17.3 The potential method

- Potential method: Binary counter

- **CLAIM** $\Delta\Phi(D_i) \leq 1 - t_i$

Let $\Phi(D_i) = b_i$

Case 1: $b_i = 0$

The i^{th} operation resets all k bits and doesn't set one, so

$$b_{i-1} = t_i = k \Rightarrow b_i = b_{i-1} - t_i \Rightarrow b_i - b_{i-1} = -t_i$$

Case 2: $b_i > 0$

The i^{th} operation resets t_i bits and sets one, so

$$b_i = b_{i-1} - t_i + 1 \Rightarrow b_i - b_{i-1} = 1 - t_i$$

In either case,

$$\Delta\Phi(D_i) = b_i - b_{i-1} \leq 1 - t_i$$

17.4 Dynamic tables

- **Dynamic tables**
 - *Scenario*
 - Don't know in advance how many objects will be stored in a table.
 - When it fills, must reallocate with a larger size, copying all objects into the new, larger table.
 - When it gets sufficiently small, might want to reallocate with a smaller size.
 - **Goals**
 - $O(1)$ amortized time per operation
 - Unused space \leq constant fraction of allocated space

17.4 Dynamic tables

- Table expansion
 - *Load factor*
 - $\alpha = \text{num}/\text{size} \leq 1$
where num = # items stored, size = allocated size
 - If $\text{size} = 0$, then $\text{num} = 0$. Call $\alpha = 1$
 - Keep $\alpha \geq$ a constant fraction \Rightarrow 2nd goal
 - When the table becomes full, double its size and reinsert all existing items
 $\Rightarrow \alpha \geq 1/2$
 - Terminology: An *elementary insertion* inserts an item into the table.

17.4 Dynamic tables

- Table expansion

`TABLE-INSERT(T, x)`

`if $T.size == 0$` // Initially, $T.num = T.size = 0$

 allocate $T.table$ with 1 slot

$T.size = 1$

`if $T.size == T.num$`

 allocate $newtable$ with $2 \cdot T.size$ slots

 copy $T.table$ to $newtable$ free $T.table$

$T.table = newtable$ // $T.num$ elementary insertions

$T.size = 2 \cdot T.size$

 Insert x into $T.table$ // one elementary insertion

$T.num = T.num + 1$

17.4 Dynamic tables

- Table expansion: Aggregate analysis
 - Consider a sequence of n TABLE-INSERT operations
 - c_i = actual cost of i^{th} operation
 - If not full, $c_i = 1$
 - If full, $c_i = i$
 - ∴ have to copy all $i - 1$ existing items and insert i^{th} item

Thus,

$$c_i = \begin{cases} i & \text{if } i - 1 = 2^k \text{ for some } k \\ 1 & \text{otherwise} \end{cases}$$

17.4 Dynamic tables

- Table expansion: Aggregate analysis

- Total cost

$$\begin{aligned} \sum_{i=1}^n c_i &= n + \sum_{\substack{1 \leq i \leq n \\ i-1=2^k}} (i-1) = n + \sum_{\substack{0 \leq j < n \\ j=2^k}} j \\ &\leq n + \sum_{k=0}^{\lfloor \lg n \rfloor} 2^k \quad \because k < \lg n \Rightarrow k \leq \lfloor \lg n \rfloor \\ &= n + 2^{\lfloor \lg n \rfloor + 1} - 1 \\ &\leq n + 2^{\lg n + 1} - 1 = n + 2n - 1 < 3n \end{aligned}$$

- Therefore, **aggregate analysis** says amortized cost per TABLE-INSERT operation < 3 .

17.4 Dynamic tables

- Table expansion: Accounting method
 - Charge \$3 per insertion of x
 - \$1 pays for x 's insertion
 - \$1 pays for x to be moved in the future
 - \$1 pays for some other item to be moved
 - Example

x_1	x_2	x_3	x_4
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Just before expansion, each x_i has \$1 of credit.

x_1	x_2	x_3	x_4				
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The expansion uses up all the credit.

17.4 Dynamic tables

- Table expansion: Accounting method

- Example (Cont'd)

Thereafter, the insertion of $x_i, 5 \leq i \leq 8$, puts \$1 of credit on x_i and \$1 of credit on x_{i-4} .

x_1	x_2	x_3	x_4	x_5			
-------	-------	-------	-------	-------	--	--	--

When the table is full again, every object has \$1 of credit and the table is ready for further expansion.

x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8
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17.4 Dynamic tables

- Table expansion: Accounting method
 - Special case

Charge \$2 for the insertion of x_1

 - \$1 pays for x_1 's insertion
 - \$1 pays for x_1 to be moved in the future
 - No need to pay for other item to be moved
 - This agrees with the result of **aggregate analysis**, namely,
amortized cost per TABLE-INSERT operation
= total cost/# of operations ∵ aggregate analysis
= $(2 + 3(n - 1))/n$
 < 3

17.4 Dynamic tables

- Table expansion: Potential method
 - $\Phi(T) = 2 \cdot T.\text{num} - T.\text{size}$
 - T is empty $\Rightarrow \text{num} = \text{size} = 0 \Rightarrow \Phi = 0$
 - $\alpha = \text{num}/\text{size} \geq 1/2 \Rightarrow 2 \cdot \text{num} \geq \text{size} \Rightarrow \Phi \geq 0$
 - Just before expansion

$\text{size} = \text{num}$

$\Rightarrow \Phi = \text{num}$

\Rightarrow have enough potential to pay for moving all items
 - Just after expansion

$\text{size} = 2 \cdot \text{num} \Rightarrow \Phi = 0$

\Rightarrow use up the potential for the expansion

17.4 Dynamic tables

- Table expansion: Potential method

Amortized cost of i^{th} TABLE-INSERT operation

After i^{th} TABLE-INSERT operation, let

$$num_i = num \quad size_i = size \quad \Phi_i = \Phi$$

- Case 1: No expansion

$$size_i = size_{i-1}$$

$$num_i = num_{i-1} + 1$$

$$c_i = 1$$

$$\hat{c}_i = c_i + \Phi_i - \Phi_{i-1}$$

$$= 1 + (2 \cdot num_i - size_i) - (2 \cdot num_{i-1} - size_{i-1})$$

$$= 1 + (2 \cdot num_i - size_i) - (2 \cdot (num_i - 1) - size_i)$$

$$= 3$$

17.4 Dynamic tables

- Table expansion: Potential method

- Case 2: Expansion

Case 2.1: $i = 1$

$$\hat{c}_1 = c_i + \Phi_i - \Phi_{i-1} = 1 + 1 - 0 = 2$$

Case 2.2: $i > 1$

$$size_i = 2 \cdot size_{i-1} \quad (\text{N.B. } size_1 = size_0 + 1)$$

$$size_{i-1} = num_{i-1} = num_i - 1$$

$$c_i = num_{i-1} + 1 = num_i$$

$$\hat{c}_i = c_i + \Phi_i - \Phi_{i-1}$$

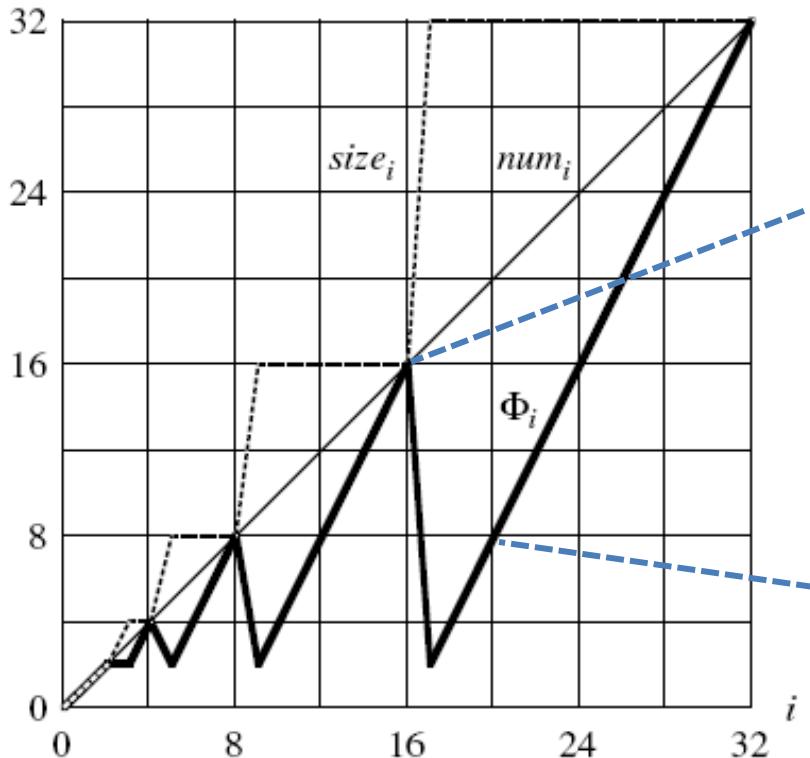
$$= num_i + (2 \cdot num_i - size_i) - (2 \cdot num_{i-1} - size_{i-1})$$

$$= num_i + (2 \cdot num_i - 2(num_i - 1)) - (num_i - 1)$$

$$= 3$$

17.4 Dynamic tables

- Table expansion: Potential method



When the table is full,
 $\Phi_i = num_i$
and there is enough potential
for expansion.

Afterwards, Φ_i drops to 0
and is increased by 2 for each
item inserted.

17.4 Dynamic tables

- Table expansion and contraction
 - When α drops too low, contract the table
 - Allocate a new, smaller one
 - Copy all items
 - Still want
 - $\alpha \geq$ a constant fraction
 - amortized cost per operation = $O(1)$
 - "Obvious strategy"
As before, let $\alpha \geq 1/2$
So, halve size when deleting with $\alpha = 1/2$
NO! After halving we have $\alpha = 1$

17.4 Dynamic tables

- Table expansion and contraction

- Why $\alpha \geq 1/2$ doesn't work?

Suppose the table is full, then

insert \Rightarrow double; 2 deletes \Rightarrow halve; 2 inserts \Rightarrow double; ...

This is inefficient – we don't perform enough operations after expansion or contraction to pay for the next one.

- **Solution:** $\alpha \geq 1/4$

- Double size when inserting with $\alpha = 1$

- \Rightarrow after doubling, $\alpha = 1/2$

- Halve size when deleting with $\alpha = 1/4$

- \Rightarrow after halving, $\alpha = 1/2$

17.4 Dynamic tables

- Table expansion and contraction

- *Idea*

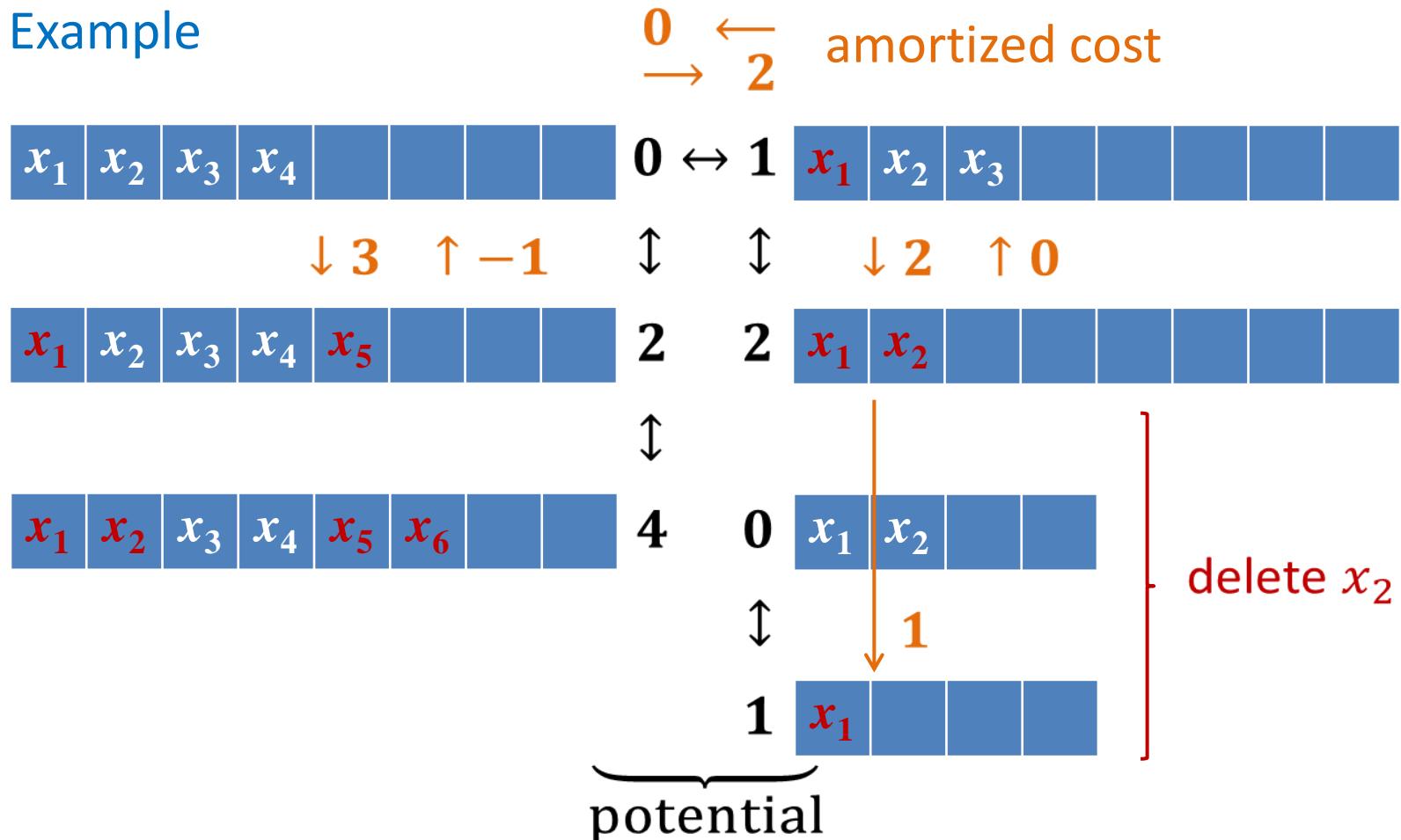
Make sure that we perform enough operations between consecutive expansions and contractions to build up the potential

- $\alpha = 1/2$ potential = 0
 - $\alpha = 1/2 \leftrightharpoons 1$ potential +2 after each insertion
 potential -2 after each deletion
 - $\alpha = 1/2 \leftrightharpoons 1/4$ potential -1 after each insertion
 potential +1 after each deletion

17.4 Dynamic tables

- Table expansion and contraction

Example



17.4 Dynamic tables

- Table expansion and contraction: Potential method
 - Intuition
 - $\alpha = 1/2 \rightarrow 1$
 $\because num = size/2 \rightarrow size$
 $\Phi = 0 \rightarrow size$
 $\therefore \Phi$ needs to increase by 2 for each item inserted
 - $\alpha = 1/2 \rightarrow 1/4$
 $\because num = size/2 \rightarrow size/4$
 $\Phi = 0 \rightarrow size/4$
 $\therefore \Phi$ needs to increase by 1 for each item deleted
 - $\Phi = num$ when $\alpha = 1$ or $1/4 \Rightarrow$ enough potential to pay for moving all num items when we double or halve

17.4 Dynamic tables

- Table expansion and contraction: Potential method
 - Book's potential function

$$\Phi(T) = \begin{cases} 2 \cdot T.\text{num} - T.\text{size}, & \alpha \geq 1/2 \\ T.\text{size}/2 - T.\text{num} & \alpha < 1/2 \end{cases}$$

- T is empty $\Rightarrow \text{num} = \text{size} = 0 \Rightarrow \Phi = 0$
- $\alpha \geq 1/2 \Rightarrow \text{num} \geq \text{size}/2 \Rightarrow \Phi \geq 0$
- $\alpha < 1/2 \Rightarrow \text{num} < \text{size}/2 \Rightarrow \Phi > 0 \Rightarrow \Phi \geq 0$

- Replacing $<$ by \leq doesn't change Φ .

In the sequel, our $\Phi(T)$ shall use \leq to simplify the analysis.

17.4 Dynamic tables

- Table expansion and contraction: Potential method
Amortized cost of i^{th} operation (may be insertion or deletion)

Notation:

$$\alpha_i = \text{num}_i / \text{size}_i = \text{load factor after } i^{\text{th}} \text{ operation}$$

Case 1: Insertion

- Case 1.1: $\alpha_{i-1} \geq 1/2 \Rightarrow \hat{c}_1 = 2$ and $\hat{c}_i = 3, i > 1$
Same analysis as before
- Case 1.2: $\alpha_{i-1} < 1/2 \Rightarrow$ no expansion
Because of its $\Phi(T)$, Book distinguishes two subcases:
$$\left. \begin{array}{l} \alpha_i < 1/2 \\ \alpha_i \geq 1/2 \end{array} \right\}$$
 With our $\Phi(T)$, one case suffices: $\alpha_i \leq 1/2$

17.4 Dynamic tables

- Table expansion and contraction: Potential method
 - Case 1.2 (Cont'd)

Moreover, Book's analysis:

$$\left. \begin{array}{l} \alpha_{i-1} < 1/2 \text{ and } \alpha_i < 1/2 \Rightarrow \hat{c}_i = 0 \\ \alpha_{i-1} < 1/2 \text{ and } \alpha_i \geq 1/2 \Rightarrow \hat{c}_i < 3 \end{array} \right\} \text{Both } = 0, \text{ indeed}$$

∴

$$\begin{aligned} \hat{c}_i &= 1 + (2 \cdot num_i - size_i) - (size_{i-1}/2 - num_{i-1}) \\ &= 1 + (2 \cdot (num_{i-1} + 1) - size_{i-1}) - (size_{i-1}/2 - num_{i-1}) \\ &= 3 \cdot num_{i-1} - \frac{3}{2} \cdot size_{i-1} + 3 \\ &= 3 \cdot \alpha_{i-1} size_{i-1} - \frac{3}{2} \cdot size_{i-1} + 3 \\ &< \frac{3}{2} \cdot size_{i-1} - \frac{3}{2} \cdot size_{i-1} + 3 = 3 \end{aligned}$$

17.4 Dynamic tables

- Table expansion and contraction: Potential method
 - Case 1.2 (Cont'd)

A simpler analysis using our $\Phi(T)$

Since $\alpha_{i-1} < 1/2$ and $\alpha_i \leq 1/2$, we have

$$\begin{aligned}\hat{c}_i &= 1 + (\text{size}_i/2 - \text{num}_i) - (\text{size}_{i-1}/2 - \text{num}_{i-1}) \\ &= 1 + (\text{size}_i/2 - \text{num}_i) - (\text{size}_i/2 - (\text{num}_i - 1)) \\ &= 1 - 1 = 0\end{aligned}$$

Case 2: Deletion

We shall only analyze this case using our $\Phi(T)$.

Again, the analyses are simpler than Book's.

17.4 Dynamic tables

- Table expansion and contraction: Potential method

- Case 2.1: $\alpha_{i-1} > 1/2 \Rightarrow \alpha_i \geq 1/2 \Rightarrow$ no contraction

$$\begin{aligned}\hat{c}_i &= 1 + (2 \cdot \text{num}_i - \text{size}_i) - (2 \cdot \text{num}_{i-1} - \text{size}_{i-1}) \\ &= 1 + (2 \cdot \text{num}_i - \text{size}_i) - (2 \cdot (\text{num}_i + 1) - \text{size}_i) \\ &= -1\end{aligned}$$

- Case 2.2: $\alpha_{i-1} \leq 1/2 \Rightarrow \alpha_i < 1/2$

If no contraction

$$\begin{aligned}\hat{c}_i &= 1 + (\text{size}_i/2 - \text{num}_i) - (\text{size}_{i-1}/2 - \text{num}_{i-1}) \\ &= 1 + (\text{size}_i/2 - \text{num}_i) - (\text{size}_i/2 - (\text{num}_i + 1)) \\ &= 2\end{aligned}$$

17.4 Dynamic tables

- Table expansion and contraction: Potential method

- Case 2.2 (Cont'd)

If contraction

Since the contraction takes num_{i-1} time to delete one item and move $num_{i-1} - 1$ items, and

$$size_i/2 = size_{i-1}/4 = num_{i-1} = num_i + 1$$

we have

$$\begin{aligned}\hat{c}_i &= num_{i-1} + (size_i/2 - num_i) - (size_{i-1}/2 - num_{i-1}) \\ &= num_{i-1} + (num_{i-1} - num_i) - (2 \cdot num_{i-1} - num_{i-1}) \\ &= num_{i-1} - num_i \\ &= 1\end{aligned}$$